# Differential Item Functioning between English, German, and Spanish PROMIS Physical Function Ceiling Items

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#### Abstract

**Purpose**— We investigated the validity of the German and Spanish translations of 35 new high functioning items added to the Patient Reported Outcomes Measurement Information System (PROMIS®) Physical Function item bank 2.0. We assessed differential item functioning (DIF) between three general population samples from Argentina, Germany, and the United States.

**Methods**—PROMIS Physical Function data was collected in online panels from 3601 individuals (mean age, 41.6 years old; range, 35.6-44.9; 53.7% female). Of these, 1001 participants completed the Spanish version, 1000 completed the German version, and 1600 completed the English version. DIF was assessed by a multiverse analysis that systematically varied analytic choices across the entire range of plausible options within the logistic ordinal regression framework.

**Results**—Translated items generally met the assumptions of unidimensionality, monotonicity, and local independence. The 272 different analyses suggest consistent DIF between languages in four items. Test characteristic curves suggested that the magnitude and impact of DIF on the test scores were negligible for all items at the test level. After correcting for potential DIF, we observed greater scoring for physical functioning in Argentina compared to the US, Cohen's d = 0.25, [0.17, 0.33], and Argentina compared to Germany, Cohen's d = 0.23, [0.15, 0.32].

Conclusions—Our findings support the universal applicability of PROMIS Physical Function items across general populations in Argentina, Germany, and the U.S. The sensitivity analyses indicate that the identification of DIF items was robust for different data analytic decisions. Multiverse analysis is a promising approach to address lack of clear cutoffs in DIF identification.

Keywords: PROMIS; differential item functioning; sensitivity analysis; physical function

# **Plain English Summary**

We wanted to find out whether new questions added to a well-established health questionnaire assessing the ability to perform valued life activities worked similarly in German and Spanish translations compared to the original English version. We tested this by asking over 3600 people from Argentina, Germany, and the U.S. to fill out the questionnaire. We then checked if any questions were answered differently compared to the underlying construct being assessed in each country. We found differences in how a few questions were perceived in Germany and Argentina compared to the U.S., but these differences were very small and did not substantially impact the overall scores. After accounting for these differences, participants in Argentina and Germany scored a higher than those in the U.S. regarding physical abilities. Overall, our study shows that these questions are useful and can be used in different countries without any major differences.

### 1. Introduction

Self-reported physical function (PF) is an important outcome measure in patients recovering from fractures, undergoing physical rehabilitation, and gauging health status and mobility in those living with medical conditions (Beauchamp et al., 2023; Kaat et al., 2018; Voshaar et al., 2019). Historically, the predominant limitation in traditional PF metrics has been the pronounced floor and ceiling effects, which require large sample sizes and correspondingly elevated study costs (Bruce et al., 2013; Fries et al., 2014; Schalet et al., 2016). The PROMIS Physical Function item bank v1.2, with its 121 items, was an improvement over its predecessors, offering a broader measurement range (Rose et al., 2014). However, certain ceiling effects persisted (Bruce et al., 2013; Schalet et al., 2016), making it difficult to differentiate those with high levels of functioning. To address this limitation, the updated PROMIS Physical Function item bank v2.0 aimed to increase the measurement range, particularly at the higher end of physical ability (Schalet et al., 2016). This was achieved by introducing 35 new items.

These newly introduced items were developed in English and were not yet available in the German or Spanish versions of the PROMIS PF item banks. We translated and culturally adapted the 35 new ceiling extension items (v2.0) into both German and Universal Spanish.

To ensure that these translated items have similar measurement properties across all three languages, we need to assess their psychometric properties with a focus on measurement invariance in English-, German-, and Spanish-speaking demographics. Measurement non-invariance, also called differential item functioning (DIF), occurs when individuals from different countries, with the same underlying physical function level, respond differently to a particular item. Addressing DIF is therefore crucial as it ensures culture fairness and accuracy in measurement, promoting valid comparisons across diverse populations (Teresi et al., 2021).

However, there is no consensus on how to best assess DIF (Teresi et al., 2021) resulting in a myriad of different statistical frameworks and methods to choose from (Millsap & Everson, 1993; Scott et al., 2010). PROMIS frequently relies on the assessment of DIF in a logistic regression framework (Patient-Reported Outcomes Measurement Information System, 2013). In this framework, however, there is also no consensus on the specific cutoffs to identify items exhibiting meaningful DIF reliably. Best practices recommend separating out identifying statistically significant DIF from those with practical or impactful effects, which may require evaluation of multiple DIF impact measures (Meade, 2010).

To address this gap of knowledge, we assessed DIF between English, Spanish, and German items using a comprehensive psychometric sensitivity analysis in the logistic ordinal regression framework including a wide range of defensible model specifications. To determine if these specifications influenced the outcomes, we explored the implications of (1) conducting DIF analyses collectively for all countries or pairwise, (2) ignoring or adjusting for age differences among samples, (3) estimating sample specific item parameters or using PROMIS item parameters, and (4) using different criteria for identifying items that demonstrate DIF.

With this study we aimed to evaluate whether the new ceiling item translations of the PROMIS Physical Function item bank version 2.0 into German and Spanish exhibit similar measurement properties as the original English version. Furthermore, we conducted extensive sensitivity analysis to ensure the robustness of our findings under a wide range of model specifications.

## 2. Methods

# 2.1 PROMIS Physical Function Item Bank and its translation

Physical Function (PF) is the ability to perform activities requiring physical actions, which range from basic self-care to more complex tasks needing various skills, often within social contexts (Hays et al., 2013). The PROMIS Physical Function item bank is an Item Response Theory (IRT)-based calibration of a graded response model, which allows for any subset of these items to measure an individual's PF on a standardized T-score scale, representing an average of 50 and a standard deviation of 10 in the general population (Rose et al., 2014; Samejima, 2016). The PROMIS PF version 1.2 itembank consists of 121 items that evaluate the ability to perform tasks using the upper extremities (such as hand dexterity), lower extremities (such as walking and level of mobility), and central body areas (neck and back), alongside the ability to undertake instrumental daily living activities, such as running errands. For the development of PROMIS PF 2.0 item bank, 35 additional items were added to extend the measurement range, in particular for individuals with good physical functioning.

These 35 items were translated into German and Spanish following the PROMIS Standards (Patient-Reported Outcomes Measurement Information System, 2013). The process included creating a glossary for accurate term translation, forward and backward translations by native speakers, and cultural adaptations for regional differences. The German and Spanish versions were refined through cognitive debriefing with participants from relevant countries, using feedback to ensure clarity and cultural relevance. The project was overseen by the PROMIS Translation Director, who ensured consistency and finalized the translations with certification, emphasizing the rigorous approach to maintaining the integrity and universality of the translations.

## 2.3 Data collection

Data from the general population was collected in online panels in Argentina and Germany, targeting adults fluent in Spanish or German respectively, using quotas for age and sex to resemble the marginal distribution in the general population. Non-speakers and those unable to consent were excluded. Data was collected by a social research institute (Cint Deutschland GmbH). Comparable data from English-speaking subjects were already collected as part of the research project that developed the extended item bank through a US-based market research firm (Opinions for Good [Op4G]).

Besides PF, we collected sociodemographic variables and assessed overall health status of the participants with four items from the PROMIS Global Health Physical and Mental 2a two-item short forms (Hays et al., 2017). The Physical Health short form consists of items Global03 (In general, how would you rate your physical health?) and Global06 (To what extent are you able to carry out your everyday physical activities such as walking, climbing stairs, carrying groceries, or moving a chair?). The Global Mental Health items are Global04 (In general, how would you rate your mental health, including your mood and your ability to think?) and Global05 (In general, how would you rate your satisfaction with your social activities and relationships?).

## **2.4** Unidimensionality

The items of a test are considered as unidimensional if they all measure the same, single, latent construct, in this case *physical function*. For each country, we performed a confirmatory factor analysis (CFA), a graded response model (GRM), and analyzed the explained common variance (ECV) to evaluate the theoretical assumption of unidimensionality of the construct and

to establish the foundations for using Item Response Theory (IRT) models. Moreover, we used omega (hierarchical) ( $\omega_h$ ) as an estimate of the general factor saturation of a test (Zinbarg et al., 2005).

We used the following fit statistics and thresholds to indicate good model fit: root mean squared error of approximation (RMSEA) < 0.06, standardized root means square residual (SRMR)  $\le 0.08$ , comparative fit index (CFI)  $\ge 0.95$ , and Tucker-Lewis index (TLI)  $\ge 0.95$  (Schreiber et al., 2006). To determine how well a unidimensional graded response model fitted the data, the M2\* test statistic was calculated (Cai & Hansen, 2013). As suggested by Reise et al. (2013), we used the explained common variance by the general factor (ECV, cut-off < 0.6) as well as the coefficient omega hierarchical (OmegaH, cut-off > 0.8) as additional indicators of sufficient unidimensionality.

# 2.5 Monotonicity

Monotonicity refers to a consistent, non-decreasing relationship between individual item scores and the levels of the underlying construct they measure. If one respondent scores higher on a specific item than another, their total score on the assessment should reflect this by not being lower than the score of the second respondent. For our analysis, we adopt the threshold of Loevinger's *H* values greater than 0.3 as an indicator of monotonicity, following the guidelines suggested by Sijtsma & Molenaar, 2002, which compares the number of violations to this pattern to the number that would be expected in a set of unrelated items (van Schuur, 2003).

# 2.5 Item independence

The assumption of independence posits that the relationship between any two items is solely mediated by the construct they measure. To examine this, we used Yen's Q3 residual covariance

statistic, adopting a criterion where values greater than 0.2 signal the presence of local dependence between items, as noted by Christensen et al., 2017. Elevated residual covariance implies that responses to one item might influence responses to another or that both items are capturing an additional, unintended construct.

## 2.8 Measurement invariance

Measurement invariance refers to the stability of the relationship between item responses and levels of the physical function, irrespective of population subgroup, such as countries (Teresi et al., 2021). Violations of measurement invariance indicate differential item functioning (DIF), a phenomenon that can skew the interpretation of an item's measurement across diverse contexts and lead to bias.

To illustrate, consider an item that asks about difficulties encountered when using public transportation. At similar levels of physical function, respondents from countries with well-developed public transportation systems, like Japan or Germany, might report fewer difficulties compared to respondents from countries where public transport systems are less accessible, such as in some rural areas of the United States. Hence, the item does not equally measure physical function across different country contexts, but rather reflects differences in infrastructure, accessibility, and culture related to transport e.g., quality, use, and access to public transport.

DIF can manifest in two distinct forms: uniform and non-uniform. Uniform DIF occurs when a specific comparison group (e.g., respondents from Argentina) consistently shows a higher or lower likelihood of selecting responses across all levels of the underlying trait. In contrast, non-uniform DIF emerges when the direction of response probabilities shifts between

groups at varying levels of the trait, such as when the likelihood of choosing a certain response is greater for individuals with lower levels of physical function but diminishes for those with higher levels of function (Teresi et al., 2021).

In our analyses, we investigated DIF using the ordinal logistic regression framework (Choi et al., 2011). This method compares the fit of different ordinal logistic regression models to predict item responses to an item based on the latent construct. If DIF exists, the addition of the covariate of interest (e.g. country) improves model. Using this framework, a main effect for the covariate is indicative of uniform DIF, while the interaction between the conditioning score and the covariate would represent non-uniform DIF.

Within this framework a wide range of plausible analysis strategies are possible and analytic choices and decisions can influence the results and conclusions drawn from the analysis. To ensure the robustness of our findings, we decided to conduct a multiverse analysis approach to include all plausible choices (Shunsen et al., 2023; Simonsohn et al., 2015, 2020; Steegen et al., 2016). This novel approach, which we term 'Multiverse DIF analyses', involves systematically varying the analytic choices across the entire range of plausible options and examining how these choices affect which items are flagged for DIF.

Specifically, we varied the following factors:

- 1) the country comparison (so we compared either all three countries simultaneously, or compared USA with Argentina, USA with Germany, or Argentina with Germany);
- whether or not to include age as predictor in the ordinal regression models, as the
   Argentinian sample was on average nine years younger;

- 3) the parameters for the Item Response Theory (IRT) model to estimate the latent trait (either using established PROMIS parameters or estimating parameters from the data at hand using a multigroup GRM);
- 4) the detection criterion for DIF, including likelihood ratio tests (LRT), LRT with Bonferroni correction, LRT with Benjamini-Hochberg correction, change in beta, and pseudo R-squared values (Cox-Snell, Nagelkerke, McFadden); and
- 5) the respective flagging criteria, meaning the threshold for determining the presence of DIF, with different values for LR (0.02, 0.03, 0.05), Beta (0.01, 0.05), and  $R^2$  (2%, 3%, 5%).

Overall, this gives 272 unique combinations. We assessed the frequency with which each item was flagged across all analytical strategies. This approach enabled us to pinpoint specific items that consistently exhibited DIF and to identify which analytic decisions led to significantly divergent outcomes.

# 2.6 Impact of DIF

To comprehensively assess the potential impact of DIF at the item level, we employed a visualization strategy. We compared models ignoring and accounting for DIF between languages, using Bland-Altman Plots to compare T-Scores across the spectrum of PF. We also compared the overall distribution of T-Scores in each sample and assessed the test characteristic curve.

# **2.6 Open Science Practices**

All data and R code for reproducible data analysis can be found at the Open Science Framework (https://osf.io/c75qv/). As PROMIS item parameters are proprietary, we followed recommendations to perturbate item parameters (Mansolf et al., 2023).

# 3 Results

# 3.1 Descriptives

See Table 1 for the information on demographic characteristics of the three countries, and on the distribution of the PROMIS Physical Function and PROMIS Global Health. Furthermore, Fig. S1 displays the item responses to the 35 new items across the three countries.

**Table 1.** Summary statistics of sociodemographic information and PROMIS Measures

Sociodemographic Factors	USA (N = 1600)	Germany (N = 1000)	Argentina (N = 1001)
Age			
min	18	18	18
max	88	69	69
mean (sd)	44.27 ± 16.15	44.93 ± 14.54	35.58 ± 11.84
median	43	46	34
Gender, female			
N (%)	926 (58)	513 (51)	489 (49)
Education			
Basic Education	115 (7)	77 (8)	284 (28)
Secondary Education	398 (25)	395 (40)	367 (37)
Vocational/Some College	459 (29)	293 (29)	242 (24)
Higher Education	628 (39)	235 (24)	108 (11)
PROMIS Physical Function			
min	18.99	18.99	24.1
Floor (%)	12 (1)	6 (1)	1 (0)
max	74.98	74.98	74.98
Ceiling (%)	90 (6)	27 (3)	21 (2)
IQR	15.78	13.04	9.34
mean (sd)	50.27 ± 12.26	51.37 ± 10.28	52.77 ± 7.84
median	50.86	52.02	52.46
PROMIS Global Physical Health			
mean (sd)	-	$48.27 \pm 7.90$	$50.04 \pm 8.03$
median	-	50	50
PROMIS Global Mental Health			
mean (sd)	-	$47.65 \pm 7.81$	50.57 ± 7.54
median	-	48.6	48.6

Note. All PROMIS measures are reported as T-Scores with a mean of 50 and a standard deviation of 10 in the general population. We assessed PROMIS Global Mental and Physical Health with the PROMIS Global Health Physical and Mental 2a two-item short forms (Hays et al., 2017).

# 3.2 Unidimensionality

Results of the unidimensionality assessment are shown in Table 2. Neither the fit indices of the CFA nor the GRM provided support for the unidimensionality hypothesis. Fit indices varied across countries, with the USA showing the most favorable estimates. An exploratory bifactor model suggested a predominantly unidimensional structure of the data given the ECV.

Table 2. Unidimensionality testing based on CFA, GRM, and Bifactor Model

	a) CFA			b) GRM							c) Bifactor M	odel				
Country	CFIra	$TLI_{r}^{b}$	RMSEA <sub>r</sub>	M2*	df	p	CFIa	TLI <sup>b</sup>	SRMR°	RMSEA <sup>d</sup>	RMSEArd	CFI <sub>r</sub> <sup>a</sup>	TFI <sub>r</sub> b	SRMR°	ECV <sup>e</sup>	OmegaH <sup>f</sup>
All			0.106							0.082	0.065	0.927	0.917	0.031		
Countries	0.838	0.828	[0.104,	11,572.630	455	< 0.01	0.906	0.899	0.044	[0.081,	[0.064,				0.810	0.872
Countiles			0.107]							0.084]	0.067]					
			0.095							0.058	0.056[	0.955	0.948	0.027		
USA	0.890	0.883	[0.093,	2,889.856	455	< 0.01	0.964	0.961	0.035	[0.056,	0.053,				0.828	0.878
			0.097]							0.06]	0.058]					
			0.114							0.07	0.069	0.878	0.860	0.049		
Argentina	0.737	0.720	[0.111,	2,683.602	455	< 0.01	0.866	0.856	0.065	[0.067,	[0.066,				0.663	0.752
· ·			0.117]							0.073]	0.072]					
			0.135							0.103	0.078,	0.902	0.888	0.041		
Germany	0.761	0.746	[0.132,	5,293.492	455	< 0.01	0.852	0.841	0.054	[0.101,	[0.075,				0.781	0.854
,			0.138]	•						0.106]	0.0801					

Abbreviations: CFI, comparative fit index; CFI<sub>r</sub> = CFI robust; TLI, Tucker-Lewis index; TLI<sub>r</sub>, Tucker-Lewis index robust; SRMR, standardized root means square residual; Brackets indicate 90% confidence interval; RMSEA, root mean square error of approximation; RMSEA, robust root mean square error of approximation; p = p-value of M2

a A CFI value of 0.95 or larger is considered to indicate good model fit. b A TLI value of 0.95 or larger is considered to indicate good model fit.

c A SRMR value of 0.08 or smaller is considered to indicate appropriate model fit.

d An RMSEA value of 0.08 or smaller is considered to indicate appropriate model fit.

e An ECV value of 0.6 or larger is considered to indicate sufficient unidimensionality of a model. f An OmegaH value of 0.8 or larger is considered to indicate sufficient unidimensionality of a model.

# 3.3 Monotonicity

All items in each scale showed Loevinger's H statistics > 0.3, with an overall Scale H = 0.601, SE = 0.007, suggesting monotonicity.

## 3.4 Item independence

In total, 22 item pairs out of 595 unique covariances (<5%) showed a higher residual covariance statistic Yen's Q3 than 0.20, see Table SX. The highest residual correlation was 0.48 between PFM38 (Are you able to lift and load one 50-pound (25 kg) bag of sand into a car?) and PFM 44 (Are you able to carry a 50 lb (25 kg) bag of sand 25 yards (25 m)?). Given that some residual covariance should be expected to occur even by chance, and that so few potential item doublets occurred, these results are broadly supportive of the local independence assumption necessary to proceed with IRT modeling.

# 3.5 Differential Item Functioning

## 3.5.1 Multiverse Differential Item Functioning (DIF) Analysis

In our multiverse DIF analysis, we conducted a total of 272 DIF analyses. Fig. 1 shows the amount of DIF detected varies greatly between analyses—from zero to all 35 items being flagged. The histogram highlights the skewness towards analyses that identified a fewer number of items, with a noticeable concentration in the 0-5 item range. The right tail of the histogram, which includes analyses flagging more than ten items, is exclusively composed of analyses using Likelihood Ratio-based criteria (incorporating both Bonferroni and Benjamini-Hochberg corrections for multiple testing).

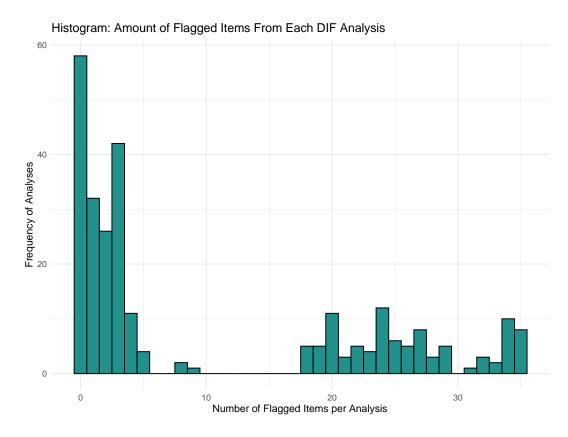


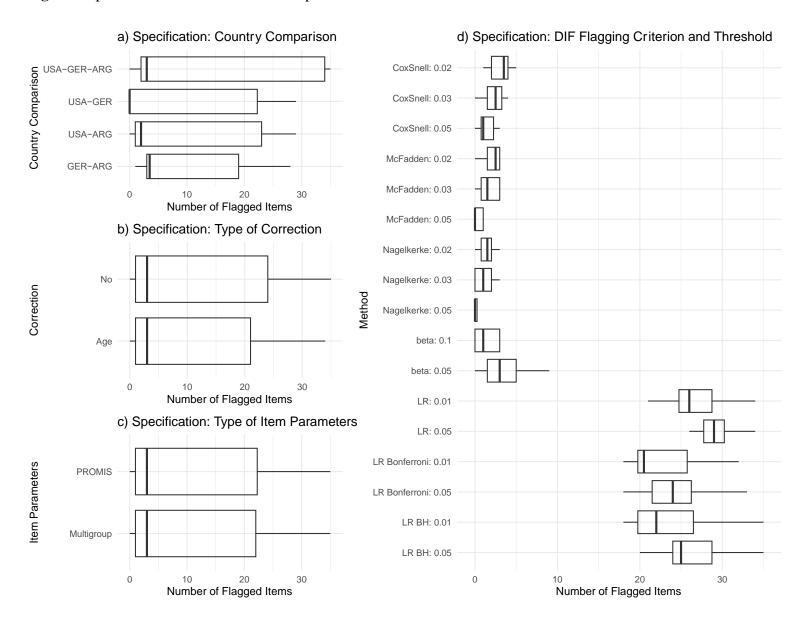
Fig. 1 Histogram for number of flagged items from each DIF analysis

*Note*. This histogram captures the range of outcomes from multiple Differential Item Functioning (DIF) analyses. Each bar represents the frequency of analyses that flagged a certain number of items, with the x-axis specifying the number of items flagged and the y-axis depicting the count of analyses.

Fig. 2 revealed systematic patterns in these results . Panel a) illustrated a notable trend where the comparisons between the US and Germany yielded minimal DIF items, indicated by the median's proximity to zero and conversely that the Germany-Argentina comparison frequently identified multiple DIF items. Simultaneously, Panels b) and c) suggest that whether correcting for age differences or choosing different item parameters only has a marginal effect on

the number of flagged DIF items. Panel d) shows that LR methods were much more sensitive to identify DIF. As we suspected that the LR based methods introduced noise towards our analysis, we removed them from our investigation from this point forward. The pseudo- $R^2$  estimators and beta coefficients exhibited variable sensitivity, ranging from identifying negligible to a moderate number of DIF items, hinting at a more graduated approach to flagging potential DIF items. This diversity in outcomes underscores the importance of methodological consideration in DIF analysis. See Table 3 for the percentages of flagged items for each specification.

Fig. 2 Boxplots of the multiverse for each specification



*Note.* The figure displays the results from a series of Differential Item Functioning (DIF) analyses employing a multiverse approach. Each point within the boxplots represents an individual DIF analysis, the vertical black line the median, and the surrounding box its 25<sup>th</sup> and 75<sup>th</sup> quantiles. Panel a) shows country comparisons, with pairs of countries on the vertical axis and the number of flagged items on the horizontal axis. Panel b) explores the impact of age correction on DIF detection. Panel c) depicts the number of flagged items when using different types of item parameters: PROMIS versus Multigroup. Lastly, Panel d) presents a range of DIF flagging criteria and thresholds.

**Table 3.** Percentage of flagged items based on all specifications within the DIF multiverse

Specification	Items Flagged	Flagged Items LR Excluded (%)	Flagged Items All Methods (%)		
a) Country Comparison					
Germany-Argentina	663	2.2	7.0		
USA-Argentina	641	1.1	6.7		
USA-Germany	588	0.1	6.2		
USA-Germany-Argentina	903	1.5	9.5		
b) Correction					
For Age	1341	2.0	14.1		
No Correction	1454	2.8	15.3		
c) Item Parameters					
Estimated with multigroup	1399	2.4	14.7		
PROMIS	1396	2.4	14.7		
d) Flagging Criterion					
R <sup>2</sup> Cox	106	1.7	1.1		
R <sup>2</sup> McFadden	67	1.1	0.7		
R <sup>2</sup> Nagelkerke	48	0.8	0.5		
beta	78	1.3	0.8		
LR	2496	-	26.2		

Note. Items Flagged: Total number of times an item was flagged in all 272 DIF analyses; Percentages items were flagged based on different specifications for a) country comparisons, b) correction for age differences, c) different item parameters and c) different flagging criteria. Flagged items based on all methods, including LR, are included as a point of reference, but our interpretation focusses on methods except the LR method.

During our examination of the remaining 176 DIF assessments without the LR-based methods, we identified DIF disproportionally often (applying a post hoc cutoff of more than 10% of analyses) in four specific items: Item **PFM46** ("Are you able to pull a sled or a wagon with two children (total 100 lbs/50 kg) for 100 yards (100 m)?") was flagged in 60.2% of these analyses, item **PFM33** ("Are you able to walk across a balance beam?") in 52.8%, item **PFM16** 

<sup>1</sup> Notably, most items were consistently flagged in analysis using the LR method (74% of items), leading us to exclude this estimator from our figures and the results section for clarity. These methods, even after correcting for multiple testing and false discovery rates, tend to produce type 1 errors in highly powered contexts.

("Are you able to pass a 20-pound (10 kg) turkey or ham to other people at the table?") in 34.1%, and item **PFM51** ("Are you able to swim laps for 30 minutes at a moderate pace?") in 10.2%. A comprehensive overview of all items can be found in Table 4, revealing that 22 items were never flagged, and 9 items were flagged between 1 and 5 times. See Fig. S2a-d for all combinations of country comparisons and statistical methods associated with each flagged DIF item.

 Table 4. Times Individual Items Were Flagged for DIF

Item ID	Item Stem	k	Percent
	Are you able to pull a sled or a wagon with two children (total 100		
PFM46	lbs/50 kg) for 100 yards (100 m)?	106	60.2
PFM33	Are you able to walk across a balance beam?	93	52.8
	Are you able to pass a 20-pound (10 kg) turkey or ham to other people		
PFM16	at the table?	60	34.1
PFM51	Are you able to swim laps for 30 minutes at a moderate pace?	18	10.2
PFM40	Are you able to climb a 6-foot (2 m) ladder?	5	2.8
PFM12	Are you able to lift a heavy object (20 lbs/10 kg) above your head?	4	2.3
	Are you able to hit the backboard with a basketball from the free-throw		
PFM15	line (13 ft/4 m)?	3	1.7
PFM26	Are you able to make sharp turns while running fast?	3	1.7
	Are you able to lift and load one 50-pound (25 kg) bag of sand into a		
PFM38	car?	2	1.1
PFM44	Are you able to carry a 50 lb (25 kg) bag of sand 25 yards (25 m)?	2	1.1
PFM1	Are you able to dig a 2-foot (1/2 m) deep hole in the dirt with a shovel?	1	0.6
PFM25	Are you able to come to a complete stop while running?	1	0.6
PFM43	Are you able to push an empty refrigerator forward 1 yard (1 m)?	1	0.6
	Are you able to lift a heavy painting or picture to hang on your wall		
PFM2	above eye-level?	0	0
	Are you able to paint the walls of a room with a brush or roller for 2		
PFM3	hours without stopping to rest?	0	0
PFM4	Are you able to row a boat for 30 minutes without stopping to rest?	0	0
	Are you able to hand wash and wax a car for 2 hours without stopping		
PFM6	to rest?	0	0
PFM7	Are you able to complete 5 push-ups without stopping?	0	0
	Are you able to rake leaves or sweep for an hour without stopping to	_	
PFM9	rest?	0	0
PFM10	Are you able to do a pull-up?	0	0
DEN 44 7	Are you able to remove a heavy suitcase (50 lbs/25 kg) from an		•
PFM17	overhead bin on an airplane or bus?	0	0
PFM18	Are you able to continuously swing a baseball bat or tennis racket back and forth for 5 minutes?	0	0
		_	_
PFM19	Are you able to complete 10 sit-ups without stopping?	0	0
PFM21	Are you able to climb the stairs of a 10-story building without stopping?	0	0
PFM23	Are you able to walk briskly for 20 minutes without stopping to rest?	0	0
PFM27	Are you able to jump rope for 10 minutes without stopping?	0	0
PFM28	Are you able to jump over an object that is 1 foot (30 cm) tall?	0	0
PFM29	Are you able to jump over a puddle that is 3 feet (1 m) wide?	0	0
PFM32	Are you able to jump 2 feet (60 cm) high?	0	0
PFM34	Are you able to stand on one foot with your eyes closed for 30 seconds?	0	0
	Are you able to walk in a straight line putting one foot in front of the	_	_
PFM35	other (heel to toe) for 5 yards (5 m)?	0	0

	Are you able to put your hands flat on the floor with both feet flat on		
PFM36	the ground?	0	0
	Are you able to carry a large baby (15 lbs/7 kg) out of the house to a car		
PFM37	or taxi?	0	0
PFM49	Are you able to stand up from a push-up position five times quickly?	0	0
PFM53	Are you able to dance energetically for an hour?	0	0

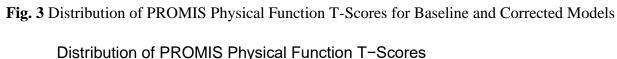
Note. k = Number of times an item was flagged for DIF; Bold = Items flagged in > 10% of analyses.

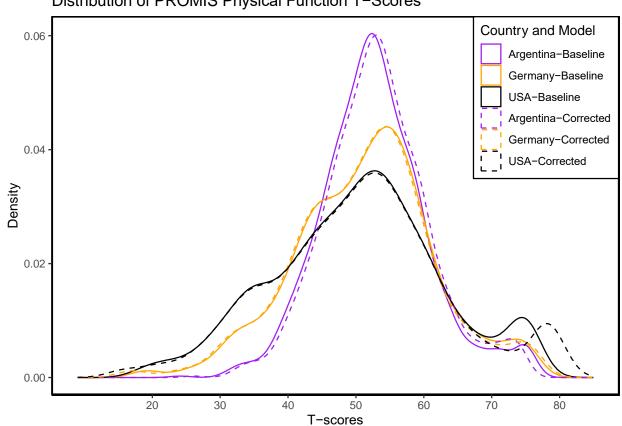
# 3.5.2 Influence of Flagged DIF Items at the Test Level

Overall, correcting for DIF had only a small impact on the overall distribution of T-Scores, see Fig. 3 for a comparison of T-Scores between the baseline model, which assumes that item parameters are identical across countries, and a corrected model, which estimates item parameters freely for items that were flagged for DIF in >10% of the multiverse DIF analyses (PFM16, PFM33, PFM46, and PFM 51). We used a Bland-Altman plot to further assess the agreement of individual scores. Specifically, this plot illustrate the difference between the T-Scores obtained by the two models against the average of those measurements. Ideally, if both methods are in perfect agreement, the differences should be randomly scattered around zero, showing no systematic bias.

We found a negligible mean difference of -0.16 T-Scores between the corrected and the baseline model. 95% of differences were between -1.50 and 1.18, indicating that even on an individual level, the model difference is small. The Bland-Altman plot analysis reveals a discernible pattern of agreement between the baseline and corrected models across three countries (Fig. 4). Germany and the USA exhibit a more consistent and similar pattern, with the differences between the models' T-Scores clustering closely together. This suggests that for these countries, the baseline and corrected models yield more comparable scores. Initially, for lower average T-scores, both countries display a positive difference, indicating that the baseline model scores are higher than those of the corrected model. However, as the average T-scores increase, this trend reverses for the USA, with the differences becoming negative, pointing to the baseline model producing lower scores than the corrected model at higher T-scores.

In contrast, Argentina presents a notably different trend, with larger variability in the differences between the baseline and corrected model scores. The differences for Argentina start below the mean difference line for lower T-scores, suggesting the baseline model scores are lower in this range. Yet, as the T-scores increase, these differences cross above the mean difference line, indicating higher scores from the baseline model at the upper end of T-scores. This variable pattern of agreement suggests that the items flagged for DIF may have a more pronounced effect on the T-Scores in the Argentine sample. The outliers observed, particularly for Argentina and the USA, underscore potential instances of significant discrepancy between the models that might require further investigation.





*Note*. This graph shows smoothed histograms of the physical function levels of study participants from the USA (black solid line), Germany (orange solid line), and Argentina (purple solid line) as measured by the PROMIS Physical Function scale (*T*-Scores) based on the baseline model using the same parameters across countries. The dashed lines represent the corrected models, where item parameters were estimated freely for Items flagged for DIF. There is broad overlap in the distributions, though individuals from the USA and Germany demonstrated lower levels of Physical Function than individuals form Argentina. There was a stronger ceiling effect for the USA distribution at T-Score = 75 than for Germany or Argentina, which increased to T = 80 in the corrected model.

Bland-Altman Plot: Baseline vs Corrected Model Flagged DIF Items: PFM16, PFM33, PFM46, PFM51 +1.96SD: 1.18 Difference between T-Scores Country Argentina Mean: -0.16 USA -1.96SD: -1.50 20

Fig. 4 Bland-Altman Plots for the Comparison Between Baseline and Corrected Model

*Note*. The black dotted line represents the mean difference between T-scores, the blue lines the upper and lower limits of agreement. If the methods would yield perfectly aligned results, the points in each plot would be expected to randomly jitter within the limits of agreement.

Average of both T-Scores

See Fig. S3 and S4 for additional Test Information Function plots and Test Characteristic Curves plots suggesting minor differences between the baseline and corrected models.

## **Identification of Uniform DIF in Select PROMIS Items**

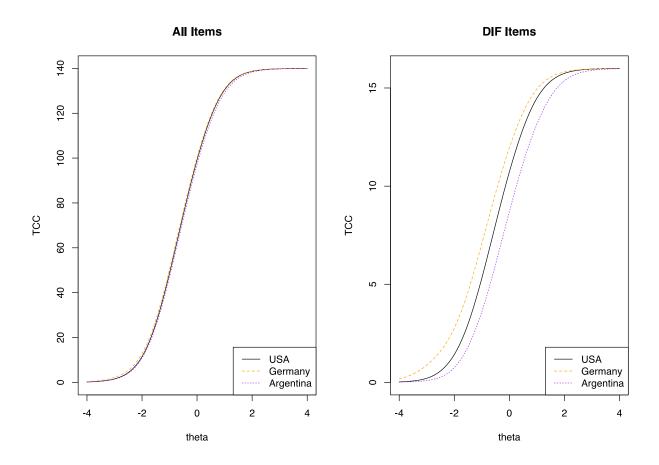
We thoroughly examined the four items that were identified in the multiverse DIF analysis—PFM16, PFM33, PFM46, and PFM51—and revealed a consistent pattern of uniform DIF.

The graphical analyses presented in Fig. S5 to S8 offer a detailed visualization of the uniform DIF observed in specific PROMIS items across the USA, Germany, and Argentina. The item characteristic curves (ICCs) and item response functions shed light on how participants from these countries perceive and respond to items PFM16, PFM33, PFM46, and PFM51. Fig. S5 illustrates that item PFM16 exhibits uniform DIF across all countries, primarily at lower theta levels, suggesting a universal challenge in this item's interpretation at lower physical function levels. Similarly, item PFM33, as depicted in Fig. S6, reveals that the difference in ICCs between the USA and Germany is more pronounced at lower theta levels, whereas the difference between the USA and Argentina emerges at medium theta levels. This pattern underscores the nuanced ways in which physical function is conceptualized across these cultures. Fig. S7 showcases item PFM46, where the discrepancy in ICCs between Argentina and the other two countries is mainly at medium levels of theta, indicating a distinct perception of this item's difficulty or relevance in Argentina as compared to the USA and Germany. Lastly, Fig. S8 for item PFM51 highlights that differences in ICCs between the USA and Germany are notable at lower levels of theta, while the differences between the USA and Argentina become more prominent at medium theta levels.

Despite these observed differences, the lower-right graphs in each Fig. (S5-S8), which represent the absolute differences between the ICCs weighted by the score distribution for the focal group (the USA), consistently indicate a minimal overall impact. This suggests that while there are country-specific differences in how certain items are perceived or answered, these do not substantially alter the test's ability to measure physical function uniformly across these diverse populations.

Fig. 5 suggests that at the overall test level there are negligible differences in the total expected score for individuals from all three countries. However, when only DIF items were included in the model, individuals from Germany tended to have lower scores at lower physical function levels, and individuals from Argentina had higher theta scores across all levels of physical function than both Germany and the USA.

Fig. 5 Impact of DIF items on test characteristic curves



Note. These graphs show test characteristic curves (TCCs) for USA (black), Germany (orange dashed), and Argentina (purple dotted) using demographic—specific item parameter estimates. TCCs show the expected total scores for groups of items at each physical function level (theta). The graph on the left shows these curves for all of the items (both items with and without DIF), while the graph on the right shows these curves for the subset of these items found to have DIF. These curves suggest that at the overall test level there are some differences in the total expected score for individuals from all three countries. At the same level of ability (i.e., the same theta score on the x-axis), individuals from Germany obtained higher expected observed sum scores than while at the same level of ability individuals from Argentina had lower observed sum scores than the USA.

#### Discussion

# **Main Findings**

In this study, we translated and validated new ceiling items from the PROMIS Physical Functioning item bank into German and Spanish for use in Germany, Argentina, and the USA. We identified four items that were consistently susceptible to DIF across various analytic scenarios. The influence of these items on test-level scores was minimal, but could be more significant in Computerized Adaptive Testing (CAT) applications or when creating tailored short forms. In CATs, DIF can disrupt the algorithm's ability to select the most appropriate subsequent items, potentially leading to inaccurate or unfair assessments. For custom short forms, DIF in even a single item can substantially skew the results, as each item carries more weight in the overall scoring due to the brevity of the form. Hence, we recommend to omit these 4 items in CATs or short forms.

A critical aspect of our DIF analysis was the selection of the DIF flagging criterion and threshold. Analytic decisions besides the age adjustment, choice of item parameters, and country comparisons were similarly influential as the selection of the flagging method. For example, the use of Nagelkerke R<sup>2</sup> with a threshold of 0.05 flagged 0.1% of items, Beta with 0.05 flagged 1% of items, while each individual LR method flagged more than 5% of items. To assist researchers in navigating these complex decisions, we developed a 'lordif' wrapper function that calculates and visually represents the potential impact of different estimators on DIF flagging (https://github.com/cyplessen/lordifMultiverse). This tool provides a valuable resource for researchers to determine the robustness of their analytic decisions and the likelihood of items being flagged across a range of scenarios.

The robustness of our identified DIF items was supported by the stability of findings across multiple reasonable analytic decisions, which reinforces the identification of items sensitive to DIF. The impact of age differences between countries and the choice of item parameters were negligible factors in DIF detection. However, the variation in DIF-flagged items between country comparisons highlighted that cultural and linguistic differences might affect item perception differently, emphasizing the need for careful consideration in multinational research contexts.

## **Content Based Explanation of Different Item Properties**

The DIF observed in various physical and sports-related items among respondents from the USA, Germany, and Argentina could be attributed to the cultural context, traditional practices, and varying degrees of exposure and familiarity with the activities in question. For instance, the sled or wagon pulling item (PFM 46) might highlight the influence of specific cultural and recreational activities prevalent in each country. In the USA, where activities involving sleds or wagons may be traditional, respondents are likely to find these scenarios more relatable and manageable. Similarly, the item about passing a large turkey or ham at the table (PFM 16) resonates differently across cultures, with the USA having a unique connection to this activity through US Thanksgiving traditions. The balance beam (PFM 33) and swimming laps items (PFM 51) also demonstrate DIF, reflecting variations in physical education curriculums, access to facilities, and cultural attitudes towards fitness and physical challenges. While regions in the USA and Germany might emphasize activities that develop balance and swimming skills, making these tasks seem more feasible, such emphasis might be less in Argentina, or the infrastructure might not support regular participation in these activities.

# **Strengths and Limitations**

Our study demonstrates considerable robustness through the sensitivity of our findings across a variety of reasonable analytic decisions. The identification of uniform DIF in specific items, notably PFM16, PFM33, PFM46, and PFM51, underscores the meticulous nature of our analytical approach. Furthermore, the minimal impact of DIF on the test characteristic curves and the universal applicability of PROMIS items across diverse populations from Argentina, Germany, and the U.S. highlight the global relevance and adaptability of the PROMIS initiative. Moreover, our detailed item-level analysis offers in-depth insights into how each item functions across different cultural contexts, providing a strong foundation for future refinements of the PROMIS item bank and the creation of culturally sensitive assessment tools.

However, our study is not without its limitations. The consistent flagging of all items for DIF using the LR method raises concerns about its oversensitivity and questions its practical utility in discerning meaningful differences. Moreover, our analysis was confined to the lordif framework, not extending to other established methods for DIF analysis such as multiple-group confirmatory factor analysis, other IRT-based methods, Mantel-Haenszel procedures, or the Rasch Model Comparison Test (Woods et al., 2013; Wu & Estabrook, 2016).

The possibility of multidimensionality within the Physical Functioning item bank due to its inclusion of various subdomains might have led to suboptimal unidimensionality measures, impacting the precise assessment of physical functioning. Additionally, the incomplete data harmonization process due to missing variables across countries, notably in the U.S. sample, where anchor variables and Global and mental health short forms were not collected, may have limited the comprehensiveness of our analysis. Lastly, the significant age difference, with the

Argentinian sample being on average 10 years younger than the U.S. and German samples, could have implications for the interpretation of DIF and the generalizability of the findings across these populations—even though we corrected for these differences in our multiverse analysis.

## **Overall Conclusion**

Our analysis supports the universal applicability of the PROMIS physical functioning items across populations in Argentina, Germany, and the U.S. Despite the identification of DIF in some items, the overall impact on test scores is negligible, and the test characteristics remain robust. However, slight variations in scores after correcting for DIF—lower for Germany and higher for Argentina compared to the U.S.—highlight the subtleties of cross-cultural measurement and the need for ongoing evaluation. In multinational studies, the exclusion of DIF-affected items or the use of country-specific item parameters and/or group hyperparameters may be necessary for the optimal administration of computer adaptive tests and the formulation of tailored short forms. The study's multiverse DIF analysis approach, accounting for age and other factors, provides a strong foundation for the PROMIS items' use, indicating that they maintain their validity and reliability across different countries and cultural contexts.

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## **Competing Interests**

"The authors have no relevant financial or non-financial interests to disclose."

#### **Author Contributions**

Constantin Yves Plessen: Conceptualization, Methodology, Software, Writing- Original draft preparation, Visualization, Formal analysis. Gregor Liegl: Writing- Original draft preparation.

Claudia Hartmann: Writing- Reviewing and Editing. Marilyn Heng: Writing- Reviewing and Editing. Alexander Joeris: Writing- Reviewing and Editing. Aaron J. Kaat: Writing- Reviewing and Editing. Benjamin D Schalet: Writing- Reviewing and Editing. Felix Fischer: Conceptualization, Methodology, Writing- Reviewing and Editing, Supervision, Formal analysis.

Matthias Rose: Supervision, Writing- Reviewing and Editing. AOBERT members: (Mark Vrahas, Stephen E. Gwilym, Marcel Orth, Benedikt J. Braun, Peter Augat, Nan E. Rothrock, Livio Di Mascio, Tim Pohlemann, Stefan Döbele): Writing- Reviewing and Editing.

#### **Ethics approval**

# **Consent to participate**

"Informed consent was obtained from all individual participants included in the study."

# **Consent to publish**

"The authors affirm that human research participants provided informed consent for publication."

# Appendix

Fig. S1 Item Responses of all Included Ceiling Items

**Item Responses** 

PFM21 PFM23 S PFM25 PFM26 PFM27 PFM28 PFM29 PFM32 PFM33 PFM34 PFM35 PFM36 PFM37 PFM38 PFM40 PFM43 PFM44 PFM46 PFM49 PFM51 PFM53 0%

25%

50%

75%

100%

# **PROMIS Physical Functioning** Some Difficulty Much Difficulty PFM1 PFM2 PFM3 PFM4 PFM6 PFM7 PFM9 PFM10 PFM12 PFM15 PFM16 PFM17 PFM18 PFM19

*Note*. This graph displays participants' responses to the 35 new ceiling items across Argentina, Germany, and the US.

25%

50%

% Responses

75%

100%

25%

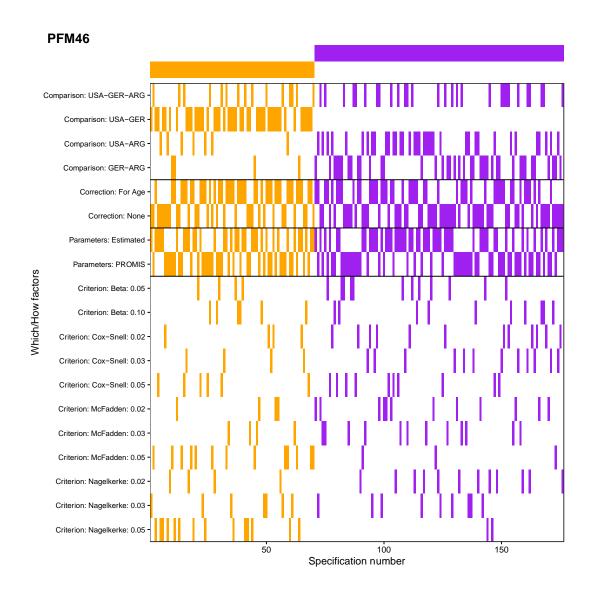
50%

75%

100

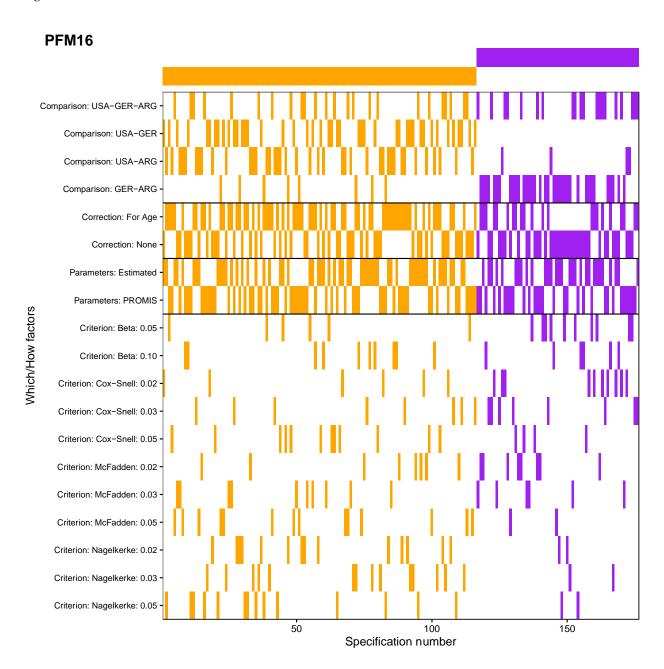
Fig. S2 a-d Which and How Factor Combinations for Individual Flagged Items

Fig. S2a



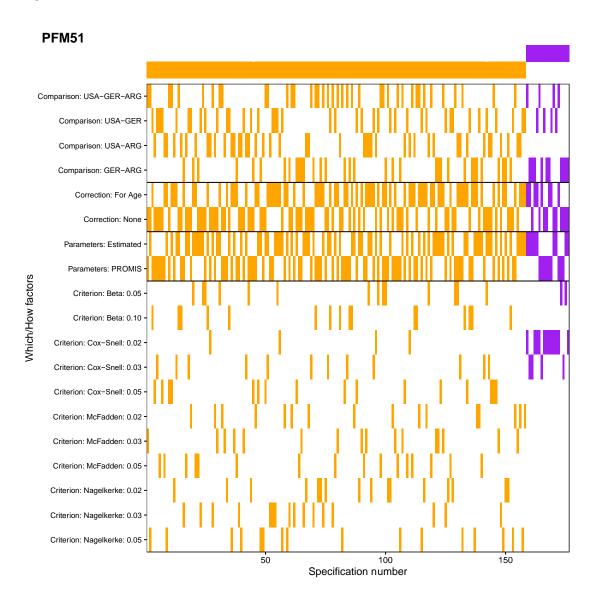
Note. Item PFM46 Are you able to pull a sled or a wagon with two children (total 100 lbs/50 kg) for 100 yards (100 m)? was flagged for DIF in 60.2% of all 176 analyses. Most often for the comparison between Germany and Argentina.

Fig. S2b



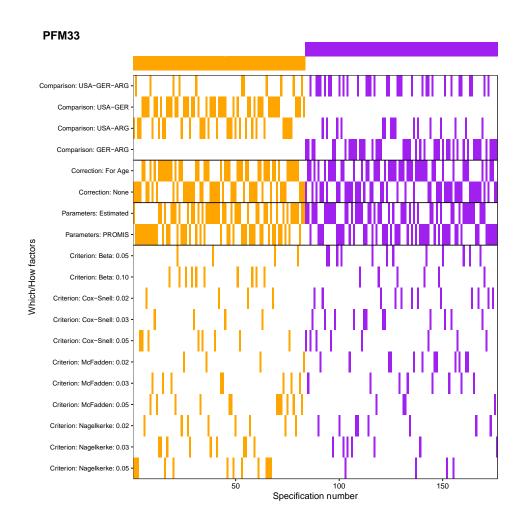
Note. Item PFM16 Are you able to pass a 20-pound (10 kg) turkey or ham to other people at the table? was flagged for DIF in 34.1% of all 176 analyses. Most often for the comparison between Germany and Argentina.

Fig. S2c



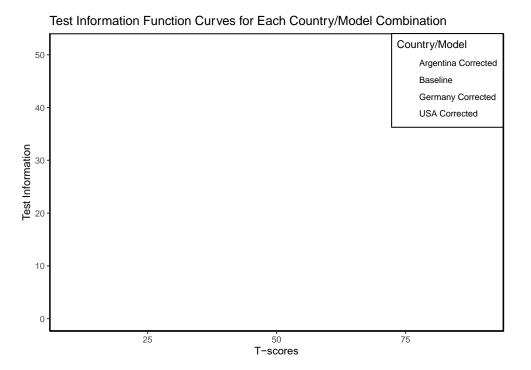
*Note.* Item PFM51 *Are you able to swim laps for 30 minutes at a moderate pace?* was flagged for DIF in 10.2% of all 176 analyses. Most often for the comparison between Germany and Argentina.

Fig. S2d



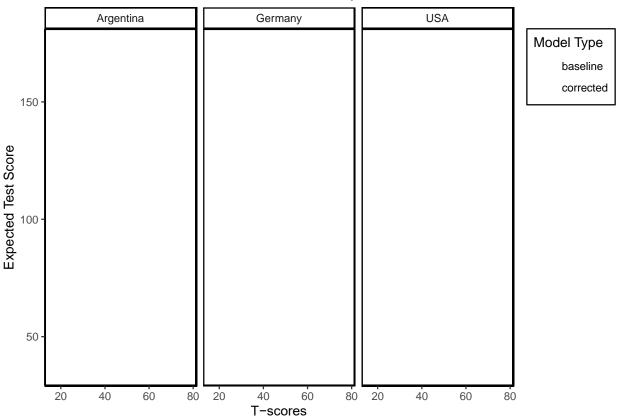
*Note*. Item PFM33 *Are you able to walk across a balance beam?* was flagged for DIF in 52.8% of all 176 analyses. Most often for the comparison between Germany and Argentina.

Fig. S3. Test Information Function Plot



*Note*. This graph shows test information function (TIF) curves for the baseline model with fixed item parameters across all countries (blue), and the models with freed parameters for the identified DIF items (PFM 16, PFM 33, PFM 47, PFM 51) for each individual country.

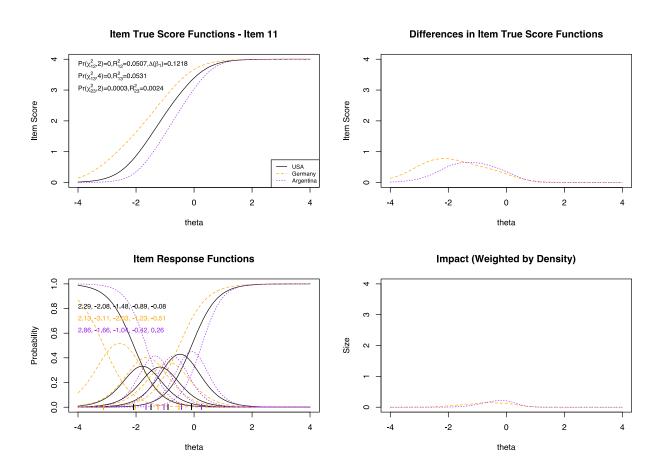
Fig. S4 Test Characteristic Curve Plot



Test Characteristic Curves for Each Country/Model Combination

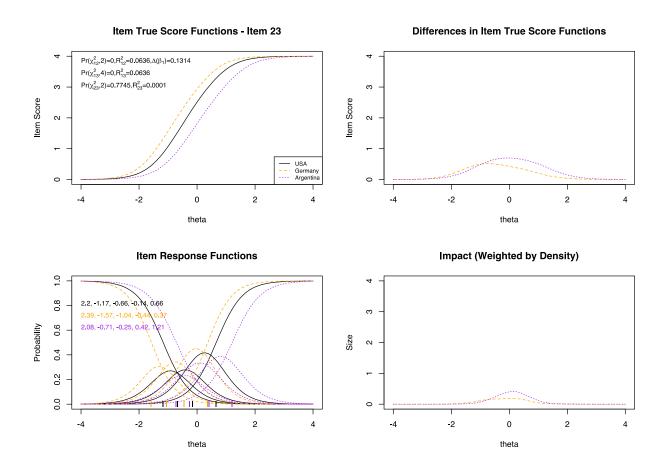
*Note*. This graph shows the test characteristic curves (TCC) comparing the baseline model, where all item parameters are equal across countries, and the corrected model, where the item parameters for identified DIF items are estimated freely for each country. For Argentina, Germany, and the USA, the stability observed in the TCC plots post-correction signals that the modifications made to address DIF did not result in significant alterations in how the test scores relate to the underlying latent trait.

Fig. S5: Graphical display of the item PFM16 "Are you able to pass a 20-pound (10 kg) turkey or ham to other people at the table?" which shows uniform DIF with respect to country.



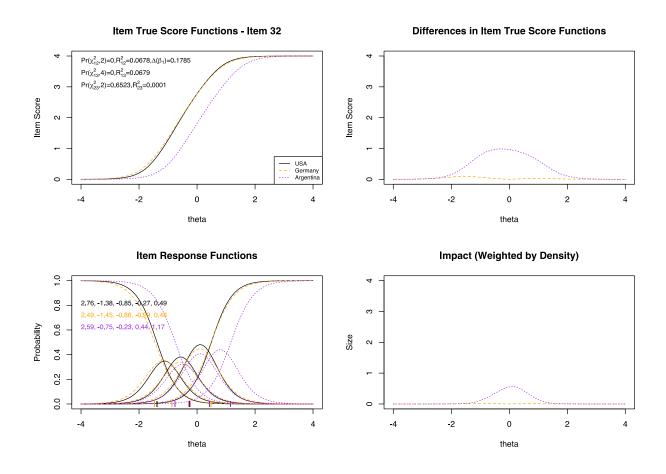
Note. The top-left graph presents the item characteristic curves (ICCs) for the item, contrasting the USA (black), Germany (orange dashed), and Argentina (purple dotted). The top-right graph illustrates the absolute differences in ICCs between the USA and the other two countries, highlighting that the major disparities for the USA with both Germany and Argentina occur at lower levels of physical function (theta). The bottom-left graph depicts the item response functions for each group, derived from demographic-specific item parameter estimates (slope and category threshold values), which are also annotated on the graph. Finally, the bottom-right graph displays the weighted absolute difference in ICCs (referencing the top-right graph) based on the score distribution of the focal group, the USA, showing a negligible impact.

Fig. S6: Graphical display of the item PFM33 "Are you able to walk across a balance beam?" which shows uniform DIF with respect to country.



Note. The upper-left graph illustrates the item characteristic curves (ICCs) for the item, comparing the USA (black), Germany (orange dashed), and Argentina (purple dotted). The upper-right graph portrays the absolute differences in ICCs between the USA and the other two countries, showing that the primary differences are at lower levels with Germany and at medium levels with Argentina in terms of physical function (theta). The lower-left graph presents the item response functions for each group, based on demographic-specific item parameter estimates (slope and category threshold values), which are also indicated on the graph. Finally, the lower-right graph demonstrates the weighted absolute difference in ICCs (from the upper-right graph) according to the score distribution of the focal group, the USA, suggesting a minimal impact.

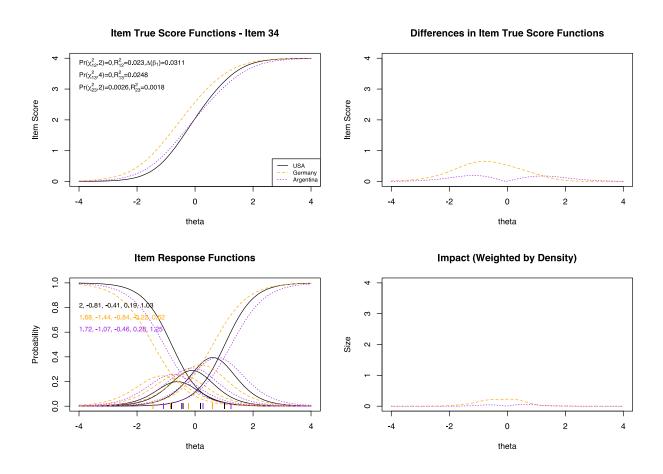
Fig. S7: Graphical display of the item PFM46 "Are you able to pull a sled or a wagon with two children (total 100 lbs/50 kg) for 100 yards (100 m)?" which shows uniform DIF with respect to country.



Note. The top-left graph depicts the item characteristic curves (ICCs) for the item, showcasing comparisons between the USA (black), Germany (orange dashed), and Argentina (purple dotted). The top-right graph details the absolute differences in ICCs for the USA against Germany and Argentina, highlighting that the primary differences for Argentina compared to the other two nations occur at medium levels of physical function (theta). The bottom-left graph presents the item response functions for each group, derived from demographic-specific item parameter estimates (slope and category threshold values), which are also noted on the graph.

Finally, the bottom-right graph displays the weighted absolute difference in ICCs (referenced in the top-right graph) based on the score distribution of the focal group, the USA, demonstrating a negligible impact.

Fig. S8: Graphical display of the item PFM51 "Are you able to swim laps for 30 minutes at a moderate pace?" which shows uniform DIF with respect to country.



Note. The upper-left graph displays the item characteristic curves (ICCs) for the item, contrasting the USA (black) with Germany (orange dashed) and Argentina (purple dotted). The upper-right graph demonstrates the absolute differences in ICCs for the USA compared to Germany and Argentina, revealing that the differences primarily occur at lower levels with Germany and at medium levels with Argentina in terms of physical function (theta). The lower-left graph presents the item response functions for each group, based on demographic-specific item parameter estimates (slope and category threshold values), also detailed on the graph. Finally, the lower-right graph illustrates the weighted absolute difference in ICCs (referenced in

the upper-right graph) according to the score distribution of the focal group, the USA showing a minimal impact.