Compliance Controls Master Table

Control ID	Control Name	Regulation/Framework	Control Description	Control Owner	Status	Evidence Link	Risk Rating (1-5)
C1	Access Control Policy	SOX	Ensure proper segregation of duties and acess control in financial systems	IT Security	Implemented	Link_to_evidence	4
C2	Data Privacy Policy	HIPAA	Protect patient health information and maintain confidentiality	Compliance Officer	Pending	Link_to_evidence	5
C3	Incident Response Plan	NIST CSF	Establish processes to detect, respond and recover from cybersecurity incidents	IT Security	Implemented	Link_to_evidence	3
C4	Vendor Risk Assessment	NIST CSF	Assess third-party vendors for cybersecurity and compliance risks	Procurement	In Progress	Link_to_evidence	3
C5	Financial Reporting Controls	SOX	Ensure accuracy and completeness of financial statements	Finance	Implemented	Link_to_evidence	4
C6	Security Awareness Training	HIPAA	Train staff on data protection and privacy policies	HR / IT	Implemented	Link_to_evidence	2

Filtering by Status

Control ID	Control Name	Regulation/Framework	Control Description	Control Owner	Status	Evidence Link	Risk Rating (1-5)
C1	Access Control Policy	SOX	Ensure proper segregation of duties and acess control in financial systems	IT Security	Implemented	Link_to_evidence	4
C3	Incident Response Plan	NIST CSF	Establish processes to detect, respond and recover from cybersecurity incidents	IT Security	Implemented	Link_to_evidence	3
C5	Financial Reporting Controls	SOX	Ensure accuracy and completeness of financial statements	Finance	Implemented	Link_to_evidence	4
C6	Security Awareness Training	HIPAA	Train staff on data protection and privacy policies	HR / IT	Implemented	Link_to_evidence	2

Control ID	Control Name	Regulation/Framework	Control Description	Control Owner	Status	Evidence Link	Risk Rating (1-5)
C4	Vendor Risk Assessment	NIST CSF	Assess third-party vendors for cybersecurity and compliance risks	Procurement	In Progress	Link_to_evidence	3

| Control ID	Control Name	Regulation/Framework	Control Description	Control Owner	Status	Evidence Link	Risk Rating (1-5)
C2	Data Privacy Policy	HIPAA	Protect patient health information and maintain confidentiality	Compliance Officer	Pending	Link_to_evidence	5

Grouping by Status

Row Labels	Count of Control ID
Implemented	4
In Progress	1
Pending	1
Grand Total	6

Aggregation (Total, Average, Maximum and Minimum of Risk Ratings)

Row Labels	Sum of Risk Rating (1-5)	Average of Risk Rating (1-5)2	Max. of Risk Rating (1-5)2	Min. of Risk Rating (1-5)2
Implemented	13	3.25	4	2
In Progress	3	3	3	3
Pending	5	5	5	5
Grand Total	21	3.5	5	2

Pie Chart for Status distribution

Count of Control ID

Total

Status: Implemented, In Progress, Pending
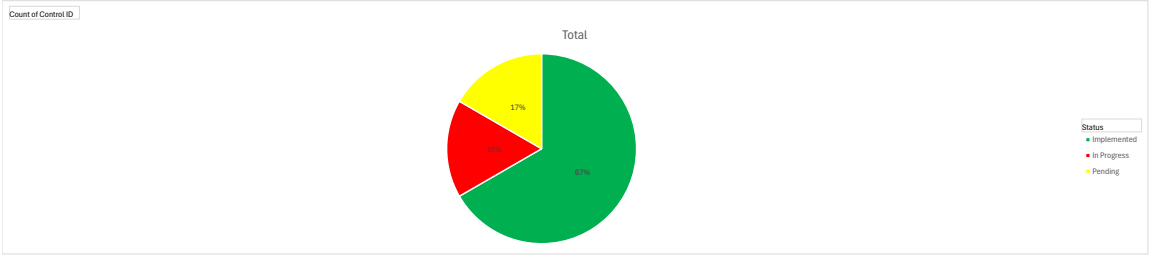
Bar Chart for Risk Ratings by Status

Row Labels	Sum of Risk Rating (1-5)
Implemented	13
In Progress	3
Pending	5
Grand Total	21

Sum of Risk Rating (1-5)

Total