# Monitors

Systemes Concurrents
TD 1

Simone Gasparini <a href="mailto:simone.gasparini@toulouse-inp.fr">simone.gasparini@toulouse-inp.fr</a>

### Outline

- The Monitors reminder
- The sleeping barber problem
  - Methodology
  - Variant
- The Readers-Writers problem
  - Priority policies

### The Monitors

### Moniteur de Hoare, Brinch-Hansen (1973)

#### Idée de base

La synchronisation résulte du besoin de partager convenablement un objet entre plusieurs activités concurrentes

> un moniteur est une construction qui permet de définir et de contrôler le bon usage d'un objet partagé par un ensemble d'activités

### Définition

Un moniteur = un module exportant des opérations (et éventuellement des constantes et des types).

- Contrainte : exécution des opérations du moniteur en exclusion mutuelle
- La synchronisation des opérations du moniteur est réalisée par des opérateurs internes au moniteur.

Un moniteur est passif : ce sont les activités utilisant le moniteur qui l'activent, en invoquant ses opérations.

### The Monitors

### Synchronisation: type condition

La synchronisation est définie *au sein du moniteur*, en utilisant des variables de type *condition*, internes au moniteur

#### Définition

Variables de type condition définies dans le moniteur. Opérations possibles sur une variable de type condition C:

- C.wait() (C.attendre()) : bloque l'activité appelante en libérant l'accès exclusif au moniteur.
- C.signal() (C.signaler()): si des activités sont bloquées sur C, en réveille une; sinon, nop.
- Une file d'attente est associée à chaque variable condition
- condition ≈ événement
  - $\rightarrow$  condition  $\neq$  prédicat logique
- Autre opération sur les conditions :
   C.empty() : vrai si aucune activité n'est bloquée sur C.



### The Monitors

### Transfert du contrôle exclusif

Les opérations du moniteur s'exécutent en exclusion mutuelle.

→ Lors d'un réveil par signal(), qui obtient/garde l'accès exclusif?

### Priorité au signalé

Signal-and-wait

Lors du réveil par signal(),

- l'accès exclusif est transféré à l'activité réveillée (signalée);
- l'activité signaleuse est mise en attente de pouvoir ré-acquérir
   l'accès exclusif

### Priorité au signaleur

Signal-and-continue

Lors du réveil par signal(),

- l'accès exclusif est conservé par l'activité réveilleuse;
- l'activité réveillée (signalée) est mise en attente de pouvoir acquérir l'accès exclusif
  - soit dans une file globale spécifique
  - soit avec les activités entrantes



# The Sleeping Barber problem

#### Configuration

- 1 Barber
- 1 Barber Chair
- A barber shop with N available chairs in the waiting room



#### Rules

- A client waits in the waiting room if there are chairs available, otherwise it waits outside.
- When the barber is done shaving, it signals the client
  - the client exits the barbershop
  - another client is allowed in the barbershop
- If there are no clients the barber sleep.
- If a client finds the barber sleeping he wakes him up and get on the barber chair.

### Methodology

### Etapes

- Déterminer l'interface du moniteur
- ② Énoncer informellement les prédicats d'acceptation de chaque opération
- Oéduire les variables d'état qui permettent d'écrire ces prédicats d'acceptation
- Formuler l'invariant du moniteur et les prédicats d'acceptation
- Associer à chaque prédicat d'acceptation une variable condition qui permettra d'attendre/signaler la validité du prédicat
- O Programmer les opérations, en suivant le schéma précédent
- Vérifier que
  - l'invariant est vrai à chaque transfert du contrôle du moniteur
  - le prédicat d'acceptation est vrai quand un réveil a lieu



### (1) Interface definition

```
Client()
{
   loop
       EnterBarbershop()
       // wait in the waiting room
       SitOnBarberChair()
       // wait end of shaving
       GetUpAndGetOut()
   endloop
```

```
Barber()
   loop
       StartShaving()
       // shave client
       EndShaving()
   endloop
}
```

# (2) Acceptance conditions

EnterBarbershop()	There must be a seat available in the waiting room
SitOnBarberChair()	The barber chair must be free
GetUpAndGetOut()	The client is shaved (no more beard)
StartShaving()	There is a bearded client on the barber chair
EndShaving()	nothing

# (3) State variables

### They allow to write the acceptance predicates

AvailableSeats : int := N	Number of available seats in the waiting room
BarberChairFree : bool := true	Whether there is a client on the barber chair or not
HasBeard : bool := false	Whether the client currently on the chair still has his beard

### (4) Invariants and acceptance predicates

#### **Invariants:**

(0 ≤ AvailableSeats ≤ N) ∧ (HasBeard ⇒ ¬BarberChairFree)

Operation	Condition variable
EnterBarbershop()	There must be a seat available in the waiting room AvailableSeats > 0
SitOnBarberChair()	The barber chair must be free  BarberChairFree
GetUpAndGetOut()	The client is shaved (no more beard) ¬HasBeard
StartShaving()	There is a bearded client on the barber chair  HasBeard
EndShaving()	nothing

## (5) Condition variables

- Associate a condition variable with each acceptance predicate
- They will be used to wait for/signal the validity of the predicate.

Acceptance predicate	Condition variable
AvailableSeats > 0	WaitingRoom
BarberChairFree	BarberChair
¬HasBeard	ShavedClient
HasBeard	BeardedClient

# Recap

Operation	Acceptance predicate	Condition Variable
EnterBarbershop()	There must be a seat available in the waiting room AvailableSeats > 0	WaitingRoom
SitOnBarberChair()	The barber chair must be free  BarberChairFree	BarberChair
GetUpAndGetOut()	The client is shaved (no more beard) -HasBeard	ShavedClient
StartShaving()	There is a bearded client on the barber chair HasBeard	BeardedClient
EndShaving()	nothing	

### Client

```
EnterBarbershop()
   // There must be a seat available in the waiting room
   if ¬(AvailableSeats > 0) then
       WaitingRoom.wait()
   endif
   {AvailableSeats > 0}
   AvailableSeats--
   {0 ≤ AvailableSeats < N}
```

#### Client

```
SitOnBarberChair()
   // The barber chair must be free
   if ¬(BarberChairFree) then
       BarberChair.wait()
   endif
   {BarberChairFree == true}
   AvailableSeats++
   {0 < AvailableSeats ≤ N}
   BarberChairFree := false
   HasBeard := true
   BeardedClient.signal()
   WaitingRoom.signal()
```

### Client

```
GetUpAndGetOut()

// The client is shaved (no more beard)

if ¬(¬HasBeard) then // or simply: if (HasBeard)

    ShavedClient.wait()

endif
{¬HasBeard}

BarberChairFree := true

BarberChair.signal()
```

### **Barber**

```
StartShaving()
   // There is a bearded client on the barber chair
   if ¬(HasBeard) then
       BeardedClient.wait()
   endif
   {HasBeard}
```

### **Barber**

```
EndShaving()

HasBeard := false

ShavedClient.signal()
```

## (7) Checking

• For each condition variable, check that **each signal precondition** (state at the moment when the signal is called) implies **each wait postcondition** (in this case, the acceptance predicate).

```
EnterBarbershop()
    // There must be a seat available
in the waiting room
    if ¬(AvailableSeats > 0) then
        WaitingRoom.wait()
    endif
    {AvailableSeats > 0}
    AvailableSeats --
    {0 \le AvailableSeats < N}</pre>
```

```
StartShaving()
    // There is a bearded client on the barber chair
    if ¬(HasBeard) then
        BeardedClient.wait()
    endif
    {HasBeard}
```

```
EndShaving()

HasBeard := false

ShavedClient.signal()
```

```
EnterBarbershop()
    // There must be a seat available
in the waiting room
    if ¬(AvailableSeats > 0) then
        WaitingRoom.wait()
    endif
    {AvailableSeats > 0}
    AvailableSeats --
    {0 \le AvailableSeats < N}</pre>
```

```
SitOnBarberChair()
    // The barber chair must be free
    if ¬(BarberChairFree) then
        BarberChair.wait()
    endif
    {BarberChairFree == true}
    AvailableSeats++
    {0 < AvailableSeats ≤ N}
    BarberChairFree := false
    HasBeard := true
    BeardedClient.signal()
    WaitingRoom.signal()</pre>
```

```
StartShaving()
    // There is a bearded client on the barber chair
    if ¬(HasBeard) then
        BeardedClient.wait()
    endif
    {HasBeard}
```

```
EndShaving()

HasBeard := false

ShavedClient.signal()
```

```
EnterBarbershop()
    // There must be a seat available
in the waiting room
    if ¬(AvailableSeats > 0) then
        WaitingRoom.wait()
    endif
    {AvailableSeats > 0}
    AvailableSeats --
    {0 \le AvailableSeats < N}</pre>
```

```
StartShaving()
    // There is a bearded client on the barber chair
    if ¬(HasBeard) then
        BeardedClient.wait()
    endif
    {HasBeard}
```

```
EndShaving()

HasBeard := false

ShavedClient.signal()
```

```
EnterBarbershop()
    // There must be a seat available
in the waiting room
    if ¬(AvailableSeats > 0) then
        WaitingRoom.wait()
    endif
    {AvailableSeats > 0}
    AvailableSeats --
    {0 \le AvailableSeats \le N}
```

```
StartShaving()
    // There is a bearded client on the barber chair
    if ¬(HasBeard) then
        BeardedClient.wait()
    endif
    {HasBeard}
```

```
EndShaving()

HasBeard := false

ShavedClient.signal()
```

```
EnterBarbershop()
    // There must be a seat available
in the waiting room
    if ¬(AvailableSeats > 0) then
        WaitingRoom.wait()
    endif
    {AvailableSeats > 0}
    AvailableSeats --
    {0 ≤ AvailableSeats < N}</pre>
```

```
StartShaving()
    // There is a bearded client on the barber chair
    if ¬(HasBeard) then
        BeardedClient.wait()
    endif
    {HasBeard}
```

```
EndShaving()

HasBeard := false

ShavedClient.signal()
```

## (7) Checking - signal-and-continue

### **Configuration**

- Client A is on the barber chair
- Client B is waiting for the chair (BarberChair.wait())
- Client C is in the waiting room doing nothing

#### Scenario

- Barber calls EndShaving()
- Client A gets the exclusive access
- Client C tries to call SitOnBarberChair() and waits for exclusive access
- Client A signals BarberChair.signal()
  - Client B is now unblocked, i.e. he can ask for exclusive access
- Client A is done and give up exclusive access. Who gets it?
  - Client B? --> OK
  - Client C?
    - it gets to the barber chair and signals the barber (BeardedClient.signal())
    - B can then get the access and continue with its SitOnBarberChair() but the barber chair is no longer free!

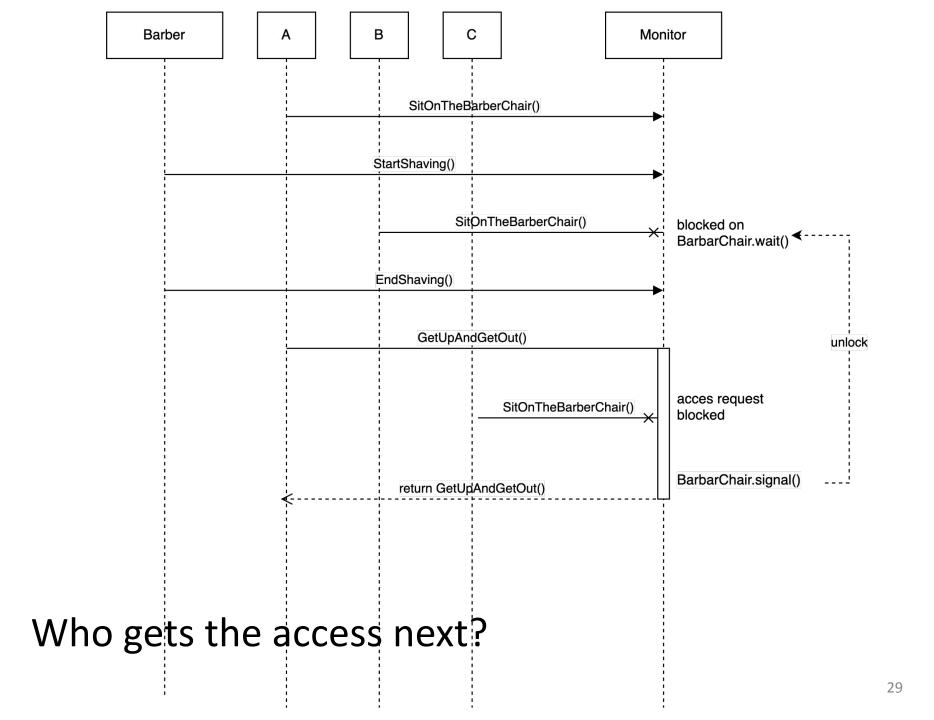
### (7) Checking - signal-and-continue

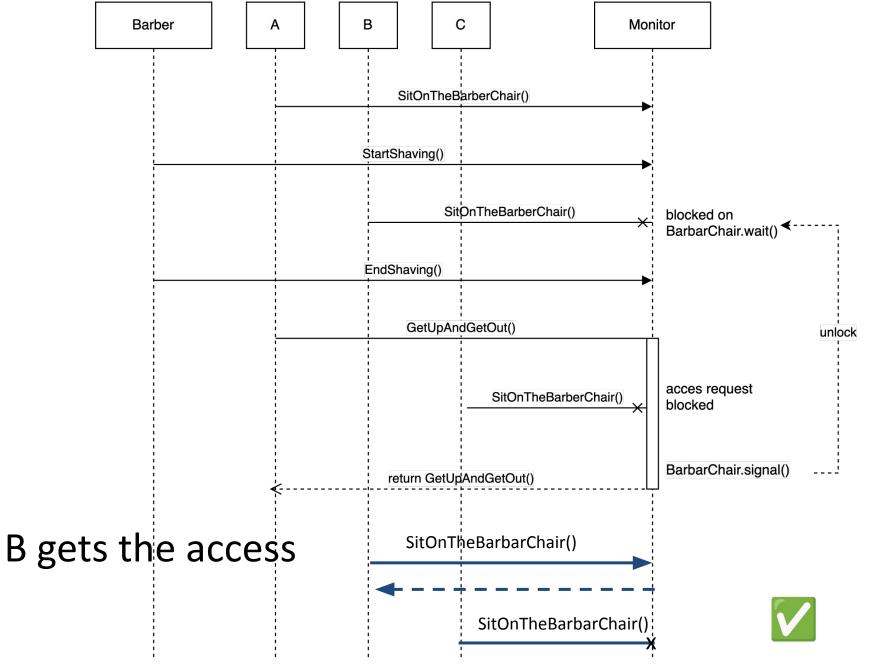
#### Scenario

- Barber calls EndShaving()
- Client A gets the exclusive access
- Client C tries to call SitOnBarberChair() and waits for exclusive access
- Client A signals BarberChair.signal()
  - Client B is now unblocked, i.e. he can ask for exclusive access
- Client A is done and give up exclusive access. Who gets it?
  - Client B? --> OK
  - Client C?
    - it gets to the barber chair and signals the barber (BeardedClient.signal())
    - B can then get the access and continue with its SitOnBarberChair() but the barber chair is no longer free!

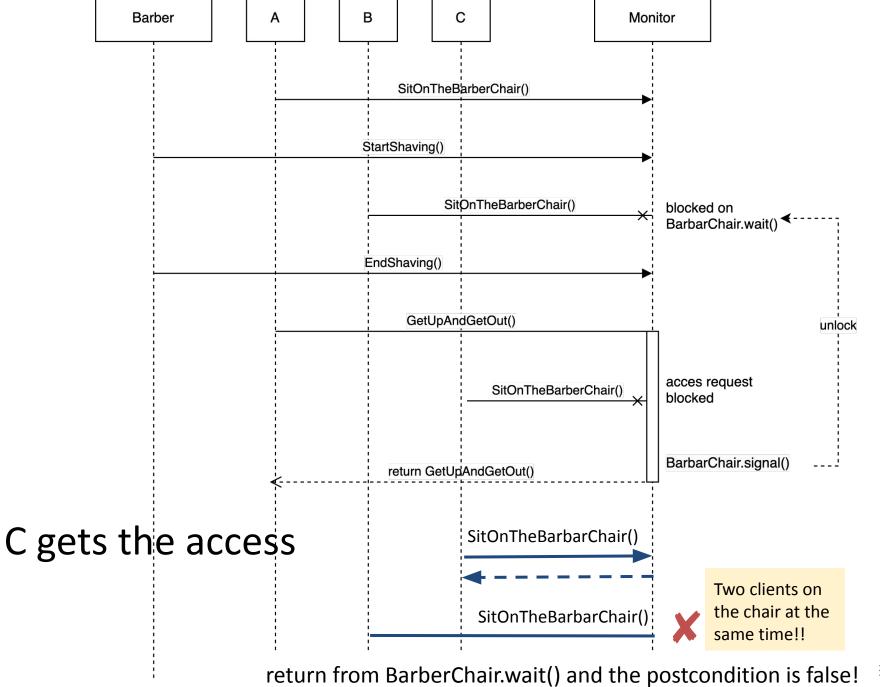
Client needs to recheck the condition once he get the access

```
SitOnBarberChair()
    // The barber chair must be free
    while ¬(BarberChairFree)
        BarberChair.wait()
    endwhile
    {BarberChairFree == true}
    AvailableSeats++
    ....
```





when C gets the exclusive access, it gets blocked on BarberChair.wait()



### **Variant**

#### **Configuration**

- 1 Barber
- 1 Barber Chair
- A barber shop with N available chairs in the waiting room



#### Rules

- A client wait in the waiting room if there are chairs available, otherwise he waits outside.
- When the barber is done shaving, he signals the client
  - the client exit the barbershop
  - another client is allowed in the barbershop
- If there are no clients the barber sleep.
- If a client finds the barber sleeping he wakes him up and get on the barber chair.
- If the waiting room is full the client gives up and leave

### **Variant**

EnterBarbershop() need to return a boolean

```
bool EnterBarbershop()
   // There must be a seat available in the waiting room
   if ¬(AvailableSeats > 0) then
       return false
   endif
   {AvailableSeats > 0}
   AvailableSeats--
   {0 ≤ AvailableSeats < N}
   return true
```

### **Variant**

EnterBarbershop() need to return a boolean

```
Client()
{
   loop
       if EnterBarbershop()
           // wait in the waiting room
           SitOnBarberChair()
           // wait end of shaving
           GetUpAndGetOut()
       endif
   endloop
```

### Readers-Writers problem

#### Configuration

- R readers
- W writers
- A shared file they can read or write.

#### Rules

- Multiple readers can read the file at the same time
- Only one writer can write at a given time
- If readers are reading no writer can write and vice versa

#### **Policies**

- Readers priority
  - no reader should wait if a reader has access to the object, while a writer waits till the last reader is done.
- Writers priority
  - No new readers allowed once a writer has asked for access, when a reader finishes it signals another writer in any, otherwise a reader

### Readers-Writers problem - Writers priority

### Configuration

- R readers
- W writers
- A shared file they can read or write.

#### Rules

- Multiple readers can read the file at the same time
- Only one writer can write at a given time
- If readers are reading no writer can write and vice versa

### **Policy**

- Writers priority
  - No new readers allowed once a writer has asked for access, when a reader finishes it signals another writer in any, otherwise a reader

## (1) Interface definition

```
Writer()
{
    loop
       AskToWrite()
       // write on the file
        EndWrite()
    endloop
}
```

```
Reader()
   loop
       AskToRead()
       // read file
       EndRead()
   endloop
```

# (2) Acceptance conditions

AskToWrite()	No writer and no readers
EndWrite()	nothing
AskToRead()	No writer and no writer(s) waiting
EndRead()	nothing

# (3) State variables

#### They allow to write the acceptance predicates

NumWriters : int := 0	Number of writers on the file
NumWritersWaiting : int := 0	Number of writers waiting to write
NumReaders : int := 0	Number of readers on the file

### (4) Invariants and acceptance predicates

#### **Invariants:**

```
(NumReaders = 0 \lor NumWriters = 0) \land (NumWriters \le 1)
```

AskToWrite()	No writer and no readers  (NumReaders = 0 ∧ NumWriters = 0)
AskToRead()	No writer and no writer(s) waiting  (NumWriters = 0 ∧ NumWritersWaiting = 0)

### (5) Condition variables

- Associate a condition variable with each acceptance predicate
- They will be used to wait for/signal the validity of the predicate.

Operation	Acceptance predicate	Condition variable
AskToWrite()	(NumReaders == 0 ∧ NumWriters == 0)	CanWrite
AskToRead()	(NumWriters == 0 ∧ NumWritersWaiting == 0)	CanRead

#### Writer

```
AskToWrite()
    // No writer and no readers
    if \neg(NumReaders = 0 \land NumWriters = 0) then
       NumWritersWaiting++
       CanWrite.wait()
       NumWritersWaiting--
    endif
    {NumReaders = 0 ∧ NumWriters = 0}
   NumWriters++
    {NumWriters = 1}
```

#### Writer

```
EndWrite()
   {NumReaders = 0 ∧ NumWriters = 1}
   NumWriters--
   {NumReaders = 0 ∧ NumWriters = 0}
   // priority to the writers
   if (NumWritersWaiting > 0) then
       CanWrite.signal()
   else
       CanRead.signal()
   endif
```

#### Reader

```
AskToRead()
   // No writer and no writer(s) waiting
   if \neg(NumWriters = 0 \land NumWritersWaiting = 0) then
       CanRead.wait()
   endif
   {NumWriters = 0 ∧ NumWritersWaiting = 0}
   NumReaders++
   {NumWriters = 0 ∧ NumReaders > 0 ∧ NumWritersWaiting =
   0}
   CanRead.signal()
```

#### Reader

```
EndRead()
   {NumReaders > 0 ∧ NumWriters = 0}
   NumReaders --
   if (NumReaders = 0) then
       {NumReaders = 0 ∧ NumWriters = 0}
       CanWrite.signal()
   endif
```

### (7) Checking

• For each condition variable, check that **each signal precondition** (state at the moment when the signal is called) implies **each wait postcondition** (in this case, the acceptance predicate).

# (7) Checking - signal-and-wait

```
AskToWrite()

// No writer and no readers

if ¬(NumReaders = 0 \ NumWriters = 0) then

NumWritersWaiting++

CanWrite.wait()

NumWritersWaiting--

endif

{NumReaders = 0 \ NumWriters = 0}

NumWriters++

{NumWriters = 1}
```

```
AskToRead()

// No writer and no writer(s) waiting

if ¬(NumWriters = 0 ∧ NumWritersWaiting = 0) then

CanRead.wait()

endif

{NumWriters = 0 ∧ NumWritersWaiting = 0}

NumReaders++

{NumWriters = 0 ∧ NumReaders > 0}∧

NumWritersWaiting = 0

CanRead.signal()
```

```
EndWrite()
    {NumReaders = 0 \ NumWriters = 1}
    NumWriters--
    {NumReaders = 0 \ NumWriters = 0}
    // priority to the writers
    if (NumWritersWaiting > 0) then
        CanWrite.signal()
    else
        CanRead.signal()
    endif
```

```
EndRead()
    {NumReaders > 0 \ \ NumWriters = 0}
    NumReaders--
    if (NumReaders = 0) then
        {NumReaders = 0 \ \ NumWriters = 0}
        CanWrite.signal()
    endif
```

# (7) Checking - signal-and-wait

```
AskToWrite()

// No writer and no readers

if ¬(NumReaders = 0 \ NumWriters = 0) then

NumWritersWaiting++

CanWrite.wait()

NumWritersWaiting--

endif

{NumReaders = 0 \ NumWriters = 0}

NumWriters++

{NumWriters = 1}
```

```
AskToRead()

// No writer and no writer(s) waiting

if ¬(NumWriters = 0 \ NumWritersWaiting = 0) then

CanRead.wait()

endif

{NumWriters = 0 \ NumWritersWaiting = 0}

NumReaders++

{NumWriters = 0 \ NumReaders > 0\\
NumWritersWaiting = 0}

CanRead.signal()
```

```
EndWrite()
    {NumReaders = 0 \ NumWriters = 1}
    NumWriters--
    {NumReaders = 0 \ NumWriters = 0}
    // priority to the writers
    if (NumWritersWaiting > 0) then
        CanWrite.signal()
    else
        CanRead.signal()
    endif
```

```
EndRead()
    {NumReaders > 0 \ NumWriters = 0}
    NumReaders--
    if (NumReaders == 0) then
        {NumReaders == 0 \ NumWriters = 0}
        CanWrite.signal()
    endif
```

# (7) Checking - signal-and-wait

```
AskToRead()

// No writer and no writer(s) waiting

if ¬(NumWriters = 0 \ NumWritersWaiting = 0) then

CanRead.wait()

endif

{NumWriters = 0 \ NumWritersWaiting = 0}

NumReaders++

{NumWriters = 0 \ NumReaders > 0\\
NumWritersWaiting = 0}

CanRead.signal()
```

```
EndWrite()
    {NumReaders = 0 \ NumWriters = 1}
    NumWriters--
    {NumReaders = 0 \ NumWriters = 0}
    // priority to the writers
    if (NumWritersWaiting > 0) then
        CanWrite.signal()
    else
        CanRead.signal()
    endif
```

```
EndRead()
    {NumReaders > 0 \ \ NumWriters = 0}
    NumReaders--
    if (NumReaders == 0) then
        {NumReaders == 0 \ \ NumWriters = 0}
        CanWrite.signal()
    endif
```

#### Configuration

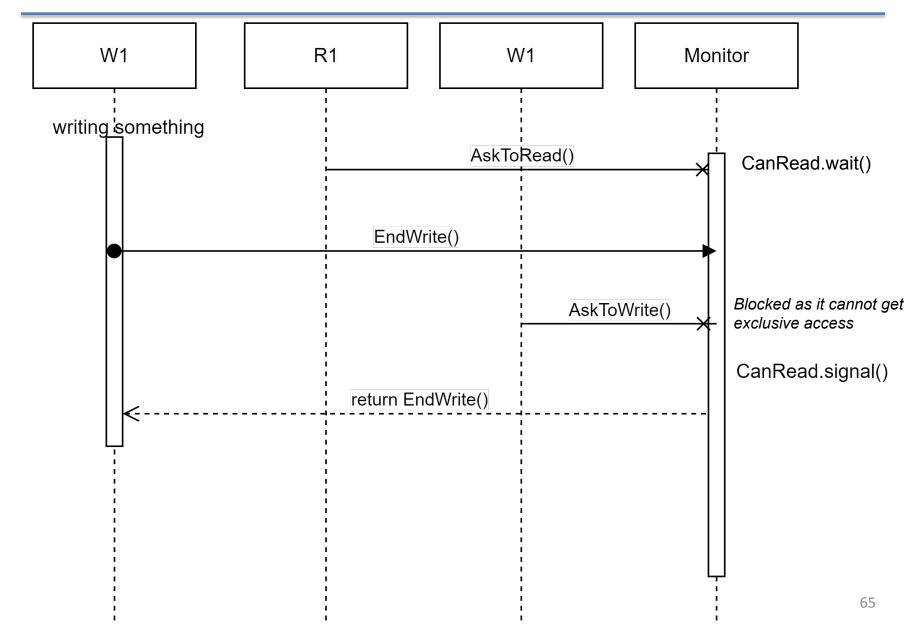
- There is a writer writing, no writers waiting and
- At least one reader waiting (CanRead.wait())

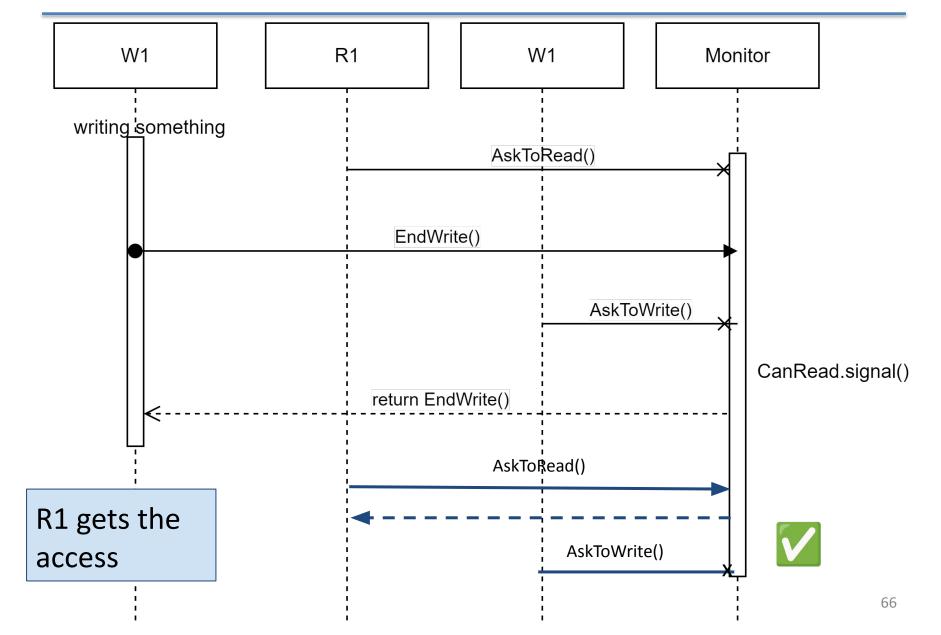
#### Scenario

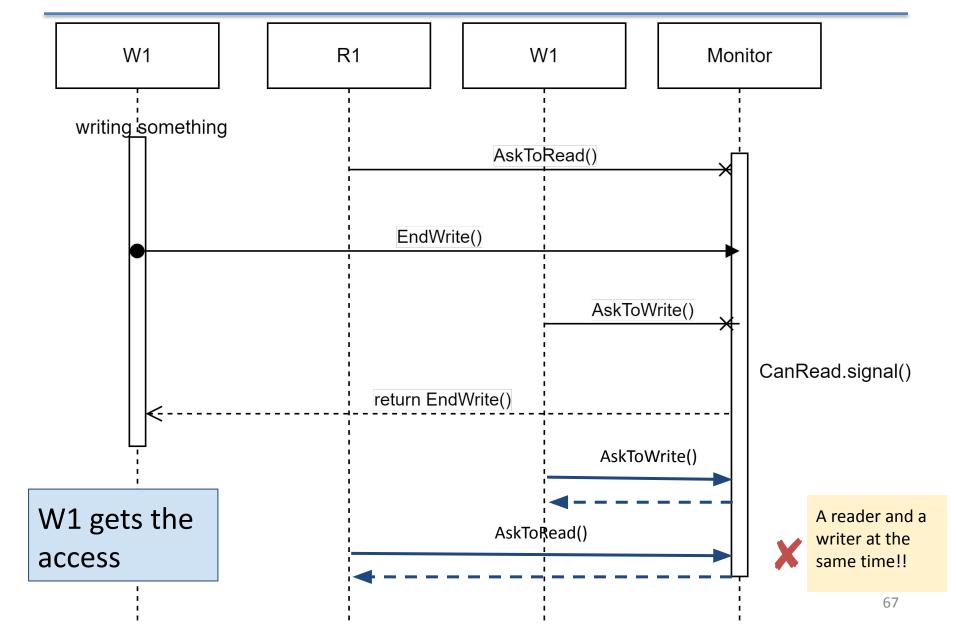
- The writer is about to end (EndWriting())
- The writer signals CanRead.signal()
  - Waiting reader(s) are now unblocked, i.e. they can ask for exclusive access
- Before the writer finishes a new writer arrives and asks for exclusive access for AskToWrite().

#### Who gets the access next?

- Reader? --> OK he will wake all the others and the writer will eventually wait
- New Writer? X
  - the acceptance condition for AskToWrite() is satisfied and starts writing.
  - One of the readers will get access and start reading!







#### Scenario

- The writer is about to end (EndWriting())
- The writer signals CanRead.signal()
  - Waiting reader(s) are now unblocked, i.e. they can ask for exclusive access
- Before the writer finishes a new writer arrives and asks for exclusive access for AskToWrite().

#### Who gets the access next?

- Reader? --> 

  ✓ OK he will wake all the others and the writer will eventually wait
- New Writer? X
  - the acceptance condition for AskToWrite() is satisfied and starts writing.
  - One of the readers will get access and start reading!

It needs to check the condition again

```
AskToRead()

// No writer and no writer(s) waiting

while ¬(NumWriters = 0 \ NumWritersWaiting = 0)

CanRead.wait()

endwhile

{NumWriters = 0 \ NumWritersWaiting = 0}

NumReaders++

{NumWriters = 0 \ NumReaders > 0}

CanRead.signal()
```

#### Scenario

- The same for the writers, there might be 2 writers at the same time!
- A writer W1 is writing
- Another W2 is waiting
- As the W1 ends it signals the waiting writer W2
  - W2 is now unblocked and can ask for exclusive access
- Meanwhile a new writer W3 calls AskToWrite()
- Who gets the acces after W1 finishes?
  - W2? OK, it will start writing and W3 will eventually wait on CanWrite
  - W3? W3 starts writing and at some point W2 will too when he gets the

AskToWrite()

// No writer and no readers

while ¬(NumReaders = 0 \ NumWriters = 0)

NumWritersWaiting++

CanWrite.wait()

NumWritersWaiting-
endwhile

{NumReaders = 0 \ NumWriters = 0}

NumWriters++

{NumWriters = 1}