

## Outline:

Last time: inductive types - identity types } §3-4 Rijke

This time: identity type } §5 Rijke

→ homotopy

Why do we need the identity type? (if we're not interested in homotopy)

We already have a notion of equality:

$\doteq$  judgmental equality

(The identity type is called propositional equality.)

Logical interpretation: types are propositions / terms are proofs

→ Proving equality means constructing a term of an equality type

We can prove many judgmental equalities:

$$\begin{aligned} \underline{\text{Ex.}} \quad & \text{add}(x, 0) \doteq x \\ & \text{add}(x, sy) \doteq s \text{ add}(x, y) \end{aligned}$$

... but not all the ones we want.

$$\begin{aligned} \underline{\text{Ex.}} \quad & \text{add}(0, x) \doteq x \\ & \text{add}(sx, y) \doteq s \text{ add}(x, y) \end{aligned}$$

$N$ -elim:  
(aka induction)

$$\frac{\begin{array}{c} x:N \vdash D(x) \text{ type} \\ \vdots \end{array}}{x:N \vdash \text{ind}: D(x)}$$

Type constructors often internalize structure

- At a 'meta' level, we can talk about contexts:

$$\underline{\text{Ex.}} \quad x:A, y:B(x), z:C(x, y) \vdash$$

We can discuss this at the 'type-and-term level' using  $\Sigma$ -types:

$$\underline{\text{Ex.}} \quad z: \sum_{x:A} \sum_{y:B(x)} C(x,y)$$

- Similarly, we can talk about dependent terms as 'meta' function:

$$\underline{\text{Ex.}} \quad x:A, y:B(x) \vdash c(x,y) : C(x,y)$$

We can internalize such 'meta' - functions as terms of a  $\Pi$ -type

$$\underline{\text{Ex.}} \quad c: \prod_{x:A} \prod_{y:B(x)} C(x,y)$$

- $\text{bool}$
  - $\mathbb{N}$
  - $\emptyset$
  - $\perp$
- } can be seen as internalizing external notions

- The universe type internalizes the judgment  $A$  type.

- We'll see that the identity type internalizes judgmental equality.

Identity type =

= - form  $\frac{\Gamma \vdash A \text{ type} \quad \Gamma \vdash a : A \quad \Gamma \vdash b : A}{a =_A b \text{ type}}$

= - intro  $\frac{\Gamma \vdash a : A}{\Gamma \vdash r_a : a =_A a}$   
(reflexivity)

= - elim

$$\frac{x : A, y : A, z : x =_A y \vdash D(x, y, z) \text{ type} \quad x : A \vdash d : D(x, x, r_x)}{x : A, y : A, z : x =_A y \vdash \text{ind}_=(d, x, y, z) : D(x, y, z)}$$

= - comp

$$\frac{x : A, y : A, z : x =_A y \vdash D(x, y, z) \text{ type} \quad x : A \vdash d : D(x, x, r_x)}{x : A \vdash \text{ind}_=(d, x, x, r_x) \doteq d : D(x, x, r_x)}$$

NB: Compare these with the rules in Rijke.

"based path induction"

Type constructors internalize structure

- We can talk about judgmental equality at a 'meta' level.

Ex.  $a \equiv b : A$

We can internalize this using identity types.

Ex.  $r_a : a =_A a$

$$\left\{ \begin{array}{l} \text{If } a \equiv b : A, \text{ then } (a =_A b) \equiv (a =_A a), \text{ and} \\ \text{if } r_a : a =_A a, \text{ then } r_a : a =_A b. \end{array} \right.$$

→ Reflexivity ( $r$ -) turns judgmental equalities into propositional equalities.

Functionality

Functions act on paths/terms of the identity type.

Prop. For any two types  $A, B$ , any function  $f: A \rightarrow B$ , and any two terms  $a, a': A$ , there is a function

$$\text{ap}_f : a =_A a' \longrightarrow f_a =_B f_{a'}$$

NB. Every proposition we make in type theory is really a type, but we often write them in English, at least partially.

This proposition stands for

$$\prod_{A, B: \text{Type}} \prod_{f: A \rightarrow B} \prod_{a, a': A} a =_A a' \longrightarrow f_a =_B f_{a'}$$

Functionality:  $\text{ap}: \prod_{f: A \rightarrow B} \prod_{a, a': A} a =_A a' \longrightarrow f_a =_B f_{a'}$

$$\underline{f: A \rightarrow B, a: A \vdash rfa : fa =_B fa}$$

$$\underline{f: A \rightarrow B, a, a': A, p: a =_A a' \vdash \text{ind}_=(r_f, a, a', p): fa =_B fa'}$$

$$\lambda f. \lambda a, a'. \lambda p. \text{ind}_=(r_f, a, a', p) \quad \prod_{f: A \rightarrow B} \prod_{a, a': A} a =_A a' \rightarrow fa =_B fa'$$

Example.  $\prod_{n: \mathbb{N}} \text{add}(0, n) = n$

Use:  $\text{add}(n, 0) \doteq n$

$\text{add}(n, sm) \doteq s \text{ add}(n, m)$

$=\text{-elim} \quad \Gamma, x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type}$   
 $\Gamma, x:A \vdash d: D(x, x, r_x)$

$\Gamma, x:A, y:A, z: x =_A y \vdash \text{ind}_=(d, x, y, z): D(x, y, z)$

$r_0: \text{add}(0, 0) = 0$

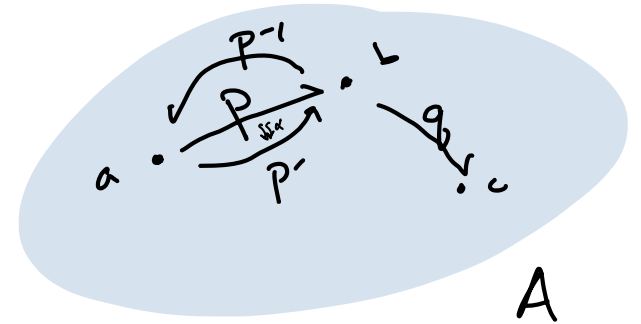
$n: \mathbb{N}, p: \text{add}(0, n) = n \vdash \text{ap}_s p: \text{add}(0, sn) = sn$

$n: \mathbb{N} \vdash \text{ind}_{\mathbb{N}}(r_0, \text{ap}_s, n) : \text{add}(0, n) = n$

$\lambda n. \text{ind}_{\mathbb{N}}(r_0, \text{ap}_s, n): \prod_{n: \mathbb{N}} \text{add}(0, n) = n$

## The groupoidal behaviour of types

(the first homotopical phenomena)



We can think of types as consisting of points (terms) connected by homotopies / paths (identities).

We can:

- have multiple equalities of the same type (e.g.  $p, p' : a =_A b$ )
- take the inverse of an identity/path (e.g.  $p^{-1} : b =_A a$ )
- take the composition of two paths (e.g.  $p \circ q : a =_A c$ )
- have equalities of equalities (e.g.  $\alpha : p =_{a=b_A} p'$ )

This is how homotopies in spaces behave.

The space interpretation.



Thm (Voevodsky) There is an interpretation of dependent type theory into Spaces  
(the category of Kan complexes) in which

types  $\leadsto$  spaces or Kan complexes

terms  $\leadsto$  points or 0-cells

equalities  $\leadsto$  paths or 0-cells of the path object

$$\pi: \sum_{b:B} E(b) \rightarrow B \leadsto$$

or Kan fibrations

$$\prod_{b:B} E(b) \leadsto$$

or the space of sections of  $\pi: \sum_{b:B} E(b) \rightarrow B$

Inverse of equalities :  $\prod_{a,b:A} a =_A b \rightarrow b =_A a$

$$\frac{a:A \vdash r_a : a =_A a}{a,b:A, p: a =_A b \vdash \text{ind}_=(r,a,b,p) b =_A a}$$

$$\lambda a,b,p. \text{ind}_=(r,a,b,p): \prod_{a,b:A} a =_A b \rightarrow b =_A a$$

Composition of equalities :  $\prod_{a,b,c:A} a =_A b \rightarrow b =_A c \rightarrow a =_A c$

=-elim

$$\frac{\begin{array}{l} x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type} \\ x:A \vdash d: D(x, x, r_x) \end{array}}{x:A, y:A, z: x =_A y \vdash \text{ind}_=(d, x, y, z): D(x, y, z)}$$

$$\frac{c:A, a:A \vdash \lambda x. x : a =_A c \longrightarrow a =_A c}{\frac{c:A, a:A, b:A, p: a =_A b \vdash \text{ind}_=(\lambda x. x, a, b, p) : b =_A c \longrightarrow a =_A c}{a, b, c:A, p: a =_A b \vdash \text{ind}_=(\lambda x. x, a, b, p) : b =_A c \longrightarrow a =_A c}}$$

$$\lambda a, b, c. \text{ind}_=(\lambda x. x, a, b, p) : \prod_{a, b, c: A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c$$

Transport.

Prop. For any dependant type  $x:B \vdash E(x)$  type, any terms  $b, b':B$  and any equality  $p: b =_B b'$ , there is a function

$$\text{tr}_{B, p}: E(b) \rightarrow E(b')$$

- This ensures that everything respects equality.

- This is part of a more sophisticated relationship between homotopy theory (Quillen model category theory) and type theory. Transport says that  $\pi: \sum_{b:B} E(b) \rightarrow B$  behave like fibrations in a QMC.

$$\text{Transport} . \prod_{b, b': B} (b =_B b') \rightarrow E(b) \rightarrow E(b')$$

$$\frac{b:B \vdash \lambda x. x : E(b) \rightarrow E(b)}{b:B, b':B, p: b =_B b' \vdash \text{ind}_=(\lambda x. x, b, b', p): E(b) \rightarrow E(b')}$$

$$\lambda b, b', p. \text{ind}_=(\lambda x. x, b, b', p) : \prod_{b, b': B} (b =_B b') \rightarrow E(b) \rightarrow E(b')$$

The homotopical content so far

- Types behave like spaces.
- However, the UIP (the principle of uniqueness of identity proofs) is consistent with what we've done so far.

$$\text{UIP} := \prod_{a, b: A} \prod_{p, q: a =_A b} p =_{a =_A b} q$$

- i.e., we still have an interpretation into  $\mathbf{Set}$ .
- Only with higher inductive types and the univalence axiom, honest homotopical behaviour occurs.
- i.e., we won't have an interpretation into  $\mathbf{sets}$ .