#### 1. Basics of Information

6.004x Computation Structures
Part 1 – Digital Circuits

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#### What is "Information"?

Information, *n*. Data communicated or received that resolves uncertainty about a particular fact or circumstance.

Example: you receive some data about a card drawn at random from a 52-card deck. Which of the following data conveys the most information? The least?

- # of possibilities remaining
- 13 A. The card is a heart
- 51 B. The card is not the Ace of spades
- 12 C. The card is a face card (J, Q, K)
  - 1 D. The card is the "suicide king"



# Quantifying Information

(Claude Shannon, 1948)

Given discrete random variable X

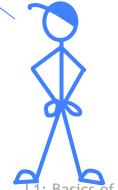
- N possible values:  $x_1, x_2, ..., x_N$
- Associated probabilities: p<sub>1</sub>, p<sub>2</sub>, ..., p<sub>N</sub>

Information received when learning that choice was  $x_i$ :

$$I(x_i) = \log_2\left(\frac{1}{p_i}\right)$$

 $1/p_i$  is proportional to the uncertainty of choice  $x_i$ .

Information is measured in bits (binary digits) = number of 0/1's required to encode choice(s)



### Information Conveyed by Data

Even when data doesn't resolve all the uncertainty

$$I(\text{data}) = \log_2\left(\frac{1}{p_{\text{data}}}\right)$$
 e.g.,  $I(\text{heart}) = \log_2\left(\frac{1}{13/52}\right) = 2 \text{ bits}$ 

Common case: Suppose you're faced with N equally probable choices, and you receive data that narrows it down to M choices. The probability that data would be sent is  $M \cdot (1/N)$  so the amount of information you have received is

$$I(\text{data}) = \log_2\left(\frac{1}{M \cdot (1/N)}\right) = \log_2\left(\frac{N}{M}\right) \text{ bits}$$

### **Example: Information Content**

#### Examples:

• information in one coin flip:

$$N=2$$
  $M=1$  Info content=  $log_2(2/1) = 1$  bit

card drawn from fresh deck is a heart:

N= 52 M= 13 Info content= 
$$log_2(52/13) = 2 bits$$

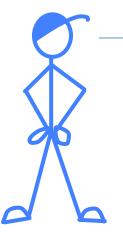
• roll of 2 dice:

N= 36 M= 1 Info content= 
$$log_2(36/1) = 5.17$$
  
.17 bits ???

#### Probability & Information Content

Information content

data	$p_{ m data}$	$\log_2(1/p_{\text{data}})$
a heart	13/52	2 bits
not the Ace of spades	51/52	0.028 bits
a face card (J, Q, K)	12/52	2.115 bits
the "suicide king"	1/52	5.7 bits



Shannon's definition for information content lines up nicely with my intuition: I get more information when the data resolves more uncertainty about the randomly selected card.

#### **Entropy**

In information theory, the entropy H(X) is the average amount of information contained in each piece of data received about the value of X:

$$H(X) = E(I(X)) = \sum_{i=1}^{N} p_i \cdot \log_2\left(\frac{1}{p_i}\right)$$

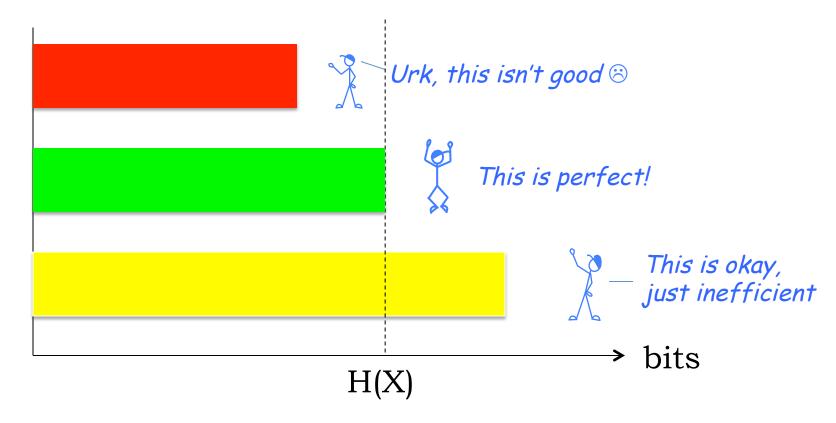
Example:  $X=\{A, B, C, D\}$ 

$choice_i$	$p_i$	$log_2(1/p_i)$
"A"	1/3	1.58 bits
"B"	1/2	1 bit
"C"	1/12	3.58 bits
"D"	1/12	3.58 bits

## **Meaning of Entropy**

Suppose we have a data sequence describing the values of the random variable X.

Average number of bits used to transmit choice



### **Encodings**

An encoding is an *unambiguous* mapping between bit strings and the set of possible data.

Encoding for each symbol		Encoding for		
A	В	С	D	"ABBA"
00	01	10	11	00 01 01 00
01	1	000	001	01 1 1 01
0	1	10	11	0 1 1 0 ABBA?  ABC?  ADA?



### **Encodings as Binary Trees**

It's helpful to represent an unambiguos encoding as a binary tree with the symbols to be encoded as the leaves. The labels on the path from the root to the leaf give the encoding for that leaf.



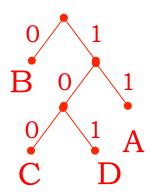
 $B \leftrightarrow 0$ 

 $A \leftrightarrow 11$ 

C ← 100

D ← 101

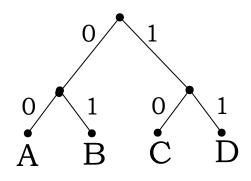
#### Binary tree





### Fixed-length Encodings

If all choices are equally likely (or we have no reason to expect otherwise), then a fixed-length code is often used. Such a code will use at least enough bits to represent the information content.



All leaves have the same depth!

Note that the entropy for N equallyprobable symbols is

$$\sum_{i=1}^{N} \left(\frac{1}{N}\right) \log_2 \left(\frac{1}{\frac{1}{N}}\right) = \log_2(N)$$

Examples:

Fixed-length are often a little inefficient...

- 4-bit binary-coded decimal (BCD) digits log<sub>2</sub>(10)=3.322
- 7-bit ASCII for printing characters

 $\log_2(94)=6.555$ 

#### **Encoding Positive Integers**

It is straightforward to encode positive integers as a sequence of bits. Each bit is assigned a weight. Ordered from right to left, these weights are increasing powers of 2. The value of an N-bit number encoded in this fashion is given by the following formula:

$$v = \sum_{i=0}^{N-1} 2^{i} b_{i}$$

$$0 \quad 1 \quad 1 \quad 1 \quad 1 \quad 0 \quad 1 \quad 0 \quad 0$$

$$V = 0*2^{11} + 1*2^{10} + 1*2^{9} + ...$$
  
= 1024 + 512 + 256 +128 + 64 + 16  
= 2000

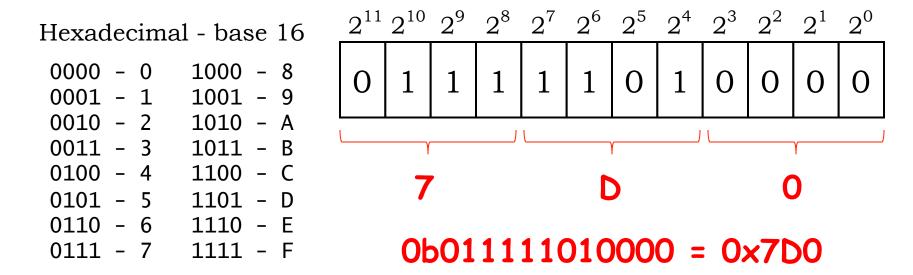
Smallest number: 0

Largest number: 2N-1

#### **Hexademical Notation**

Long strings of binary digits are tedious and error-prone to transcribe, so we usually use a higher-radix notation, choosing the radix so that it's simple to recover the original bits string.

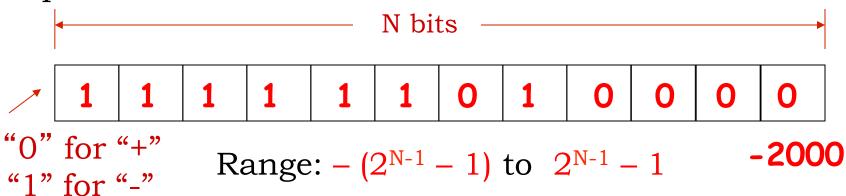
A popular choice is transcribe numbers in base-16, called hexadecimal, where each group of 4 adjacent bits are representated as a single hexadecimal digit.



### **Encoding Signed Integers**

We use a signed magnitude representation for decimal numbers, encoding the sign of the number (using "+" and "-") separately from its magnitude (using decimal digits).

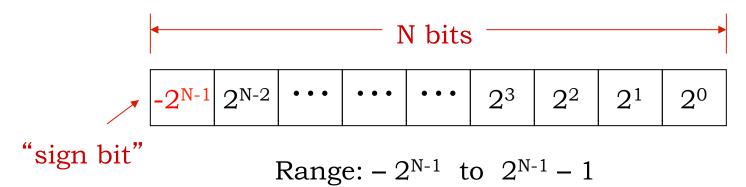
We could adopt that approach for binary representations:



But: two representations for 0 (+0, -0) and we'd need different circuitry for addition and subtraction

## Two's Complement Encoding

In a two's complement encoding, the high-order bit of the N-bit representation has negative weight:



- Negative numbers have "1" in the high-order bit
- Most negative number: 10...0000 -2<sup>N-1</sup>
- Most positive number: 01...1111 +2<sup>N-1</sup> 1
- If all bits are 1: 11...1111 -1
- If all bits are 0: 00...0000

### More Two's Complement

• Let's see what happens when we add the N-bit values for -1 and 1, keeping an N-bit answer:



Just use ordinary binary addition, even when one or both of the operands are negative. 2's complement is perfect for N-bit arithmetic!

• To compute B-A, we'll just use addition and compute B+(-A). But how do we figure out the representation for -A?

$$A+(-A) = 0 = 1 + -1$$
 $-A = (-1 - A) + 1$ 
 $= \sim A + 1$ 



To negate a two's complement value: bitwise complement and add 1.

### Variable-length Encodings

We'd like our encodings to use bits efficiently:

GOAL: When encoding data we'd like to match the length of the encoding to the information content of the data.

On a practical level this means:

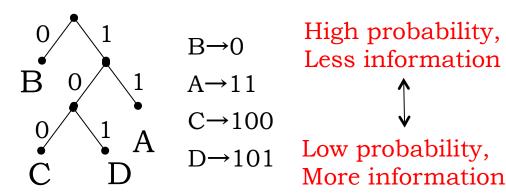
- Higher probability → <u>shorter</u> encodings
- Lower probability → <u>longer</u> encodings

Such encodings are termed variable-length encodings.

#### Example

$choice_i$	$p_i$	encoding
"A"	1/3	11
"B"	1/2	0
"C"	1/12	100
"D"	1/12	101

Entropy: H(X) = 1.626 bits



$$\frac{010011011101}{B}$$
 C A B A D

Expected length of this encoding:

$$(2)(1/3) + (1)(1/2) + (3)(1/12)(2) = 1.667$$
 bits

Expected length for 1000 symbols:

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L1: Basics of Information, Slide #18

### Huffman's Algorithm

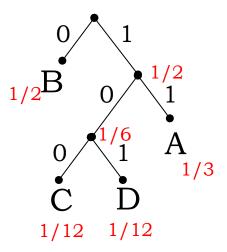
Given a set of symbols and their probabilities, constructs an optimal variable-length encoding.

#### Huffman's Algorithm:

- Build subtree using 2 symbols with lowest p<sub>i</sub>
- At each step choose two symbols/subtrees with lowest p<sub>i</sub>, combine to form new subtree
- Result: optimal tree built from the bottom-up

#### Example:

$$A-1/9$$
, B=1/2, C-1/12, D-1/12



#### Can We Do Better?

Huffman's Algorithm constructed an optimal encoding... does that mean we can't do better?

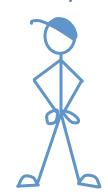
To get a more efficient encoding (closer to information content) we need to encode sequences of choices, not just each choice individually. This is the approach taken by most file compression algorithms...

AA=1/9, AB=1/6, AC=1/36, AD=1/36 BA=1/6, BB=1/4, BC=1/24, BD=1/24 CA=1/36, CB=1/24, CC=1/144, CD=1/144 DA=1/36, DB=1/24, DC=1/144, DD=1/144

Using Huffman's Algorithm on pairs:

Average bits/symbol = 1.646 bits

Lookup "LZW" on Wikipedia



#### **Error Detection and Correction**

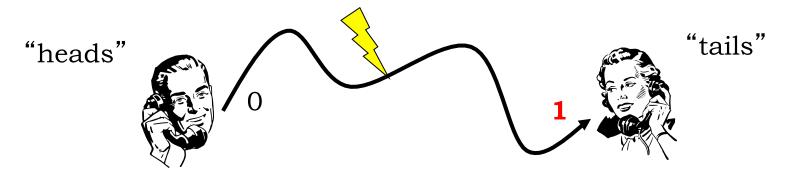
Suppose we wanted to reliably transmit the result of a single coin flip:

Heads: "0"

Tails: "1"

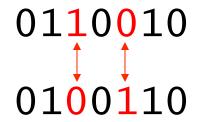


Further suppose that during processing a single-bit error occurs, i.e., a single "0" is turned into a "1" or a "1" is turned into a "0".



#### **Hamming Distance**

HAMMING DISTANCE: The number of positions in which the corresponding digits differ in two encodings of the same length.

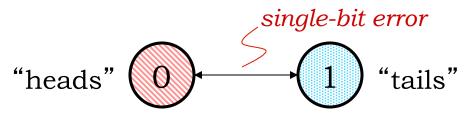




#### Hamming Distance & Bit Errors

The Hamming distance between a valid binary code word and the same code word with a single-bit error is 1.

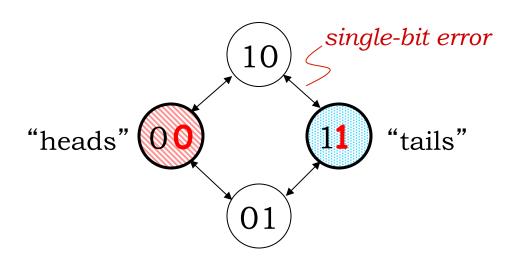
The problem with our simple encoding is that the two valid code words ("0" and "1") also have a Hamming distance of 1. So a single-bit error changes a valid code word into another valid code word...







What we need is an encoding where a single-bit error does *not* produce another valid code word.



A parity bit can be added to any length message and is chosen to make the total number of "1" bits even (aka "even parity"). If min HD(code words) = 1, then min HD(code words + parity) = 2.

### Parity check = Detect Single-bit errors

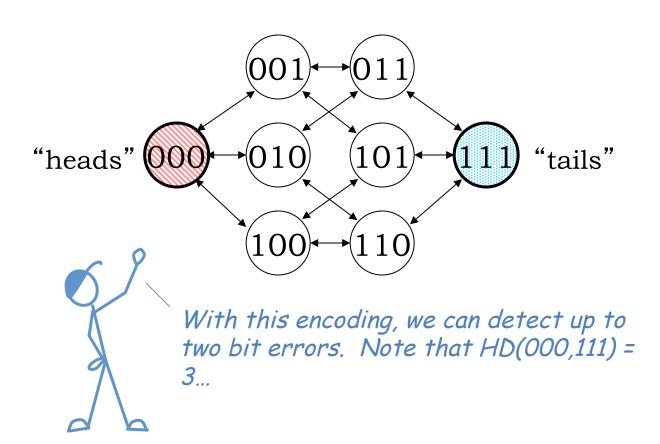
 To check for a single-bit error (actually any odd number of errors), count the number of 1s in the received message and if it's odd, there's been an error.

```
0 1 1 0 0 1 0 1 0 0 1 1 \rightarrow original word with parity 0 1 1 0 0 0 0 1 0 0 1 1 \rightarrow single-bit error (detected) 0 1 1 0 0 0 1 1 0 0 1 1 \rightarrow 2-bit error (not detected)
```

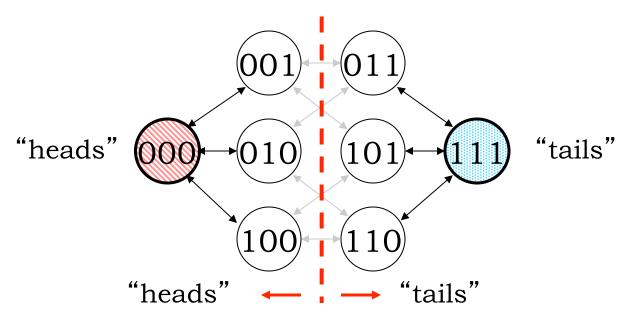
• One can "count" by summing the bits in the word modulo 2 (which is equivalent to XOR'ing the bits together).

#### **Detecting Multi-bit Errors**

To detect E errors, we need a minimum Hamming distance of E+1 between code words.



#### Single-bit Error Correction



By increasing the Hamming distance between valid code words to 3, we guarantee that the sets of words produced by single-bit errors don't overlap. So assuming at most one error, we can perform *error correction* since we can tell what the valid code was before the error happened.

To correct E errors, we need a minimum Hamming distance of 2E+1 between code words.

### Summary

- Information resolves uncertainty
- Choices equally probable:
  - N choices down to  $M \Rightarrow \log_2(N/M)$  bits of information
  - use fixed-length encodings
  - encoding numbers: 2's complement signed integers
- Choices not equally probable:
  - choice, with probability  $p_i \Rightarrow \log_2(1/p_i)$  bits of information
  - average amount of information =  $H(X) = \sum p_i \log_2(1/p_i)$
  - use variable-length encodings, Huffman's algorithm
- To detect E-bit errors: Hamming distance > E
- To correct E-bit errors: Hamming distance > 2E

#### Next time:

- encoding information electrically
- the digital abstraction
- combinational devices