Statically Typed String Sanitation Inside a Python (Technical Report)

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Abstract

This report contains supporting evidence for claims put forth and explained in the paper "Statically Typed String Sanitation Inside a Python" [1], including proofs of lemmas and theorems asserted in the paper, examples, additional discussion of the paper's technical content, and errata.

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1 Terminology and Notation

Theorems and lemmas appearing in [1] are numbered correspondingly, while supporting facts appearing only in the Technical Report are lettered. Throughout this technical report, we use a small step semantics corresponding to the big step semantics given in [1].

2 Regular Expressions

The syntax of regular expressions over some alphabet Σ is shown in Figure 1.

Assumption A (Regular Expression Congruences). We assume regular expressions are implicitly identified up to the following congruences:

$$\epsilon \cdot r \equiv r$$

$$r \cdot \epsilon \equiv r$$

$$(r_1 \cdot r_2) \cdot r_3 \equiv r_1 \cdot (r_2 \cdot r_3)$$

$$r_1 + r_2 \equiv r_2 + r_1$$

$$(r_1 + r_2) + r_3 \equiv r_1 + (r_2 + r_3)$$

$$\epsilon^* \equiv \epsilon$$

Assumption B (Properties of Regular Languages). We assume the following properties:

- 1. If $s_1 \in \mathcal{L}\{r_1\}$ and $s_2 \in \mathcal{L}\{r_2\}$ then $s_1s_2 \in \mathcal{L}\{r_1 \cdot r_2\}$.
- 2. For all strings s and regular expressions r, either $s \in \mathcal{L}\{r\}$ or $s \notin \mathcal{L}\{r\}$.
- 3. Regular languages are closed under reversal.

3 λ_{RS}

The syntax of λ_{RS} is specified in Figure 2.

3.1 Static Semantics

The static semantics of λ_{RS} is specified in Figure 4. The typing context obeys the standard structural properties of weakening, exchange and contraction.

3.1.1 Case Analysis

The following correctness conditions must hold for any definition of lhead(r) and ltail(r).

Condition C (Correctness of Head). *If* $c_1s' \in \mathcal{L}\{r\}$, *then* $c_1 \in \mathcal{L}\{\text{lhead}(r)\}$.

Condition D (Correctness of Tail). *If* $c_1s' \in \mathcal{L}\{r\}$ *then* $s' \in \mathcal{L}\{\text{Itail}(r)\}$.

For example, we conjecture (but do not here prove) that the definitions below satisfy these conditions. Note that these are slightly amended relative to the published paper.

Definition 1 (Definition of lhead(r)). We first define an auxiliary relation that determines the set of characters that the head might be, tracking the remainder of any sequences that appear:

$$\begin{aligned} \mathsf{Ihead}(\epsilon,\epsilon) &= \emptyset \\ \mathsf{Ihead}(\epsilon,r') &= \mathsf{Ihead}(r',\epsilon) \\ \mathsf{Ihead}(a,r') &= \{a\} \\ \mathsf{Ihead}(r_1 \cdot r_2,r') &= \mathsf{Ihead}(r_1,r_2 \cdot r') \\ \mathsf{Ihead}(r_1+r_2,r') &= \mathsf{Ihead}(r_1,r') \cup \mathsf{Ihead}(r_2,r') \\ \mathsf{Ihead}(r^*,r') &= \mathsf{Ihead}(r,\epsilon) \cup \mathsf{Ihead}(r',\epsilon) \end{aligned}$$

We define $lhead(r) = a_1 + a_2 + ... + a_i$ iff $lhead(r, \epsilon) = \{a_1, a_2, ..., a_i\}$.

Definition 2 (Brzozowski's Derivative). The *derivative of* r *with respect to* s is denoted by $\delta_s(r)$ and is $\delta_s(r) = \{t | st \in \mathcal{L}\{r\}\}.$

Definition 3 (Definition of Itail(r)). If Ihead $(r, \epsilon) = \{a_1, a_2, ..., a_i\}$, then we define Itail $(r) = \delta_{a_1}(r) + \delta_{a_2}(r) + ... + \delta_{a_i}(r)$.

3.1.2 Replacement

The following correctness condition must hold for any definition of lreplace (r, r_1, r_2) .

Condition E (Replacement Correctness). *If* $s_1 \in \mathcal{L}\{r_1\}$ *and* $s_2 \in \mathcal{L}\{r_2\}$ *then*

$$replace(r; s_1; s_2) \in \mathcal{L}\{lreplace(r, r_1, r_2)\}$$

We do not give a particular definition for $lreplace(r, r_1, r_2)$ here.

3.2 Dynamic Semantics

Figure 5 specifies a small-step operational semantics for λ_{RS} .

3.2.1 Canonical Forms

Lemma F (Canonical Forms). *If* $\emptyset \vdash v : \sigma$ *then:*

- 1. If $\sigma = \text{stringin}[r]$ then v = rstr[s] and $s \in \mathcal{L}\{r\}$.
- 2. If $\sigma = \sigma_1 \rightarrow \sigma_2$ then $v = \lambda x.e'$.

Proof. By inspection of the static and dynamic semantics.

3.2.2 Type Safety

Lemma G (Progress). *If* $\emptyset \vdash e : \sigma$ *either* e = v *or* $e \mapsto e'$.

Proof. The proof proceeds by rule induction on the derivation of $\emptyset \vdash e : \sigma$.

 λ fragment. Cases SS-T-Var, SS-T-Abs, and SS-T-App are exactly as in a proof of progress for the simply typed lambda calculus.

S-T-Stringin-I. Suppose $\emptyset \vdash \mathsf{rstr}[s]$: $\mathsf{stringin}[s]$. Then $e = \mathsf{rstr}[s]$.

S-T-Concat. Suppose $\emptyset \vdash \mathsf{rconcat}(e_1; e_2) : \mathsf{stringin}[r_1 \cdot r_2]$ and $\emptyset \vdash e_1 : \mathsf{stringin}[r_1]$ and $\emptyset \vdash e_2 : \mathsf{stringin}[r_2]$. By induction, $e_1 \mapsto e_1'$ or $e_1 = v_1$ and similarly, $e_2 \mapsto e_2'$ or $e_2 = v_2$. If e_1 steps, then SS-E-Concat-Left applies and so $\mathsf{rconcat}(e_1; e_2) \mapsto \mathsf{rconcat}(e_1'; e_2)$. Similarly, if e_2 steps then e steps by SS-E-Concat-Right.

In the remaining case, $e_1 = v_1$ and $e_2 = v_2$. But then it follows by Canonical Forms that $e_1 = \mathsf{rstr}[s_1]$ and $e_2 = \mathsf{rstr}[s_2]$. Finally, by SS-E-Concat, $\mathsf{rconcat}(\mathsf{rstr}[s_1]; \mathsf{rstr}[s_2]) \mapsto \mathsf{rstr}[s_1s_2]$.

S-T-Case. Suppose $e = \mathsf{rstrcase}(e_1; e_2; x, y.e_3)$ and $\emptyset \vdash e_1 : \mathsf{stringin}[r]$. By induction and Canonical Forms it follows that $e_1 \mapsto e_1'$ or $e_1 = \mathsf{rstr}[s]$. In the former case, e steps by S-E-Case-Left. In the latter case, note that $s = \epsilon$ or s = at for some string t. If $s = \epsilon$ then e steps by S-E-Case- ϵ -Val, and if s = at the e steps by S-E-Case-Concat.

S-T-Replace. Suppose $e = \text{rreplace}[r](e_1; e_2), \emptyset \vdash e : \text{stringin}[\text{Ireplace}(r, r_1, r_2)]$ and:

$$\emptyset \vdash e_1 : \mathsf{stringin}[r_1]$$

$$\emptyset \vdash e_2 : \mathsf{stringin}[r_2]$$

By induction on (1), $e_1 \mapsto e_1'$ or $e_1 = v_1$ for some e_1' . If $e_1 \mapsto e_1'$ then e steps by SS-E-Replace-Left. Similarly, if e_2 steps then e steps by SS-E-Replace-Right. The only remaining case is where $e_1 = v_1$ and also $e_2 = v_2$. By Canonical Forms, $e_1 = \text{rstr}[s_1]$ and $e_2 = \text{rstr}[s_2]$. Therefore, $e \mapsto \text{rstr}[\text{replace}(r; s_1; s_2)]$ by SS-E-Replace.

S-T-SafeCoerce. Suppose that $\emptyset \vdash \mathsf{rcoerce}[r](e_1) : \mathsf{stringin}[r]$. and $\emptyset \vdash e_1 : \mathsf{stringin}[r']$ for $\mathcal{L}\{r'\} \subseteq \mathcal{L}\{r\}$. By induction, $e_1 = v_1$ or $e_1 \mapsto e'_1$ for some e'_1 . If $e_1 \mapsto e'_1$ then e steps by SS-E-SafeCoerce-Step. Otherwise, $e_1 = v$ and by Canonical Forms $e_1 = \mathsf{rstr}[s]$. In this case, $e = \mathsf{rcoerce}[r](\mathsf{rstr}[s]) \mapsto \mathsf{rstr}[s]$ by SS-E-SafeCoerce.

S-T-Check Suppose that $\emptyset \vdash \mathsf{rcheck}[r](e_0; x.e_1; e_2)$: $\mathsf{stringin}[r]$ and:

$$\emptyset \vdash e_0 : \mathsf{stringin}[r_0]$$

(4)
$$\emptyset, x : \text{stringin}[r] \vdash e_1 : \sigma$$

$$\emptyset \vdash e_2 : \sigma$$

By induction, $e_0 \mapsto e_0'$ or $e_0 = v$. In the former case e steps by SS-E-Check-StepLeft. Otherwise, $e_0 = \mathsf{rstr}[s]$ by Canonical Forms. By Lemma B part 2, either $s \in \mathcal{L}\{r_0\}$ or $s \notin \mathcal{L}\{r_0\}$. In the former case e takes a step by SS-E-Check-Ok. In the latter case e takes a step by SS-E-Check-NotOk.

Assumption H (Substitution). If $\Psi, x : \sigma' \vdash e : \sigma$ and $\Psi \vdash e' : \sigma'$, then $\Psi \vdash [e'/x]e : \sigma$.

Lemma I (Preservation for Small Step Semantics). If $\emptyset \vdash e : \sigma$ and $e \mapsto e'$ then $\emptyset \vdash e' : \sigma$.

Proof. By induction on the derivation of $e \mapsto e'$ and $\emptyset \vdash e : \sigma$.

 λ fragment. Cases SS-E-AppLeft, SS-E-AppRight, and SS-E-AppAbs are exactly as in a proof of type safety for the simply typed lambda calculus.

- **S-E-Concat-Left.** Suppose $e = \mathsf{rconcat}(e_1; e_2) \mapsto \mathsf{rconcat}(e'_1; e_2)$ and $e_1 \mapsto e'_1$. The only rule that applies is S-T-Concat, so $\emptyset \vdash e_1$: stringin $[r_1]$ and $\emptyset \vdash e_2$: stringin $[r_2]$. By induction, $\emptyset \vdash e'_1$: stringin $[r_1]$. Therefore, by S-T-Concat, $\emptyset \vdash \mathsf{rconcat}(e'_1; e_2)$: stringin $[r_1r_2]$.
- **S-E-Concat-Right**. Suppose $e = \mathsf{rconcat}(e_1; e_2) \mapsto \mathsf{rconcat}(e_1; e_2')$ and $e_2 \mapsto e_2'$. The only rule that applies is S-T-Concat, so $\emptyset \vdash e_1$: $\mathsf{stringin}[r_1]$ and $\emptyset \vdash e_2$: $\mathsf{stringin}[r_2]$. By induction, $\emptyset \vdash e_2'$: $\mathsf{stringin}[r_2]$. Therefore, by S-T-Concat, $\emptyset \vdash \mathsf{rconcat}(e_1; e_2')$: $\mathsf{stringin}[r_1r_2]$.
- **S-E-Concat**. Suppose $\operatorname{rconcat}(\operatorname{rstr}[s_1];\operatorname{rstr}[s_2])\mapsto \operatorname{rstr}[s_1s_2]$. The only applicable rule is S-T-Concat, so $\emptyset \vdash \operatorname{rstr}[s_1]:\operatorname{stringin}[r_1]$ and $\emptyset \vdash \operatorname{rstr}[s_2]:\operatorname{stringin}[r_2]$ and $\emptyset \vdash \operatorname{rconcat}(\operatorname{rstr}[s_1];\operatorname{rstr}[s_2]):\operatorname{stringin}[r_1 \cdot r_2]$. By Canonical Forms, $s_1 \in \mathcal{L}\{r_1\}$ and $s_2 \in \mathcal{L}\{r_2\}$ from which it follows by Lemma B that $s_1s_2 \in \mathcal{L}\{r_1 \cdot r_2\}$. Therefore, $\emptyset \vdash \operatorname{rstr}[s_1s_2]:\operatorname{stringin}[r_1 \cdot r_2]$ by S-T-Rstr.
- **S-E-Case-Left**. Suppose $e \mapsto \mathsf{rstrcase}(e_1'; e_2; x, y.e_3)$ and $\emptyset \vdash e : \sigma$ and $e_1 \mapsto e_1'$. The only rule that applies is S-T-Case, so:

$$\emptyset \vdash e_1 : \mathsf{stringin}[r]$$

$$\emptyset \vdash e_2 : \sigma$$

(8)
$$\emptyset, x : \text{stringin}[\text{lhead}(r)], y : \text{stringin}[\text{ltail}(r)] \vdash e_3 : \sigma$$

By (6) and the assumption that $e_1 \mapsto e_1'$, it follows by induction that $\emptyset \vdash e_1'$: stringin[r]. This fact together with (7) and (8) implies by S-T-Case that $\emptyset \vdash \mathsf{rstrcase}(e_1'; e_2; x, y.e_3) : \sigma$.

- **S-E-Case-** ϵ **-Val**. Suppose $\operatorname{rstrcase}(e_0; e_2; x, y.e_3) \mapsto e_2$. The only rule that applies is S-T-Case, so $\emptyset \vdash e_2 : \sigma$.
- **S-E-Case-Concat**. Suppose that $e = \mathsf{rstrcase}(\mathsf{rstr}[as]; e_2; x, y.e_3) \mapsto [\mathsf{rstr}[a], \mathsf{rstr}[s]/x, y]e_3$ and that $\emptyset \vdash e : \sigma$. The only rule that applies is S-T-Case so:

$$\emptyset \vdash \mathsf{rstr}[as] : \mathsf{stringin}[r]$$

$$\emptyset \vdash e_2 : \sigma$$

(11)
$$\emptyset, x : \text{stringin}[\text{lhead}(r)], y : \text{stringin}[\text{ltail}(r)] \vdash e_3 : \sigma$$

We know that $as \in \mathcal{L}\{r\}$ by Canonical Forms on (9) Therefore, $a \in \mathcal{L}\{\mathsf{lhead}(r)\}$ by Condition C and $s \in \mathcal{L}\{\mathsf{ltail}(r)\}$ by Condition D.

From these facts about a and s we know by S-T-Rstr that $\emptyset \vdash \mathsf{rstr}[a] : \mathsf{stringin}[\mathsf{lhead}(r)]$ and $\emptyset \vdash \mathsf{rstr}[s] : \mathsf{stringin}[\mathsf{ltail}(r)]$. It follows by Assumption H that $\emptyset \vdash [\mathsf{rstr}[a], \mathsf{rstr}[s]/x, y]e_3 : \sigma$.

Case S-E-Replace-Left. Suppose that $e = \text{rreplace}[r](e_1; e_2) \mapsto \text{rreplace}[r](e_1'; e_2)$ when $e_1 \mapsto e_1'$. The only rule that applies is S-T-Replace, so $\emptyset \vdash e : \text{stringin}[\text{Ireplace}(r, r_1, r_2)]$ where:

$$\emptyset \vdash e_1 : \mathsf{stringin}[r_1]$$

 $\emptyset \vdash e_2 : \mathsf{stringin}[r_2]$

By induction, $\emptyset \vdash e_1'$: stringin[r_1]. Therefore, $\emptyset \vdash \mathsf{rreplace}[r](e_1'; e_2)$: stringin[$\mathsf{lreplace}(r, r_1, r_2)$] by S-T-Replace.

Case S-E-Replace-Right. Suppose that $e = \text{rreplace}[r](e_1; e_2) \mapsto \text{rreplace}[r](e'_1; e_2)$ when $e_1 \mapsto e'_1$. The only rule that applies is S-T-Replace, so $\emptyset \vdash e$: stringin[Ireplace (r, r_1, r_2)] where:

$$\emptyset \vdash e_1 : \mathsf{stringin}[r_1]$$

 $\emptyset \vdash e_2 : \mathsf{stringin}[r_2]$

By induction, $\emptyset \vdash e_1'$: stringin $[r_1]$. Therefore, $\emptyset \vdash \mathsf{rreplace}[r](r_1'; r_2)$: stringin $[\mathsf{lreplace}(r, r_1, r_2)]$ by S-T-Replace.

Case S-E-Replace.

Suppose $e = \text{rreplace}[r](\text{rstr}[s_1]; \text{rstr}[s_2]) \mapsto \text{rstr}[\text{replace}(r; s_1; s_2)]$. The only applicable rule is S-T-Replace, so

$$\emptyset \vdash \mathsf{rstr}[s_1] : \mathsf{stringin}[r_1]$$

 $\emptyset \vdash \mathsf{rstr}[s_2] : \mathsf{stringin}[r_2]$

By conanical forms, $s_1 \in \mathcal{L}\{r_1\}$ and $s_2 \in \mathcal{L}\{r_2\}$. Therefore,

$$\mathsf{replace}(r; s_1; s_2) \in \mathcal{L}\{\mathsf{lreplace}(r, r_1, r_2)\}$$

by Condition E. It is finally derivable by S-T-Rstr that:

$$\emptyset \vdash \mathsf{rstr}[\mathsf{replace}(r; s_1; s_2)] : \mathsf{stringin}[\mathsf{lreplace}(r, r_1, r_2)].$$

Case S-E-SafeCoerce. Suppose that $\operatorname{rcoerce}[r](\operatorname{rstr}[s_1]) \mapsto \operatorname{rstr}[s_1]$. The only applicable rule is S-T-SafeCoerce, so $\emptyset \vdash \operatorname{rcoerce}[r](s_1) : \operatorname{stringin}[r]$ and $\emptyset \vdash \operatorname{rstr}[s_1] : \operatorname{stringin}[r']$ and $\mathcal{L}\{r'\} \subset \mathcal{L}\{r\}$. By Canonical Forms, $s' \in \mathcal{L}\{r'\}$. By the definition of subset, $s' \in \mathcal{L}\{r\}$. Therefore, by S-T-Rstr, we have that $\emptyset \vdash \operatorname{rstr}[s'] : \operatorname{stringin}[r]$.

Case S-E-Check-Ok. Suppose $\operatorname{rcheck}[r](\operatorname{rstr}[s]; x.e_1; e_2) \mapsto [\operatorname{rstr}[s]/x]e_1$ and $s \in \mathcal{L}\{r\}$, and $\emptyset \vdash \operatorname{rcheck}[r](\operatorname{rstr}[s]; x.e_1; e_2) : \sigma$. The only rule that applies is S-T-Check, so \emptyset , x: $\operatorname{stringin}[r] \vdash e_1 : \sigma$. By S-T-Rstr, we have that $\emptyset \vdash \operatorname{rstr}[s] : \operatorname{stringin}[r]$. By Substitution, we have that $\emptyset \vdash [\operatorname{rstr}[s]/x]e_1 : \sigma$.

Case S-E-Check-NotOk. Suppose $\mathsf{rcheck}[r](\mathsf{rstr}[s]; x.e_1; e_2) \mapsto e_2$ and $s \notin \mathcal{L}\{r\}$ and $\emptyset \vdash \mathsf{rcheck}[r](\mathsf{rstr}[s]; x.e_1; e_2) : \sigma$. The only applicable rule is S-T-Check, so $\emptyset \vdash e_2 : \sigma$.

Theorem J (Type Safety for small step semantics.). *If* $\emptyset \vdash e : \sigma$ *then either* e val or $e \mapsto^* e'$ *and* $\emptyset \vdash e' : \sigma$. *Proof.* Follows from applying progress and preservation transitively over the multistep judgement.

3.2.3 The Security Theorem

Theorem 4 (Correctness of Input Sanitation for λ_{RS}). If $\emptyset \vdash e$: stringin[r] and $e \mapsto^* rstr[s]$ then $s \in \mathcal{L}\{r\}$.

Proof. By type safety, $\emptyset \vdash rstr[s]$: stringin[r]. By canonical forms, $s \in \mathcal{L}\{r\}$.

4 λ_P

We will define a translation to a language with only standard strings and regular expressions. The syntax of λ_P is shown in Figure 3.

4.1 Static Semantics

The static semantics of λ_P is shown in Figure 6. The typing context of λ_P obeys the standard structural properties of weakening, exchange and contraction.

4.2 Dynamic Semantics

The dynamic semantics of λ_P is shown in Figure 7.

4.2.1 Canonical Forms

Lemma 5 (Canonical Forms). *If* $\emptyset \vdash \dot{v} : \tau$ *then:*

- If $\tau = \tau_1 \rightarrow \tau_2$ then $\dot{v} = \lambda x : \tau . \iota$.
- If $\tau = \operatorname{regex} then \dot{v} = \operatorname{rx}[r]$.
- If $\tau = \text{string } then = \text{str}[s]$.

Proof. By inspection of the static and dynamic semantics.

4.2.2 Type Safety

Theorem 6 (Progress). *If* $\emptyset \vdash \iota : \tau$ *either* $\iota = \dot{v}$ *or* $\iota \mapsto \iota'$.

Proof. The proof proceeds by induction on the typing assumption.

 λ **fragment**. Cases P-T-Var, P-T-Abs, and P-T-App are exactly as in a proof of progress for the simply typed lambda calculus.

P-T-String. In this case, $\iota = \mathsf{str}[s]$, which is a value.

P-T-Regex. In this case, $\iota = r \times [r]$, which is a value.

P-T-Concat. In this case, we have that $\emptyset \vdash \mathsf{concat}(\iota_1; \iota_2)$: string and $\emptyset \vdash \iota_1$: string and $\emptyset \vdash \iota_2$: string. By the IH, we have that either $\iota_1 \leadsto \iota_1'$ or $\iota_1 = \dot{v}_1$, and similarly $\iota_2 \leadsto \iota_2'$ or $\iota_2 = \dot{v}_2$. If ι_1 steps, then we can make progress via PS-E-ConcatLeft. If ι_2 steps, then we can make progress via PS-E-ConcatRight. If both are values, then by canonical forms $\iota_1 = \mathsf{str}[s_1]$ and $\iota_2 = \mathsf{str}[s_2]$ so we can make progress by PS-E-Concat.

P-T-Case. Suppose $\emptyset \vdash \text{strcase}(\iota_1; \iota_2; x, y.\iota_3) : \tau$ and $\emptyset \vdash \iota_1 : \text{string. By induction and canonical forms, either <math>\iota_1 \mapsto \iota_1'$ or $\iota_1 = \text{str}[s_1]$. If ι_1 steps then we can make progress by PS-E-CaseLeft. If it is a value, then by the definition of strings, either $s_1 = \epsilon$ or $s_1 = as$ for some string s. If s_1 is empty, then we can make progress by PS-E-Case-Epsilon. Otherwise, we can make progress by PS-E-Case-Cons.

P-T-Replace. Suppose $\emptyset \vdash \text{replace}(\iota_1; \iota_2; \iota_3)$: string and $\emptyset \vdash \iota_1$: regex and $\emptyset \vdash \iota_2$: string and $\emptyset \vdash \iota_3$: string. By induction and canonical forms, either $\iota_1 \mapsto \iota_1'$ or $\iota_1 = \text{rx}[r]$. Similarly, $\iota_2 \mapsto \iota_2'$ or $\iota_2 = \text{str}[s_2]$, and $\iota_3 \mapsto \iota_3'$ or $\iota_3 = s_3$. If ι_1 steps, then we can make progress by PS-E-ReplaceLeft. If ι_2 steps then we can make progress by PS-E-ReplaceRight. If all three are values, we can make progress by PS-E-Replace.

P-T-Check. Suppose $\emptyset \vdash \text{check}(\iota_1; \iota_2; \iota_3; \iota_4)$ and $\emptyset \vdash \iota_1 : \text{regex}$ and $\emptyset \vdash \iota_2 : \text{string}$. By induction and canonical forms, either $\iota_1 \mapsto \iota'_1$ or $\iota_1 = \text{rx}[r]$. Similarly, $\iota_2 \mapsto \iota'_2$ or $\iota_2 = \text{str}[s]$. If ι_1 steps, then we can make progress by PS-E-CheckLeft. If ι_2 steps, then we can make progress by PS-E-CheckRight. If both are values, then by Assumption B.2, either $s \in \mathcal{L}\{r\}$ or $s \notin \mathcal{L}\{r\}$. In the former case, we can make progress by PS-E-Check-NotOK. In the latter case, we can make progress by PS-E-Check-NotOK.

Assumption K (Substitution). *If* Θ , $x : \tau' \vdash \iota : \tau$ *and* $\Theta \vdash \iota' : \tau'$ *then* $\Theta \vdash [\iota'/x]\iota : \tau$.

Theorem 7 (Preservation). *If* $\emptyset \vdash \iota : \tau$ *and* $\iota \mapsto \iota'$ *then* $\emptyset \vdash \iota' : \tau$.

Proof. The proof proceeds by rule induction on $\iota \mapsto \iota'$ and $\emptyset \vdash \iota : \tau$.

 λ fragment. Cases PS-E-AppLeft, PS-E-AppRight, and PS-E-AppAbs are exactly as in a proof of type safety for the simply typed lambda calculus.

Case PS-E-ConcatLeft. Suppose concat(ι_1 ; ι_2) \mapsto concat(ι'_1 ; ι_2) and $\iota_1 \mapsto \iota'_1$. The only applicable typing rule is P-T-Concat, so $\emptyset \vdash \iota_1$: string and $\emptyset \vdash \iota_2$: string. By induction, $\emptyset \vdash \iota'_1$: string, so $\emptyset \vdash \mathsf{rconcat}(\iota'_1; \iota_2)$: string by P-T-Concat.

Case PS-E-ConcatRight. Suppose concat(str[s_1]; ι_2) \mapsto concat(str[s_1]; ι_2') and $\iota_2 \mapsto \iota_2'$. The only applicable typing rule is P-T-Concat, so $\emptyset \vdash \mathsf{str}[s_1]$: string and $\emptyset \vdash \iota_2$: string. By induction, $\emptyset \vdash \iota_2'$: string, so $\emptyset \vdash \mathsf{rconcat}(\mathsf{str}[s_1]; \iota_2')$: string by P-T-Concat.

Case PS-E-Concat. Suppose concat($\mathsf{str}[s_1]; \mathsf{str}[s_2]$) $\mapsto \mathsf{str}[s_1s_2]$. By P-T-String, $\emptyset \vdash \mathsf{str}[s_1s_2]$: string .

Case PS-E-CaseLeft. Suppose $strcase(\iota_1; \iota_2; x, y.\iota_3) \mapsto rstrcase(\iota'_1; \iota_2; x, y.\iota_3)$ and $\iota_1 \mapsto \iota'_1$. The only rule that applies is P-T-Case, so:

$$\emptyset \vdash \iota_1: \mathsf{string}$$
 $\emptyset \vdash \iota_2: au$ $\emptyset, x: \mathsf{string}, y: \mathsf{string} \vdash \iota_3: au$

By induction, $\emptyset \vdash \iota'_1$: string. By P-T-Case, $\emptyset \vdash \mathsf{strcase}(\iota'_1; \iota_2; x, y.\iota_3) : \tau$.

Case PS-E-CaseEpsilon. Suppose strcase(str[ϵ]; ι_2 ; $x, y.\iota_3$) $\mapsto \iota_2$. The only rule that applies is P-T-Case, so $\emptyset \vdash \iota_2 : \tau$.

Case PS-E-Case-Cons. Suppose $strcase(str[as]; \iota_2; x, y.\iota_3) \mapsto [str[a], str[s]/x, y]\iota_3$ The only rule that applies is P-T-Case, so:

$$\emptyset dash \iota_1: \mathsf{string}$$
 $\emptyset dash \iota_2: au$ $\emptyset, x: \mathsf{string}, y: \mathsf{string} dash \iota_3: au$

By P-T-String, we have that $\emptyset \vdash \mathsf{str}[a]$: string and $\emptyset \vdash \mathsf{str}[s]$: string. By weakening and Substitution applied twice, we have that $\emptyset \vdash [\mathsf{str}[a], \mathsf{str}[s]/x, y]\iota_3 : \tau$.

Case PS-E-ReplaceLeft. Suppose replace $(\iota_1; \iota_2; \iota_3) \mapsto \text{replace}(\iota'_1; \iota_2; \iota_3)$ and $\iota_1 \mapsto \iota'_1$. The only rule that applies is P-T-Replace, so $\tau = \text{string}$ and:

$$\emptyset \vdash \iota_1 : \mathsf{regex}$$

 $\emptyset \vdash \iota_2 : \mathsf{string}$
 $\emptyset \vdash \iota_3 : \mathsf{string}$

By induction, $\emptyset \vdash \iota'_1$: regex. Therefore, by P-T-Replace $\emptyset \vdash$ replace($\iota'_1; \iota_2; \iota_3$).

Case PS-E-ReplaceMid. Suppose replace($rx[r]; \iota_2; \iota_3$) \mapsto replace($rx[r]; \iota_2'; \iota_3$) and $\iota_2 \mapsto \iota_2'$. The only rule that applies is P-T-Replace, so $\tau =$ string and:

$$\emptyset \vdash \mathsf{rx}[r] : \mathsf{regex}$$

 $\emptyset \vdash \iota_2 : \mathsf{string}$
 $\emptyset \vdash \iota_3 : \mathsf{string}$

By induction, $\emptyset \vdash \iota_2'$: string. Therefore, by P-T-Replace $\emptyset \vdash \mathsf{replace}(\mathsf{rx}[r]; \iota_2'; \iota_3)$.

Case PS-E-ReplaceRight. Suppose replace(rx[r]; str[s]; ι_3) \mapsto replace(rx[r]; str[s]; ι_3') and $\iota_3 \mapsto \iota_3'$. The only rule that applies is P-T-Replace, so $\tau = string$ and:

$$\emptyset \vdash \mathsf{rx}[r] : \mathsf{regex}$$

 $\emptyset \vdash \mathsf{str}[s] : \mathsf{string}$
 $\emptyset \vdash \iota_3 : \mathsf{string}$

By induction, $\emptyset \vdash \iota_3'$: string. Therefore, by P-T-Replace $\emptyset \vdash \text{replace}(\mathsf{rx}[r]; \mathsf{str}[s]; \iota_3')$.

Case PS-E-Replace. Suppose replace(rx[r]; $str[s_2]$; $str[s_3]$) \mapsto $str[replace(r; s_2; s_3)]$. The only applicable rule is P-T-Replace, so $\tau = string$. By P-T-String, $\emptyset \vdash str[replace(r; s_2; s_3)]$: string.

Case PS-E-CheckLeft. Suppose check($\iota_1; \iota_2; \iota_3; \iota_4$) \mapsto check($\iota'_1; \iota_2; \iota_3; \iota_4$) and $\iota_1 \mapsto \iota'_1$. The only applicable typing rule is P-T-Check, so:

$$\emptyset \vdash \iota_1 : \mathsf{regex}$$

 $\emptyset \vdash \iota_2 : \mathsf{string}$
 $\emptyset \vdash \iota_3 : \tau$
 $\emptyset \vdash \iota_4 : \tau$

By induction, $\emptyset \vdash \iota_1'$: regex. Therefore, by P-T-Check $\emptyset \vdash \mathsf{check}(\iota_1'; \iota_2; \iota_3; \iota_4) : \tau$.

Case PS-E-CheckRight. Suppose check($rx[r]; \iota_2; \iota_3; \iota_4$) \mapsto check($rx[r]; \iota_2'; \iota_3; \iota_4$) and $\iota_2 \mapsto \iota_2'$. The only applicable typing rule is P-T-Check, so:

$$\emptyset \vdash \mathsf{rx}[r] : \mathsf{regex}$$

 $\emptyset \vdash \iota_2 : \mathsf{string}$
 $\emptyset \vdash \iota_3 : \tau$
 $\emptyset \vdash \iota_4 : \tau$

By induction, $\emptyset \vdash \iota_2'$: string. Therefore, by P-T-Check $\emptyset \vdash \mathsf{check}(\mathsf{rx}[r]; \iota_2'; \iota_3; \iota_4) : \tau$.

Case PS-E-Check-Ok. Suppose check($rx[r]; str[s]; \iota_3; \iota_4$) $\mapsto \iota_3$. The only applicable typing rule is P-T-Check, so $\emptyset \vdash \iota_3 : \tau$.

Case PS-E-Check-Ok. Suppose check($rx[r]; str[s]; \iota_3; \iota_4$) $\mapsto \iota_4$. The only applicable typing rule is P-T-Check, so $\emptyset \vdash \iota_4 : \tau$.

5 Translation from λ_{RS} to λ_{P}

Theorem 8 (Translation Correctness). *If* $\Psi \vdash e : \sigma$ *then there exists an* ι *such that* $\llbracket e \rrbracket = \iota$ *and* $\llbracket \Psi \rrbracket \vdash \iota : \llbracket \sigma \rrbracket$. *Furthermore, if* $e \mapsto^* v$ *then* $\iota \mapsto^* \dot{v}$ *such that* $\llbracket v \rrbracket = \dot{v}$.

Proof. We present a proof by induction on the structure of e. We write $e \rightsquigarrow \iota$ as shorthand for the final property.

Case $e = \mathsf{rstr}[s]$. Suppose $\Theta \vdash \mathsf{rstr}[s] : \sigma$.

By examination the syntactic structure of conclusions in the relation S-T, we know this is true just in case $\sigma = \text{stringin}[r]$ for some r such that $s \in \mathcal{L}\{r\}$; and of course, there is always such an r.

There are no free variables in $\mathsf{rstr}[s]$, so we might as well proceed from the fact that $\emptyset \vdash \mathsf{rstr}[s]$: $\mathsf{stringin}[r]$.

By definition of the translation ($[\![\cdot]\!]$) the following statements hold:

$$[rstr[s]] = str[s]$$

$$[stringin[r]] = string$$

$$\llbracket \emptyset \rrbracket = \emptyset$$

Note that $\emptyset \vdash \mathsf{str}[s]$: string by P-T-Str. Recall that contexts are standard and, in particular, can be weakened. So since $\llbracket \Theta \rrbracket$ is either a weakening of \emptyset or \emptyset itself, $\llbracket \Theta \rrbracket \vdash \mathsf{str}[s]$: string by weakening.

Summarily, str[s] is a term of λ_P such that $\llbracket \Theta \rrbracket \vdash str[s] : \llbracket \sigma \rrbracket$

It remains to be shown that there exist v, \dot{v} such that $\mathsf{rstr}[s] \mapsto^* v$, $\mathsf{str}[s] \mapsto^* \dot{v}$, and $[\![v]\!] = \dot{v}$. But this is immediate because each term is already a value and s = s.

Case $e = \operatorname{rconcat}(e_1; e_2)$. The applicable typing rule is S-T-Concat, so $\Psi \vdash \operatorname{rconcat}(e_1; e_2)$: $\operatorname{stringin}[r_1 \cdot r_2]$ where $\Psi \vdash e_1$: $\operatorname{stringin}[r_1]$ and $\Psi \vdash e_2$: $\operatorname{stringin}[r_2]$.

By induction, $e_1 \leadsto \iota_1$ and $e_2 \leadsto \iota_2$. Therefore, $\llbracket \Psi \rrbracket \vdash \mathsf{concat}(\iota_1; \iota_2)$ by P-T-Concat.

By canonical forms, $e_1 \mapsto^* \mathsf{rstr}[s_1]$ where by induction $\iota_1 \mapsto^* \mathsf{str}[s_1]$. Similarly, $e_2 \mapsto^* \mathsf{rstr}[s_2]$ and $\iota_2 \mapsto^* \mathsf{str}[s_2]$. Therefore, $e \mapsto^* \mathsf{rstr}[s_1s_2]$ by S-E-Concat at last, and $\mathsf{concat}(\iota_1; \iota_2) \mapsto^* \mathsf{str}[s_1s_2]$ by P-E-Concat at last. Note that $\lceil \mathsf{rstr}[s_1s_2] \rceil = \mathsf{str}[s_1s_2]$.

Case $e = \text{rstrcase}(e_1; e_2; x, y.e_3)$. This case relies on our definition of context translation.

Suppose $\Psi \vdash \mathsf{rstrcase}(e_1; e_2; x, y.e_3) : \sigma$. By inversion of the typing relation it follows that $\Psi \vdash e_1 : \mathsf{stringin}[r], \Psi \vdash e_2 : \sigma \text{ and } \Psi, x : \mathsf{stringin}[\mathsf{lhead}(r)], y : \mathsf{stringin}[\mathsf{ltail}(r)] \vdash e_3 : \sigma$.

This proof needs to be changed to use only the small-step semantics.

By induction, there exists an ι_1 such that $e_1 \mapsto \iota_1$.

By canonical forms, $e_1 \mapsto^* \mathsf{rstr}[s]$. Therefore, $\iota_1 \mapsto *\mathsf{str}[s]$ because $e_1 \rightsquigarrow \iota_1$.

Choose $\iota = \text{strcase}(\iota_1; \iota_2; x, y.\iota_3)$ and note that by the properties established via induction, $\llbracket e \rrbracket = \iota$ and $\llbracket \Psi \rrbracket \vdash \iota : \llbracket \sigma \rrbracket$.

To prove the evaluation correspondence, we consider two cases for the value of s.

Suppose $s = \epsilon$. Then $e \mapsto^* v$ where $e_2 \mapsto^* v$, from which it follows that $\iota \mapsto^* \dot{v}$ where $\iota_2 \mapsto^* \dot{v}$. But recall that $e_2 \rightsquigarrow v_2$ and so $\llbracket v \rrbracket = \dot{v}$.

Suppose otherwise that s=at for some character a and string t. Then $e\mapsto^* v$ where $[a,t/x,y]e_3\mapsto^* v$. Similarly, $\iota\mapsto^*\dot{v}$ where $[a,t/x,y]\iota_3\mapsto^*\dot{v}$

Case $e = \operatorname{rreplace}[r](e_1; e_2)$. There is only one applicable typing rule, so suppose $\Psi \vdash \operatorname{rreplace}[r](e_1; e_2)$: stringin[lreplace (r, e_1, e_2)]. Let $\Theta = \llbracket \Psi \rrbracket$. Note that $\llbracket \operatorname{rreplace}[r](e_1; e_2) \rrbracket = \operatorname{replace}(\operatorname{rx}[r]; \iota_1; \iota_2)$ where by induction $\llbracket e_1 \rrbracket = \iota_1$ and $\llbracket e_2 \rrbracket = \iota_2$ such that $\Theta \vdash \iota_1$ and $\Theta \vdash \iota_2$. It follows by P-T-Replace that $\Theta \vdash \operatorname{replace}(\operatorname{rx}[r]; \iota_1; \iota_2)$: string. Finally, note that $\llbracket \operatorname{stringin}[\operatorname{lreplace}(r, e_1, e_2)] \rrbracket = \operatorname{string}$.

For evaluation correspondence, note that $[\![rstr[lreplace(r,s_1,s_2)]]\!] = rstr[lreplace(r,s_1,s_2)]]$ and so it suffices to show that $[\![rstr[lreplace(r,s_1,s_2)]]\!] = rstr[lreplace(r,s_1,s_2)]$ where $e_1 \mapsto^* rstr[s_1]$, $e_2 \mapsto^* rstr[s_2]$, $r \mapsto^* r$. By induction, $\iota_1 \mapsto^* rstr[s_1]$, $\iota_2 \mapsto^* rstr[s_2]$, and $rx[r] \mapsto^* rx[r]$. So by S-E-Replace, the sufficient condition holds.

Case e = rcoerce[r](e'). The only applicable tpying rule is S-T-SafeCoerce, so suppose $\Psi \vdash \text{rcoerce}[r](e')$: stringin[r] where $\Psi \vdash e'$: stringin[r'] and $\mathcal{L}\{r'\} \subseteq \mathcal{L}\{r\}$. By induction, $e' \leadsto \iota$ for some ι . Therefore, $\lceil \text{rcoerce}[r](e') \rceil = \iota$ by Tr-SafeCoerce.

For evaluation correspondence, note that $e \mapsto^* v$ where $e' \mapsto^* v$. The result follows by induction because $e' \rightsquigarrow \iota$.

Case $e = \mathsf{rcheck}[r](e_1; x.e_2; e_3)$. The applicable typing rule is S-T-Check, so $\Psi \vdash e : \sigma$ where $\Psi \vdash e_1 : \mathsf{stringin}[r], \Psi, x : \mathsf{stringin}[r] \vdash e_2 : \sigma$, and $\Psi \vdash e_3 : \sigma$. By induction and a corresponding substitution principle there exists $\iota_1, \iota_2, \iota_3$ such that $e_1 \leadsto \iota_1, e_2 \leadsto \iota_2$ in context $\Psi, s : \mathsf{stringin}[r]$, and $e_3 \leadsto \iota_3$. Choose $\iota = \mathsf{check}(\mathsf{rx}[r]; \iota_1; \lambda x.\iota_2; \iota_3)$. The result follows by induction.

 \Box

Theorem 9 (Correctness of Input Sanitation for Translated Terms). *If* $\llbracket e \rrbracket = \iota$ *and* $\emptyset \vdash e : \mathsf{stringin}[r]$ *then* $\iota \mapsto^* \mathsf{str}[s]$ *for* $s \in \mathcal{L}\{r\}$.

Proof. By 4, $e \mapsto^* \mathrm{rstr}[s]$ where $\emptyset \vdash \mathrm{rstr}[s]$: stringin[r]. Therefore, $s \in \mathcal{L}\{r\}$. Note that $[\![\cdot]\!]$ is a function and $[\![\mathrm{rstr}[s]\!]] = \mathrm{str}[s]$; therefore, by theorem 8, $\iota \mapsto^* \mathrm{str}[s]$.

References

[1] N. Fulton, C. Omar, and J. Aldrich. Statically typed string sanitation inside a python. SPLASH '14. ACM, 2014.

$$r ::= \epsilon \mid a \mid r \cdot r \mid r + r \mid r *$$
 $a \in \Sigma$

Figure 1: Syntax of regular expressions over the alphabet Σ .

$$\begin{array}{lll} \sigma & ::= & \sigma \rightarrow \sigma \mid \mathsf{stringin}[r] & \mathsf{source types} \\ e & ::= & x \mid v & \mathsf{source terms} \\ & \mid & \mathsf{rconcat}(e;e) \mid \mathsf{rstrcase}(e;e;x,y.e) & s \in \Sigma^* \\ & \mid & \mathsf{rreplace}[r](e;e) \mid \mathsf{rcoerce}[r](e) \mid \mathsf{rcheck}[r](e;x.e;e) \\ v & ::= & \lambda x.e \mid \mathsf{rstr}[s] & \mathsf{source values} \end{array}$$

Figure 2: Syntax of λ_{RS}

$$\tau \ \ \, ::= \tau \rightarrow \tau \ | \ \, \text{string} \ | \ \, \text{regex} \qquad \qquad \text{target types} \\ \iota \ \ \, ::= x \ | \ \, \dot{v} \\ \ \, | \ \ \, \text{concat}(\iota;\iota) \ | \ \, \text{strcase}(\iota;\iota;x,y.\iota) \\ \ \, | \ \ \, \text{rx}[r] \ | \ \, \text{replace}(\iota;\iota;\iota) \ | \ \, \text{check}(\iota;\iota;\iota) \\ \ \, \dot{v} \ \ \, ::= \lambda x.\iota \ | \ \, \text{str}[s] \ | \ \, \text{rx}[r] \qquad \qquad \text{target values}$$

Figure 3: Syntax of λ_P

Figure 4: Typing rules for λ_{RS} . The typing context Ψ is standard.

Figure 5: Small step semantics for λ_{RS} .

Figure 6: Typing rules for λ_P . The typing context Θ is standard.

$$\iota \mapsto \iota$$

Figure 7: Small step semantics for λ_P

Figure 8: Translation from λ_{RS} to λ_P