

## Math 61 Lecture 1: Sets

- Roughly speaking, a set is a collection of objects

↳  $A = \{1, 2, 3, 2020\}$  ]- elements (or members)

↳ Order doesn't matter

↳ Duplicates are not listed (assume members are unique)

- Can also describe a set by listing a property

↳  $B = \{x \mid x \text{ is positive, bigger than } 2020\}$

↳  $B = \{2021, 2022, 2023, \dots\}$

- Other examples:

↳  $\mathbb{N} = \{1, 2, 3, \dots\}$ ,  $\mathbb{Q} = \{\frac{p}{q} \mid p \text{ is integer, } q \text{ is natural}\}$ ,  $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$

natural #s

integers

$N_k = \{1, 2, 3, \dots, k\}$

↳ elements do not have to be related to each other

↳  $W = \{a, 1, \text{elmer}, \{\epsilon, \delta\}, \pi, \text{Homero}, \Delta\}$

- Cardinality - # of elements in a finite set

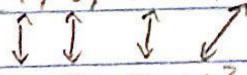
↳ If  $X$  has a finite # of elements,  $|X|$  denotes its cardinality

↳  $|X| = \# \text{ of elements in } X$

↳ Ex)  $|A| = 4$ ,  $|B| = \text{Not finite}$

↳ Cardinality is related to a concept that is perfectly illustrated with bidirectional arrows

↳  $A = \{1, 3, 2020, 2\}$



$N_4 = \{1, 2, 3, 4\}$

↳ 1-to-1 correspondence between 2 finite sets

- Given a set  $A$ :

↳  $x \in A$  if  $x$  is an element of  $A$

↳  $x \notin A$  if  $x$  is not an element of  $A$

- The empty set is the set w/ no elements, denoted  $\emptyset$ , or  $\{\}$

- The sets  $X$  and  $Y$  are equal iff  $X$  and  $Y$  have the same elements

↳  $X = Y \Leftrightarrow \forall x \in X \Rightarrow x \in Y \text{ and } \forall y \in Y \Rightarrow y \in X$

• Ex) Prove  $X = \{x \mid x^2 + x - 6 = 0\}$  and  $Y = \{2, -3\}$  are equal

$$x^2 + x - 6 = 0 \rightarrow x = 2, x = -3$$

Case1: If  $x = 2$ , then  $x \in Y = \{2, -3\}$

Case2: If  $x = -3$ , then  $x \in Y = \{2, -3\}$

## • Subsets

↳  $X$  is a subset of  $Y$  ( $X \subseteq Y$ ) iff  $\forall x \in X \Rightarrow x \in Y$

↳  $X = Y \Leftrightarrow X \subseteq Y$  and  $Y \subseteq X$

↳  $X$  is a proper subset of  $Y$  iff  $X \subseteq Y$  and  $X \neq Y$

↳  $X \subset Y \Leftrightarrow \forall x \in X \Rightarrow x \in Y$  and  $\exists y \in Y$  such that  $y \notin X$

↳ Equivalently:  $X \subset Y$  iff  $X \subseteq Y$ , but  $Y$  is not a subset of  $X$

↳ The empty set is a subset of any set

↳ If  $X$  is a set, then  $\emptyset \subseteq X$

## • Power Sets

↳ Given a set  $X$ , its power set, denoted  $P(X)$  is the set of all subsets of  $X$

↳ Ex) If  $X = \{1, 2, 3\}$

↳ 1 subset with 0 elements:  $\emptyset$

↳ 3 subsets with 1 element:  $\{\{1\}, \{2\}, \{3\}\}$

↳ 3 subsets with 2 elements:  $\{\{1, 2\}, \{1, 3\}, \{2, 3\}\}$

↳ 1 subset with 3 elements:  $\{\{1, 2, 3\}\}$

↳  $|P(X)| = 8 = 2^{|X|}$

## Math 61 Lecture 2: Functions

• DeMorgan's Laws  $\rightarrow \cup = OR, \cap = AND$

$$\hookrightarrow x \in \overline{x \cup y} \rightarrow x \notin x \cup y \rightarrow x \notin x \text{ AND } x \notin y$$

• Defs:

$\hookrightarrow \bar{X} \rightarrow$  Complement

$\hookrightarrow X \cup Y \rightarrow$  Union

$\hookrightarrow X \cap Y \rightarrow$  Intersection

• Cartesian Product

$\hookrightarrow$  Ordered pair  $\rightarrow (a, b) \neq (b, a)$

$\hookrightarrow$  Given sets  $A, B$ , define:  $A \times B := \{(a, b) | a \in A, b \in B\}$

$\hookrightarrow$  Cartesian product

$\hookrightarrow \exists x) A = \{1, 2, 3\}, B = \{a, b\}$

$$A \times B = \{(1, a), (1, b), (2, a), (2, b), (3, a), (3, b)\}$$

$\hookrightarrow (b, 2)$  is not part of the cartesian product ( $b \notin A$ )

• A function  $f$  assigns to each member of a set  $X$  exactly one member of a set  $Y$

$\hookrightarrow$  Can be represented as a set of ordered pairs  $\rightarrow$  1st entries from  $X$ , 2nd from  $Y$

$\hookrightarrow$  Let  $X$  and  $Y$  be sets. A function  $f$  from  $X$  to  $Y$  is a subset of the cartesian product  $X \times Y$  having the property that:

$\hookrightarrow \forall x \in X, \exists! y \in Y$  with  $(x, y) \in f$

$\hookrightarrow X$ -Domain,  $Y$ -Codomain,  $R$ -Range

$\hookrightarrow \exists x) f = \{(1, a), (2, a), (3, b)\}$

a)  $X = \{1, 2, 3\}, Y = \{a, b\} \rightarrow$  Yes

b)  $X = \{1, 2, 3\}, Y = \{a, b, c\} \rightarrow$  Yes

c)  $X = \{1, 2, 3, 4\}, Y = \{a, b\} \rightarrow$  No

$\hookrightarrow$  represent using  $y = f(x)$  notation

$\hookrightarrow$  a function can also be defined by a rule

$\hookrightarrow$  rule, domain, and codomain must be specified

• One to One Functions

$\hookrightarrow$  a function  $f: X \rightarrow Y$  is said to be one-to-one (injection) if  $\forall x_1, x_2 \in X,$

$$f(x_1) = f(x_2) \Rightarrow x_1 = x_2$$

$$\hookrightarrow x_1 \neq x_2 \Rightarrow f(x_1) \neq f(x_2)$$

$\hookrightarrow \exists r) \text{ s.t. } f(n) = 2n-1 : 1-1-1$

$$\hookrightarrow 2n-1 = f(n) = f(m) = 2m-1$$

$$2n = 2m$$

$$n = m$$

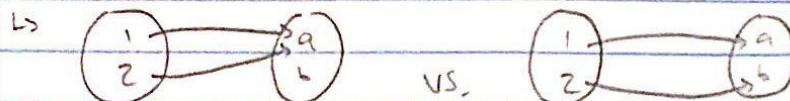
## Math 61 Lecture 3: Functions

• Onto functions: A function  $f: X \rightarrow Y$  such that  $\forall y \in Y, \exists x \in X$  with  $f(x) = y$

$$\hookrightarrow R = \text{Range} = \{f(x) \in Y \mid x \in X\}$$

$\hookrightarrow f$  is onto if  $Y = R \rightarrow \text{codomain} = \text{range}$

$\hookrightarrow Y$  has no elements left out



$\hookrightarrow$  Ex)  $E = \{2, 4, 6, \dots\}$  and  $N = \{1, 2, 3, \dots\}$ , show  $f: E \rightarrow N, f(n) = \frac{n}{2}$

is 1-to-1 and onto

Let  $n = \text{any natural } \mathbb{N}$

$$(2n) \rightarrow f(2n) = \frac{(2n)}{2} = n$$

• bijections (1-to-1 correspondence)

$\hookrightarrow$  Definitions: Let  $f: X \rightarrow Y$  be a function.  $f$  is said to be a bijection if

it is both 1-to-1 and onto

$\hookrightarrow$  invertible

• Inverse functions: If  $f: X \rightarrow Y$  is a bijection, then  $\{(y, x) \mid (x, y) \in f\}$

$\hookrightarrow$  Is a function  $\rightarrow \forall y \in Y, \exists! x \in X$  with  $(y, x) \in f^{-1}$

$\hookrightarrow$  order of entries reversed, prove uniqueness

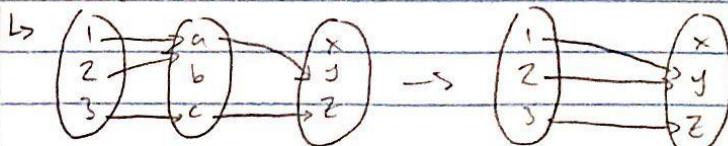
$\hookrightarrow$  Is onto

$\hookrightarrow$  Is 1-to-1

• Composition of functions

$\hookrightarrow$  Let  $g: X \rightarrow Y$  and  $f: Y \rightarrow Z$ , the composition is the new function  $fg: X \rightarrow Z$

$$\hookrightarrow (fg)(x) = f(g(x))$$



• Misc.

$\hookrightarrow$  Modulo:  $x \bmod y = \text{remainder when } x \text{ is divided by } y$

$\hookrightarrow$  Floor and ceiling:

$\hookrightarrow$  Floor -  $\lfloor x \rfloor$  - greatest integer  $\leq x$

$\hookrightarrow$  Ceiling -  $\lceil x \rceil$  - smallest integer  $\geq x$

$$\hookrightarrow \lfloor \pi \rfloor = 3, \lceil \pi \rceil = 4, \lfloor -\pi \rfloor = -4, \lceil -\pi \rceil = -3$$

$\hookrightarrow$  Binary operator is a function  $f: X \times X \rightarrow X$

$\hookrightarrow$  Unary operator is a function  $f: X \rightarrow X$

$\hookrightarrow$  Ex) negation

## Math 61 Lecture 4: Sequences and Strings

• Bird scooters charge \$1.00 to unlock and \$0.15 per min.

$$\hookrightarrow \$1.00 \quad \$1.15 \quad \$1.30 \quad \$1.45 \quad \$1.60$$

$$1 \quad 2 \quad 3 \quad 4$$

$\hookrightarrow$  Ex)  $D = \mathbb{N}$ ,  $S_n = n$  gives  $\{1, 2, 3, 4, \dots\}$

$\hookrightarrow$  Ex)  $D = \mathbb{N} \cup \{0\}$ ,  $S_n = 2^n$ ,  $n \in \mathbb{R}$  gives  $\{1, 2, 4, 8, \dots\}$

$\hookrightarrow$  Ex)  $D = \{1, 4, 10\}$ ,  $S_n = 2^n$  gives  $\{2, 16, 1024\}$

$\hookrightarrow$  Ex) Define  $S_n = 2^n + 4 \cdot 3^n$ ,  $n \geq 0$

$$\hookrightarrow S_0 = 2^0 + 4 \cdot 3^0 = 1 + 4 = \boxed{5}$$

$$\hookrightarrow S_1 = 2^1 + 4 \cdot 3^1 = 2 + 4 \cdot 3 = \boxed{14}$$

$$\hookrightarrow S_k = \boxed{2^k + 4 \cdot 3^k}$$

$$\hookrightarrow S_{n-1} = 2^{n-1} + 4 \cdot 3^{n-1} = \boxed{\frac{1}{2}2^n + \frac{4}{3}3^n}$$

$$\hookrightarrow S_{n-2} = 2^{n-2} + 4 \cdot 3^{n-2} = \boxed{\frac{1}{4}2^n + \frac{4}{9} \cdot 3^n}$$

$\hookrightarrow$  Ex)  $S_n = 2^n + 4 \cdot 3^n$ ,  $n \geq 0 \rightarrow$  Show  $S_n = 5 \cdot S_{n-1} - 6S_{n-2}$

$$S_n = 5(2^{n-1} + 4 \cdot 3^{n-1}) - 6(2^{n-2} + 4 \cdot 3^{n-2})$$

$$= 5\left(\frac{1}{2}2^n + \frac{4}{3} \cdot 3^n\right) - 6\left(\frac{1}{4}2^n + \frac{4}{9} \cdot 3^n\right)$$

$$= \frac{5}{2}2^n + \frac{20}{3} \cdot 3^n - \frac{3}{2}2^n - \frac{8}{3} \cdot 3^n$$

$$= \boxed{2^n + 4 \cdot 3^n \checkmark}$$

• Definitions

$\hookrightarrow$  Increasing: if  $i < j \Rightarrow S_i < S_j$

$\hookrightarrow$  Decreasing: if  $i < j \Rightarrow S_i > S_j$

$\hookrightarrow$  Non-Decreasing: if  $i < j \Rightarrow S_i \leq S_j$

$\hookrightarrow$  Non-Increasing: if  $i < j \Rightarrow S_i \geq S_j$

$\hookrightarrow$  Ex)  $S_n = \{1, 1, 1, 1, 1, \dots\} \rightarrow$  Non-Dec., Non-Inc

$\hookrightarrow$  Ex)  $S_n = \{1, 2, 2, 2, 3, 3, 4, 4\} \rightarrow$  Non-Dec.

• Notation-  $\{u_1, u_2, u_3, \dots, u_k, \dots\} = \{u_k\}_{k=1}^{\infty}$

• If  $s$  is a sequence, a subsequence of  $s$  is obtained from  $s$  by choosing some elements of  $s$  in the same order in which they appear

$\hookrightarrow \{s_{k_n}\} \subseteq \{s_n\} \rightarrow$  where  $k_n$  is increasing

$\hookrightarrow$  Consider  $\{1, 2, 3, 4, \dots, k, \dots\} = \{k\}_{k=1}^{\infty}$

$$\hookrightarrow \sigma_1 = 1$$

$$\pi_1 = 1$$

$$\sigma_2 = 1+2$$

$$\pi_2 = 1 \cdot 2$$

$$\sigma_3 = 1+2+3$$

$$\pi_3 = 1 \cdot 2 \cdot 3$$

$$\sigma_n = 1+2+3+\dots+n$$

$$\pi_n = 1 \cdot 2 \cdot 3 \cdots n$$

$$\sigma_n = \sum_{k=1}^n k$$

$$\pi_n = \prod_{k=1}^n k = n!$$

## Math 61 Lecture 5: Relations

• Strings - a finite sequence of characters

↳ A string over  $X$  (is a finite set) is a finite sequence of elements from  $X \rightarrow \{1, 2, \dots, k\} \subseteq \mathbb{N} \rightarrow X$ ,  $|X| < \infty$

↳ Order matters:  $bac \neq acb$

↳ Power notation:  $bbagac = b^2a^3c$

↳ the null string ( $\lambda$ ) contains no characters

↳  $X^* =$  the set of all strings over  $X$

↳  $X^+ := X^* - \{\lambda\}$  is the set of non-null strings over  $X$

↳ The length of  $\alpha \rightarrow |\alpha|$  is the # of elements in  $\alpha$

↳  $\alpha$  and  $\beta$  are strings  $\rightarrow \alpha\beta$  is the concatenation of  $\alpha$  and  $\beta$

↳ a substring of  $\alpha$  is some or all consecutive characters of  $\alpha$

↳  $\beta$  is a substring of  $\alpha$  if  $\exists \gamma, \delta$  with  $\alpha = \gamma\beta\delta$

↳ the reverse string of  $\alpha$  is  $\alpha^R \rightarrow$  reversed characters of  $\alpha$

### • Relations

↳ Recall: A function  $f: X \rightarrow Y$  is a subset of the cartesian product with the 1-arrow per element property

↳ Definition: A binary relation  $R$  from  $X$  to  $Y$  is a subset of  $X \times Y$

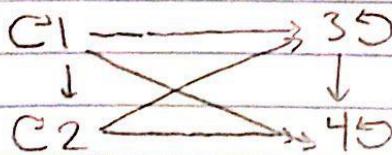
↳  $(x, y) \in R$  or  $x R y \rightarrow x$  is related to  $y$

↳ Digraphs  $\rightarrow$  when  $X=Y$ ,  $R$  is called a binary relation on  $X$

↳ can be represented as a digraph

↳ Ex) Let  $X = \{1, 2, 3, 4\}$  and  $(x, y) \in R$  if  $x \leq y$

$$R = \{(1, 1), (1, 2), (1, 3), (1, 4), (2, 2), (2, 3), (2, 4), (3, 3), (3, 4), (4, 4)\}$$



↳ Reflexive: if  $\forall x \in X, (x, x) \in R$

↳ Symmetric: if  $\forall x \in X, \forall y \in X, (x, y) \in R \Rightarrow (y, x) \in R$

↳ Anti-Symmetric:  $\forall x, y \in X, (x, y) \in R$  and  $(y, x) \in R \Rightarrow (x, y)$

↳ Transitive: if  $\forall x, y, z \in X, (x, y) \in R$  and  $(y, z) \in R \Rightarrow (x, z) \in R$

## Math 61 Lecture 6: Equivalence Relations

### • Relation Properties:

↳ Reflexive:  $\forall x \in X, (x,x) \in R$

↳ Symmetric: if  $(x,y) \in R$ , then  $(y,x) \in R$

↳ Anti-Symmetric:  $\forall x, y \in X, (x,y) \in R$  and  $(y,x) \in R \Rightarrow x=y$

↳ Transitive: if  $\forall x, y, z \in X, (x,y) \in R$  and  $(y,z) \in R \Rightarrow (x,z) \in R$

↳ Partial Order: reflexive, anti-symmetric, and transitive

↳ Ex)  $X = \{\emptyset, \{1\}, \{2\}, \{1,2\}\}$ ,  $A, B \in X, (A, B) \in R$  if  $A \subseteq B$

$\emptyset \subseteq \{1\} \subseteq \{1,2\} \rightarrow (\emptyset, \{1\}) \in R, (\{1\}, \{1,2\}) \in R, (\emptyset, \{1,2\}) \in R$

$\emptyset \subseteq \{2\} \subseteq \{1,2\} \rightarrow (\emptyset, \{2\}) \in R, (\{2\}, \{1,2\}) \in R, (\emptyset, \{1,2\}) \in R$

↳  $x \leq y$  if  $(x,y) \in R$ ,  $x \not\leq y$  if  $(x,y) \notin R$

↳  $x \leq y \rightarrow x$  and  $y$  are comparable,  $x \not\leq y$  and  $y \leq x \rightarrow x$  and  $y$  are incomparable

↳ Inverse Relation:  $R^{-1} = \{(y,x) | (x,y) \in R\} \subseteq Y \times X$

↳ Composition:  $R_2 \circ R_1 = \{(x,z) | (x,y) \in R_1 \text{ and } (y,z) \in R_2 \text{ for some } y \in Y\}$

↳ Ex)  $X = \{1, 2, 3\}$ ,  $R_1 = \{(1,1), (1,2), (2,3)\}$ ,  $R_2 = \{(1,3), (2,3), (3,1)\}$

$R_2 \circ R_1 = \{(1,3), (2,1)\}$

### • Equivalence Relations:

b	a	c			$A_1$		$A_2$
d	e	f					

$X = A_1 \cup A_2 \cup A_3$ ,  $A_i \cap A_j = \emptyset$  if  $i \neq j$

$R = \{(a,a), (a,b), (a,c), (b,b), (b,a), (b,c), (c,c), (c,b), (c,a), (d,d), (d,e), (e,e), (e,d), (f,f)\}$

↳ Define  $R: (x,y) \in R$  if  $x, y \in A_i \rightarrow x$  and  $y$  in same subset

↳ Reflexive ✓, symmetric ✓, transitive ✓

## Math 61 Lecture 7: Equivalence Classes

### • Partitions

- ↳ let  $A_\alpha$  be a collection of subsets of  $X$  satisfying  $X = \bigcup A_\alpha$  and  $A_\alpha \cap A_\beta = \emptyset$  if  $\alpha \neq \beta$ , let  $(x,y) \in R$  if  $x, y \in A_\alpha$ , then  $R$  is an equivalent relation
  - ↳  $R$  is reflexive: say  $x \in X = \bigcup A_\alpha$ ,  $x \in A_\alpha \rightarrow x$  lives in same set as  $x$
  - ↳  $R$  is symmetric: say  $(x,y) \in R$ ,  $x, y \in A_\alpha$ ,  $y, x \in A_\alpha \rightarrow (y,x) \in R$
  - ↳  $R$  is transitive: say  $(x,y) \in R$ ,  $(y,z) \in R \rightarrow x \in A_\alpha$ ,  $y \in A_\alpha$ ,  $z \in A_\alpha \rightarrow x, z \in A_\alpha \rightarrow (x,z) \in R$

### • Equivalence Classes

- ↳ Recall  $X = \{1, 2, 3, \dots, 200\}$ , let  $(x,y) \in R$  if  $s$  divides  $x-y$  is an equivalence relation

↳ Let  $[x] := \{y \in X \mid xRy\} \rightarrow [x]$  is the equivalence class of  $x$

$$[1] = \{1, 6, 11, 21, \dots, 2011, 2016\}$$

$$[2] = \{2, 7, 12, 17, \dots, 2012, 2017\}$$

↳ 2  $x$ 's in the same eq. class have the same eq. class

↳ This collection of subsets is a partition of  $X$

↳ every  $x \in X$  belongs to its own equivalence class

↳  $x \in X \rightarrow [x] \neq \emptyset$ ; by reflexivity  $(x,x) \in R \Rightarrow x \in [x]$

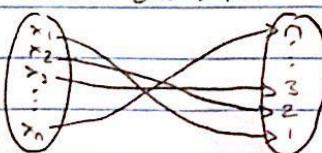
↳ if  $(x,y) \notin R$ , then  $[x] \cap [y] = \emptyset$

↳ say  $[x] \cap [y] \neq \emptyset$  then  $z \in [x]$  and  $z \in [y] \rightarrow (x,z) \in R$ ,  
 $(y,z) \in R \rightarrow$  symmetry  $(z,y) \in R \rightarrow (x,z)$  and  $(z,y) \in R \rightarrow$  transitivity  $(x,y) \in R$

• If  $X$  and  $Y$  are finite sets  $\rightarrow |X \cup Y| = |X| + |Y|$

• Let  $X$  and  $Y$  be sets, we say  $|X| = |Y|$  if  $\exists f: X \rightarrow Y$ ,  $f$ -bijection

↳ Particular case:  $|X| = n$



## Math 61 Lecture 8: Counting Principles

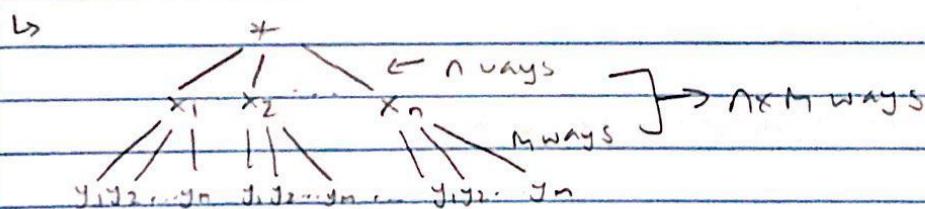
### Multiplication Principle

- ↳ If an activity can be done in  $t$  successive steps, where  
Step 1 can be done in  $n_1$  ways  
Step 2 can be done in  $n_2$  ways  
Step  $t$  can be done in  $n_t$  ways  
↳ the # of different possible activities is  $n_1 \cdot n_2 \cdots \cdot n_t$

### Cardinality of Cartesian Product

- ↳ Given  $X$  and  $Y$  (finite sets), what is the cardinality of  $X \times Y$ ?
  - ↳ Activity: form an ordered pair  $(x, y)$
  - ↳ Step 1: pick  $x \rightarrow |X|$  ways
  - ↳ Step 2: pick  $y \rightarrow |Y|$  ways
  - ↳  $|X \times Y| = |X| \cdot |Y|$

### Using Decision Trees



### Counting Strings

- ↳ How many strings of length 4 can be formed using ABCDE
  - ↳ Step 1: 1st char  $\rightarrow 5$  ways
  - ↳ Step 2: 2nd char  $\rightarrow 5$  ways
  - ↳ Step 3: 3rd char  $\rightarrow 5$  ways
  - ↳ Step 4: 4th char  $\rightarrow 5$  ways
- ↳  $5 \times 5 \times 5 \times 5 = 625$  ways

- ↳ How many strings of part A begin with the letter B

$$1 \underline{5} \underline{5} \underline{5} \rightarrow 125 \text{ ways}$$

- ↳ How many do not?

$$\underline{4} \underline{5} \underline{5} \underline{5} \rightarrow 500 \text{ ways}$$

- ↳ How many strings with no repetitions?

$$\underline{5} \underline{4} \underline{3} \underline{2} \rightarrow 120 \text{ ways}$$

### Addition Principle

- ↳ If  $\{x_1, \dots, x_k\}$  do not intersect, then the number in the union is  $n_1 + n_2 + \dots + n_k$

## Math 61 Lecture 9: Inclusion-Exclusion

- Ex) A 6 person committee composed of A, B, C, D, E, F must select a chairperson, secretary, and treasurer

↳ How many ways can this be done?

$$\begin{array}{ccccccc} \rightarrow & 6 & 5 & 4 & 1 & 1 & 1 \\ \text{C} & \underline{\text{S}} & \underline{\text{T}} & \underline{4} & \underline{5} & \underline{6} \end{array} \rightarrow \text{Mlt. Principle}$$

$$\rightarrow [120 \text{ ways}] \rightarrow 6 \times 5 \times 4$$

↳ C or F must be chairperson

$$\begin{array}{ccccccc} \rightarrow & \text{C} & 5 & 4 & / & / & / \\ \text{F} & \underline{\text{S}} & \underline{4} & & & & \end{array}$$

$$\rightarrow 5 \times 4 + 5 \times 4 = [40 \text{ ways}]$$

↳ How many ways if E must hold an office?

$$\begin{array}{ccccccc} \rightarrow & \text{E} & 5 & 4 & / & / & / \\ \text{S} & \underline{\text{E}} & \underline{4} & & & & \end{array}$$

$$3(5 \times 4) = [60 \text{ ways}]$$

↳ How many ways if A and B must hold offices

$$\begin{array}{ccccccc} \rightarrow & \text{A} & \text{B} & 4 & / & / & / \\ \text{A} & \underline{\text{A}} & \underline{\text{B}} & & & & \end{array}$$

$$\begin{array}{ccccccc} \rightarrow & \text{A} & 4 & \text{B} & / & & \\ \text{B} & \underline{\text{A}} & \underline{4} & & & & \end{array}$$

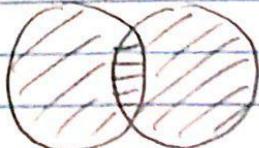
$$\begin{array}{ccccccc} \rightarrow & \text{A} & \text{B} & \text{A} & / & & \\ \text{B} & \underline{\text{B}} & \underline{\text{A}} & & & & \end{array}$$

$$\begin{array}{ccccccc} \rightarrow & \text{B} & 4 & \text{A} & / & & \\ \text{A} & \underline{\text{B}} & \underline{4} & & & & \end{array}$$

$$\rightarrow 6 \times 4 = [24 \text{ ways}]$$

### Inclusion-Exclusion

↳ If X and Y are finite sets.  $|X \cup Y| = |X| + |Y| - |X \cap Y|$



## Math 61 Lecture 10: Permutations and Combinations

- Ex) 4 candidates are running for the same office, how many distinct ballots can there be?

1st:  $\boxed{4}$

2nd:  $\boxed{3}$

3rd:  $\boxed{2}$

4th:  $\boxed{1}$

$$4 \times 3 \times 2 \times 1 = \boxed{24 \text{ ways}} = 4!$$

- Permutations: a permutation of  $n$  distinct elements  $x_1, \dots, x_n$  is an ordering of the  $n$  elements  $x_1, \dots, x_n$

- Theorem: Given a set with  $n$  distinct elements, there are  $n!$  permutations of the members of the set

- Ex) How many permutations of ABCDEF contain DEF?

DEF = 1 element  $\rightarrow$  4 elements

$$4! = \boxed{24 \text{ ways}}$$

$\hookrightarrow$  DEF together in any order?

$$4! \times 3! = \boxed{144 \text{ ways}}$$

- Ex) How many ways can 6 people wait in a line?

$$6! = \boxed{720 \text{ ways}}$$

$\hookrightarrow$  in a circle?

$$720/6 = \boxed{120 \text{ ways}}$$

- $r$ -Permutations  $\rightarrow$  an ordering of an  $r$ -element subset

$$\hookrightarrow P(n, r) = \frac{n!}{(n-r)!}$$

- Ex) 7 distinct dwarves and 3 distinct Elves, no 2 Elves can stand together

$D_1 - D_2 - D_3 - D_4 - D_5 - D_6 - D_7 -$

$$7!(P(8, 5)) = \boxed{\frac{7! \cdot 8!}{3!}}$$

- $r$ -Combination  $\rightarrow$  an unordered selection of  $r$ -elements of set  $X$

## Math 61 Lecture 11: Generalizations

$$\cdot C(n, r) = \frac{n!}{r!(n-r)!}$$

↳ How many 8 bit strings contain exactly 4 1's

$$\hookrightarrow \text{Order of 1's does not matter} \rightarrow \boxed{\frac{8!}{4!4!}}$$

↳ How many ways can we select a committee of 2 women and 3 men from 5 women and 6 men

$$\hookrightarrow \text{Step 1: Select } 2/5 \text{ women} \rightarrow \frac{5!}{3!2!}$$

$$\hookrightarrow \text{Step 2: Select } 3/6 \text{ men} \rightarrow \frac{6!}{3!3!}$$

$$\hookrightarrow \boxed{\frac{5!6!}{3!3!2!2!}}$$

• How many strings can be formed with MISSISSIPPI

↳  $\ell=12$  letters, 4 S's, 5 I's, 2 P's

$$\hookrightarrow \text{Step 1: } \binom{12}{4} \text{ for S's}$$

$$\hookrightarrow \text{Step 2: } \binom{8}{5} \text{ for I's}$$

$$\hookrightarrow \text{Step 3: } \binom{3}{2} \text{ for P's}$$

$$\hookrightarrow \text{Step 4: } \boxed{\frac{12!}{4!5!2!1!}}$$

• A sequence  $S$  of  $n_1$  items and  $n_2$  identical objects of type 1,  $n_3$  identical objects of type 2, etc. has orderings equal to  $\frac{n!}{n_1!n_2!...}$

• Ex) A library has 6+ copies of 3 different books, how many ways can we select 6 books?

$$\hookrightarrow \underline{\text{B}} \underline{\text{B}} \underline{\text{B}} \underline{\text{B}} \underline{\text{B}} \underline{\text{B}} \rightarrow {}_8C_2 = \boxed{\frac{8!}{6!2!}}$$

## Math 61 Lecture 12: Pigeonhole Principle

- By counting subsets of a set with  $n$  elements:  
$$(\binom{0}{0}) + (\binom{n}{1}) + (\binom{n}{2}) + \dots + (\binom{n}{n}) = 2^n$$

↳ Proof:

$$X = \{x_1, x_2, \dots, x_{n-1}, x_n\}$$

If  $A \subseteq X$ :  $A = \{x_2, \dots, x_k, \dots\} \rightarrow n$  spots

$$\hookrightarrow \# \text{of subsets} = |P(X)| = 2 \cdot 2 \cdot 2 \cdot 2 \dots \cdot 2 = 2^n$$

↳ # of subsets w/ 0 elements:  $1 \rightarrow \emptyset \rightarrow \binom{0}{0}$

# of subsets w/ 1 element:  $n \rightarrow \{\{x_1\}, \{x_2\}, \dots, \{x_n\}\} \rightarrow \binom{n}{1}$

# of subsets w/  $k$  elements:  $\binom{n}{k}$

# of subsets w/  $n$  elements:  $1 = \binom{n}{n}$

↳ Combinations  $\rightarrow$  order irrelevant in sets

• Pigeonhole Principle

↳ Is there an item having a given property?  $\rightarrow$  Doesn't explain how to find

↳ If  $n$  pigeons fly into  $k$  pigeonholes and  $k < n$ , some pigeonhole contains at least 2 pigeons

↳ Ex) 10 people have 1st names Alice, Angie, and Alma and last names Galvez, Hess, and Alvarez, show that 2 people have the same name

↳  $n = \# \text{of people} = 10$

$k = \# \text{of possible names} = 3 \times 3 = 9$

$k < n$ , by pigeonhole principle,  $\exists$  at least 1 repeated name

↳ Assume all people have different names:

Alice Galvez    Angie Galvez    Alma Galvez

Alice Hess    Angie Hess    Alma Hess

Alice Alvarez    Angie Alvarez    Alma Alvarez

↳ Second form: If  $f$  is a function from  $X \rightarrow Y$  and  $|X| > |Y|$ , then  $f$  cannot be one-to-one

## Math 61 Lecture 13: Recurrence Relations

An equation that relates  $a_n$  to its predecessors  $a_0, a_1, \dots, a_{n-1}$

↳ Ex) Start w/ 3, add 3 to each term

$$\hookrightarrow a_n = a_{n-1} + 3$$

↳ S → initial condition

↳ need as many initial conditions as predecessor terms in the equation

↳ Ex) Fibonacci Sequence  $\rightarrow f_n = f_{n-1} + f_{n-2}, n \geq 3, f_1 = 1, f_2 = 1$

$$\hookrightarrow f_1 = 1, f_2 = 1, f_3 = 2, f_4 = 3, f_5 = 5, f_6 = 8, f_7 = 13, f_8 = 21, \dots$$

↳ Ex) \$1000 invested at 12% interest annually,  $A_n$  = amount after  $n$  yrs

$$\hookrightarrow A_0 = \$1000$$

$$A_1 = \$1000(1+0.12) = (1.12)(1000)$$

$$A_2 = (1.12)(\$1000)(1+0.12) = (1.12)^2 (\$1000)$$

$$A_n = A_0 (1.12)^n = 1.12 (A_{n-1})$$

↳ Ex) Let  $S_n$  denote the number of  $n$ -bit strings that do not contain the pattern 111

↳

1 2  $n-1$   $n$

↳ Case 1: Least bit

↳ SC1:  $n=0 \quad \left\{ \begin{array}{l} \text{assume string does not} \\ \text{contain 111} \end{array} \right.$

↳ SC2:  $n=1 \quad \left\{ \begin{array}{l} \text{contain 111} \end{array} \right.$

↳ If previous string ends w/ 11  $\rightarrow$  issue found

↳ Case 2:

↳ SC1: Ends in 01  $\rightarrow S_n = S_{n-1} + S_{n-2} + \dots$

↳ SC2: End in 11

↳ If previous string ends w/ 1  $\rightarrow$  issue found

↳ Case 3: 3rd to last bit, ends in 11

↳ SC1: 0  $\rightarrow S_n = S_{n-1} + S_{n-2} + S_{n-3}$

## Math 61 Lecture 14: Linear Homogeneous Recurrence Relations

• Cardinality of power sets:

↳ Find a recurrence relation for the cardinality of the power set of a non-empty set with  $n$  elements.

$$\hookrightarrow X_n = \{x_1, x_2, x_3, \dots, x_n\}, \text{ let } a_n = |P(X_n)|$$

$$\hookrightarrow \text{Given a subset } S \subseteq \underbrace{\{x_1, x_2, \dots, x_{n-1}\}}_{X_{n-1}}$$

$$\hookrightarrow a_n = 2a_{n-1}$$

$$a_0 = |P(\emptyset)| = 1$$

$$a_1 = |P(\{x_1\})| = |\{\emptyset, \{x_1\}\}| = 2$$

$$\hookrightarrow a_{n-1} = 2a_{n-2}$$

$$a_n = 2(a_{n-1}) = 2(2a_{n-2})$$

$$a_n = 2^2 a_{n-2}$$

$$\hookrightarrow a_n = 2^k a_{n-k}$$

• Linear homogeneous relation

$$\hookrightarrow a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$$

$$\hookrightarrow a_0 = c_0, a_1 = c_1, \dots, a_{k-1} = c_{k-1}$$

$$\hookrightarrow a_n = r^n$$

$$\hookrightarrow a_n = 3a_{n-1}, a_{n-2} \rightarrow \text{not linear (no products)}$$

$$\hookrightarrow S_n = 2S_{n-1} \rightarrow \text{linear homogeneous} \rightarrow \text{order 1}$$

$$\hookrightarrow f_n = f_{n-1} + f_{n-2} \rightarrow \text{linear homogeneous}$$

$$\hookrightarrow a_n = a_{n-1} + 2n \rightarrow \text{linear, constant coefficient, not homogeneous}$$

• Cardinality of power sets

$$\hookrightarrow \text{Try } a_n = \alpha r^n \rightarrow \text{Find } \alpha \text{ and } r$$

$$a_n = 2a_{n-1}, a_0 = 1$$

$$a_n = 2a_{n-1}$$

$$\alpha r^n = 2(\alpha r^{n-1})$$

$$r^n = 2r^{n-1}$$

$$r^n - 2r^{n-1} = 0$$

$$r^{n-1}(r-2) = 0$$

$$\hookrightarrow r=0 \text{ or } r=2$$

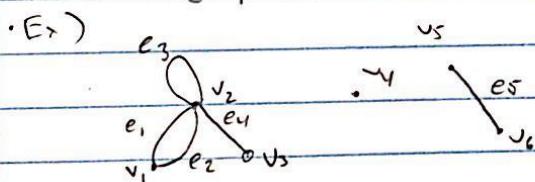
$$\text{If } r=0: a_0 = 1 = \alpha(0)^n \rightarrow \text{indeterminate}$$

$$\text{If } r=2: a_0 = 1 = \alpha(2)^0 \rightarrow \alpha = 1$$

$$a_n = 2^n$$

## Math 61 Lecture 15: Graphs

- The Traveling Salesperson Problem
- A graph  $G$  is a set of  $V$  vertices and a set of  $E$  edges such that each edge  $e \in E$  is associated with an unordered pair of vertices
  - ↳ if only one  $e \in E$  is associated with  $v, w \in V$ , we write  $e = (v, w)$  or  $e = (w, v)$
- A digraph  $G$  consists of a set  $V$  of vertices and a set  $E$  of edges such that each edge  $e \in E$  is associated with an ordered pair of vertices
  - ↳  $e = (v, w) \neq e = (w, v)$
- An edge  $e$  is associated w/  $v$  and  $w$ 
  - ↳  $e$  is incident on  $v$  and  $w$
  - ↳  $v$  and  $w$  are incident on  $e$
  - ↳  $v$  and  $w$  are adjacent vertices
- If  $G$  is a graph w/  $V$  vertices and  $E$  edges  $\rightarrow G = (V, E)$



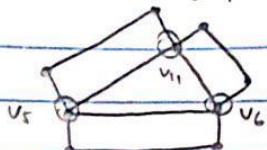
$\rightarrow V = \{v_1, v_2, v_3, v_4, v_5, v_6\}, E = \{e_1, e_2, e_3, e_4, e_5, e_6, e_7\} \rightarrow G = (V, E)$  is one graph

• More definitions:

- ↳ Distinct edges associated w/ the same pair of vertices are parallel edges  $\rightarrow e_1$  and  $e_2$
- ↳ An edge incident on a single vertex is called a loop  $\rightarrow e_3$
- ↳ A vertex that is not incident on any edge is called an isolated vertex  $\rightarrow v_4$
- ↳ A graph w/ no loops or parallel edges is a simple graph
- ↳ A graph w/ numbers on the edges is a weighted graph
  - ↳ The length of a path is the sum of weights along that path
  - ↳ The path of minimum length is the optimal path
- ↳ A complete graph is a simple graph with an edge between every pair of distinct vertices
- ↳ A bipartite graph is a graph that has subsets  $V_1$  and  $V_2$  of  $V$  such that  $V_1 \cap V_2 = \emptyset$ ,  $V_1 \cup V_2 = V$  and each edge in  $E$  is incident on one vertex in  $V_1$  and one in  $V_2$

## Math 61 Lecture 16: Paths

- Ex) Prove the graph is not bipartite



↳ say  $v_4$  is on 1st side and  $v_5$  is on the other

↳  $v_6$  cannot be in either team if the graph was bipartite due to  $(v_4, v_6)$  and  $(v_5, v_6)$

- Complete bipartite graph is both complete and bipartite (edge set contains all  $(v_i, v_j)$ )

- Ex) For what values of  $n$  is  $K_n$  bipartite

↳  $K_1 \rightarrow v_1 \rightarrow V_1 = \{v_1\}, V_2 = \emptyset \rightarrow$  Bipartite

↳  $K_2 \rightarrow v_1 \longleftrightarrow v_2 \rightarrow V_1 = \{v_1\}, V_2 = \{v_2\} \rightarrow$  Bipartite

↳  $K_3+ \rightarrow$  Not bipartite

- A path from  $v_0$  to  $v_n$  of length  $n$  is an alternating sequence of  $n$  edges and  $n+1$  vertices beginning w/  $v_0$  and ending w/  $v_n$

↳  $(v_0, e_1, v_1, e_2, v_2, \dots, v_{n-1}, e_n, v_n)$

- Connected graph - you can reach any vertex from a given vertex

- Let  $G = (V, E)$  be a graph  $\rightarrow (V', E')$  is a subgraph of  $G$  if  $V' \subseteq V, E' \subseteq E$ , and for every edge  $e' \in E'$ , if  $e'$  is incident on  $v'$  and  $w'$ , then  $v', w' \in V'$ .

- Ex) Find all subgraphs of  $K_2$  w/ at least 1 vertex

↳  $G_1: V' = \{v_1\}, E' = \emptyset$

$G_2: V' = \{v_2\}, E' = \emptyset$

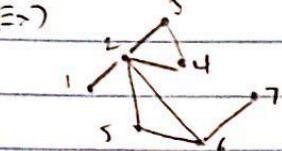
$G_3: V' = \{v_1, v_2\}, E' = \emptyset$

$G_4: V' = \{v_1, v_2\}, E' = \{(v_1, v_2)\}$

## Math 61 Lecture 17: Cycles

- Simple path - a path from  $v$  to  $w$  with no repeated vertices
- Cycle - a path of nonzero length from  $v$  to  $v$  with no repeated edges
- Simple Cycle - a cycle where there are no repeated vertices other than the beginning and ending

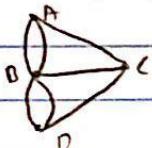
• (E?)



Simple Path      Cycle      Simple Cycle

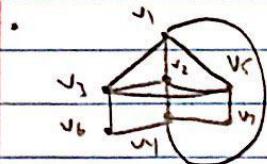
(6, 5, 2, 4, 3, 2, 1)	No	No	No
(6, 5, 2, 4)	Yes	No	No
(2, 6, 5, 2, 4, 3, 2)	No	Yes	No
(5, 6, 2, 5)	No	Yes	Yes
(7)	Yes	No	No

- The Königsberg Bridge Problem



↳ impossible because C has an odd # of edges

- Euler Cycles - a cycle in a graph  $G$  that includes all edges and vertices
- The Degree of a Vertex -  $\delta(v)$  is the # of edges incident on  $v$  - each loop is 2
- If a graph  $G$  has an Euler cycle, then  $G$  is connected and every vertex has an even degree



## Math 61 Lecture 18 : Hamilton Cycles

- A graph  $G$  has a path with no repeated edges from  $v$  to  $w$  ( $v \neq w$ ) containing all the edges and vertices iff
  - ↳  $G$  is connected
  - ↳  $v$  and  $w$  are the only vertices having odd degree
- ↳ Proof: Take  $G$  is connected,  $v/w$  have odd degree
  - ↳ We are 1 edge away from an Euler cycle  $\rightarrow$  add it and find the E.C.
  - ↳ Travel the cycle starting anywhere, then remove it
- Hamilton's puzzle:
  - ↳ start at any city, travel along the edges, visit each city exactly one time, and return to the initial city
  - ↳ a Hamiltonian cycle is a cycle that visits each vertex exactly once

↳ Ex)  $\{a, b, c, d, e, f, g, h, i\}$

↳ There are exactly  $n$  edges travelled, where  $n = |V|$

↳ Ex)  $\rightarrow$  The cycle visits  $v_1, v_2, v_3, v_4, v_5$

↳ The cycle also visits  $v_5$

↳  $v_4, v_5$  have been visited,  $v_5$  cannot be

↳ Ex)

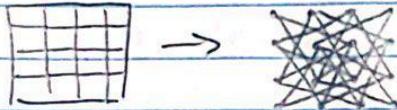
↳ a, b, c, d contribute 2 edges to H.C  $\rightarrow$  remove 4 edges  $\rightarrow$  remain 4 edges

↳ incorrect EEAC method due to repetitions

## Math 61 Lecture 19: Applications of Hamiltonian Cycles

- The Traveling Salesperson  $\rightarrow$  find the shortest Hamiltonian Cycle
- The Knight's Tour - visit each square exactly once, return to original spot

$\hookrightarrow G_{K_4}$



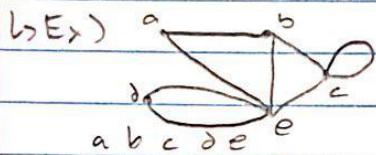
$\hookrightarrow$  b-partite, connected

$\hookrightarrow$  Necessary: If  $G|n$  has a Hamiltonian cycle,  $n$  is even

$\hookrightarrow$  Not Sufficient:  $G_{K_2}$  and  $G_{K_4}$  do not have Hamiltonian cycles

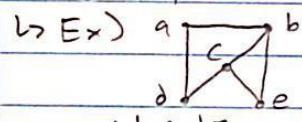
## Math 61 Lecture 20 : Representation of Graphs

- Adjacency Matrix - the entry in row  $i$ , column  $j$  is  $2 \times$  the # of loops incident on  $i$  ( $i=j$ )



$$\begin{matrix} a & b & c & d & e \\ \begin{bmatrix} 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 2 & 0 & 1 \\ 0 & 0 & 0 & 0 & 2 \\ 1 & 1 & 1 & 2 & 0 \end{bmatrix} \end{matrix}$$

→ symmetric



$$\begin{matrix} a & b & c & d & e \\ \begin{bmatrix} 0 & 1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 \end{bmatrix} \end{matrix}$$

= A

$$A^2 = \begin{bmatrix} 2 & 0 & 2 & 0 & 0 \\ 0 & 3 & 1 & 2 & 1 \\ 2 & 1 & 3 & 0 & 0 \\ 0 & 2 & 0 & 2 & 1 \\ 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$

Entries indicate # of paths from  $i \rightarrow j$  of length  $n$

- Incidence Matrix → the entry for row  $v$  and column  $e$  is 1 if  $e$  is incident on  $v$  and 0 otherwise