



Universidade do Minho
Escola de Engenharia
Departamento de Informática

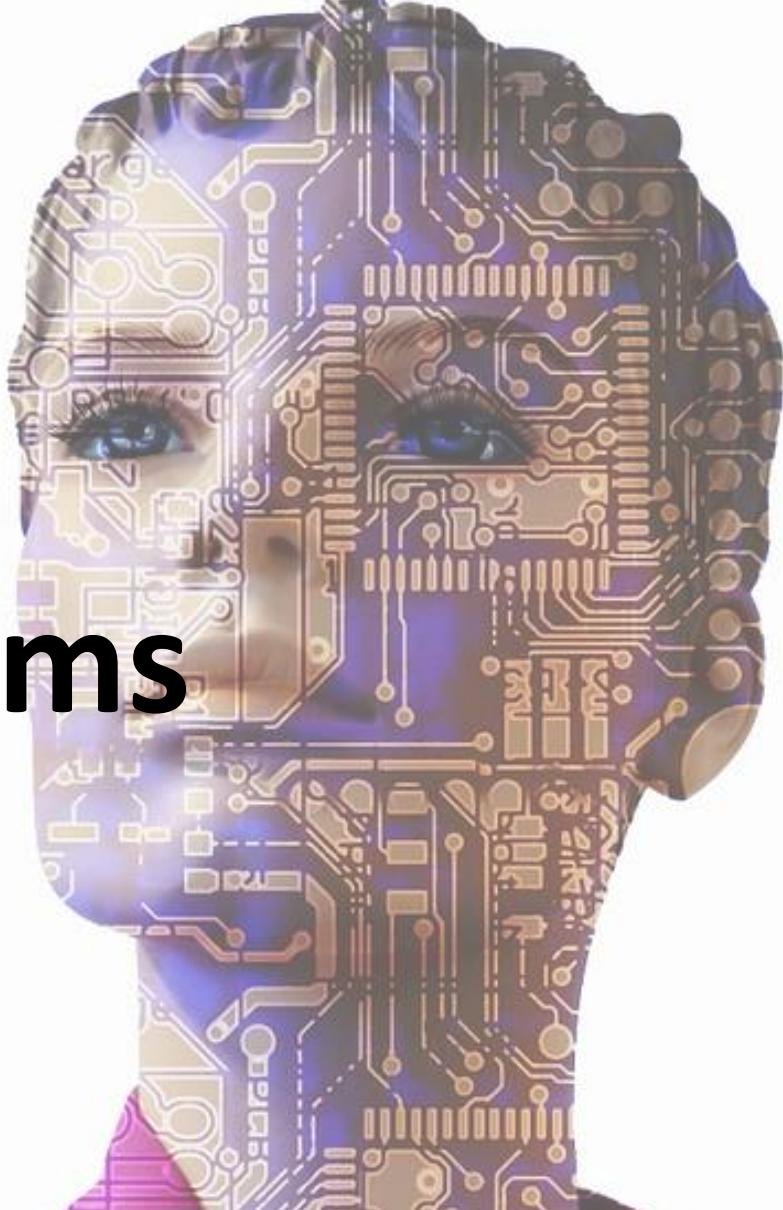
Mestrado Integrado em Engenharia Informática
Computação Natural
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- Centro ALGORITMI
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Introduction to Genetic Algorithms



- Training a Machine Learning Model

- Data:

x1	x2	x3	x4	x5	x6	y
4	-2	7	5	11	1	44.1

$$Y = w_1 \cdot x_1 + w_2 \cdot x_2 + w_3 \cdot x_3 + w_4 \cdot x_4 + w_5 \cdot x_5 + w_6 \cdot x_6$$

- Goal is to find the set of parameters ($w_1, w_2, w_3, w_4, w_5, w_6$) that maps the following input to its output:

$$Y' = 4 \cdot w_1 + (-2) \cdot w_2 + 7 \cdot w_3 + 5 \cdot w_4 + 11 \cdot w_5 + 1 \cdot w_6$$

- Solution 1:

w1	w2	w3	w4	w5	w6
2,4	0,7	8	-2	5	1,1

- $Y' = 4.w1 - 2.w2 + 7.w3 + 5.w4 + 11.w5 + w6 \Leftrightarrow Y' = 110,3$

- Absolute Error:

$$\text{Error} = | Y - Y' |$$

$$\text{Error} = | 44,1 - 110,3 |$$

$$\text{Error} = 66,2$$

▪ Solution 2:

w1	w2	w3	w4	w5	w6
-0,4	2,7	5	-1	7	0,1

○ $Y' = -0,4.w1 + 2,7.w2 + 5.w3 - 1.w4 + 7.w5 + 0,1.w6 \Leftrightarrow Y' = 100,1$

▪ Absolute Error:

$$\text{Error} = | Y - Y' |$$

$$\text{Error} = | 44,1 - 100,1 |$$

$$\text{Error} = 56$$

- Solution 3:

w1	w2	w3	w4	w5	w6
-1	2	2	-3	2	0,9

- $Y' = -1.w1 + 2.w2 + 2.w3 - 3.w4 + 2.w5 + 0,9.w6 \Leftrightarrow Y' = 13,9$

- Absolute Error:

$$\text{Error} = | Y - Y' |$$

$$\text{Error} = | 44,1 - 13,9 |$$

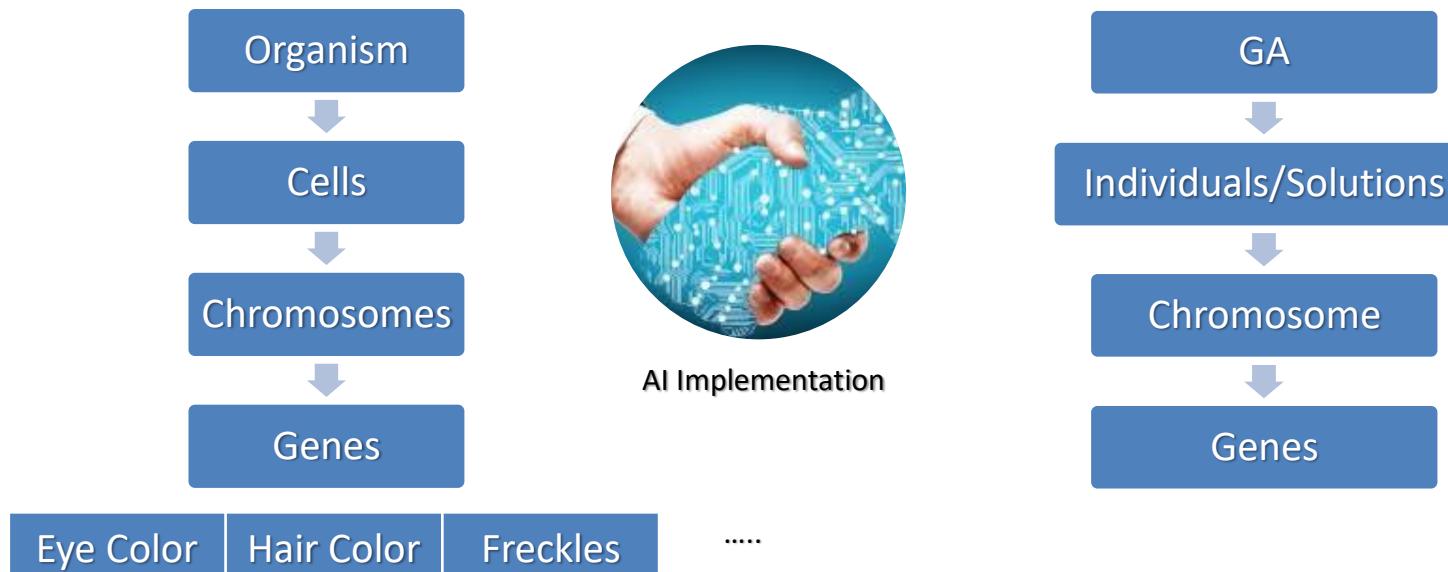
$$\text{Error} = 30,2$$

Difficult to find the best solution manually!

Use optimization Techniques such as
Genetic Algorithms (GA)

- Genetic Algorithm (GA)

- Based on Natural Evolution of organisms
- A brief biological background is helpful to better understand GA



- What are Genes?

$$Y = w_1 \cdot x_1 + w_2 \cdot x_2 + w_3 \cdot x_3 + w_4 \cdot x_4 + w_5 \cdot x_5 + w_6 \cdot x_6$$

- Gene is anything that is able to enhance the results when changed
- By exploring the following model, the 6 weights are able to enhance the results
 - Thus each weight will represent a gene in GA

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
w1	w2	w3	w4	w5	w6

- Initial Population of Solutions (Generation 0)

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
2,4	0,7	8	-2	5	1,1
-0,4	2,7	5	-1	7	0,1
-1	2	2	-3	2	0,9
4	7	12	6,1	1,4	-4
3,1	4	0	2,4	4,8	0
-2	3	-7	6	3	3

Chromosome

Gene

- Initial Population of Solutions (Generation 0)

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5	Survival of the Fittest
2,4	0,7	8	-2	5	1,1	
-0,4	2,7	5	-1	7	0,1	
-1	2	2	-3	2	0,9	
4	7	12	6,1	1,4	-4	
3,1	4	0	2,4	4,8	0	
-2	3	-7	6	3	3	Higher Value => Better Solution

- Initial Population of Solutions (Generation 0)

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5	Y'	$F(C)$
2,4	0,7	8	-2	5	1,1	110,3	
-0,4	2,7	5	-1	7	0,1		
-1	2	2	-3	2	0,9		
4	7	12	6,1	1,4	-4		
3,1	4	0	2,4	4,8	0		
-2	3	-7	6	3	3		

$$Y' = 4.w1 - 2.w2 + 7.w3 + 5.w4 + 11.w5 + w6$$

$$Y' = 4 \times 2,4 - 2 \times 0,7 + 7 \times 8 + 5 \times (-2) + 11 \times 5 + 1 \times 1,1$$

$$Y' = 110,3$$

- Initial Population of Solutions (Generation 0)

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5	Y'	F(C)
2,4	0,7	8	-2	5	1,1	110,3	0,015
-0,4	2,7	5	-1	7	0,1		
-1	2	2	-3	2	0,9		
4	7	12	6,1	1,4	-4		
3,1	4	0	2,4	4,8	0		
-2	3	-7	6	3	3		

$$F(c) = \frac{1}{error} = \frac{1}{|44.1 - 110.3|} = \frac{1}{66.2} = 0.015$$

- Initial Population of Solutions (Generation 0)

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5	γ'	F(C)
2,4	0,7	8	-2	5	1,1	110,3	0,015
-0,4	2,7	5	-1	7	0,1	100,1	0,018
-1	2	2	-3	2	0,9	13,9	0,033
4	7	12	6,1	1,4	-4	127,9	0,012
3,1	4	0	2,4	4,8	0	69,2	0,0398
-2	3	-7	6	3	3	3	0,024

- Mating Pool

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5	γ'	F(C)
2,4	0,7	8	-2	5	1,1	110,3	0,015
-0,4	2,7	5	-1	7	0,1	100,1	0,018
-1	2	2	-3	2	0,9	13,9	0,033
4	7	12	6,1	1,4	-4	127,9	0,012
3,1	4	0	2,4	4,8	0	69,2	0,0398
-2	3	-7	6	3	3	3	0,024

Select best individuals as parents for mating to generate new individuals.

- Mating Pool

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5	γ'	F(C)
2,4	0,7	8	-2	5	1,1	110,3	0,015
-0,4	2,7	5	-1	7	0,1	100,1	0,018
-1	2	2	-3	2	0,9	13,9	0,033
4	7	12	6,1	1,4	-4	127,9	0,012
3,1	4	0	2,4	4,8	0	69,2	0,0398
-2	3	-7	6	3	3	3	0,024

Add top 3 individuals to the mating pool for producing the next generation of solutions

- Mating Pool



Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
-1	2	2	-3	2	0,9
3,1	4	0	2,4	4,8	0
-2	3	-7	6	3	3

- Mating Pool

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
-1	2	2	-3	2	0,9
3,1	4	0	2,4	4,8	0



- Mating Pool

Crossover

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
-1	2	2	-3	2	0,9
3,1	1	0	2,4	4,8	0

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
-1	2	2	2,4	4,8	0

Offspring

$$= 4,8 / 2 = 2,4$$

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
-1	2	2	2,4	2,4	0

Mutation

- Mating Pool



Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
3,1	4	0	2,4	4,8	0
-2	3	-7	6	3	3

- Mating Pool

Crossover

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
3,1	4	0	2,4	4,8	0
-1	-1	-1	6	3	3

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
3,1	4	0	6	3	3

Offspring

$$= 3 / 2 = 1,5$$

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
3,1	4	0	6	1,5	3

Mutation

- Mating Pool



Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
-2	3	-7	6	3	3
-1	2	2	-3	2	0,9

- Mating Pool

Crossover

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
-2	3	-7	6	3	3
-	-	-	-3	2	0,9



Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
-2	3	-7	-3	2	0,9

Offspring

$$= 2 / 2 = 1$$

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
-2	3	-7	-3	1	0,9

Mutation

- New Population (Generation 1)

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
-1	2	2	-3	2	0,9
3,1	4	0	2,4	4,8	0
-2	3	-7	6	3	3
-1	2	2	2,4	2,4	0
3,1	4	0	6	1,5	3
-2	3	-7	-3	1	0,9

} Old Individuals
} New Individuals

Since there is no guarantee that the new individuals will be better than the previous individuals, keeping old individuals saves the results from getting worse

- New Population (Generation 1)

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
-1	2	2	-3	2	0,9
3,1	4	0	2,4	4,8	0
-2	3	-7	6	3	3
-1	2	2	2,4	2,4	0
3,1	4	0	6	1,5	3
-2	3	-7	-3	1	0,9

} Old Individuals
} New Individuals



- New Population (Generation 1)

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5	γ'	$F(C)$
-1	2	2	-3	2	0,9	13,9	0,033
3,1	4	0	2,4	4,8	0	69,2	0,04
-2	3	-7	6	3	3	3	0,024
-1	2	2	2,4	2,4	0	44,4	3,333
3,1	4	0	6	1,5	3	53,9	0,102
-2	3	-7	-3	1	0,9	-66,1	0,009

Note: New individuals presented worse results than the old individuals.

- Mating Pool



Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
3,1	4	0	2,4	4,8	0
-1	2	2	2,4	2,4	0
3,1	4	0	6	1,5	3

- Repeat the process to generate new Population (Generation 2)

- New Population (Generation 2)

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5
3,1	4	0	2,4	4,8	0
-1	2	2	2,4	2,4	0
3,1	4	0	6	1,5	3
3,1	4	0	2,4	1,2	0
-1	2	2	6	0,75	3
3,1	4	0	2,4	2,4	0

} Old Individuals
} New Individuals

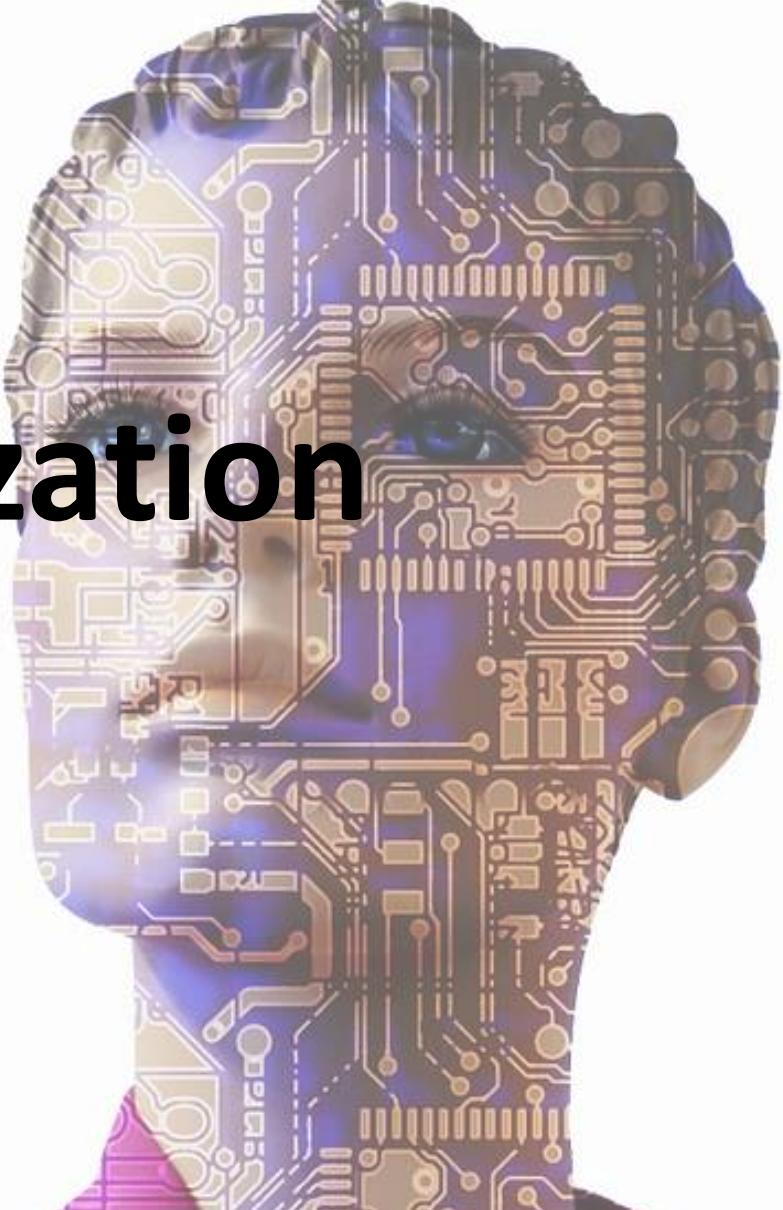


- New Population (Generation 2)

Gene 0	Gene 1	Gene 2	Gene 3	Gene 4	Gene 5	γ'	F(C)
3,1	4	0	2,4	4,8	0	69,2	0,04
-1	2	2	2,4	2,4	0	44,4	3,333
3,1	4	0	6	1,5	3	53,9	0,102
3,1	4	0	2,4	1,2	0	29,6	0,069
-1	2	2	6	0,75	3	47,25	0,318
3,1	4	0	2,4	2,4	0	42,8	0,77

- Continue the process!

GA – ML Optimization Implementation



- Code:

```
import numpy

# Population size
sol_per_pop = 6
# Mating pool size
num_parents_mating = 3

# Creating an initial population randomly.
new_population = numpy.zeros((6, 6))
new_population[0, :] = [2.4, 0.7, 8, -2, 5, 1.1]
new_population[1, :] = [-0.4, 2.7, 5, -1, 7, 0.1]
new_population[2, :] = [-1, 2, 2, -3, 2, 0.9]
new_population[3, :] = [4, 7, 12, 6.1, 1.4, -4]
new_population[4, :] = [3.1, 4, 0, 2.4, 4.8, 0]
new_population[5, :] = [-2, 3, -7, 6, 3, 3]

for iteration in range(10):
    # Measuring the fitness of each chromosome in the population.
    qualities = GARI.pop_fitness(new_population)

    # Selecting the best parents in the population for mating.
    parents = GARI.select_mating_pool(new_population, qualities,
                                       num_parents_mating)
    # Generating next generation using crossover.
    new_population = GARI.crossover(parents,
                                     n_individuals=sol_per_pop)

    new_population = GARI.mutation(new_population)

in_sample = [4, -2, 7, 5, 11, 1]
for k in range(6):
    print(numpy.sum(new_population[k, :] * in_sample))
```

```

def fitness_fun(indiv_chrom):
    in_sample = [4,-2,7,5,11,1]
    quality = 1/abs(44.1-numpy.sum(indiv_chrom*in_sample))
    return quality

def pop_fitness(pop):
    qualities = numpy.zeros(pop.shape[0])
    for indv_num in range(pop.shape[0]):
        qualities[indv_num] = fitness_fun(pop[indv_num, :])
    return qualities

def select_mating_pool(pop, qualities, num_parents):
    parents = numpy.empty((num_parents, pop.shape[1]))
    for parent_num in range(num_parents):
        max_qual_idx = numpy.where(qualities == numpy.max(qualities))
        max_qual_idx = max_qual_idx[0][0]
        parents[parent_num, :] = pop[max_qual_idx, :]
        qualities[max_qual_idx] = -1
    return parents

```

```

def crossover(parents, n_individuals=6):
    new_population = numpy.empty((6,6))

    #Previous parents (best elements).
    new_population[0:parents.shape[0], :] = parents
    new_population[3, 0:3] = parents[0, 0:3]
    new_population[3, 3:] = parents[1, 3:]
    new_population[4, 0:3] = parents[1, 0:3]
    new_population[4, 3:] = parents[2, 3:]
    new_population[5, 0:3] = parents[2, 0:3]
    new_population[5, 3:] = parents[0, 3:]

    return new_population

def mutation(population):
    for idx in range(population.shape[0]):
        population[idx, 4] = population[idx, 4]/3
    return population

```

- GA Example – Crack Account Password

```

import random
import datetime

def get_fitness(guess):
    return sum(1 for expected, actual in zip(target, guess)
              if expected == actual)

def display(guess):
    timeDiff = datetime.datetime.now() - startTime
    fitness = get_fitness(guess)
    print("{0}\t{1}\t{2}".format(guess, fitness, str(timeDiff)))

def generate_parent(length):
    genes = []
    while len(genes) < length:
        sampleSize = min(length - len(genes), len(geneSet))
        genes.extend(random.sample(geneSet, sampleSize))
    return ''.join(genes)

```

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```

def mutate(parent):
    index = random.randrange(0, len(parent))
    childGenes = list(parent)
    newGene, alternate = random.sample(geneSet, 2)
    childGenes[index] = alternate \
        if newGene == childGenes[index] \
        else newGene
    return ''.join(childGenes)

random.seed()
geneSet = " abcdefghijklmnopqrstuvwxyzABCDEFGHIJKLMNOPQRSTUVWXYZ!."
target = "PasswordDesconhecida"
startTime = datetime.datetime.now()
bestParent = generate_parent(len(target))
bestFitness = get_fitness(bestParent)
display(bestParent)
while True:
    child = mutate(bestParent)
    childFitness = get_fitness(child)

    if bestFitness >= childFitness:
        continue
    display(child)
    if childFitness >= len(bestParent):
        break
    bestFitness = childFitness
    bestParent = child

```

- GA Example - Result:

```
Python 3.6.1 (default, Dec 2015, 13:05:11)
[GCC 4.8.2] on linux
kD ZSYJQoxC!wXcVqPiA    0  0:00:00.000196
kD ZSYJQoxC!wXcVcPiA    1  0:00:00.001691
kD ZSYJQoxCcwXcVcPiA   2  0:00:00.002332
kD sSYJQoxCcwXcVcPiA   3  0:00:00.002494
kD sSYJQoxCcwXcVcPdA   4  0:00:00.002837
kD sSYJQoxCcwXcVcPda   5  0:00:00.003333
kD sSYJQoxCcwXcVcida   6  0:00:00.004080
kD swYJQoxCcwXcVcida   7  0:00:00.008330
kD swYJQoeCcwXcVcida   8  0:00:00.011033
kD swYrQoeCcwXcVcida   9  0:00:00.012536
kD swYrQoeCcoXcVcida  10  0:00:00.012997
kD swYrQoescoXcVcida  11  0:00:00.019064
PD swYrQoescoXcVcida  12  0:00:00.019218
PD sworQoescoXcVcida  13  0:00:00.021669
Pa sworQoescoXcVcida  14  0:00:00.022342
Pa sworQoescoXcecida  15  0:00:00.024002
Pa sworQoescoXhecida  16  0:00:00.024711
PassworQoescoXhecida  17  0:00:00.025256
PasswordoescoXhecida  18  0:00:00.094835
Passwordoesconhecida  19  0:00:00.097151
PasswordDesconhecida  20  0:00:00.109849
.
```



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