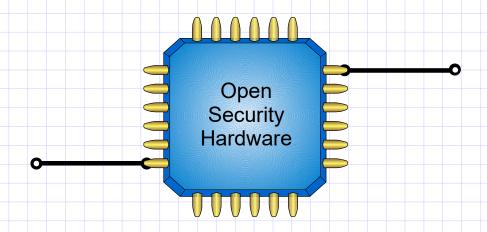


SKS (Secure Key Store) API and Architecture



Disclaimer. This is a system in development. That is, the specification may change without notice.

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1 Introduction

This document describes the API (Application Programming Interface) and architecture of a system called SKS (Secure Key Store). SKS is essentially an enhanced smart card that is optimized for *secure*, *reliable*, and *user-friendly on-line provisioning* and *life-cycle management* of cryptographic keys.

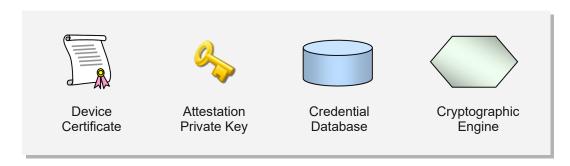
In addition to PKI and symmetric keys (including OTP applications), SKS also supports arbitrary key attributes which for example can support novel schemes for identity federation and payment networks.

The primary objective with SKS and the related specifications is *establishing two-factor authentication as a viable alternative for any provider* by making the scheme a standard feature in the "Universal Client", the Internet browser.

2 Core Functionality

2.1 Architecture

Below is a picture showing the core components in the SKS architecture:



The *Device Certificate* forms together with a matching *Attestation Private Key* the foundation for the session mechanism that facilitates secure provisioning of keys, also when the provisioning middleware and network are non-secure.

The *Credential Database* holds keys and other data that is related to keys such as protection and extension objects. It also keeps the provisioning state.

The *Cryptographic Engine* performs in addition to standard cryptographic operations on private and secret keys, the core of the provisioning operations which from an API point-of-view are considerably more complex than the former.

A vital part of the *Cryptographic Engine* is a high quality random number generator since the integrity of the entire provisioning scheme is relying on this.

All operations inside of an SKS are supposed to be protected from tampering by malicious external entities but the degree of *internal* protection may vary depending on the environment that the SKS is running in. That is, an SKS housed in a smart card which may be inserted in an arbitrary computer must keep all data within its protected memory, while an SKS that is an integral part of a mobile phone processor *may* store credential data in the same external Flash memory where programs are stored, but sealed by a CPU-resident "Master Key".

2.2 Provisioning API

Although SKS may be regarded as a "component", it actually comprises of three associated pieces: The KeyGen2 protocol, the SKS architecture, and the provisioning API described in this document. These items are *tightly matched* which is more or less a prerequisite for *large-scale*, *secure* and *interoperable* ecosystems of cryptographic keys. Also see KeyGen2 Proxy.

One of the core features of the SKS Provisioning API is enabling independent issuers securely *sharing* a single "Key Ring", which is particularly suited for mobile phones with embedded "Trusted Hardware".

2.3 User API

In this document "User API" refers to operations that are required by security applications like TLS client-certificate authentication, S/MIME, Kerberos and payment authorization systems.

The User API is not a core SKS facility but its implementation is anyway **recommended** to facilitate adoption.

The described User API is fully mappable to the subset of CryptoAPI, PKCS #11, and JCE that the majority of current PKI-using applications rely on.

The standard User API does not utilize authenticated sessions like featured in TPM 2.0 because this is a *local security option*, which is independent of the *network centric* Provisioning API.

If another User API is used the only requirement is that the key objects created by the provisioning API, are compatible with the former.

2.4 Security Model

Since the primary target for SKS is authentication to arbitrary service providers on the Internet, the security model is quite different to traditional multi-application card schemes like GlobalPlatform. In practical terms this means that it is the *user* who grants an issuer the right to create keys in the SKS. That is, there are no preconfigured "Security Domains".

However, an issuer may during a provisioning session define a VSD (Virtual Security Domain) which enables *post provisioning* (update) operations by the issuer, while cryptographically shielding provisioned data from similar actions by other issuers.

When using KeyGen2 the grant operation is performed through a GUI dialog triggered by an issuer request, which in turn is the result of the user browsing to an issuer-related web address.

The SKS itself only trusts inbound data that can securely be derived from a session key created in the initial phase of a provisioning session. See createProvisioningSession.

The session key scheme is conceptually similar to GlobalPlatform's SCP (Secure Channel Protocol) but details differ because KeyGen2 uses an on-the-wire JSON format requiring encoding/decoding by the middleware, rather than raw APDUs.

Regarding who trusts an SKS, this is effectively up to each issuer to decide and may be established anytime during an enrollment procedure. Trust in an SKS can be highly granular like only accepting requests from preregistered units or be fully open ended where any SKS complaint device is accepted. A potentially useful issuer policy would be specifying a set of endorsed SKS brands, presumably meeting some generally recognized certification level like EAL5.

Many smart card schemes depend on roles like SO (Security Officer) which squarely matches scenarios where users are associated with a *multitude of independent service providers*. By building on an E2ES (End To End Security) model, the *technical* part of the SO role, exclusively becomes an affair between the SKS and the *remote* issuers, *where each issuer is confined to their own virtual cards and SO policies*.

Also see Security Considerations and Privacy Enabled Provisioning.

2.5 Transaction Based Operation

An important characteristic for maintaining integrity and robustness is that provisioning and management operations either succeed or leave the system intact. This is accomplished by *deferring* the actual "commit" of container-modifying operations until the terminating closeProvisioningSession call.

Ideally an SKS container should be able dealing with power-failures regardless when they occur.

2.6 Privacy Enabled Provisioning

Note: Credential *provisioning* and credential *usage* (at least when the issuer is independent of the relying party), *represent two entirely different scenarios from a privacy point of view*.

Although a one-size-fits-all approach would be nice, it seems that the span of Internet-related services motivates a design that supports on-line identity schemes where issuers have (often quite substantial) knowledge about users, as well as close to fully anonymous relationships.

The "Standard" E2ES (End To End Security) mode which exploits the SKS Device Certificate and Attestation Private Key in the provisioning API, is intended to suit the needs of banks, employers, governments, and high-security third-party identity providers.

The PEP (Privacy Enabled Provisioning) mode is identical to the E2ES mode, with the exception that the identity of the SKS is excluded. A valid question is if the PEP mode is equally secure as the E2ES mode. The simple answer to that is a clear "No", since the issuer neither learns the type (=quality, brand), nor the identity of the SKS.

However, from a *user's horizon* the PEP mode is as secure and trustworthy as the E2ES mode as long as the client platform is intact and the correct issuer enrollment URL is used. After provisioning there are no security differences whatsoever between the two modes.

The PEP mode is selected by the privacyEnabled parameter of createProvisioningSession.

Due to the fact that the "Standard" mode potentially affects the user's privacy, it is **recommended** that such requests are equipped with an appropriate user alert notice in the GUI

2.7 Device ID

Since the exposed identity of the SKS container is dependent on the mode as described in the previous section, the affected provisioning methods refer to a "Device ID" which is the literal string "Anonymous" or the X.509 DER format of the Device Certificate for the Privacy Enabled Provisioning and E2ES mode respectively.

2.8 Backward Compatibility

A question that arises is of course how compatible the SKS <u>Provisioning API</u> is with respect to existing protocols, APIs, and smart cards. The answer is simply: NOT AT ALL due to the fact that current schemes do *generally* not support secure on-line provisioning and key life-cycle management directly towards end-users.

In fact, smart cards are almost exclusively personalized by more or less proprietary software under the supervision of card administrators or performed in automated production facilities.

Note: unlike 7816-compatible smart cards, an SKS exposes no visible file system, only objects.

Although the lack of compatibility with the current state-of-the-art ("nothing"), may be regarded as a major short-coming, the good news is that SKS by separating key provisioning from actual usage, *does neither require applications nor cryptographic APIs to be rewritten*.

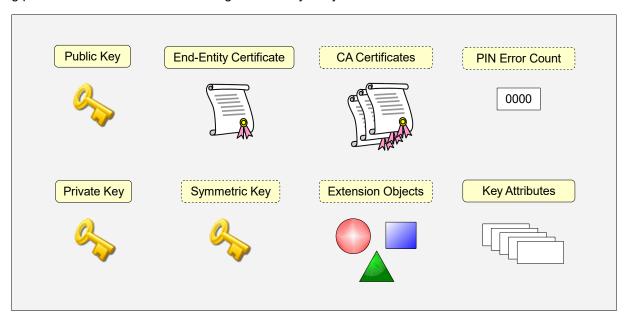
3 Objects

The SKS API (as well as its companion protocol KeyGen2), presumes that objects are arranged in a specific fashion. At the heart of the system there are the typical cryptographic keys intended for user authentication, signing etc., but also dedicated keys supporting life-cycle management and of user keys and attributes.

All provisioned user keys, including symmetric dittos (see importSymmetricKey), are identified by X.509 certificates. The reason for this somewhat unusual arrangement is that this enables *universal key IDs* as well as *secure remote object management by independent issuers*. See Remote Key Lookup.

3.1 Key Entries

The following picture shows the elements forming an SKS key entry:

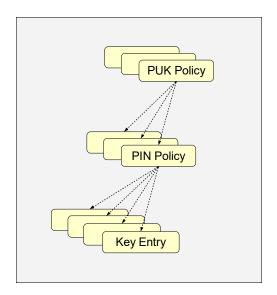


| Element | Description | |
|------------------------|---|--|
| Public Key | Public part of the asymmetric key-pair created by createKeyEntry | |
| Private Key | Private part of the asymmetric key-pair created by createKeyEntry | |
| End-Entity Certificate | X.509 certificate set by the <i>mandatory</i> call to setCertificatePath | |
| Symmetric Key | Optional symmetric key defined by calling importSymmetricKey | |
| CA Certificates | Optional X.509 CA certificates defined during the call to setCertificatePath | |
| Extension Objects | Optional extension objects defined by calling addExtension | |
| PIN Error Count | Optional counter for keys protected by a PIN policy object. See createPinPolicy | |
| Key Attributes | Attributes defined during the call to createKeyEntry | |

Note that key management operations always involve an entire key entry; individual elements cannot be managed.

3.2 PIN and PUK Objects

Keys can *optionally* be protected by PIN-codes ("passphrases"). PIN-protected keys maintain separate PIN error counters, but a single PIN policy object may govern multiple keys. A PIN policy and its associated keys can in turn be supplemented by an optional PUK (PIN Unlock Key) policy object that can be used to reset error-counters that have passed the limit as defined by the PIN policy. Below is an illustration of the SKS protection object hierarchy:



For the creation of protection objects, see createPukPolicy, createPinPolicy and createKeyEntry.

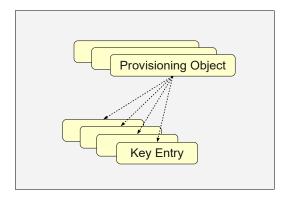
For an example how KeyGen2 deals with this structure, see KeyCreationRequest.

Note that the set of keys bound to a particular PIN policy object "owns" the PIN policy object which means that when the *last* key of such a set has been deleted, the PIN policy object itself **must** be *automatically* deleted (by **postDeleteKey** and **deleteKey**). The very same principle is also valid for PUK policy objects. Due to this there are no specific PIN or PUK delete methods.

An embedded SKS may also support a device (system-wide) PIN and PUK. See devicePinProtection. Usage and management of device PINs and PUKs is out of scope for the SKS API.

3.3 Provisioning Objects

The following picture shows how provisioning objects "own" the keys they have provisioned:



For detailed information concerning the contents of a provisioning object see createProvisioningSession.

Note that when the *last* key owned by a provisioning object has been deleted, the provisioning object itself **must** be *automatically* deleted (by closeProvisioningSession and deleteKey).

If a keyManagementKey is deployed during provisioning object creation (establishing a VSD), *post-provisioning operations* can also be performed. See postDeleteKey, postUnlockKey, postUpdateKey, and postCloneKeyProtection. Also see updateKeyManagementKey.

4 Algorithm Support

4.1 Mandatory Algorithms

Algorithm support in SKS **must** as a *minimum* include the following items:

| URI | Description |
|-----|-------------|
| | |

| Symmetric Key Encryption | | |
|--|--|--|
| http://www.w3.org/2001/04/xmlenc#aes128-cbc | | |
| http://www.w3.org/2001/04/xmlenc#aes192-cbc | See XML Encryption. Note that IV must be <i>internally</i> generated as well as prepended to encrypted data | |
| http://www.w3.org/2001/04/xmlenc#aes256-cbc | generated as their as properties a site space data | |
| https://webpki.github.io/sks/algorithm#aes.cbc | See FIRS 407 Support for 129 402 and 256 hit keys | |
| https://webpki.github.io/sks/algorithm#aes.ecb.nopad | See FIPS 197. Support for 128, 192, and 256-bit keys | |

| HMAC Operations | |
|--|-------------------|
| http://www.w3.org/2000/09/xmldsig#hmac-sha1 | |
| http://www.w3.org/2001/04/xmldsig-more#hmac-sha256 | See VMI Cignoture |
| http://www.w3.org/2001/04/xmldsig-more#hmac-sha384 | See XML Signature |
| http://www.w3.org/2001/04/xmldsig-more#hmac-sha512 | |

| Asymmetric Key Encryption | | |
|--|---|----------------------|
| https://webpki.github.io/sks/algorithm#rsa.es.pkcs1_5 | s/sks/algorithm#rsa.es.pkcs1_5 See RFC 3447 | |
| https://webpki.github.io/sks/algorithm#rsa.oaep.sha1 | Hash function = mgf1 function. Decryption mode | Decryption mode only |
| https://webpki.github.io/sks/algorithm#rsa.oaep.sha256 | No explicit argument | |

| Diffie-Hellman Key Agreement | |
|---|---|
| https://webpki.github.io/sks/algorithm#ecdh.raw | See SP800-56A ECC CDH primitive (Section 5.7.1.2) |

| Asymmetric Key Signatures | | |
|---|-------------------------------------|-------------------|
| http://www.w3.org/2001/04/xmldsig-more#rsa-sha256 | See XML Signature Signing mode only | |
| http://www.w3.org/2001/04/xmldsig-more#rsa-sha384 | | |
| http://www.w3.org/2001/04/xmldsig-more#rsa-sha512 | | |
| http://www.w3.org/2001/04/xmldsig-more#ecdsa-sha256 | | Cigning made only |
| http://www.w3.org/2001/04/xmldsig-more#ecdsa-sha384 | | Signing mode only |
| http://www.w3.org/2001/04/xmldsig-more#ecdsa-sha512 | | |
| https://webpki.github.io/sks/algorithm#rsa.pkcs1.none | See signHashedData | |
| https://webpki.github.io/sks/algorithm#ecdsa.none | | |

Note that the *binary* encoding of signature values **must** be in accordance with XML Signature which for ECDSA differs from for example OpenSSL and JCE.

| Asymmetric Key Generation | | |
|---|------------------|--------------------|
| https://webpki.github.io/sks/algorithm#rsa1024 | RSA 1024-bit key | Implicit exponent: |
| https://webpki.github.io/sks/algorithm#rsa2048 | RSA 2048-bit key | 65537 |
| https://webpki.github.io/sks/algorithm#ec.nist.p256 | EC NIST "P-256" | See FIPS 186-4 |
| https://webpki.github.io/sks/algorithm#ec.nist.p384 | EC NIST "P-384" | |
| https://webpki.github.io/sks/algorithm#ec.nist.p521 | EC NIST "P-521" | |

Supported algorithms can be acquired by calling getDeviceInfo.

Note: RSA "multi-prime" keys are *not* supported by this specification.

4.2 Special Algorithms

Special algorithms are unique to SKS:

| Special Algorithms | |
|--|---|
| https://webpki.github.io/sks/algorithm#session.1 | See createProvisioningSession |
| https://webpki.github.io/sks/algorithm#key.1 | See createKeyEntry |
| https://webpki.github.io/sks/algorithm#none | See createKeyEntry and importSymmetricKey |

4.3 Optional Algorithms

The following algorithms are defined but are optional:

| Asymmetric Key Generation | | | | |
|--|-----------------------|----------------------|--|--|
| https://webpki.github.io/sks/algorithm#rsa3072 | RSA 3072-bit key | Implicit exponent: | | |
| https://webpki.github.io/sks/algorithm#rsa4096 | 0.550.7 | | | |
| https://webpki.github.io/sks/algorithm#rsa1024.exp | RSA 1024-bit key | | | |
| https://webpki.github.io/sks/algorithm#rsa2048.exp | RSA 2048-bit key | Variable exponent | | |
| https://webpki.github.io/sks/algorithm#rsa3072.exp | RSA 3072-bit key | See keyParameters | | |
| https://webpki.github.io/sks/algorithm#rsa4096.exp | RSA 4096-bit key | , | | |
| https://webpki.github.io/sks/algorithm#ec.brainpool.p256r1 | EC Brainpool "P256r1" | See RFC 5639 | | |
| https://webpki.github.io/sks/algorithm#ec.secg.p256k | EC "secp256k1" | See https://secg.org | | |

Note that the KeyGen2 samples use JOSE algorithm-IDs when there is such a counterpart available.

5 Protection Attributes

The following section describes the attributes issuers need to set for defining suitable key protection policies. Also see getKeyProtectionInfo, keyManagementKey, devicePinProtection, and enablePinCaching.

During provisioning of *user-defined PINs*, the provisioning middleware **should** maintain the PIN policy and optionally ask the user to create another PIN if there is a policy mismatch because <u>createKeyEntry</u> **must** return an error and abort the entire session if fed with incorrect data. Also see <u>KeyGen2 Proxy</u>.

In addition to protection policies, a key may also be constrained with respect to algorithm usage. See endorsedAlgorithms.

5.1 Export Protection

The following table illustrates the use of the exportProtection attribute:

| KeyGen2 Name | Value | Description |
|----------------|-------|---|
| none | 0x00 | No authorization needed for exporting the key |
| pin | 0x01 | Correct PIN is required |
| puk | 0x02 | Correct PUK is required |
| non-exportable | 0x03 | The key must not be exported |

Also see exportKey.

5.2 Delete Protection

The following table illustrates the use of the deleteProtection attribute:

| KeyGen2 Name | Value | Description |
|---------------|-------|------------------------------------|
| none | 0x00 | No delete restrictions apply |
| pin | 0x01 | Correct PIN is required |
| puk | 0x02 | Correct PUK is required |
| non-deletable | 0x03 | The key must not be deleted |

Also see deleteKey.

5.3 Biometric Protection

An SKS **may** also support using biometric data as an alternative or complement to PINs. See **getDeviceInfo**. The following table shows the biometric protection options as defined by the **biometricProtection** policy attribute:

| KeyGen2 Name | Value | Description |
|--------------|-------|--|
| none | 0x00 | No biometric protection |
| alternative | 0x01 | The key may be authorized with a PIN <i>or</i> by biometrics |
| combined | 0x02 | The key is protected by a PIN <i>and</i> by biometrics |
| exclusive | 0x03 | The key is <i>only</i> protected by biometrics |

Note that there is no API support for biometric authentication, such information is typically provided out of band. The type of biometrics used is outside the scope of SKS and is usually established during enrollment.

The biometric protection option is only intended to be applied to User API methods like signHashedData.

5.4 PIN Input Methods

The inputMethod policy attribute tells how PINs should be inputted to the SKS according to the following table:

| KeyGen2 Name | Value | Description |
|--------------|-------|---|
| any | 0x00 | No restrictions |
| programmatic | 0x01 | PINs should only be given through the SKS User API |
| trusted-gui | 0x02 | Keys should only be used through a trusted GUI that does the actual PIN request and API invocation |

Note that this policy attribute requires that the middleware is "cooperative" to be enforced.

5.5 PIN Patterns

The patternRestrictions policy attribute specifies how PINs **must** be designed according to the following table:

| KeyGen2 Name | Value | Description | |
|----------------|-------|---|--|
| two-in-a-row | 0x01 | Flags 1124 as <i>invalid</i> | |
| three-in-a-row | 0x02 | Flags 1114 as invalid | |
| sequence | 0x04 | Flags 1234, 9876, etc as <i>invalid</i> | |
| repeated | 0x08 | All PIN bytes must be <i>unique</i> | |
| missing-group | 0x10 | The PIN format must be alphanumeric or string and contain a mix of <i>letters</i> and <i>digits</i> . The string format also requires <i>lowercase</i> letters and <i>non-alphanumeric</i> characters. See PIN and PUK Formats | |

Note that the patternRestrictions byte actually holds a *set of bits*. That is, 0x00 means that there are no pattern restrictions, while 0x06 imposes two constraints. Also note that pattern policy checking is supposed to be applied at the *binary* level which has implications for the binary PIN format (see PIN and PUK Formats).

An alternative for organizations having strict requirements on PIN patterns, it is letting users define PINs during enrollment in a web application and then deploy issuer-set PIN codes during provisioning. See pinValue.

5.6 PIN and PUK Formats

PINs and PUKs **must** adhere to one of formats described in the following table:

| KeyGen2 Name | Value | Description | |
|--------------|-------|---|--|
| numeric | 0x00 | 0 - 9 | |
| alphanumeric | 0x01 | 0 - 9, A - Z | |
| string | 0x02 | Any valid UTF-8 encoded string | |
| binary | 0x03 | Binary value, typically expressed as hexadecimal data | |

Note that format specifiers only deal with how PINs and PUKs are treated in GUIs; internally and in the SKS API, key protection data **must** always be handled as *decoded* strings of bytes. A conforming SKS **must** perform syntax validation during createKeyEntry on numeric and alphanumeric PIN data. Length of the clear-text binary value **must not** exceed 128 bytes. See format attribute in createPinPolicy and createPukPolicy.

5.7 PIN Grouping

A PIN policy object may govern multiple keys. The grouping policy attribute (which is intimately linked to the Application Usage scheme), controls how PINs to the different keys relate to each other according to the following table:

| KeyGen2 Name | Value | Description |
|--|---|---|
| none | 0x00 | No restrictions |
| shared | 0x01 All keys must share the <i>same</i> PIN | |
| signature+standard 0x02 Keys with appUsage = signature must share a common while all other keys must share a different PIN | | Keys with appUsage = signature must share a common PIN while all other keys must share a different PIN |
| unique | 0x03 | All four appUsage types must have <i>different</i> PINs while keys with the same appUsage must share a common PIN |

Note that keys having a shared PIN groping attribute **must** be treated as having a single "virtual" PIN object (holding PIN value and error counter), while signature+standard and unique imply two respectively four independent PIN objects.

Shared PINs require that a PIN value or status change must propagate to all keys sharing the particular PIN.

5.8 Application Usage

The appUsage attribute specifies what applications keys are intended for according to the following table:

| KeyGen2 Name | Value | Description |
|----------------|-------|--|
| signature | 0x00 | The key should only be used in signature applications like S/MIME |
| authentication | 0x01 | The key should only be used in applications like TLS client certificate authentication and login to AD (Active Directory) |
| encryption | 0x02 | The key should only be used in encryption applications |
| universal | 0x03 | There are no restrictions on key usage |

Enforcement of appUsage is up to each application to perform.

Note that appUsage **must not** constrain a key's *internal* use of cryptographic algorithms in any way, because for that purpose there is the endorsedAlgorithm mechanism.

Although appUsage could be be regarded as a duplication of the X.509 key usage and extended key usage attributes the latter have proved hard to use as "filters" to certificate selection GUIs. appUsage is also applicable for other credentials like OTPs (One Time Passwords).

However, an equally important target for appUsage is that in conjunction with PIN Grouping provide the means for aiding users in PIN input GUIs in the case an issuer requires separate PINs for different keys and applications.

The following matrix shows the recommended interpretation of PIN GUI "hints":

| PIN Grouping | signature | authentication | encryption | universal |
|--------------------|---------------|--------------------|----------------|-----------|
| none | PIN | PIN | PIN | PIN |
| shared | PIN | PIN | PIN | PIN |
| signature+standard | Signature PIN | PIN | PIN | PIN |
| unique | Signature PIN | Authentication PIN | Encryption PIN | PIN |

For this scheme to work a prerequisite is (of course) that the middleware is specifically adapted for SKS.

6 Session Security Mechanisms

After the sessionKey has been created the actual provisioning methods can be called. Depending on the specific method downloaded data may be confidential or need to be authenticated. For certain operations the SKS needs to prove for the issuer that sent data indeed stems from internal SKS operations which is referred to as attestations.

This section describes the *default* security mechanisms used during a provisioning session (defined by the SKS properties sessionKeyAlgorithm and keyEntryAlgorithm). See also sessionKeyLimit.

Note that all elements featured in the following definitions **must** be supplied "as is" without length indicators.

6.1 Encrypted Data

During provisioning encrypted data is occasionally exchanged between the issuer and the SKS. The encryption key is created by the following key derivation scheme:

```
EncryptionKey = HMAC-SHA256 (sessionKey, "EncryptionKey")
```

EncryptionKey **must** only be used with the AES256-CBC algorithm. Note that the IV (Initialization Vector) **must** be *prepended* to the encrypted data as in XML Encryption as well as *freshly generated for each encryption*.

6.2 MAC Operations

In order to verify the integrity of provisioned data, many of the provisioning methods mandate that the data-carrying arguments are included in a MAC (Message Authentication Code) operation as well. MAC operations use the following scheme:

```
mac = HMAC-SHA256 (sessionKey || MethodName || macSequenceCounter, Data)
```

MethodName is the string literal of the target method like "closeProvisioningSession", while Data represents the arguments as specified for the actual method. Note that individual elements featured in Data must use the representation described in Data Types, that is, include applicable length-indicators.

After each MAC operation, macSequenceCounter **must** be incremented by one. Due the use of a sequence counter, the provisioning system **must** honor the order of objects as defined by the issuer.

6.3 Attestations

Attestations created by the SKS are identical to MAC Operations where *MethodName* is set to "DeviceAttestation".

6.4 Target Key Reference

In order to perform post provisioning operations the issuer must provide evidence of ownership to keys. *Target Key Reference* denotes a key management authorization signature scheme using the keyManagementKey associated with the "owning" provisioning object of the target key (see Provisioning Objects) according to the following:

```
authorization = Sign (keyManagementKey
target
"TargetKeyReference" || HMAC-SHA256 (sessionKey
current || Device ID,
End-Entity Certificate
target))
```

Notes:

- Sign must use an PKCS #1 RSASSA signature for RSA keys and ECDSA for EC keys with the *private key* associated with keyManagementKey, and utilizing SHA256 as hash function
- An SKS must verify that the signature validates with respect to the public key (keyManagementKey) as well as
 checking that End-Entity Certificate matches targetKeyHandle
- If a keyManagementKey is not present in the target key's provisioning object, the key is considered "not updatable" and the provisioning session must be aborted
- The provisioning session must be aborted if the privacyEnabled flag differs between the original and the updating session.

6.5 Public Key Data

Public key data in SKS is assumed to be in X.509 DER encoded format when exchanged through the API or when used in MAC operations. The latter requires that the format is very strict and *free from extensions*. The following shows two sample keys having the anticipated encoding:

P-256 EC Key

```
ASN.1:
    0000: SEQUENCE
            {
    0002:
              SEQUENCE
                {
    0004:
                  OBJECT IDENTIFIER ecPublicKey (1.2.840.10045.2.1)
    000d:
                  OBJECT IDENTIFIER NIST-P-256 (1.2.840.10045.3.1.7)
                }
    0017:
              BIT STRING, 65 bytes
                04 71 e9 ec 0f 37 0c 12 48 22 78 fc fa 0d 70 7b
                70 3b b0 15 e9 ac 84 01 51 f7 45 0d 55 01 42 83
                c4 c6 af 2b cd ee 9e c0 6d 3e 79 57 12 17 bd 89
                ef b8 0a 88 3d 08 1e 7c e9 c0 76 94 ee de a7 55
                e6
            }
Binary:
    0000: 30 59 30 13 06 07 2a 86 48 ce 3d 02 01 06 08 2a
    0010: 86 48 ce 3d 03 01 07 03 42 00 04 71 e9 ec 0f 37
    0020: 0c 12 48 22 78 fc fa 0d 70 7b 70 3b b0 15 e9 ac
    0030: 84 01 51 f7 45 0d 55 01 42 83 c4 c6 af 2b cd ee
    0040: 9e c0 6d 3e 79 57 12 17 bd 89 ef b8 0a 88 3d 08
    0050: 1e 7c e9 c0 76 94 ee de a7 55 e6
```

RSA 2048 Bit Key

```
ASN.1
   0000: SEOUENCE
   0004:
              SEQUENCE
                {
   0006:
                  OBJECT IDENTIFIER rsaEncryption (1.2.840.113549.1.1.1)
   0011:
                  NULL
                }
   0013:
              BIT STRING, encapsulates
   0018:
                  SEQUENCE
                    {
   001c:
                      INTEGER
                        00 84 55 84 5c 0a ef 69 91 29 48 fe 6a 35 7e fl
                        e0 2e 07 97 6f 06 25 04 cd be 70 f0 91 fa a2 5a
                        ce b7 91 76 dd c8 f7 92 d3 a6 f3 e8 69 d8 de d7
                        d0 ff 4f 77 9c 66 ae 5a c8 a6 a8 a0 66 18 01 8c
                        3e db 15 f3 74 31 c3 53 88 78 ee 2e 8d b2 08 6f
                        da 5e 99 ad 2a b5 5a ac d0 c5 a5 1f 19 25 64 61
                        64 61 f0 26 6e e1 14 17 16 03 78 b0 c7 7b 46 e4
                        91 76 d2 94 le ff b7 ca 42 4a f7 3c 1c b8 07 8c
                        44 23 60 e9 45 47 08 d1 d0 3f ae 2e 8f b1 82 c0
                        9b a6 60 ad 62 40 79 95 c4 ff cc 58 29 46 06 38
                        cc 93 4b 4a fe c6 66 2c f6 4b 81 5c e4 0a c6 8d
                        dd f8 94 be 3b f8 bf 1b a3 3b 98 8e a3 4e bf db
                        2a 5f 46 60 96 5e 57 68 9d 67 76 fa fb 52 a6 6e
                        d7 14 05 82 6d fc ad ea 0c dc 86 ab 04 ff d2 90
                        41 5b b7 fb fd 89 a9 3a d3 65 4e de 4d bc 7d 08
                        4c 6a 87 0f ec 95 91 d9 82 d3 de 46 4c d3 e5 93
                        17
                      INTEGER 65537
   0121:
                    }
                }
            }
Binary:
   0000: 30 82 01 22 30 0d 06 09 2a 86 48 86 f7 0d 01 01
   0010: 01 05 00 03 82 01 0f 00 30 82 01 0a 02 82 01 01
   0020: 00 84 55 84 5c 0a ef 69 91 29 48 fe 6a 35 7e fl
   0030: e0 2e 07 97 6f 06 25 04 cd be 70 f0 91 fa a2 5a
   0040: ce b7 91 76 dd c8 f7 92 d3 a6 f3 e8 69 d8 de d7
   0050: d0 ff 4f 77 9c 66 ae 5a c8 a6 a8 a0 66 18 01 8c
   0060: 3e db 15 f3 74 31 c3 53 88 78 ee 2e 8d b2 08 6f
   0070: da 5e 99 ad 2a b5 5a ac d0 c5 a5 1f 19 25 64 61
   0080: 64 61 f0 26 6e e1 14 17 16 03 78 b0 c7 7b 46 e4
   0090: 91 76 d2 94 le ff b7 ca 42 4a f7 3c 1c b8 07 8c
   00a0: 44 23 60 e9 45 47 08 d1 d0 3f ae 2e 8f b1 82 c0
   00b0: 9b a6 60 ad 62 40 79 95 c4 ff cc 58 29 46 06 38
   00c0: cc 93 4b 4a fe c6 66 2c f6 4b 81 5c e4 0a c6 8d
   00d0: dd f8 94 be 3b f8 bf 1b a3 3b 98 8e a3 4e bf db
   00e0: 2a 5f 46 60 96 5e 57 68 9d 67 76 fa fb 52 a6 6e
   00f0: d7 14 05 82 6d fc ad ea 0c dc 86 ab 04 ff d2 90
   0100: 41 5b b7 fb fd 89 a9 3a d3 65 4e de 4d bc 7d 08
   0110: 4c 6a 87 0f ec 95 91 d9 82 d3 de 46 4c d3 e5 93
   0120: 17 02 03 01 00 01
```

7 Detailed Operation

This chapter describes the SKS API in detail.

7.1 Data Types

The table below shows the data types used by the SKS API. Note that multi-byte integers **must** be stored in big-endian fashion whenever they are *serialized* like in MAC Operations. See also Method List.

| Туре | Length | Description |
|--------|------------|---|
| byte | 1 | Unsigned byte (0 - 0xFF) |
| bool | 1 | Byte containing 0x01 (true) or 0x00 (false) |
| ushort | 2 | Unsigned two-byte integer (0 - 0xFFFF) |
| uint | 4 | Unsigned four-byte integer (0 - 0xFFFFFFF) |
| byte[] | 2 + length | Array of bytes with a leading "ushort" holding the length of the data |
| blob | 4 + length | Long array of bytes with a leading "uint" holding the length of the data |
| id | 2 + length | Special form of byte[] which must contain an 1-32 byte string with a character set restricted to printable ASCII (0x21 - 0x7E) |
| uri | 2 + length | UTF-8 encoded URI which must not exceed 1000 bytes |
| string | 2 + length | UTF-8 encoded string with arbitrary content |

If an array is followed by a number in brackets (byte[32]) it means that the array **must** be exactly of that length.

Variables and literals that represent textual data **must** be UTF-8 encoded and *not* include terminating null characters; they are in this specification considered equivalent to byte[].

Note that length indicators are only applicable to *array objects* when included in MAC Operations, and when they are *serialized*.

7.2 Return Values

All methods return a single-byte status code. In case return status is <> 0 there is an error and any expected succeeding values **must not** be read as they are not supposed to be available. Instead there **must** be a second return value containing a UTF-8 encoded description in *English* to be used for logging and debugging purposes as shown below:

| Name | Туре | Description |
|-------------|--------|--|
| status | byte | Non-zero (error) value |
| ErrorString | String | A human-readable error-description with length <= 2000 bytes |

7.3 Error Codes

The following table shows the standard SKS error-codes:

| Name | Value | Description |
|---------------------|-------|--|
| ERROR_AUTHORIZATION | 0x01 | Non-fatal error returned when there is something wrong with a supplied PIN or PUK code. See getKeyProtectionInfo |
| ERROR_NOT_ALLOWED | 0x02 | Operation is not allowed |
| ERROR_STORAGE | 0x03 | No persistent storage available for the operation |
| ERROR_MAC | 0x04 | MAC does not match supplied data |
| ERROR_CRYPTO | 0x05 | Various cryptographic errors |
| ERROR_NO_SESSION | 0x06 | Provisioning session not found |
| ERROR_NO_KEY | 0x07 | Key not found |
| ERROR_ALGORITHM | 0x08 | Unknown or non-matching algorithm |
| ERROR_OPTION | 0x09 | Invalid or unsupported option |
| ERROR_INTERNAL | 0x0A | Internal error |
| ERROR_EXTERNAL | 0x0B | External error like communication link failure |
| ERROR_USER_ABORT | 0x0C | User aborted PIN input or similar |
| ERROR_NOT_AVAILABLE | 0x0D | External error when a requested SKS is unavailable |

7.4 Method List

This section provides a list of the SKS methods. The number in square brackets denotes the *decimal value* used to identify the method in a call. Method calls are formatted as strings of bytes where the first byte holds the method ID and the succeeding bytes the applicable argument data. User API methods have method IDs ≥ 100.

Note: The described API is adapted for an SKS using low-level byte-streams for communication. However, the SKS design is equally applicable to API schemes using high-level objects and exceptions. The only thing that **must** remain intact are the cryptographic operations including how objects are represented in MACs.

Note that a keyHandle in this specification always refers to a key entry. See Key Entries.

getDeviceInfo [1]

Input

| Name | Туре | Description |
|---|------|-------------|
| This method does not have any input arguments | | |

Output

| Name | Туре | Description |
|--------------------|--------|--|
| status | byte | See Return Values |
| apiLevel | ushort | 100 (1.00) => Applies to this API specification |
| deviceType | byte | Holds basic device data. See deviceType |
| updateUrl | uri | HTTP or HTTPS URL pointing to a firmware update service or a zero length array. See updateFirmware |
| vendorName | string | String of 1-128 <i>characters</i> holding the name of the vendor |
| vendorDescription | string | String of 1-1000 <i>characters</i> holding a vendor description of the SKS device |
| certificate | byte[] | Non zero list of DER encoded X.509 certificate objects |
| supportedAlgorithm | uri | Non zero list of algorithm URIs. See Algorithm Support |
| cryptoDataSize | uint | Maximum number of bytes in the data argument of cryptographic methods |
| extensionDataSize | uint | Maximum size of extensionData objects |
| devicePinSupport | bool | True if the SKS supports a device PIN. See createKeyEntry |
| biometricSupport | bool | True if the SKS supports biometric authentication options. See Biometric Protection |

getDeviceData lists the core characteristics of an SKS which is used by provisioning schemes like KeyGen2.

The certificate objects must form an *ordered* and *contiguous* certificate path so that the *first* object contains the actual SKS Device Certificate. The path does though not have to be complete (include all upper-level CAs).

A compliant SKS must support extensionData objects with a size of at least 65536 bytes.

A compliant SKS **must** support a **cryptoDataSize** of at least 16384 bytes.

The **deviceType** property holds a set of fields according to the following table:

| Bit | Value | Label | Description |
|-----|-------|-------------------|-------------------------------------|
| | 0x00 | LOCATION_EXTERNAL | Connected device |
| 0-1 | 0x01 | LOCATION_EMBEDDED | Embedded in the client platform |
| 0-1 | 0x02 | LOCATION_SOCKETED | Mounted inside a socket |
| | 0x03 | LOCATION_SIM | SIM/USIM card |
| | 0x00 | TYPE_SOFTWARE | Software implementation |
| 2-3 | 0x04 | TYPE_HARDWARE | Unqualified hardware implementation |
| 2-3 | 0x08 | TYPE_HSM | Hardware Security Module |
| | 0x0C | TYPE_CPU | Implemented inside of the main CPU |
| 4-7 | - | - | - |

createProvisioningSession [2]

Input

| Name | Туре | Description |
|---------------------|--------|---|
| sessionKeyAlgorithm | uri | Session creation algorithm URI. See next page |
| privacyEnabled | bool | If true the PEP (Privacy Enabled Provisioning) mode must be honored |
| serverSessionId | id | Server-created provisioning ID which should be unique for each session |
| serverEphemeralKey | byte[] | Server-created ephemeral ECDH key. See serverEphemeralKey |
| issuerUri | uri | URI associated with the issuer. See issuerUri |
| keyManagementKey | byte[] | Key management key or zero length array. See keyManagementKey |
| clientTime | uint | Locally acquired time in UNIX "epoch" format in seconds. See clientTime |
| sessionLifeTime | ushort | Validity of the provisioning session in seconds. See sessionLifeTime |
| sessionKeyLimit | ushort | Upper limit of sessionKey operations. See sessionKeyLimit |
| serverCertificate | byte[] | Locally acquired X.509 server certificate for the issuing server |

Output

| Name | Type | Description |
|--------------------|--------|--|
| status | byte | See Return Values |
| clientSessionId | id | SKS-created provisioning ID which must be unique for each session |
| clientEphemeralKey | byte[] | SKS-created ephemeral ECDH key. See clientEphemeralKey |
| attestation | byte[] | Session creation attestation signature |
| provisioningHandle | uint | Non-zero local handle to created provisioning session |

createProvisioningSession establishes a *persistent session key* that is only known by the issuer and the SKS for usage in subsequent provisioning steps. In addition, the SKS is *optionally* authenticated by the issuer.

Shown below is the mandatory to support SKS session key creation algorithm:

https://webpki.github.io/sks/algorithm#session.1

- Generate a for this SKS unique clientSessionId
- Output clientSessionId
- Generate an ephemeral ECDH key-pair EKP using the same named curve as serverEphemeralKey
- Output clientEphemeralKey = EKP.publicKey
- Apply the SP800-56A ECC CDH primitive on EKP.privateKey and serverEphemeralKey creating a shared secret z
- Define internal variable: byte[32] sessionKey

```
    Set sessionKey = HMAC-SHA256 (z, clientSessionId || // KDF (Key Derivation Function)
        serverSessionId ||
        issuerUri ||
        Device ID)
```

- Define internal variable: ushort macSequenceCounter and set it to zero
- Store sessionKey, sessionKeyAlgorithm, privacyEnabled, macSequenceCounter, clientSessionId, serverSessionId, issuerUri, keyManagementKey, clientTime, sessionLifeTime and sessionKeyLimit in the Credential Database and return a handle to the database entry in provisioningHandle
- Output provisioningHandle

Note that individual elements featured in the *arguments* (e.g. clientSessionId) of the Sign and HMAC operations **must** be represented as described in Data Types.

See also Public Key Data.

Creation of a session key is an atomic operation.

Remarks

If any succeeding operation in the same provisioning session, is regarded as incorrect by the SKS, the session **must** be terminated and removed from internal storage including all associated data created in the session.

An SKS should only constrain the number of simultaneous sessions due to lack of storage.

A provisioning session **should not** be terminated due to power down of an SKS.

sessionKeyAlgorithm defines the creation of sessionKey but also the integrity, confidentiality, and attestation mechanisms used during the provisioning session. See Session Security Mechanisms.

Using KeyGen2 issuerUri is the URL which invoked ProvisioningInitializationRequest.

serverEphemeralKey and clientEphemeralKey must match the elliptic curve capabilities given by getDeviceInfo.

In the E2ES mode the Sign function's attestationKey is the Attestation Private Key (see Architecture) and must use PKCS #1 RSASSA signatures for RSA keys and ECDSA for EC keys with SHA256 as the hash function. The distinction between RSA and ECDSA keys is performed through the Device Certificate (see getDeviceInfo) which in KeyGen2 is supplied as well as a part of the response to the issuer.

In the Privacy Enabled Provisioning mode the Sign function **must** use HMAC-SHA256 with sessionKey as the attestationKey.

provisioningHandle must be static, unique and never be reused.

The clientTime attribute is gathered by the local provisioning middleware and is typically derived from the operating system clock. When clientTime is transferred through a protocol such as KeyGen2 it must always as a minimum have seconds resolution otherwise serious interoperability issues will occur. Possible milliseconds must though be truncated during the HMAC calculation. clientTime should be interpreted as a 32-bit unsigned integer to cope with the Y2038 problem.

It is **recommended** setting **sessionLifeTime** as low as possible to enable efficient automatic "cleanup" of possible aborted provisioning sessions.

The sessionKeyLimit attribute must be large enough to handle all sessionKey related operations required during the rest of the provisioning session, otherwise the session must be terminated. See Session Security Mechanisms. Note that methods like importSymmetricKey and postDeleteKey actually use two sessionKey operations.

A keyManagementKey must be supplied if provisioned objects should be *updatable in a future session* (see postDeleteKey, postUnlockKey, postUpdateKey, and postCloneKeyProtection), else this item must be a zero-length array.

A keyManagementKey must either be an RSA or an ECDSA public key, compatible with the SKS Algorithm Support.

serverCertificate holds the X.509 DER formatted issuer server certificate retrieved during KeyGen2 initialization (through the HTTPS connection).

When using KeyGen2 the *input* to createProvisioningSession is expressed as shown (in the E2ES mode) below:

```
{
  "@context": "https://webpki.github.io/keygen2",
  "@qualifier": "ProvisioningInitializationRequest",
  "serverSessionId": "14153858604BE5OTXkwbax23nslxS3gh",
  "serverTime": "2020-01-08T10:00:17Z",
  "sessionKeyAlgorithm": "https://webpki.github.io/sks/algorithm#session.1",
  "sessionKeyLimit": 50,
  "sessionLifeTime": 10000,
  "serverEphemeralKey": {
     "kty": "EC",
    "crv": "P-256",
     "x": "INxNvAUEE8t7DSQBft93LVSXxKCiVjhbWWfyg023FCk",
     "y": "LmTIQxXB3LgZrNLmhOfMaCnDizczC RfQ6Kx8iNwfFA"
  },
"keyManagementKey": {
     "kty": "RSA",
    "n": "jvct15zkH0lw2OwFCn ... vPFX7K1GqLdnumNHNrY1YQ", "e": "AQAB"
  }
}
```

Notes:

The keyManagementKey object is optional. See also updateKeyManagementKey.

serverTime is simply a reference and possible "sanity control" for the client.

When using KeyGen2 the output from createProvisioningSession is translated as shown in the example below:

```
{
  "@context": "https://webpki.github.io/keygen2",
  "@qualifier": "ProvisioningInitializationResponse",
  "serverSessionId": "14153858604BE5OTXkwbax23nslxS3gh",
  "clientSessionId": "QqTIcUH_Md7_i2dP4S5VKYmmYsbUbzGL",
  "serverTime": "2020-01-08T10:00:17Z",
  "clientTime": "2020-01-08T12:00:19+02:00",
  "clientEphemeralKey": {
    "kty": "EC",
    "crv": "P-256",
    "x": "INxNvAUEE8t7DSQBft93LVSXxKCiVjhbWWfyg023FCk",
    "y": "LmTIQxXB3LgZrNLmhOfMaCnDizczC RfQ6Kx8iNwfFA"
  "deviceInfo": {
    "certificatePath": [
       "MIICIzCCAX-gAwIBAgI ... uk9W/uNIHdoyQn19w",
       "MIIDZjCCAk6gAwlBAgl ... xOmZyH10xvpsnmokg",
       "MIIDZjCCAk6gAwlBAgI ... ObXiOInYgeKdK-Dw"
    ]
  "attestation": "Tgzvnr k266LMXinVm ... 7pkJnYipIf9xjOuUJD6OYs"
}
```

Notes:

In the E2ES mode the deviceInfo must be available for verification of the attestation signature as well as for identification of the SKS container. The deviceInfo must supply the full Device Certificate path as provided by getDeviceInfo.

In the Privacy Enabled Provisioning mode the deviceInfo must not be emitted.

serverTime must contain a verbatim copy of the same attribute received in the ProvisioningInitializationRequest.

On the server side the following steps **should** be performed:

Server Response Validation

- Decide if the received deviceInfo featured in the ProvisioningInitializationResponse message is to be accepted/trusted
- Run the the same SP800-56A procedure and KDF as for the SKS but now using clientEphemeralKey and the saved private key of serverEphemeralKey to obtain sessionKey

```
    Perform a Verify (Device Certificate.publicKey,

                                                           // Received
                  attestation.
                                                            // Received (holds a signature)
                  clientSessionId ||
                                                            // Received
                                                            // Saved
                  serverSessionId |
                                                            // Saved
                  issuerUri |
                                                            // Saved
                  Device ID ||
                  sessionKeyAlgorithm ||
                                                           // Saved
                                                           // Saved
                  privacyEnabled |
                                                           // Saved
                  serverEphemeralKey |
                  clientEphemeralKey |
                                                           // Received
                                                           // Saved
                  keyManagementKey ||
                                                           // Received
                  clientTime |
                  sessionLifeTime |
                                                           // Saved
                                                           // Saved
                  sessionKeyLimit |
                  serverCertificate))
                                                           // Known by the issuer
```

If the test above succeeds the issuer server may continue with the actual provisioning process.

Note that in the Privacy Enabled Provisioning mode the deviceInfo does not apply, and the asymmetric key Verify operation is replaced by a comparison between attestation and the output from the HMAC-SHA256.

closeProvisioningSession [3]

Input

| Name | Туре | Description |
|--------------------|----------|---|
| provisioningHandle | uint | Local handle to an <i>open</i> provisioning session |
| nonce | byte[] | Server generated 1-32 byte nonce value |
| mac | byte[32] | Vouches for the integrity and authenticity of the operation |

Output

| Name | Туре | Description |
|-------------|----------|--|
| status | byte | See Return Values |
| attestation | byte[32] | Session terminate success attestation signature. See attestation |

closeProvisioningSession terminates a provisioning session and returns a proof of successful operation to the issuer. However, success status **must** only be returned if *all* of the following conditions are valid:

- There is an open provisioning session associated with provisioningHandle
- The mac computes correctly using the method described in MAC Operations where Data is arranged as follows:

Data = clientSessionId || serverSessionId || issuerUri || nonce

- All generated keys are fully provisioned which means that matching public key certificates have been deployed and checked regarding disallowed duplicates. See setCertificatePath
- endorsedAlgorithm URIs match the provisioned key material with respect to symmetric or asymmetric operations as well as to length. Asymmetric keys are also tested for RSA and EC algorithm compliance
- There are no unreferenced PIN or PUK policy objects. See createPukPolicy and createPinPolicy
- The post provisioning operations succeed during the final commit. See Transaction Based Operation

If verification is successful, closeProvisioningSession must also reassign provisioning session ownership to the current (closing) session for all objects belonging to sessions that have been subject to a post provisioning operation. The original session objects must subsequently be deleted since they have no mission anymore. See also Provisioning Objects.

If verification fails, all objects created in the session **must** be deleted and post provisioning operations **must** be rolled back.

When a provisioning session has been successfully closed by this method, it remains stored until all associated keys have been deleted.

Using KeyGen2 closeProvisioningSession is invoked as the *last step* of ProvisioningFinalizationRequest processing, where sessionCloseData objects holds the associated mac and nonce attributes:

```
{
    "@context": "https://webpki.github.io/keygen2",
    "@qualifier": "ProvisioningFinalizationRequest",
    "serverSessionId": "1417fa0e508YzrfxGeX-w2ByTAKDSy8v",
    "clientSessionId": "fXQrec8rlgUz5XxQkSZKimbiPbb7eM3f",

    Other Message Payload

    "sessionCloseData": {
        "nonce": "NajebxXBmgs1oNj81KzrQBNiAMts-I90kCMJ41QdZhI",
        "mac": "DvhtwgO7fnasR-gouyiReoFGDH2w4Sj6RWZ9SIWJeDQ"
    }
}
```

The attestation object is created by attesting (see Attestations) the following Data:

Data = nonce

See also sessionKeyLimit.

A *successful* KeyGen2 response would only contain the following:

```
{
    "@context": "https://webpki.github.io/keygen2",
    "@qualifier": "ProvisioningFinalizationResponse",
    "serverSessionId": "1417fa0e508YzrfxGeX-w2ByTAKDSy8v",
    "clientSessionId": "fXQrec8rlgUz5XxQkSZKimbiPbb7eM3f",
    "attestation": "acpN8bVJwKZJadlaOsZ-b-7Ky2WRoltP9pFXFD3Nrlo"
}
```

enumerateProvisioningSessions [4]

Input

| Name | Туре | Description |
|--------------------|------|--|
| provisioningHandle | uint | Input enumeration handle |
| provisioningState | bool | If true list only <i>open</i> provisioning sessions. If false list only <i>closed</i> dittos |

Output

| Name | Type | Description |
|---------------------|--------------|--|
| status | byte | See Return Values |
| provisioningHandle | uint | Output enumeration handle |
| The following | g elements i | must not be present if the returned provisioningHandle = 0 |
| sessionKeyAlgorithm | uri | |
| privacyEnabled | bool | |
| keyManagementKey | byte[] | |
| clientTime | uint | San create Provisioning Session |
| sessionLifeTime | uint | See createProvisioningSession |
| serverSessionId | id | |
| clientSessionId | id | |
| issuerUri | uri | |

enumerateProvisioningSessions is primarily intended to be used by provisioning middleware for retrieving handles to *open* provisioning sessions in sessions that are interrupted due to a certification process or similar.

In addition, users of portable SKSes (like smart cards), may carry out provisioning steps on *different* computers through this method.

enumerateProvisioningSessions is also useful for debugging and for "cleaning-up" after failed provisioning sessions.

The input provisioningHandle must initially be set to 0 to start an enumeration round.

Succeeding calls **must** use the output **provisioningHandle** as input to the next call.

When enumerateProvisioningSessions returns with a provisioningHandle = 0 there are no more provisioning objects to read.

abortProvisioningSession [5]

Input

| Name | Туре | Description |
|--------------------|------|---|
| provisioningHandle | uint | Local handle to an <i>open</i> provisioning session |

Output

| Name | Туре | Description |
|--------|------|-------------------|
| status | byte | See Return Values |

abortProvisioningSession is intended to be used by provisioning middleware if an unrecoverable error occurs in the communication with the issuer, or if a user cancels a session. If there is a matching and still *open* provisioning session, all associated data **must** be removed from the SKS, otherwise an error **must** be returned.

updateKeyManagementKey [7]

Input

| Name | Туре | Description |
|--------------------|--------|---|
| provisioningHandle | uint | Local handle to an existing (<i>closed</i>) provisioning session object holding a keyManagementKey that needs to be updated to support post-operations using a new keyManagementKey. See Provisioning Objects |
| keyManagementKey | byte[] | The new keyManagementKey |
| authorization | byte[] | Authorization signature performed by the <i>old</i> keyManagementKey |

Output

| Name | Туре | Description |
|--------|------|-------------------|
| status | byte | See Return Values |

updateKeyManagementKey associates an existing provisioning session object with an updated keyManagementKey. The update must be cryptographically secured by the authorization signature which is created as follows:

For details on allowed signature algorithms and data representation, see Target Key Reference.

The operation **must** be aborted if the **authorization** signature does not verify or if the target provisioning object lacks a **keyManagementKey**.

See also enumerateProvisioningSessions.

The following request shows how updateKeyManagementKey is integrated in KeyGen2:

```
{
  "@context": "https://webpki.github.io/keygen2",
  "@qualifier": "ProvisioningInitializationRequest",
  "serverSessionId": "14182a80df8 4YcBFZmNkVUnAw9losHa",
  "serverTime": "2020-01-08T10:49:13Z",
  "sessionKeyAlgorithm": "https://webpki.github.io/sks/algorithm#session.1",
  "sessionKeyLimit": 50,
  "sessionLifeTime": 10000,
  "serverEphemeralKey": {
     "kty": "EC",
     "crv": "P-256",
     "x": "chrt0S6C3eLbKzbV4R8n1-kKNKHogqbAi4FH3fsDiaQ",
     "y": "WcW6PlkSj3-1GYNu--cdlljTjYtjuhlGEOk6/vv1kTc"
  },
"keyManagementKey": {
     "kty": "EC",
     "crv": "P-256",
     "x": "INxNvAUEE8t7DSQBft93LVSXxKCiVjhbWWfyg023FCk",
     "y": "LmTIQxXB3LgZrNLmhOfMaCnDizczC_RfQ6Kx8iNwfFA"
  "updatableKeyManagementKeys": [{
     "publicKey": {
       "kty": "RSA",
       "n": "kCNcOpatALB21jHrPIv1BgXIUJ . . . pqNo75jsAZIucG9w",
       "e": "AQAB"
    }, "authorization": "Xjzloz0muM8AMjFafySIR . . . 3sLm1Bfkm4XbbdbrvJw"
  }]
}
```

updatableKeyManagementKeys holds an array of old keyManagementKeys which can be upgraded to the heading (current) keyManagementKey if a matching key is found through calls to enumerateProvisioningSessions.

The updatableKeyManagementKeys array can in turn (recursively) also hold an updatableKeyManagementKeys array making it possible to have any number of keyManagementKey generations deployed. To make this feasible, updates must be performed in steps, starting at the oldest level (leaf updatableKeyManagementKeys array).

keyManagementKey updates **must** be done *before* calling createProvisioningSession since open sessions cannot be updated.

createPukPolicy [8]

Input

| Name | Type | Description |
|--------------------|----------|---|
| provisioningHandle | uint | Local handle to an <i>open</i> provisioning session |
| id | id | External name of the PUK policy object. See Object IDs |
| encryptedPuk | byte[] | Encrypted PUK value. See Encrypted Data |
| format | byte | Format of PUK strings. See PIN and PUK Formats |
| retryLimit | ushort | Value [010000] holding the number of incorrect PUK values (<i>in a sequence</i>), forcing the PUK object to permanently lock up. A zero value indicates that there is no limit but that the SKS will introduce an <i>internal</i> 1-10 second delay <i>before</i> acting on an unlock operation in order to thwart exhaustive attacks |
| mac | byte[32] | Vouches for the integrity and authenticity of the operation |

Output

| Name | Туре | Description |
|-----------------|------|--|
| status | byte | See Return Values |
| pukPolicyHandle | uint | Non-zero handle to created PUK policy object |

createPukPolicy creates a PUK policy object in the Credential Database to be referenced by subsequent calls to the createPinPolicy method.

The mac relies on the method described in MAC Operations where Data is arranged as follows:

Data = id || encryptedPuk || format || retryLimit

Note that encryptedPuk is MACed in encrypted form and then decrypted by the SKS before storing.

The purpose of a PUK is to facilitate a master key for unlocking keys that have locked-up due to faulty PIN entries. See unlockKey.

PUK policy objects are not directly addressable after provisioning; in order to read PUK policy data, you need to use an associated key handle as input. See getKeyProtectionInfo.

createPinPolicy [9]

Input

| Name | Туре | Description |
|---------------------|----------|---|
| provisioningHandle | uint | Local handle to an <i>open</i> provisioning session |
| id | id | External name of the PIN policy object. See Object IDs |
| pukPolicyHandle | uint | Handle to a governing PUK policy object or zero |
| userDefined | bool | True if PINs belonging to keys governed by the PIN policy are supposed to be set by the user or by the issuer. See pinValue |
| userModifiable | bool | True if PINs can be changed by the user after provisioning |
| format | byte | Format of PIN strings. See PIN and PUK Formats |
| retryLimit | ushort | Value [110000] holding the number of incorrect PIN values (in a sequence), forcing a key to lock up |
| grouping | byte | See PIN Grouping |
| patternRestrictions | byte | See PIN Patterns |
| minLength | ushort | Minimum decoded PIN length in bytes. See PIN and PUK Formats |
| maxLength | ushort | Maximum decoded PIN length in bytes. See PIN and PUK Formats |
| inputMethod | byte | See PIN Input Methods |
| mac | byte[32] | Vouches for the integrity and authenticity of the operation |

Output

| Name | Туре | Description |
|-----------------|------|--|
| status | byte | See Return Values |
| pinPolicyHandle | uint | Non-zero handle to created PIN policy object |

createPinPolicy creates a PIN policy object in the Credential Database to be referenced by subsequent calls to the createKeyEntry method.

The mac relies on the method described in MAC Operations where Data is arranged as follows:

Data = id || PUKReference || userDefined || userModifiable || format || retryLimit || grouping || patternRestrictions || minLength || maxLength || inputMethod

PUKReference is set to "" if pukPolicyHandle is zero, else it is set to the id of the referenced PUK policy object.

If pukPolicyHandle is zero no PUK is associated with the PIN policy object.

PIN policy objects are not directly addressable after provisioning; in order to read PIN policy data, you need to use an associated key handle as input. See getKeyProtectionInfo.

createKeyEntry [10]

Input

| Name | Type | Description |
|---------------------|----------|--|
| provisioningHandle | uint | Local handle to an <i>open</i> provisioning session |
| id | id | External name of the key. See Object IDs |
| keyEntryAlgorithm | uri | Key generation and attestation algorithm URI. See next page |
| serverSeed | byte[] | Server input to the random number generation process. See serverSeed |
| devicePinProtection | bool | True if the key is to be protected by a <i>device PIN</i> . See PIN and PUK Objects |
| pinPolicyHandle | uint | Handle to a governing PIN policy object or zero. See createPinPolicy |
| pinValue | byte[] | See pinValue, PIN Patterns and PIN Grouping |
| enablePinCaching | bool | True if middleware may cache PINs for this key. See enablePinCaching |
| biometricProtection | byte | See Biometric Protection |
| exportProtection | byte | See Export Protection |
| deleteProtection | byte | See Delete Protection |
| appUsage | byte | See Application Usage |
| friendlyName | string | String of 0-100 characters that will be associated with this key for use in GUIs |
| keyAlgorithm | uri | Algorithm of the key to be created. See Asymmetric Key Generation |
| keyParameters | byte[] | Optional parameter data needed for some algorithms. See keyParameters |
| endorsedAlgorithm | uri | List of 0-255 endorsed algorithm URIs |
| mac | byte[32] | Vouches for the integrity and authenticity of the operation |

Output

| Name | Туре | Description |
|-------------|----------|--|
| status | byte | See Return Values |
| keyHandle | uint | Non-zero local handle to created key entry |
| publicKey | byte[] | Generated public key. See Public Key Data |
| attestation | byte[32] | See attestation |

createKeyEntry generates an asymmetric key-pair according to the issuer's specification. In addition, createKeyEntry creates a key entry (see Key Entries) in the Credential Database where the key-pair and its protection attributes are stored.

The following operations match the mandatory to support key generation and attestation algorithm:

https://webpki.github.io/sks/algorithm#key.1

The mac relies on the method described in MAC Operations where Data is arranged as follows:

PINPolicyReference is set to "" if pinPolicyHandle is zero, else it is set to the id of the referenced PIN policy object.

PINValueReference is set to "" if pinPolicyHandle is zero, or if the PIN is userDefined, else it is set to the encrypted pinValue.

attestation vouches for that generated key-pairs actually reside in the SKS by attesting (see Attestations) keys according to the following *Data* scheme:

```
Data = publicKey | mac
```

Remarks

keyHandle must be *static*, *unique* and *never be reused*. Note that a **keyHandle** returned by **createKeyEntry must not** be featured in User API operations until the associated provisioning session has been closed (see closeProvisioningSession).

Object IDs for createKeyEntry, createPinPolicy and createPukPolicy share a common namespace but the namespace is entirely local to the *provisioning session*. Although only static identifiers are used in the examples, Object IDs may be randomized to increase entropy of MAC Operations.

serverSeed must be a 0-64 byte binary string holding a random number seed. How serverSeed is applied to the random number generation process is unspecified with the exception that a zero-byte input string must not affect the SKS internal random number generation.

For RSA keys with *variable* exponent **keyParameters must** be 1-8 bytes holding a positive big-endian integer, else **keyParameters must** be of zero length.

A non-zero biometricProtection value presumes that the target SKS supports Biometric Protection, otherwise an *error* **must be** returned. See getDeviceInfo.

endorsedAlgorithm URIs must be sorted in ascending alphabetical order before calling createKeyEntry.

endorsedAlgorithm URIs must be checked for compatibility with Algorithm Support.

endorsedAlgorithm compliance must be enforced by the User API.

endorsedAlgorithm URIs must not be checked against actual key material during createKeyEntry. This check must be deferred to closeProvisioningSession.

If no endorsedAlgorithm URIs are specified, the key is only constrained by the key material.

With the special algorithm https://webpki.github.io/sks/algorithm#none (which is only permitted as a single endorsedAlgorithm item), keys must be disabled from executing cryptographic operations through the User API.

A set devicePinProtection presumes that the target SKS supports a "device PUK/PIN", otherwise an *error* must be returned. The characteristics of device PINs are out of scope for the SKS specification. See getDeviceInfo.

devicePinProtection must not be combined with local PIN policy objects.

enablePinCaching must only be used with keys protected by local PIN policy objects having the inputMethod set to "trusted-gui".

pinValue objects must be set by the caller as illustrated by the following pseudo code:

The following JSON object shows a typical key generation (initialization) request in KeyGen2:

```
{
  "@context": "https://webpki.github.io/keygen2",
  "@qualifier": "KeyCreationRequest",
  "serverSessionId": "1417fa0bedb7rjEFGS-BL3RnJoDyh5UZ",
  "clientSessionId": "PpZRTVq2wa-TLvsFJE7GZPASEeEqk4Yz",
  "keyEntryAlgorithm": "https://webpki.github.io/sks/algorithm#key.1",
  "pukPolicySpecifiers": [{
     "id": "PUK.1",
     "retryLimit": 3,
     "format": "numeric",
     "encryptedPuk": "xkELvWmx-nHdemfJltY-KmcArGNTsusM7jATLHKHC5U",
     "mac": "oNTuaVBPqgOGJE7xs1tNtlCuzviE2wskcoW1kiuZlKg",
     "pinPolicySpecifiers": [{
       "id": "PIN.1".
       "minLength": 6,
       "maxLength": 8,
       "retryLimit": 3,
       "grouping": "shared",
       "format": "numeric",
       "patternRestrictions": ["three-in-a-row", "sequence"],
       "mac": "Z3IMErjv6varAj5Ww31AAj8e 0QZjkYgFdtquDSf4G0",
       "keyEntrySpecifiers": [{
          "id": "Key.1",
          "appUsage": "authentication",
          "keyAlgorithm": "https://webpki.github.io/sks/algorithm#rsa2048",
          "mac": "ksg1ZwSfGrUjWPWpbK6wrhOKRH7TlwMc_V9N51GhFCc"
       }, {
    "id": "Key.2",
          "appUsage": "signature",
          "keyAlgorithm": "https://webpki.github.io/sks/algorithm#ec.nist.p256",
          "mac": "dC--5J1yQ1SnP4WyRQv4sZJG9gPlq29wO4E2nnX5sFk"
       }]
    }]
  }]
}
```

This sequence should be interpreted as a request for an RSA key and an EC key where both keys are protected by a single (shared) *user-defined* (within the specified policy limits) PIN. The PIN is in turn governed by an issuer-defined, *protocol-wise secret* PUK.

Note that the actual linkage of PUK, PIN and key-specifiers is accomplished through *object embedding* in the protocol which the KeyGen2 Proxy **must** be honoring.

In the sample KeyGen2 default values have been utilized which is why there are few visible key generation attributes.

When using KeyGen2 the output from createKeyEntry is translated as shown below:

```
{
  "@context": "https://webpki.github.io/keygen2",
  "@qualifier": "KeyCreationResponse",
  "serverSessionId": "1417fa0bedb7rjEFGS-BL3RnJoDyh5UZ",
  "clientSessionId": "PpZRTVq2wa-TLvsFJE7GZPASEeEqk4Yz",
  "generatedKeys": [{
     "id": "Key.1",
     "publicKey": {
       "kty": "RSA",
       "n": "soI7DCkNaGZtMP8QLMCu . . . TzTPWM6qFKWLzR45-3DWcPw",
     },
"attestation": "bYNI0YTCnVXvuNUM1Im_grDC9U2c63nRbqchnpaoUVg"
     }, {
"id": "Key.2",
     "publicKey": {
       "kty": "EC",
       "crv": "P-256",
       "x": "nGlEGlaJp0aSJzD3aNsqt1QC3CCSGDgPTVG_2pFLQ6w",
       "y": "XOa0-BbXVqqcvwBBOMvV1fs5BzbC9rLdBnXigWNy97o"
     }, "attestation": "TtScC3wolB_hGt3SmSvpgglB2Z33S87vSl94hCFFsSE"
   }]
}
```

A conforming server **must** after receival of the response verify that the number and IDs of returned keys match the request. In addition, each returned key **must** be checked for correctness regarding attestation data and that the generated public key actually complies with that of the request.

getKeyHandle [11]

Input

| Name | Туре | Description | |
|--------------------|------|---|--|
| provisioningHandle | uint | Local handle to an <i>open</i> provisioning session | |
| id | id | See createKeyEntry | |

Output

| Name | Туре | Description | |
|-----------|------|--|--|
| status | byte | See Return Values | |
| keyHandle | uint | Local handle to a key belonging to an <i>open</i> provisioning session | |

getKeyHandle returns a keyHandle based on the provisioning session specific key ID.

An invalid key id must return an error and abort the provisioning session.

setCertificatePath [12]

Input

| Name | Туре | Description | |
|-------------|----------|---|--|
| keyHandle | uint | Local handle to a key-pair belonging to an <i>open</i> provisioning session | |
| certificate | byte[] | Non zero list of DER encoded X.509 certificate objects | |
| mac | byte[32] | Vouches for the integrity and authenticity of the operation | |

Output

| Name | Туре | Description | |
|--------|------|-------------------|--|
| status | byte | See Return Values | |

setCertificatePath attaches an X.509 certificate path to an already created key-pair. See createKeyEntry.

The certificate objects **must** form an *ordered* and *contiguous* certificate path so that the *first* object contains the End-Entity Certificate *usually* holding the public key of the target key-pair. The path does though not have to be complete (include all upper-level CAs). Path validity **should** be verified by the provisioning middleware before calling this method.

Individual certificate objects must not exceed cryptoDataSize.

Note that an SKS **must not** not attempt to verify that the End-Entity Certificate and keyHandle.publicKey match because that would disable the importPrivateKey method. It is the MAC operation that is facilitating a cryptographically verifiable binding between the certificate path and the designated key entry.

The MAC relies on the method described in MAC Operations where *Data* is arranged as follows:

Data = keyHandle.publicKey || keyHandle.id || certificate...

A compliant SKS **must not** accept multiple key entries being associated by the same End-Entity Certificate unless the conflicting key is subject to a postUpdateKey or postDeleteKey operation.

A compliant SKS **must** verify that the public key of the End-Entity Certificate matches the Asymmetric Key Generation capabilities of the SKS.

The following KeyGen2 object shows its interaction with setCertificatePath:

```
{
  "@context": "https://webpki.github.io/keygen2",
  "@qualifier": "ProvisioningFinalizationRequest",
  "serverSessionId": "1417fa0ad90cEhH32g3fqhY 6EbeenIK",
  "clientSessionId": "jPGg77Uqp_A59u7Yo4laSRBZMxmoeLay",
  "IssuedCredentials": [{
    "id": "Key.1",
    "certificatePath": [
      "MIIDbDCCAISgAwlBAgIGAUF_oLFEMA0GCS . . . LNTAajQcWBwAmvX5dvlzg",
      "MIIDYTCCAkmgAwlBAglGAUGCqAG . . . qqN3fG5GMaTCZNuJfRQJyU"
    ],
"mac": "b3hr4Rc6pHo-MuJYGvvAzdV3knV6tVLphdzDUTEfa9w"
  }],
   'sessionCloseData": {
    "nonce": "NajebxXBmgs1oNj81KzrQBNiAMts-I90kCMJ41QdZhI",
    "mac": "DvhtwgO7fnasR-gouyiReoFGDH2w4Sj6RWZ9SIWJeDQ"
}
```

The certificatePath array must hold a sorted certificate path.

The owning provisioningHandle and local keyHandle can be retrieved by calling enumerateProvisioningSessions and getKeyHandle using the clientSessionId, serverSessionId and id attributes respectively.

importSymmetricKey [13]

Input

| Name | Туре | Description | |
|--------------|----------|--|--|
| keyHandle | uint | Local handle to a key belonging to an <i>open</i> provisioning session | |
| encryptedKey | byte[] | Raw symmetric key encrypted as described in Encrypted Data | |
| mac | byte[32] | Vouches for the integrity and authenticity of the operation | |

Output

| Name | Туре | Description | |
|--------|------|-------------------|--|
| status | byte | See Return Values | |

importSymmetricKey imports and links a symmetric key to an already created key-pair and certificate.

The MAC relies on the method described in MAC Operations where Data is arranged as follows:

Data = End-Entity Certificate || encryptedKey

Note that encryptedKey objects must be MACed in encrypted form and then decrypted by the SKS before storing.

Symmetric keys **must not** exceed 128 bytes.

With the special endorsedAlgorithm https://webpki.github.io/sks/algorithm#none arbitrary static shared secrets can be specified. When used together with exportKey, a suitable PIN policy and a propertyBags object holding site information, an SKS could then also serve as a password store.

After importSymmetricKey has been called the key entry is marked as "symmetric". That is, the private key is disabled as well as all operations associated with it. See getKeyAttributes.

The keyBackup.IMPORTED flag of the key must be set after execution of importSymmetricKey.

The following KeyGen2 steps show how symmetric keys are provisioned. First the server issues a key-pair request:

```
{
  "@context": "https://webpki.github.io/keygen2",
  "@qualifier": "KeyCreationRequest",
  "serverSessionId": "1417fa0c061hwoiSTca BwhHjl7tm5yj",
  "clientSessionId": "yCW200bErAF8DFFmzWWOIphYa2GuFHis",
  "keyEntryAlgorithm": "https://webpki.github.io/sks/algorithm#key.1",
  "pinPolicySpecifiers": [{
     "id": "PIN.1",
     "minLength": 4,
     "maxLength": 8,
     "retryLimit": 3,
     "format": "numeric",
     "mac": "OvfnCQy7y0v3C234ESYu3KE0iQ1We9JWAipQ-1J0A64",
     "keyEntrySpecifiers": [{
        "id": "Key.1",
        "appUsage": "authentication",
        "keyAlgorithm": "https://webpki.github.io/sks/algorithm#rsa2048",
        "endorsedAlgorithms": ["http://www.w3.org/2001/04/xmldsig-more#hmac-sha256"],
        "mac": "5s7dC3SX-jZxjPN7Gg3ssvfX-gOYjcsMEWUn8P3dU7g"
     }]
  }]
}
```

The request above is identical to requests for PKI except for the *optional* endorsedAlgorithm declaration which in the sample limit symmetric key operations to HMAC-SHA256.

After receiving the request the client generates a compatible key-pair and a response which is identical to that of PKI:

```
{
    "@context": "https://webpki.github.io/keygen2",
    "@qualifier": "KeyCreationResponse",
    "serverSessionId": "1417fa0c061hwoiSTca_BwhHjI7tm5yj",
    "clientSessionId": "yCW200bErAF8DFFmzWWOIphYa2GuFHis",
    "generatedKeys": [{
        "id": "Key.1",
        "publicKey": {
        "kty": "RSA",
        "n": "u6peYjs2LQjo3EiaYK4XIvRdMxLMA7 . . . VCsOAgDVfo8vf3RNmWH53Fw",
        "e": "AQAB"
        },
        "attestation": "grWmZzeyah1OjlvT8KJ3-hOZHx599fnKH4RtbEysiKI"
    }]
}
```

The server then responds with a PKI-compliant certified public key including an encrypted "piggybacked" symmetric key:

```
{
  "@context": "https://webpki.github.io/keygen2",
  "@qualifier": "ProvisioningFinalizationRequest".
  "serverSessionId": "1417fa0c061hwoiSTca_BwhHjl7tm5yj",
  "clientSessionId": "yCW200bErAF8DFFmzWWOlphYa2GuFHis",
  "IssuedCredentials": [{
    "id": "Key.1",
    "certificatePath": [
      "MIIDFjCCAf6gAwlBAgIGAUF oMFSMA0G ... EJwsqSLO88IVL5jpwW036AVtW3BhILP Q"
    "mac": "go5cioJmIzyNROKfrA0jGZEmoq_6w15YeLdz8QYq8ns",
    "ImportSymmetricKey": {
      "encryptedKey": "oh1J_luDY0jfQYVokvhRvSMw3nfOxiGAVu_x9qAq3RJtwt6uhLtNNmukVb4qqx6a",
      "mac": "y0T2uVwaJrUQVPna9CtpqdNxzPdvjRYr kdx8uaDyTc"
    }
  }],
  "sessionCloseData": {
    "nonce": "NajebxXBmgs1oNj81KzrQBNiAMts-I90kCMJ41QdZhI",
    "mac": "DvhtwgO7fnasR-gouyiReoFGDH2w4Sj6RWZ9SlWJeDQ"
}
```

For details on how to map keys and sessions, see setCertificatePath.

Note that the X.509 certificate serves as a universal key ID. That is, SKS/KeyGen2 treats asymmetric and symmetric keys close to identically for provisioning, management and user-selection operations

importPrivateKey [14]

Input

| Name | Туре | Description | |
|--------------|----------|--|--|
| keyHandle | uint | Local handle to a key belonging to an open provisioning session | |
| encryptedKey | byte[] | Private key in PKCS #8 format wrapped as described in Encrypted Data | |
| mac | byte[32] | Vouches for the integrity and authenticity of the operation | |

Output

| Name | Туре | Description | |
|--------|------|-------------------|--|
| status | byte | See Return Values | |

importPrivateKey replaces a generated private key with a key supplied by the issuer.

The purpose of importPrivateKey (preceded by setCertificatePath), is to install a certificate and private key that the issuer have generated or have a backup of.

The mac relies on the method described in MAC Operations where Data is arranged as follows:

Data = End-Entity Certificate || encryptedKey

Note that encryptedKey objects must be MACed in encrypted form and then decrypted by the SKS before storing.

A compliant SKS **must** verify that the imported private key matches the Asymmetric Key Generation capabilities of the SKS.

The keyBackup.IMPORTED flag of the key must be set after execution of importPrivateKey.

If importPrivateKey is executed over a networked protocol such as KeyGen2 (rather than locally), it is recommended alerting the user unless the key is having appUsage = encryption

The following KeyGen2 object shows how a private key is "piggybacked" to a credential to be restored:

```
{
  "@context": "https://webpki.github.io/keygen2",
  "@qualifier": "ProvisioningFinalizationRequest",
  "serverSessionId": "1417fa0dcd8PY8 OldKNfCrGh-PPdsXG",
  "clientSessionId": "m5BeY94pU9hqBOh MgQI69ITIYD06eRg",
  "IssuedCredentials": [{
    "id": "Key.1",
    "certificatePath": [
       "MIIC5DCCAcygAwlBAgIGAUF_oN3/MA0G . . . T71wQ5pkQ67eZwqcfGjwmS9H0vVU"
    ],
"mac": "vg5TluFnxqyyVILcEqwRdjA_y_eBOh-s1R3hkQ5_mE8",
    "ImportPrivateKey": {
    "encryptedKey": "uyplo2qEvSzxjkkjtygEhM3e3o . . . clfyK9jyvxhDpUuxKO1PRXR44maaU",
       "mac": "-iu-iigjqZAyQRvYA0oq3aN r87SVzImD3HQwIB0 el"
    }
  }],
  "sessionCloseData": {
    "nonce": "NajebxXBmgs1oNj81KzrQBNiAMts-I90kCMJ41QdZhI",
    "mac": "DvhtwgO7fnasR-gouyiReoFGDH2w4Sj6RWZ9SIWJeDQ"
  }
}
```

For details on how to map keys and sessions, see setCertificatePath.

addExtension [15]

Input

| Name | Type | Description | |
|---------------|----------|---|--|
| keyHandle | uint | Local handle to a key belonging to an open provisioning session | |
| type | uri | Type URI. Holds a unique name identifying the extension type | |
| subType | byte | See table below | |
| qualifier | string | See table below | |
| extensionData | blob | Extension object. Regarding size constraints see getDeviceInfo | |
| mac | byte[32] | Vouches for the integrity and authenticity of the operation | |

Output

| Name | Туре | Description | |
|--------|------|-------------------|--|
| status | byte | See Return Values | |

addExtension adds attribute (extension) data to an already created key-pair and certificate.

The mac relies on the method described in MAC Operations where Data is arranged as follows:

Data = End-Entity Certificate | type | subType | qualifier | extensionData

The following table shows subType, qualifier and extensionData mapping using KeyGen2:

| Property Name (Array of) | SubType (Implicit) | Qualifier | ExtensionData | |
|-----------------------------|-----------------------|-----------|--|--|
| extensions | 0x00 | N/A | Binary data extracted from Base64URL encoded strings | |
| encryptedExtensions | 0x01 | N/A | Encrypted binary data extracted from Base64URL encoded strings | |
| propertyBags | 0x02 | N/A | See propertyBags data normalization | |
| logotypes | 0x03 | mimeType | Binary image data extracted from Base64URL encoded strings | |

Remarks

N/A = zero-length string.

Note the handling of the encryptedExtension: extensionData which is encrypted as described in Encrypted Data must be MACed in encrypted form and then decrypted by the SKS before storing.

A compliant SKS **must not** allow a given key to be associated with multiple extensions of the same type. If multiple objects of the same type are needed, you must define a container type holding these.

type URIs do not have to be recognized by the SKS, since they are intended for interpretation by external applications.

Although not a part of the current SKS specification, an extension *could* be created for consumption by the SKS only, like downloaded JavaCard code. In that case the associated extension type URI **must** be featured in the SKS *supported* algorithm list. See getDeviceInfo and getExtension.

qualifier strings must not exceed 128 bytes.

Using KeyGen2 an optional propertyBags array holds typed collections of name-value pairs which are referred to as Properties. The following BNF-like definitions outline the syntax:

Notes:

A name must not exceed 255 bytes.

If writable is absent false is assumed.

A properties name-value collection **must** be converted to a *binary blob* before storage in SKS and MACing according to the following:

• Each name-value pair is translated into a composite object consisting of the following attributes and transformed representation:

| Name | Writable | Value |
|--------|----------|--------|
| byte[] | bool | byte[] |

See Data Types

• The resulting objects are *concatenated* in the order they occur in the collection.

Note that there are no delimiters added between attributes or objects. The assembled blob holds the actual extensionData.

Enforcement of name uniqueness may be delegated to the middleware layer. See also setProperty.

The following KeyGen2 sample shows how properties and logotypes can be added to a symmetric key for usage by a HOTP (RFC 4226) application:

```
{
  "@context": "https://webpki.github.io/keygen2",
  "@qualifier": "ProvisioningFinalizationRequest",
  "serverSessionId": "14182a7f9f7u8bTUUFaTJVLo29TxtUpG",
  "clientSessionId": "1SJaeriZ6sdL PT3a8qcZ66d2gyW0QpU",
  "IssuedCredentials": [{
    "id": "Key.1",
    "certificatePath": [
       "MIIDYDCCAkigAwIBAgIGAUGCp_w4MA0GCS . . BR0UoFDeHc4NH8ZmJgd_drnyw"
    "mac": "UX1urB8mPPeO5rFwVGL5Sm0zO2zeXnZJtumCSOn7KjU",
    "ImportSymmetricKey": {
       "encryptedKey": "Kx6TU7TwRF65a4ufQdz48fmrABt7ZByc6uK6mkoj6HeY9fdU0axZDf06MqHH",
       "mac": "63iclLm4SP393yHTNpYW4sqxy7TPXe96uffH NzvTvs"
    "propertyBags": [{
       "type": "urn:ietf:rfc:4226",
       "properties": [{
         "name": "Counter",
         "value": "0",
         "writable": true
          "name": "Digits",
         "value": "8"
       "mac": "C0bNbjOePsFdYRcvlc3LKISskYKPwW2Ce4ql3egOqhE"
     }],
     "logotypes": [{
       "type": "https://webpki.github.io/keygen2/logotype#application",
       "mimeType": "image/png",
       "extensionData": "P3k0jz0ZilZf9U5Ag1I . . . Mq1mW1XUF_KrhPxs8Aoe3Irrx",
       "mac": "Ir70oKOdGBYa9iISp2QC14V5YznFmfne2o0-5DWHmSo"
     }]
  }],
   sessionCloseData": {
    "nonce": "NajebxXBmgs1oNj81KzrQBNiAMts-I90kCMJ41QdZhI",
    "mac": "DvhtwgO7fnasR-gouyiReoFGDH2w4Sj6RWZ9SIWJeDQ"
  }
}
```

For HOTP the corresponding KeyCreationRequest operation would preferably include an endorsement algorithm definition as well.

Below is a KeyGen2 sample showing an Extension object holding a Base64URL encoded object containing attributes that presumably have a function to play together with the deployed key:

```
{
  "@context": "https://webpki.github.io/keygen2",
  "@qualifier": "ProvisioningFinalizationRequest",
  "serverSessionId": "14182a7f517qhCEyqav1suQZTmKPLF1V",
  "clientSessionId": "oWPA9nCj1_uWy0Ax41tsloVDA_L4cAE0",
  "IssuedCredentials": [{
    "id": "Key.1",
    "certificatePath": [
       "MIICnjCCAYagAwlBAgIGAUGCp VGMA0 . . . w4q16pugWr7CFW4fu3bP4KI"
    ],
"mac": "pr_dgwUNZXBe2v1DKz7m5WUITihosyR2sG_9MKuWuFs",
    "extensions": [{
       "type": "http://xmlns.example.com/payment-credential",
       "extensionData": "liBlbmHVy85cZS . . . B4bWxuczd3dy53My5vc",
       "mac": "dl3_3anZBaPQcW4ZofhTlgO9WRpEF9HbBcmbFwbMYAE"
    }]
  }],
  "sessionCloseData": {
    "nonce": "NajebxXBmgs1oNj81KzrQBNiAMts-I90kCMJ41QdZhI",
    "mac": "DvhtwgO7fnasR-gouyiReoFGDH2w4Sj6RWZ9SlWJeDQ"
  }
}
```

For details on how to map keys and sessions, see setCertificatePath.

postDeleteKey [50]

Input

| Name | Туре | Description | | |
|--------------------|----------|---|--------------------------|--|
| provisioningHandle | uint | Local handle to an <i>open</i> provisioning session | | |
| targetKeyHandle | uint | Local handle to the target key | Can Target Vey Deference | |
| authorization | byte[] | Key management authorization signature | See Target Key Reference | |
| mac | byte[32] | Vouches for the integrity and authenticity of the operation | | |

Output

| Name | Туре | Description |
|--------|------|-------------------|
| status | byte | See Return Values |

postDeleteKey deletes a key created in an earlier provisioning session.

The mac relies on the method described in MAC Operations where *Data* is arranged as follows:

Data = authorization

A conforming SKS **must** abort the provisioning session if **postDeleteKey** is mixed with other post provisioning operations referring to the same **targetKeyHandle**.

This method is *independent* of Delete Protection settings.

Note that the execution of this method **must** be deferred to closeProvisioningSession.

The following request shows how postDeleteKey operations are integrated in the KeyGen2 protocol:

Before invoking postDeleteKey the provisioning middleware needs to perform a number of steps:

- 1. Find the the *old* provisioning session associated with the clientSessionId and serverSessionId attributes of each deleteKeys object by calling enumerateProvisioningSessions.
- 2. Find possible keys by calling enumerateKeys and ignoring all but those belonging to the provisioning session found in step #1.
- 3. For the set of keys found in step #2 call getKeyAttributes while looking for a key having an End-Entity Certificate matching the SHA256 fingerPrint.
- 4. If step #3 is successful targetKeyHandle is recovered and postDeleteKey can be invoked.

If any of these steps fail the provisioning session **must** be aborted. See also Remote Key Lookup.

postUnlockKey [51]

Input

| Name | Туре | Description | | |
|--------------------|----------|---|--------------------------|--|
| provisioningHandle | uint | Local handle to an <i>open</i> provisioning session | | |
| targetKeyHandle | uint | Local handle to the target key | Con Target Vey Deference | |
| authorization | byte[] | Key management authorization signature | See Target Key Reference | |
| mac | byte[32] | Vouches for the integrity and authenticity of the operation | | |

Output

| Name | Туре | Description |
|--------|------|-------------------|
| status | byte | See Return Values |

postUnlockKey works like unlockKey except that authorization is derived from a Target Key Reference instead of a PUK. The mac relies on the method described in MAC Operations where *Data* is arranged as follows:

Data = authorization

If the target key is associated with a PUK object the PUK error count **must** be cleared as well.

Note that the execution of this method **must** be deferred to closeProvisioningSession.

The following request shows how postUnlockKey operations are integrated in the KeyGen2 protocol:

```
{
  "@context": "https://webpki.github.io/keygen2",
  "@qualifier": "ProvisioningFinalizationRequest",
  "serverSessionId": "14186f4d4ccdaW-Z IHEFw3xVLJ6kpKV",
  "clientSessionId": "qP5ioSdpeGxnJFmo6rE9G9pAUUfnc1cO",
      Other Message Payload
  "unlockKeys": [{
    "fingerPrint": "E0zdqsaxi7GOyBQxdaMeOZKKp4Gv90TLfgNwt7Z9Btw",
    "serverSessionId": "14186f4d44aEEI KtcKAnyLQpnVt3dVa",
    "clientSessionId": "KHdZHnyod54nd9TMixTWDnOtfUVpZW1A",
    "authorization": "f4xmvzt30boYtKpNA4nP . . . rslfnrEen5PJrq0DQPiZNa1Fo8Y6A",
    "mac": "nCTL88llkkr2a_gHtiUP3yBuDQZ7HB15T5yzixmzBYA"
  "sessionCloseData": {
    "nonce": "NajebxXBmgs1oNj81KzrQBNiAMts-I90kCMJ41QdZhI",
    "mac": "DvhtwgO7fnasR-gouyiReoFGDH2w4Sj6RWZ9SIWJeDQ"
}
```

Before invoking postUnlockKey the provisioning middleware must perform the same steps as for postDeleteKey.

postUpdateKey [52]

Input

| Name | Type | Description | | |
|-----------------|----------|---|--------------------------|--|
| keyHandle | uint | Local handle to a <i>new</i> key belonging to an <i>open</i> provisioning session | | |
| targetKeyHandle | uint | Local handle to the target key | Can Tawart Voy Deference | |
| authorization | byte[] | Key management authorization signature | See Target Key Reference | |
| mac | byte[32] | Vouches for the integrity and authenticity of the operation | | |

Output

| Name | Туре | Description |
|--------|------|-------------------|
| status | byte | See Return Values |

postUpdateKey updates (replaces) a key created in an earlier provisioning session.

The mac relies on the method described in MAC Operations where Data is arranged as follows:

Data = End-Entity Certificate | authorization

The new key **must** be *fully provisioned* (fitted with a certificate and optional attributes), *before* this method is called. However, the new key **must not** be PIN-protected since it supposed to *inherit* the old key's PIN protection scheme (if there is one). Inheritance does not mean "copying" but *linking* the new key to an existing PIN object. See PIN and PUK Objects.

The target key and and the new key **must** have identical Application Usage.

Note that updating a key involves all related data (see Key Entries), with PIN protection as the only exception.

The keyHandle of the updated key must after a successful update be set equal to targetKeyHandle.

A conforming SKS **must** allow a (single) **postUpdateKey** combined with an arbitrary number of **postCloneKeyProtection** calls referring to the same **targetKeyHandle**.

Note that the *execution* of this method **must** be *deferred* to closeProvisioningSession.

The following request shows how postUpdateKey is integrated in the KeyGen2 protocol:

```
{
  "@context": "https://webpki.github.io/keygen2",
  "@qualifier": "ProvisioningFinalizationRequest".
  "serverSessionId": "14186f4c622d2ixzQBPpRoUe9PR7jC3D",
  "clientSessionId": "YME9J37aH1Xo7tQifFpa9nkiyyMcGESQ",
  "IssuedCredentials": [{
    "id": "Key.1",
    "certificatePath": [
       "MIIDYTCCAkmgAwlBAglGAUGG9McmMA0GCSq...lz9C0sc5Ak1jNYzvd8GpS4X6C6J3Uys"
    "mac": "J RnFJtv7SJp5ZPudqVW6wQnqGmKZ66bWBJqCoESgKk",
    "updateKey": {
       "fingerPrint": "PqCoZBJfCvRgikF1oqHa_MOJ_ZTXrlMFn6RvXCgGwps",
       "serverSessionId": "14186f4c405V9Z4dm6knbREoEA8EhQV8",
      "clientSessionId": "ALHIRvpj39AuDCag1qXj8TQOWc9i3Bor",
       "authorization": "dqJAh-ScttwndPN2Tu3Xy7m4zqmC . . . 0Qe92GoDHr0pes4prWn2rKUrsgw",
       "mac": "EZ0L4kaemzFtHSvSIFatYIC9rU4oXVKowQVTuRBMwNA"
    }
  }],
  "sessionCloseData": {
    "nonce": "NajebxXBmgs1oNj81KzrQBNiAMts-I90kCMJ41QdZhI",
    "mac": "DvhtwgO7fnasR-gouyiReoFGDH2w4Sj6RWZ9SlWJeDQ"
}
```

Before invoking postUpdateKey the provisioning middleware must perform the same steps as for postDeleteKey.

keyHandle is the handle associated with the issued credential embedding the updateKey operation.

postCloneKeyProtection [53]

Input

| Name | Туре | Description | | |
|-----------------|----------|---|--------------------------|--|
| keyHandle | uint | Local handle to a <i>new</i> key belonging to an <i>open</i> provisioning session | | |
| targetKeyHandle | uint | Local handle to the target key | See Target Vey Deference | |
| authorization | byte[] | Key management authorization signature | See Target Key Reference | |
| mac | byte[32] | Vouches for the integrity and authenticity of the operation | | |

Output

| Name | Type | Description |
|--------|------|-------------------|
| status | byte | See Return Values |

postCloneKeyProtection clones the *protection scheme* of a key created in an earlier provisioning session and applies it to a newly created key.

The mac relies on the method described in MAC Operations where Data is arranged as follows:

Data = End-Entity Certificate | authorization

The new key **must** be *fully provisioned* (fitted with a certificate and optional attributes), *before* this method is called. However, the new key **must not** be PIN-protected since it supposed to *inherit* the old key's PIN protection scheme (if there is one). Inheritance does not mean "copying" but *linking* the new key to an existing PIN object. See PIN and PUK Objects.

An inherited custom PIN protection scheme must have its grouping attribute set to shared (see PIN Grouping).

A conforming SKS must allow multiple postCloneKeyProtection calls referring to the same targetKeyHandle.

Note that the execution of this method **must** be deferred to closeProvisioningSession.

The following request shows how postCloneKeyProtection is integrated in the KeyGen2 protocol:

```
{
  "@context": "https://webpki.github.io/keygen2",
  "@qualifier": "ProvisioningFinalizationRequest",
  "serverSessionId": "14186f4c20a3ly83wJZoJMA3x hZ2gKo",
  "clientSessionId": "j3CcN3e8UI5XKN1exKqcF19dBi8eGD78",
  "IssuedCredentials": [{
    "id": "Key.1",
    "certificatePath": [
       "MIIDajCCAlKgAwlBAgIGAUGG9MPKM . . . KUtYzmixtncrPb6NveG0x9yrothzHd9k"
    "mac": "zwGCYuuKoiLR5n OyufcS1Z9sABX4W4dl2dRmyBd8gE",
    "cloneKeyProtection": {
       "fingerPrint": "cnEQwl7hGtfqNgtXeCqG dSN1KOkW1amRx2t6RcPQY0",
       "serverSessionId": "14186f4bfeeibYVPx01l0VbbqspZ0NAY",
      "clientSessionId": "uENhOyeLZjhXo9CT5dqdTC0H4LtEEDqm",
       "authorization": "MEYCIQC5BTwVz8VbrwPo7ujLx . . . HJzsDemjamO6r9yyR15Cw241w",
       "mac": "yViSzGjcqnVpAvkLzkxs5QwoccX-3lVr3_2lbdWJjOg"
    }
  }],
  "sessionCloseData": {
    "nonce": "NajebxXBmgs1oNj81KzrQBNiAMts-I90kCMJ41QdZhI",
    "mac": "DvhtwgO7fnasR-gouyiReoFGDH2w4Sj6RWZ9SlWJeDQ"
}
```

Before invoking **postCloneKeyProtection** the provisioning middleware must perform the same steps as for **postDeleteKey**.

keyHandle is the handle associated with the issued credential embedding the cloneKeyProtection operation.

enumerateKeys [70]

Input

| Name | Туре | Description |
|-----------|------|--------------------------|
| keyHandle | uint | Input enumeration handle |

Output

| Name | Туре | Description | |
|---|------|--|--|
| status | byte | See Return Values | |
| keyHandle | uint | Output enumeration handle | |
| The following element must not be present if the returned keyHandle = 0 | | | |
| provisioningHandle | uint | Handle to the associated provisioning session object | |

enumerateKeys enumerate keys for *closed* provisioning sessions. Closed provisioning session means that the key is ready for usage by *applications*.

The input keyHandle must initially be set to 0 to start an enumeration round.

Succeeding calls **must** use the output **keyHandle** as input to the next call.

When enumerateKeys returns with a keyHandle = 0 there are no more key objects to read.

getKeyAttributes [71]

Input

| Name | Туре | Description |
|-----------|------|--------------------------------|
| keyHandle | uint | Local handle to the target key |

Output

| Name | Туре | Description | |
|--------------------|--------|---|------------------|
| status | byte | See Return Values | |
| symmetricKeyLength | ushort | Length of symmetric key in <i>bytes</i> . If symmetricKeyLength > 0 the active key is symmetric. See importSymmetricKey | |
| certificate | byte[] | See setCertificatePath | |
| appUsage | byte | See createKeyEntry | |
| friendlyName | string | | |
| endorsedAlgorithm | uri | | |
| type | uri | List of 0-255 extension type URIs | See addExtension |

getKeyAttributes returns attribute data for provisioned keys.

For asymmetric keys the public key of the End-Entity Certificate signifies RSA or EC algorithm.

See also getKeyProtectionInfo.

getKeyProtectionInfo [72]

Input

| Name | Туре | Description |
|-----------|------|--------------------------------|
| keyHandle | uint | Local handle to the target key |

Output

| Name | Type | Description |
|---------------------|--------|---|
| status | byte | See Return Values |
| protectionStatus | byte | See protectionStatus table on the next page |
| pukFormat | byte | Copy of format defined by createPukPolicy [1] |
| pukRetryLimit | ushort | Copy of retryLimit defined by createPukPolicy [1] |
| pukErrorCount | ushort | Current PUK error count for keys protected by a local PUK policy object [1] |
| userDefined | bool | |
| userModifiable | bool | |
| format | byte | |
| retryLimit | ushort | |
| grouping | byte | Copies of the corresponding createPinPolicy parameters for keys protected by a local PIN policy object [1] |
| patternRestrictions | byte | |
| minLength | ushort | |
| maxLength | ushort | |
| inputMethod | byte | |
| pinErrorCount | ushort | Current PIN error count for keys protected by a local PIN policy object [1] See protectionStatus table on the next page |
| enablePinCaching | bool | |
| biometricProtection | byte | Exact copies of the corresponding createKeyEntry parameters |
| exportProtection | byte | Exact copies of the corresponding createneyentry parameters |
| deleteProtection | byte | |
| keyBackup | byte | Tells if there exists a <i>copy</i> of the key. See keyBackup table on the next page |

getKeyProtectionInfo returns information about the protection scheme for a key including possible biometric options. In addition, the call retrieves the current protection status for the key.

Note 1: Fields **must** be set to zero if they do not apply to the key in question.

The following table illustrates how the protectionStatus bit field should be interpreted:

| Name | Value | Description |
|---------------|-------|--|
| PIN_PROTECTED | 0x01 | The key is protected by a local PIN policy object |
| PUK_PROTECTED | 0x02 | The key is protected by a local PUK policy object. This bit must be combined with bit PIN_PROTECTED |
| PIN_BLOCKED | 0x04 | The key has locked-up due to PIN errors. This bit must be combined with bit PIN_PROTECTED |
| PUK_BLOCKED | 0x08 | The key has locked-up due to PUK errors. This bit must be combined with bit PUK_PROTECTED |
| DEVICE_PIN | 0x10 | The key is protected by a device PIN. Information about device PINs is out of scope for the SKS API. This bit must be the only active bit if applicable |

If all bits are zero the key is not PIN protected.

The following table illustrates how the keyBackup bit field should be interpreted:

| Name | Value | Description |
|----------|-------|---|
| IMPORTED | 0x01 | The IMPORTED bit must be set if the key has been supplied through importPrivateKey or importSymmetricKey |
| EXPORTED | 0x02 | The EXPORTED bit must be set if the key has been subject to an exportKey operation |

getExtension [73]

Input

| Name | Туре | Description |
|-----------|------|--------------------------------|
| keyHandle | uint | Local handle to the target key |
| type | uri | Type URI. See addExtension |

Output

| Name | Туре | Description |
|---------------|--------|---|
| status | byte | See Return Values |
| subType | byte | |
| qualifier | string | Exact copies of the corresponding addExtension parameters |
| extensionData | blob | |

getExtension returns a typed extension object associated with a key.

Note that encrypted extensions are decrypted during provisioning.

If the extension is intended to be consumed by the SKS, extensionData must be returned as a zero-length array.

If the requested extension type doesn't exist, the status ERROR_OPTION must be returned.

setProperty [74]

Input

| Name | Туре | Description |
|-----------|--------|--|
| keyHandle | uint | Local handle to the target key |
| type | uri | Type URI which must identify a properties extension. See propertyBags |
| name | string | Property name. String of 1-255 characters |
| value | string | Property value. Note extensionData size limit |

Output

| Name | Туре | Description |
|--------|------|-------------------|
| status | byte | See Return Values |

setProperty sets a named property value in a properties collection linked to a key.

If the named property does not exist or is not *writable*, an error **must** be returned.

deleteKey [80]

Input

| Name | Туре | Description |
|---------------|--------|---|
| keyHandle | uint | Local handle to the target key |
| authorization | byte[] | Zero-length array, PIN, or PUK depending on Delete Protection |

Output

| Name | Туре | Description |
|--------|------|-------------------|
| status | byte | See Return Values |

deleteKey removes a key from the Credential Database.

If the key is the last belonging to a provisioning session, the session data objects are removed as well.

Invalid authorization data to the key must return ERROR_AUTHORIZATION status.

A conforming SKS **may** introduce physical presence methods like GPIO-based buttons, *circumventing* Delete Protection settings.

Regarding delete of PIN and PUK policy objects, see PIN and PUK Objects.

exportKey [81]

Input

| Name | Туре | Description |
|---------------|--------|---|
| keyHandle | uint | Local handle to the target key |
| authorization | byte[] | Zero-length array, PIN, or PUK depending on Export Protection |

Output

| Name | Туре | Description |
|--------|--------|--|
| status | byte | See Return Values |
| key | byte[] | Unencrypted key. For type information see getKeyAttributes |

exportKey exports a private or symmetric key from the Credential Database.

Invalid authorization data to the key must return ERROR_AUTHORIZATION status.

Private (asymmetric) keys **must** be exported in PKCS #8 format.

If a non-exportable key is referred to, exportKey must return ERROR_NOT_ALLOWED status.

Note that the keyBackup. EXPORTED flag of the key must be set after execution of exportKey.

unlockKey [82]

Input

| Name | Туре | Description |
|---------------|--------|--------------------------------|
| keyHandle | uint | Local handle to the target key |
| authorization | byte[] | PUK |

Output

| Name | Туре | Description |
|--------|------|-------------------|
| status | byte | See Return Values |

unlockKey re-enables a key that has been locked due to erroneous PIN entries.

Note that this method only applies to keys that are protected by local PIN and PUK policy objects.

Invalid authorization data (PUK) to the key must return ERROR_AUTHORIZATION status.

If unlockKey succeeds all keys sharing the PIN object will be unlocked. See PIN Grouping.

changePin [83]

Input

| Name | Type | Description |
|---------------|--------|--------------------------------|
| keyHandle | uint | Local handle to the target key |
| authorization | byte[] | Original PIN |
| newPin | byte[] | The requested new PIN |

Output

| Name | Туре | Description |
|--------|------|-------------------|
| status | byte | See Return Values |

changePin modifies a PIN for a key.

Note that the key **must** be protected by a local PIN policy object having the **userModifiable** attribute set.

Invalid authorization data (PIN) to the key must return ERROR_AUTHORIZATION status.

If changePin succeeds all keys sharing the PIN object will be updated. See PIN Grouping.

setPin [84]

Input

| Name | Туре | Description |
|---------------|--------|--------------------------------|
| keyHandle | uint | Local handle to the target key |
| authorization | byte[] | PUK string |
| newPin | byte[] | The requested new PIN |

Output

| Name | Туре | Description |
|--------|------|-------------------|
| status | byte | See Return Values |

setPin sets a PIN for a key regardless of PIN block status since it uses a PUK as authorization.

Note that the key **must** be protected by local PUK and PIN policy objects where the latter have the **userModifiable** attribute set.

Invalid authorization data (PUK) must return ERROR_AUTHORIZATION status.

If setPin succeeds all keys sharing the PIN object will be updated and unlocked. See PIN Grouping.

updateFirmware [90]

Input

| Name | Туре | Description |
|-------|------|---------------------|
| chunk | blob | Firmware code chunk |

Output

| Name | Туре | Description |
|---------|------|--------------------------------|
| status | byte | See Return Values |
| nextURL | uri | Next URL or zero-length string |

updateFirmware is an optional method that performs a firmware update operation. The method is only available if the updateUrl is non-zero. To perform an update, the SKS management system issues an HTTP GET operation to the service pointed out by updateUrl. If the service returns a content of zero length, the SKS device is assumed to be up-to-date, else updateFirmware should be called with the content in chunk. The return value from the call is either a new URL to be used analogous to updateUrl, or a zero-length string indicating that the update is ready.

A conforming update service **must** use the MIME-type application/octet-stream.

The updateFirmware method must be implemented in such a way that the SKS container cannot be made inoperable due to network errors or aborted update operations. In addition, the SKS container must be able to securely authenticate the update service's Chunk data

signHashedData [100]

Input

| Name | Type | Description |
|---------------|--------|---|
| keyHandle | uint | Local handle to the target key |
| algorithm | uri | Signature algorithm URI. See Asymmetric Key Signatures |
| parameters | byte[] | Parameters needed by some signature algorithms |
| biometricAuth | bool | True if a successful biometric operation was used for authorization |
| authorization | byte[] | Holds a PIN or is of zero length if no PIN is supplied |
| data | byte[] | Hashed data to be signed. See also cryptoDataSize |

Output

| Name | Type | Description |
|--------|--------|---|
| status | byte | See Return Values |
| result | byte[] | Signature in algorithm-specific encoding. See Asymmetric Key Signatures |

signHashedData performs an asymmetric key signature operation on the input data object.

data must be hashed as required by the signature algorithm.

The parameters object must be of zero length for algorithms not needing additional input.

Invalid authorization data (PIN) or biometricAuth to the key must return ERROR_AUTHORIZATION status.

The length of data must match the hash algorithm. Note that signature algorithms that do not define a specific hash algorithm must verify that the length of data is within the limits for the particular key type.

The https://webpki.github.io/sks/algorithm#rsa.pkcs1.none signature algorithm must encode the signature value according to PKCS #1 but without hash algorithm identifiers:

EMSA = 0x00 || 0x01 || PS || 0x00 || data

asymmetricKeyDecrypt [101]

Input

| Name | Туре | Description |
|---------------|--------|---|
| keyHandle | uint | Local handle to the target key |
| algorithm | uri | Encryption algorithm URI. See Asymmetric Key Encryption |
| parameters | byte[] | Parameters needed by some encryption algorithms |
| biometricAuth | bool | True if a successful biometric operation was used for authorization |
| authorization | byte[] | Holds a PIN or is of zero length if no PIN is supplied |
| data | byte[] | Encrypted data |

Output

| Name | Туре | Description |
|--------|--------|-------------------|
| status | byte | See Return Values |
| result | byte[] | Decrypted data |

asymmetricKeyDecrypt performs an asymmetric key decryption operation on the input data object.

data must be padded as required by the encryption algorithm like PKCS #1 for https://webpki.github.io/sks/algorithm#rsa.es.pkcs1_5.

The parameters object must be of zero length for algorithms not needing additional input.

Invalid authorization data (PIN) or biometricAuth to the key must return ERROR_AUTHORIZATION status.

keyAgreement [102]

Input

| Name | Type | Description |
|---------------|--------|---|
| keyHandle | uint | Local handle to the target key |
| algorithm | uri | Key agreement algorithm URI. See Diffie-Hellman Key Agreement |
| parameters | byte[] | Parameters needed by some key agreement algorithms |
| biometricAuth | bool | True if a successful biometric operation was used for authorization |
| authorization | byte[] | Holds a PIN or is of zero length if no PIN is supplied |
| publicKey | byte[] | The other party's public key |

Output

| Name | Туре | Description |
|--------|--------|-------------------|
| status | byte | See Return Values |
| result | byte[] | Shared secret |

keyAgreement performs an asymmetric key agreement operation resulting in a shared secret.

publicKey must be an EC public key in X.509 DER format using the same curve as keyHandle. publicKey must match the elliptic curve capabilities given by getDeviceInfo.

The parameters object must be of zero length for algorithms not needing additional input.

Invalid authorization data (PIN) or biometricAuth to the key must return ERROR_AUTHORIZATION status.

performHmac [103]

Input

| Name | Туре | Description |
|---------------|--------|---|
| keyHandle | uint | Local handle to the target key |
| algorithm | uri | HMAC algorithm URI. See HMAC Operations |
| parameters | byte[] | Parameters needed by some HMAC algorithms |
| biometricAuth | bool | True if a successful biometric operation was used for authorization |
| authorization | byte[] | Holds a PIN or is of zero length if no PIN is supplied |
| data | blob | Data to be HMACed. See also cryptoDataSize |

Output

| Name | Туре | Description |
|--------|--------|-------------------|
| status | byte | See Return Values |
| result | byte[] | HMACed data |

performHmac performs a symmetric key HMAC operation on the input data object.

The parameters object must be of zero length for algorithms not needing additional input.

Invalid authorization data (PIN) or biometricAuth to the key must return ERROR_AUTHORIZATION status.

symmetricKeyEncrypt [104]

Input

| Name | Type | Description |
|---------------|--------|---|
| keyHandle | uint | Local handle to the target key |
| algorithm | uri | Encryption algorithm URI. See Symmetric Key Encryption |
| mode | bool | True for encryption, false for decryption |
| parameters | byte[] | Parameters needed by some encryption algorithms |
| biometricAuth | bool | True if a successful biometric operation was used for authorization |
| authorization | byte[] | Holds a PIN or is of zero length if no PIN is supplied |
| data | blob | Data to be encrypted or decrypted. See also cryptoDataSize |

Output

| Name | Туре | Description |
|--------|------|-----------------------------|
| status | byte | See Return Values |
| result | blob | Encrypted or decrypted data |

symmetricKeyEncrypt performs a symmetric key encryption or decryption operation on the input data object.

Note that if an IV (Initialization Vector) is required by the encryption algorithm it **must** be supplied in **parameters** unless it is supposed to supplied as a part of **data** like for XML Encryption.

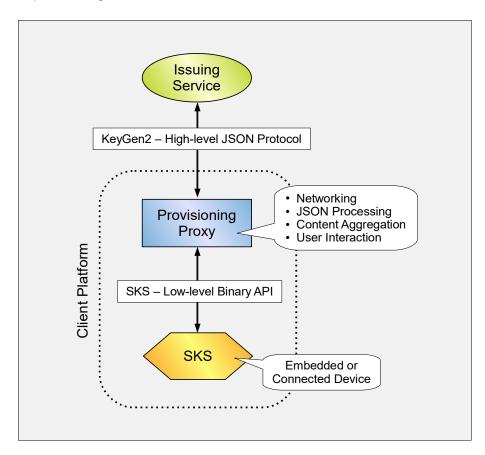
The parameters object must be of zero length for algorithms not needing additional input.

Invalid authorization data (PIN) or biometricAuth to the key must return ERROR_AUTHORIZATION status.

.

Appendix A. KeyGen2 Proxy

SKS departs from most other SE (Security Element) designs by relying on a "Semi-Trusted Proxy" for the provisioning and management of keys. Introducing a proxy in a scheme which is claimed supporting *true end-to-security* may sound like a contradiction. However, any alterations to the data flowing between the two end-points (the issuing service and the SKS) will be detected by one of them due to the use of *stateful sessions*, *sequence counters* and *MAC operations*. The picture below shows the SKS/KeyGen2 provisioning architecture:



Since SKS methods *by design* are low-level, most of the comparatively high-level provisioning operations result in multiple SKS calls. In addition, there is a need for referencing objects created by preceding calls. As it would be quite inefficient if every call forced a network "round-trip", a core proxy task is *aggregating and linking SKS calls and return data*. This is facilitated through the SKS virtual namespace concept which relieves issuers from ever dealing with raw (and device-dependent) object handles or worrying about name collisions. See Object IDs. The following graph outlines content aggregation and linking when applied to the KeyGen2 example on page 39:

| Request | SKS Calls & Object Referen | ces |
|---------|------------------------------|-----|
| | createPukPolicy (, "PUK.1",) | 7 |
| | createPinPolicy (, "PIN.1",) | |
| | createKeyEntry (, "Key.1",) |) |
| | createKeyEntry (, "Key.2",) | |

| Response | Returned Data |
|----------|-----------------------------|
| † | Attested public key "Key.1" |
| | Attested public key "Key.2" |
| | |

Another provisioning activity orchestrated by the proxy is requesting (and validating according to the issuer's policy), user-defined PINs, because SKS depends on that all initial PIN values are set during key entry creation.

Appendix B. Sample Session

The following provisioning sample session shows the *sequence* for creating an X.509 certificate with a matching PIN and PUK protected private key:

```
provisioningHandle, ... = createProvisioningSession (...)

pukPolicyHandle = createPukPolicy (provisioningHandle, ...)

pinPolicyHandle = createPinPolicy (provisioningHandle, ..., pukPolicyHandle, ...)

keyHandle, ... = createKeyEntry (provisioningHandle, ..., pinPolicyHandle, ...)

External certification of the generated public key happens here...

setCertificatePath (keyHandle, ...)

closeProvisioningSession (provisioningHandle, ...)
```

Note that Handle variables are only used by local middleware, while (not shown) variables like sessionKey, mac, id, etc. are primarily used in the communication between an issuer and the SKS.

If keys are to be created entirely locally, this requires local software emulation of an issuer.

Appendix C. Reference Implementation

To further guide implementers, an open source SKS reference implementation in java® is available including a JUnit suite.

URL: https://github.com/cyberphone/openkeystore

Appendix D. Remote Key Lookup

In order to update keys and related data, SKS supports post provisioning operations like postDeleteKey where issuers are securely shielded from each other by the use of a keyManagementKey.

However, depending on the use-case, an issuer may need to get a list of applicable keys, *before* launching post provisioning operations. Such a facility is available in KeyGen2 as illustrated by the message below:

```
{
  "@context": "https://webpki.github.io/keygen2",
  "@qualifier": "CredentialDiscoveryRequest",
  "serverSessionId": "14184c1f09eqCkPtjqY54Ehalc2_EjFN",
  "clientSessionId": "Qn7o4xCRp1sewDrpqMJjEDieZHp2hego",
  "submitUrl": "https://issuer.example.com/credisc",
  "lookupSpecifiers": [{
     "id": "Lookup.1",
     "nonce": "eG3XgquTRh6ASFpcUpEe0gc1qnlL_I2CoPx8xqJTvQ0",
     "searchFilter": {
       "emailRegEx": "\\Qjohn.doe@example.com\\E"
    },
"signature": {
       "algorithm": "ES256",
       "publicKey": {
         "kty": "ÉC"
         "crv": "P-256",
         "x": "INxNvAUEE8t7DSQBft93LVSXxKCiVjhbWWfyg023FCk",
         "y": "LmTIQxXB3LgZrNLmhOfMaCnDizczC RfQ6Kx8iNwfFA"
       },
"value": "MEUCIHWCPcDl6kea9DMy . . . Av7Px3bfwvagWcQY4kVrdeT38clzhiKnpiluigY"
    }
  }]
}
```

For each object in the lookupSpecifiers array, perform the following steps:

- 1. Verify that the **signature** is *technically* valid while the origin of the signing key is *ignored* since the KeyGen2 Proxy has no opinion about those .
- 2. Verify that the freshness nonce matches SHA256 (clientSessionId || serverSessionId). See createProvisioningSession and Data Types.
- 3. Enumerate all sessions having a keyManagementKey matching the public key of the signature object. This serves as an *Issuer Filter*. See enumerateProvisioningSessions.
- 4. From step #3 enumerate all matching SKS keys and related certificates. See enumerateKeys and getKeyAttributes.
- 5. Collect all *unique* keys from step #4 having matching search conditions. In the sample that is having an e-mail address "john.doe@example.com" in the End-Entity Certificate.

Continued on the next page...

The result of each is sent back to the issuer in the form of a list of End-Entity Certificate paths and session IDs:

```
{
  "@context": "https://webpki.github.io/keygen2",
  "@qualifier": "CredentialDiscoveryResponse".
  "serverSessionId": "14184c1f09eqCkPtjqY54Ehalc2 EjFN",
  "clientSessionId": "Qn7o4xCRp1sewDrpqMJjEDieZHp2hego",
  "lookupResults": [{
    "id": "Lookup.1",
     "matchingCredentials": [{
        "serverSessionId": "14184c1f0438OwdjLnmGgIx2c8245rDH",
        "clientSessionId": "wmdVVHWjl666GvHnwmIALFRJQ-GC3Scr",
        "certificatePath": [
          "MIICIjCCAX6gAwIBAgIGAUGEwfB4MA0GCSq . . . rGnyW8pnGcQ1U2cIYD6vWN28GEup"
       ],
"locked": true
     }]
  }]
}
```

Notes:

Remote key lookups are performed at the *middleware level* since they are passive, JSON-centric, and do not access private or secret keys.

The primary purpose with credential lookups is *improving provisioning robustness*, while the *Issuer Filter protects user privacy* by constraining lookup data to the party to where it belongs.

If a matching credential is locked (presumably due to user authorization failures), this information will also be available as shown in sample.

Appendix E. Security Considerations

Note: The following section only partially applies to the Privacy Enabled Provisioning mode.

This document does not cover the *physical* security of the key-store since SKS does not differ from other schemes in this respect.

However, the provisioning concept has some specific security characteristics. One of the most critical operations in SKS is the creation of a shared sessionKey because if such a key is intercepted or guessed by an attacker, the integrity of the entire session is potentially jeopardized.

If you take a peek at <u>createProvisioningSession</u> you will note that the <u>sessionKey</u> depends on issuer-generated and SKS-generated ephemeral public keys. It is pretty obvious that malicious middleware could replace such a key with one it has the private key to and the issuer wouldn't notice the difference. This is where the attestation signature comes in because it is computationally infeasible creating a matching signature since the both of the ephemeral public keys are enclosed as a part of the signed attestation object. That is, the issuer can when receiving the response to the provisioning session request, easily detect if it has been manipulated and *cease the rest of the operation*.

As earlier noted, the randomness of the sessionKey is crucial for all provisioning operations.

Missing or repeated objects are indirectly monitored by the use of macSequenceCounter, while the SKS "book-keeping" functions will detect other possible irregularities during closeProvisioningSession. This means that an issuer **should not** consider issued credentials as valid unless it has received a successful response from closeProvisioningSession.

The sessionKeyLimit attribute defined in createProvisioningSession is another security measure which aims to limit exhaustive attacks on the sessionKey.

For algorithms that are considered as vulnerable to brute-force key searches, a simple workaround is adding a short *initial delay* to the applicable User API method. Since SKS is exclusively intended for user authentication a 1-100 ms delay imposes a (from the user's point of view), *hardly noticeable* impact on the performance.

By using the endorsedAlgorithm option, issuers can specify exactly which algorithms that are permitted for a given key.

A significant feature of SKS is that it is identified by a digital certificate, preferably issued by a known vendor of trusted hardware. This enables the issuer to securely identify the key-container both from a cryptographic point of view (brand, type etc) and as a specific unit. The latter also makes it possible to communicate the container identity as a fingerprint (hash) of the Device Certificate which facilitates novel and secure enrollment procedures, *typically eliminating the traditional sign-up password*.

That any issuer (after the user's consent), can provision keys may appear a bit scary but *keys do not constitute of executable code* making it less interesting in tricking users accepting "bad" issuers. In addition, the provisioning middleware is also able to validate incoming data for "sanity" and even abort unreasonable requests, such as asking for 10 keys or more to be created.

The system may be subject to phishing attacks. If the user authenticates with a password, no security solutions will help. However, if two factor authentication with public key cryptography is used, the inclusion of the HTTPS server certificate in the attestation gives the issuer an opportunity verifying that there actually is a "straight line" between the client and server.

One might suspect that the VSD scheme by relying on a static, *potentially issuance-wide* keyManagementKey could introduce client-side vulnerabilities but that is unlikely to be the case: If a key management signature is intercepted by an attacker, the inclusion of a high entropy sessionKey and the Device Certificate renders it useless in another session or device. It is also worth noting that the post provisioning operations *by design* do not expose secret or private key data.

There is no protection against DoS (Denial of Service) attacks on SKS storage space due to malicious middleware.

SKS does not have any built-in policy, it is up to the individual *issuer* deciding about suitable key protections options, key sizes, and private key imports.

Appendix F. Intellectual Property Rights

This document contains several constructs that *could* be patentable but the author has no such interests and therefore puts the entire design in *public domain* allowing anybody to use all or parts of it at their discretion. In case you adopt something you found useful in this specification, feel free mentioning where you got it from \odot

Note: it is possible that there are pieces that already are patented by *other parties* but the author is currently unaware of any IPR encumbrances.

Some of the core concepts have been submitted to http://defensivepublications.org and subsequently been published in IP.COM's prior art database.

Appendix G. References

KeyGen2 TBD

PKCS #1 TDB

PKCS #8 TBD

ECDSA TBD

AES256-CBC TBD

HMAC-SHA256 TBD

X.509 TBD

SHA256 TBD

TPM 2.0 TBD

Diffie-Hellman TBD

S/MIME TBD

UTF-8 TBD

XML Encryption TBD

RFC 3447 TBD

RFC 5639 TBD

XML Signature TBD

FIPS 197 TBD

FIPS 186-4 TBD

Base64URL TBD

HOTP TBD

JavaCard TBD

CryptoAPI TBD

PKCS #11 TBD

GlobalPlatform TBD

TLS TBD

XML Schema TBD

SP800-56A TBD

Kerberos TBD

Blind Signatures TBD

DAA TBD

URI TBD

JCE TBD

JOSE TBD

Appendix H. Acknowledgments

SKS and KeyGen2 heavily build on schemes pioneered by other individuals and organizations, most notably:

- CT-KIP by RSA Security: KeyGen2 format and basic operation
- ObC by Nokia: Key management through dynamic deployment of issuer-specific symmetric keys (VSD), and support for keys bound to downloaded data (in ObC code)
- SCP80 by GlobalPlatform: Secure messaging including "rolling MACs"
- CertEnroll by Microsoft: Processes

There is also a bunch of individuals that have been instrumental for the creation of SKS. I need to check who would accept to be mentioned:-)

KeyGen2 is an "homage" to Netscape Communications Corp. who created the first on-line provisioning system known as the HTML Keygen> tag.

Appendix I. Author

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To Do List

Although it would be nice to say "it is 100% ready" there are still a few things missing:

- Investigating "physical presence" GPIO options
- Language proofing
- · Filling in the references