Programmable Semantic Fragments: The Design and Implementation of typy (Extended Version)

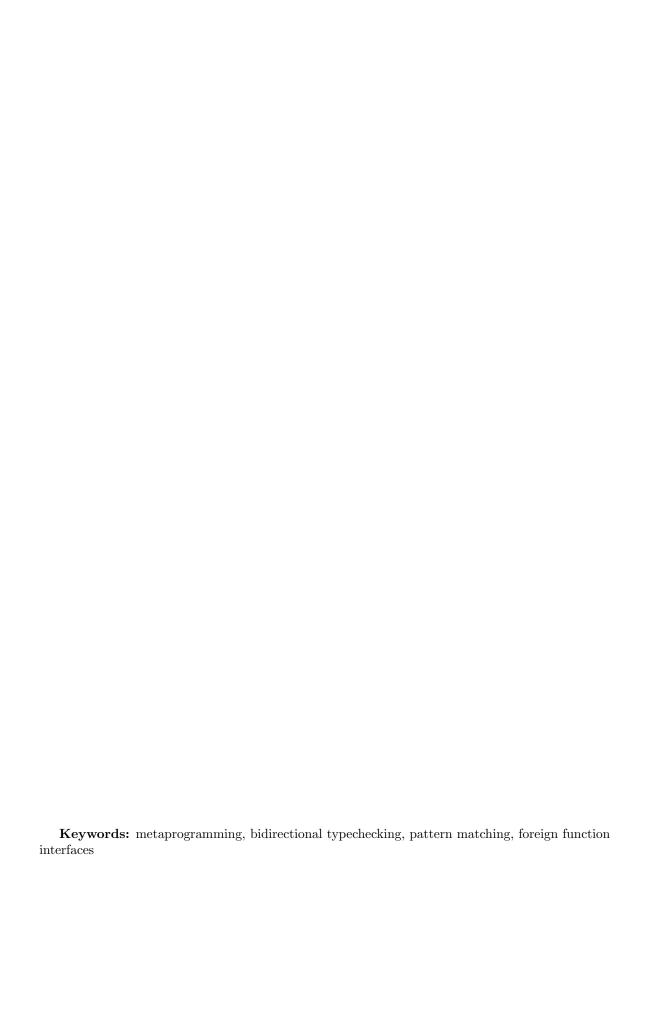
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October 2016 CMU-ISR-16-112

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Abstract

This technical report serves to archive the extended version of the paper *Programmable Semantic Fragments: The Design and Implementation of typy*, which appears in the proceedings of the 15th International Conference on Generative Programming: Concepts & Experience (GPCE'16).



Programmable Semantic Fragments

The Design and Implementation of typy (Extended Version)*

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Abstract

This paper introduces typy, a statically typed programming language embedded by reflection into Python. typy features a *fragmentary semantics*, i.e. it delegates semantic control over each term, drawn from Python's fixed concrete and abstract syntax, to some contextually relevant user-defined *semantic fragment*. The delegated fragment programmatically 1) typechecks the term (following a bidirectional protocol); and 2) assigns dynamic meaning to the term by computing a translation to Python.

We argue that this design is *expressive* with examples of fragments that express the static and dynamic semantics of 1) functional records; 2) labeled sums (with nested pattern matching *a la* ML); 3) a variation on JavaScript's prototypal object system; and 4) typed foreign interfaces to Python and OpenCL. These semantic structures are, or would need to be, defined primitively in conventionally structured languages.

We further argue that this design is *compositionally well-behaved*. It avoids the expression problem and the problems of grammar composition because the syntax is fixed. Moreover, programs are semantically stable under fragment composition (i.e. defining a new fragment will not change the meaning of existing program components.)

Categories and Subject Descriptors D.3.2 [Programming Languages]: Extensible Languages

Keywords metaprogramming, bidirectional typechecking, pattern matching, foreign function interfaces

1. Introduction

As programming languages proliferate, programmers face the daunting problem of *lateral compatibility*, i.e. of interfacing with libraries written in sibling languages. For example, there are useful libraries written in TypeScript [11], Flow [1] and

PureScript [3], but these libraries are not directly accessible across language boundaries because these languages are all syntactically and semantically incompatible with one another. (The first two define differing object systems, and PureScript is a functional language similar to Haskell and ML.)

The common workaround is to interface indirectly with libraries written in sibling languages through the code generated by a compiler that targets a more established language for which a foreign interface (FI) is available. For example, all of the languages above have compilers that target JavaScript and they are all capable of interfacing with JavaScript. Unfortunately, this approach is unnatural (the syntactic and semantic conveniences of the sibling language are unavailable) and unsafe (the type system of the sibling language is not enforced, and the internal representations of the compiler are exposed.) This problem can, at best, be mitigated by inserting dynamic checks at language boundaries [46]. It appears then that the language-oriented approach [75] is difficult to reconcile with the best practices of "programming in the large" [22].

In this paper, we propose a more compositional *fragment-oriented* approach to the problem of expressing new semantic structures. In particular, we introduce a single "extensible" statically typed language, typy, that gives library providers the ability to define new *semantic fragments*. Library clients can import these fragments in any combination. For example, we will define a fragment that expresses the static and dynamic semantics of functional records (*a la ML*), and another that expresses the static and dynamic semantics of a prototypal object system (*a la JavaScript*, albeit statically typed.)

This fragment-oriented approach diminishes the need for new standalone languages – clients of a library that requires the use of, e.g., functional records at its public interface can simply import the record fragment themselves, even if they otherwise prefer using an object system. Moreover, when interacting with libraries in a foreign language *is* necessary, the fragment system helps address the lateral compatibility problem by allowing library providers to implement a natural, type-safe foreign interface as a library. For example, we will define a type-safe foreign interface to OpenCL (a low-level language for working with GPUs, similar to CUDA [38].)

^{*} The original version of this paper, which omits the appendix, appears in the proceedings of GPCE'16 [54].

¹ We assume throughout that simple naming conflicts are handled by some external coordination mechanism, e.g. a package repository.

Although this vision has long been appealing, designing an extensible statically typed language equipped with useful composition principles presents well-known challenges.

First, consider that while language designers have the ability to define concrete forms specific to the semantic structures that they introduce, if we give fragment providers the same ability (following, e.g., Sugar* [25]), then different fragments could define conflicting forms. For example, consider the following family of forms:

```
{ label<sub>1</sub>: expr<sub>1</sub>, ..., label<sub>n</sub>: expr<sub>n</sub> }
```

One fragment might take these as the introductory forms for functional records, while another fragment might take these as the introductory forms for prototypal objects. These forms might also conflict with those for Python-style dictionaries. Such syntactic conflicts inhibit composition.

We also encounter the classic *expression problem* [59, 74]: if fragment providers can define new term constructors in a decentralized manner, then it is difficult to define functions that proceed by exhaustive case analysis, e.g. pretty-printers.

Finally, we must not allow library providers to weaken essential semantic properties, like type safety (in the sense of Milner [48].) Moreover, clients should be able to assume that importing a new fragment for use in one portion of a program will not change the meaning of other portions of the program, nor allow the program to take on ambiguous meaning. This implies that we cannot simply operationalize the semantics as a "bag of rules" that fragment providers freely extend.

The typy semantic fragment system addresses the problems of concrete and abstract syntax quite simply: fragment providers are not given the ability to extend typy's concrete or abstract syntax (which is borrowed unchanged from Python.) Instead, the system allows fragments to "share" syntactic forms by delegating semantic control over each term to some contextually relevant fragment definition. For example, typy delegates control over terms of curly-brace delimited form (above) to the fragment that defines the type that the term is being checked against. This fragment is responsible for 1) typechecking the term; and 2) assigning dynamic meaning to the term by translation to a target language (which we take to be Python.) As such, curly-brace delimited forms can serve as introductory forms for records, objects and dictionaries.

The typy fragment system also addresses the semantic problems just discussed. By defining the dynamics by translation, the problem of maintaining type safety reduces to the problem of type safety for the fixed target language. Moreover, the delegation protocol is deterministic, so ambiguities cannot arise. It is also stable under fragment composition, so defining a new fragment cannot change the meaning of an existing program component.

The remainder of the paper is organized as follows. Sec. 2 introduces typy's fragment system with simple examples. Sec. 3 then describes more sophisticated examples. Sec. 4 positions typy relative to related work. Sec. 5 concludes with a discussion of present limitations and future work.

Listing 1 Types and values in typy.

```
from typy import component
2 from typy.std import record, string_in, py
4 @component
5 def Listing1():
    Account [type] = record[
                   : string_in[r'.+'],
       account\_num \ : \ string\_in[r'\d{2}-\d{8}'] \mbox{,}
8
9
10
    ]
11
12
    test_acct [: Account] = {
                      "Harry Q. Bovik",
13
       name:
14
       account_num: "00-12345678",
15
       memo:
                     { }
16
    }
```

2. Semantic Fragments in typy

Listing 1 gives an example of a well-typed typy program that first imports several fragments, then defines a top-level component, Listing1, that exports a record type, Account, and a value of that type, test_acct.

2.1 Dynamic Embedding

typy is dynamically embedded into Python, meaning that Listing 1 is simply a standard Python script at the top level. typy supports Python 2.6+, though in later examples, we use syntactic conveniences not introduced until Python 3.0 [2]. Appendix A discusses the minor changes necessary to port these examples to Python 2.6+.

Package Management On Line 2, we use Python's import mechanism to import three fragments from typy.std, the typy standard library. This library receives no special treatment from typy's semantics – it comes bundled with typy merely for convenience (see Sec. 5 for a discussion.)

Fragments typy fragments are Python classes that extend typy.Fragment. These classes are never instantiated – instead, typy interacts with them exclusively through class methods (i.e. methods on the class object.) Listing 2 shows the portion of the record fragment that we will detail in Sec. 2.2.

Top-Level Components On Lines 4-16 of Listing 1, we define a top-level typy component by decorating a Python function value with component, a decorator defined by typy. This decorator discards the decorated function value after extracting its abstract syntax tree (AST) and *static environment*, i.e. its closure and globals dictionary, using the reflection mechanisms exposed by the ast and inspect packages in Python's standard library.² (Luckily, Python chose the "generic" def keyword – had it chosen, e.g. fun, this might be less clean, because components are, semantically, not functions.)

The decorator then processes the syntactic forms in the body of the function definition according to its own semantics. In particular, Sec. 2.2 will describe how component repurposes Python's assignment and array slicing forms to allow for

² The reader may need to refer to documentation for the ast package, available at https://docs.python.org/3/library/ast.html, to fully understand some examples in the remainder of this paper.

Listing 2 Record type validation.

```
import ast, typy
2 class record(typy.Fragment):
    @classmethod
    def init_idx(cls, ctx, idx_ast):
      if isinstance(idx_ast, ast.Slice):
        # Python special cases single slices
6
         # we don't want that
8
        idx_ast = ast.ExtSlice(dims=[idx_ast])
9
      if isinstance(idx_ast, ast.ExtSlice):
10
        idx_value = dict() # returned below
        for dim in idx_ast.dims:
11
12
           if (isinstance(dim, ast.Slice) and
13
               dim.step is None and
14
               dim.upper is not None and
15
               isinstance(dim.lower, ast.Name)):
16
             lbl = dim.lower.id
17
             if lbl in idx_value:
               raise typy.TypeValidationError(
18
                 "Duplicate label.", dim)
19
             ty = ctx.as_type(dim.upper)
20
21
             idx_value[lbl] = ty
           else: raise typy.TypeValidationError(
22
             "Invalid field spec.", dim)
23
24
        return idx_value
      else: raise typy.TypeValidationError(
25
         "Invalid record spec.", idx_ast)
```

type member definitions, like Account. Similarly, Sec. 2.3 will describe how the component decorator repurposes the same forms to allow for value member definitions, like test_acct.

The return value of the decorator is a top-level instance of typy. Component that tracks 1) the identities of type members; and 2) the types and evaluated translations of value members.

2.2 Fragmentary Type Validation

The type member definition on Lines 6-10 of Listing 1 is of the following general form:

```
id [type] = ty_expr
```

where id is a Python identifier and ty_expr is a typy *type* expression. When typy encounters a definition like this, it checks that ty_expr is a valid type.

typy adopts the system of *dependent singleton kinds* first developed for the ML module system [18, 34], which elegantly handles the details of type synonynms, type members and type functions (we will define a type function in Listing 7.) Types are type expressions of kind type. The only major deviation from this established account of type expressions, which we will not repeat here, is that types in canonical form are expressed as follows:

```
fragment[idx]
```

where fragment is a fragment in the static environment and idx is some Python slice form. In other words, every typy type in canonical form is associated with a fragment – there are no "built-in" types defined by typy itself. For convenience, programmers can write fragment by itself when the index is trivial, i.e. when it is of the form ().

For example, the type expression on Lines 6-10 of Listing 1 is a record type in canonical form. The index, which is of Python's *extended slice form*, specifies fields named name,

account_num and memo and corresponding field types as shown. We discuss the field types in Sec. 2.3 – for now, it suffices to notice that these are also in canonical form.

To establish that a type in canonical form is valid, i.e. of kind type, typy delegates to the fragment's class method init_idx. This method receives a *context* and the AST of the index and must return a Python value called the type's *index value* if the type is valid, or raise typy.TypeValidationError with an error message and a reference to the relevant portion of the index AST otherwise.

For example, the record.init_idx class method shown in Listing 2 validates record types by checking that 1) the index consists of a sequence of field specifications of the form name: ty_expr, where name is a Python name; 2) no names are duplicated; and 3) each ty_expr is a valid type expression, as determined by calling ctx.as_type (Line 20.) This method turns the given Python AST into a type expression, i.e. an instance of (a class that inherits from) typy.TyExpr, and checks that it is of kind type. The index value that record.init_idx returns is a Python dictionary mapping the field names to the corresponding instances of typy.TyExpr.

2.3 Fragmentary Bidirectional Typing and Translation

The value member definition on Lines 12-16 of Listing 1 is of the following general form:

```
name [: ty_expr] = expr
```

where name is a Python name, ty_expr is a type expression and expr is an expression. When typy encounters a definition like this, it 1) checks that ty_expr is of kind type, as described in Sec. 2.2; 2) *analyzes* expr against ty_expr; and 3) generates a *translation* for expr, which is another Python AST.

A type annotation is not always necessary:

```
name = expr
```

In this case, typy attempts to *synthesize* a type for expr before generating a translation, rather than analyzing expr against a known type. We say that expr is in *synthetic position*.

Type systems that distinguish type analysis (where the type is known) from type synthesis (also known as *local type inference*, where the type must be determined from the expression) are called *bidirectional type systems* [16, 56]. Scala is another notable language that has a bidirectional type system, albeit of different design [51]. Our system is based on the system developed by Dunfield and Krishnaswami [23]. Again, we will not repeat standard details here – our focus in the remainder of this section will be on how typy delegates control during typechecking and translation to some contextually relevant fragment based on the term form, i.e. we will describe the typy *delegation protocol*.

2.3.1 Literal Forms

typy delegates control over the typechecking and translation of terms of literal form to the fragment defining the type that the expression is being analyzed against.

For example, the expression on Lines 12-16 of Listing 1 is of dictionary literal form. The type that this expression is being analyzed against is Account, which is synonymous

Listing 3 Typing and translation of literal forms.

```
1 # class record(typy.Fragment):
    # ... continued from Listing 2 ...
3
    @classmethod
    def ana_Dict(cls, ctx, e, idx):
5
      for lbl, value in zip(e.keys, e.values):
        if isinstance(lbl, ast.Name):
6
           if lbl.id in idx:
8
             ctx.ana(value. idx[lbl.id])
9
           else:
10
            raise typy.TyError("<bad lbl>", lbl)
11
        else:
12
           raise typy.TyError("<not a lbl>", lbl)
13
      if len(idx) != len(e.keys):
14
        raise typy.TyError("<1bl missing>", e)
15
16
    @classmethod
17
    def trans_Dict(self, ctx, e, idx):
      ast_dict = dict((k.id, v)
18
        for k, v in zip(e.keys, e.values))
19
20
      return ast.Tuple(elts=[
21
        ctx.trans(ast_dict[lbl])
        for lbl in sorted(idx.keys())])
```

with the record type just defined, so typy first delegates to the record.ana_Dict class method, shown in Listing 3. This method receives the context, the AST of the literal and the index value determined by record.init_idx. It must return (trivially) if type analysis is successful or raise typy.TyError with an error message and a reference to the subterm where the error occurred otherwise. In this case, record.ana_Dict checks that each key expression is a Python name that appears in the index value, and then asks typy to analyze the value against the corresponding type from the index value by calling ctx.ana. Finally, it makes sure that all of the components specified in the index value appear in the literal.

The three field values in Listing 1 that record.ana_Dict asks typy to analyze are also of literal form – the values of name and account_num are string literals and the value of memo is another dictionary literal. As such, when ctx.ana is called, typy follows the same protocol just described, delegating to string_in.ana_Str to analyze the string literals and to py.ana_Dict to analyze the dictionary literal. The string_in fragment implements a regex-based constrained string system, which we described, along with its implementation in typy, in a workshop paper [32].³ The py fragment allows dynamic Python values to appear inside typy programs, consistent with the view of Python as a statically unityped language [34, 63]. Additional details about Python interoperability are available in Appendix B.

If typechecking is successful, typy delegates to the same fragment to generate the translation, i.e. a Python AST. For example, typy calls the record.trans_Dict method shown in Listing 3, which translates records to Python tuples (the field names are needed only statically.) This method asks typy to recursively determine translations for the field values by calling ctx.trans (typy stores the types determined during

Listing 4 Functions, targeted forms and binary forms.

```
1 from typy import component
2 from typy.std import fn
3 from listing1 import Listing1
4
5 @component
6 def Listing4():
7    @fn
8    def hello(account : Listing1.Account):
9    """Computes a string greeting."""
10    name = account.name
11    "Hello, " + name
12    print(hello(Listing1.test_acct))
```

typechecking as attributes of the AST nodes, so following the delegation protocol again during translation is fast.)

2.3.2 Definition Forms

Listing 4 shows an example of another component, Listing4, that defines a function, hello, on Lines 7-11 and then applies it to print a greeting on Line 12. This listing imports the component Listing1 defined in Listing 1.

typy delegates control over the typechecking and translation of definition forms that appear inside components, or in other synthetic positions, to the fragment that appears on the form as the first (i.e. outermost) decorator.

Here, the fn fragment is the first (and only) decorator, so typy begins by calling the fn.syn_FunctionDef class method, outlined in Listing 5. This method is passed the context and the AST of the function and must initialize the context as desired and return the type that is to be synthesized for the function, or raise typy.TyError if this is not possible.

We omit some of the details of this method for concision, but observe on Lines 6-7 of Listing 5 that fn calls ctx.check on each statement in the function body (other than the docstring, following Python's conventions.) This prompts typy to follow its delegation protocol for each statement, described below.

We chose to take the value of the final expression in the function body as its return value, following the usual convention in functional languages (an alternative function fragment could instead use Python-style return statements.) The synthesized function type is constructed programmatically on Lines 15-16. The index value consists of the argument types (extracted from the type annotations, not shown) paired with the synthesized return type.

If typechecking is successful, typy calls the class method fn.trans_FunctionDef to generate the translation of the function definition. This method, elided due to its simplicity, recursively asks typy to generate the translations of the statements in the body of the function definition by calling ctx.trans and inserts the necessary return keyword on the final statement. typy passes it the identifier to which it is expecting the translation will assign (i.e. typy does not assume that every def form will translate to a def form.)

For definition forms in analytic position, typy treats the function definition as a literal form (see Sec. 3.)

³ Certain details of typy have changed since that paper was published, but the essential idea remains the same.

Listing 5 A portion of the fn fragment.

```
import ast, typy
2 class fn(typy.Fragment):
    @classmethod
    def syn_FunctionDef(cls, ctx, stmt):
5
      # (elided) process args + docstring
      for body_stmt in stmt.proper_body:
6
        ctx.check(body_stmt)
      last_stmt = stmt.proper_body[-1]
8
9
      if isinstance(last_stmt, ast.Expr):
10
        if rty is None: # return type not given
          rty = ctx.syn(last_stmt.value)
11
12
         else: ctx.ana(last_stmt.value, rty)
      else: raise typy.TyError(
13
         "<missing final expr>", last_stmt)
      return typy.CanonicalTy(
15
16
        fn, (arg_sig, rty))
17
    @classmethod
18
    def check_Assign(cls, ctx, stmt):
19
20
      # (details of _process_assn elided)
21
      pat, ann, e = _process_assn(stmt)
      if ann is None: ty = ctx.syn(e)
23
      else:
24
         ty = ctx.as_type(ann)
         ctx.ana(e, ty)
25
26
      bindings = ctx.ana_pat(pat, ty)
27
      ctx.add_bindings(bindings)
28
29
    @classmethod
    def check_Expr(cls, ctx, stmt):
30
31
      ctx.syn(stmt.value)
32
33
    # trans_FunctionDef, trans_Assign and
    # trans_Expr are elided
34
```

2.3.3 Statement Forms

Statement forms, unlike expression forms, are not classified by types. Rather, typy simply checks them for validity when the governing fragment calls ctx.check.

For most statement forms, typy simply delegates control over validation and translation back to the fragment that was delegated control over the enclosing definition. For example, when fn.syn_FunctionDef calls ctx.check on the assignment statement on Line 10 of Listing 4, typy delegates control back to the fn fragment by calling fn.check_Assign. Similarly, fn.check_Expr handles expression statements, like the one on Line 11 of Listing 4. Let us consider these in turn.

Assignment The definition of fn.check_Assign given in Listing 5 begins by extracting a *pattern* and an optional *type annotation* from the left-hand side of the assignment, and an expression from the right-hand side of the assignment.

No type annotation appears on the assignment in Listing 4, so fn.check_Assign asks typy to synthesize a type from the expression by calling ctx.syn (Line 22 of Listing 5.) We will describe how typy synthesizes a type for the expression account.name in Sec. 2.3.4 below.

In cases where an annotation is provided, fn.check_Assign instead asks typy to kind check the ascription to produce a type, then it asks typy to analyze the expression against that type by calling ctx.ana (Lines 24-25 of Listing 5.)

Listing 6 Typing and translation of targeted forms.

```
1 # class record(typy.Fragment):
    # ... continued from Listing 3 ...
3
    @classmethod
4
    def syn_Attribute(cls, ctx, e, idx):
      if e.attr in idx: return idx[e.attr]
5
      else: raise typy.TyError("<bad lbl>", e)
6
8
    @classmethod
9
    def trans_Attribute(cls, ctx, e, idx):
10
      pos = _pos_of(e.attr, sorted(idx.keys()))
11
      return ast.Subscript(
        value=ctx.trans(e.value),
12
13
        slice=ast.Index(ast.Num(n=pos)))
```

Finally, fn.check_Assign checks that the pattern matches values of the type that was synthesized or provided as an annotation by calling ctx.ana_pat. Patterns of variable form, like name in Listing 4, match values of any type. We will see more sophisticated examples of pattern matching in Sec. 2.4 below. The ctx.add_bindings method adds the bindings (here, a single binding) to the typing context.

During translation, typy delegates to fn.trans_Assign. This method is again omitted because it is straightforward. The only subtlety has to do with shadowing – fn follows the functional convention where different bindings of the same name are distinct, rather than treating them as imperative assignments to a common stack location. This requires generating a fresh name when a name is reused (ctx.add_bindings does this by default.) As with the semantics of return values, a different function fragment could make a different decision.

Expression Statements The fn.check_Expr method, shown in Listing 5, handles expression statements, e.g. the statement on Line 11 of Listing 4, by simply asking typy to synthesize a type for the expression. In Listing 4, this expression is of binary operator form – we will describe how typy synthesizes a type for expressions of this form in Sec. 2.3.5 below.

Other Statement Forms typy does not delegate to the fragment governing the enclosing definition for statements of definition form that have their own fragment or type decorator. Instead, typy delegates to the decorating fragment, just as at the top-level of a component definition. The fragment governing the enclosing function determines only how the translation is integrated into its own translation (through a integrate_trans_FunctionDef method, omitted for concision.)

typy also does not delegate to the decorating fragment for statements that 1) assign to an attribute, e.g. e1.x = e2 or e1.x + e2; 2) assign to a subscript, e.g. e1[e2] = e3; or 3) statements with guards, e.g. if, for and while. These operate as targeted forms, described next.

2.3.4 Targeted Forms

Targeted forms include 1) the statement forms just mentioned; 2) expression forms having exactly one subexpression, like -e1 or e1.attr; and 3) expression forms where there may be multiple subexpressions but the left-most one is the only one that is syntactically required, like e1(args) (there may be no

arguments.) When typy encounters terms of targeted form, it first synthesizes a type for the target subexpression e1. It then delegates control over typechecking and translation to the fragment defining the type of e1.

For example, the expression on the right-hand side of the assignment statement on Line 10 of Listing 4 is account.name, so typy first synthesizes a type for account. Following the standard rule for variables, which are tracked by the context, we have that account synthesizes type Listing1.Account. This type is synonymous with a record type, so typy first calls the record.syn_Attribute class method given in Listing 6. This method looks up the attribute, here name, in the type's index value and returns the corresponding field type, here string_in[r".+"], or raises a type error if it is not found.

To generate the translation for account.name, typy calls record.trans_Attribute, shown in Listing 6. Because record values translate to tuples, this method translates record field projection to tuple projection, using the position of the attribute within the record type's sorted index value to determine the appropriate slice index.

2.3.5 Binary Forms

Python's grammar also defines a number of binary operator forms, e.g. e1 + e2. One approach for handling these forms would be to privilege the leftmost argument, e1, and treat these forms as targeted forms. This approach is unsatisfying because binary operators are often commutative. Instead, typy defines a symmetric protocol to determine which fragment is delegated control over binary forms. First, typy tries to synthesize a type for both arguments. If neither argument synthesizes a type, the fragment defining the type provided for analysis is delegated control.

If only one of the two arguments synthesizes a type, then the fragment defining that type is delegated control. For example, the binary operator on Line 11 of Listing 4 consists of a string literal on the left (which does not synthesize a type, per Sec. 2.3.1) and a variable, name, of type string_in[r".+"] on the right, so string_in is delegated control over this form.

If both arguments synthesize a type and both types are defined by the same fragment, then that fragment is delegated control. If each type is defined by a different fragment, then typy refers to the *precedence sets* of each fragment to determine which fragment is delegated control. The precedence sets are Python sets listed in the precedence attribute of the fragment that contain other fragments that the defining fragment claims precedence over (if omitted, the precedence set is empty.) typy checks that if one fragment claims precedence over another, then the reverse is not the case (i.e. precedence is anti-symmetric, to maintain determinism.) Precedence is not transitive. If a precedent fragment is found, it is delegated control. Otherwise, a type error is raised.

For example, if we would like to be able to add ints and floats and these are defined by separate fragments, then we can put the necessary logic in either fragment and then place the other fragment in its precedence set.

Listing 7 Polymorphism, recursion and pattern matching in typy. The analagous OCaml code is given in Appendix C.

```
1 from typy import component
2 from typy.std import finsum, tpl, fn
3 @component
4 def Listing7():
      tree(+a) [type] = finsum[
6
7
        Node(tree(+a), tree(+a)),
8
        Leaf(+a)
      1
9
10
11
      @fn
12
      def map(f : fn[+a, +b],
13
               t : tree(+a)) -> tree(+b):
14
         [t].match
15
        with Empty: Empty
         with Node(left, right):
16
17
           Node(map(f, left), map(f, right))
18
         with Leaf(x): Leaf(f(x))
```

2.4 Fragmentary Pattern Matching

As we saw on Line 26 of Listing 5, fragments can request that typy check that a given *pattern* matches values of a given type by calling ctx.ana_pat. In the example in Listing 4, the pattern was simply a name – name patterns match values of any type. In this section, we will consider other patterns. For example, the statement below uses a tuple pattern:

```
(x, y, z) = e
```

typy also supports a more general match construct, shown on Lines 14-18 of Listing 7. This construct, which spans several syntactic statements, is treated as a single expression statement by typy. The *scrutinee* is t and each *clause* is of the form with pat: stmts where pat is a pattern and stmts is the corresponding *branch*. typy also supports an analagous expression-level match construct, which is discussed in Appendix D.

To typecheck a match expression, typy first synthesizes a type for the scrutinee. Here, the scrutinee, t, is a variable of type tree(+a). This type is an instance of the recursive type function tree defined on Lines 5-9 (the mechanisms involved in defining recursive types and type functions are built into typy in the usual manner.) Type variables prefixed by +, like +a and +b, implicitly quantify over types at the function definition site (like 'a in OCaml [42].)

More specifically, tree(+a) is a recursive finite sum type defined by the finsum fragment imported from typy.std [34]. This fragment is defined such that values of finite sum type translate to Python tuples, where the first element is a string tag giving one of the names in the type index and the remaining elements are the corresponding values. For example, a value Node(e1, e2) translates to ("Node", tr1, tr2) where tr1 and tr2 are the translations of e1 and e2. Names and call expressions beginning with a capitalized letter are initially treated as literal forms in typy (following Haskell [36].) If the delegated fragment does not define their semantics, they are then treated as targeted forms.

Listing 8 Typing and translation of patterns.

```
import ast, typy
 2 class finsum(typy.Fragment):
3
 4
    @classmethod
    def ana_pat_Call(cls, ctx, pat, idx):
5
       if (isinstance(pat.func, ast.Name) and
6
           pat.func.id in idx and
           len(pat.args) == len(idx[pat.func.id])):
8
9
         bindings, lbl = {}, pat.func.id
10
         for p, ty in zip(pat.args, idx[lbl]):
11
           _combine(bindings, ctx.ana_pat(p, ty))
12
         return bindings
13
       else:
14
         raise typy.TyError("<bad pattern>", pat)
15
16
    @classmethod
17
    def trans_pat_Call(cls, ctx, pat, idx,
18
                         scrutinee_tr):
19
       conditions = \Gamma
         ast.Compare(left=_prj(scrutinee_tr, 0),
20
21
           ops=[ast.Eq()],
           comparators=[ast.Str(s=pat.func.id)])
22
23
24
       binding_translations = {}
25
       for n, p in enumerate(pat.args):
26
         arg_scrutinee = _prj(scrutinee_tr, n+1)
27
         c, b = ctx.trans_pat(p, arg_scrutinee)
28
         conditions.append(c)
29
         binding_translations[pat.func.id] = b
30
       condition = ast.BoolOp(op=ast.And(),
31
         values=conditions)
       return (condition, binding_translations)
32
```

typy delegates control over patterns to the fragment that defines the scrutinee type. For example, to check the pattern Node(left, right) on Line 15, typy calls finsum.ana_pat_Call, shown in Listing 8. This method must either return a dictionary of bindings, i.e. a mapping from variables to types, which typy adds to the typing context when typechecking the corresponding branch expression, or raise a type error if the pattern does not match values of the scrutinee type. In this case, finsum.ana_pat_Call first checks to make sure that 1) the name that appears in the pattern appears in the type index (for finsum types, this is a mapping from names to sequences of types); and 2) that the correct number of pattern arguments have been provided. If so, it asks typy to check each subpattern against the corresponding type. Here, left and right are both checked against tree(+a). These happen to be variable patterns, but typy supports arbitrarily nested patterns. The returned dictionary of bindings is constructed by combining the two dictionaries returned by these calls to ctx.ana_pat. The _combine function, not shown, also checks to make sure that the bound variables are distinct.

Match expression statements translate to Python if...elif statements. For each clause, typy needs a boolean *condition expression*, which determines whether that branch is taken, and for each binding introduced by that clause, typy needs a translation. To determine the condition and the binding translations, typy again delegates to the fragment defining the scrutinee type, here by calling finsum.trans_pat_Call, given

in Listing 8. This class method is passed the context, the type index, the pattern AST and an AST representing the scrutinee (bound to a variable, to avoid duplicating effects.)

In Listing 8, the generated condition expression first checks the tag. Then, for each, subpattern, it recursively generates its conditions and binding translations by calling ctx.trans_pat(p, arg_scrutinee), where arg_scrutinee makes the new "local scrutinee" for the subpattern be the corresponding projection out of the original scrutinee. The returned condition expression is the conjunction of the tag check and the subpattern conditions.

The delegated fragment also has responsibility for checking exhaustiveness, via the method is_exhaustive (omitted.)

2.5 Determinism and Stability

We argue that the typy delegation protocol is compositionally well-behaved, i.e. it exhibits *determinism* and *stability under fragment composition*. By determinism, we mean that under a given context, there is always a single fragment that can be delegated control over any type expression, statement, expression or pattern form, i.e. there can be no ambiguity. By *stability*, we mean that the delegation protocol will not make a different choice simply because a new fragment has been added to the *fragment context* (the set of fragments in the static environment.) A virtue of the design we have presented is that these properties follow essentially immediately. We contrast this with related work in Sec. 4.

Consider type validation (Sec. 2.2): the fragment delegated control over fragment[idx] is fragment. The choice is explicit in the term, so determinism and stability follow trivially.

For literal forms (Sec. 2.3.1), the fragment defining the type provided for analysis is delegated control. To establish determinism and stability, we need only establish that type normalization is deterministic and stable. Our language of type expressions is a standard deterministic lambda calculus [18] and normalization interacts with the fragment context only at canonical form, which was just discussed.

For targeted terms (Sec. 2.3.4), typy synthesizes a type for the target. For binary terms (Sec. 2.3.5), typy also synthesizes types for sub-terms. For determinism and stability to hold, then, we need that type synthesis, implemented by ctx.syn, is deterministic and stable. This is a straightforward inductive argument, with the base case being variable forms. Variables are tracked by the variable context, which assigns each variable a unique type, so determinism holds. Variables lookup is independent of the fragment context, so stability holds. For binary forms, the only remaining requirement is that the possibilities described in Sec. 2.3.5 are mutually exclusive and do not depend on the fragment context, which is apparent by inspection.

3. More Examples

In this section, we will further demonstrate the expressive power of typy's fragment system with more sophisticated examples: a prototypal object system, a typed interface to the numpy library and a low-level foreign interface to OpenCL.

Listing 9 Prototypal objects in typy.

```
from typy import component
2 from typy.std import proto, decimal, fn, unit
3 from listing1 import Listing1
5 @component
6 def Listing9():
    Transaction [type] = proto[
8
      amount : decimal,
9
      incr
             : fn[Transaction, unit]
10
      proto : Listing1.Account
11
12
13
    test_trans [: Transaction]
14
    def _():
15
      amount = 36.50
16
      def incr(self): self.amount += 1
17
      proto = Listing1.test_acct
18
    test_trans.incr() # self passed automatically
19
    print(test_trans.name) # Harry Q. Bovik
20
```

3.1 Prototypal Object Types

JavaScript's object system supports *prototypal inheritance* (based on a similar mechanism in the Self language [44, 69].) We have implemented a statically typed variant of this system as a fragment, typy.std.proto.

Listing 9 defines a prototypal object type, Transaction, that specifies fields named amount, incr and proto. We introduce a value of this type using the def form on Lines 13-17 (i.e. this form is treated as a literal form, per Sec. 2.3.2, so typy calls proto.ana_FunctionDef.) The inner def form, on Line 16, is governed by the fn fragment because proto.ana_FunctionDef analyzes it against a fn type (i.e. it also behaves as a literal.) As such, no type annotations or decorators are needed.

The fields of a prototypic object are mutable, e.g. as shown in the body of incr on Line 16. The delegation protocol treats an assignment of this form as a targeted form, per Sec. 2.3.3.

On Line 19, we call the incr method. The proto fragment implicitly passes in the target of the method call as the first argument, as in Python and similar to JavaScript.

When a field is not found in the object itself, e.g. name on Line 20, the proto fragment delegates to the proto field. Here, the prototype is the record value Listing1.test_acct.

3.2 Foreign Interfaces

Python is widely used in scientific computing [52]. One reason is that Python has support for calling into low-level languages like C (e.g. via SWIG [9].) Many popular libraries, e.g. numpy [70], operate essentially as wrappers around low-level routines written in these languages. It is also possible to dynamically compile low-level code generated by Python code as a string. This is particularly useful when working with GPUs and other compute devices, e.g. using the PyCUDA and PyOpenCL libraries [38].

We have designed fragments that allow for statically typed access to these libraries. For example, on Line 9 of Listing 10, we create a typed numpy array of 64-bit floating point numbers. The typy.numpy.array fragment supports the use of list literal

Listing 10 numpy and OpenCL in typy.

```
1 from typy import component
2 from typy.numpy import array, f64
3 from typy.cl import buffer, to_device, kernel
5 @component
6 def Listing10():
    # (device selection code elided)
8
    # make numpy array + send to device
9
    x [: array[f64]] = [1, 2, 3, 4]
10
    d_x = to_device(x) # device buffer
11
12
    # define a typed data-parallel OpenCL kernel
13
    @kernel
    def add5(x : buffer[f64]):
      gid = get_global_id(0) # OpenCL primitive
15
16
      x[gid] = x[gid] + 5
17
    # spawn one device thread per element and run
18
    add5(d_x, global_size=d_x.length)
19
20
    y = d_x.from_device() # retrieve from device
    print(y.to_string()) # prints [6, 7, 8, 9]
```

syntax to do so. As such, the cost of the type annotation is "canceled out" because we don't need to explicitly call numpy.array as one does in Python. For arrays in analytic position (e.g. as function arguments), this interface to numpy is therefore of lower syntactic cost.

On Line 10, we invoke the to_device operator to transfer the numpy array to the compute device's memory (we omit the code needed once per session to select a device.)

On Lines 13-16, we then define a typed OpenCL kernel [4]. An OpenCL kernel is simply an OpenCL function that is called in a *data parallel* manner, i.e. a large number of threads are spawned, all running the same kernel. Each kernel has access to a unique ID, called the *global ID* in OpenCL. Here, add5 determines its global ID and then adds 5 to the corresponding element in the input buffer. Notice that we did not need to specify a return type or a type annotation on gid, because typy is bidirectionally typed (unlike OpenCL.) The translation of the definition of add5 uses a Python encoding of OpenCL ASTs. It is equivalent to the following Python code:

```
add5 = pyopencl.Program(cl_ctx, '
   __kernel void add5(__global double* x) {
    size_t gid = get_global_id(0);
    x[gid] = x[gid] + 5;
}').build()
```

The typy code is again more concise. Moreover, type errors *in the OpenCL kernel* are detected ahead-of-time by typy. This required us to implement the entirety of the OpenCL type system using typy's fragment system, including the logic of numeric type promotion and various other subtleties inherited from C. This represents the largest case study to date of our methodology. Interestingly, we were also able to extend OpenCL with various higher-level constructs, e.g. pattern matching and sum types, essentially as described in Sec. 2. In fact, in most cases we inherit from the original fragment, overriding only the translation methods.

Line 19 invokes the add5 kernel in a data parallel fashion on the device buffer d_x. The parameter global_size determines the number of threads – here, one thread per array element. Finally, Lines 21-22 retrieve the result from the device and print out the result.

The details of the various fragments just described, are, of course, somewhat involved. The takeaway lesson, however, is that as the designers of typy, we did not need to anticipate this particular mode of use. In contrast, monolithic languages like MLj need to build in a type-safe foreign interface [10].

4. Related Work

Our recent work on *type-specific languages (TSLs)* in the Wyvern language used a bidirectionally typed protocol to delegate control over the parsing of literal forms to functions associated with type definitions [55]. This inspired our treatment of literal forms in typy. Unlike Wyvern, typy's literal forms are parsed according to Python's fixed syntax. Unlike typy, Wyvern has a monolithic semantics. Both mechanisms could exist in the same language, but presently do not.

Language-external mechanisms for creating and combining language dialects, e.g. extensible compilers like Xoc [17], JastAdd [24], Polyglot [50], JaCo [76], Silver [72] and various language workbenches [26], do not guarantee determinism. In particular, these systems presume that new language constructs define new textual forms. These forms can conflict with one another when combined, i.e. syntactic determinism is not conserved. Copper, the syntax definition system in Silver, defines a modular analysis that guarantees syntactic determinism, but this requires verbose marking tokens and grammar names [62]. In contrast, typy allows different fragments to share common forms without qualification.

Putting syntactic determinism aside, many such systems also do not guarantee semantic determinism. This is because these systems allow extension providers to exert non-local control, e.g. by allowing extension providers to define new inference rules that apply throughout the program, or by allowing extension providers to define new whole-program passes. This also incurs cognitive cost: programmers have no definitive way to identify which extension is in control of a given term. In contrast, typy's delegation protocol explicitly delegates control to a single fragment, in a stable manner.

Systems based on extensible attribute grammars, e.g. Silver [72], and algebraic methods, e.g. object algebras [53], give extension providers control over only those extensions to the abstract syntax that they have defined (if used idiomatically.) However, even if we needed only to extend the abstract syntax (leaving the concrete syntax alone), this is problematic: it becomes impossible to define functionality that operates by exhaustive case analysis (e.g. a pretty printer.) This is particularly problematic when a new such function is invented – this is known as the *expression problem* [59, 74]. In contrast, typy operates over a fixed abstract syntax.

TeJaS is a typed variant of JavaScript that is implemented as a collection of mutually recursive ML modules, each

defining a particular feature [41]. This means that modules cannot be distributed separately. A new module can redefine constructs defined elsewhere, so stability is not guaranteed.

Proof assistants, e.g. TinkerType [43], PLT Redex [27], Agda [49] and Coq [47] can be used to inductively specify and mechanize the metatheory of languages. These tools generally require a complete specification (this has been identified as a key challenge [8].) Techniques for composing specifications and proofs exist [20, 21, 61], relying on various algebraic methods to encode "open" term encodings (e.g. Mendler-style *f*-algebras [21]), but these techniques require additional proofs at "combine-time". Several authors, e.g. Chlipala [15], have suggested proof automation as a heuristic solution to the problem of combine-time proof obligations. The typy fragment system does not work with inductive semantic specifications – instead, fragment providers directly implement their intended semantics in Python (see Sec. 5.)

Refinement type systems [31], pluggable type systems [7, 12, 13, 45] and gradual type system [64, 65] define additional static checks for programs written against an existing semantics. Some of these systems support fragmentary definitions of new analyses [13, 45]. typy is different in that its semantics (static and dynamic) is itself programmable. In other words, typy is not a gradual type system for Python like mypy [40] or Reticulated Python [73], but rather a distinct language that 1) repurposes Python's syntax; and 2) is defined by typed translation to Python. Defining a fragmentary refinement system that sits atop our fragmentary semantics is an interesting avenue for future work. This might allow us to use mypy's annotations as refinements of the py type.

Lightweight modular staging (LMS) is a Scala library that supports staged translation of well-typed Scala terms to other targets [60]. In contrast, typy's type system is itself programmable. No specific type structure is built in to typy. As described in Sec. 3.2, fragment providers can target (and even extend) different languages via a foreign interface.

Macros implement term-to-term rewritings [14, 30, 35] or text-to-term rewritings [58, 68]. The typy fragment system is similar in that the methods of a fragment programmatically examine and generate ASTs. Macros do not, however, directly integrate into type analysis or synthesis, either because the language is not statically typed, or because the type system is defined independently of the macro system, e.g. the Scala macro system [14]. Term rewriting macros can be implemented for typy using the fragment system, by defining a singleton type for the macro for which the call operation constructs the rewriting and asks the context to typecheck and translate it.

In Racket, it is possible to associate expansion-time data with identifiers [30], which can be inspected by macros to perform certain expansion-time checks (e.g. see [29].) typy differs in that types are integral to the delegation protocol, i.e. type information is associated with expressions (not just identifiers) and implicitly determines which fragment method

is delegated control over a term. In contrast, in Racket, the client explicitly invokes a macro to give it control.

Another important distinction is that in typy, the translation target is a different language – Python – from the source language – typy. Ziggurat has also explored the problem of layering languages (including statically typed languages) atop other languages using macro-like mechanisms [28]. However, each language layer has a monolithic semantics. Similarly, Typed Racket is a statically typed language embedded into Racket using the macro system [19, 67]. Typed Racket is not itself modularly extensible – the macro system plays a role analagous to that played by Python's decorator and reflection mechanisms in typy. However, it would be possible to embed a language with a fragmentary semantics into Racket.

Operator overloading [71] and metaobject dispatch [37] interpret operator invocations as method calls. The method is typically selected according to either the type or the dynamic tag of one or more operands. These protocols are similar to our delegation protocol for targeted expressions. However, our strategy is a *compile-time* protocol and gives direct control over typing and translation. An object system with operator overloading could be implemented in typy.

5. Discussion

In summary, typy is a bidirectionally typed programming language with no built-in types. Instead, it is organized around a novel semantic fragment system that allows library providers to implement the type validation logic for new types, the static and dynamic semantics of their associated operations and the pattern matching semantics of their associated patterns programmatically. Library clients can import these fragments in any combination because fragments are contextually delegated control over terms in a deterministic and stable manner. Unlike other language extension systems, the syntax of the language is fixed, which we take to be a feature of our system because it eliminates a number of difficult problems related to composition. We were able to implement typy itself as a Python library, using Python's standard reflection and code generation facilities. Using typy, we have been able to implement a variety of semantic structures that are, or would need to be, built primitively into other languages.

Our design does have its limitations. Python is a complex dynamic language, so we are not able to rigorously prove determinism and stability. Our argument is simply that these properties are essentially immediate consequences of our proposed design. Python's complexity also makes it difficult for fragment providers to reason about correctness (relative to, e.g., an inductive specification, e.g. as in [32]) In the future, we hope to develop a dialect of typy using a reduced subset of Python (e.g. RPython [6] or λ_{π} [57]) or a simpler language still for which a formal definition is available. Another approach would be to design a fragment system where the fragment definition language is dependently typed. This would make it possible to prove interesting correctness properties about fragments. By imposing stronger abstraction

barriers between fragments, we conjecture that it should be possible for the language to guarantee that a broad class of such properties are conserved by fragment composition.

It is not presently possible to define fragments using typy itself, but this is another interesting future direction. It would also be interesting to automate the generation of fragment definitions from inductive specifications, e.g. building on the techniques developed by the Veritas project [33].

The fragment system that we have developed here could be adapted to use a different surface syntax and internal language without major difficulty. If the target language itself has non-trivial type structure, e.g. JVM bytecode, then fragments must define a type translation method (to complement the type validation method.) Moreover, term translations must be validated against the corresponding type translations. This correctness condition has been studied in the design of the TIL compiler for Standard ML [66].

Another aspect of translation validation that we did not consider here is *hygiene*, i.e. that the translations do not make inappropriate assumptions about the surrounding bindings, or inadvertently shadow bindings in an unexpected manner [5, 39]. A proper hygiene mechanism would benefit from the use of a target language with a more disciplined binding structure. For now, the context simply provides a method for generating unique identifiers.

By repurposing Python's syntax, typy benefits from many established Python tools. However, debuggers and other tools that rely not just on Python's syntax but also its semantics do not work directly on typy programs. We leave the problem of integrating fragments with tools like these as future work.

typy imposes a bidirectional structure on all fragments. This structure is known to be highly flexible [23], and even advanced dependently typed languages like Agda are fundamentally bidirectional [49]. That said, we have not explored the practicality of implementing advanced type systems, e.g. dependent or linear type systems, using our fragment system.

Finally, we must acknowledge that not all fragments will be tasteful. This concern must be balanced against the possibilities of a vibrant ecosystem of competing fragments. We plan to curate a substantial standard library of high-quality fragments. This will help avoid the problem of different programmers reimplementing the same structures. With an appropriate community process, our position is that a fragmentary language like typy will hasten the research, development and adoption of good ideas, particularly those that are found only in obscure languages today.

Implementation typy is under development as a free open source project at http://github.com/cyrus-/typy.

Acknowledgments

We are grateful to Kayvon Fatahalian, Christian Kästner, Joshua Sunshine, Matthew Flatt and the anonymous referees for their thoughtful feedback. This work was supported in part by AFRL and DARPA under agreement #FA8750-16-2-0042, and by NSA lablet contract #H98230-14-C-0140.

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Appendix

A. Syntactic Support for Python 2.x

Listing 11 An alternative syntax for argument and return type annotations.

Python 3.0 introduced syntax for argument and return type annotations on function definitions [2]. However, Python 2.6+ remains widely adopted because Python 3.0+ did not maintain backwards compatibility. As such, our implementations of typy.std.fn and typy.opencl.kernel support an alternative syntax for argument and return type annotations that supports Python 2.6+ as well as Python 3.0+. For example, Listing 11 shows the function map from Listing 7 written using this alternative syntax.

B. Python Interoperability

Listing 12 Lifting and pattern matching over py.

```
1 from typy import component
2 from typy.std import str
3 x = 3
4 @component
5 def TestLift():
   y = x # y has type std.py
8
    with let[z : str]: # block let
9
      [x].match
      with int(n): "n : std.num"
10
      with float(f): "f : std.ieee"
11
      with str(s): "s : std.string"
12
      with list(xs): "xs : std.list[py]"
13
      with tpl[2](xs): "xs : std.tpl[py, py]"
14
15
      with {'a': a}: "a : py"
16
      with instance[C](x): "x : py"
17
      with _: "otherwise"
```

The py fragment defines a single type, py[()] (which can be abbreviated py, per Sec. 2.2.) This type classifies Python values. Variables that refer to values in the static environment are assigned type py by default. (Technically, the default is the canonical type that typy.lift_type is set to. Importing typy.std sets typy.lift_type to typy.CanonicalTy(py, ()).) For example, the component member y in Listing 12 refers to x, so it has type py.

In addition to standard operations, py supports pattern matching on the Python dynamic type tag or class, as shown in Listing 12. This is consistent with a view of Python as a uni-typed language with a rich dynamic tag structure [34, 63].

Most fragments in typy.std also support a coercion back to type py by accessing the "attribute" .to_py. For example, if

x: std.tpl[py, py] (a pair of py values), then x.to_py is of type py. All arguments to Python functions of type py must be of type py (we are exploring coercions between std.fn and std.py, but have not implemented this as of this writing.)

The recommended way to interact with typy code from a Python script is simply to define a typy component. This is straightforward because typy is itself a library – it does not require that an entire project be compiled using a different toolchain. However, for convenience, Python code can directly access values of type py that appear in a typy component. Internally, the fragment system asks fragments that wish to expose themselves to untyped code to indicate this by inheriting from typy.PythonInterop. They must also implement a coercion method coerce_python that is called to transform the value upon first access. For py, this is simply the identity function. We have also experimented with allowing calls to std. fn values that take and return py values – here, coerce_python wraps the function with a check to ensure that the correct number of arguments have been provided.

C. OCaml Example

Listing 13 An OCaml module analogous to the component defined in Listing 7.

```
1 module Listing7 =
2 struct
3
    type 'a tree =
4
    | Empty
      Node of 'a tree * 'a tree
5
    | Leaf of 'a
6
    let map (f : 'a -> b,
8
9
              tree : 'a tree) : 'b tree
10
      match tree with
11
      | Empty -> Empty
12
       | Node(left, right) ->
13
           Node(map(f, left), map(f, right))
14
       | Leaf(x) ->
15
           Leaf(f(x))
16 end
```

typy's fragment system, together with its primitive support for recursive and polymorphic types, is powerful enough to capture standard idioms in languages like OCaml at very similar syntactic cost – compare Listing 13 to Listing 7.

D. Match Expressions

Listing 14 Expression-level pattern matching.

```
1 [scrutinee].match[
2 pat<sub>1</sub>: branch<sub>n</sub>,
3 # ...
4 pat<sub>n</sub>: branch<sub>n</sub>]
```

Python's syntax distinguishes statements from expressions. In Sec. 2.4, we described a statement-level match expression. It is also sometimes useful to pattern match inside an expression, so typy supports an expression-level match expression, shown in Listing 14, that operates analogously to the statement-level match expression.