# Statically Typed String Sanitation Inside a Python (Technical Report)

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### Abstract

This report contains supporting evidence for claims put forth and explained in the paper "Statically Typed String Sanitation Inside a Python" [1], including proofs of lemmas and theorems asserted in the paper, examples, additional discussion of the paper's technical content, and errata.

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## 1 Terminology and Notation

Theorems and lemmas appearing in [1] are numbered correspondingly, while supporting facts appearing only in the Technical Report are lettered. Throughout this technical report, we use a small step semantics corresponding to the big step semantics given in [1].

## 2 Regular Expressions

The syntax of regular expressions over some alphabet  $\Sigma$  is shown in Figure 1.

**Assumption A** (Regular Expression Congruences). We assume regular expressions are implicitly identified up to the following congruences:

$$\epsilon \cdot r \equiv r$$

$$r \cdot \epsilon \equiv r$$

$$(r_1 \cdot r_2) \cdot r_3 \equiv r_1 \cdot (r_2 \cdot r_3)$$

$$r_1 + r_2 \equiv r_2 + r_1$$

$$(r_1 + r_2) + r_3 \equiv r_1 + (r_2 + r_3)$$

$$\epsilon^* \equiv \epsilon$$

**Assumption B** (Properties of Regular Languages). We assume the following properties:

- 1. If  $s_1 \in \mathcal{L}\{r_1\}$  and  $s_2 \in \mathcal{L}\{r_2\}$  then  $s_1s_2 \in \mathcal{L}\{r_1 \cdot r_2\}$ .
- 2. For all strings s and regular expressions r, either  $s \in \mathcal{L}\{r\}$  or  $s \notin \mathcal{L}\{r\}$ .
- 3. Regular languages are closed under reversal.

## 3 $\lambda_{RS}$

The syntax of  $\lambda_{RS}$  is specified in Figure 2.

#### 3.1 Static Semantics

The static semantics of  $\lambda_{RS}$  is specified in Figure 4. The typing context obeys the standard structural properties of weakening, exchange and contraction.

#### 3.1.1 Case Analysis

The following correctness conditions must hold for any definition of lhead(r) and ltail(r).

**Condition C** (Correctness of Head). *If*  $c_1s' \in \mathcal{L}\{r\}$ , *then*  $c_1 \in \mathcal{L}\{\text{lhead}(r)\}$ .

**Condition D** (Correctness of Tail). *If*  $c_1s' \in \mathcal{L}\{r\}$  *then*  $s' \in \mathcal{L}\{\text{Itail}(r)\}$ .

For example, we conjecture (but do not here prove) that the definitions below satisfy these conditions. Note that these are slightly amended relative to the published paper.

**Definition 1** (Definition of lhead(r)). We first define an auxiliary relation that determines the set of characters that the head might be, tracking the remainder of any sequences that appear:

$$\begin{aligned} \mathsf{Ihead}(\epsilon,\epsilon) &= \emptyset \\ \mathsf{Ihead}(\epsilon,r') &= \mathsf{Ihead}(r',\epsilon) \\ \mathsf{Ihead}(a,r') &= \{a\} \\ \mathsf{Ihead}(r_1 \cdot r_2,r') &= \mathsf{Ihead}(r_1,r_2 \cdot r') \\ \mathsf{Ihead}(r_1+r_2,r') &= \mathsf{Ihead}(r_1,r') \cup \mathsf{Ihead}(r_2,r') \\ \mathsf{Ihead}(r^*,r') &= \mathsf{Ihead}(r,\epsilon) \cup \mathsf{Ihead}(r',\epsilon) \end{aligned}$$

We define  $lhead(r) = a_1 + a_2 + ... + a_i$  iff  $lhead(r, \epsilon) = \{a_1, a_2, ..., a_i\}$ .

**Definition 2** (Brzozowski's Derivative). The *derivative of* r *with respect to* s is denoted by  $\delta_s(r)$  and is  $\delta_s(r) = \{t | st \in \mathcal{L}\{r\}\}.$ 

**Definition 3** (Definition of Itail(r)). If Ihead $(r, \epsilon) = \{a_1, a_2, ..., a_i\}$ , then we define Itail $(r) = \delta_{a_1}(r) + \delta_{a_2}(r) + ... + \delta_{a_i}(r)$ .

#### 3.1.2 Replacement

The following correctness condition must hold for any definition of lreplace  $(r, r_1, r_2)$ .

**Condition E** (Replacement Correctness). *If*  $s_1 \in \mathcal{L}\{r_1\}$  *and*  $s_2 \in \mathcal{L}\{r_2\}$  *then* 

$$replace(r; s_1; s_2) \in \mathcal{L}\{lreplace(r, r_1, r_2)\}$$

We do not give a particular definition for  $lreplace(r, r_1, r_2)$  here.

#### 3.2 Dynamic Semantics

Figure 5 specifies a small-step operational semantics for  $\lambda_{RS}$ .

#### 3.2.1 Canonical Forms

**Lemma F** (Canonical Forms). *If*  $\emptyset \vdash v : \sigma$  *then:* 

- 1. If  $\sigma = \text{stringin}[r]$  then v = rstr[s] and  $s \in \mathcal{L}\{r\}$ .
- 2. If  $\sigma = \sigma_1 \rightarrow \sigma_2$  then  $v = \lambda x.e'$ .

*Proof.* By inspection of the static and dynamic semantics.

#### 3.2.2 Type Safety

**Lemma G** (Progress). *If*  $\emptyset \vdash e : \sigma$  *either* e = v *or*  $e \mapsto e'$ .

*Proof.* The proof proceeds by rule induction on the derivation of  $\emptyset \vdash e : \sigma$ .

 $\lambda$  fragment. Cases SS-T-Var, SS-T-Abs, and SS-T-App are exactly as in a proof of progress for the simply typed lambda calculus.

**S-T-Stringin-I**. Suppose  $\emptyset \vdash \mathsf{rstr}[s]$  :  $\mathsf{stringin}[s]$ . Then  $e = \mathsf{rstr}[s]$ .

**S-T-Concat.** Suppose  $\emptyset \vdash \mathsf{rconcat}(e_1; e_2) : \mathsf{stringin}[r_1 \cdot r_2]$  and  $\emptyset \vdash e_1 : \mathsf{stringin}[r_1]$  and  $\emptyset \vdash e_2 : \mathsf{stringin}[r_2]$ . By induction,  $e_1 \mapsto e_1'$  or  $e_1 = v_1$  and similarly,  $e_2 \mapsto e_2'$  or  $e_2 = v_2$ . If  $e_1$  steps, then SS-E-Concat-Left applies and so  $\mathsf{rconcat}(e_1; e_2) \mapsto \mathsf{rconcat}(e_1'; e_2)$ . Similarly, if  $e_2$  steps then e steps by SS-E-Concat-Right.

In the remaining case,  $e_1 = v_1$  and  $e_2 = v_2$ . But then it follows by Canonical Forms that  $e_1 = \mathsf{rstr}[s_1]$  and  $e_2 = \mathsf{rstr}[s_2]$ . Finally, by SS-E-Concat,  $\mathsf{rconcat}(\mathsf{rstr}[s_1]; \mathsf{rstr}[s_2]) \mapsto \mathsf{rstr}[s_1s_2]$ .

**S-T-Case.** Suppose  $e = \mathsf{rstrcase}(e_1; e_2; x, y.e_3)$  and  $\emptyset \vdash e_1 : \mathsf{stringin}[r]$ . By induction and Canonical Forms it follows that  $e_1 \mapsto e_1'$  or  $e_1 = \mathsf{rstr}[s]$ . In the former case, e steps by S-E-Case-Left. In the latter case, note that  $s = \epsilon$  or s = at for some string t. If  $s = \epsilon$  then e steps by S-E-Case- $\epsilon$ -Val, and if s = at the e steps by S-E-Case-Concat.

**S-T-Replace**. Suppose  $e = \text{rreplace}[r](e_1; e_2), \emptyset \vdash e : \text{stringin}[\text{Ireplace}(r, r_1, r_2)]$  and:

$$\emptyset \vdash e_1 : \mathsf{stringin}[r_1]$$

$$\emptyset \vdash e_2 : \mathsf{stringin}[r_2]$$

By induction on (1),  $e_1 \mapsto e_1'$  or  $e_1 = v_1$  for some  $e_1'$ . If  $e_1 \mapsto e_1'$  then e steps by SS-E-Replace-Left. Similarly, if  $e_2$  steps then e steps by SS-E-Replace-Right. The only remaining case is where  $e_1 = v_1$  and also  $e_2 = v_2$ . By Canonical Forms,  $e_1 = \text{rstr}[s_1]$  and  $e_2 = \text{rstr}[s_2]$ . Therefore,  $e \mapsto \text{rstr}[\text{replace}(r; s_1; s_2)]$  by SS-E-Replace.

**S-T-SafeCoerce**. Suppose that  $\emptyset \vdash \mathsf{rcoerce}[r](e_1) : \mathsf{stringin}[r]$ . and  $\emptyset \vdash e_1 : \mathsf{stringin}[r']$  for  $\mathcal{L}\{r'\} \subseteq \mathcal{L}\{r\}$ . By induction,  $e_1 = v_1$  or  $e_1 \mapsto e'_1$  for some  $e'_1$ . If  $e_1 \mapsto e'_1$  then e steps by SS-E-SafeCoerce-Step. Otherwise,  $e_1 = v$  and by Canonical Forms  $e_1 = \mathsf{rstr}[s]$ . In this case,  $e = \mathsf{rcoerce}[r](\mathsf{rstr}[s]) \mapsto \mathsf{rstr}[s]$  by SS-E-SafeCoerce.

**S-T-Check** Suppose that  $\emptyset \vdash \mathsf{rcheck}[r](e_0; x.e_1; e_2)$  :  $\mathsf{stringin}[r]$  and:

$$\emptyset \vdash e_0 : \mathsf{stringin}[r_0]$$

(4) 
$$\emptyset, x : \text{stringin}[r] \vdash e_1 : \sigma$$

$$\emptyset \vdash e_2 : \sigma$$

By induction,  $e_0 \mapsto e_0'$  or  $e_0 = v$ . In the former case e steps by SS-E-Check-StepLeft. Otherwise,  $e_0 = \mathsf{rstr}[s]$  by Canonical Forms. By Lemma B part 2, either  $s \in \mathcal{L}\{r_0\}$  or  $s \notin \mathcal{L}\{r_0\}$ . In the former case e takes a step by SS-E-Check-Ok. In the latter case e takes a step by SS-E-Check-NotOk.

**Assumption H** (Substitution). If  $\Psi, x : \sigma' \vdash e : \sigma$  and  $\Psi \vdash e' : \sigma'$ , then  $\Psi \vdash [e'/x]e : \sigma$ .

**Lemma I** (Preservation for Small Step Semantics). If  $\emptyset \vdash e : \sigma$  and  $e \mapsto e'$  then  $\emptyset \vdash e' : \sigma$ .

*Proof.* By induction on the derivation of  $e \mapsto e'$  and  $\emptyset \vdash e : \sigma$ .

 $\lambda$  fragment. Cases SS-E-AppLeft, SS-E-AppRight, and SS-E-AppAbs are exactly as in a proof of type safety for the simply typed lambda calculus.

- **S-E-Concat-Left.** Suppose  $e = \mathsf{rconcat}(e_1; e_2) \mapsto \mathsf{rconcat}(e'_1; e_2)$  and  $e_1 \mapsto e'_1$ . The only rule that applies is S-T-Concat, so  $\emptyset \vdash e_1$ : stringin $[r_1]$  and  $\emptyset \vdash e_2$ : stringin $[r_2]$ . By induction,  $\emptyset \vdash e'_1$ : stringin $[r_1]$ . Therefore, by S-T-Concat,  $\emptyset \vdash \mathsf{rconcat}(e'_1; e_2)$ : stringin $[r_1r_2]$ .
- **S-E-Concat-Right**. Suppose  $e = \mathsf{rconcat}(e_1; e_2) \mapsto \mathsf{rconcat}(e_1; e_2')$  and  $e_2 \mapsto e_2'$ . The only rule that applies is S-T-Concat, so  $\emptyset \vdash e_1$ :  $\mathsf{stringin}[r_1]$  and  $\emptyset \vdash e_2$ :  $\mathsf{stringin}[r_2]$ . By induction,  $\emptyset \vdash e_2'$ :  $\mathsf{stringin}[r_2]$ . Therefore, by S-T-Concat,  $\emptyset \vdash \mathsf{rconcat}(e_1; e_2')$ :  $\mathsf{stringin}[r_1r_2]$ .
- **S-E-Concat**. Suppose  $\operatorname{rconcat}(\operatorname{rstr}[s_1];\operatorname{rstr}[s_2])\mapsto \operatorname{rstr}[s_1s_2]$ . The only applicable rule is S-T-Concat, so  $\emptyset \vdash \operatorname{rstr}[s_1]:\operatorname{stringin}[r_1]$  and  $\emptyset \vdash \operatorname{rstr}[s_2]:\operatorname{stringin}[r_2]$  and  $\emptyset \vdash \operatorname{rconcat}(\operatorname{rstr}[s_1];\operatorname{rstr}[s_2]):\operatorname{stringin}[r_1 \cdot r_2]$ . By Canonical Forms,  $s_1 \in \mathcal{L}\{r_1\}$  and  $s_2 \in \mathcal{L}\{r_2\}$  from which it follows by Lemma B that  $s_1s_2 \in \mathcal{L}\{r_1 \cdot r_2\}$ . Therefore,  $\emptyset \vdash \operatorname{rstr}[s_1s_2]:\operatorname{stringin}[r_1 \cdot r_2]$  by S-T-Rstr.
- **S-E-Case-Left**. Suppose  $e \mapsto \mathsf{rstrcase}(e_1'; e_2; x, y.e_3)$  and  $\emptyset \vdash e : \sigma$  and  $e_1 \mapsto e_1'$ . The only rule that applies is S-T-Case, so:

$$\emptyset \vdash e_1 : \mathsf{stringin}[r]$$

$$\emptyset \vdash e_2 : \sigma$$

(8) 
$$\emptyset, x : \text{stringin}[\text{lhead}(r)], y : \text{stringin}[\text{ltail}(r)] \vdash e_3 : \sigma$$

By (6) and the assumption that  $e_1 \mapsto e_1'$ , it follows by induction that  $\emptyset \vdash e_1'$ : stringin[r]. This fact together with (7) and (8) implies by S-T-Case that  $\emptyset \vdash \mathsf{rstrcase}(e_1'; e_2; x, y.e_3) : \sigma$ .

- **S-E-Case-** $\epsilon$ **-Val**. Suppose  $\operatorname{rstrcase}(e_0; e_2; x, y.e_3) \mapsto e_2$ . The only rule that applies is S-T-Case, so  $\emptyset \vdash e_2 : \sigma$ .
- **S-E-Case-Concat**. Suppose that  $e = \mathsf{rstrcase}(\mathsf{rstr}[as]; e_2; x, y.e_3) \mapsto [\mathsf{rstr}[a], \mathsf{rstr}[s]/x, y]e_3$  and that  $\emptyset \vdash e : \sigma$ . The only rule that applies is S-T-Case so:

$$\emptyset \vdash \mathsf{rstr}[as] : \mathsf{stringin}[r]$$

$$\emptyset \vdash e_2 : \sigma$$

(11) 
$$\emptyset, x : \text{stringin}[\text{lhead}(r)], y : \text{stringin}[\text{ltail}(r)] \vdash e_3 : \sigma$$

We know that  $as \in \mathcal{L}\{r\}$  by Canonical Forms on (9) Therefore,  $a \in \mathcal{L}\{\mathsf{lhead}(r)\}$  by Condition C and  $s \in \mathcal{L}\{\mathsf{ltail}(r)\}$  by Condition D.

From these facts about a and s we know by S-T-Rstr that  $\emptyset \vdash \mathsf{rstr}[a] : \mathsf{stringin}[\mathsf{lhead}(r)]$  and  $\emptyset \vdash \mathsf{rstr}[s] : \mathsf{stringin}[\mathsf{ltail}(r)]$ . It follows by Assumption H that  $\emptyset \vdash [\mathsf{rstr}[a], \mathsf{rstr}[s]/x, y]e_3 : \sigma$ .

Case S-E-Replace-Left. Suppose that  $e = \text{rreplace}[r](e_1; e_2) \mapsto \text{rreplace}[r](e_1'; e_2)$  when  $e_1 \mapsto e_1'$ . The only rule that applies is S-T-Replace, so  $\emptyset \vdash e : \text{stringin}[\text{Ireplace}(r, r_1, r_2)]$  where:

$$\emptyset \vdash e_1 : \mathsf{stringin}[r_1]$$
  
 $\emptyset \vdash e_2 : \mathsf{stringin}[r_2]$ 

By induction,  $\emptyset \vdash e_1'$ : stringin[ $r_1$ ]. Therefore,  $\emptyset \vdash \mathsf{rreplace}[r](e_1'; e_2)$ : stringin[ $\mathsf{lreplace}(r, r_1, r_2)$ ] by S-T-Replace.

**Case S-E-Replace-Right**. Suppose that  $e = \text{rreplace}[r](e_1; e_2) \mapsto \text{rreplace}[r](e'_1; e_2)$  when  $e_1 \mapsto e'_1$ . The only rule that applies is S-T-Replace, so  $\emptyset \vdash e$ : stringin[Ireplace $(r, r_1, r_2)$ ] where:

$$\emptyset \vdash e_1 : \mathsf{stringin}[r_1]$$
  
 $\emptyset \vdash e_2 : \mathsf{stringin}[r_2]$ 

By induction,  $\emptyset \vdash e_1'$ : stringin[ $r_1$ ]. Therefore,  $\emptyset \vdash \mathsf{rreplace}[r](r_1'; r_2)$ : stringin[ $\mathsf{lreplace}(r, r_1, r_2)$ ] by S-T-Replace.

#### Case S-E-Replace.

Suppose  $e = \text{rreplace}[r](\text{rstr}[s_1]; \text{rstr}[s_2]) \mapsto \text{rstr}[\text{replace}(r; s_1; s_2)]$ . The only applicable rule is S-T-Replace, so

$$\emptyset \vdash \mathsf{rstr}[s_1] : \mathsf{stringin}[r_1]$$
  
 $\emptyset \vdash \mathsf{rstr}[s_2] : \mathsf{stringin}[r_2]$ 

By conanical forms,  $s_1 \in \mathcal{L}\{r_1\}$  and  $s_2 \in \mathcal{L}\{r_2\}$ . Therefore,

$$\mathsf{replace}(r; s_1; s_2) \in \mathcal{L}\{\mathsf{lreplace}(r, r_1, r_2)\}$$

by Condition E. It is finally derivable by S-T-Rstr that:

$$\emptyset \vdash \mathsf{rstr}[\mathsf{replace}(r; s_1; s_2)] : \mathsf{stringin}[\mathsf{lreplace}(r, r_1, r_2)].$$

Case S-E-SafeCoerce. Suppose that  $\operatorname{rcoerce}[r](\operatorname{rstr}[s_1]) \mapsto \operatorname{rstr}[s_1]$ . The only applicable rule is S-T-SafeCoerce, so  $\emptyset \vdash \operatorname{rcoerce}[r](s_1) : \operatorname{stringin}[r]$  and  $\emptyset \vdash \operatorname{rstr}[s_1] : \operatorname{stringin}[r']$  and  $\mathcal{L}\{r'\} \subset \mathcal{L}\{r\}$ . By Canonical Forms,  $s' \in \mathcal{L}\{r'\}$ . By the definition of subset,  $s' \in \mathcal{L}\{r\}$ . Therefore, by S-T-Rstr, we have that  $\emptyset \vdash \operatorname{rstr}[s'] : \operatorname{stringin}[r]$ .

**Case S-E-Check-Ok.** Suppose  $\operatorname{rcheck}[r](\operatorname{rstr}[s]; x.e_1; e_2) \mapsto [\operatorname{rstr}[s]/x]e_1$  and  $s \in \mathcal{L}\{r\}$ , and  $\emptyset \vdash \operatorname{rcheck}[r](\operatorname{rstr}[s]; x.e_1; e_2) : \sigma$ . The only rule that applies is S-T-Check, so  $\emptyset$ , x:  $\operatorname{stringin}[r] \vdash e_1 : \sigma$ . By S-T-Rstr, we have that  $\emptyset \vdash \operatorname{rstr}[s] : \operatorname{stringin}[r]$ . By Substitution, we have that  $\emptyset \vdash [\operatorname{rstr}[s]/x]e_1 : \sigma$ .

**Case S-E-Check-NotOk**. Suppose  $\mathsf{rcheck}[r](\mathsf{rstr}[s]; x.e_1; e_2) \mapsto e_2$  and  $s \notin \mathcal{L}\{r\}$  and  $\emptyset \vdash \mathsf{rcheck}[r](\mathsf{rstr}[s]; x.e_1; e_2) : \sigma$ . The only applicable rule is S-T-Check, so  $\emptyset \vdash e_2 : \sigma$ .

**Theorem J** (Type Safety for small step semantics.). *If*  $\emptyset \vdash e : \sigma$  *then either* e val or  $e \mapsto^* e'$  *and*  $\emptyset \vdash e' : \sigma$ . *Proof.* Follows from applying progress and preservation transitively over the multistep judgement.

#### 3.2.3 The Security Theorem

**Theorem 4** (Correctness of Input Sanitation for  $\lambda_{RS}$ ). If  $\emptyset \vdash e$ : stringin[r] and  $e \mapsto^* rstr[s]$  then  $s \in \mathcal{L}\{r\}$ .

Proof. By type safety,  $\emptyset \vdash rstr[s]$ : stringin[r]. By canonical forms,  $s \in \mathcal{L}\{r\}$ .

## 4 $\lambda_P$

We will define a translation to a language with only standard strings and regular expressions. The syntax of  $\lambda_P$  is shown in Figure 3.

#### 4.1 Static Semantics

The static semantics of  $\lambda_P$  is shown in Figure 6. The typing context of  $\lambda_P$  obeys the standard structural properties of weakening, exchange and contraction.

#### 4.2 Dynamic Semantics

The dynamic semantics of  $\lambda_P$  is shown in Figure 7.

#### 4.2.1 Canonical Forms

**Lemma 5** (Canonical Forms). *If*  $\emptyset \vdash \dot{v} : \tau$  *then:* 

- If  $\tau = \tau_1 \rightarrow \tau_2$  then  $\dot{v} = \lambda x : \tau . \iota$ .
- If  $\tau = \operatorname{regex} then \dot{v} = \operatorname{rx}[r]$ .
- If  $\tau = \text{string then } \dot{v} = \text{str}[s]$ .

*Proof.* By inspection of the static and dynamic semantics.

#### 4.2.2 Type Safety

**Theorem 6** (Progress). *If*  $\emptyset \vdash \iota : \tau$  *either*  $\iota = \dot{v}$  *or*  $\iota \mapsto \iota'$ .

*Proof.* The proof proceeds by induction on the typing assumption.

 $\lambda$  **fragment**. Cases P-T-Var, P-T-Abs, and P-T-App are exactly as in a proof of progress for the simply typed lambda calculus.

**P-T-String**. In this case,  $\iota = \mathsf{str}[s]$ , which is a value.

**P-T-Regex**. In this case,  $\iota = rx[r]$ , which is a value.

**P-T-Concat**. In this case, we have that  $\emptyset \vdash \mathsf{pconcat}(\iota_1; \iota_2)$ : string and  $\emptyset \vdash \iota_1$ : string and  $\emptyset \vdash \iota_2$ : string. By the IH, we have that either  $\iota_1 \leadsto \iota_1'$  or  $\iota_1 = \dot{v}_1$ , and similarly  $\iota_2 \leadsto \iota_2'$  or  $\iota_2 = \dot{v}_2$ . If  $\iota_1$  steps, then we can make progress via PS-E-ConcatLeft. If  $\iota_2$  steps, then we can make progress via PS-E-ConcatRight. If both are values, then by canonical forms  $\iota_1 = \mathsf{str}[s_1]$  and  $\iota_2 = \mathsf{str}[s_2]$  so we can make progress by PS-E-Concat.

**P-T-Case.** Suppose  $\emptyset \vdash \mathsf{pstrcase}(\iota_1; \iota_2; x, y.\iota_3) : \tau$  and  $\emptyset \vdash \iota_1 : \mathsf{string}$ . By induction and canonical forms, either  $\iota_1 \mapsto \iota_1'$  or  $\iota_1 = \mathsf{str}[s_1]$ . If  $\iota_1$  steps then we can make progress by PS-E-CaseLeft. If it is a value, then by the definition of strings, either  $s_1 = \epsilon$  or  $s_1 = as$  for some string s. If  $s_1$  is empty, then we can make progress by PS-E-Case-Epsilon. Otherwise, we can make progress by PS-E-Case-Cons.

**P-T-Replace**. Suppose  $\emptyset \vdash \text{preplace}(\iota_1; \iota_2; \iota_3)$ : string and  $\emptyset \vdash \iota_1$ : regex and  $\emptyset \vdash \iota_2$ : string and  $\emptyset \vdash \iota_3$ : string. By induction and canonical forms, either  $\iota_1 \mapsto \iota'_1$  or  $\iota_1 = \text{rx}[r]$ . Similarly,  $\iota_2 \mapsto \iota'_2$  or  $\iota_2 = \text{str}[s_2]$ , and  $\iota_3 \mapsto \iota'_3$  or  $\iota_3 = \text{str}[s_3]$ . If  $\iota_1$  steps, then we can make progress by PS-E-ReplaceLeft. If  $\iota_2$  steps then we can make progress by PS-E-ReplaceRight. If all three are values, we can make progress by PS-E-Replace.

**P-T-Check**. Suppose  $\emptyset \vdash \text{pcheck}(\iota_1; \iota_2; \iota_3; \iota_4)$  and  $\emptyset \vdash \iota_1 : \text{regex}$  and  $\emptyset \vdash \iota_2 : \text{string}$ . By induction and canonical forms, either  $\iota_1 \mapsto \iota_1'$  or  $\iota_1 = \text{rx}[r]$ . Similarly,  $\iota_2 \mapsto \iota_2'$  or  $\iota_2 = \text{str}[s]$ . If  $\iota_1$  steps, then we can make progress by PS-E-CheckLeft. If  $\iota_2$  steps, then we can make progress by PS-E-CheckRight. If both are values, then by Assumption B.2, either  $s \in \mathcal{L}\{r\}$  or  $s \notin \mathcal{L}\{r\}$ . In the former case, we can make progress by PS-E-Check-OK. In the latter case, we can make progress by PS-E-Check-NotOK.

**Assumption K** (Substitution). *If*  $\Theta$ ,  $x : \tau' \vdash \iota : \tau$  *and*  $\Theta \vdash \iota' : \tau'$  *then*  $\Theta \vdash [\iota'/x]\iota : \tau$ .

**Theorem 7** (Preservation). *If*  $\emptyset \vdash \iota : \tau \text{ and } \iota \mapsto \iota' \text{ then } \emptyset \vdash \iota' : \tau$ .

*Proof.* The proof proceeds by rule induction on  $\iota \mapsto \iota'$  and  $\emptyset \vdash \iota : \tau$ .

 $\lambda$  fragment. Cases PS-E-AppLeft, PS-E-AppRight, and PS-E-AppAbs are exactly as in a proof of type safety for the simply typed lambda calculus.

**Case PS-E-ConcatLeft.** Suppose  $\mathsf{pconcat}(\iota_1; \iota_2) \mapsto \mathsf{pconcat}(\iota_1'; \iota_2)$  and  $\iota_1 \mapsto \iota_1'$ . The only applicable typing rule is P-T-Concat, so  $\emptyset \vdash \iota_1$ : string and  $\emptyset \vdash \iota_2$ : string. By induction,  $\emptyset \vdash \iota_1'$ : string, so  $\emptyset \vdash \mathsf{rconcat}(\iota_1'; \iota_2)$ : string by P-T-Concat.

**Case PS-E-ConcatRight**. Suppose pconcat(str[ $s_1$ ];  $\iota_2$ )  $\mapsto$  pconcat(str[ $s_1$ ];  $\iota_2'$ ) and  $\iota_2 \mapsto \iota_2'$ . The only applicable typing rule is P-T-Concat, so  $\emptyset \vdash \mathsf{str}[s_1]$ : string and  $\emptyset \vdash \iota_2$ : string. By induction,  $\emptyset \vdash \iota_2'$ : string, so  $\emptyset \vdash \mathsf{rconcat}(\mathsf{str}[s_1]; \iota_2')$ : string by P-T-Concat.

Case PS-E-Concat. Suppose pconcat( $\mathsf{str}[s_1]; \mathsf{str}[s_2]$ )  $\mapsto \mathsf{str}[s_1s_2]$ . By P-T-String,  $\emptyset \vdash \mathsf{str}[s_1s_2]$ :  $\mathsf{string}$ .

**Case PS-E-CaseLeft.** Suppose  $\mathsf{pstrcase}(\iota_1; \iota_2; x, y.\iota_3) \mapsto \mathsf{rstrcase}(\iota_1'; \iota_2; x, y.\iota_3)$  and  $\iota_1 \mapsto \iota_1'$ . The only rule that applies is P-T-Case, so:

$$\emptyset \vdash \iota_1 : \mathsf{string}$$
 
$$\emptyset \vdash \iota_2 : \tau$$
 
$$\emptyset, x : \mathsf{string}, y : \mathsf{string} \vdash \iota_3 : \tau$$

By induction,  $\emptyset \vdash \iota_1'$  : string. By P-T-Case,  $\emptyset \vdash \mathsf{pstrcase}(\iota_1'; \iota_2; x, y.\iota_3) : \tau$ .

Case PS-E-CaseEpsilon. Suppose pstrcase(str[ $\epsilon$ ];  $\iota_2$ ;  $x, y.\iota_3$ )  $\mapsto \iota_2$ . The only rule that applies is P-T-Case, so  $\emptyset \vdash \iota_2 : \tau$ .

Case PS-E-Case-Cons. Suppose  $\mathsf{pstrcase}(\mathsf{str}[as]; \iota_2; x, y.\iota_3) \mapsto [\mathsf{str}[a], \mathsf{str}[s]/x, y]\iota_3$  The only rule that applies is P-T-Case, so:

$$\emptyset \vdash \iota_1: \mathsf{string}$$
  $\emptyset \vdash \iota_2: au$   $\emptyset, x: \mathsf{string}, y: \mathsf{string} \vdash \iota_3: au$ 

By P-T-String, we have that  $\emptyset \vdash \mathsf{str}[a]$ : string and  $\emptyset \vdash \mathsf{str}[s]$ : string. By weakening and Substitution applied twice, we have that  $\emptyset \vdash [\mathsf{str}[a], \mathsf{str}[s]/x, y]\iota_3 : \tau$ .

**Case PS-E-ReplaceLeft**. Suppose preplace  $(\iota_1; \iota_2; \iota_3) \mapsto \text{preplace}(\iota'_1; \iota_2; \iota_3)$  and  $\iota_1 \mapsto \iota'_1$ . The only rule that applies is P-T-Replace, so  $\tau = \text{string}$  and:

$$\emptyset \vdash \iota_1 : \mathsf{regex}$$
  
 $\emptyset \vdash \iota_2 : \mathsf{string}$   
 $\emptyset \vdash \iota_3 : \mathsf{string}$ 

By induction,  $\emptyset \vdash \iota_1'$ : regex. Therefore, by P-T-Replace  $\emptyset \vdash \mathsf{preplace}(\iota_1'; \iota_2; \iota_3)$ .

**Case PS-E-ReplaceMid.** Suppose preplace( $rx[r]; \iota_2; \iota_3$ )  $\mapsto$  preplace( $rx[r]; \iota_2'; \iota_3$ ) and  $\iota_2 \mapsto \iota_2'$ . The only rule that applies is P-T-Replace, so  $\tau =$  string and:

$$\emptyset \vdash \mathsf{rx}[r] : \mathsf{regex}$$
  
 $\emptyset \vdash \iota_2 : \mathsf{string}$   
 $\emptyset \vdash \iota_3 : \mathsf{string}$ 

By induction,  $\emptyset \vdash \iota_2'$ : string. Therefore, by P-T-Replace  $\emptyset \vdash \mathsf{preplace}(\mathsf{rx}[r]; \iota_2'; \iota_3)$ .

**Case PS-E-ReplaceRight.** Suppose preplace(rx[r]; str[s];  $\iota_3$ )  $\mapsto$  preplace(rx[r]; str[s];  $\iota_3'$ ) and  $\iota_3 \mapsto \iota_3'$ . The only rule that applies is P-T-Replace, so  $\tau = string$  and:

$$\emptyset \vdash \mathsf{rx}[r] : \mathsf{regex}$$
  
 $\emptyset \vdash \mathsf{str}[s] : \mathsf{string}$   
 $\emptyset \vdash \iota_3 : \mathsf{string}$ 

By induction,  $\emptyset \vdash \iota_3'$ : string. Therefore, by P-T-Replace  $\emptyset \vdash \mathsf{preplace}(\mathsf{rx}[r]; \mathsf{str}[s]; \iota_3')$ .

Case PS-E-Replace. Suppose preplace(rx[r];  $str[s_2]$ ;  $str[s_3]$ )  $\mapsto$   $str[replace(r; s_2; s_3)]$ . The only applicable rule is P-T-Replace, so  $\tau = string$ . By P-T-String,  $\emptyset \vdash str[replace(r; s_2; s_3)]$ : string.

**Case PS-E-CheckLeft**. Suppose pcheck( $\iota_1$ ;  $\iota_2$ ;  $\iota_3$ ;  $\iota_4$ )  $\mapsto$  pcheck( $\iota'_1$ ;  $\iota_2$ ;  $\iota_3$ ;  $\iota_4$ ) and  $\iota_1 \mapsto \iota'_1$ . The only applicable typing rule is P-T-Check, so:

$$\emptyset \vdash \iota_1 : \text{regex}$$
  
 $\emptyset \vdash \iota_2 : \text{string}$   
 $\emptyset \vdash \iota_3 : \tau$   
 $\emptyset \vdash \iota_4 : \tau$ 

By induction,  $\emptyset \vdash \iota_1'$ : regex. Therefore, by P-T-Check  $\emptyset \vdash \mathsf{pcheck}(\iota_1'; \iota_2; \iota_3; \iota_4) : \tau$ .

**Case PS-E-CheckRight**. Suppose pcheck( $rx[r]; \iota_2; \iota_3; \iota_4$ )  $\mapsto$  pcheck( $rx[r]; \iota_2'; \iota_3; \iota_4$ ) and  $\iota_2 \mapsto \iota_2'$ . The only applicable typing rule is P-T-Check, so:

$$\emptyset \vdash \operatorname{rx}[r] : \operatorname{regex}$$
  
 $\emptyset \vdash \iota_2 : \operatorname{string}$   
 $\emptyset \vdash \iota_3 : \tau$   
 $\emptyset \vdash \iota_4 : \tau$ 

By induction,  $\emptyset \vdash \iota_2'$ : string. Therefore, by P-T-Check  $\emptyset \vdash \mathsf{pcheck}(\mathsf{rx}[r]; \iota_2'; \iota_3; \iota_4) : \tau$ .

**Case PS-E-Check-Ok**. Suppose pcheck( $rx[r]; str[s]; \iota_3; \iota_4$ )  $\mapsto \iota_3$ . The only applicable typing rule is P-T-Check, so  $\emptyset \vdash \iota_3 : \tau$ .

**Case PS-E-Check-Ok**. Suppose pcheck( $rx[r]; str[s]; \iota_3; \iota_4$ )  $\mapsto \iota_4$ . The only applicable typing rule is P-T-Check, so  $\emptyset \vdash \iota_4 : \tau$ .

5 Translation from  $\lambda_{RS}$  to  $\lambda_{P}$ 

Figure ...

**Theorem 8** (Type-Preserving Translation). *If*  $\Psi \vdash e : \sigma$  *then*  $\llbracket \Psi \rrbracket \vdash \llbracket \iota \rrbracket : \llbracket \sigma \rrbracket$ 

*Proof.* By induction on the typing relation.

Case S-T-Var. Suppose  $\Psi \vdash x : \sigma$  and  $x : \sigma \in \Psi$ . We have by definition that  $x : \llbracket \sigma \rrbracket \in \llbracket \Psi \rrbracket$  and  $\llbracket x \rrbracket = x$ . By P-T-Var, we have that  $\llbracket \Psi \rrbracket \vdash x : \llbracket \sigma \rrbracket$ .

Case S-T-Abs. Suppose  $\Psi \vdash \lambda x : \sigma_1.e' : \sigma_1 \to \sigma_2$  and  $\Psi, x : \sigma_1 \vdash e' : \sigma_2$ . We have by definition:

$$\begin{bmatrix} \lambda x : \sigma_1 . e' \end{bmatrix} = \lambda x : \llbracket \sigma_1 \rrbracket . \llbracket e' \rrbracket \\
 \llbracket \sigma_1 \to \sigma_2 \rrbracket = \llbracket \sigma_1 \rrbracket \to \llbracket \sigma_2 \rrbracket \\
 \llbracket \Psi, x : \sigma_1 \rrbracket = \llbracket \Psi \rrbracket, x : \llbracket \sigma_1 \rrbracket$$

By induction, we have that  $\llbracket \Psi \rrbracket, x : \llbracket \sigma_1 \rrbracket \vdash \llbracket e' \rrbracket : \llbracket \sigma_2 \rrbracket$ .

By P-T-Abs, we have that  $\llbracket \Psi \rrbracket \vdash \lambda x : \llbracket \sigma_1 \rrbracket . \llbracket e' \rrbracket : \llbracket \sigma_1 \rrbracket \rightarrow \llbracket \sigma_2 \rrbracket$ .

**Case S-T-App.** Suppose  $\Psi \vdash e_1(e_2) : \sigma$  and  $\Psi \vdash e_1 : \sigma_2 \to \sigma$  and  $\Psi \vdash e_2 : \sigma_2$ . We have by definition:

$$\llbracket e_1(e_2) \rrbracket = \llbracket e_1 \rrbracket (\llbracket e_2 \rrbracket)$$
$$\llbracket \sigma_2 \to \sigma \rrbracket = \llbracket \sigma_2 \rrbracket \to \llbracket \sigma \rrbracket$$

By induction,  $\llbracket \Psi \rrbracket \vdash \llbracket e_1 \rrbracket : \llbracket \sigma_2 \rrbracket \rightarrow \llbracket \sigma \rrbracket$  and  $\llbracket \Psi \rrbracket \vdash \llbracket e_2 \rrbracket : \llbracket \sigma_2 \rrbracket$ . Therefore,  $\llbracket \Psi \rrbracket \vdash \llbracket e_1 \rrbracket (\llbracket e_2 \rrbracket) : \llbracket \sigma \rrbracket$  by P-T-App.

write it down function ally instead

Case S-T-StringIn-I. Suppose  $\Psi \vdash \mathsf{rstr}[s]$  :  $\mathsf{stringin}[r]$ . By definition,  $\llbracket \mathsf{rstr}[s] \rrbracket = \mathsf{str}[s]$  and  $\llbracket \mathsf{stringin}[r] \rrbracket = \mathsf{string}$ . By P-T-String,  $\Theta \vdash \mathsf{str}[s]$  :  $\mathsf{string}$ .

Case S-T-Concat. Suppose  $\Psi \vdash \mathsf{rconcat}(e_1; e_2)$ :  $\mathsf{stringin}[r_1 \cdot r_2]$  and  $\Psi \vdash e_1$ :  $\mathsf{stringin}[r_1]$  and  $\Psi \vdash e_2$ :  $\mathsf{stringin}[r_2]$ . We have by definition:

By induction,  $\llbracket \Psi \rrbracket \vdash \llbracket e_1 \rrbracket$ : string and  $\llbracket \Psi \rrbracket \vdash \llbracket e_2 \rrbracket$ : string. Thus,  $\llbracket \Psi \rrbracket \vdash \mathsf{pconcat}(\llbracket e_1 \rrbracket; \llbracket e_2 \rrbracket)$ : string by P-T-Concat.

Case S-T-Case. Suppose  $\Psi \vdash \mathsf{rstrcase}(e_1; e_2; x, y.e_3) : \sigma \text{ and } \Psi \vdash e_1 : \mathsf{stringin}[r] \text{ and } \Psi \vdash e_2 : \sigma \text{ and } \Psi, x : \mathsf{stringin}[\mathsf{lhead}(r)], y : \mathsf{stringin}[\mathsf{ltail}(r)] \vdash e_3 : \sigma.$  We have by definition:

By induction,  $\llbracket \Psi \rrbracket \vdash \llbracket e_1 \rrbracket$ : string and  $\llbracket \Psi \rrbracket \vdash \llbracket e_2 \rrbracket : \llbracket \sigma \rrbracket$ , and  $\llbracket \Psi \rrbracket, x : \mathsf{string}, y : \mathsf{string} \vdash \llbracket e_3 \rrbracket : \llbracket \sigma \rrbracket$ . By P-T-Case, we have that  $\llbracket \Psi \rrbracket \vdash \mathsf{pstrcase}(\llbracket e_1 \rrbracket ; \llbracket e_2 \rrbracket ; x, y. \llbracket e_3 \rrbracket) : \llbracket \sigma \rrbracket$ .

Case S-T-Replace. Suppose  $\Psi \vdash \mathsf{rreplace}[r](e_1; e_2)$ :  $\mathsf{stringin}[\mathsf{lreplace}(r, r_1, r_2)]$  and  $\Psi \vdash e_1$ :  $\mathsf{stringin}[r_1]$  and  $\Psi \vdash e_2$ :  $\mathsf{stringin}[r_2]$ . We have by definition:

By induction, we have that  $\llbracket \Psi \rrbracket \vdash \llbracket e_1 \rrbracket$ : string and  $\llbracket \Psi \rrbracket \vdash \llbracket e_2 \rrbracket$ : string. By P-T-Regex, we have that  $\llbracket \Psi \rrbracket \vdash \mathsf{rx}[r]$ : regex. By P-T-Replace, we have that  $\llbracket \Psi \rrbracket \vdash \mathsf{preplace}(\mathsf{rx}[r]; \llbracket e_1 \rrbracket; \llbracket e_2 \rrbracket)$ : string.

Case S-T-SafeCoerce. Suppose  $\Psi \vdash \mathsf{rcoerce}[r](e)$  :  $\mathsf{stringin}[r]$  and  $\Psi \vdash e$  :  $\mathsf{stringin}[r']$ . By definition,  $[\![\mathsf{rcoerce}[r](e)]\!] = [\![e]\!]$ . By induction,  $[\![\Psi]\!] \vdash [\![e]\!]$  :  $[\![\mathsf{stringin}[r']]\!]$ .

Case S-T-Check. Suppose  $\Psi \vdash \mathsf{rcheck}[r](e_0; x.e_1; e_2) : \sigma \text{ where } \Psi \vdash e_0 : \mathsf{stringin}[r'] \text{ and } \Psi, x : \mathsf{stringin}[r] \vdash e_1 : \sigma \text{ and } \Psi \vdash e_2 : \sigma.$  We have by definition:

```
\begin{split} [\![\mathsf{rcheck}[r](e_0;x.e_1;e_2)]\!] &= \mathsf{pcheck}(\mathsf{rx}[r];[\![e_0]\!];(\lambda x:\mathsf{string.}[\![e_1]\!])[\![e_0]\!];[\![e_2]\!]) \\ &\quad [\![\mathsf{stringin}[r']]\!] = \mathsf{string} \\ &\quad [\![\Psi,x:\mathsf{stringin}[r]]\!] = [\![\Psi]\!],x:\mathsf{string} \end{split}
```

By induction, we have that  $\llbracket \Psi \rrbracket \vdash \llbracket e_0 \rrbracket$ : string and  $\llbracket \Psi \rrbracket, x$ : string  $\vdash \llbracket e_1 \rrbracket : \llbracket \sigma \rrbracket$  and  $\llbracket \Psi \rrbracket \vdash \llbracket e_2 \rrbracket : \llbracket \sigma \rrbracket$ .

By P-T-Regex, we have that  $\llbracket \Psi \rrbracket \vdash \mathsf{rx}[r]$  : regex.

By P-T-Abs and P-T-App, we have that  $\llbracket \Psi \rrbracket \vdash (\lambda x : \mathsf{string}.\llbracket e_1 \rrbracket)(\llbracket e_0 \rrbracket) : \llbracket \sigma \rrbracket.$ 

By P-T-Check, we have that  $\llbracket \Psi \rrbracket \vdash \mathsf{pcheck}(\mathsf{rx}[r]; \llbracket e_0 \rrbracket; (\lambda x : \mathsf{string}. \llbracket e_1 \rrbracket) (\llbracket e_0 \rrbracket); \llbracket e_2 \rrbracket) : \llbracket \sigma \rrbracket.$ 

Assumption L (Multistep Closure). The following closure properties hold:

- 1. If  $e_1 \mapsto^* e'_1$  then  $e_1(e_2) \mapsto^* e'_1(e_2)$ .
- 2. If  $e_2 \mapsto^* e_2'$  then  $v_1(e_2) \mapsto^* v_1(e_2')$ .

**Theorem 9** (Translation Correctness). If  $\emptyset \vdash e : \sigma \text{ and } e \mapsto e' \text{ then } \llbracket e \rrbracket \mapsto^* \llbracket e' \rrbracket$ .

*Proof.* By induction on evaluation and typing.

**Case SS-E-AppLeft.** Suppose  $e_1(e_2) \mapsto e'_1(e_2)$  and  $e_1 \mapsto e'_1$ . We have by definition that

$$[e_1(e_2)] = [e_1]([e_2])$$
  
 $[e'_1(e_2)] = [e'_1]([e_2])$ 

The only typing rule that applies is S-T-App, so  $\emptyset \vdash e_1 : \sigma_2 \to \sigma$ .

Inductively, we have that  $\llbracket e_1 \rrbracket \mapsto^* \llbracket e_1' \rrbracket$ .

By Assumption L.1, we have that  $\llbracket e_1 \rrbracket (\llbracket e_2 \rrbracket) \mapsto^* \llbracket e_1' \rrbracket (\llbracket e_2 \rrbracket)$ .

**Theorem 10** (Translation Correctness). *If*  $\Psi \vdash e : \sigma$  *then there exists an*  $\iota$  *such that*  $\llbracket e \rrbracket = \iota$  *and*  $\llbracket \Psi \rrbracket \vdash \iota : \llbracket \sigma \rrbracket$ . *Furthermore, if*  $e \mapsto^* v$  *then*  $\iota \mapsto^* \dot{v}$  *such that*  $\llbracket v \rrbracket = \dot{v}$ .

*Proof.* We present a proof by induction on the structure of e. We write  $e \leadsto \iota$  as shorthand for the final property.

Case  $e = \mathsf{rstr}[s]$ . Suppose  $\Theta \vdash \mathsf{rstr}[s] : \sigma$ .

By examination the syntactic structure of conclusions in the relation S-T, we know this is true just in case  $\sigma = \text{stringin}[r]$  for some r such that  $s \in \mathcal{L}\{r\}$ ; and of course, there is always such an r.

There are no free variables in  $\mathsf{rstr}[s]$ , so we might as well proceed from the fact that  $\emptyset \vdash \mathsf{rstr}[s]$ :  $\mathsf{stringin}[r]$ .

By definition of the translation ( $\lceil \cdot \rceil$ ) the following statements hold:

$$[\![\mathsf{rstr}[s]]\!] = \mathsf{str}[s]$$

$$[stringin[r]] = string$$

$$[\![\emptyset]\!] = \emptyset$$

remaining cases

This proof

needs to be

changed to use

only the

small-step

semantics.

Note that  $\emptyset \vdash \mathsf{str}[s]$ : string by P-T-Str. Recall that contexts are standard and, in particular, can be weakened. So since  $\llbracket \Theta \rrbracket$  is either a weakening of  $\emptyset$  or  $\emptyset$  itself,  $\llbracket \Theta \rrbracket \vdash \mathsf{str}[s]$ : string by weakening.

Summarily, str[s] is a term of  $\lambda_P$  such that  $\llbracket \Theta \rrbracket \vdash str[s] : \llbracket \sigma \rrbracket$ 

It remains to be shown that there exist  $v, \dot{v}$  such that  $\mathsf{rstr}[s] \mapsto^* v$ ,  $\mathsf{str}[s] \mapsto^* \dot{v}$ , and  $[v] = \dot{v}$ . But this is immediate because each term is already a value and s = s.

Case  $e = \text{rconcat}(e_1; e_2)$ . The applicable typing rule is S-T-Concat, so  $\Psi \vdash \text{rconcat}(e_1; e_2)$ : stringin $[r_1 \cdot r_2]$  where  $\Psi \vdash e_1$ : stringin $[r_1]$  and  $\Psi \vdash e_2$ : stringin $[r_2]$ .

By induction,  $e_1 \leadsto \iota_1$  and  $e_2 \leadsto \iota_2$ . Therefore,  $\llbracket \Psi \rrbracket \vdash \mathsf{pconcat}(\iota_1; \iota_2)$  by P-T-Concat.

By canonical forms,  $e_1 \mapsto^* \mathsf{rstr}[s_1]$  where by induction  $\iota_1 \mapsto^* \mathsf{str}[s_1]$ . Similarly,  $e_2 \mapsto^* \mathsf{rstr}[s_2]$  and  $\iota_2 \mapsto^* \mathsf{str}[s_2]$ . Therefore,  $e \mapsto^* \mathsf{rstr}[s_1s_2]$  by S-E-Concat at last, and  $\mathsf{pconcat}(\iota_1; \iota_2) \mapsto^* \mathsf{str}[s_1s_2]$  by P-E-Concat at last. Note that  $\lceil \mathsf{rstr}[s_1s_2] \rceil = \mathsf{str}[s_1s_2]$ .

Case  $e = \mathsf{rstrcase}(e_1; e_2; x, y.e_3)$ . This case relies on our definition of context translation.

Suppose  $\Psi \vdash \mathsf{rstrcase}(e_1; e_2; x, y.e_3) : \sigma$ . By inversion of the typing relation it follows that  $\Psi \vdash e_1 : \mathsf{stringin}[r], \Psi \vdash e_2 : \sigma \text{ and } \Psi, x : \mathsf{stringin}[\mathsf{lhead}(r)], y : \mathsf{stringin}[\mathsf{ltail}(r)] \vdash e_3 : \sigma$ .

By induction, there exists an  $\iota_1$  such that  $e_1 \mapsto \iota_1$ .

By canonical forms,  $e_1 \mapsto^* \mathsf{rstr}[s]$ . Therefore,  $\iota_1 \mapsto *\mathsf{str}[s]$  because  $e_1 \rightsquigarrow \iota_1$ .

Choose  $\iota = \mathsf{pstrcase}(\iota_1; \iota_2; x, y.\iota_3)$  and note that by the properties established via induction,  $\llbracket e \rrbracket = \iota$  and  $\llbracket \Psi \rrbracket \vdash \iota : \llbracket \sigma \rrbracket$ .

To prove the evaluation correspondence, we consider two cases for the value of s.

Suppose  $s = \epsilon$ . Then  $e \mapsto^* v$  where  $e_2 \mapsto^* v$ , from which it follows that  $\iota \mapsto^* \dot{v}$  where  $\iota_2 \mapsto^* \dot{v}$ . But recall that  $e_2 \rightsquigarrow v_2$  and so  $\llbracket v \rrbracket = \dot{v}$ .

Suppose otherwise that s=at for some character a and string t. Then  $e\mapsto^* v$  where  $[a,t/x,y]e_3\mapsto^* v$ . Similarly,  $\iota\mapsto^*\dot{v}$  where  $[a,t/x,y]\iota_3\mapsto^*\dot{v}$ 

Case  $e = \operatorname{rreplace}[r](e_1; e_2)$ . There is only one applicable typing rule, so suppose  $\Psi \vdash \operatorname{rreplace}[r](e_1; e_2)$ : stringin[lreplace $(r, e_1, e_2)$ ]. Let  $\Theta = \llbracket \Psi \rrbracket$ . Note that  $\llbracket \operatorname{rreplace}[r](e_1; e_2) \rrbracket = \operatorname{preplace}(\operatorname{rx}[r]; \iota_1; \iota_2)$  where by induction  $\llbracket e_1 \rrbracket = \iota_1$  and  $\llbracket e_2 \rrbracket = \iota_2$  such that  $\Theta \vdash \iota_1$  and  $\Theta \vdash \iota_2$ . It follows by P-T-Replace that  $\Theta \vdash \operatorname{preplace}(\operatorname{rx}[r]; \iota_1; \iota_2)$ : string. Finally, note that  $\llbracket \operatorname{stringin}[\operatorname{lreplace}(r, e_1, e_2)] \rrbracket = \operatorname{string}$ .

For evaluation correspondence, note that  $[\![ rstr[lreplace(r,s_1,s_2)]\!] = rstr[lreplace(r,s_1,s_2)]$  and so it suffices to show that  $[\![ rstr[lreplace(r,s_1,s_2)]\!] = rstr[lreplace(r,s_1,s_2)]$  where  $e_1 \mapsto^* rstr[s_1]$ ,  $e_2 \mapsto^* rstr[s_2]$ ,  $r \mapsto^* r$ . By induction,  $\iota_1 \mapsto^* rstr[s_1]$ ,  $\iota_2 \mapsto^* rstr[s_2]$ , and  $rx[r] \mapsto^* rx[r]$ . So by S-E-Replace, the sufficient condition holds.

Case e = rcoerce[r](e'). The only applicable tpying rule is S-T-SafeCoerce, so suppose  $\Psi \vdash \text{rcoerce}[r](e')$ : stringin[r] where  $\Psi \vdash e'$ : stringin[r'] and  $\mathcal{L}\{r'\} \subseteq \mathcal{L}\{r\}$ . By induction,  $e' \leadsto \iota$  for some  $\iota$ . Therefore,  $\llbracket \text{rcoerce}[r](e') \rrbracket = \iota$  by Tr-SafeCoerce.

For evaluation correspondence, note that  $e \mapsto^* v$  where  $e' \mapsto^* v$ . The result follows by induction because  $e' \leadsto \iota$ .

**Case**  $e = \mathsf{rcheck}[r](e_1; x.e_2; e_3)$ . The applicable typing rule is S-T-Check, so  $\Psi \vdash e : \sigma$  where  $\Psi \vdash e_1 : \mathsf{stringin}[r], \Psi, x : \mathsf{stringin}[r] \vdash e_2 : \sigma$ , and  $\Psi \vdash e_3 : \sigma$ . By induction and a corresponding

substitution principle there exists  $\iota_1, \iota_2, \iota_3$  such that  $e_1 \leadsto \iota_1, e_2 \leadsto \iota_2$  in context  $\Psi, s$ : stringin[r], and  $e_3 \leadsto \iota_3$ . Choose  $\iota = \mathsf{pcheck}(\mathsf{rx}[r]; \iota_1; \lambda x. \iota_2; \iota_3)$ . The result follows by induction.

**Theorem 11** (Correctness of Input Sanitation for Translated Terms). *If*  $\llbracket e \rrbracket = \iota$  *and*  $\emptyset \vdash e : \mathsf{stringin}[r]$  *then*  $\iota \mapsto^* \mathsf{str}[s]$  *for*  $s \in \mathcal{L}\{r\}$ .

*Proof.* By 4,  $e \mapsto^* \mathrm{rstr}[s]$  where  $\emptyset \vdash \mathrm{rstr}[s]$ : stringin[r]. Therefore,  $s \in \mathcal{L}\{r\}$ . Note that  $\llbracket \cdot \rrbracket$  is a function and  $\llbracket \mathrm{rstr}[s] \rrbracket = \mathrm{str}[s]$ ; therefore, by theorem 10,  $\iota \mapsto^* \mathrm{str}[s]$ .

## References

[1] N. Fulton, C. Omar, and J. Aldrich. Statically typed string sanitation inside a python. SPLASH '14. ACM, 2014.

$$r ::= \epsilon \mid a \mid r \cdot r \mid r + r \mid r *$$
  $a \in \Sigma$ 

**Figure 1:** Syntax of regular expressions over the alphabet  $\Sigma$ .

$$\begin{array}{lll} \sigma & ::= & \sigma \rightarrow \sigma \mid \mathsf{stringin}[r] & \mathsf{source types} \\ e & ::= & x \mid v \mid e(e) & \mathsf{source terms} \\ \mid & \mathsf{rconcat}(e;e) \mid \mathsf{rstrcase}(e;e;x,y.e) & s \in \Sigma^* \\ \mid & \mathsf{rreplace}[r](e;e) \mid \mathsf{rcoerce}[r](e) \mid \mathsf{rcheck}[r](e;x.e;e) \\ v & ::= & \lambda x.e \mid \mathsf{rstr}[s] & \mathsf{source values} \end{array}$$

**Figure 2:** Syntax of  $\lambda_{RS}$ 

$$\tau \ \ \, ::= \ \, \tau \rightarrow \tau \ \, | \ \, \text{string} \ \, | \ \, \text{regex} \qquad \qquad \text{target types}$$
 
$$\iota \ \ \, ::= \ \, x \ \, | \ \, \dot{\upsilon} \ \, | \ \, \iota(\iota) \ \, | \ \, \text{pstrcase}(\iota; \iota; x, y. \iota) \ \, | \ \, \text{preplace}(\iota; \iota; \iota) \ \, | \ \, \text{preplace}(\iota; \iota; \iota) \ \, | \ \, \text{preplace}(\iota; \iota; \iota) \ \, | \ \, \text{target values}$$
 
$$\dot{\upsilon} \ \, ::= \ \, \lambda x. \iota \ \, | \ \, \text{str}[s] \ \, | \ \, \text{rx}[r]$$
 
$$\qquad \qquad \text{target values}$$

**Figure 3:** Syntax of  $\lambda_P$ 

**Figure 4:** Typing rules for  $\lambda_{RS}$ . The typing context  $\Psi$  is standard.

$$\begin{array}{c} \textbf{SS-E-APPLEFT} \\ \textbf{SS-E-APPLEFT} \\ \textbf{e}_1 \mapsto e_1' \\ \hline e_1(e_2) \mapsto e_1'(e_2) \end{array} \\ \textbf{SS-E-APPRIGHT} \\ \textbf{e}_1 \mapsto e_1' \\ \hline e_1(e_2) \mapsto e_1'(e_2) \end{array} \\ \textbf{SS-E-CONCAT-RIGHT} \\ \textbf{e}_2 \mapsto e_2' \\ \hline \textbf{rconcat}(v_1; e_2) \mapsto \textbf{rconcat}(v_1; e_2') \end{array} \\ \textbf{SS-E-CONCAT-RIGHT} \\ \textbf{e}_2 \mapsto e_2' \\ \hline \textbf{rconcat}(v_1; e_2) \mapsto \textbf{rconcat}(v_1; e_2') \end{array} \\ \textbf{SS-E-CASE-LEFT} \\ \textbf{e}_1 \mapsto e_1' \\ \hline \textbf{rstrcase}(e_1; e_2; x, y. e_3) \mapsto \textbf{rstrcase}(e_1'; e_2; x, y. e_3) \\ \textbf{SS-E-CASE-CONCAT} \\ \textbf{SS-E-CASE-CONCAT} \\ \textbf{SS-E-CASE-CONCAT} \\ \textbf{SS-E-REPLACE-RIGHT} \\ \textbf{rstrcase}(\textbf{rstr}[as]; e_2; x, y. e_3) \mapsto [\textbf{rstr}[a], \textbf{rstr}[s]/x, y] e_3 \end{array} \\ \textbf{SS-E-REPLACE-RIGHT} \\ \textbf{SS-E-REPLACE-RIGHT} \\ \textbf{e}_2 \mapsto e_2' \\ \hline \textbf{rreplace}[r](e_1; e_2) \mapsto \textbf{rreplace}[r](e_1; e_2') \\ \hline \textbf{rreplace}[r](\textbf{rstr}[s_1]; \textbf{rstr}[s_2]) \mapsto \textbf{rstr}[\textbf{replace}(r; s_1; s_2)] \\ \textbf{SS-E-SAFECOERCE-STEP} \\ \textbf{e} \mapsto e_1' \\ \hline \textbf{rcoerce}[r](e) \mapsto \textbf{rcoerce}[r](e^1) \\ \hline \textbf{rcoerce}[r](e^1) \mapsto \textbf{rcoerce}[r](\textbf{rstr}[s]) \mapsto \textbf{rstr}[s] \\ \hline \textbf{SS-E-CHECK-NOTOK} \\ \textbf{SC-E-CHECK-NOTOK} \\ \textbf{SC-E-C$$

**Figure 5:** Small step semantics for  $\lambda_{RS}$ .

**Figure 6:** Typing rules for  $\lambda_P$ . The typing context  $\Theta$  is standard.

$$\iota \mapsto \iota$$

$$\begin{array}{lll} & \text{PS-E-APPLEFT} \\ \frac{\iota_1 \mapsto \iota_1'}{\iota_1(\iota_2) \mapsto \iota_1'(\iota_2)} & \frac{\iota_2 \mapsto \iota_2'}{\dot{\upsilon}_1(\iota_2) \mapsto \dot{\upsilon}_1(\iota_2')} & \frac{PS-E-APPABS}{(\lambda x : \tau. \iota) \dot{\upsilon}_2 \mapsto [\dot{\upsilon}_2/x] \iota} & \frac{PS-E-CONCATLEFT}{\iota_1 \mapsto \iota_1'} \\ & \frac{\iota_2 \mapsto \iota_2'}{p\text{concat}(\text{str}[s_1]; \iota_2) \mapsto p\text{concat}(\text{str}[s_1]; \iota_2')} \\ & \frac{PS-E-CONCATRIGHT}{\iota_2 \mapsto \iota_2'} & \frac{PS-E-CONCAT}{p\text{concat}(\text{str}[s_1]; \iota_2) \mapsto \text{str}[s_1s_2]} \\ & \frac{PS-E-CASELEFT}{p\text{str}(\text{case}(\iota_1; \iota_2; x, y. \iota_3) \mapsto \text{pstrcase}(\iota_1'; \iota_2; x, y. \iota_3)} & \frac{PS-E-CASE-EPSILON}{p\text{str}(\text{case}(\text{str}[a]s]; \iota_2; x, y. \iota_3) \mapsto \text{pstrcase}(\iota_1'; \iota_2; x, y. \iota_3)} \\ & \frac{PS-E-CASE-CONS}{p\text{str}(\text{case}(\text{str}[a]s]; \iota_2; x, y. \iota_3) \mapsto [\text{str}[a], \text{str}[s]/x, y]\iota_3} & \frac{PS-E-REPLACELEFT}{\iota_1 \mapsto \iota_1'} \\ & \frac{\iota_1 \mapsto \iota_1'}{p\text{replace}(\text{rx}[a]s; \iota_2; x, y. \iota_3) \mapsto p\text{replace}(\text{rx}[a]s; \iota_2; x, y. \iota_3) \mapsto p\text{replace}(\text{rx}[a]s; \iota_2; \iota_3)} \\ & \frac{PS-E-REPLACEMID}{p\text{replace}(\text{rx}[a]s; \iota_2; \iota_3) \mapsto p\text{replace}(\text{rx}[a]s; \iota_2'; \iota_3)} & \frac{PS-E-REPLACELEFT}{\iota_1 \mapsto \iota_1'} \\ & \frac{\iota_1 \mapsto \iota_1'}{p\text{replace}(\text{rx}[a]s; \iota_2; \iota_3) \mapsto p\text{replace}(\text{rx}[a]s; \iota_2'; \iota_3)} \\ & \frac{PS-E-CHECKRIGHT}{p\text{replace}(\text{rx}[a]s; \iota_2; \iota_3; \iota_4) \mapsto p\text{check}(\text{rx}[a]s; \iota_2'; \iota_3; \iota_4)} \\ & \frac{PS-E-CHECKLEFT}{p\text{check}(\text{rx}[a]s; \iota_2; \iota_3; \iota_4) \mapsto p\text{check}(\text{rx}[a]s; \iota_2'; \iota_3; \iota_4)} \\ & \frac{PS-E-CHECKLEFT}{\rho\text{check}(\text{rx}[a]s; \iota_2; \iota_3; \iota_4) \mapsto p\text{check}(\text{rx}[a]s; \iota_2'; \iota_3; \iota_4)} \\ & \frac{PS-E-CHECK-NOTOK}{s \notin \mathcal{L}\{r\}} \\ & \frac{PS-E-CHECK-NOTOK}{p\text{check}(\text{rx}[a]s; \iota_2; \iota_3; \iota_4) \mapsto p\text{check}(\text{rx}[a]s; \iota_3; \iota_4) \mapsto \iota_4} \\ & \frac{PS-E-CHECK-NOTOK}{p\text{check}(\text{rx}[a]s; \iota_3; \iota_4) \mapsto$$

**Figure 7:** Small step semantics for  $\lambda_P$ 

**Figure 8:** Translation from  $\lambda_{RS}$  to  $\lambda_P$