

# CS 111: Operating System Principles

## Lecture 20

# Sockets

1.0.0

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## Sockets are Another Form of IPC

We've seen pipes, shared memory, and signals

These forms of IPC assume that the processes are on the same physical machine

Sockets enable IPC between physical machines, typically over the network

## Servers Follow 4 Steps to Use Sockets

These are all system calls, and have the usual C wrappers:

1. `socket`  
Create the socket
2. `bind`  
Attach the socket to some location (a file, IP:port, etc.)
3. `listen`  
Indicate you're accepting connections, and set the queue limit
4. `accept`  
Return the next incoming connection for you to handle

## Clients Follow 2 Steps to Use Sockets

Clients have a much easier time, they use one socket per connection

1. `socket`

Create the socket

2. `connect`

Connect to some location, the socket can now send/receive data

## The socket System Call Sets the Protocol and Type of Socket

```
int socket(int domain, int type, int protocol);
```

`domain` is the general protocol, further specified with `protocol` (mostly unused)

- `AF_UNIX` is for local communication (on the same physical machine)

- `AF_INET` is for IPv4 protocol using your network interface

- `AF_INET6` is for IPv6 protocol using your network interface

`type` is (usually) one of two options: stream or datagram sockets

## Stream Sockets Use TCP

All data sent by a client appears in the same order on the server

Forms a persistent connection between client and server

Reliable, but may be slow

## Datagram Sockets Use UDP

Sends messages between the client and server

No persistent connection between client and server

Fast but messages may be reordered, or dropped

## The bind System Call Sets a Socket to an Address

```
int bind(int socket, const struct sockaddr *address,  
         socklen_t address_len);
```

`socket` is the file descriptor returned from the `socket` system call

There's different `sockaddr` structures for different protocols

- `struct sockaddr_un` for local communcation (just a path)

- `struct sockaddr_in` for IPv4, a IPv4 address (e.g. 8.8.8.8)

- `struct sockaddr_in6` for IPv6, a IPv6 address (e.g. 2001:4860:4860::8888)



## The `listen` System Call Sets Queue Limits for Incoming Connections

```
int listen(int socket, int backlog);
```

`socket` is still the file descriptor returned from the `socket` system call

`backlog` is the limit of the outstanding (not accepted) connections

The kernel manages this queue, and if full will not allow new connections

We'll set this to 0 to use the default kernel queue size

## The accept System Call Blocks Until There's a Connection

```
int accept(int socket, struct sockaddr *restrict address,  
           socklen_t *restrict address_len);
```

`socket` is *still* the file descriptor returned from the `socket` system call

`address` and `address_len` are locations to write the connecting address  
Acts as an optional return value, set both to NULL to ignore

This returns a new file descriptor, we can `read` or `write` to as usual

## The connect System Call Allows a Client to Connect to an Address

```
int connect(int sockfd, const struct sockaddr *addr,  
            socklen_t addrlen);
```

`sockfd` is the file descriptor returned by the `socket` system call

The client would need to be using the same protocol and type as the server

`addr` and `addrlen` is the address to connect to, exactly like `bind`

If this call succeeds then `sockfd` may be used as a normal file descriptor

## Our Example Server Sends “Hello there!” to Every Client and Disconnects

Please see `examples/lecture-20` in your `cs111` repository

Relevant source files: `client.c` and `server.c`

We use a local socket just for demonstration, but you could use IPv4 or IPv6

We use `example.sock` in the current directory as our socket address

Our server uses signals to clean up and terminate from our infinite `accept` loop

## Instead of read/write There's Also send/recv System Calls

These system calls are basically the same thing, except they have flags

Some examples are:

- MSG\_OOB – Send/receive out-of-band data

- MSG\_PEEK – Look at data without reading

- MSG\_DONTROUTE – Send data without routing packets

Except for maybe MSG\_PEEK, you do not need to know these

sendto/recvfrom take an additional address

- The kernel ignores the address for stream sockets (there's a connection)

## Sockets Form a Basis For Distributed Systems

You can use a remote procedure call (RPC) to run a function on another machine  
Corresponds to sending a request, and receiving a reply

RPC can be done asynchronously, your process sends a request and doesn't block  
You can continue working in other threads to keep the process running

You can also have distributed file systems, the data can reside on another server  
NFS is a protocol designed to appear as a file system, but uses a network

## Sockets are IPC Across Physical Machines

We can now create servers and clients, but there's much more to learn!  
There's networking and distributed systems courses

However, today we learned the basics:

- Sockets require an address (e.g. local and IPv4/IPv6)
- There are two types of sockets: stream and datagram
- Servers need to bind to an address, listen, and accept connections
- Clients need to connect to an address