

# EEMT-topo Computations

---

By Matej Durcik and Craig Rasmussen

## Data input

---

PRISM precipitation PRCP climatology (1981-2010) (spatial resolution 800 m)

Local meteorological data (Temperature, RH, Wind Speed and Pressure) downloaded for the VCNP Headquarters Climate Station from 2003 to 2012. Downloaded from <http://www.wrcc.dri.edu/vallescaldera/>.

2011 National Agriculture Imagery Program (NAIP) Orthoimagery (multispectral 4-band) for Valles Caldera. Data were collected from May 2011 to August 2011. Downloaded from <http://seamless.usgs.gov> on 7/5/2012.

Jemez River Basin 2010 LiDAR dataset (Snow-off) available from <http://criticalzone.org/catalina-jemez/data/dataset/2613/>. 1 m DEM was up-scaled to 10 m DEM.

MODIS Albedo 16-Day L3 Global 500m data (MCD43A3) obtained from ([https://lpdaac.usgs.gov/dataset\\_discovery/modis/modis\\_products\\_table/mcd43a3](https://lpdaac.usgs.gov/dataset_discovery/modis/modis_products_table/mcd43a3)).

## Computations

---

### 1 Monthly precipitation

*Daly, C., W. P. Gibson, G.H. Taylor, G. L. Johnson, P. Pasteris (2002) A knowledge-based approach to the statistical mapping of climate. Climate Research, 22, 99-113.*

PRISM Climate Group, Oregon State University, <http://www.prismclimate.org>, data accessed in August 2012.

Precipitation is in cm

$$P = \frac{Pr_{cp}}{1000} \quad (1)$$

Precipitation data were re-sampled from 800 m grid to 10 m grid using spline interpolation.

## 2 Solar Radiation

*Fu, P., Rich, P.M., 1999. Design and implementation of the Solar Analyst: an ArcView extension for modeling solar radiation at landscape scales. Proceedings of the 19th Annual ESRI User Conference, San Diego, USA. Available from <http://proceedings.esri.com/library/userconf/proc99/proceed/papers/pap867/p867.htm>.*

The radiation term was calculated using the LiDAR elevation data up-scaled to 10 m as

$$S_i = \frac{S_{topo}}{S_{flat}},$$

where  $S_{topo}$  is direct shortwave radiation of the topographic surface calculated based on area latitude and topography and  $S_{flat}$  is direct radiation for a free flat surface where constant values of zero are used for slope and aspect. Both solar radiation datasets were computed on a monthly basis using an hourly time step, a sky view of 300 pixels, 32 calculation directions, 8 zenith and azimuth divisions, and uniform clear sky conditions.

## 3 Net Radiation

Net radiation was calculated for each month [ $\text{MJ m}^{-2} \text{month}^{-1}$ ] as

$$R_n = S_{topo}(1 - a) + L_n,$$

where  $S_{topo}$  is direct shortwave radiation of the topographic surface,  $a$  is albedo over the study area extracted from the MODIS MCD43A3 data product and  $L_n$  is net longwave radiation was calculated based on air temperature following Allen et al. (1998) as

$$L_n = \alpha T_i^4 (0.34 - 0.14\sqrt{e_a}) (1.35^{R_s/R_{so}} - 0.35)$$

where  $\alpha$  is Stefan-Boltzmann constant ( $4.903 \times 10^{-9} \text{ MJ K}^{-4} \text{ m}^{-2} \text{ d}^{-1}$ ),  $T_i$  is locally modified temperature,  $e_a$  (VP) is actual vapor pressure,  $R_s$  is solar radiation and  $R_{so}$  is clear-sky solar radiation. In computation, we assumed that  $R_s = R_{so}$ .

## 4 Leaf Area Index

Leaf area index was derived using a vegetation index approach relating LAI and remotely sensed normalized difference vegetation index (NDVI). The 1-m resolution NAIP 4-band imagery dataset (red, blue, green, and near infrared spectra) was used as the base data for calculating LAI. NDVI was calculated from the NAIP near infrared (NIR) and red bands (Huete et al., 1994):

$$NDVI = \frac{(NIR - Red)}{(NIR + Red)}.$$

The polynomial function of Qi et al. (2000) derived for semiarid regions in southern Arizona was used to calculate LAI as:

$$LAI = ax^3 + bx^2 + cx + d,$$

where  $x$  is NDVI and  $a$ ,  $b$ ,  $c$ , and  $d$  are equal to 18.99, -15.24, 6.124, and -0.352, respectively. Computed 1 m LAI data were then resampled to the 10 m resolution of the DEM.

## 5 Locally Modified Temperature

Following Moore et al. (1993) mean monthly air temperature at each pixel ( $T_i$ ) is calculated using the local lapse rate, topographically modified solar radiation, and leaf area index:

$$T_i = T_b - T_{lapse} \left[ \frac{(z_i - z_b)}{1000} \right] + C \left( S_i - \frac{1}{S_i} \right) \left( 1 - \frac{LAI_i}{LAI_{max}} \right), \quad (^\circ\text{C}) \quad (1)$$

where  $T_b$  is temperature ( $^\circ\text{C}$ ) at a base station - VCNP Headquarters Climate Station with the elevation of 2648.4 m,  $T_{lapse}$  is the local lapse rate ( $6.49^\circ\text{C } 1000 \text{ m}^{-1}$ ),  $z_i$  and  $z_b$  are the elevation (m) of the pixel and base station, respectively,  $C$  is a constant equal to 1,  $S_i$  is the ratio between direct shortwave radiation on the actual surface and direct shortwave radiation on a horizontal surface,  $LAI_i$  is pixel leaf area index and  $LAI_{max}$  is the maximum value for LAI equal to 10.

## 6 Vapor Pressure Deficit

Local monthly saturated vapor pressure (Pa) is computed as

$$VP_S = 611.2 e^{\frac{17.67 T_i}{T_i + 243.5}}$$

actual local vapor pressure (Pa) is computed as

$$VP_a = RH VP_S / 100,$$

and local monthly vapor pressure deficit (Pa) is computed as

$$VP_d = VP_S - VP_a = (100 - RH) VP_S / 100,$$

where relative humidity  $RH$  is measured by the reference station and  $T_i$  is local temperature.

*Relative Humidity and Dewpoint Temperature from Temperature and Wet-Bulb Temperature.*  
Access from the NOAA website at

<http://www.srh.noaa.gov/images/epz/wxcalc/rhTdFromWetBulb.pdf>.

Buck, A.L. (1981) New equations for computing vapor pressure and enhancement factor. *Journal of Applied Meteorology*, 20, 1527 – 1532.

## 7 Topographic Wetness Index

Topographic wetness index  $TWI_i$  (Beven and Kirkby, 1979) is computed for each pixel as

$$TWI_i = \ln\left(\frac{a_i}{\tan\beta_i}\right),$$

where  $a_i$  is the upslope contributing area in square meters and  $\beta_i$  is the local slope. The contributing area was calculated using the D-Infinity multiple flow direction approach as described by Tarboton (1997) using a 1 m LiDAR dataset (Guo et al., 2010a) up-scaled to 10 m. Normalized wetness index  $\alpha_i$  is computed as

$$\alpha_i = \frac{TWI_i}{\frac{1}{N}\sum TWI_i},$$

where  $N$  is number of pixels in catchment or study area. The normalization ensures conservation of mass of the effective precipitation term for a given catchment or area.

## 8 Evapotranspiration

Potential evapotranspiration was computed using the Penman-Montieth equation (Shuttleworth, 1993) and simplified for calculating potential evapotranspiration from a pan surface such that the surface resistance term ( $r_s$ ) in the denominator is assumed equal to zero

$$PET_{pm} = \frac{\Delta(R_n - G) + \rho_a c_p \frac{VP_d}{r_a}}{\lambda(\Delta + \gamma)},$$

The first term in the numerator is the radiation balance with net radiation  $R_n$  and ground heat flux  $G$ . The second term in the numerator is the ventilation term that includes vapor pressure deficit  $VP_d$  and aerodynamic resistance  $r_a$  computed as (Shuttleworth, 1993)

$$r_a = \frac{4.72 \left( \ln\left(\frac{z_m}{z_o}\right) \right)^2}{1 + 0.536 U_z},$$

where  $z_m$  is the height of meteorological measurements at 2 m,  $z_o$  is the aerodynamic roughness of an open water surface set equal to 0.00137 m following Thom and Oliver (1977), and  $U_z$  is wind speed. The remaining terms include the slope of the saturated vapor pressure-temperature relationship  $\Delta$  calculated using mean air temperature as

$$\Delta = 0.04145e^{0.06088 T};$$

the psychrometric constant  $\gamma$  determined as

$$\gamma = c_p P / \varepsilon \lambda ,$$

where  $c_p$  is specific heat of moist air at constant pressure  $1.013 \cdot 10^{-3} \text{ MJ kg}^{-1} \text{ }^\circ\text{C}^{-1}$ ,  $\varepsilon$  is the ratio of molar mass of water to that of dry air,  $P$  is atmospheric pressure computed from measured values at the base station using elevation  $z$  locally estimated lapse rate  $\eta$  determined as

$$P = 101.3 \left( \frac{293 - \eta z}{293} \right)^{5.26} ;$$

mean air density  $\rho_a$ , and  $\lambda$  the latent heat of evaporation of water.

Actual evapotranspiration  $AET$  was estimated using a Budyko curve (Budyko, 1974) describing the partitioning of potential and actual evapotranspiration relative to the aridity index (ratio of annual PET to annual rainfall). Potential evapotranspiration  $PET_{pm}$  and precipitation  $PPT$  were converted to monthly values of  $AET$  using a Zhang–Budyko curve as (Zhang et al., 2001)

$$AET = PPT \left\{ 1 + \frac{PET_{pm}}{PPT} - \left[ 1 + \left( \frac{PET_{pm}}{PPT} \right)^w \right]^{-1/w} \right\}$$

where  $w$  is an empirical constant, here set equal to 2.63.

## 9 Local Water Balance (Water Redistribution)

The pixel wetting was approximated using a local water balance as (L’Vovich, 1979):

$$W = PPT - SR = AET + F,$$

where  $W$  is subsurface pixel wetting,  $PPT$  precipitation,  $AET$  actual evapotranspiration,  $F$  water partitioned to baseflow, and  $SR$  surface runoff. The  $F$  term quantifies subsurface wetting, a key parameter for calculating  $EEMT$ , and represents the fraction of water with ability to perform work on the subsurface. The subsurface wetting can be computed as

$$F = P_{eff} - SR$$

where  $P_{eff}$  is effective precipitation equivalent to  $P_{eff} = PPT - AET$ . Using normalized TWI, the value of subsurface wetting was estimated as

$$F = \alpha P_{eff} .$$

## 10 Effective energy and mass transfer (EEMT)

Monthly EEMT topo in MJ.m<sup>-2</sup> is defined as (Rasmussen et al., 2011)

$$EEMT = E_{ePPT} + E_{BIO} .$$

The monthly heat and mass transfer associated with effective precipitation is computed as

$$E_{ePPT} = F c_w \Delta T$$

where  $F$  is subsurface wetting,  $c_w$  is the specific heat of water and  $\Delta T = T_{local} - T_{ref}$  with  $T_{ref}$  set to 273.15 K.

The net primary productivity energy and mass transfer is computed as

$$E_{BIO} = NPP h_{BIO} ,$$

where  $NPP$  is the mass flux of C as net primary production and  $h_{BIO}$  is the specific biomass enthalpy fixed at a value of  $22 \times 10^6$  J kg<sup>-1</sup>.  $NPP$  is computed as (Whittaker and Niering, 1975)

$$NPP = 0.39z + 346n - 187,$$

where  $z$  is elevation and  $n$  is northness, a unitless parameter computed as the product of the cosine of aspect and the sine of slope.

Yearly EEMT in MJ.m<sup>-2</sup>

$$EEMT_{topo} = \sum_{i=1}^{12} EEMT m_i$$

For more details about theory and computation, see (Rasmussen et al., 2015):

*Rasmussen C., Pelletier J.D., Troch P.A., Swetnam T.L., and Chorover J. (2015): Quantifying Topographic and Vegetation Effects on the Transfer of Energy and Mass to the Critical Zone. Vadose Zone Journal 14 (11). DOI: 10.2136/vzj2014.07.0102*

## 11 References

- Allen, R.G., Pereira, L.S., Raes, D., Smith, M. (1998) Crop evapotranspiration —guidelines for computing crop water requirements. FAO Irrigation and drainage paper 56. Food and Agriculture Organization, Rome ([https://appgeodb.nancy.inra.fr/biljou/pdf/Allen\\_FAO1998.pdf](https://appgeodb.nancy.inra.fr/biljou/pdf/Allen_FAO1998.pdf)).
- Budyko, M.I. (1974) Climate and life. Academic Press, San Diego.
- K.J. Beven, M.J. Kirkby (1979) A physically based, variable contributing area model of basin hydrology. *Hydrol. Sci. Bull.*, 24(1), pp. 43–69, doi:10.1080/02626667909491834.
- Huete, A., C. Justice, and H. Liu (1994) Development of vegetation and soil indexes for MODIS-EOS. *Remote Sens. Environ.* 49:224–234. doi:10.1016/0034-4257(94)90018-3.
- L’vovich, M.I. (1979) World water resources and their future. Engl. transl. Am. Geophys. Union, Washington, DC.
- Moore, I.D., P.E. Gessler, G.A. Nielsen, and G.A. Peterson (1993) Soil attribute prediction using terrain analysis. *Soil Sci. Soc. Am. J.* 57:443–452. doi:10.2136/sssaj1993.03615995005700020026x.
- Qi, J., Y.H. Kerr, M.S. Moran, M. Wertz, A.R. Huete, S. Sorooshian, and R. Bryant (2000) Leaf area index estimates using remotely sensed data and BRDF models in a semiarid region. *Remote Sens. Environ.* 73:18–30. doi:10.1016/S0034-4257(99)00113-3
- D.G. Tarboton (1979) A new method for the determination of flow directions and upslope areas in grid digital elevation models. *Water Resour. Res.*, 33(2), pp. 309–319, doi: 10.1029/96WR03137.
- Q. Guo, J. Pelletier, R. Parmenter, C. Allen, B. Judy, M. Durcik (2010) CZO Dataset: Jemez River Basin — LiDAR (2010) — Snow-off. Accessed from <http://criticalzone.org/catalina-jemez/data/dataset/2613/>.
- Rasmussen, C., P.A. Troch, J. Chorover, P. Brooks, J. Pelletier, and T.E. Huxman (2011) An open system framework for integrating critical zone structure and function. *Biogeochemistry* 102:15–29. doi:10.1007/s10533-010-9476-8.
- Shuttleworth, W.J. 1993. Evaporation. In: D.R. Maidment, editor, *Handbook of hydrology*. McGraw-Hill, New York. p. 4.1–4.53.
- Thom, A.S. and H.R. Oliver (1977) On Penman's equation for estimating regional evaporation. *Quart. J. Roy. Met. Soc.* 96: 67-90.
- Whittaker, R.H., and W.A. Niering (1975) Vegetation of the Santa Catalina Mountains, Arizona: V. Biomass, production, and diversity along the elevation gradient. *Ecology* 56:771–790. doi:10.2307/1936291
- Zhang, L., W.R. Dawes, and G.R. Walker (2001) Response of mean annual evapotranspiration to vegetation changes at catchment scale. *Water Resour. Res.* 37:701–708. doi:10.1029/2000WR900325.