

ETHICS CHECKLIST



Manchester
Metropolitan
University

This checklist must be completed **before** commencement of **any** research project. This includes projects undertaken by **staff and by students as part of a UG, PGT or PGR programme**. Please attach a Risk Assessment.

Please also refer to the [University's Academic Ethics Procedures: Standard Operating Procedures](#) and the [University's Guidelines on Good Research Practice](#)

Full name and title of applicant:		
University Telephone Number:		
University Email address:		
Status: All staff and students involved in research are strongly encouraged to complete the Research Integrity Training which is available via the Staff and Research Student Moodle areas	Undergraduate Student <input type="checkbox"/> Postgraduate Student: Taught <input type="checkbox"/> Postgraduate Student: Research <input type="checkbox"/> Staff <input type="checkbox"/>	
Department/School/Other Unit:		
Programme of study (if applicable):		
Name of DoS/Supervisor/Line manager:		
Project Title:		
Start & End date (cannot be retrospective):		
Number of participants (if applicable):		
Funding Source:		
Brief description of research project activities (300 words max):		
	YES	NO
Does the project involve NHS patients or resources? If 'yes' please note that your project may need NHS National Research Ethics Service (NRES) approval. Be aware that research carried out in a NHS trust also requires governance approval. Click here to find out if your research requires NRES approval Click here to visit the National Research Ethics Service website To find out more about Governance Approval in the NHS click here	<input type="checkbox"/>	<input type="checkbox"/>
Does the project require NRES approval?	<input type="checkbox"/>	<input type="checkbox"/>
If yes, has approval been granted by NRES? Attach copy of letter of approval. Approval cannot be granted without a copy of the letter.	<input type="checkbox"/>	<input type="checkbox"/>

NB Question 2 should only be answered if you have answered YES to Question 1. All other questions are mandatory.		YES	NO
1.	Are you are gathering data from people?		
For information on why you need informed consent from your participants please click here			
2.	If you are gathering data from people, have you:		
	a. attached a participant information sheet explaining your approach to their involvement in your research and maintaining confidentiality of their data?		
	b. attached a consent form? (not required for questionnaires)		
Click here to see an example of a participant information sheet and consent form			
3.	Are you gathering data from secondary sources such as websites, archive material, and research datasets?		
Click here to find out what ethical issues may exist with secondary data			
4.	Have you read the guidance on data protection issues?		
	a. Have you considered and addressed data protection issues – relating to storing and disposing of data?		
	b. Is this in an auditable form? (can you trace use of the data from collection to disposal)		
5.	Have you read the guidance on appropriate research and consent procedures for participants who may be perceived to be vulnerable?		
	a. Does your study involve participants who are particularly vulnerable or unable to give informed consent (e.g. children, people with learning disabilities, your own students)?		
6.	Will the study require the co-operation of a gatekeeper for initial access to the groups or individuals to be recruited (e.g. students at school, members of self-help group, nursing home residents)?		
Click for an example of a PIS and information about gatekeepers			
7.	Will the study involve the use of participants' images or sensitive data (e.g. participants personal details stored electronically, image capture techniques)?		
Click here for guidance on images and sensitive data			
8.	Will the study involve discussion of sensitive topics (e.g. sexual activity, drug use)?		
Click here for an advisory distress protocol			
9.	Could the study induce psychological stress or anxiety in participants or those associated with the research, however unlikely you think that risk is?		
Click here to read about how to deal with stress and anxiety caused by research procedures			
10.	Will blood or tissue samples be obtained from participants?		
Click here to read how the Human Tissue Act might affect your work			
11.	Is your research governed by the Ionising Radiation (Medical Exposure) Regulations (IRMER) 2000?		
Click here to learn more about IRMER			
12.	Are drugs, placebos or other substances (e.g. food substances, vitamins) to be administered to the study participants or will the study involve invasive, intrusive or potentially harmful procedures of any kind?		
Click here to read about how participants need to be warned of potential risks in this kind of research			
13.	Is pain or more than mild discomfort likely to result from the study? Please attach the pain assessment tool you will be using.		

Click here to read how participants need to be warned of pain or mild discomfort resulting from the study and <u>what do about it.</u>		
14. Will the study involve prolonged or repetitive testing or does it include a physical intervention?		
Click here to discover what constitutes a physical intervention and <u>here to read how any prolonged or repetitive testing needs to managed for participant wellbeing and safety</u>		
15. Will participants to take part in the study without their knowledge and informed consent? If yes, please include a justification.		
Click here to read about situations where research may be carried out without informed consent		
16. Will financial inducements (other than reasonable expenses and compensation for time) be offered to participants?		
Click here to read guidance on payment for participants		
17. Is there an existing relationship between the researcher(s) and the participant(s) that needs to be considered? For instance, a lecturer researching his/her students, or a manager interviewing her/his staff?		
Click here to read guidance on how existing power relationships need to be dealt with in research procedures		
18. Have you undertaken Risk Assessments for each of the procedures that you are undertaking?		
19. Is any of the research activity taking place outside of the UK?		
20. Does your research fit into any of the following security sensitive categories: <ul style="list-style-type: none"> • commissioned by the military • commissioned under an EU security call • involve the acquisition of security clearances • concerns terrorist or extreme groups If Yes, please complete a Security Sensitive Information Form		

I understand that if granted, this approval will apply to the current project protocol and timeframe stated. If there are any changes I will be required to review the ethical consideration(s) and this will include completion of a 'Request for Amendment' form.

- ☐ I have attached a Risk Assessment
☐ I have attached an Insurance Checklist

If the applicant has answered **YES** to **ANY** of the questions **5a – 17** then they must complete the [MMU Application for Ethical Approval](#).

Signature of Applicant: _____ Date: _____(DD/MM/YY)

Independent Approval for the above project is (please check the appropriate box):
Granted

☐ I confirm that there are no ethical issues requiring further consideration and the project can commence.

Not Granted

☐ I confirm that there are ethical issues requiring further consideration and will refer the project protocol to the Faculty Research Group Officer.

Signature: _____ Date: _____(DD/MM/YY)

Print Name: _____ Position: _____

**Approver: Independent Scrutiniser for UG and PG Taught/ PGRs RD1 Scrutiniser/
Faculty Head of Ethics for staff.**