

# LECTURE 4: SIFT

#1

For the complete discussion, see the corresponding slides & paper.

[1] Difference of Gradients (DoG) vs. Laplacian of Gradients (LoG)

$$\begin{aligned} \gg \text{DOG}(I) &= (I * G_{\sigma_1}) - (I * G_{\sigma_2}) \\ &= I * (G_{\sigma_1} - G_{\sigma_2}) \end{aligned}$$

If  $I$  is a one-dimensional signal:

$\gg \text{LoG}$ ;

$$\Delta G = \nabla^2 G = \nabla \cdot \nabla G$$

$\nabla =$  divergence

$\nabla G =$  gradient

$$\nabla = \left( \frac{\partial}{\partial x}, \frac{\partial}{\partial y} \right)$$

$$\nabla G = \left( \frac{\partial G}{\partial x}, \frac{\partial G}{\partial y} \right)$$

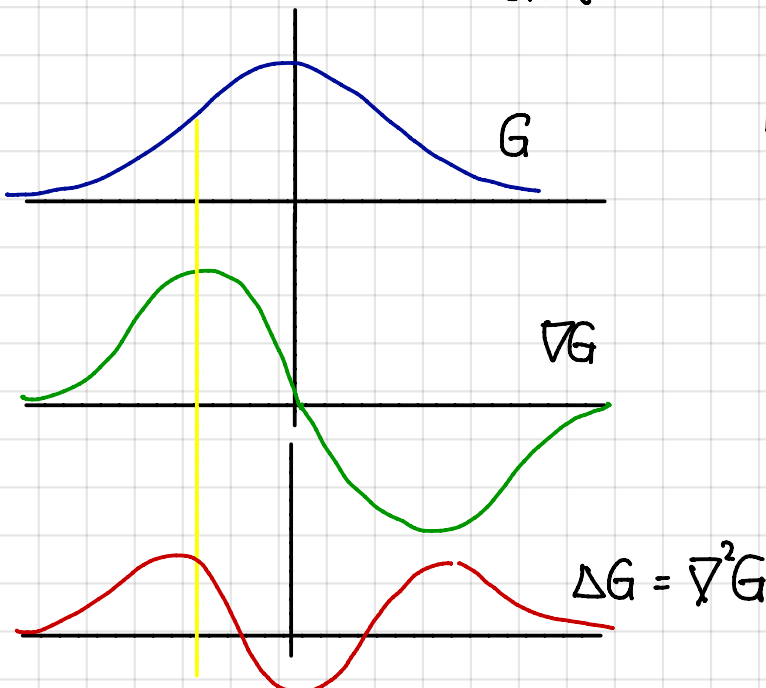
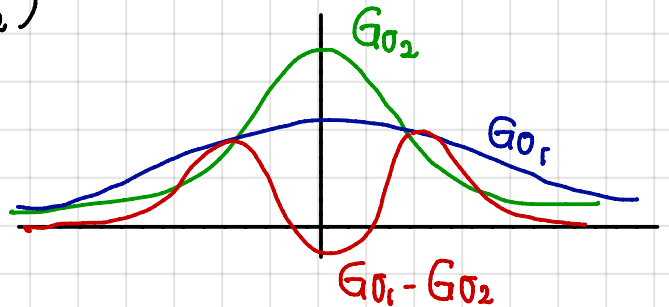
} in 2D

$$\Delta G = \nabla \cdot \nabla G = \frac{\partial}{\partial x} \left( \frac{\partial G}{\partial x} \right) + \frac{\partial}{\partial y} \left( \frac{\partial G}{\partial y} \right) = \frac{\partial^2 G}{\partial x^2} + \frac{\partial^2 G}{\partial y^2}$$

$$\text{LoG}(I) = I * \Delta G$$

DoG is the approximation of LoG.

$$\text{DOG}(I) \approx \text{LoG}(I)$$

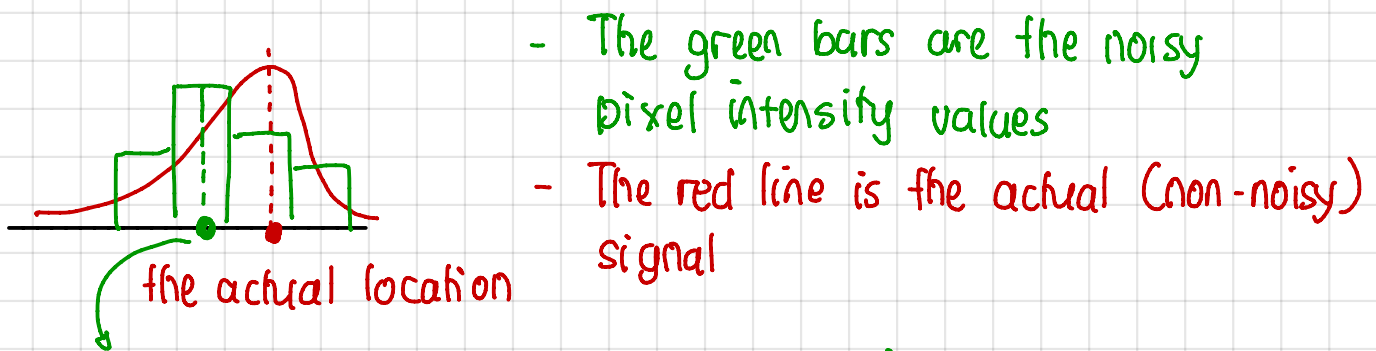


Motivation:

- (1) Due to noise, the actual location of an extremum might be shifted.
- (2) Even if we know the actual location, it can be not high enough (=low contrast).

Q: What does it mean by the actual location of an extremum?  
How to calculate the actual location?

A:



the predicted extremum location (using DoG)

» The actual signal is shifted by noise and discretization.

$\mathbb{D}$  = a  $3 \times 3$  pixel patch where a keypoint located in the middle, and  $\mathbb{D}$  is taken from one of the DoG images.

This  $3 \times 3$  patch might be affected by noise.

$D(\bar{x})$  = the actual signal ;  $\bar{x} = (x, y) \rightarrow$  the actual location

Unfortunately, we don't know the actual signal location ( $\bar{x}$ ).

Q: How to get  $D(\bar{x})$ , the signal we want to recover?

A: Through Taylor expansion:

$$\underbrace{f(x)}_{\text{the unknown function}} = f(a) + f'(a)(x-a) + \frac{f''(x-a)}{2!}(x-a)^2 + \dots$$

the unknown function

### [3] Taylor Expansion

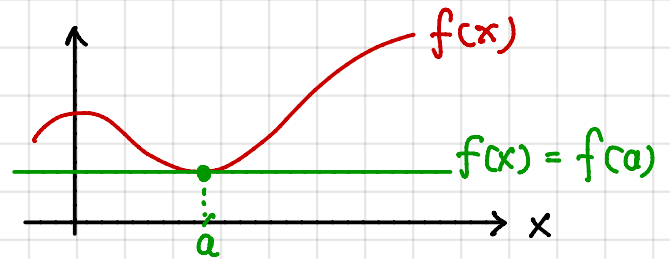
#3

$$f(x) = f(a) + f'(a)(x-a) + \frac{f''(a)}{2!}(x-a)^2 + \dots$$

Meaning:

1. When  $x=a$  :  $f(x) = f(a)$

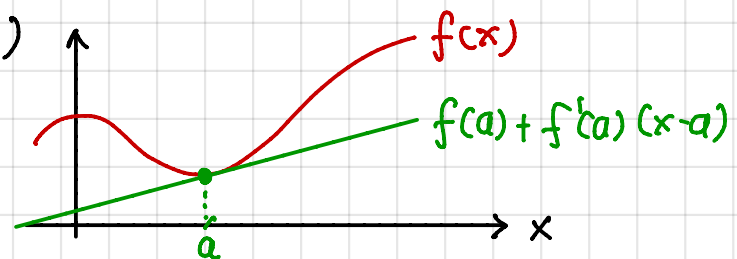
The approximation is rough & basic



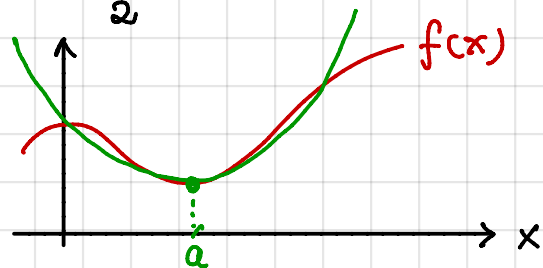
2. When  $f(x) = \underbrace{f(a)} + \underbrace{f'(a)}(x-a)$

scalar values

The approximation gets better.



3. When  $f(x) = f(a) + f'(a)(x-a) + \frac{f''(a)}{2}(x-a)^2$



Notes:

- The approximation gets better when we include the higher order functions.
- 'a' is the point where we want to focus our approximation.

# [4] Location of Extrema

#4

Using Taylor expansion in 2D:

$$D(\bar{x}) = D(0) + \frac{\partial D^T(\bar{x})}{\partial \bar{x}} \bigg|_{\bar{x}=0} \bar{x} + \bar{x}^T \frac{\partial^2 D(\bar{x})}{2 \partial \bar{x}^2} \bigg|_{\bar{x}=0} \bar{x}$$

$\begin{matrix} 1 \times 1 & 1 \times 1 & 1 \times 2 & 2 \times 1 & 1 \times 2 & 2 \times 2 & 2 \times 1 \end{matrix}$

Note:

$D =$

-1		
0		
+1		
-1	0	1

$D(0)$

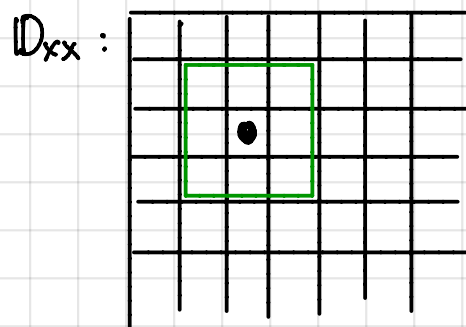
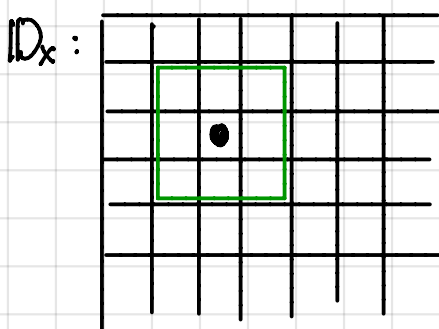
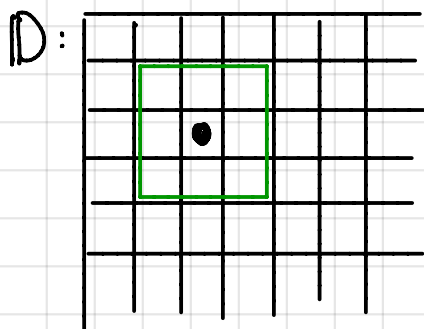
$$\frac{\partial D(\bar{x})}{\partial \bar{x}} \bigg|_{\bar{x}=0} = \begin{bmatrix} \partial D / \partial x \\ \partial D / \partial y \end{bmatrix} \bigg|_{\bar{x}=0}$$

$$\frac{\partial^2 D(\bar{x})}{\partial \bar{x}^2} \bigg|_{\bar{x}=0} = \begin{bmatrix} \frac{\partial^2 D}{\partial x^2} & \frac{\partial^2 D}{\partial x \partial y} \\ \frac{\partial^2 D}{\partial y \partial x} & \frac{\partial^2 D}{\partial y^2} \end{bmatrix} \bigg|_{\bar{x}=0}$$

Q: What are these  $D$ ,  $D_x$ ,  $D_y$ ,  $D_{xx}$ ,  $D_{xy}$ ,  $D_{yy}$ ?

A:  $D$  is a DoG image (the output of the previous step), where there are a number of keypoints. For each of these keypoints, we take 3x3 pixels from  $D$ .

$D_x$  (or  $\frac{\partial D}{\partial x}$ ) &  $D_y$  (or  $\frac{\partial D}{\partial y}$ ) are the first derivative image of  $D$ .



We compute  $D_y$ ,  $D_{yy}$ , and  $D_{xy}$  (which is the same as  $D_{yx}$ ) images in the same way.

To find the true extremum means:

$$\frac{\partial D(\bar{x})}{\partial \bar{x}} = 0 \rightarrow \text{the output is a vector of } 2 \times 1.$$

$$\frac{\partial D(\omega)}{\partial \bar{x}} + \frac{\partial}{\partial \bar{x}} \left[ \frac{\partial D}{\partial \bar{x}} \Big|_{\bar{x}=0} \bar{x} \right] + \frac{\partial}{\partial \bar{x}} \left[ \bar{x}^T \frac{\partial^2 D}{2 \partial \bar{x}^2} \Big|_{\bar{x}=0} \bar{x} \right] = 0$$

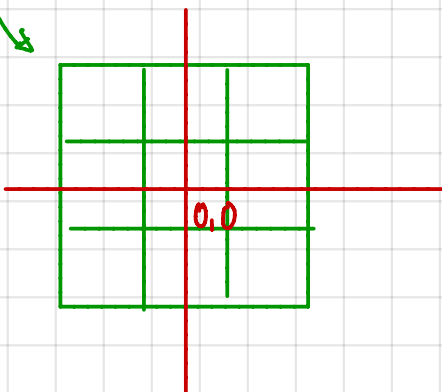
constant

$$0 + \frac{\partial D(\bar{x})}{\partial \bar{x}} \Big|_{\bar{x}=0} + \frac{\partial^2 D(\bar{x})}{\partial \bar{x}^2} \Big|_{\bar{x}=0} \bar{x} = 0$$

$$\bar{x}^* = - \left( \frac{\partial^2 D(\bar{x})}{\partial \bar{x}^2} \Big|_{\bar{x}=0} \right)^{-1} \frac{\partial D(\bar{x})}{\partial \bar{x}} \Big|_{\bar{x}=0}$$

If the offset of  $\bar{x}^* > 0.5$

then: check the contrast based on the new location  $\bar{x}^* \rightarrow D(\bar{x}^*)$   
 else check the contrast based on  $D(\omega)$ .



the distance from  $(0,0)$  to the cell boundaries is 0.5.

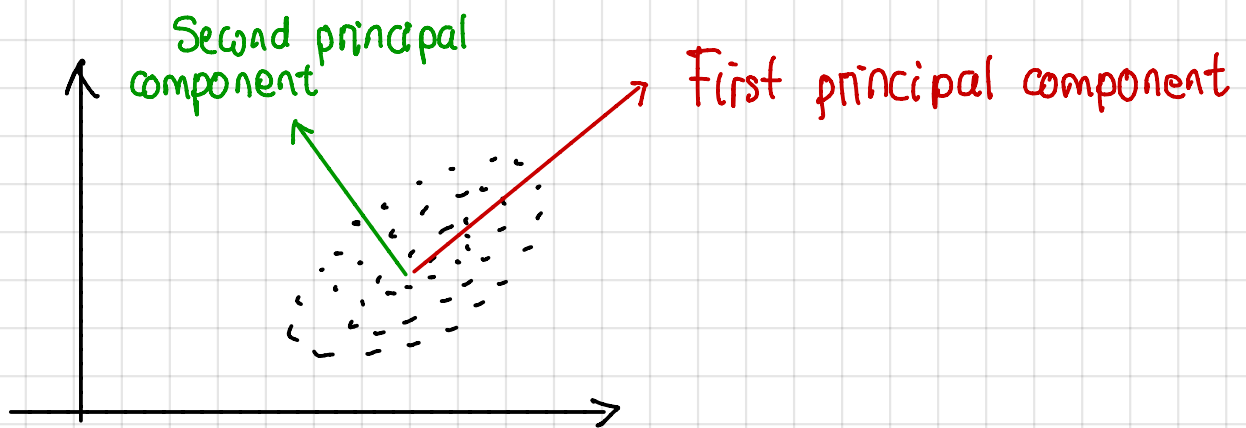
Based on the new location  $\bar{x}^*$ , we check if the extremum is high enough:

$$D(\bar{x}^*) = \underset{(x1)}{D[0]} + \underset{(x2)}{\frac{\partial (D^T(\bar{x}))}{\partial \bar{x}}} \bigg|_{\bar{x}=0} \underset{(2x1)}{\bar{x}^*}$$

If  $|D(\bar{x}^*)| < \underline{0.03}$  then reject!

it assumes the image intensity of the input is between 0~1 (instead of 0~255).

## [6] First and Second Principal Components of Curvatures

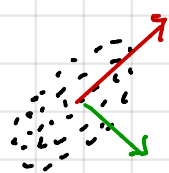


$\alpha$  = The eigen value of the first principal component

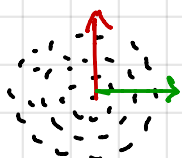
$\beta$  = The eigen value of the second principal component

$$\alpha \geq \beta$$

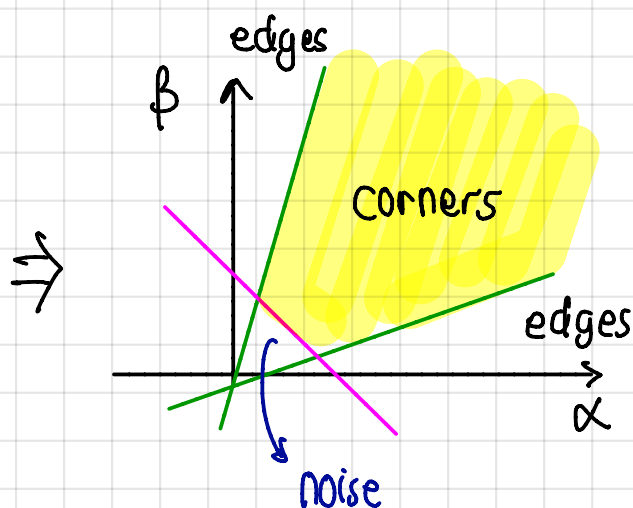
Eigenvalues ( $\alpha$  &  $\beta$ ) can indicate whether a pixel cluster is edge or corner:



$\alpha \gg \beta \rightarrow \text{edge}$



$\alpha \approx \beta \rightarrow \text{corner}$



Unlike the above illustration, our data is only  $3 \times 3$  pixels (9 pixels), which is too sparse to calculate the principal axes.



Solution: to use the ratio of  $\alpha$  &  $\beta$ :

1. Hessian matrix: 
$$H = \begin{bmatrix} D_{xx} & D_{xy} \\ D_{xy} & D_{yy} \end{bmatrix}$$

2. 
$$\text{Tr}(H) = D_{xx} + D_{yy} = \alpha + \beta$$
  

$$\text{Det}(H) = D_{xx} D_{yy} - D_{xy}^2 = \alpha \beta$$

3. 
$$\frac{\text{Tr}^2(H)}{\text{Det}(H)} = \frac{(\alpha + \beta)^2}{\alpha \beta} \quad ; \text{ define: } \alpha = r \beta$$
  

$$= \frac{(r\beta + \beta)^2}{r\beta^2} = \frac{(r+1)^2}{r}$$

if  $\alpha = \beta \rightarrow r = 1 : \text{Tr}^2(H) / \text{Det}(H) = 4 \rightarrow \text{corner}$

if  $\alpha = 2\beta \rightarrow r = 2 : \text{Tr}^2(H) / \text{Det}(H) = 9/2 = 4.5$

if  $\alpha = 10\beta \rightarrow r = 10 : \text{Tr}^2(H) / \text{Det}(H) = 121/10 = 12.1 \rightarrow \text{edge}$

Therefore: if  $\text{Tr}^2(H) / \text{Det}(H) < 12.1$   
 then retain the keypoints, else reject them.

## [8] SIFT: Generating Descriptors

#8

### ① Orientation assignment

Goal: to make the descriptor invariant to image rotation.



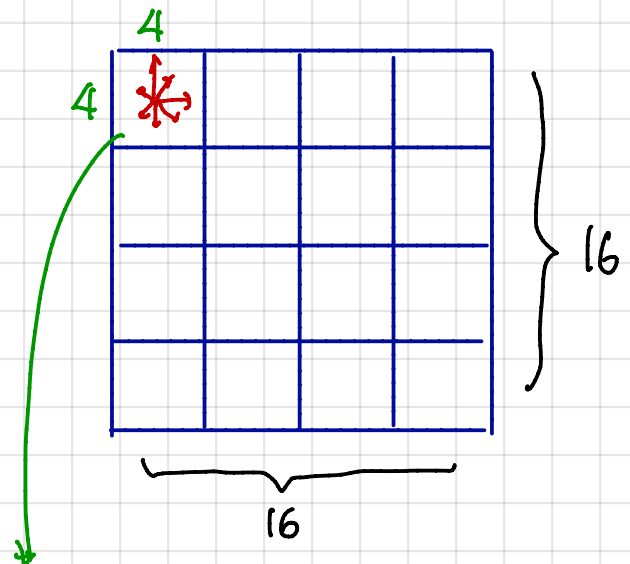
1. Extract  $16 \times 16$  pixels surrounding a keypoint.
2. Create a histogram of orientations with 36 bins covering  $360^\circ$ .
3. Choose the highest peak in the histogram, and any peaks above 80%, to calculate the orientation normalization.

### ② Descriptor:

One keypoint generates one descriptor, which has a length of 128.



These 128 numbers are obtained from  $16 \times 16$  pixels:



For each block, we compute the histogram of gradients with 8 bins (= orientations)



Hence, for 1 block of  $4 \times 4$  pixels we have 8 numbers. Thus, in total we have:

$$4 \times 4 \times 8 = 128.$$