### **INCOMPLETE DRAFT: RNA -k-mers-> Protein**

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### **Authors**

- Olga Borisovna Botvinnik
  - © 0000-0003-4412-7970 · ♥ olgabot · ♥ olgabot

Data Sciences Platform, Chan Zuckerberg Biohub

- N. Tessa Pierce

Department of Population Health and Reproduction, University of California, Davis · Funded by NSF 1711984

### **Abstract**

RNA-sequencing is a widely used measure of cellular state, and it is often used as proxy for estimating abundance of protein-coding molecules. However, the tools to estimate protein-coding sequence from RNA-seq reads don't allow for extraction of protein-coding sequences from distantly related species. We present kmerslay, a suite of k-mer based tools to extract protein-coding sequences from RNA-seq reads using reduced amino acid alphabets to allow for flexibility in proteome evolution. kmerslay can also perform all-by-all k-mer similarity of sequences in both amino acid and nucleotide reduced alphabets to find clusters of similar sequences which can then be used for homology inference. By enabling extraction of protein-coding sequences across a wide variety of species, kmerslay spearheads analysis of non-model organisms and their contribution to the evolution of life.

### Introduction

Comparative transcriptomics, the study of gene expression profiles across species, provides a powerful view into the inner workings of cells, shared across millions of years of evolution. Homology assignment, the process of identifying genes conserved within evolution, across species continues to involve the requirement for an assembled and annotated genome in the species of interest. Unfortunately, 99.999% of the predicted 8.7 million Eukaryotic species on Earth have no submitted genome assembly [1,2], precluding the analysis of the vast majority of species on this planet. Adding to the difficulty, there is no consensus on the "best" way to assign homology, as each researcher may have different criteria for success, as demonstrated by the Quest for Orthologs consortium [3,4,5,6,7]. A promising, genome-agnostic method of assigning homology using reduced amino acid alphabets [8] has shown success in quickly finding similar protein-coding sequences. Thus, comparative transcriptomics remains hindered due to lack of complete genomes and the unsolved homology assignment problem.

To avoid genome assembly and homology assignment, we present <code>kmerslay</code> , a method to extract putative protein-coding regions from RNA-seq reads, using reduced amino acid alphabets. <code>kmerslay</code> provides several subcommands for working with RNA or protein sequences. To predict protein-coding sequence, (1) <code>kmerslay</code> <code>extract-coding</code> performs six-frame translation on RNA-seq short reads and returns peptide sequences with higher than expected by chance matches to a known index, internally using (2) <code>kmerslay</code> <code>bloom-filter</code> to create an index of known protein-coding sequences. To compute <code>k</code>-mer similarities across sequences, (3) <code>kmerslay</code> compare-<code>kmer-content</code> <code>k</code>-merizes each sequence by running a sliding window of size <code>k</code> along the sequence, <code>Typesetting math: 100%</code> vord, and computing the number of overlapping <code>k</code>-long sequences shared

across pairs of sequences. By sidestepping genome assembly, gene annotation, and homology assignment, kmerslay empowers non-model organism researchers to investigate their taxa of interest without fear.

### **Implementation**

### **Reduced alphabets**

At the core of kmerslay is the ability to cheaply compare sequences using k-mers. As k-mers are very brittle to substitutions and thus to compare across species, one must allow for minor base substitutions that still maintain similar chemical or structural properties. A reduced alphabet can encode useful information into a smaller alphabet space, and enable sequence comparisons across a broader variety of species than the original alphabet alone.

### Reduced amino acid alphabets

Reduced amino acid alphabets have been useful for over 50 years [9] in finding related protein sequences [10,11,12,13,14]. Recently, a reduced amino acid alphabet (specifically, aa9 below) combined with k-mers were used to find homologous protein-coding sequences [8]. We build on this concept by enabling prediction of protein-coding sequences from RNA-seq reads, and by enabling users to perform a parameter sweep in an all-by-all comparison to identify putative homologs using a variety of alphabet metrics.

### Dayhoff and HP alphabets

**Table 1:** Dayhoff and hydrophobic-polar encodings are a reduced amino acid alphabet allowing for permissive cross-species sequence comparisons. For example, the amino acid sequence SASHAFIERCE would be Dayhoff-encoded to bbbdbfecdac, and HP-encoded to phpphhhpppp, as below.

Amino acid	Property	Dayhoff	Hydrophobic-polar (HP)
С	Sulfur polymerization	а	p
A, G, P, S, T	Small	b	AGP:h ST:p
D, E, N, Q	Acid and amide	С	р
H, K, R	Basic	d	р
I, L, M, V	Hydrophobic	е	h
F, W, Y	, Y Aromatic		h

protein20: SASHAFIERCE
dayhoff6: bbbdbfecdac
hp2: phpphhhpppp

#### All implemented alphabets (with citations, not as nicely organized)

[NOTE: maybe this should go into the supplementary? The main alphabets that have been successful for me are dayhoff and HP]

Citation	Alphabet	Amino acid groups
Typesetting math: 100% [15]	hp2	AFGILMPVWY CDEHKNQRST

Citation	Alphabet	Amino acid groups
Peterson, E. L., <i>et al.</i> (2009) [ <u>10</u> ]	gbmr4	G ADKERNTSQ YFLIVMCWH P
Dayhoff, M. O., & Eck, R. V. (1968). [9]	dayhoff6	AGPST HRK DENQ FWY ILMV C
This paper	botvinnik8	AG DE RK NQ ST FY LIV CMWHP
Hu, X., & Friedberg, I. (2019). [8]	aa9	G AST KR EQ DN CFILMVY W H P
Peterson, E. L., <i>et al.</i> (2009) [ <u>10</u> ]	sdm12	G A D KER N TSQ YF LIVM C W H P
Peterson, E. L., <i>et al.</i> (2009) [ <u>10</u> ]	hsdm17	G A D KE R N T S Q Y F LIV M C W H P
Dayhoff, M. O., & Eck, R. V. (1968). [9]	protein20	G A D E K R N T S Q Y F L I V M C W H P

### **Reduced nucleotide alphabets**

The IUPAC degenerate nucleotide code [16] includes several two-letter codes for the original 4-letter nucleobase alphabet. The first, Weak/Strong, indicates the strength of the hydrogen bond across the double strand. The bond of adenine to thymine has two hydrogen bonds, making it weak; and the bond of guanine to cytosine has three hydrogen bonds, making it 50% stronger. The second, Purine/Pyrimidine, encodes the ring size of the nucleobase, where Adenine and Guanine both have larger Purine double rings, while Cytosine and Thymine/Uracil have smaller Pyrimidine rings. The third, Amino/Keto, designates the main functional group of the ring, where Adenine and Cytosine both have an Amino group, while Guanine and Thymine/Uracil both have a Keto group.

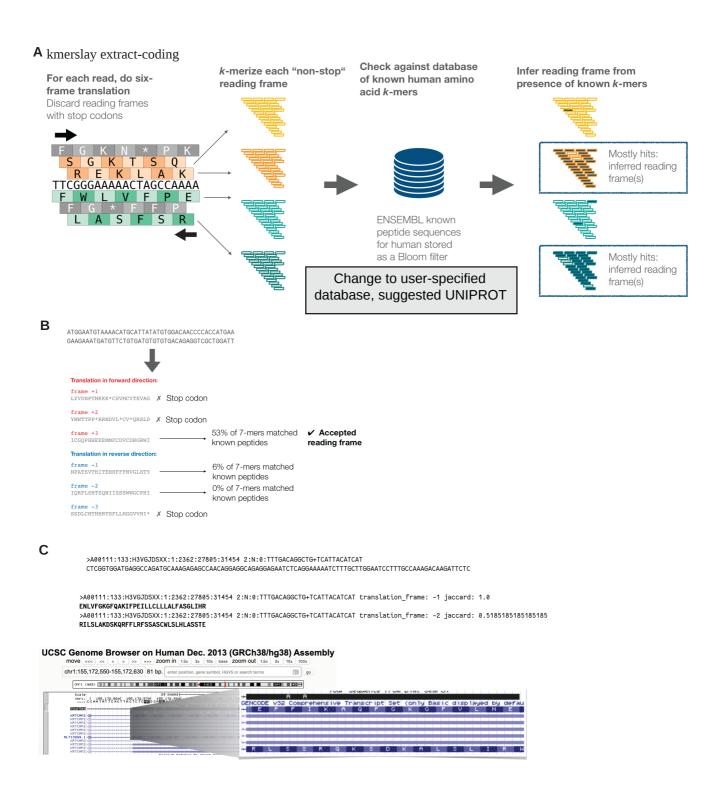
Nucleoti de	Hydrogen Bonding	Ring type	Ring functional group	Nucleobase chemical structure
A	Weak (W)	Purine (R)	Amino (M)	NH <sub>2</sub> N N N N N N N N N N N N N N N N N N N
С	Strong (S)	Pyrimidine (Y)	Amino (M)	NH <sub>2</sub> N N N N N N N N N N N N N N N N N N N
G	Strong (S)	Purine (R)	Keto (K)	NH NH <sub>2</sub>

Nucleoti de	Hydrogen Bonding	Ring type	Ring functional group	Nucleobase chemical structure
Т	Weak (W)	Pyrimidine (Y)	Keto (K)	NH O
U	Weak (W)	Pyrimidine (Y)	Keto (K)	HZ O

Thus, the nucleotide string GATTACA would be re-encoded into the following:

Nucleotide: GATTACA
Weak/Strong: SWWWWSW
Purine/Pyrimidine: RRYYRYR
Functional group: KMKKMMM

kmerslay extract-coding



Overview of kmerslay extract-coding  ${\bf A}$ . First, each read is translated into all six possible protein-coding translation frames. Next, reading frames with stop codons are eliminated. Each protein-coding frame is k-merized, then the fraction of k-mers which appear in the known protein-coding database is computed. Frames which contain a fraction of coding frames exceeding the threshold are inferred to be putatively protein-coding.  ${\bf B}$ . Worked example of an RNA-seq read with a single putatitive reading frame.  ${\bf C}$ . Worked example of an RNA-seq read with multiple reading frames and a UCSC genome browser shot of the read showing that both reading frames are present in the annotation. Typesetting math: 100%

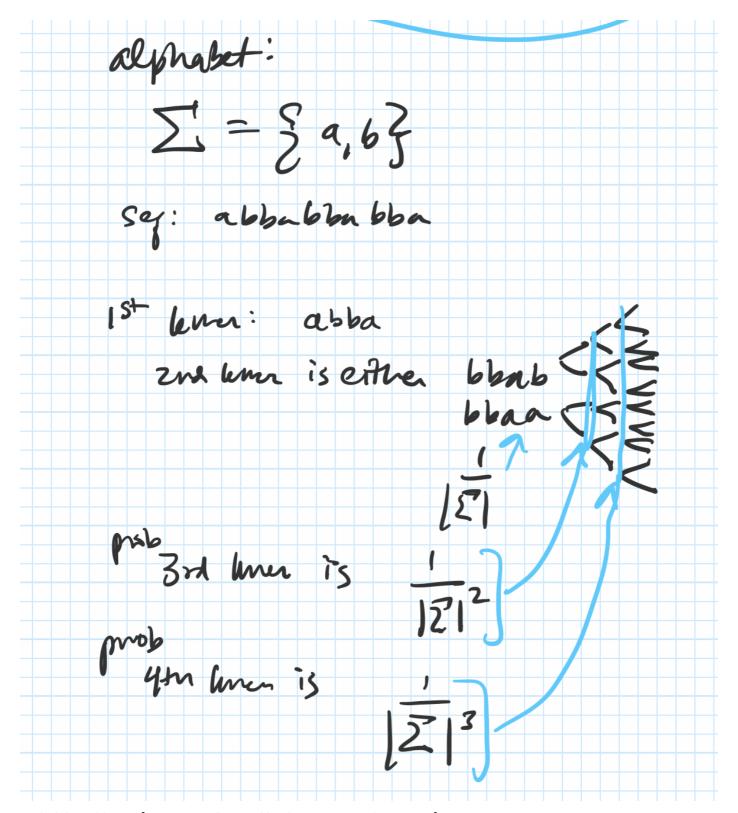
# Set Jaccard threshold of extract-coding by controlling false positive rate of protein-coding prediction

To set a threshold of the minimum Jaccard overlap between a translated read's frame and the reference proteome, the most statistically principled way is to control the false positive rate of predicing a protein-coding read.

#### Probability of random k-mers from a read

If k-mers from reads were independent, identically distributed (iid) variables, then a translated read of length  $L_{\rm translated}$  drawing letters from the alphabet  $\Sigma$ , whose size is  $|\Sigma|$ , would contain

However, k-mers drawn from reads are not iid. Let's take a simple example. If we have a two-letter alphabet,  $\Sigma=a,b,$ , thus  $|\Sigma|=2$ . Let us use an example sequence S=abbabba. If k=4, then the first k-mer is abba. The second k-mer is thus either bbaa or bbab, with equal probability. We can generalize this: Given the first k-mer, the first k-1 letters from the second k-mer are known, and thus the probability of guessing the next k-mer is  $\frac{1}{|\Sigma|}$ .



Probability of future k -mers is influenced by the existence of previous k-mers.

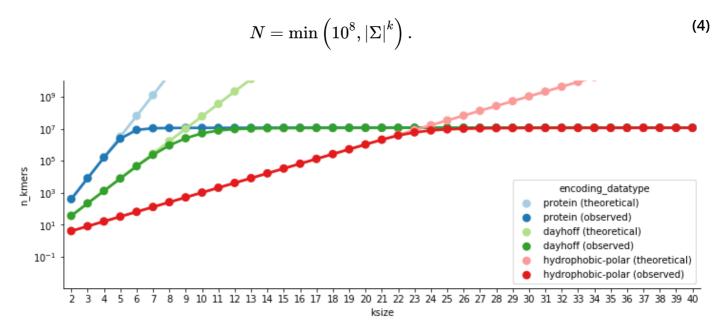
Thus, the probability of a random Probability of a random k-mer from a sequencing read is completely dependent on the alphabet size  $|\Sigma|$  and its translated sequence length,  $L_{\rm translated}$ :

#### Bloom filter collision probability

The probability of error of the khmer bloom filter implementation [17] used in kmerslay, given N distinct k-mers counted, a hash table size of H, and Z total number of hash tables, is

$$\Pr(\text{falsepositive inbloom filter}) = \left(1 - \exp^{N/H}\right)^Z.$$
 (3)

Theoretically, the total number of k-mers is limited by the alphabet size and choice of k. Empirically, the number of possible k-mers is limited by the k-mers which are compatible with life, and by k=5, the number of theoretical protein k-mers exceeds the number of observed protein k-mers. Additionally, the mass of all possible k-mers of a certain size, exceeds the mass of the planet earth by k=X [get the data for this]. The UniProtKB Opisthokonta manually reviewed dataset contains  $4.8\times 10^7$  7-mers in the protein alphabet. Thus, we can give an upper bound to the number of theoretical k-mers to be  $10^8$ . Therefore, the total number of k-mers in the bloom filter is,

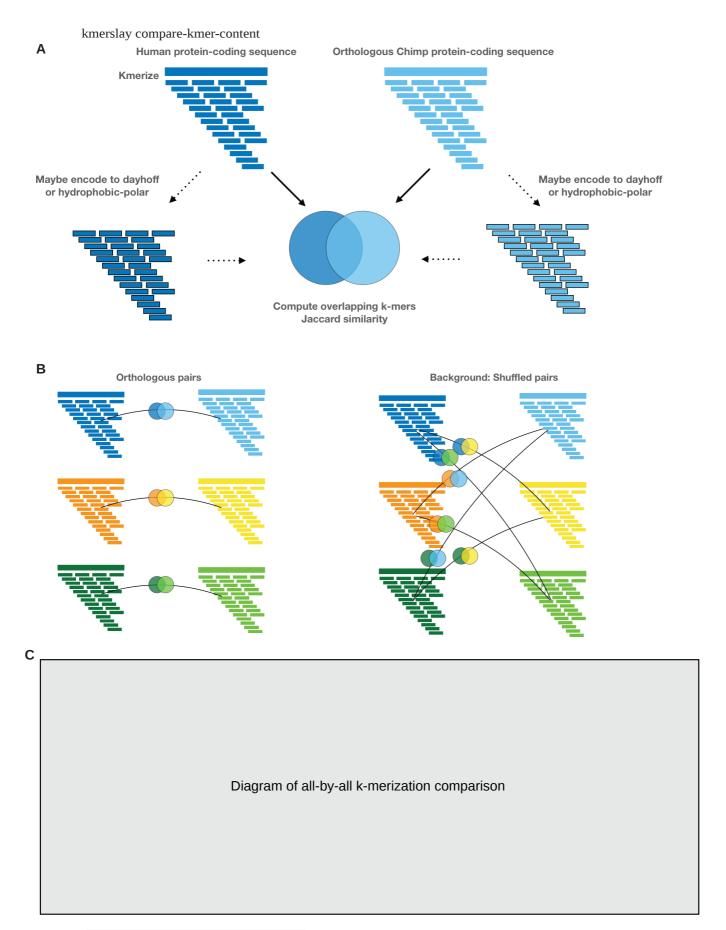


Number of theoretical k-mers given alphabet size, compared to observed k-mers in ENSEMBL human translated proteome. The number of observed k-mers is distinct from the number of theoretical k-mers, as the total number of observed k-mers is limited by k-mers compatible with life. Rerun this with uniprot uniref data.

#### False positive rate of protein-coding prediction

Combining Equations 2, 4, and 3, for an RNA-seq read of length L where its translated length  $L_{\rm translated} = \lfloor \frac{L}{3} \rfloor$ , containing a possible six frames of translation, then

kmerslay compare-kmer-content performs all-by-all or pairwise k-mer similarity of protein or nucleotide sequences using reduced alphabets



Overview of kmerslay compare-kmer-content  $\bf A.$  Protein sequences are k-merized by converting into a bag of words using a sliding window of size k, potentially re-encoded to a lossy alphabet, and then their fraction of overlapping k-mers is computed into a Jaccard similarity.  $\bf B.$  One option for kmerslay compare-kmer-content is to specify a pair of sequence files, and compute a background of k-mer similarity using randomly shuffled pairs.  $\bf C.$  Another option for kmerslay compare-kmer-content is to do an all-by-all k-mer similarity comparison.

### **Applications**

#### Installation

kmerslay can be installed with the Anaconda package manager, conda (preferred),

```
# Note: not actually on bioconda yet ... this is aspirational conda install --channel bioconda kmerslay
```

or from the Python Package Index (PyPI) with the Python package manager, pip,

```
# Note: not actually on PyPI yet ... this is aspirational pip install kmerslay
```

### Prediction of protein-coding sequences across a variety of species

We used kmerslay extract-coding to obtain putative protein-coding sequences from a comaparative transcriptomic dataset spanning nine species and six tissues [18].

### Read preprocessing

As the protein-coding score is assessed on the entire read, we recommend RNA-seq reads be removed of library artifacts to the best of the user's ability. This means, the adapters should be trimmed, and if there was a negative insert size such that the R1 and R2 reads overlap, then the read pairs should be merged.

### Creation of amino acid k-mer database with kmerslay bloom-filter

Before predicting protein-coding sequences, kmerslay must create a database of known amino acid k-mers, which is stored in the form of a probabilistic set membership data structure known as a bloom filter. kmerslay uses the bloom filter implementation in khmer / oxli [17,19], called a NodeGraph. We created a dataset of known amino acid k-mers from the manually annotated UniProtKB/Swiss-Prot databases [20,21]. We used only protein sequences observed in *Opisthokont* species [22], previously known as a "Fungi/Metazoa" group that encompasseses "Fungus-like" *Holomycota* and "Animal-like" *Holozoa*. [NOTE: Does this need a figure/phylogenetic timetree?]

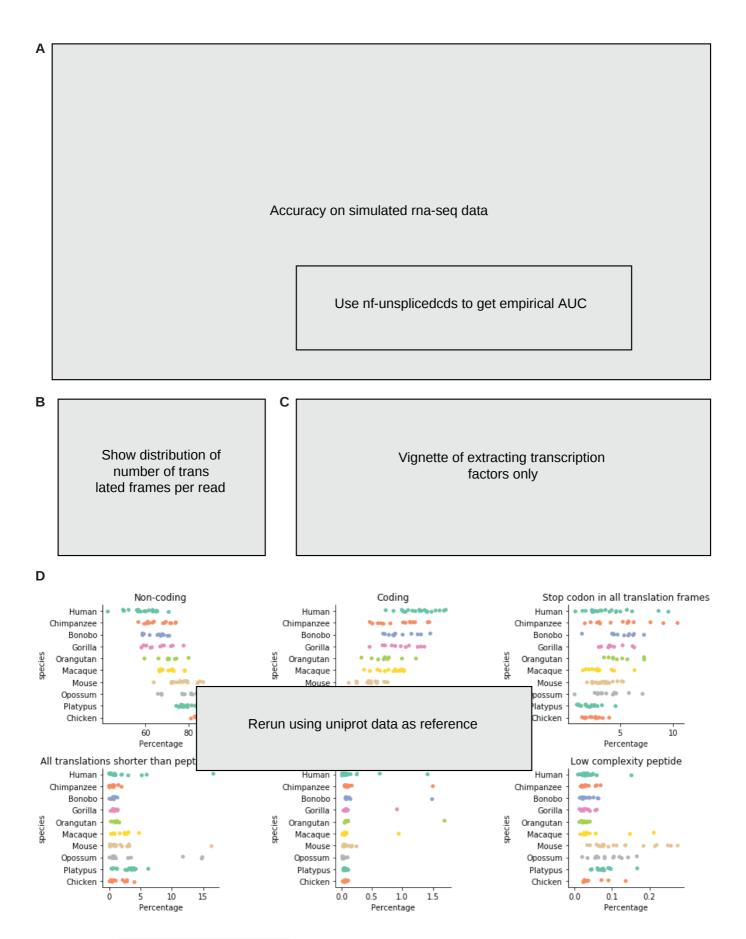
```
kmerslay bloom-filter \
    --tablesize 100000000 \
    --molecule protein \
    --peptide-ksize 7 \
    --save-as uniprot-reviewed_yes+taxonomy_2759__molecule-protein_ksize-
7.bloomfilter \
    uniprot-reviewed_yes+taxonomy_2759.fasta.gz
```

### Prediction of protein-coding sequences with kmerslay extract-coding

We then predicted protein coding reads using the created bloom filter using kmerslay extract-

```
kmerslay extract-coding \
    --molecule protein \
    --coding-nucleotide-fasta

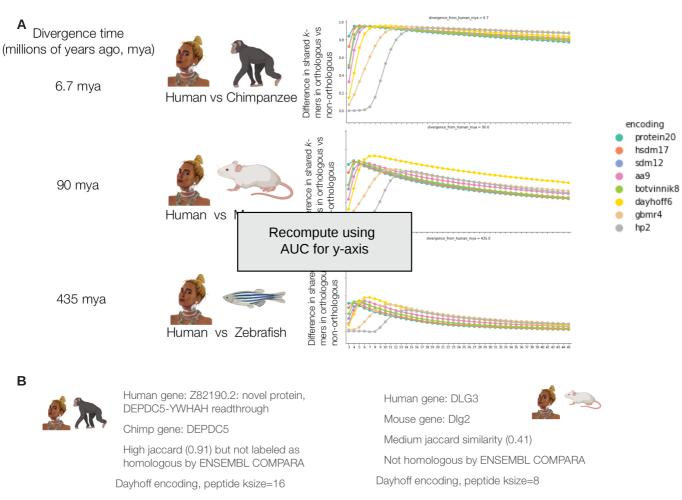
SRR306800_GSM752653_ggo_br_F_1__coding_reads_nucleotides.fasta \
    --csv SRR306800_GSM752653_ggo_br_F_1__coding_scores.csv \
    --json-summary SRR306800_GSM752653_ggo_br_F_1_coding_summary.json \
    --jaccard-threshold 0.8 \
    --peptides-are-bloom-filter \
    uniprot-reviewed_yes+taxonomy_2759__molecule-protein_ksize-7.bloomfilter \
    SRR306800_GSM752653_ggo_br_F_1_trimmed.fq.gz >
SRR306800_GSM752653_ggo_br_F_1_coding_reads_peptides.fasta
```



Applications of kmerslay extract-coding . **A.** We simulated RNA-seq data using Opisthokonta species from the Quest for Orthologs dataset for true positive protein-coding RNAs, reads completely contained within intergenic, intronic, and UTR sequences as true positive noncoding RNAs, and reads partially overlapping a coding and noncoding region as an adversarial test set. We then predicted protein-coding sequences and computed false positive and false negative rates. False Positive coding reads were found to be ... False negative noncoding reads were found to be ... **B.**Number of nutative protein-coding sequences per read. **C.** This method could also be used to extract only reads whose Typesetting math: 100%

putative protein-coding sequences are transcription factors. **D.** We ran kmerslay extract-coding on the five tissues and nine species from the Brawand 2011 dataset.

### kmerslay compare-kmer-content is a simple method to identify homologs



UCSC Genome Browser on Human Dec. 2013 (GRCh38/hg38) Assembly move <a <a href="https://www.grchart.gov.new.grc

Dayhoff encoding, peptide ksize=8

This makes sense as the human and mouse genes are from the same gene family

Apply kmerslay compare-kmer-content to
OrthoDB/BUSCO - bigger datasets

Applications of kmerslay compare-kmer-content . **A.** We used kmerslay compare-kmer-content on pairs of orthologous protein sequences between humans and the remaining Opisthokonta species in the Quest for Orthologs dataset. x-axis, k-mer size, y-axis, mean difference. **B.** False positive calls by kmerslay compare-kmer-content are either paralogs or read-through protein products. **C.** We applied kmerslay compare-kmer-content to ... to find putative orthologs. We found ... the accuracy was ...

### **Discussion**

#### **Bold text**

Semi-bold text

Centered text

Right-aligned text

Italic text

Combined italics and bold

#### Strikethrough

- 1. Ordered list item
- 2. Ordered list item
  - a. Sub-item
  - b. Sub-item
    - i. Sub-sub-item
- 3. Ordered list item
  - a. Sub-item
- List item
- List item
- · List item

subscript: H<sub>2</sub>O is a liquid

superscript: 2<sup>10</sup> is 1024.

unicode superscripts 0123456789

#### unicode subscripts 0123456789

A long paragraph of text. Lorem ipsum dolor sit amet, consectetur adipiscing elit, sed do eiusmod tempor incididunt ut labore et dolore magna aliqua. Ut enim ad minim veniam, quis nostrud exercitation ullamco laboris nisi ut aliquip ex ea commodo consequat. Duis aute irure dolor in reprehenderit in voluptate velit esse cillum dolore eu fugiat nulla pariatur. Excepteur sint occaecat cupidatat non proident, sunt in culpa qui officia deserunt mollit anim id est laborum.

Putting each sentence on its own line has numerous benefits with regard to <u>editing</u> and <u>version</u> control.

Line break without starting a new paragraph by putting

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# **Document organization**

Document section headings:

# **Heading 1**

## **Heading 2**

Heading 3

**Heading 4** 

A heading centered on its own printed page

#### Horizontal rule:

Heading 1's are recommended to be reserved for the title of the manuscript.

Heading 2's are recommended for broad sections such as Abstract, Methods, Conclusion, etc.

Heading 3's and Heading 4's are recommended for sub-sections.

### Links

Bare URL link: <a href="https://manubot.org">https://manubot.org</a>

<u>Long link with lots of words and stuff and junk and bleep and blah and stuff and other stuff and more stuff yeah</u>

Link with text

Link with hover text

Link by reference

### **Citations**

Citation by DOI [23].

Citation by PubMed Central ID [24].

Citation by PubMed ID [25].

Citation by Wikidata ID [26].

Citation by ISBN [27].

Citation by URL [28].

Citation by tag [29].

Multiple citations can be put inside the same set of brackets [23,27,29]. Manubot plugins provide easier, more convenient visualization of and navigation between citations [24,25,29,30].

Citation tags (i.e. aliases) can be defined in their own paragraphs using Markdown's reference link syntax:

## Referencing figures, tables, equations

Figure 1

<u> Eigura 7</u>

```
Figure 3

Figure 4

Table 1

Equation 1

Equation 2
```

### **Quotes and code**

Quoted text

Quoted block of text

Two roads diverged in a wood, and I—I took the one less traveled by, And that has made all the difference.

Code in the middle of normal text, aka inline code.

Code block with Python syntax highlighting:

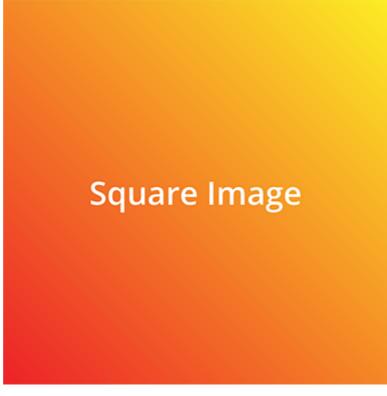
```
from manubot.cite.doi import expand_short_doi

def test_expand_short_doi():
    doi = expand_short_doi("10/c3bp")
    # a string too long to fit within page:
    assert doi == "10.25313/2524-2695-2018-3-vliyanie-enhansera-copia-i-
        insulyatora-gypsy-na-sintez-ernk-modifikatsii-hromatina-i-
        svyazyvanie-insulyatornyh-belkov-vtransfetsirovannyh-geneticheskih-
        konstruktsiyah"
```

Code block with no syntax highlighting:

```
Exporting HTML manuscript
Exporting DOCX manuscript
Exporting PDF manuscript
```

### **Figures**



**Figure 1:** A square image at actual size and with a bottom caption. Loaded from the latest version of image on GitHub.



**Figure 2: An image too wide to fit within page at full size.** Loaded from a specific (hashed) version of the image on GitHub.



Figure 3: A tall image with a specified height. Loaded from a specific (hashed) version of the image on GitHub.



**Figure 4:** A vector .svg image loaded from GitHub. The parameter sanitize=true is necessary to properly load SVGs hosted via GitHub URLs. White background specified to serve as a backdrop for transparent sections of the image.

### **Tables**

**Table 1:** A table with a top caption and specified relative column widths.

Bowling Scores	Jane	John	Alice	Bob
Game 1	150	187	210	105
Game 2	98	202	197	102
Game 3	123	180	238	134

**Table 2:** A table too wide to fit within page.

	Digits 1-33	Digits 34-66	Digits 67-99	Ref.
pi	3.14159265358979323 846264338327950	28841971693993751 0582097494459230	78164062862089986 2803482534211706	piday.org
е	2.71828182845904523 536028747135266	24977572470936999 5957496696762772	40766303535475945 7138217852516642	nasa.gov

	Colors	
Size	Text Color	Background Color
big	blue	orange
small	black	white

### **Equations**

A LaTeX equation:

$$\int_0^\infty e^{-x^2} dx = \frac{\sqrt{\pi}}{2} \tag{1}$$

An equation too long to fit within page:

$$x = a + b + c + d + e + f + g + h + i + j + k + l + m + n + o + p + q + r + s + t + u + v + w + x + y + z + 1 + 2 + 3 + 4 + 5 + 6 + 7 + 8 + 9$$
 (2)

### **Special**

▲ WARNING The following features are only supported and intended for .html and .pdf exports. Journals are not likely to support them, and they may not display correctly when converted to other formats such as .docx.

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Adding arbitrary HTML attributes to an element using Pandoc's attribute syntax:

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Adding arbitrary HTML attributes to an element with the Manubot attributes plugin (more flexible than Pandoc's method in terms of which elements you can add attributes to):

Manubot Manubo

Available background colors for text, images, code, banners, etc:

white lightgrey grey darkgrey black lightred lightyellow lightgreen lightblue lightpurple red orange yellow green blue purple

Using the **Font Awesome** icon set:

√?★♣♡…

Light Grey Banner
useful for general information - manubot.org

### **1** Blue Banner

useful for *important information* - <u>manubot.org</u>

**♦ Light Red Banner** useful for *warnings* - <u>manubot.org</u>

# Supplementary

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DOI: <u>10.1371/journal.pbio.1001127</u> · PMID: <u>21886479</u> · PMCID: <u>PMC3160336</u>

2. Genome List - Genome - NCBI https://www.ncbi.nlm.nih.gov/genome/browse

### 3. The origin and evolution of cell types

Detlev Arendt, Jacob M. Musser, Clare V. H. Baker, Aviv Bergman, Connie Cepko, Douglas H. Erwin, Mihaela Pavlicev, Gerhard Schlosser, Stefanie Widder, Manfred D. Laubichler, Günter P. Wagner *Nature Reviews Genetics* (2016-11-07) <a href="https://doi.org/f9b62x">https://doi.org/f9b62x</a>

DOI: <u>10.1038/nrg.2016.127</u> · PMID: <u>27818507</u>

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Molecular Biology and Evolution (2019-10) <a href="https://doi.org/ggcncc">https://doi.org/ggcncc</a>

DOI: <u>10.1093/molbev/msz150</u> · PMID: <u>31241141</u> · PMCID: <u>PMC6759064</u>

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Kristoffer Forslund, Cecile Pereira, Salvador Capella-Gutierrez, Alan Sousa da Silva, Adrian Altenhoff, Jaime Huerta-Cepas, Matthieu Muffato, Mateus Patricio, Klaas Vandepoele, Ingo Ebersberger, ... Quest for Orthologs Consortium

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DOI: 10.1093/bioinformatics/btx542 · PMID: 28968857 · PMCID: PMC5860199

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C. Dessimoz, T. Gabaldon, D. S. Roos, E. L. L. Sonnhammer, J. Herrero, A. Altenhoff, R. Apweiler, M. Ashburner, J. Blake, B. Boeckmann, ... the Quest for Orthologs Consortium

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DOI: 10.1093/bioinformatics/bts050 · PMID: 22332236 · PMCID: PMC3307119

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Genome Biology (2009) https://doi.org/frkjfc

DOI: 10.1186/gb-2009-10-9-403 · PMID: 19785718 · PMCID: PMC2768974

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Xiao Hu, Iddo Friedberg

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DOI: 10.1093/gigascience/giz118 · PMID: 31648300 · PMCID: PMC6812468

### 9. Atlas of protein sequence and structure

Margaret O Dayhoff

National Biomedical Research Foundation. (1969)

### 10. Reduced amino acid alphabets exhibit an improved sensitivity and selectivity in fold

assignment
Typesetting math: 100%

Eric L. Peterson, Jané Kondev, Julie A. Theriot, Rob Phillips

Bioinformatics (2009-06-01) https://doi.org/btqmnp

DOI: 10.1093/bioinformatics/btp164 · PMID: 19351620 · PMCID: PMC2732308

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