

# Modular Composing Typed Language Fragments

## Abstract

Researchers often describe type systems as fragments or simple calculi, leaving to language designers the task of composing these to form complete programming languages. This is not a systematic process: metatheoretic results must be established anew for each composition, guided only notionally by metatheorems derived for simpler systems. As the language design space grows, mechanisms that provide stronger modular reasoning principles than this are needed.

In this paper, we take a foundational approach, specifying an extensible typed translation semantics,  $@\lambda$ . Only the  $\rightarrow$  type constructor is built in; all others (we discuss constrained strings and variants of record types) are defined by extending a *tycon context*. Each tycon defines associated term-level *opcons* (e.g. row projection) using a static language where types and translations are values. The semantics come with strong metatheoretic guarantees, notably *type safety* and *conservativity*: that *tycon-specific invariants* established in any “closed world” are conserved in the “open world”. Remarkably, extension providers do not need to provide the semantics with mechanized specifications or proofs. Instead, these guarantees arise from a form of translation validation that, taking inspiration from the ML module system, uses type abstraction to check that the opcons associated with a tycon respect the *translation independence* of all others.

## 1. Introduction

Typed programming languages are generally described as being composed from *fragments*, each contributing to the language’s concrete syntax, abstract syntax, static semantics and dynamic semantics. In his textbook, Harper organizes such fragments around type constructors, describing each in a different chapter [17]. Languages are then identified by a set of these type constructors, e.g.  $\mathcal{L}\{\rightarrow \forall \mu 1 \times +\}$  is the language of partial function types, polymorphic types, recursive types, nullary and binary product types and binary sum types (its syntax is shown in Figure 1, discussed below).

Another common practice is to describe a fragment using a simple calculus having a “catch-all” constant and base type to stand notionally for all other terms and types that may also be included in some future complete language (e.g. [15]).

In contrast, the usual metatheoretic reasoning techniques for programming languages (e.g., rule induction) operate on complete language specifications. Each combination of fragments must formally be treated as its own monolithic language for which metatheorems must be established anew, guided only informally by those derived for the smaller systems from which the language is notionally composed.

This is not an everyday problem for programmers only because fragments like those mentioned above are “general purpose”: they make it possible to *isomorphically embed* many other fragments as “libraries”. For example, list types need not be built in because they are isomorphic to the type  $\forall(\alpha.\mu(t.1 + (\alpha \times t)))$  (datatypes in ML combine these into a single declaration construct).

Universality properties (e.g. “Turing completeness”) are often enough to guarantee that an embedding that preserves a desirable fragment’s dynamic semantics can be constructed, but an isomorphic embedding must also preserve the static semantics and, if defined, performance bounds specified by a cost semantics. This is not always possible. For example, in  $\mathcal{L}\{\rightarrow \forall \mu 1 \times +\}$ , it is impossible to introduce record types as a library because this requires introducing row projection operators, written  $\#1b1$  in ML, one for each of the infinite set of row labels  $1b1$  ( $\#$  is thus an *operator constructor*). Each time such a situation occurs, a new *dialect* is needed. The ML lineage, like others, faces a proliferation of dialects:

1. **General Purpose Fragments:** Many variations on product types, for example, exist:  $n$ -ary tuples, labeled tuples, records (identified up to reordering), and records with width and depth subtyping [8], functional update operators<sup>1</sup> [21], mutable fields [21], and “methods” (i.e. pure objects) [31]. Sum-like types are also exposed variously: standard datatypes, open datatypes [23, 26], polymorphic variants [21] and exception types [16]. Combinations occur as class-based object systems [21].
2. **Specialized Fragments:** Fragments providing more specialized operators are also frequently introduced in dialects, e.g. for distributed programming [28], reactive

<sup>1</sup> The Haskell wiki notes that “No, extensible records are not implemented in GHC. The problem is that the record design space is large, and seems to lack local optima. [...] As a result, nothing much happens.” [1]

programming [24], databases [30], units of measure [20] and regular string sanitation [15], amongst many others.

3. **Foreign Fragments:** A safe and natural foreign function interface (FFI) can be valuable (particularly given this proliferation of dialects). This requires enforcing the type system of the foreign language in the calling language. For example, MLj builds in a safe FFI to Java [5].

This *dialect-oriented* state of affairs is unsatisfying. While programmers can choose from dialects supporting, e.g., a principled approach to distributed programming, or one that builds in support for statically reasoning about units of measure, one that supports both fragments may not be available. Using different dialects separately for different components of a program is untenable: components written in different dialects cannot always interface safely (i.e. a safe FFI, item 3 above, is needed between every pair of dialects).

These problems do not arise for fragment expressed as an isomorphic embedding (i.e. as a library) because modern *module systems* can enforce abstraction barriers that ensure that the isomorphism needs only to be established in the “closed world” of the module. For example, a module defining sets in ML can hold the representation of sets abstract, ensuring that any invariants necessary to maintain the isomorphism need only be maintained by the functions in the module (e.g. uniqueness, if using a list representation). These then continue to hold no matter which other modules are in use by a client [16]. Other languages expose similar forms of *abstract data types* that also localize reasoning [22].

The alarming proliferation of dialects above suggests that mechanisms that make it possible to define and reason in a similarly modular, localized manner about direct extensions to the semantics of a language are needed. For example, if a language is extended with *regular string types* as described in [15], all terms having a regular string type like RSTR(/.+/) should continue to behave as non-empty strings no matter which other extensions are in use.

**Contributions** In this paper, we take foundational steps toward this goal by constructing a simple but surprisingly powerful core calculus,  $@\lambda$  (the “actively typed” lambda calculus). Its semantics are structured like those of many modern languages, consisting of an *external language* (EL) governed by a typed translation semantics targeting a much simpler *internal language* (IL). Rather than building in a monolithic set of external type constructors, however, the semantics are indexed by a *tycon context*. Each tycon, e.g. LPROD defining labeled products or RSTR defining regular strings, determines the semantics of its associated opcons, e.g. # for row projection, or **conc** for concatenation, via *static functions*, i.e. functions written in a *static language* (SL), where types and translations are values. In other words, the semantics are controlled by a form of static code generation.

We can call a tycon associated with opcons like this a *modular tycon* because all translation invariants maintained by the opcons associated with a tycon in any “closed

#### internal types

$$\tau ::= \tau \rightarrow \tau \mid \alpha \mid \forall(\alpha.\tau) \mid t \mid \mu(t.\tau) \mid 1 \mid \tau \times \tau \mid \tau + \tau$$

#### internal terms

$$\iota ::= x \mid \lambda[\tau](x.\iota) \mid \iota(\iota) \mid \text{fix}[\tau](x.\iota) \mid \Lambda(\alpha.\iota) \mid \iota[\tau] \mid \text{fold}[t.\tau](\iota) \mid \text{unfold}(\iota) \mid () \mid (\iota, \iota) \mid \text{fst}(\iota) \mid \text{snd}(\iota) \mid \text{inl}[\tau](\iota) \mid \text{inr}[\tau](\iota) \mid \text{case}(\iota; x.\iota; x.\iota)$$

**internal typing contexts**  $\Gamma ::= \emptyset \mid \Gamma, x : \tau$

**internal type formation contexts**  $\Delta ::= \emptyset \mid \Delta, \alpha \mid \Delta, t$

**Figure 1.** Syntax of  $\mathcal{L}\{\rightarrow \forall \mu 1 \times +\}$ , our internal language (IL). Metavariable  $x$  ranges over term variables and  $\alpha$  and  $t$  (distinguished only for stylistic reasons) over type variables.

world”, e.g. the regular string invariant just mentioned under the tycon context defining only RSTR, are necessarily maintained in any further extended tycon context, i.e. in the “open world”, due to a simple static check that maintains *translation independence* between tycons using type abstraction in the IL, the same fundamental principle underlying representation independence in ML-style module systems. As in ML, mechanized specifications and proofs are not needed: the modularity guarantee is for all invariants.

## 2. Overview of $@\lambda$

**Internal Language** Our approach requires a typed internal language supporting type abstraction (i.e. universal quantification over types) [35]. We use  $\mathcal{L}\{\rightarrow \forall \mu 1 \times +\}$ , the syntax for which is shown in Figure 1, as representative of a typical intermediate language for a typed language.

We assume the statics of the IL are specified in the standard way by judgements for type formation  $\Delta \vdash \tau$ , typing context formation  $\Delta \vdash \Gamma$  and type assignment  $\Delta \vdash \Gamma \vdash \iota : \tau$ . The internal dynamics are specified as a structural operational semantics with a stepping judgement  $\iota \mapsto \iota^+$  and a value judgement  $\iota \text{ val}$ . Both the static and dynamic semantics of the IL can be found in any standard textbook covering typed lambda calculi (we directly follow [17]), so we assume familiarity and omit the details.

**External Language** Programmers interface with  $@\lambda$  by writing *external terms*,  $e$ . The abstract syntax of external terms is shown in Figure 2 and we will introduce various concrete desugarings in Sec. 4. The semantics are specified as a *bidirectionally typed translation semantics*, i.e. the key judgements have the form:

$$\Upsilon \vdash_{\Phi} e \Rightarrow \sigma^+ \rightsquigarrow \iota^+ \quad \text{and} \quad \Upsilon \vdash_{\Phi} e \Leftarrow \sigma \rightsquigarrow \iota^+$$

These are pronounced “ $e$  (synthesizes / analyzes against) type  $\sigma$  and has translation  $\iota$  under typing context  $\Upsilon$  and tycon context  $\Phi$ ”. Our specifications are intended to be algorithmic: we indicate “outputs” when introducing judgement forms by *mode annotations*,  $^+$ . Note that the type is an “output” only for the synthetic judgement.

We choose bidirectional typechecking, also sometimes called *local type inference* [32], for two main reasons. The first is once again to justify the practicality of our approach: local type inference is increasingly being used in modern

### external terms

$$e ::= x \mid \lambda(x.e) \mid e(e) \mid \text{fix}(x.e) \mid e : \sigma \\ \mid \text{intro}[\sigma](\bar{e}) \mid \text{targop}[\text{op}; \sigma](e; \bar{e})$$

argument lists  $\bar{e} ::= \cdot \mid \bar{e}, e$

external typing contexts  $\Upsilon ::= \emptyset \mid \Upsilon, x \Rightarrow \sigma$

**Figure 2.** Syntax of the external language (EL).

languages (e.g. Scala [29]) because it eliminates the need for type annotations in many situations while being simpler and decidable in more situations than whole-function type inference and providing what are widely perceived to be higher quality error messages [19]. Secondly, it will give us a clean way to reuse the generalized intro form  $\text{intro}[\sigma](\bar{e})$  and its desugarings at many types [31]. For example, regular string types will use standard string literal syntax and variants of record types can share forms like  $\{\text{lb11} = e_1, \text{lb12} = e_2\}$ .

Elaboration semantics distinguishing the EL from the IL have previously been found suitable for full-scale language specifications, e.g. the Harper-Stone semantics for Standard ML [18]. The IL is purposely kept small, e.g. defining only binary products, to simplify metatheoretic reasoning and compilation. The EL then specifies various useful higher-level constructs, e.g. record types, by translation to the IL. In  $@\lambda$ , the EL builds in only function types. All other external constructs will arise from the *tycon context*,  $\Phi$ .

This specification style is perhaps even more directly comparable to a specification for the initial stage of a type-directed compiler, e.g. the TIL compiler for Standard ML [40], here lifted “one level up” into the semantics of the language itself. The reason is that in the Harper-Stone elaboration semantics, external and internal terms were governed by a common type system, but in  $@\lambda$  each type,  $\sigma$ , maps onto an internal type,  $\tau$ , called the *type translation* of  $\sigma$ . This mapping is specified by the type translation judgement,  $\vdash_{\Phi} \sigma \rightsquigarrow \tau$ , which will be described in Sec. 3.3. For example, regular string types will translate to internal string types, abbreviated *str*, and labeled product types will translate to nested binary product types, though we will emphasize that there are other valid choices, and that the choice of type translation should have only local impact.

External typing contexts,  $\Upsilon$ , map variables to types, so we also need the judgement  $\vdash_{\Phi} \Upsilon \rightsquigarrow \Gamma$ .

**Static Language** The main novelty of  $@\lambda$  is the *static language* (SL), which itself forms a typed lambda calculus where *kinds*,  $\kappa$ , serve as the “types” of *static terms*,  $\sigma$ . The syntax of the SL is given in Figure 3. The portion of the SL covered by the first row of kinds and static terms, some of which are elided for concision, forms an entirely standard total functional programming language consisting of total functions, polymorphic kinds, inductive kinds, and products and sums [17]. The reader can consider these as forming a total subset of ML [16] or a simply-typed subset of Coq [25] and we will assume standard conveniences in examples (e.g. let bindings and inference of type parameters) for concision.

### kinds

$$\kappa ::= \kappa \rightarrow \kappa \mid \alpha \mid \forall(\alpha.\kappa) \mid k \mid \mu_{\text{ind}}(k.\kappa) \mid 1 \mid \kappa \times \kappa \mid \kappa + \kappa \\ \mid \text{Ty} \mid \text{ITy} \mid \text{ITm}$$

### static terms

$$\sigma ::= x \mid \lambda x :: \kappa. \sigma \mid \sigma(\sigma) \mid \Lambda(\alpha.\sigma) \mid \sigma[\kappa] \mid \dots \mid \text{raise}[\kappa] \\ \mid c(\sigma) \mid \text{tycase}[c](\sigma; x.\sigma; \sigma) \\ \mid \blacktriangleright(\hat{\tau}) \mid \triangleright(\hat{i}) \mid \text{ana}[n](\sigma) \mid \text{syn}[n]$$

### translational internal types and terms

$$\hat{\tau} ::= \blacktriangleleft(\sigma) \mid \text{trans}(\sigma) \mid \hat{\tau} \rightarrow \hat{\tau} \mid \dots \\ \hat{i} ::= \triangleleft(\sigma) \mid \text{anatrans}[n](\sigma) \mid \text{syntrans}[n] \mid x \mid \lambda[\hat{\tau}](x.\hat{i}) \mid \dots$$

kinding contexts  $\Gamma ::= \emptyset \mid \Gamma, x :: \kappa$

kind formation contexts  $\Delta ::= \emptyset \mid \Delta, \alpha \mid \Delta, k$

argument environments  $\mathcal{A} ::= \bar{e}; \Upsilon; \Phi$

**Figure 3.** Syntax of the static language (SL). Metavariable  $x$  ranges over static term variables,  $\alpha$  and  $k$  over kind variables and  $n$  over natural numbers.

Only three new kinds are needed for the SL to serve its role as the language used to control typing and translation: *Ty*, classifying types (Sec. 3), *ITy*, classifying *quoted translational internal types* (used to compute type translations in Sec. 3.3) and *ITm*, classifying *quoted translational internal terms* (used to compute term translations in Sec. 4.1). The forms  $\text{ana}[n](\sigma)$  and  $\text{syn}[n]$  will serve to link the dynamics of the SL with external type synthesis and analysis (Sec. 4).

The kinding judgement takes the form  $\Delta \Gamma \vdash_{\Phi}^n \sigma :: \kappa^+$ , where  $\Delta$  and  $\Gamma$  are analogous to  $\Delta$  and  $\Gamma$  and analogous kind and kinding context formation judgements  $\Delta \vdash \kappa$  and  $\Delta \vdash \Gamma$  are defined. All such contexts in  $@\lambda$  are identified up to exchange and contraction and obey weakening [17]. The natural number  $n$  is used as a technical device in our semantics to ensure that the forms shown as being indexed by  $n$  arise in a controlled manner to prevent “out of bounds” issues, as we will discuss; they would have no corresponding concrete syntax so  $n$  can be assumed 0 in user-defined terms.

The dynamic semantics of static terms is defined as a structural operational semantics by a stepping judgement  $\sigma \mapsto_{\mathcal{A}} \sigma^+$ , a value judgement  $\sigma \text{val}_{\mathcal{A}}$  and an error raised judgement  $\sigma \text{err}_{\mathcal{A}}$ .  $\mathcal{A}$  ranges over *argument environments*, which we will return to when considering opcons in Sec. 4. The multi-step judgement  $\sigma \mapsto_{\mathcal{A}}^* \sigma^+$  is the reflexive, transitive closure of the stepping judgement. The normalization judgement  $\sigma \Downarrow_{\mathcal{A}} \sigma'$  is derivable iff  $\sigma \mapsto_{\mathcal{A}}^* \sigma'$  and  $\sigma' \text{val}_{\mathcal{A}}$ .

## 3. Types

External types, or simply *types*, are static values of kind *Ty*. We write  $\sigma \text{type}_{\Phi}$  iff  $\emptyset \vdash_{\Phi}^0 \sigma :: \text{Ty}$  and  $\sigma \text{val}_{\cdot; \emptyset; \Phi}$ . The introductory form for kind *Ty* is  $c(\sigma)$ , where  $c$  is a *tycon* and  $\sigma$  is the *type index*. The dynamics are simple: the index is eagerly normalized and errors propagate (the supplement gives the full set of rules for this paper).

The syntax for tycons given in Figure 5 specifies that  $c$  can have one of three forms, so there are three corresponding kinding rules for types, shown in Figure 4.

$$\begin{array}{c}
\text{(k-parr-ty)} \\
\frac{\Delta \Gamma \vdash_{\Phi}^n \sigma :: \text{Ty} \times \text{Ty}}{\Delta \Gamma \vdash_{\Phi}^n \sigma \rightarrow \langle \sigma \rangle :: \text{Ty}}
\end{array}
\quad
\begin{array}{c}
\text{(k-ext-ty)} \\
\frac{\text{tycon } \text{TC} \{ \theta \} \sim \text{tcsig}[\kappa_{\text{tyidx}}] \{ \chi \} \in \Phi}{\Delta \Gamma \vdash_{\Phi}^n \text{TC} \langle \sigma \rangle :: \text{Ty}}
\end{array}
\quad
\begin{array}{c}
\text{(k-other-ty)} \\
\frac{\Delta \Gamma \vdash_{\Phi}^n \sigma_{\text{tyidx}} :: \kappa \times \text{ITy}}{\Delta \Gamma \vdash_{\Phi}^n \text{other}[m; \kappa] \langle \sigma_{\text{tyidx}} \rangle :: \text{Ty}}
\end{array}$$

**Figure 4.** Kinding rules for types, which take the form  $c \langle \sigma_{\text{tyidx}} \rangle$  where  $c$  is a tycon and  $\sigma_{\text{tyidx}}$  is the type index.

<b>tycons</b>	$c ::= \rightarrow \mid \text{TC} \mid \text{other}[m; \kappa]$
<b>tycon contexts</b>	$\Phi ::= \cdot \mid \Phi, \text{tycon } \text{TC} \{ \theta \} \sim \psi$
<b>tycon structures</b>	$\theta ::= \text{trans} = \sigma \text{ in } \omega$
<b>opcon structures</b>	$\omega ::= \text{ana intro} = \sigma \mid \omega; \text{syn op} = \sigma$
<b>tycon sigs</b>	$\psi ::= \text{tcsig}[\kappa] \{ \chi \}$
<b>opcon sigs</b>	$\chi ::= \text{intro}[\kappa] \mid \chi; \text{op}[\kappa]$

**Figure 5.** Syntax of tycons. Metavariables  $\text{TC}$  and  $\text{op}$  range over extension tycon and opcon names, respectively, and  $m$  ranges over natural numbers.

**Function Types** The rule (k-parr-ty) specifies that the type index of partial function types must be a pair of types. We thus say that  $\rightarrow$  has *index kind*  $\text{Ty} \times \text{Ty}$ . In practice, we would introduce a desugaring from  $\sigma_1 \rightarrow \sigma_2$  to  $\rightarrow \langle (\sigma_1, \sigma_2) \rangle$ .

**Extension Types** For types constructed by an *extension tycon*, written in small caps,  $\text{TC}$ , the rule (k-ext-ty) extracts the index kind of  $\text{TC}$  from the tycon context,  $\Phi$ .

For example,  $\text{RSTR}$  specifies the index kind  $\text{Rx}$ , which classifies static regular expression patterns (defined as an inductive sum kind in the usual way). Types constructed by  $\text{RSTR}$  will classify terms that behave as *regular strings*, i.e. strings statically known to be in the specified regular language [15]. For example,  $\sigma_{\text{title}} := \text{RSTR} \langle \cdot / \cdot + / \rangle$ , which was shown in Sec. 1, will classify non-empty strings and  $\sigma_{\text{conf}} := \text{RSTR} \langle \cdot / [\text{A-Z}] + \backslash \text{d} \backslash \text{d} \backslash \text{d} \backslash \text{d} / \rangle$  will classify strings having the format of a conference name. The type indices are here written using standard concrete syntax for concision; recent work has specified how to define type-specific (or here, kind-specific) syntax like this composably [31].

Our second example is  $\text{LPROD}$ , which defines a variant of labeled product type (labeled products are like record types, but maintain a row ordering; records are definable in a manner discussed in Sec. 4.1, but an ordering simplifies our discussion). We choose the index kind of  $\text{LPROD}$  to be  $\text{List}[\text{Lbl} \times \text{Ty}]$ , where list kinds are defined as inductive sums in the usual way, and  $\text{Lbl}$  classifies static representations of row labels. In a tycon context defining both tycon definitions, we can define a type classifying conference papers,  $\sigma_{\text{paper}} := \text{LPROD} \langle \{ \text{title} : \sigma_{\text{title}}, \text{conf} : \sigma_{\text{conf}} \} \rangle$ . We again use kind-specific syntax, in this case for  $\text{List}[\text{Lbl} \times \text{Ty}]$ .

Two tycon contexts, each defining just one of the tycons just mentioned, are shown in Figure 6.<sup>2</sup> The syntax of tycon contexts in Figure 5 specifies that they are simply lists of tycon definitions,  $\text{tycon } \text{TC} \{ \theta \} \sim \psi$ . The tycon structure,  $\theta$ , contains a *translation schema* (Sec. 3.3) and opcon definitions in an *opcon structure*,  $\omega$  (Sec. 4). *Tycon signatures*,

$\Phi_{\text{rstr}} := \text{tycon } \text{RSTR} \{$ $\quad \text{trans} = \sigma_{\text{rstr}/\text{schema}} \text{ in}$ $\quad \text{ana intro} = \sigma_{\text{rstr}/\text{intro}};$ $\quad \text{syn conc} = \sigma_{\text{rstr}/\text{conc}};$ $\quad \text{syn case} = \sigma_{\text{rstr}/\text{case}};$ $\quad \dots \} \sim \psi_{\text{rstr}}$	$\Phi_{\text{lprod}} := \text{tycon } \text{LPROD} \{$ $\quad \text{trans} = \sigma_{\text{lprod}/\text{schema}} \text{ in}$ $\quad \text{ana intro} = \sigma_{\text{lprod}/\text{intro}};$ $\quad \text{syn \#} = \sigma_{\text{lprod}/\text{prj}};$ $\quad \text{syn conc} = \sigma_{\text{lprod}/\text{conc}};$ $\quad \dots \} \sim \psi_{\text{lprod}}$
$\psi_{\text{rstr}} := \text{tcsig}[\text{Rx}] \{ \text{intro}[\text{Str}]; \text{conc}[1]; \text{case}[\text{StrPattern}]; \dots \}$	$\psi_{\text{lprod}} := \text{tcsig}[\text{List}[\text{Lbl} \times \text{Ty}]] \{ \text{intro}[\text{List}[\text{Lbl}]]; \#[\text{Lbl}]; \text{conc}[1]; \dots \}$

**Figure 6.** Example tycon definitions and signatures.

$\psi$ , have the form  $\text{tcsig}[\kappa_{\text{tyidx}}] \{ \chi \}$ , where  $\kappa_{\text{tyidx}}$  is the tycon’s index kind and  $\chi$  is the *opcon signature*, defining index kinds for the opcons in the opcon structure. The rule (k-ext-ty) only needs the tycon index kind.

The tycon context well-definedness judgement, written  $\vdash \Phi$ , requires that all tycon names are unique and performs three additional checks, shown in Figure 7 and described below (we omit the trivial base case, (tcc-emp)). We write tycon context concatenation as, e.g.,  $\Phi_{\text{rstr}} \Phi_{\text{lprod}}$ .

**Other Types** The rule (k-otherty) governs types constructed by a tycon of the form  $\text{other}[m; \kappa]$ . These will serve only as technical devices to stand in for types other than those in a given tycon context in Sec. 5. The index must pair a term of kind  $\kappa$  with a static term of kind  $\text{ITy}$ , discussed in Sec. 3.3. These can be thought of as a technical realization of the informal practice of including a “catch-all” or token base type (e.g. unit or nat) in a calculus.

### 3.1 Type Case Analysis

Types here can be thought of as arising from a distinguished “open datatype” [23] defined by the tycon context. Consistent with this view, a type  $\sigma$  can be case analyzed using  $\text{tccase}[c](\sigma; \mathbf{x}. \sigma_1; \sigma_2)$ . If the value of  $\sigma$  is constructed by  $c$ , its type index is bound to  $\mathbf{x}$  and the branch  $\sigma_1$  is taken. For totality, a default branch,  $\sigma_2$ , must also be provided. For example, the kinding rule for extension tycons is:

$$\begin{array}{c}
\text{(k-tccase)} \\
\frac{\Delta \Gamma \vdash_{\Phi}^n \sigma :: \text{Ty} \quad \text{tycon } \text{TC} \{ \theta \} \sim \text{tcsig}[\kappa_{\text{tyidx}}] \{ \chi \} \in \Phi}{\Delta \Gamma, \mathbf{x} :: \kappa_{\text{tyidx}} \vdash_{\Phi}^n \sigma_1 :: \kappa \quad \Delta \Gamma \vdash_{\Phi}^n \sigma_2 :: \kappa} \Delta \Gamma \vdash_{\Phi}^n \text{tccase}[\text{TC}](\sigma; \mathbf{x}. \sigma_1; \sigma_2) :: \kappa
\end{array}$$

The rule for  $c = \rightarrow$  is analogous, but, importantly, no rule for case analyzing against  $c = \text{other}[m; \kappa]$  is defined (these types thus always take the default branch). We will see an example of its use in an opcon definition in Sec. 4.4.

### 3.2 Type Equivalence

The first check in (tcc-ext) simplifies type equivalence: type index kinds must be *equality kinds*, i.e. those for which semantically equivalent values are syntactically equal.

<sup>2</sup> In examples, we omit leading  $\emptyset$ , used as the base case for finite mappings, and  $\cdot$ , used as the base case for finite sequences, for concision.

$$\begin{array}{c}
\text{(tcc-ext)} \\
\frac{\vdash \Phi \quad \text{TC} \notin \text{dom}(\Phi) \quad \emptyset \vdash \kappa_{\text{tyidx}} \text{ eq} \quad \emptyset \vdash_{\Phi}^0 \sigma_{\text{schema}} :: \kappa_{\text{tyidx}} \rightarrow \text{ITy} \quad \vdash_{\Phi, \text{tycon TC}} \{ \text{trans} = \sigma_{\text{schema}} \text{ in } \omega \} \sim \text{tcsig}[\kappa_{\text{tyidx}}] \{ \chi \} \quad \vdash_{\Phi, \text{tycon TC}} \{ \text{trans} = \sigma_{\text{schema}} \text{ in } \omega \} \sim \text{tcsig}[\kappa_{\text{tyidx}}] \{ \chi \}}{\vdash \Phi, \text{tycon TC} \{ \text{trans} = \sigma_{\text{schema}} \text{ in } \omega \} \sim \text{tcsig}[\kappa_{\text{tyidx}}] \{ \chi \}}
\end{array}$$

**Figure 7.** Tycon context well-definedness.

Equality kinds are defined by the judgement  $\Delta \vdash \kappa \text{ eq}$  (see supplement). Arrow kinds are not equality kinds, so type indices cannot contain static functions. Equality kinds are exactly analogous to equality types as in Standard ML [27].

### 3.3 Type Translations

Recall that every type  $\sigma$  has a type translation,  $\tau$ . Extension tycons compute translations for the types they construct as a function of each type's index by specifying a *translation schema* in the tycon structure,  $\theta$ . A tycon with index kind  $\kappa_{\text{tyidx}}$  must define a translation schema of kind  $\kappa_{\text{tyidx}} \rightarrow \text{ITy}$ , checked by (tcc-ext).

Terms of kind  $\text{ITy}$  are introduced by a quotation form,  $\blacktriangleright(\hat{\tau})$ , where  $\hat{\tau}$  is a *translational internal type*. Each form of internal type,  $\tau$ , corresponds to a form of translational internal type,  $\hat{\tau}$ . For example, RSTR ignores the type index and translate regular strings to strings, of internal type abbreviated  $\text{str}$ . Abbreviating the corresponding translational internal type  $\hat{\text{str}}$ , we define  $\sigma_{\text{rstr/trans}} := \lambda \text{tyidx}::\text{Rx}.\blacktriangleright(\hat{\text{str}})$ . The kinding and dynamics for these shared forms is simply recursive (maintaining the quotation marker), e.g.

$$\begin{array}{c}
\text{(k-ity-prod)} \\
\frac{\Delta \Gamma \vdash_{\Phi}^n \blacktriangleright(\hat{\tau}_1) :: \text{ITy} \quad \Delta \Gamma \vdash_{\Phi}^n \blacktriangleright(\hat{\tau}_2) :: \text{ITy}}{\Delta \Gamma \vdash_{\Phi}^n \blacktriangleright(\hat{\tau}_1 \times \hat{\tau}_2) :: \text{ITy}}
\end{array}$$

The syntax for  $\hat{\tau}$  further includes an “unquote” form,  $\blacktriangleleft(\sigma)$ , so that they can be constructed compositionally, as well as a form,  $\text{trans}(\sigma)$ , that allows one type's translation to refer to another's:

$$\begin{array}{c}
\text{(k-ity-unquote)} \quad \text{(k-ity-trans)} \\
\frac{\Delta \Gamma \vdash_{\Phi}^n \sigma :: \text{ITy}}{\Delta \Gamma \vdash_{\Phi}^n \blacktriangleright(\blacktriangleleft(\sigma)) :: \text{ITy}} \quad \frac{\Delta \Gamma \vdash_{\Phi}^n \sigma :: \text{Ty}}{\Delta \Gamma \vdash_{\Phi}^n \blacktriangleright(\text{trans}(\sigma)) :: \text{ITy}}
\end{array}$$

The unquote form is eliminated during normalization, while references to type translations are retained in values:

$$\begin{array}{c}
\text{(s-ity-unquote-elim)} \quad \text{(s-ity-trans-val)} \\
\frac{\blacktriangleright(\hat{\tau}) \text{ val}_{\mathcal{A}}}{\blacktriangleright(\blacktriangleleft(\blacktriangleright(\hat{\tau}))) \mapsto_{\mathcal{A}} \blacktriangleright(\hat{\tau})} \quad \frac{\sigma \text{ val}_{\mathcal{A}}}{\blacktriangleright(\text{trans}(\sigma)) \text{ val}_{\mathcal{A}}}
\end{array}$$

These forms are needed in the translation schema for LPROD, which generates nested binary product types (though we could also have used, e.g., an internal list) by folding over the type index and referring to the translations of the types therein.  $\sigma_{\text{lprod/trans}} :=$

$$\begin{array}{c}
\lambda \text{tyidx}::\text{List}[\text{Lbl} \times \text{Ty}]. \text{fold } \text{tyidx} \blacktriangleright(1) \\
(\lambda h:\text{Lbl} \times \text{Ty}.\lambda r:\text{ITy}.\blacktriangleright(\text{trans}(\text{snd}(h)) \times \blacktriangleleft(r)))
\end{array}$$

Applying this translation schema to the index of  $\sigma_{\text{paper}}$ , for example, produces  $\sigma_{\text{paper/trans}} := \blacktriangleright(\hat{\tau}_{\text{paper/trans}})$  where  $\hat{\tau}_{\text{paper/trans}} := \text{trans}(\sigma_{\text{title}}) \times (\text{trans}(\sigma_{\text{conf}}) \times 1)$ . Note that our schema did not remove the trailing unit type for simplicity.

#### 3.3.1 Selective Type Translation Abstraction

References to type translations are maintained in values of kind  $\text{ITy}$  like this to allow us to selectively hold them abstract, which will be the key to translation independence. This can be thought of as analogous to the process in ML by which the true identity of an abstract type in a module is held abstract outside the module until after typechecking. The judgement  $\hat{\tau} \parallel \mathcal{D} \mapsto_{\Phi}^c \tau^+ \parallel \mathcal{D}^+$  relates a normalized translational internal type  $\hat{\tau}$  to an internal type  $\tau$ , called a *selectively abstracted type translation* because references to translations of types constructed by a tycon other than the *delegated tycon*,  $c$ , are replaced by a corresponding type variable,  $\alpha$ . The *type translation store*  $\mathcal{D} ::= \emptyset \mid \mathcal{D}, \sigma \leftrightarrow \tau / \alpha$  maintains this correspondence between types, their actual translations and the distinct type variables which appear in their place, e.g.  $\hat{\tau}_{\text{paper/trans}} \parallel \emptyset \mapsto_{\Phi, \text{rstr}, \Phi_{\text{lprod}}}^{\text{LPROD}} \tau_{\text{paper/abs}} \parallel \mathcal{D}_{\text{paper/abs}}$  where  $\tau_{\text{paper/abs}} := \alpha_1 \times (\alpha_2 \times 1)$  and  $\mathcal{D}_{\text{paper/abs}} := \sigma_{\text{title}} \leftrightarrow \text{str} / \alpha_1, \sigma_{\text{conf}} \leftrightarrow \text{str} / \alpha_2$ .

The judgement  $\mathcal{D} \rightsquigarrow \delta^+ : \Delta^+$  constructs the  $n$ -ary *type substitution*,  $\delta ::= \emptyset \mid \delta, \tau / \alpha$ , and corresponding internal type formation context,  $\Delta$ , implied by the type translation store  $\mathcal{D}$ . For example,  $\mathcal{D}_{\text{paper/abs}} \rightsquigarrow \delta_{\text{paper/abs}} : \Delta_{\text{paper/abs}}$  where  $\delta_{\text{paper/abs}} := \text{str} / \alpha_1, \text{str} / \alpha_2$  and  $\Delta_{\text{paper/abs}} := \alpha_1, \alpha_2$ . We can apply type substitutions to internal types, terms and typing contexts, written  $[\delta]\tau$ ,  $[\delta]\iota$  and  $[\delta]\Gamma$ , respectively. For example,  $[\delta_{\text{paper/abs}}]\tau_{\text{paper/abs}}$  is  $\tau_{\text{paper}} := \text{str} \times (\text{str} \times 1)$ , i.e. the actual type translation of  $\sigma_{\text{paper}}$ . Indeed, we can now give the rule defining the type translation judgement,  $\vdash_{\Phi} \sigma \rightsquigarrow \tau$ , mentioned in Sec. 2. We simply determine any selectively abstract translation, then apply the induced substitution:

$$\begin{array}{c}
\text{(ty-trans)} \\
\frac{\sigma \text{ type}_{\Phi} \quad \text{trans}(\sigma) \parallel \emptyset \mapsto_{\Phi}^c \tau \parallel \mathcal{D} \quad \mathcal{D} \rightsquigarrow \delta : \Delta}{\vdash_{\Phi} \sigma \rightsquigarrow [\delta]\tau}
\end{array}$$

The rules for the selective type translation abstraction judgement recurse generically over shared forms in  $\hat{\tau}$ . Only sub-terms of form  $\text{trans}(\sigma)$  are interesting. The translation of an extension type is determined by calling the translation schema and checking that the type translation it generates is closed except for type variables tracked by  $\mathcal{D}'$ . If constructed by the delegated tycon, it is not held abstract:

$$\begin{array}{c}
\text{(abs-tc-delegated)} \\
\frac{\text{tycon TC} \{ \text{trans} = \sigma_{\text{schema}} \text{ in } \omega \} \sim \psi \in \Phi \quad \sigma_{\text{schema}}(\sigma_{\text{tyidx}}) \Downarrow_{\cdot; \emptyset; \Phi} \blacktriangleright(\hat{\tau}) \quad \hat{\tau} \parallel \mathcal{D} \mapsto_{\Phi}^{\text{TC}} \tau \parallel \mathcal{D}' \quad \mathcal{D}' \rightsquigarrow \delta : \Delta \quad \Delta \vdash \tau}{\text{trans}(\text{TC}(\sigma_{\text{tyidx}})) \parallel \mathcal{D} \mapsto_{\Phi}^{\text{TC}} \tau \parallel \mathcal{D}'}
\end{array}$$

Otherwise, it is held abstract via a fresh type variable added to the store (the supplement has the rule (abs-ty-stored) for retrieving it if already there):

$$\begin{array}{c}
\text{(abs-tc-not-delegated-new)} \\
\frac{c \neq \text{TC} \quad \text{TC}(\sigma_{\text{tyidx}}) \notin \text{dom}(\mathcal{D}) \quad \text{tycon TC} \{ \text{trans} = \sigma_{\text{schema}} \text{ in } \omega \} \sim \psi \in \Phi \quad \sigma_{\text{schema}}(\sigma_{\text{tyidx}}) \Downarrow_{\cdot; \emptyset; \Phi} \blacktriangleright(\hat{\tau}) \quad \hat{\tau} \parallel \mathcal{D} \mapsto_{\Phi}^{\text{TC}} \tau \parallel \mathcal{D}' \quad \mathcal{D}' \rightsquigarrow \delta : \Delta \quad \Delta \vdash \tau \quad (\alpha \text{ fresh})}{\text{trans}(\text{TC}(\sigma_{\text{tyidx}})) \parallel \mathcal{D} \mapsto_{\Phi}^c \alpha \parallel \mathcal{D}', \text{TC}(\sigma_{\text{tyidx}}) \leftrightarrow [\delta]\tau / \alpha}
\end{array}$$

The translation of an “other” type is given in its index:

$$\begin{array}{c} \text{(abs-other-delegated)} \\ \frac{\hat{\tau} \parallel \mathcal{D} \mapsto_{\Phi}^{\text{other}[m;\kappa]} \tau \parallel \mathcal{D}'}{\text{trans}(\text{other}[m;\kappa] \langle (\sigma, \blacktriangleright(\hat{\tau})) \rangle \parallel \mathcal{D} \mapsto_{\Phi}^{\text{other}[m;\kappa]} \tau \parallel \mathcal{D}'} \end{array}$$

Rule (abs-other-not-delegated-new) is in the supplement.

The translations of function types are not held abstract, so that lambdas can be the sole binding construct in the EL:

$$\begin{array}{c} \text{(abs-parr)} \\ \frac{\text{trans}(\sigma_1) \parallel \mathcal{D} \mapsto_{\Phi}^c \tau_1 \parallel \mathcal{D}' \quad \text{trans}(\sigma_2) \parallel \mathcal{D}' \mapsto_{\Phi}^c \tau_2 \parallel \mathcal{D}''}{\text{trans}(\neg \langle (\sigma_1, \sigma_2) \rangle) \parallel \mathcal{D} \mapsto_{\Phi}^c \tau_1 \rightarrow \tau_2 \parallel \mathcal{D}''} \end{array}$$

## 4. External Terms

Now that we have established how types are constructed and how selectively abstracted and from there actual type translations are computed by static functions, we are ready to give the semantics for external terms, shown in Figure 8.

Because we are defining a bidirectional type system, a subsumption rule is needed to allow synthetic terms to be analyzed against an equivalent type. Per Sec. 3.2, equivalent types must be syntactically identical at normal form, and we consider analysis only if  $\sigma \text{ type}_{\Phi}$ , so the rule (subsume) is straightforward. To use an analytic term in a synthetic position, the programmer must provide a type ascription, written  $e : \sigma$ . The ascription is kind checked and normalized to a type before being used for analysis by rule (ascribe).

Variables and functions behave in the standard manner given our definitions of types and type translations (used to generate ascriptions in the IL). We use Plotkin’s fixpoint operator for general recursion (cf. [17]), and define both lambdas and fixpoints only analytically for simplicity.

### 4.1 Generalized Introductory Operations

The translation of the *generalized intro operation*, written  $\text{intro}[\sigma_{\text{tmidx}}](\bar{e})$ , is determined by the tycon of the type it is being analyzed against as a function of the type’s index, the *term index*,  $\sigma_{\text{tmidx}}$ , and the *argument list*,  $\bar{e}$ .

Before discussing rules (ana-intro) and (ana-intro-other), we note that we can recover a variety of standard concrete introductory forms by a purely syntactic desugaring to this abstract form (and thus allow their use at more than one type). For example, for regular strings we can use the string literal form, “s”, which desugars to  $\text{intro}[\text{"s"}_{\text{SL}}](\cdot)$ , i.e. the term index is the corresponding static value of kind Str, indicated by a subscript for clarity. Similarly, for labeled products, records, objects and so on, we can define a generalized labeled collection form,  $\{\text{lbl}_1 = e_1, \dots, \text{lbl}_n = e_n\}$ , that desugars to  $\text{intro}[\text{[lbl}_1, \dots, \text{lbl}_n]](e_1; \dots; e_n)$ , i.e. a list constructed from the row labels is the term index and the corresponding row values are the arguments. In both cases, the term index captures static portions of the concrete form and the arguments capture all external sub-terms. Additional desugarings are shown in the supplement and a technique based on [31] could be introduced to allow tycon providers to define more such desugarings composably.

Let us now derive  $\Upsilon_{\text{ex}} \vdash_{\Phi_{\text{rstr}} \Phi_{\text{lprod}}} e_{\text{ex}} \Leftarrow \sigma_{\text{paper}} \rightsquigarrow \iota_{\text{ex}}$  where  $\Upsilon_{\text{ex}} := \text{title} \Rightarrow \sigma_{\text{title}}$  and  $e_{\text{ex}} := \{\text{title} = \text{title}, \text{conf} = \text{"EXMPL 2015"}\}$ . The translation will be  $\iota_{\text{ex}} := (\text{title}, (\text{"EXMPL 2015"}_{\text{IL}}, ()))$ , where “EXMPL 2015”<sub>IL</sub> is an internal string (of internal type str).

The first premise of (ana-intro) extracts the tycon definition for the tycon of the type the intro form is being analyzed against. In this example, this is LPROD. We will use this as the delegated tycon in the final premises of the rule.

The second premise extracts the *intro term index kind*,  $\kappa_{\text{tmidx}}$ , from the *opcon signature*,  $\chi$ , and the third premise checks the provided term index against this kind. This is simply the kind of term index expected by the tycon, e.g. in Figure 6, LPROD specifies List[Lbl], so that it can use the labeled collection form, while RSTR specifies an intro index kind of Str, so that it can use the string literal form.

The fourth premise extracts the *intro opcon definition* from the *opcon structure*,  $\omega$ , of the tycon structure, calling it  $\sigma_{\text{def}}$ . This is a static function that is applied, in the seventh premise, to determine whether the term is well-typed, raising an error if not or determining the translation of the term if so. The function has access to the type index, the term index and an interface to the list of arguments, and its kind is checked by the judgement  $\vdash_{\Phi} \omega \sim \psi$ , which appeared as the final premise of the rule (tcc-ext) and is defined in Figure 9. For example, the intro opcon definition for RSTR is  $\sigma_{\text{rstr/intro}} :=$

```

λtyidx::Rx.λtmidx::Str.λargs::List[Arg].
  let aok :: 1 = arity0 args in
  let rok :: 1 = rmatch tyidx tmidx in str_of_Str tmidx

```

Because regular strings are implemented as strings, this intro opcon definition is straightforward. It begins by making sure that no arguments were passed in (we will return to arguments and the fifth and sixth premises of (ana-intro) with the next example), using the helper function  $\text{arity0} :: \text{List[Arg]} \rightarrow 1$  defined such that any non-empty list will raise an error, via the static term  $\text{raise}[1]$ . In practice, the tycon provider would specify an error message here. Next, it checks the string provided as the term index against the regular expression given as the type index using  $\text{rmatch} :: \text{Rx} \rightarrow \text{Str} \rightarrow 1$ , which we assume is defined in the usual way and again raises an error on failure. Finally, the *translational internal string* corresponding to the static string provided as the term index is generated via the helper function  $\text{str\_of\_Str} :: \text{Str} \rightarrow \text{ITm}$ .

The introductory form for kind ITm is also a quotation form,  $\triangleright(\hat{\iota})$ , where  $\hat{\iota}$  is a *translational internal term*. It is analogous to the form  $\blacktriangleright(\hat{\tau})$  for ITy in Sec. 3.3. Each form in the syntax of  $\iota$  has a corresponding form in the syntax for  $\hat{\iota}$  and the kinding rules and dynamics simply recurse through these in the same manner as in Sec. 3.3. There is also an analogous unquote form,  $\triangleleft(\sigma)$ . The final two forms of translational internal term are  $\text{anatrns}[n](\sigma)$  and  $\text{syntrns}[n]$ . These stand in for the translation of argument  $n$ , the first if it arises via analysis against type  $\sigma$  and the second

$\boxed{\Upsilon \vdash_{\Phi} e \Leftarrow \sigma \rightsquigarrow \iota}$	$\boxed{\Upsilon \vdash_{\Phi} e \Rightarrow \sigma \rightsquigarrow \iota}$		
(subsume)	(ascribe)	(syn-var)	(ana-fix)
$\frac{\Upsilon \vdash_{\Phi} e \Rightarrow \sigma \rightsquigarrow \iota}{\Upsilon \vdash_{\Phi} e \Leftarrow \sigma \rightsquigarrow \iota}$	$\frac{\emptyset \vdash_{\Phi}^0 \sigma :: \text{Ty} \quad \sigma \Downarrow_{\cdot, \emptyset; \Phi} \sigma'}{\Upsilon \vdash_{\Phi} e : \sigma \Rightarrow \sigma' \rightsquigarrow \iota}$	$\frac{x \Rightarrow \sigma \in \Upsilon}{\Upsilon \vdash_{\Phi} x \Rightarrow \sigma \rightsquigarrow x}$	$\frac{\Upsilon, x \Rightarrow \sigma \vdash_{\Phi} e \Leftarrow \sigma \rightsquigarrow \iota \quad \vdash_{\Phi} \sigma \rightsquigarrow \tau}{\Upsilon \vdash_{\Phi} \text{fix}(x.e) \Leftarrow \sigma \rightsquigarrow \text{fix}[\tau](x.\iota)}$
(ana-lam)		(syn-ap)	
$\frac{\Upsilon, x \Rightarrow \sigma_1 \vdash_{\Phi} e \Leftarrow \sigma_2 \rightsquigarrow \iota \quad \vdash_{\Phi} \sigma_1 \rightsquigarrow \tau_1}{\Upsilon \vdash_{\Phi} \lambda(x.e) \Leftarrow \rightarrow \langle (\sigma_1, \sigma_2) \rangle \rightsquigarrow \lambda[\tau_1](x.\iota)}$		$\frac{\Upsilon \vdash_{\Phi} e_1 \Rightarrow \rightarrow \langle (\sigma_1, \sigma_2) \rangle \rightsquigarrow \iota_1 \quad \Upsilon \vdash_{\Phi} e_2 \Leftarrow \sigma_2 \rightsquigarrow \iota_2}{\Upsilon \vdash_{\Phi} e_1(e_2) \Rightarrow \sigma_2 \rightsquigarrow \iota_1(\iota_2)}$	
(ana-intro)		(syn-targ)	
$\frac{\begin{array}{l} \text{tycon TC } \{\text{trans} = \_ \text{ in } \omega\} \sim \text{tcsig}[\_] \{\chi\} \in \Phi \\ \text{intro}[\kappa_{\text{tmidx}}] \in \chi \quad \emptyset \vdash_{\Phi}^0 \sigma_{\text{tmidx}} :: \kappa_{\text{tmidx}} \\ \text{ana intro} = \sigma_{\text{def}} \in \omega \quad  \bar{e}  = n \quad \text{args}(n) = \sigma_{\text{args}} \\ \sigma_{\text{def}}(\sigma_{\text{tyidx}})(\sigma_{\text{tmidx}})(\sigma_{\text{args}}) \Downarrow_{\bar{e}; \Upsilon; \Phi} \triangleright(\hat{\iota}) \\ \vdash_{\bar{e}; \Upsilon; \Phi}^{\text{TC}} \hat{\iota} : \text{TC}(\sigma_{\text{tyidx}}) \rightsquigarrow \iota \end{array}}{\Upsilon \vdash_{\Phi} \text{intro}[\sigma_{\text{tmidx}}](\bar{e}) \Leftarrow \text{TC}(\sigma_{\text{tyidx}}) \rightsquigarrow \iota}$		$\frac{\begin{array}{l} \Upsilon \vdash_{\Phi} e_{\text{targ}} \Rightarrow \text{TC}(\sigma_{\text{tyidx}}) \rightsquigarrow \iota_{\text{targ}} \\ \text{tycon TC } \{\text{trans} = \_ \text{ in } \omega\} \sim \text{tcsig}[\_] \{\chi\} \in \Phi \\ \text{op}[\kappa_{\text{tmidx}}] \in \chi \quad \emptyset \vdash_{\Phi}^0 \sigma_{\text{tmidx}} :: \kappa_{\text{tmidx}} \\ \text{syn op} = \sigma_{\text{def}} \in \omega \quad  e_{\text{targ}}; \bar{e}  = n \quad \text{args}(n) = \sigma_{\text{args}} \\ \sigma_{\text{def}}(\sigma_{\text{tyidx}})(\sigma_{\text{tmidx}})(\sigma_{\text{args}}) \Downarrow_{(e_{\text{targ}}; \bar{e}); \Upsilon; \Phi} (\sigma, \triangleright(\hat{\iota})) \\ \vdash_{(e_{\text{targ}}; \bar{e}); \Upsilon; \Phi}^{\text{TC}} \hat{\iota} : \sigma \rightsquigarrow \iota \end{array}}{\Upsilon \vdash_{\Phi} \text{targop}[\text{op}; \sigma_{\text{tmidx}}](e_{\text{targ}}; \bar{e}) \Rightarrow \sigma \rightsquigarrow \iota}$	
(ana-intro-other)		(syn-targ-other)	
$\frac{\begin{array}{l} \emptyset \vdash_{\Phi}^0 \sigma_{\text{def}} :: \text{List}[\text{Arg}] \rightarrow \text{ITm} \\  \bar{e}  = n \quad \text{args}(n) = \sigma_{\text{args}} \quad \sigma_{\text{def}}(\sigma_{\text{args}}) \Downarrow_{\bar{e}; \Upsilon; \Phi} \triangleright(\hat{\iota}) \\ \vdash_{\bar{e}; \Upsilon; \Phi}^{\text{other}[m; \kappa]} \hat{\iota} : \text{other}[m; \kappa](\sigma_{\text{tyidx}}) \rightsquigarrow \iota \end{array}}{\Upsilon \vdash_{\Phi} \text{intro}[\sigma_{\text{def}}](\bar{e}) \Leftarrow \text{other}[m; \kappa](\sigma_{\text{tyidx}}) \rightsquigarrow \iota}$		$\frac{\begin{array}{l} \Upsilon \vdash_{\Phi} e_{\text{targ}} \Rightarrow \text{other}[m; \kappa](\sigma_{\text{tyidx}}) \rightsquigarrow \iota_{\text{targ}} \\ \emptyset \vdash_{\Phi}^0 \sigma_{\text{def}} :: \text{List}[\text{Arg}] \rightarrow (\text{Ty} \times \text{ITm}) \\  e_{\text{targ}}; \bar{e}  = n \quad \text{args}(n) = \sigma_{\text{args}} \quad \sigma_{\text{def}}(\sigma_{\text{args}}) \Downarrow_{(e_{\text{targ}}; \bar{e}); \Upsilon; \Phi} (\sigma, \triangleright(\hat{\iota})) \\ \vdash_{(e_{\text{targ}}; \bar{e}); \Upsilon; \Phi}^{\text{other}[m; \kappa]} \hat{\iota} : \sigma \rightsquigarrow \iota \end{array}}{\Upsilon \vdash_{\Phi} \text{targop}[\text{op}; \sigma_{\text{def}}](e_{\text{targ}}; \bar{e}) \Rightarrow \sigma \rightsquigarrow [\delta][\gamma] \iota_{\text{abs}}}$	

Figure 8. Typing

$\boxed{\vdash_{\Phi} \omega \sim \psi}$	(ocstruct-intro)	(ocstruct-targ)
	$\frac{\begin{array}{l} \text{intro}[\kappa_{\text{tmidx}}] \in \chi \quad \emptyset \vdash_{\Phi} \kappa_{\text{tmidx}} \\ \emptyset \vdash_{\Phi}^0 \sigma_{\text{def}} :: \kappa_{\text{tyidx}} \rightarrow \kappa_{\text{tmidx}} \rightarrow \text{List}[\text{Arg}] \rightarrow \text{ITm} \end{array}}{\vdash_{\Phi} \text{ana intro} = \sigma_{\text{def}} \sim \text{tcsig}[\kappa_{\text{tyidx}}] \{\chi\}}$	$\frac{\begin{array}{l} \vdash_{\Phi} \omega \sim \text{tcsig}[\kappa_{\text{tyidx}}] \{\chi\} \quad \text{op} \notin \text{dom}(\chi) \quad \emptyset \vdash_{\Phi} \kappa_{\text{tmidx}} \\ \emptyset \vdash_{\Phi}^0 \sigma_{\text{def}} :: \kappa_{\text{tyidx}} \rightarrow \kappa_{\text{tmidx}} \rightarrow \text{List}[\text{Arg}] \rightarrow (\text{Ty} \times \text{ITm}) \end{array}}{\vdash_{\Phi} \omega; \text{syn op} = \sigma_{\text{def}} \sim \text{tcsig}[\kappa_{\text{tyidx}}] \{\chi, \text{op}[\kappa_{\text{tmidx}}]\}}$

Figure 9. Opcon structure kinding against tycon signatures

```

λtyidx::List[Lbl × Ty]. λtmidx::List[Lbl]. λargs::List[Arg].
let inhabited :: 1 = uniqmap tyidx in
fold3 tyidx tmidx args ▷(( ))
λrowtyidx::Lbl × Ty. λrowtmidx::Lbl. λrowarg::Arg. λr::ITm.
letpair (rowlbl, rowty) = rowtyidx in
let lok :: 1 = lbleq rowlbl rowtmidx in
let rowtr :: ITm = ana rowarg rowty in
▷((▷(rowtr), ▷(r)))

```

Figure 10. The intro opcon definition for LPROD.

if it arises via type synthesis. Before giving the rules, let us motivate the mechanism with the intro opcon definition for LPROD, shown in Figure 10.

The first line checks that the type provided is inhabited, in this case by checking that there are no duplicate labels via the helper function *uniqmap* :: List[Lbl × Ty] → 1, raising an error if there are. An alternative strategy may have been to use an abstract kind that ensured that such type indices could not have been constructed, but to be compatible with our equality kind restriction, this would require support for abstract equality kinds, analogous to abstract equality types in SML. We chose not to formalize these

for simplicity, and to demonstrate this general technique. An analogous technique could be used to implement record types by requiring that the index be sorted (see supplement).

The rest of this opcon definition folds over the three lists provided as input: the list mapping labels to types provided as the type index, the list of labels provided as the term index, and the list of argument interfaces. We assume a straightforward helper function, *fold3*, that raises an error if the three lists are not of the same length. The base case is the translational empty product. The recursive case checks, for each row, that the label provided in the term index matches the label provided in the type index, using a helper function *lbleq* :: Lbl → Lbl → 1. Then, we request type analysis of the corresponding argument, *rowarg*, against the type in the type index, *rowty*, by writing *ana rowarg rowty*. Here, *ana* is a helper function defined below that triggers type analysis of the provided argument. If this succeeds, it evaluates to an translational internal term of the form ▷(anatrans[*n*](σ)), where *n* is the position of *rowarg* in *args* and σ is the value of *rowty*. If analysis fails, it raises an error. The final line constructs a nested tuple based on this translation and the recursive result. Taken together, the translational internal

$$\begin{array}{c}
\text{(k-ana)} \\
\frac{n' < n \quad \Delta \Gamma \vdash_{\Phi}^n \sigma :: \text{Ty}}{\Delta \Gamma \vdash_{\Phi}^n \text{ana}[n'](\sigma) :: \text{ITm}} \\
\\
\text{(k-syn)} \\
\frac{n' < n}{\Delta \Gamma \vdash_{\Phi}^n \text{syn}[n'] :: \text{Ty} \times \text{ITm}}
\end{array}$$

**Figure 11.** Kinding for the SL-EL interface.

term that will be generated for our example involving  $e_{\text{ex}}$  above is  $\hat{\iota}_{\text{ex}} := (\text{anatrans}[0](\sigma_{\text{title}}), (\text{anatrans}[1](\sigma_{\text{conf}}), ()))$ , i.e. it simply recalls that the two arguments were analyzed against  $\sigma_{\text{title}}$  and  $\sigma_{\text{conf}}$ , without yet inserting their translations directly. This is done by the *translation validation judgement*, which occurs in the final premise. We describe argument interfaces and translation validation next.

## 4.2 Argument Interfaces

The kind of *argument interfaces* is  $\text{Arg} := (\text{Ty} \rightarrow \text{ITm}) \times (1 \rightarrow \text{Ty} \times \text{ITm})$ , i.e. a product of functions, one for analysis and the other for synthesis. The helpers *ana* and *syn* only project them out, e.g. *ana*  $:= \lambda \text{arg} :: \text{Arg}. \text{fst}(\text{arg})$ . To actually perform analysis or synthesis, we must provide a link between the dynamics of the static language and the typing rules. This is purpose of the static forms  $\text{ana}[n](\sigma)$  and  $\text{syn}[n]$ . We provide each opcon definition with an *argument interface list*, which a static list of length  $n$  where the  $i$ th entry is  $(\lambda \text{ty} :: \text{Ty}. \text{ana}[i](\text{ty}), \lambda :: 1. \text{syn}[i])$ . It is generated by the judgement  $\text{args}(n) = \sigma_{\text{args}}$ , where  $n$  is the length of the actual argument list, written  $|\bar{e}| = n$ .

Recall that the kinding judgement is indexed by  $n$ . This is an upper bound on the argument index of terms of the form  $\text{ana}[n](\sigma)$  and  $\text{syn}[n]$ , enforced in Figure 11. Thus, if  $\text{args}(n) = \sigma_{\text{args}}$  then  $\emptyset \emptyset \vdash_{\Phi}^n \sigma_{\text{args}} :: \text{List}[\text{Arg}]$ . The rule (ocstruct-intro) ruled out writing either of these forms explicitly in an opcon definition by checking against the bound  $n = 0$ . This is to prevent out-of-bounds errors: tycon providers can only access these forms via the argument interface list, which must have the correct length.

For  $\text{ana}[n](\sigma)$ , after normalizing  $\sigma$ , the argument environment, which contains the arguments themselves and the typing and tycon contexts,  $\mathcal{A} ::= \bar{e}; \Upsilon; \Phi$ , is consulted to retrieve the  $n$ th argument and analyze it against  $\sigma$ . If this succeeds,  $\triangleright(\text{anatrans}[n](\sigma))$  is generated:

$$\begin{array}{c}
\text{(s-ana-success)} \\
\frac{\sigma \text{ val}_{\bar{e}; \Upsilon; \Phi} \quad \text{nth}[n](\bar{e}) = e \quad \Upsilon \vdash_{\Phi} e \Leftarrow \sigma \leadsto \iota}{\text{ana}[n](\sigma) \mapsto_{\bar{e}; \Upsilon; \Phi} \triangleright(\text{anatrans}[n](\sigma))}
\end{array}$$

If it fails, an error is raised:

$$\begin{array}{c}
\text{(s-ana-fail)} \\
\frac{\sigma \text{ val}_{\bar{e}; \Upsilon; \Phi} \quad \text{nth}[n](\bar{e}) = e \quad [\Upsilon \vdash_{\Phi} e \Leftarrow \sigma]}{\text{ana}[n](\sigma) \text{ err}_{\bar{e}; \Upsilon; \Phi}}
\end{array}$$

We write  $[\Upsilon \vdash_{\Phi} e \Leftarrow \sigma]$  to indicate that  $e$  fails to analyze against  $\sigma$ . We do not define this inductively, so we also allow that this premise be omitted, leaving a non-deterministic semantics nevertheless sufficient for our metatheory.

The dynamics for  $\text{syn}[n]$  are analogous, evaluating to a pair  $(\sigma, \triangleright(\text{syntrans}[n]))$  where  $\sigma$  is the synthesized type.

$$\begin{array}{c}
\boxed{\vdash_{\mathcal{A}}^c \hat{\iota} : \sigma \leadsto \iota^+} \\
\\
\text{(validate-tr)} \\
\frac{\text{trans}(\sigma) \parallel \emptyset \mapsto_{\Phi}^c \tau_{\text{abs}} \parallel \mathcal{D} \quad \hat{\iota} \parallel \mathcal{D} \emptyset \mapsto_{\bar{e}; \Upsilon; \Phi}^c \iota_{\text{abs}} \parallel \mathcal{D}' \mathcal{G} \quad \mathcal{D}' \leadsto \delta : \Delta_{\text{abs}} \quad \mathcal{G} \leadsto \gamma : \Gamma_{\text{abs}} \quad \Delta_{\text{abs}} \Gamma_{\text{abs}} \vdash \iota_{\text{abs}} : \tau_{\text{abs}}}{\vdash_{\bar{e}; \Upsilon; \Phi}^c \hat{\iota} : \sigma \leadsto [\delta][\gamma]_{\iota_{\text{abs}}}}
\end{array}$$

**Figure 12.** Translation Validation

The kinding rules also prevent these forms from being well-kinded when  $n = 0$ . Like the form  $\text{trans}(\sigma)$ , these are retained in values of kind  $\text{ITm}$ , as shown in  $\hat{\iota}_{\text{ex}}$ .

## 4.3 Translation Validation

The judgement  $\vdash_{\mathcal{A}}^c \hat{\iota} : \sigma \leadsto \iota$ , defined by a single rule in Figure 12 and appearing as the final premise of (ana-intro) and the other rules described below, is pronounced “translational internal term  $\hat{\iota}$  generated by an opcon associated with  $c$  under argument environment  $\mathcal{A}$  for an operation with type  $\sigma$  is valid, so translation  $\iota$  is produced”. For example,

$$\vdash_{(\text{title}; \text{"EXMPL 2015"}); \Upsilon_{\text{ex}}; \Phi_{\text{rstr}} \Phi_{\text{lprod}}}^{\text{LPROD}} \hat{\iota}_{\text{ex}} : \sigma_{\text{paper}} \leadsto \iota_{\text{ex}}$$

The purpose of this rule is to check that the generated translation will be well-typed *no matter what the translations of types other than those constructed by  $c$  are*. This *translation independence* property will be the key to our conservativity theorem in Sec. 5.

The first premise generates the selectively abstracted type translation for  $\sigma$  given that  $c$  was the delegated tycon as described in Sec. 3.3.1. In our running example, this is  $\tau_{\text{abs/paper}}$ , i.e.  $\alpha_0 \times (\alpha_1 \times 1)$ .

The judgement  $\hat{\iota} \parallel \mathcal{D} \mathcal{G} \mapsto_{\mathcal{A}}^c \iota^+ \parallel \mathcal{D}' \mathcal{G}^+$ , appearing as the next premise, relates a translational internal term  $\hat{\iota}$  to an internal term  $\iota$  called a *selectively abstracted term translation*, because all references to the translation of an argument (having any type) are replaced with a corresponding variable and, as in Sec. 3.3.1, all references to the translation of a type constructed by an extension tycon other than the “delegated tycon”  $c$  are replaced with a corresponding abstract type variable. The type translation store,  $\mathcal{D}$ , discussed previously, and term translation store,  $\mathcal{G}$ , track these correspondences. Term translation stores have syntax  $\mathcal{G} ::= \emptyset \mid \mathcal{G}, n : \sigma \leadsto \iota/x : \tau$ . Each entry can be read “argument  $n$  having type  $\sigma$  and translation  $\iota$  appears as variable  $x$  with type  $\tau$ ”, e.g.  $\hat{\iota}_{\text{ex}} \parallel \mathcal{D}_{\text{paper/abs}} \emptyset \mapsto_{(\text{title}; \text{"EXMPL 2015"}); \Upsilon; \Phi_{\text{rstr}} \Phi_{\text{lprod}}}^{\text{LPROD}} \iota_{\text{ex/abs}} \parallel \mathcal{D}_{\text{paper/abs}} \mathcal{G}_{\text{ex/abs}}$  where  $\iota_{\text{ex/abs}} := (x_0, (x_1, ()))$  and  $\mathcal{G}_{\text{ex/abs}} := 0 : \sigma_{\text{title}} \leadsto \text{title}/x_0 : \alpha_0, 1 : \sigma_{\text{conf}} \leadsto \text{"EXMPL 2015"}_{\text{IL}}/x_1 : \alpha_1$  and  $\mathcal{D}_{\text{paper/abs}}$  is from Sec. 3.3.1.

This judgement proceeds recursively along shared forms, like the selectively abstracted type translation judgement in Sec. 3.3.1. The key rule for references to argument translations derived via analysis is below (syntrans[ $n$ ] is analogous; the full rules are in the supplement).

$$\begin{array}{c}
\text{(abs-anatrans-new)} \\
\frac{n \notin \text{dom}(\mathcal{G}) \quad \text{nth}[n](\bar{e}) = e \quad \Upsilon \vdash_{\Phi} e \Leftarrow \sigma \leadsto \iota \quad \text{trans}(\sigma) \parallel \mathcal{D} \mapsto_{\Phi}^c \tau \parallel \mathcal{D}' \quad (x \text{ fresh})}{\text{anatrans}[n](\sigma) \parallel \mathcal{D} \mathcal{G} \mapsto_{\bar{e}; \Upsilon; \Phi}^c \iota \parallel \mathcal{D}' \mathcal{G}, n : \sigma \leadsto \iota/x : \tau}
\end{array}$$



The third premise of (validate-tr) generates the type substitution and type formation contexts from the final type translation store,  $\mathcal{D}' \rightsquigarrow \delta_{\text{paper/abs}} : \Delta_{\text{paper/abs}}$  as described in Sec. 3.3.1. Like type translation stores, each term translation store induces an internal term substitution,  $\gamma ::= \emptyset \mid \gamma, \iota/x$ , and corresponding internal typing context  $\Gamma$  by the judgement  $\mathcal{G} \rightsquigarrow \gamma : \Gamma$ , appearing as the fourth premise. Here,  $\gamma_{\text{ex/abs}} := \text{title}/x_0, \text{"EXMPL 2015"}_{\text{IL}}/x_1$  and  $\Gamma_{\text{ex/abs}} := x_0:\alpha_0, x_1:\alpha_1$ .

Finally, the fifth premise checks the abstracted term translation against the abstracted type translation according to the internal statics. Here,  $\Delta_{\text{paper/abs}} \Gamma_{\text{ex/abs}} \vdash \iota_{\text{ex/abs}} : \tau_{\text{ex/abs}}$ , i.e.:

$$(\alpha_0, \alpha_1) (x_0 : \alpha_0, x_1 : \alpha_1) \vdash (x_0, (x_1, ())) : \alpha_0 \times (\alpha_1 \times 1)$$

The result is that the translation of the labeled product  $e_{\text{ex}}$  generated by LPROD is checked with the references to term and type translations of regular strings replaced by variables and type variables, respectively. But because our definition treated arguments parametrically, the check succeeds. Had we attempted to smuggle in a regular string that violated the regular string invariant, e.g. generating  $\hat{\iota}_{\text{bad}} := ("", ("", ()))$ , the check fails (even though  $("", ("", ())) : \text{str} \times \text{str} \times 1$ ).

Applying the substitutions  $\gamma_{\text{ex/abs}}$  and  $\delta_{\text{paper/abs}}$  in the conclusion of the rule, we arrive at the actual term translation  $\iota_{\text{ex}}$ , defined previously. Note that  $\iota_{\text{ex}}$  has type  $\tau_{\text{paper}}$  under the translation of  $\Upsilon_{\text{ex}}$ , i.e.  $\vdash \Upsilon_{\text{ex}} \rightsquigarrow \Gamma_{\text{ex}}$  where  $\Gamma_{\text{ex}} := \text{title} : \text{str}$ .

#### 4.4 Generalized Targeted Operations

All non-introductory operations go through another generalized form, in this case for *targeted operations*,  $\text{targop}[\text{op}; \sigma_{\text{tmidx}}](e_{\text{targ}}; \bar{e})$ , where **op** ranges over opcon names,  $\sigma_{\text{tmidx}}$  is the term index,  $e_{\text{targ}}$  is the *target argument* and  $\bar{e}$  are the remaining arguments. Concrete desugarings for this form include  $e_{\text{targ}}.\text{op}(\sigma_{\text{tmidx}})(\bar{e})$  (and variants where the term index or arguments are omitted), projection syntax for use by record-like types,  $e_{\text{targ}}\#1\text{b1}$ , which desugars to  $\text{targop}[\#; 1\text{b1}](e_{\text{targ}}; \cdot)$ , and  $e_{\text{targ}} \cdot e_{\text{arg}}$ , which desugars to  $\text{targop}[\text{conc}; ()](e_{\text{targ}}; e_{\text{arg}})$ . We show other desugarings, including case analysis, in the supplement.

Whereas introductory operations were analytic, targeted operations are synthetic in  $@\lambda$ . The type and translation are determined by the tycon of the type synthesized by the target argument. The rule (syn-targ) is otherwise similar to (ana-intro) in its structure. The first premise synthesizes a type,  $\text{TC}(\sigma_{\text{tyidx}})$ , for the target argument. The second premise extracts the tycon definition for TC from the tycon context. The third extracts the *operator index kind* from its opcon signature, and the fourth checks the term index against it.

Figure 6 showed portions of the opcon signatures of RSTR and LPROD. The opcons associated with RSTR are taken directly from Fulton et al.’s specification of regular string types [15], with the exception of **case**, which generalizes case analysis as defined there to arbitrary string patterns, which we discuss in the supplement. The opcons associated with LPROD are also straightforward: **#** projects out the row

```
syn conc = λtyidx::Rx.λtmidx::1.λargs::List[Arg].
  letpair (arg1, arg2) = arity2 args in
  letpair (_, tr1) = syn arg1 in
  letpair (ty2, tr2) = syn arg2
  tycase[RSTR](ty2; tyidx2.
    (RSTR⟨rxconcat tyidx tyidx2⟩, ▷(sconcat ◁(tr1) ◁(tr2)))
  ; raise[Ty × ITm]]
```

**Figure 13.** A targeted opcon definition,  $\sigma_{\text{rstr/conc}}$ .

with the provided label and **conc** concatenates two labeled products (updating common rows with the value from the right argument). Note that both RSTR and LPROD can define concatenation without conflict.

The fifth premise of (syn-targ) extracts the *targeted opcon definition* of **op** from the opcon structure,  $\omega$ . Like the intro opcon definition, this is a static function that generates a translational internal term on the basis of the target tycon’s type index, the term index and an argument interface list. Targeted opcon definitions additionally synthesize a type. The rule (ocstruct-targ) in Figure 9 ensures that no tycon defines an opcon twice and that the opcon definitions are well-kinded. For example, the definition of RSTR’s **conc** opcon is shown in Figure 13.

The helper function **arity2** checks that two arguments, including the target argument, were provided. We then request synthesis of both arguments. We can ignore the type synthesized by the first because by definition it is a regular string type with type index **tyidx**. We case analyze the second against RSTR, extracting its index regular expression if so and raising an error if not. We then synthesize the resulting regular string type, using the helper function **rxconcat** ::  $\text{Rx} \rightarrow \text{Rx} \rightarrow \text{Rx}$  which generates the synthesized type’s index by concatenating the indices of the argument’s types, and finally the translation, using an internal helper function **sconcat** :  $\text{str} \rightarrow \text{str} \rightarrow \text{str}$ , the translational term for which we assume has been substituted in directly.

The last premise of (syn-targ) again performs translation validation as described above. The only difference relative to (ana-intro) is that that we check the term translation against the type translation of the synthesized type, but the delegated tycon is that of the type synthesized by the target argument.

#### 4.5 Operations Over Other Types

The rules (ana-intro-other) and (syn-targ-other) are used to introduce and simulate other operations on terms of a type constructed by an “other” tycon. In both cases, the term index, rather than the tycon context, directly specifies the static function that maps the arguments to a translation.

### 5. Metatheory

We will now state the key metatheoretic properties of  $@\lambda$ . The full proofs are in the supplement.

**Kind Safety** Kind safety ensures that normalization of well-kinded static terms cannot go wrong. We can take a standard progress and preservation based approach.

**Theorem 1** (Static Progress). *If  $\emptyset \vdash_{\Phi}^n \sigma :: \kappa$  and  $\vdash \Phi$  and  $|\bar{e}| = n$  then  $\sigma \text{ val}_{\bar{e}; \Upsilon; \Phi}$  or  $\sigma \text{ err}_{\bar{e}; \Upsilon; \Phi}$  or  $\sigma \mapsto_{\bar{e}; \Upsilon; \Phi} \sigma'$ .*

**Theorem 2** (Static Preservation). *If  $\emptyset \vdash_{\Phi}^n \sigma :: \kappa$  and  $\vdash \Phi$  and  $|\bar{e}| = n$  and  $\vdash_{\Phi} \Upsilon \rightsquigarrow \Gamma$  and  $\sigma \mapsto_{\bar{e}; \Upsilon; \Phi} \sigma'$  then  $\emptyset \vdash_{\Phi}^n \sigma' :: \kappa$ .*

The case in the proof of Theorem 2 for  $\sigma = \text{syn}[n]$  requires that the following theorem be mutually defined.

**Theorem 3** (Type Synthesis). *If  $\vdash \Phi$  and  $\vdash_{\Phi} \Upsilon \rightsquigarrow \Gamma$  and  $\Upsilon \vdash_{\Phi} e \Rightarrow \sigma \rightsquigarrow \iota$  then  $\vdash_{\Phi} \sigma \rightsquigarrow \tau$  (and thus  $\sigma \text{ type}_{\Phi}$ ).*

**Type Safety** Type safety in a typed translation semantics requires that well-typed external terms translate to well-typed internal terms. Type safety for the IL [17] then implies that evaluation cannot go wrong. To prove this, we must in fact prove a stronger theorem: that a term's translation has its type's translation (the analogous notion is *type-preserving compilation* in type-directed compilers [40]):

**Theorem 4** (Type-Preserving Translation). *If  $\vdash \Phi$  and  $\vdash_{\Phi} \Upsilon \rightsquigarrow \Gamma$  and  $\vdash_{\Phi} \sigma \rightsquigarrow \tau$  and  $\Upsilon \vdash_{\Phi} e \Leftarrow \sigma \rightsquigarrow \iota$  then  $\emptyset \vdash \Gamma$  and  $\emptyset \vdash \tau$  and  $\emptyset \vdash \iota : \tau$ .*

*Proof Sketch.* The interesting cases are (ana-intro), (ana-intro-other), (syn-trans) and (syn-trans-other); the latter two arise via subsumption. The result follows directly from the final premise of each rule, combined with lemmas that state that if  $\mathcal{D} \rightsquigarrow \delta : \Delta_{\text{abs}}$  and  $\mathcal{G} \rightsquigarrow \gamma : \Gamma_{\text{abs}}$  then  $\emptyset \vdash \delta : \Delta_{\text{abs}}$  and  $\Delta_{\text{abs}} \vdash \gamma : \Gamma_{\text{abs}}$ , i.e. that all variables in  $\Delta_{\text{abs}}$  and  $\Gamma_{\text{abs}}$  have well-formed/well-typed substitutions in  $\delta$  and  $\gamma$ , and so applying them gives a well-typed term.  $\square$

**Hygienic Translation** Note above that the domains of  $\Upsilon$  (and thus  $\Gamma$ ) and  $\Gamma_{\text{abs}}$  are disjoint. This serves to ensure *hygienic translation* – translations cannot refer to variables in the surrounding scope directly, so uniformly renaming a variable cannot change the meaning of a program. Variables in  $\Upsilon$  can occur in arguments (e.g. *title* in the earlier example), but the translations of the arguments only appear *after* the substitution  $\gamma$  has been applied. We assume that substitution is capture-avoiding in the usual manner.

**Stability** Extending the tycon context does not change the meaning of any terms that were previously well-typed.

**Theorem 5** (Stability). *Letting  $\Phi' := \Phi, \text{tycon } \text{TC} \{ \theta \} \rightsquigarrow \psi$ , if  $\vdash \Phi'$  and  $\vdash_{\Phi} \Upsilon \rightsquigarrow \Gamma$  and  $\vdash_{\Phi} \sigma \rightsquigarrow \tau$  and  $\Upsilon \vdash_{\Phi} e \Leftarrow \sigma \rightsquigarrow \iota$  then  $\vdash_{\Phi'} \Upsilon \rightsquigarrow \Gamma$  and  $\vdash_{\Phi'} \sigma \rightsquigarrow \tau$  and  $\Upsilon \vdash_{\Phi'} e \Leftarrow \sigma \rightsquigarrow \iota$ .*

**Conservativity** Extending the tycon context also conserves all *tycon invariants* maintained in any smaller tycon context. An example of a tycon invariant is the following:

**Tycon Invariant 1** (Regular String Soundness). *If  $\emptyset \vdash_{\Phi_{\text{rstr}}} e \Leftarrow \text{RSTR} \langle r \rangle \rightsquigarrow \iota$  and  $\iota \Downarrow \iota'$  then  $\iota' = \text{"s"}$  and  $\text{"s"}$  is in the regular language  $\mathcal{L}(r)$ .*

*Proof Sketch.* The proof is not unusually difficult because we have fixed the tycon context  $\Phi_{\text{rstr}}$ , so we can essentially treat the calculus like a type-directed compiler for a calcu-

lus with only two tycons,  $\rightarrow$  and RSTR, plus one “other” one. Such a calculus and compiler specification was given in [15], so we must simply show that the opcon definitions in RSTR adequately satisfy these specification using standard techniques for the SL, a simply-typed functional language [9]. The only “twist” is that the rule (syn-targ-other) can synthesize a regular string type. But if so,  $\iota_{\text{abs}}$  will be checked against  $\tau_{\text{abs}} = \alpha$ . Thus, the invariants cannot be violated by direct application of relational parametricity in the IL (i.e. a “free theorem”) [41].  $\square$

The reason why (syn-targ-other) is never a problem in proving a tycon invariant – *translation independence* of tycons – turns out to be the same reason extending the tycon context conserves all tycon invariants. A newly introduced tycon defining a targeted operator that synthesizes a regular string type, e.g.  $\sigma_{\text{paper}}$ , and generating a translation that is not in the corresponding regular language, e.g.  $\text{" "}$ , could be defined, but when used, the rule (syn-targ) would check the translation against  $\tau_{\text{abs}} = \alpha$ , which would fail.

We can state this more generally:

**Theorem 6** (Conservativity). *If  $\vdash \Phi$  and  $\text{TC} \in \text{dom}(\Phi)$  and a tycon invariant for TC holds under  $\Phi$ :*

- *For all  $\Upsilon, e, \sigma_{\text{tyidx}}$ , if  $\Upsilon \vdash_{\Phi} e \Leftarrow \text{TC} \langle \sigma_{\text{tyidx}} \rangle \rightsquigarrow \iota$  and  $\vdash_{\Phi} \Upsilon \rightsquigarrow \Gamma$  and  $\vdash_{\Phi} \text{TC} \langle \sigma_{\text{tyidx}} \rangle \rightsquigarrow \tau$  then  $P(\Gamma, \sigma_{\text{tyidx}}, \iota)$ .*

*then for all  $\Phi' = \Phi, \text{tycon } \text{TC}' \{ \theta' \} \rightsquigarrow \psi'$  such that  $\vdash \Phi'$ , the same tycon invariant holds under  $\Phi'$ :*

- *For all  $\Upsilon, e, \sigma_{\text{tyidx}}$ , if  $\Upsilon \vdash_{\Phi'} e \Leftarrow \text{TC} \langle \sigma_{\text{tyidx}} \rangle \rightsquigarrow \iota$  and  $\vdash_{\Phi'} \Upsilon \rightsquigarrow \Gamma$  and  $\vdash_{\Phi'} \text{TC} \langle \sigma_{\text{tyidx}} \rangle \rightsquigarrow \tau$  then  $P(\Gamma, \sigma_{\text{tyidx}}, \iota)$ .*

*(if proposition  $P(\Gamma, \sigma, \iota)$  is modular, defined below)*

*Proof Sketch.* The proof maps every well-typed term under  $\Phi'$  to a well-typed term under  $\Phi$  with the same translation, and if the term has a type constructed by a tycon in  $\Phi$ , e.g. TC, the new term has a type constructed by that tycon with the same type translation, and only a slightly different type index. In particular, the mapping's effect on static terms is to replace all types constructed by  $\text{TC}'$  with a type constructed by other  $[m; \kappa'_{\text{tyidx}}]$  for some  $m$  corresponding to  $\text{TC}'$  and the index kind of  $\text{TC}'$ . If  $P(\Gamma, \sigma, \iota)$  is preserved under this transformation then we can simply invoke the existing proof of the tycon invariant. We call such propositions *modular*. Non-modular propositions are uninteresting because they distinguish tycons “from the future”.

On external terms, the mapping replaces all intro and targeted terms associated with  $\text{TC}'$  with an equivalent one that passes through the rules (ana-intro-other) and (syn-targ-other) by partially applying the intro and targeted opcon definitions to generate the term indices. Typing, kinding, static normalization and selective translation abstraction are preserved under the mapping, defined inductively in the supplement. Note that for this reason all propositions decidable by the SL are modular.  $\square$

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## 6. Related Work and Discussion

Language-integrated static term rewriting systems, like Template Haskell [39] and Scala’s static macros [7], can be used to decrease complexity when an isomorphic embedding into the underlying type system is possible. Similarly, when an embedding that preserves a desired static semantics exists, but a different embedding preserves the cost semantics, term rewriting can also be used to perform “optimizations”, achieving an isomorphism. Care is needed when this changes the type of a term. Type abstraction has been used for this purpose in *lightweight modular staging* (LMS) [36]. In both cases, the type system is fixed (e.g. in LMS, Scala’s).

When new static distinctions are needed within an existing type, but new operators are not necessary, one solution is to develop an overlying system of *refinement types* [14]. For example, a refinement of integers might distinguish negative integers. Proposals for “pluggable type systems” describe composing such systems [3, 6]. Refinements of abstract types can be used for representation independence, but note that the type being refined is not held abstract. Were it to be, the system could be seen in ways as a degenerate mode of use of our work: we further cover the cases when new operators are needed. For example, labeled tuples couldn’t be seen as refinements of nested pairs because the row projection operators don’t exist.

Many *language frameworks* exist that can simplify dialect implementation (cf. [13]). These sometimes do not support forming languages from fragments due to the “expression problem” (EP) [34, 42]. We sidestep the most serious consequences of the EP by leaving our abstract syntax entirely fixed, instead delegating to tycons. Fewer tools require knowledge of all external tycons in a typed translation semantics. As discussed previously, our treatment of concrete syntax in both the EL and SL defers to recent work on *type-specific languages*, which takes a similar bidirectional approach for composably introducing syntactic desugarings [31]. Some language frameworks do address the EP, e.g. by encoding terms and types as open datatypes [23], but this makes it quite difficult to reason modularly, particularly about metatheoretic properties specific to typed languages, like type safety and tycon invariants. Our key insight is to instead associate term-level opcons with tycons, which then become the fundamental constituents of the semantics (consistent with Harper’s informal notation [17]).

Proof assistants can be used to specify and mechanize the metatheory of languages, but also usually require a complete specification (this has been identified as a key challenge [4]). Techniques for composing specifications and proofs exist [11, 12, 38], but they require additional proofs at composition-time and provide no guarantees that *all* fragments having some modularly checkable property can safely and conservatively be composed, as in our work. Several authors, e.g. Chlipala [10], suggest proof automation as a heuristic solution to the problem.

In contrast, in  $@\lambda$ , fragment providers need not provide the semantics with mechanized proofs to benefit from rigorous modular reasoning principles. Instead, under a fixed tycon context, the calculus can be reasoned about like a very small type-directed compiler [9, 40]. Errors in reasoning can only lead to failure at typechecking time, via a novel form of *translation validation* [33]. Incorrect opcon definitions (relative to a specification, e.g. [15] for regular strings) can at worst weaken expected invariants at that tycon, like incorrectly implemented modules in ML. Thus, modular tycons can reasonably be tested “in the field” without concern about the reliability of the system as a whole. To eliminate even these localized failure modes for “reliability-critical” tycons, we plan to introduce *optional* proof mechanization into the SL (by basing it on a dependently typed language like Coq).

Type abstraction, encouragingly, also underlies modular reasoning in ML-like languages [16, 17] and languages with other forms of ADTs [22] like Scala [2]. Indeed, proofs of tycon invariants can rely on existing parametricity theorems [41]. Our work is reminiscent of work on elaborating an ML-style module system into System  $F_\omega$  [37]. Unlike in module systems, type translations (analogous to the choice of representation for an abstract type) are statically *computed* based on a type index, rather than statically *declared*. Moreover, there can be arbitrarily many operators because they arise by providing a term index to an opcon, and their semantics can be complex because a static function computes the types and translations that arise. In contrast, modules and ADTs can only specify a fixed number of operations, and each must have function type. Note however that these are not competing mechanisms: we did not specify quantification over external types here for simplicity, but we conjecture that it is complementary and thus  $@\lambda$  could serve as the core of a language with an ML-style module system. Another related direction is *tycon functors*, which would abstract over tycons with the same signature to support tunable cost semantics.

A limitation of our approach is that it supports only fragments with the standard “shape” of typing judgement. Fragments that require new forms of scoped contexts (e.g. symbol contexts [17]) or unscoped declarations cannot presently be defined. Relatedly, the language controls variable binding, so, for example, linear type systems, cannot be defined. Another limitation is that opcons cannot directly invoke one another (e.g. a **len** opcon on regular strings could not construct a natural number). We conjecture that these are not fundamental limitations and expect  $@\lambda$  to serve as a minimal foundation for future efforts that increase expressiveness while maintaining the strong guarantees, like type safety and conservativity, established here.

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