

Chapter 5

Large and Fast:
Exploiting Memory
Hierarchy



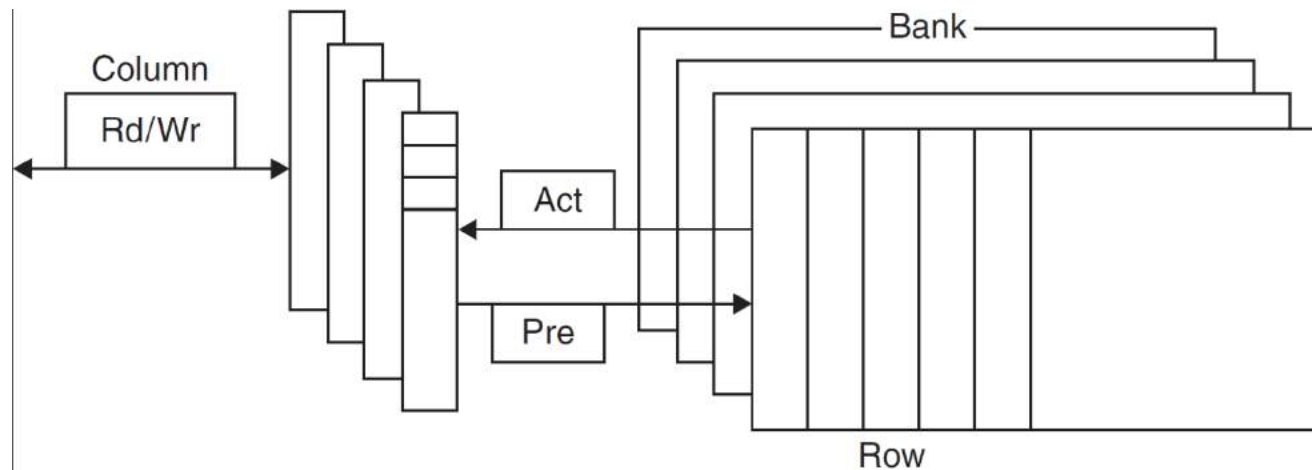
Memory Technology

- Static RAM (SRAM)
 - 0.5ns – 2.5ns, \$2000 – \$5000 per GB
- Dynamic RAM (DRAM)
 - 50ns – 70ns, \$20 – \$75 per GB
- Magnetic disk
 - 5ms – 20ms, \$0.20 – \$2 per GB
- Ideal memory
 - Access time of SRAM
 - Capacity and cost/GB of disk



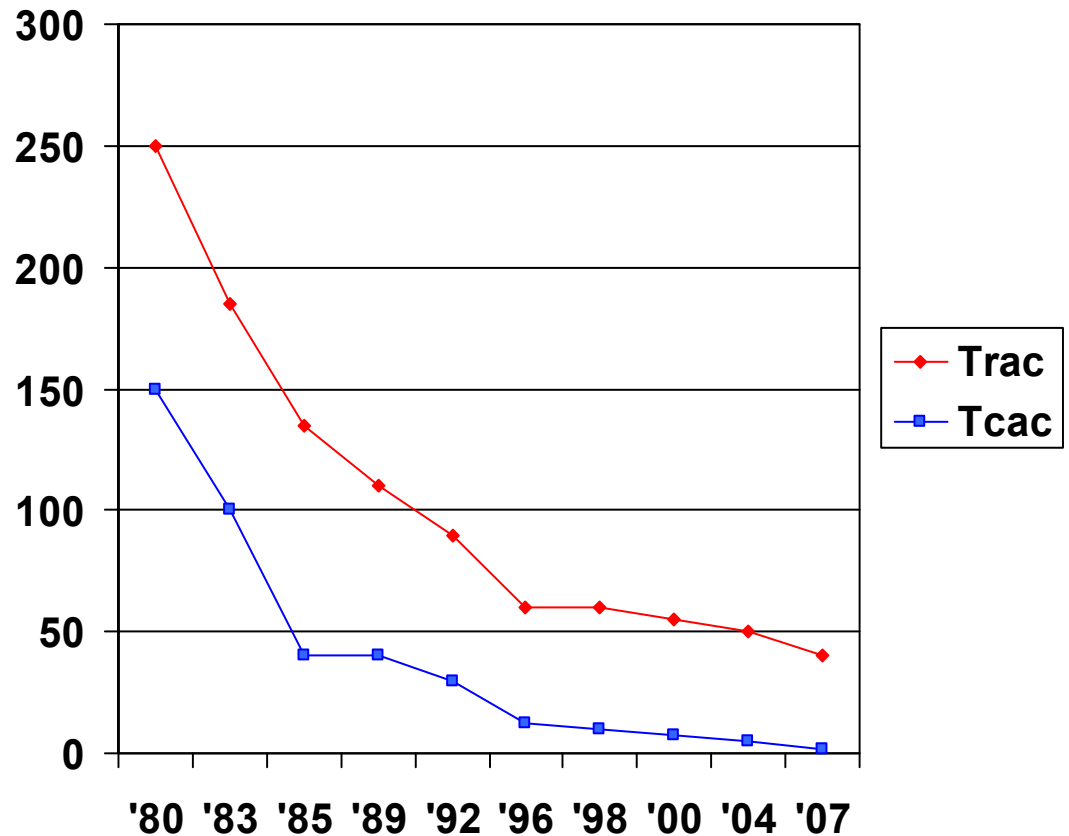
DRAM Technology

- Data stored as a charge in a capacitor
 - Single transistor used to access the charge
 - Must periodically be refreshed
 - Read contents and write back
 - Performed on a DRAM “row”



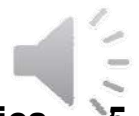
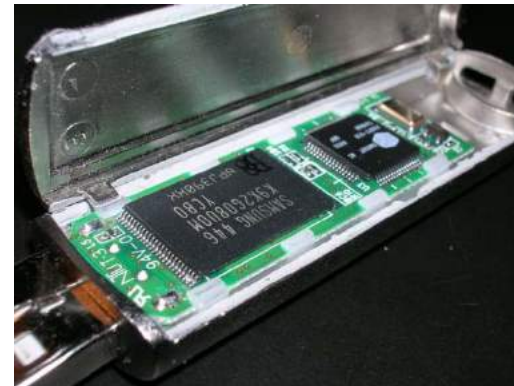
DRAM Generations

Year	Capacity	\$/GB
1980	64Kbit	\$1500000
1983	256Kbit	\$500000
1985	1Mbit	\$200000
1989	4Mbit	\$50000
1992	16Mbit	\$15000
1996	64Mbit	\$10000
1998	128Mbit	\$4000
2000	256Mbit	\$1000
2004	512Mbit	\$250
2007	1Gbit	\$50



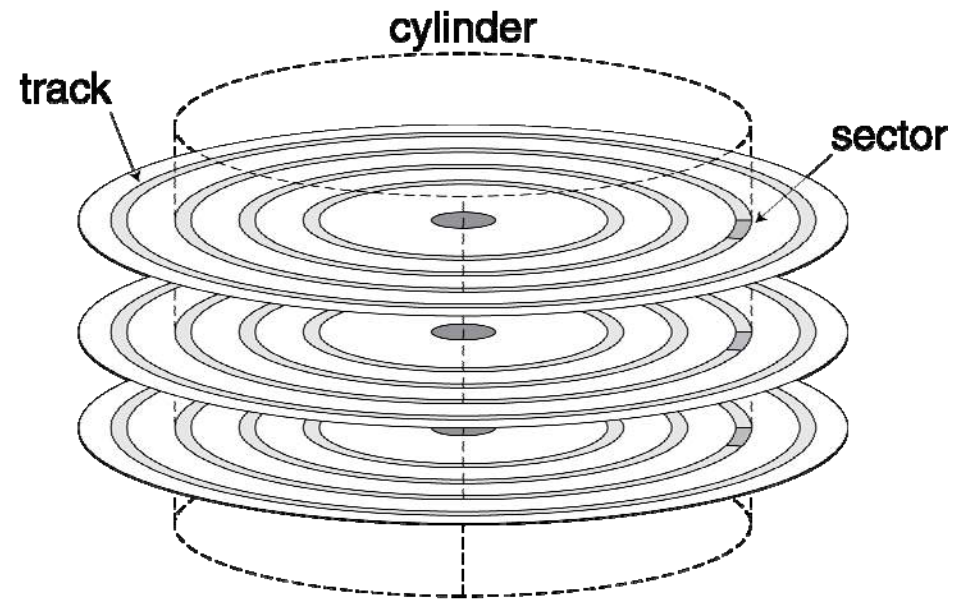
Flash Storage

- Nonvolatile semiconductor storage
 - 100× – 1000× faster than disk
 - Smaller, lower power, more robust
 - But more \$/GB (between disk and DRAM)



Disk Storage

- Nonvolatile, rotating magnetic storage



Principle of Locality

- Programs access a small proportion of their address space at any time
- Temporal locality
 - Items accessed recently are likely to be accessed again soon
 - e.g., instructions in a loop, induction variables
- Spatial locality
 - Items near those accessed recently are likely to be accessed soon
 - E.g., sequential instruction access, array data

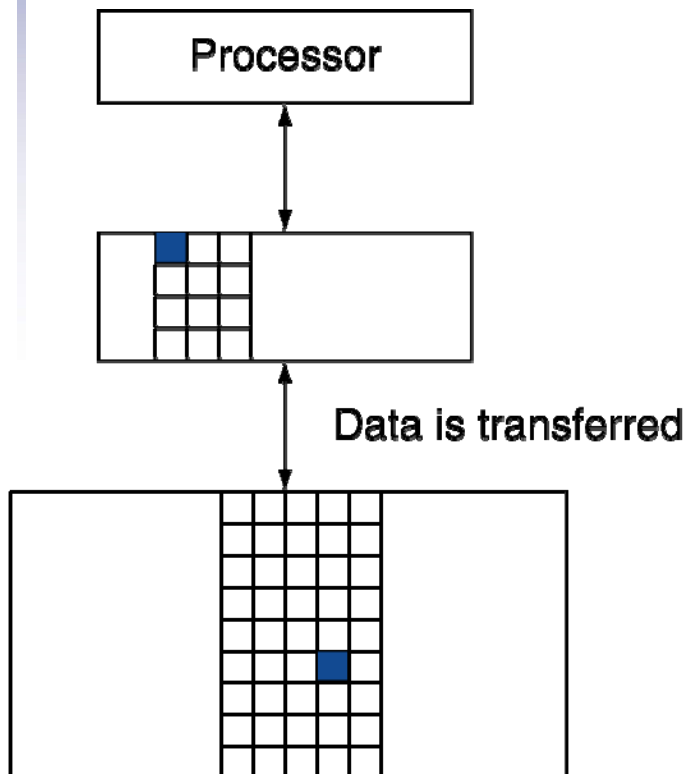


Taking Advantage of Locality

- Memory hierarchy
- Store everything on disk
- Copy recently accessed (and nearby) items from disk to smaller DRAM memory
 - Main memory
- Copy more recently accessed (and nearby) items from DRAM to smaller SRAM memory
 - Cache memory attached to CPU



Memory Hierarchy Levels



- Block (aka line): unit of copying
 - May be multiple words
- If accessed data is present in upper level
 - Hit: access satisfied by upper level
 - Hit ratio: hits/accesses
- If accessed data is absent
 - Miss: block copied from lower level
 - Time taken: miss penalty
 - Miss ratio: misses/accesses
= $1 - \text{hit ratio}$
 - Then accessed data supplied from upper level



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Cache Memory

- Cache memory
 - The level of the memory hierarchy closest to the CPU
- Given accesses X_1, \dots, X_{n-1}, X_n

X_4
X_1
X_{n-2}
X_{n-1}
X_2
X_3

a. Before the reference to X_n

X_4
X_1
X_{n-2}
X_{n-1}
X_2
X_n
X_3

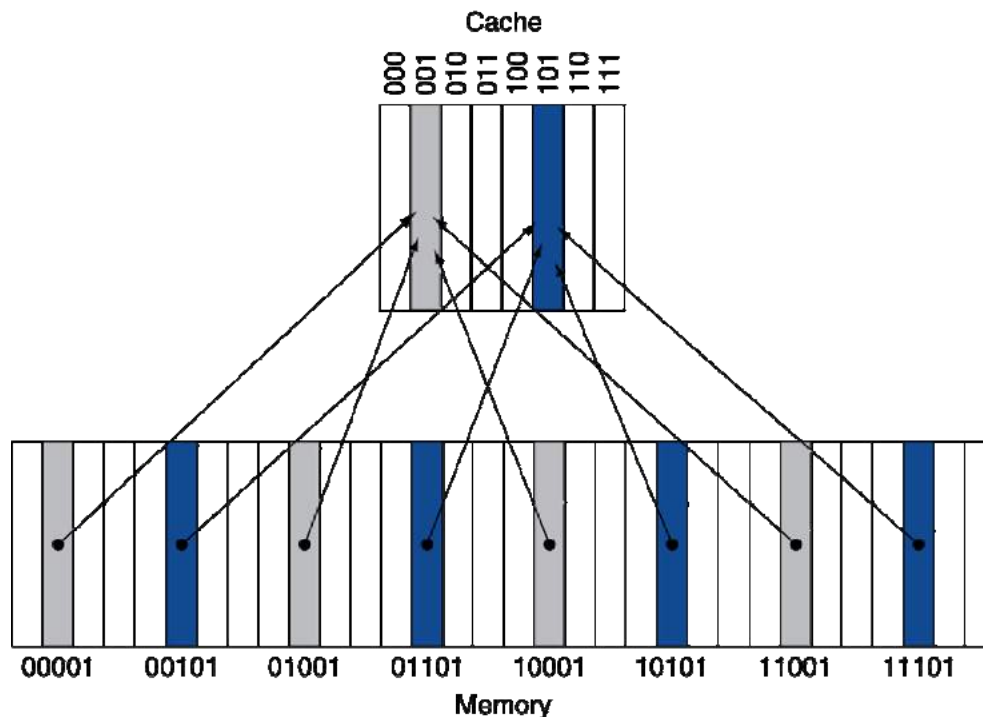
b. After the reference to X_n

- How do we know if the data is present?
- Where do we look?



Direct Mapped Cache

- Location determined by address
- Direct mapped: only one choice
 - (Block address) modulo (#Blocks in cache)



- #Blocks is a power of 2
- Use low-order address bits



Tags and Valid Bits

- How do we know which particular block is stored in a cache location?
 - Store block address as well as the data
 - Actually, only need the high-order bits
 - Called the tag
- What if there is no data in a location?
 - Valid bit: 1 = present, 0 = not present
 - Initially 0



Cache Example

- 8-blocks, 1 word/block, direct mapped
- Initial state

Index	V	Tag	Data
000	N		
001	N		
010	N		
011	N		
100	N		
101	N		
110	N		
111	N		



Cache Example

Word addr	Binary addr	Hit/miss	Cache block
22	10 110	Miss	110

Index	V	Tag	Data
000	N		
001	N		
010	N		
011	N		
100	N		
101	N		
110	Y	10	Mem[10110]
111	N		



Cache Example

Word addr	Binary addr	Hit/miss	Cache block
26	11 010	Miss	010

Index	V	Tag	Data
000	N		
001	N		
010	Y	11	Mem[11010]
011	N		
100	N		
101	N		
110	Y	10	Mem[10110]
111	N		



Cache Example

Word addr	Binary addr	Hit/miss	Cache block
22	10 110	Hit	110
26	11 010	Hit	010

Index	V	Tag	Data
000	N		
001	N		
010	Y	11	Mem[11010]
011	N		
100	N		
101	N		
110	Y	10	Mem[10110]
111	N		



Cache Example

Word addr	Binary addr	Hit/miss	Cache block
16	10 000	Miss	000
3	00 011	Miss	011
16	10 000	Hit	000

Index	V	Tag	Data
000	Y	10	Mem[10000]
001	N		
010	Y	11	Mem[11010]
011	Y	00	Mem[00011]
100	N		
101	N		
110	Y	10	Mem[10110]
111	N		



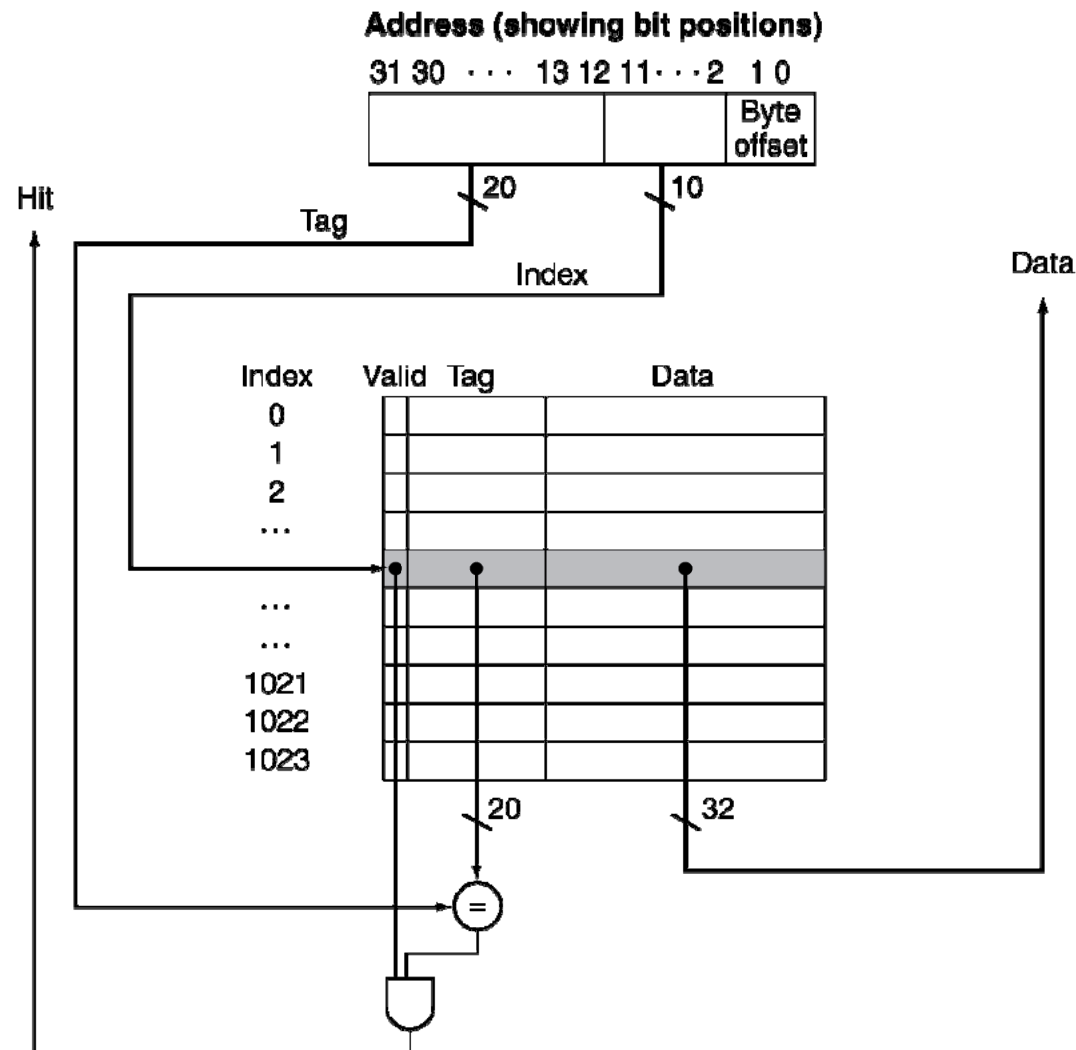
Cache Example

Word addr	Binary addr	Hit/miss	Cache block
18	10 010	Miss	010

Index	V	Tag	Data
000	Y	10	Mem[10000]
001	N		
010	Y	10	Mem[10010]
011	Y	00	Mem[00011]
100	N		
101	N		
110	Y	10	Mem[10110]
111	N		



Address Subdivision



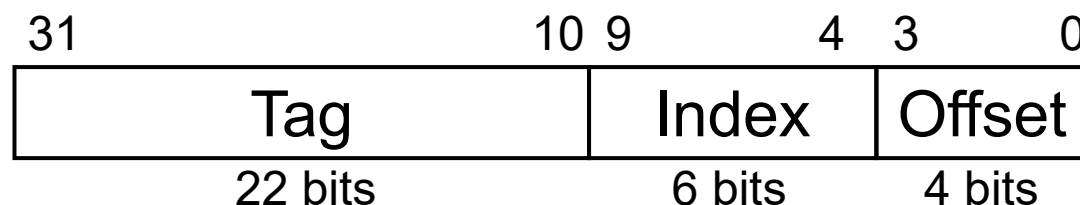
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Example: Larger Block Size

- 64 blocks, 16 bytes/block
 - To what block number does address 1200 map?
- Block address = $\lfloor 1200/16 \rfloor = 75$
- Block number = $75 \text{ modulo } 64 = 11$



Block Size Considerations

- Larger blocks should reduce miss rate
 - Due to spatial locality
- But in a fixed-sized cache
 - Larger blocks \Rightarrow fewer of them
 - More competition \Rightarrow increased miss rate
 - Larger blocks \Rightarrow pollution
- Larger miss penalty
 - Can override benefit of reduced miss rate
 - Early restart and critical-word-first can help



Cache Misses

- On cache hit, CPU proceeds normally
- On cache miss
 - Stall the CPU pipeline
 - Fetch block from next level of hierarchy
 - Instruction cache miss
 - Restart instruction fetch
 - Data cache miss
 - Complete data access



Write-Through

- On data-write hit, could just update the block in cache
 - But then cache and memory would be inconsistent
- Write through: also update memory
- But makes writes take longer
 - e.g., if base CPI = 1, 10% of instructions are stores, write to memory takes 100 cycles
 - Effective CPI = $1 + 0.1 \times 100 = 11$
- Solution: write buffer
 - Holds data waiting to be written to memory
 - CPU continues immediately
 - Only stalls on write if write buffer is already full



Write-Back

- Alternative: On data-write hit, just update the block in cache
 - Keep track of whether each block is dirty
- When a dirty block is replaced
 - Write it back to memory
 - Can use a write buffer to allow replacing block to be read first



Write Allocation

- What should happen on a write miss?
- Alternatives for write-through
 - Allocate on miss: fetch the block
 - Write around: don't fetch the block
 - Since programs often write a whole block before reading it (e.g., initialization)
- For write-back
 - Usually fetch the block



Measuring Cache Performance

- Components of CPU time
 - Program execution cycles
 - Includes cache hit time
 - Memory stall cycles
 - Mainly from cache misses
- With simplifying assumptions:

Memory stall cycles

$$= \frac{\text{Memory accesses}}{\text{Program}} \times \text{Miss rate} \times \text{Miss penalty}$$

$$= \frac{\text{Instructions}}{\text{Program}} \times \frac{\text{Misses}}{\text{Instruction}} \times \text{Miss penalty}$$



Cache Performance Example

- Given
 - I-cache miss rate = 2%
 - D-cache miss rate = 4%
 - Miss penalty = 100 cycles
 - Base CPI (ideal cache) = 2
 - Load & stores are 36% of instructions
- Miss cycles per instruction
 - I-cache: $0.02 \times 100 = 2$
 - D-cache: $0.36 \times 0.04 \times 100 = 1.44$
- Actual CPI = $2 + 2 + 1.44 = 5.44$
 - Ideal CPU is $5.44/2 = 2.72$ times faster



Average Access Time

- Hit time is also important for performance
- Average memory access time (AMAT)
 - $\text{AMAT} = \text{Hit time} + \text{Miss rate} \times \text{Miss penalty}$
- Example
 - CPU with 1ns clock, hit time = 1 cycle, miss penalty = 20 cycles, l-cache miss rate = 5%
 - $\text{AMAT} = 1 + 0.05 \times 20 = 2\text{ns}$
 - 2 cycles per instruction



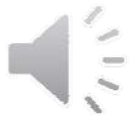
Performance Summary

- When CPU performance increased
 - Miss penalty becomes more significant
- Decreasing base CPI
 - Greater proportion of time spent on memory stalls
- Increasing clock rate
 - Memory stalls account for more CPU cycles
- Can't neglect cache behavior when evaluating system performance



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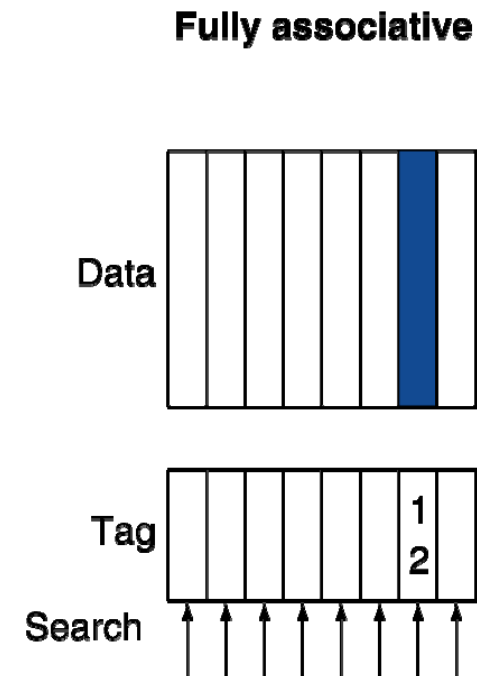
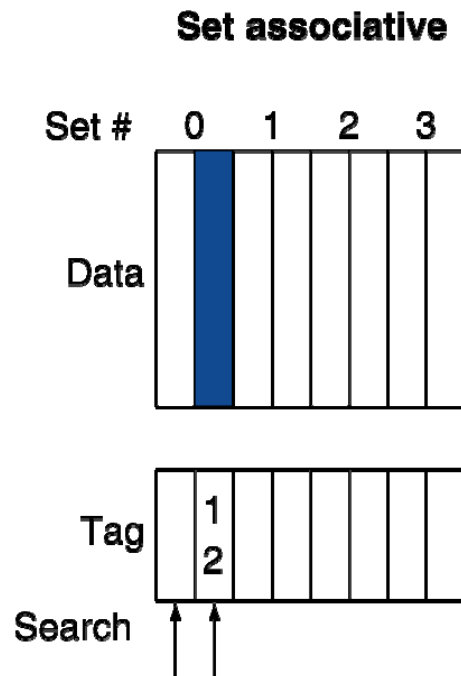
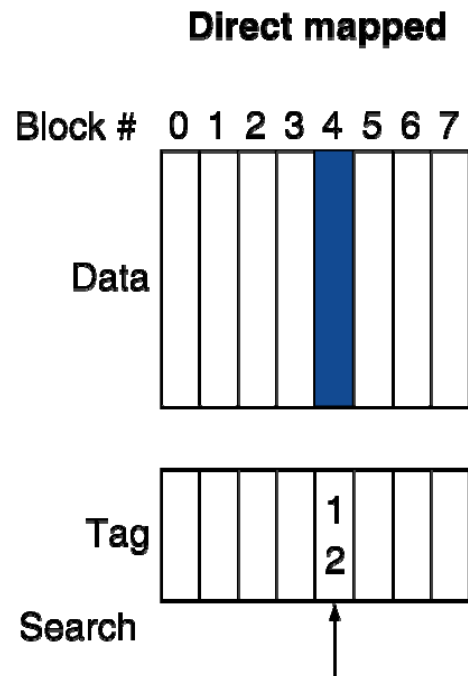


Associative Caches

- Fully associative
 - Allow a given block to go in any cache entry
 - Requires all entries to be searched at once
 - Comparator per entry (expensive)
- n -way set associative
 - Each set contains n entries
 - Block number determines which set
 - (Block number) modulo (#Sets in cache)
 - Search all entries in a given set at once
 - n comparators (less expensive)



Associative Cache Example



Spectrum of Associativity

- For a cache with 8 entries

**One-way set associative
(direct mapped)**

Block	Tag	Data
0		
1		
2		
3		
4		
5		
6		
7		

Two-way set associative

Set	Tag	Data	Tag	Data
0				
1				
2				
3				

Four-way set associative

Set	Tag	Data	Tag	Data	Tag	Data	Tag	Data
0								
1								

Eight-way set associative (fully associative)

Tag	Data	Tag	Data	Tag	Data	Tag	Data	Tag	Data	Tag	Data	Tag	Data	Tag	Data



Associativity Example

- Compare 4-block caches
 - Direct mapped, 2-way set associative, fully associative
 - Block access sequence: 0, 8, 0, 6, 8
- Direct mapped

Block address	Cache index	Hit/miss	Cache content after access			
			0	1	2	3
0	0	miss	Mem[0]			
8	0	miss	Mem[8]			
0	0	miss	Mem[0]			
6	2	miss	Mem[0]		Mem[6]	
8	0	miss	Mem[8]		Mem[6]	



Associativity Example

■ 2-way set associative

Block address	Cache index	Hit/miss	Cache content after access			
			Set 0		Set 1	
0	0	miss	Mem[0]			
8	0	miss	Mem[0]	Mem[8]		
0	0	hit	Mem[0]	Mem[8]		
6	0	miss	Mem[0]	Mem[6]		
8	0	miss	Mem[8]	Mem[6]		

■ Fully associative

Block address		Hit/miss	Cache content after access			
0		miss	Mem[0]			
8		miss	Mem[0]	Mem[8]		
0		hit	Mem[0]	Mem[8]		
6		miss	Mem[0]	Mem[8]	Mem[6]	
8		hit	Mem[0]	Mem[8]	Mem[6]	

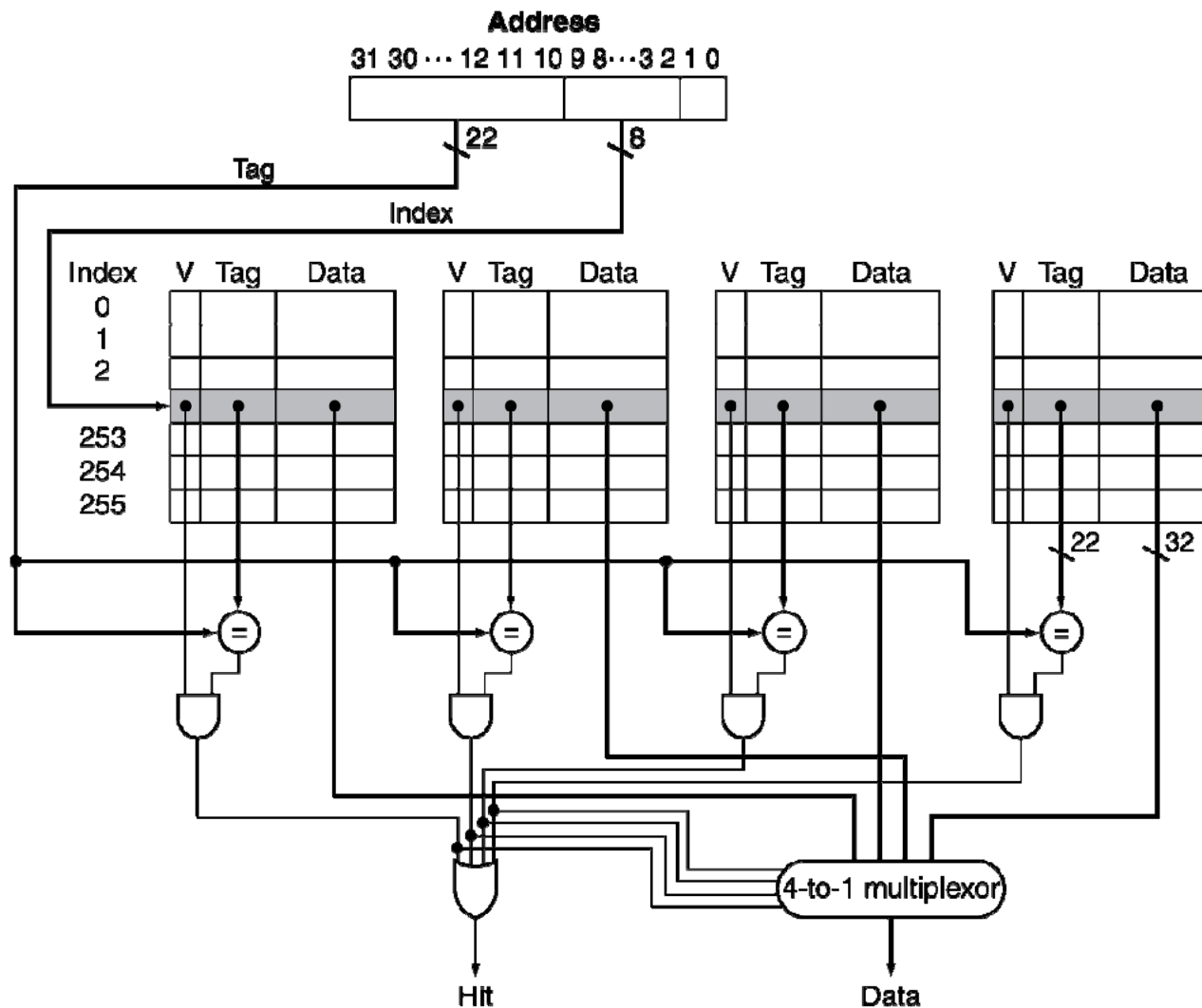


How Much Associativity

- Increased associativity decreases miss rate
 - But with diminishing returns
- Simulation of a system with 64KB D-cache, 16-word blocks, SPEC2000
 - 1-way: 10.3%
 - 2-way: 8.6%
 - 4-way: 8.3%
 - 8-way: 8.1%



Set Associative Cache Organization



Replacement Policy

- Direct mapped: no choice
- Set associative
 - Prefer non-valid entry, if there is one
 - Otherwise, choose among entries in the set
- Least-recently used (LRU)
 - Choose the one unused for the longest time
 - Simple for 2-way, manageable for 4-way, too hard beyond that
- Random
 - Gives approximately the same performance as LRU for high associativity



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Multilevel Caches

- Primary cache attached to CPU
 - Small, but fast
- Level-2 cache services misses from primary cache
 - Larger, slower, but still faster than main memory
- Main memory services L-2 cache misses
- Some high-end systems include L-3 cache



Multilevel Cache Example

- Given
 - CPU base CPI = 1, clock rate = 4GHz
 - Miss rate/instruction = 2%
 - Main memory access time = 100ns
- With just primary cache
 - Miss penalty = $100\text{ns}/0.25\text{ns} = 400$ cycles
 - Effective CPI = $1 + 0.02 \times 400 = 9$



Example (cont.)

- Now add L-2 cache
 - Access time = 5ns
 - Miss Rate = 10%
- Primary miss with L-2 hit
 - Penalty = $5\text{ns}/0.25\text{ns} = 20$ cycles
- Primary miss with L-2 miss
 - Extra penalty = 400 cycles
- $\text{CPI} = 1 + 0.02 \times (20 + 0.10 \times 400) = 2.2$
- Performance ratio = $9/2.2 = 4.1$



Multilevel Cache Considerations

- Primary cache
 - Focus on minimal hit time
- L-2 cache
 - Focus on low miss rate to avoid main memory access
 - Hit time has less overall impact
- Results
 - L-1 cache usually smaller than a single cache
 - L-1 block size smaller than L-2 block size



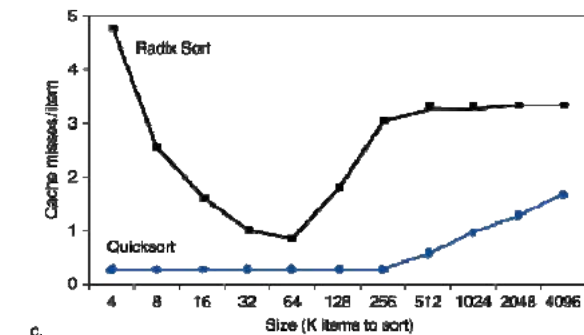
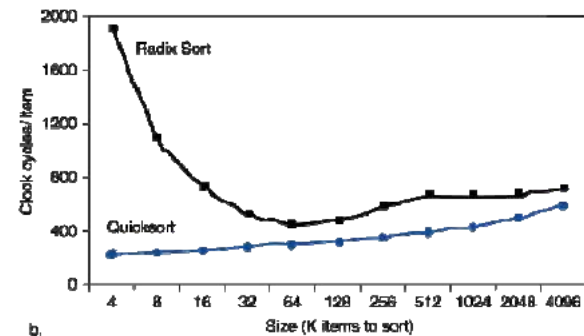
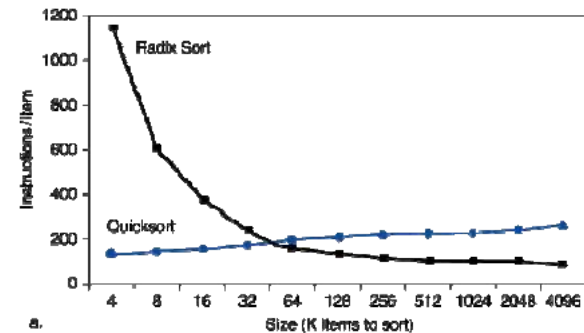
Interactions with Advanced CPUs

- Out-of-order CPUs can execute instructions during cache miss
 - Pending store stays in load/store unit
 - Dependent instructions wait in reservation stations
 - Independent instructions continue
- Effect of miss depends on program data flow
 - Much harder to analyse
 - Use system simulation



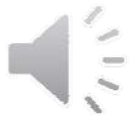
Interactions with Software

- Misses depend on memory access patterns
 - Algorithm behavior
 - Compiler optimization for memory access



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The Memory Hierarchy

The BIG Picture

- Common principles apply at all levels of the memory hierarchy
 - Based on notions of caching
- At each level in the hierarchy
 - Block placement
 - Finding a block
 - Replacement on a miss
 - Write policy



Block Placement

- Determined by associativity
 - Direct mapped (1-way associative)
 - One choice for placement
 - n-way set associative
 - n choices within a set
 - Fully associative
 - Any location
- Higher associativity reduces miss rate
 - Increases complexity, cost, and access time



Finding a Block

Associativity	Location method	Tag comparisons
Direct mapped	Index	1
n-way set associative	Set index, then search entries within the set	n
Fully associative	Search all entries	#entries
	Full lookup table	0

- Hardware caches
 - Reduce comparisons to reduce cost
- Virtual memory
 - Full table lookup makes full associativity feasible
 - Benefit in reduced miss rate



Replacement

- Choice of entry to replace on a miss
 - Least recently used (LRU)
 - Complex and costly hardware for high associativity
 - Random
 - Close to LRU, easier to implement
- Virtual memory
 - LRU approximation with hardware support



Write Policy

- Write-through
 - Update both upper and lower levels
 - Simplifies replacement, but may require write buffer
- Write-back
 - Update upper level only
 - Update lower level when block is replaced
 - Need to keep more state
- Virtual memory
 - Only write-back is feasible, given disk write latency



Sources of Misses

- Compulsory misses (aka cold start misses)
 - First access to a block
- Capacity misses
 - Due to finite cache size
 - A replaced block is later accessed again
- Conflict misses (aka collision misses)
 - In a non-fully associative cache
 - Due to competition for entries in a set
 - Would not occur in a fully associative cache of the same total size



Cache Design Trade-offs

Design change	Effect on miss rate	Negative performance effect
Increase cache size	Decrease capacity misses	May increase access time
Increase associativity	Decrease conflict misses	May increase access time
Increase block size	Decrease compulsory misses	Increases miss penalty. For very large block size, may increase miss rate due to pollution.



Concluding Remarks

- Fast memories are small, large memories are slow
 - We really want fast, large memories ☹️
 - Caching gives this illusion 😊
- Principle of locality
 - Programs use a small part of their memory space frequently
- Memory hierarchy
 - L1 cache ↔ L2 cache ↔ ... ↔ DRAM memory ↔ disk
- Memory system design is critical for multiprocessors

