

## Section 12.2

### Broadcasting and Gossiping

Hovhannes A. Harutyunyan, Concordia University, Canada

Arthur L. Liestman, Simon Fraser University, Canada

Joseph G. Peters, Simon Fraser University, Canada

Dana Richards, George Mason University

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#### INTRODUCTION

A communication network can be modeled by a connected graph with vertices representing nodes of the network and edges representing communication links between them. A low level operation in a communication network is the transmission of a message from one node to an adjacent node. A collection of such transmissions can be combined to achieve higher level goals such as broadcasting, in which information originating at one node in the network must be distributed to all other nodes of the network. A protocol specifies the transmissions and the order in which they are made to achieve a higher level goal and can be described by a labeled subgraph. We consider two broad categories of problems — finding the best network for a given high level goal and finding the best protocol for a given goal and network.

We assume that the nodes of the network are synchronized and that transmitting a message from a node to its neighbor takes one unit of time. A protocol can be specified by a sequence of sets, each containing the transmissions made during a particular time unit. We represent this in a graph by assigning labels to each edge indicating the time(s) during which that edge transmits a message. In this model, a message originating at vertex  $u$  is received by a vertex  $v$  if there is a path from  $u$  to  $v$  with increasing edge labels.

We assume that every vertex can send a message to at most one neighbor during a given time unit. In the labeled graph, this means that no two edges incident on a single vertex have the same label. We will discuss variants of this model at the end of this section.

### 12.2.1 Broadcasting

In this subsection, we consider broadcasting. We begin by looking in more detail at the notion of broadcast time in this model, giving some basic definitions and simple facts.

#### DEFINITIONS

**D1:** *Broadcasting* is the goal of sending a single message from a particular vertex (called the *originator*) to all of the other vertices in the graph.

**D2:** A *broadcast protocol for originator (source)  $s$*  is represented by a graph  $G = (V, E)$  on  $n$  vertices such that every edge  $e$  is labeled with at most one positive integer  $i$  and the labels satisfy the following constraints:

- the set of labels on the edges incident on any vertex are disjoint,
- there is exactly one path with increasing labels from  $s$  to each of the other vertices in the graph.

**D3:** A vertex  $v$  is *informed at time  $t$*  by a broadcast protocol for originator  $s$  if the last edge in the path from  $s$  to  $v$  is labeled with  $t$ . The *completion time* of a broadcast protocol for originator  $s$  is the least integer  $t$  such that every vertex  $v$  in the graph is informed by time  $t$ .

**D4:** A *broadcast protocol for a graph  $G$*  is a collection of broadcast protocols for each originator  $s \in V(G)$ .

**D5:** The *broadcast time of a broadcast protocol for a graph  $G$*  is the maximum completion time for any originator  $s \in V(G)$ .

**D6:** The *broadcast time  $b(G)$  of a graph  $G$*  is the minimum broadcast time for any broadcast protocol for  $G$ .

**D7:** Let  $b(n)$  be the minimum  $b(G)$  over all graphs  $G$  with  $n$  vertices.

#### EXAMPLE

**E1:** Figure 12.2.1 shows examples of two broadcast protocols for a given originator  $s$ .

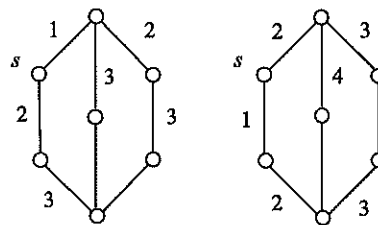


Figure 12.2.1: Two broadcast protocols for originator  $s$ .

## REMARK

**R1:** To broadcast quickly, the labeled edges incident on  $s$  should have labels  $1, 2, \dots, i$  and for each other vertex  $v$ , one of its incident edges is labeled with the time  $t$  at which  $v$  is informed, and the other labeled edges incident on  $v$  should be labeled  $t+1, t+2, \dots, t+j$ .

## FACTS

**F1:** Due to the restrictions on the labels, at most  $2^t$  vertices can be informed at time  $t > 0$  by any broadcast protocol for any originator.

**F2:**  $b(n) = \lceil \log n \rceil$ . The previous fact implies  $b(n) \geq \lceil \log n \rceil$ . Protocols showing  $b(n) \leq \lceil \log n \rceil$  are discussed next.

## Minimum Broadcast Trees

Here we discuss those trees which correspond to minimum time broadcast protocols.

## DEFINITIONS

**D8:** A *minimum broadcast tree* is a rooted tree on  $n$  vertices with root  $s$  for which there exists a broadcast protocol for originator  $s$  with completion time  $\lceil \log n \rceil$ .

**D9:** The *binomial tree* on  $2^k$  vertices is a rooted tree defined recursively as follows. A single vertex is a binomial tree on  $2^0$  vertices. A binomial tree on  $2^k$  vertices is formed from two binomial trees on  $2^{k-1}$  vertices by joining their roots with an edge and making one of them the root of the binomial tree on  $2^k$  vertices.

## EXAMPLE

**E2:** Figure 12.2.2 shows a binomial tree and two of its subtrees that are minimum broadcast trees.

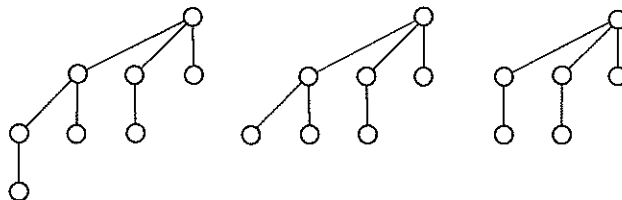


Figure 12.2.2: Binomial tree (left) and two subtrees.

## FACTS

**F3:** The binomial tree on  $2^k$  vertices is a minimum broadcast tree [Prosk].

**F4:** Every  $n$  vertex connected subtree,  $2^{k-1} < n \leq 2^k$ , of the binomial tree on  $2^k$  vertices that includes the root is a minimum broadcast tree and these subtrees are the only minimum broadcast trees on  $n$  vertices [Prosk].

 **$B(n)$  and Minimum Broadcast Graphs**

One research goal has been to determine the graphs on  $n$  vertices with the fewest edges that allow minimum time broadcasting from each vertex.

## DEFINITIONS

**D10:** A graph  $G = (V, E)$  on  $n$  vertices is a **broadcast graph** if there is a broadcast protocol with completion time  $\lceil \log n \rceil$  for each originator  $v \in V$ . In other words, for every  $v \in V$  there is a spanning subgraph of  $G$  that is a minimum broadcast tree rooted at  $v$ . A broadcast graph on  $n$  vertices is a **minimum broadcast graph** (MBG) if the number of its edges is the minimum over all broadcast graphs on  $n$  vertices. The number of edges in an MBG on  $n$  vertices is denoted  $B(n)$ .

**D11:** A  $k$ -dimensional binary hypercube  $\mathcal{H}_k$  is a  $k$ -regular vertex-transitive bipartite graph with  $n = 2^k$  vertices and  $k \cdot 2^{k-1}$  edges. Each vertex is labeled with a different binary string  $x_1 x_2 \cdots x_k$ , and there is an edge between two vertices if and only if their labels differ in exactly one bit position  $1 \leq \ell \leq k$ . Such vertices are called dimension  $\ell$  neighbors and the edge connecting them is a dimension  $\ell$  edge. The set of  $2^{k-1}$  dimension  $\ell$  edges is a perfect matching in  $\mathcal{H}_k$ . Thus,  $\mathcal{H}_k$  can be defined recursively as two copies of  $\mathcal{H}_{k-1}$  joined by a perfect matching corresponding to dimension  $k$ .

**D12:** The **Cayley graph**  $\mathcal{D}_k$  is a  $(k-1)$ -regular vertex-transitive bipartite graph with  $n = 2^k - 2$  vertices and  $(k-1) \cdot (2^{k-1} - 1)$  edges. The vertices are labeled with the integers mod  $2^k - 2$ . There is an edge between two vertices with labels  $i$  and  $j$  if and only if  $(i + j) \pmod{2^k - 2} = 2^\ell - 1$  for some  $1 \leq \ell \leq k-1$ . Such vertices are called dimension  $\ell$  neighbors and the edge connecting them is a dimension  $\ell$  edge. The set of  $2^{k-1} - 1$  dimension  $\ell$  edges is a perfect matching in  $\mathcal{D}_k$ .

This definition is specifically for Cayley graphs based on dihedral groups.

**D13:** The **recursive circulant graph**  $\mathcal{G}_{n,d}$ ,  $d \geq 2$  has  $n$  vertices labeled with the integers mod  $n$  and an edge between two vertices with labels  $i$  and  $j$  if and only if  $i + d^\ell = j \pmod{n}$  for some  $0 \leq \ell \leq \lceil \log_d n \rceil - 1$ .

**D14:** The **Knödel graph**  $\mathcal{W}_{n,\Delta}$  is a  $\Delta$ -regular bipartite graph,  $2 \leq \Delta \leq \lfloor \log n \rfloor$ , with  $n$  vertices,  $n$  even, and  $\frac{n\Delta}{2}$  edges. The vertices are labeled  $(i, j)$ ,  $i = 1, 2$ ,  $0 \leq j \leq \frac{n}{2} - 1$ . There is a dimension  $\ell$  edge,  $0 \leq \ell \leq \Delta - 1$ , between every pair of vertices  $(1, j)$  and  $(2, j + 2^\ell - 1 \pmod{\frac{n}{2}})$ ,  $0 \leq j \leq \frac{n}{2} - 1$ . The set of  $\frac{n}{2}$  dimension  $\ell$  edges is a perfect matching in  $\mathcal{W}_{n,\Delta}$ .

## EXAMPLES

**E3:** Figure 12.2.3 shows three non-isomorphic MBGs on 16 vertices.

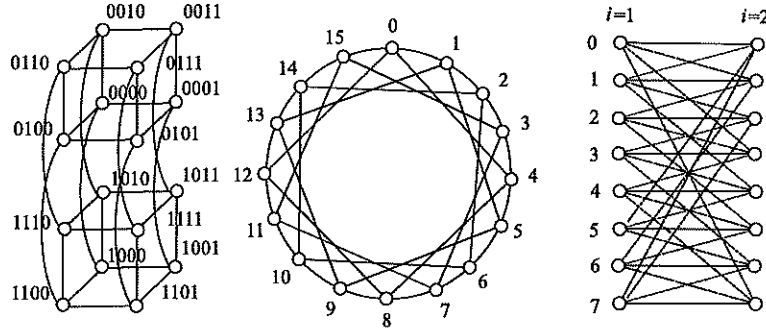


Figure 12.2.3:  $\mathcal{H}_4$  (left),  $\mathcal{G}_{16,4}$  (center),  $\mathcal{W}_{16,4}$  (right).

**E4:** Figure 12.2.4 shows two isomorphic MBGs on 14 vertices.

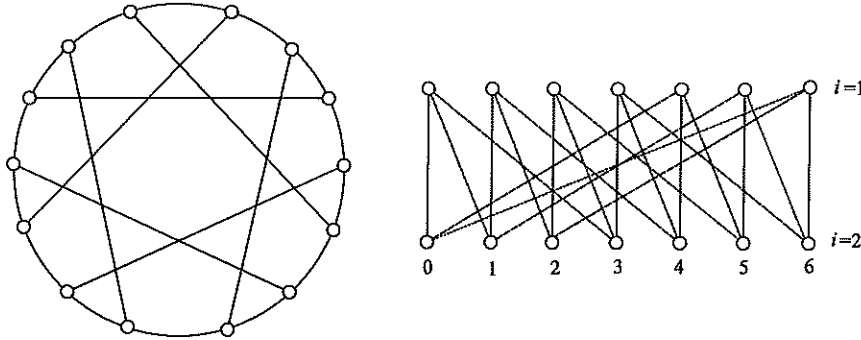


Figure 12.2.4: Heywood graph  $\mathcal{D}_4$  (left),  $\mathcal{W}_{14,3}$  (right).

## FACTS

**F5:** The set  $\{\mathcal{H}_k \mid k = 1, 2, 3, \dots\}$  of binary hypercubes is an infinite family of MBGs [FHMP].  $\mathcal{H}_k$  is a broadcast graph because there is a spanning subgraph isomorphic to a binomial tree on  $2^k$  vertices rooted at each vertex. One broadcast protocol is to use *dimension order*: each vertex that is informed at time  $t$  informs its dimension  $t+1, t+2, \dots, k$  neighbors during time steps  $t+1, t+2, \dots, k$ , respectively.  $\mathcal{H}_k$  is an MBG because the number of informed vertices must double during each of  $k$  time units to inform all  $2^k$  vertices and this requires that the originator has at least  $k$  neighbors. Any vertex can be the originator, so the minimum number of edges is  $k \cdot 2^{k-1}$ .

**F6:** The set  $\{\mathcal{G}_{2^k,4} \mid k = 2, 3, 4, \dots\}$  of recursive circulant graphs is an infinite family of MBGs.  $\mathcal{G}_{2^k,4}$  is isomorphic to  $\mathcal{H}_k$  for  $k = 2$  and non-isomorphic to  $\mathcal{H}_k$  for  $k \geq 3$  [FR98].

**F7:** The set  $\{\mathcal{W}_{2^k,k} \mid k = 2, 3, \dots\}$  of Knödel graphs is an infinite family of MBGs.  $\mathcal{W}_{2^k,k}$  is isomorphic to  $\mathcal{H}_k$  for  $k = 2, 3$  and non-isomorphic for  $k \geq 4$  [FR98, FP01]. It is isomorphic to  $\mathcal{G}_{2^k,4}$  for  $k = 2$  and non-isomorphic for  $k \geq 3$  [FR98].

**F8:** If  $G_1$  and  $G_2$  are MBGs on  $2^k$  vertices, then the graph that results from adding any perfect matching between the vertices of  $G_1$  and  $G_2$  is an MBG on  $2^{k+1}$  vertices. This construction can be applied recursively with different matchings at each stage to construct many non-isomorphic MBGs [FR98].

**F9:** The set  $\{\mathcal{D}_k \mid k = 2, 3, 4, \dots\}$  of Cayley graphs is an infinite family of MBGs with  $2^k - 2$  vertices and  $(k - 1) \cdot (2^{k-1} - 1)$  edges [DFF91, KH90]. One broadcast protocol is a dimension order protocol similar to the hypercube protocol except that there are  $k - 1$  dimensions instead of  $k$ . The originator and its dimension 1 neighbor are idle during the  $k^{th}$  time step because they have no uninformed neighbors after  $k - 1$  time steps; every other informed vertex informs its dimension 1 neighbor during the  $k^{th}$  time step.  $\mathcal{D}_k$  is an MBG because any originator with degree less than  $k - 1$  cannot inform  $2^k - 3$  other vertices in  $k$  time units, so every vertex must have degree at least  $k - 1$ .

**F10:** The Cayley graph  $\mathcal{D}_k$  is isomorphic to the Knödel graph  $\mathcal{W}_{2^k-2,k-1}$  for  $k \geq 3$  [FR98, HMP97], so  $\{\mathcal{W}_{2^k-2,k-1} \mid k = 3, 4, \dots\}$  is an infinite family of MBGs.

**F11:** Table 12.1 shows the known values of  $B(n)$  for small  $n$ , indicated by asterisks, and the best upper bounds currently known for other small  $n$ . Some of the graphs that verify these values are the results of ad hoc constructions, while others are the results of construction methods that have produced several MBGs. The contents of this table are based on [BFP92, BHL92b, DFF91, FHMP, KH90, Labahn, MS94, MitHed, Sac96, VenWen, WenVen95, WenVen, XiaWan, ZZ01].

$n$	$B(n)$	$n$	$B(n)$	$n$	$B(n)$	$n$	$B(n)$	$n$	$B(n)$	$n$	$B(n)$	$n$	$B(n)$
1	0*	10	12*	19	25*	28	48*	37	57	46	82	55	111
2	1*	11	13*	20	26*	29	52*	38	57	47	83	56	111
3	2*	12	15*	21	28*	30	60*	39	60	48	83	57	126
4	4*	13	18*	22	31*	31	65*	40	60	49	94	58	121*
5	5*	14	21*	23	34	32	80*	41	65	50	95	59	124*
6	6*	15	24*	24	36	33	48	42	66	51	100	60	130*
7	8*	16	32*	25	40	34	49	43	71	52	99	61	136*
8	12*	17	22*	26	42*	35	51	44	72	53	107	62	155*
9	10*	18	23*	27	44*	36	52	45	81	54	108	63	162*

Table 12.1: Upper bounds on  $B(n)$  for small  $n$ . Values that are known to be optimal are indicated by asterisks.

**F12:**  $B(n) \in \Theta(L(n)n)$ , where  $L(n)$  is the number of consecutive leading 1's in the binary representation of  $n - 1$  [GP91].

**F13:**  $B(n) \leq (n \lceil \log n \rceil) / 2$  [Far].

**F14:**  $B(n) \leq (n \lceil \log n \rceil) / 2$  for even values of  $n$  [FR98].

**F15:**  $B(n)$  is monotonically non-decreasing for any  $n$  in the first quarter of the range between any two consecutive powers of 2. More precisely,  $B(n) \leq B(n+1)$  for  $2^{m-1} + 1 \leq n \leq 2^{m-1} + 2^{m-3} - 1$  [HL03].

## RESEARCH PROBLEMS

**RP1:** Is  $B(n)$  monotonically non-decreasing between two consecutive powers of 2? This question has only been solved for the first quarter of each range (see **F15**).

**RP2:** In a broadcast graph on  $n = 2^k - 1$  vertices, every vertex must have degree at least  $k - 1$  and if the originator has degree  $k - 1$  then it must have at least one neighbor of degree  $k$ . This gives a lower bound  $B(2^k - 1) \geq \left\lceil \frac{1}{2}(n(k - 1) + \left\lceil \frac{n}{k+1} \right\rceil) \right\rceil$ . MBGs matching this lower bound are known for  $k \leq 7$  [BHL92b, FHMP, H08, Labahn]. The question is open for  $k > 7$ . A good starting point to explore this problem would be the values of  $k$  that are one less than a prime because the lower bound formula is exact for these values by Fermat's little theorem (i.e., the ceilings disappear).

**RP3:**  $B(n)$  is only known for a few infinite families of graphs and some small values of  $n$ . The general problems of determining  $B(n)$  and of finding more infinite families are open. MBGs on  $n = 2^k$  and  $n = 2^k - 2$  vertices are  $k$ -regular and  $(k - 1)$ -regular graphs, respectively. For most other values of  $n$  the MBGs will not be regular and the difficulty of proving upper and lower bounds seems to increase the farther that  $n$  is from a power of 2. MBGs for  $n = 2^k - 1$  vertices are known for small  $k$  (see **RP2**). General lower bounds for  $n = 2^k - l$ ,  $l = 3, 4, 5, 6$ ,  $k \geq 4$  were proved in [Sac96] and MBGs matching these lower bounds are known for  $k = 4, 5, 6$ . It is unknown if there are MBGs matching these bounds for  $k \geq 7$ . The degree of any originator in an MBG on  $n = 2^k - 2^p - r$  vertices is at least  $k - p$  [GarVac] and this gives a general lower bound on  $B(n)$ .

## Construction of Sparse Broadcast Graphs

As MBGs have proven to be difficult to construct, numerous methods have been proposed to construct sparse broadcast graphs that have a small number of edges. Almost all of these methods are combinations and variations of a few techniques.

## DEFINITIONS

**D15:** Given a broadcast protocol in a broadcast graph  $G$  on  $n$  vertices, a vertex  $u$  is *idle at time*  $t \leq \lceil \log n \rceil$  if and only if  $u$  is aware of the message at (the beginning of) time step  $t$  and  $u$  does not communicate with any of its neighbors during time step  $t$ .

**D16:** A subset of vertices  $C$  in a broadcast graph  $G$  is a *solid 1-cover* if and only if  $C$  is a vertex cover of  $G$ , and for each  $u \notin C$ , there is a broadcast protocol for  $u$  such that at least one neighbor of  $u$  is idle at some time during the broadcast.

**D17:** Let  $G = (V(G), E(G))$  and  $H = (V(H), E(H))$  be two graphs. The *compound of  $G$  into  $H$  relative to a set  $S \subseteq V(G)$* , denoted  $G_S[H]$ , is obtained by replacing each vertex  $x$  of  $H$  by a graph  $G_x$  isomorphic to  $G$  and adding a matching between two sets  $S_x$  and  $S_y$  if  $x$  and  $y$  are adjacent in  $H$ . More precisely, the matching between  $S_x$  and  $S_y$  connects each vertex in  $S_x$  with its copy in  $S_y$ . For any vertex  $u \in S$ , we use  $H_u$  to denote the graph isomorphic to  $H$  that interconnects the copies of  $u \in V(G)$ .

## EXAMPLES

**E5:** Figure 12.2.5 shows a broadcast protocol for  $C_6$  (left) in which the vertex to the left of the originator is idle during time step 3, and two copies of  $C_6$  joined by a partial matching between their solid covers of size 3 (right).

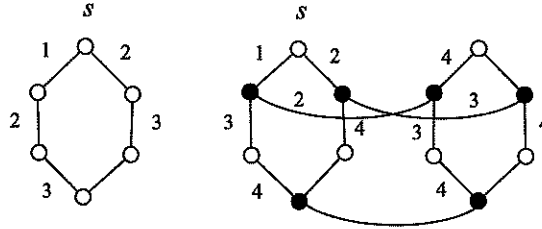


Figure 12.2.5: Partial matching of two copies of  $C_6$ .

**E6:** Figure 12.2.6 shows a solid 1-cover of the Heywood graph.

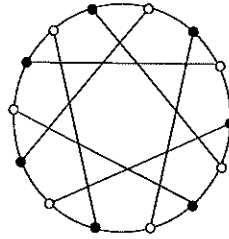


Figure 12.2.6: Solid 1-cover of the Heywood graph  $\mathcal{D}_4$ .

## FACTS

**F16:** If  $G = (V, E)$  is a broadcast graph on  $n$  vertices and  $C$  is a solid 1-cover of  $G$ , then  $B(2n) \leq 2|E| + |C|$  [BFP92, KH90]. Construct  $G'$  by joining two isomorphic copies of  $G$ ,  $G_1$  and  $G_2$ , with a perfect matching between their solid covers  $C_1$  and  $C_2$ . Let  $u_1 \in V(G_1)$  be the originator of the broadcast. If  $u_1 \in C_1$ , then  $u_1$  sends the message to its copy  $u_2$ , and then  $u_1$  and  $u_2$  broadcast in  $G_1$  and  $G_2$ , respectively. If  $u_1 \notin C_1$ , then  $u_1$  initiates a broadcast in  $G_1$  and whenever a vertex  $v_1 \in C_1$  is informed, it first informs its copy  $v_2$  and then both copies of  $v$  continue to broadcast in their copies of  $G$ .  $C_2$  is a solid cover so  $u_2$  can be informed by an idle vertex. See Figure 12.2.5 for an example.

**F17:** Let  $G = (V(G), E(G))$  and  $H = (V(H), E(H))$  be broadcast graphs on  $n_1$  and  $n_2$  vertices, respectively, and let  $C$  be a solid 1-cover of  $G$ . If  $\lceil \log n_1 n_2 \rceil = \lceil \log n_1 \rceil + \lceil \log n_2 \rceil$ , then  $G_C[H]$  is a broadcast graph and therefore  $B(n_1 n_2) \leq n_2 |E(G)| + |C| |E(H)|$  [BFP92]. A specific case is seen in **F16**, where  $H$  is  $\mathcal{H}_2$ .

**F18:**  $B(2^k - 2^p) \leq \frac{2^k - 2^p}{4} (2k - p - 1)$  for any  $1 \leq p \leq k - 2$  and  $k \geq 4$  [KH90]. This also follows from **F17**.

**F19:** Vertex deletion was introduced in [XiaWan]. If  $G$  is a broadcast graph with  $n$  vertices,  $2^{k-1} + 1 < n \leq 2^k$ ,  $e$  edges, and a vertex  $v$  of degree  $d$ , then  $B(n - 1) \leq e + \frac{1}{2}d(d - 3)$ . Construct  $G'$  on  $n - 1$  vertices by deleting  $v$  and adding enough edges to form a clique among the former neighbors of  $v$ . Each broadcast protocol for  $G$  can be modified so that the vertex  $u$  that informed  $v$  in  $G$  informs the former neighbors of  $v$  in the same order that  $v$  informed them in  $G$ .



## REMARKS

**R2:** Vertex addition was introduced in [BHLP92b]. In this construction method, a vertex is added to a broadcast graph on  $n - 1$  vertices and is connected to some of the original vertices, yielding a broadcast graph on  $n$  vertices. This method is used in [BHLP92b, H08, HL12].

**R3:** Solid 1-covers are vertex covers for which the broadcast protocols have enough idle vertices to “cover” all paths of length 1 (i.e., edges). They can be generalized to *solid  $h$ -covers* which cover all paths of length  $h$ . Solid  $h$ -covers with  $h > 1$  are used in [BFP92, KH90, MS94].

**R4:**  $m$ -way splits were introduced in [ChaLie] (for  $m = 5, 6, 7$ ) and generalized in [BFP92]. The construction is similar to graph compounding and uses a graph  $G$  that has a perfect matching with certain broadcast properties, and graphs that have *even adjacency splits*. (A graph on  $\ell$  vertices has an even adjacency split if it has two dominating sets of size  $\lceil \frac{\ell}{2} \rceil$  and  $\lfloor \frac{\ell}{2} \rfloor$ .) The method produces sparse broadcast graphs on  $n = mi + j$  vertices for which  $i$  is not a power of 2,  $0 \leq j < m$ , and  $\lceil \log(mi + j) \rceil = \lceil \log m \rceil + \lceil \log i \rceil$ . This method produces broadcast graphs for more values of  $n$  than solid  $h$ -covers, which require that  $n = mi$  for  $m, i \in \mathbb{N}$ .

**R5:** Several other construction methods have been developed. Most are combinations of matchings, partial matchings, compounding,  $m$ -way splits, vertex deletion, vertex addition, and operations on hypercubes. The methods in [Di99, VenWen, WenVen95] are similar to the solid covers method with vertex deletion and give similar results. The methods in [Che, GarVac] use solid covers, hypercubes, and vertex deletion. Matchings were used in the first construction methods [Far] and early uses of solid covers, vertex addition, and vertex deletion appear in [BHLP92a]. The methods in [HL99] include compounding, merging vertices, and deleting edges based on a broadcast graph construction using binomial trees.

**R6:** The constructions in [GP91] use hypercubes and generalized Fibonacci numbers to produce asymptotic bounds on  $B(n)$ .

## Bounded Degree Broadcast Graphs

If we restrict our attention to graphs of given maximum degree, the time required to broadcast increases. Researchers have developed efficient broadcast protocols for some bounded degree graphs that are of interest to network designers.

## DEFINITIONS

**D18:** The  *$k$ -dimensional cube-connected cycles graph*  $CCC_k$  is derived from  $\mathcal{H}_k$  by replacing each vertex  $x = x_1x_2 \dots x_k$  of  $\mathcal{H}_k$  by a cycle of length  $k$  with vertices labeled  $(i, x)$ ,  $0 \leq i \leq k - 1$ . Each of the  $k$  vertices on a cycle that replaces  $x$  inherits one of the  $k$  edges that were incident to  $x$  in  $\mathcal{H}_n$ . In particular, vertex  $(i, x)$  is connected to its neighbors  $(i + 1, x)$  and  $(i - 1, x)$  on its cycle and to vertex  $(i, x_1x_2 \dots \bar{x}_{i+1} \dots x_k)$  where  $\bar{x}_{i+1}$  is the complement of the binary value  $x_{i+1}$ .

**D19:** The  *$k$ -dimensional (wrapped) butterfly graph*  $\mathcal{BF}_k$  is derived in a similar way to  $CCC_k$ .  $\mathcal{BF}_k$  has the same vertex set as  $CCC_k$ . The difference is that  $\mathcal{BF}_k$  has two edges corresponding to each former hypercube edge instead of one:  $(i, x)$  is connected to  $(i + 1, x_1x_2 \dots \bar{x}_{i+1} \dots x_k)$  and  $(i - 1, x_1x_2 \dots \bar{x}_i \dots x_k)$ .

**D20:** The  $k$ -dimensional binary shuffle-exchange graph  $\mathcal{SE}_k$  has  $2^k$  vertices with the same labels as the vertices of  $\mathcal{H}_k$ . Each vertex  $x_1x_2\cdots x_k$  is connected to its *shuffle neighbor*  $x_2x_3\cdots x_kx_1$  and its *unshuffle neighbor*  $x_kx_1\cdots x_{k-1}$ , and to its *exchange neighbor*  $x_1x_2\cdots \bar{x}_k$ . Parallel edges and loops are removed.

**D21:** The  $k$ -dimensional binary de Bruijn graph  $\mathcal{UB}_k$  has the same vertex set as  $\mathcal{H}_k$  and  $\mathcal{SE}_k$ . As in  $\mathcal{SE}_k$ , each vertex  $x_1x_2\cdots x_k$  is connected to its shuffle neighbor  $x_2x_3\cdots x_kx_1$  and its unshuffle neighbor  $x_kx_1\cdots x_{k-1}$ . It is also connected to its *shuffle-exchange neighbor*  $x_2x_3\cdots x_k\bar{x}_1$  and its *unshuffle-exchange neighbor*  $x_kx_1\cdots \bar{x}_{k-1}$ . Parallel edges and loops are removed.

**D22:** The *de Bruijn graph*  $\mathcal{UB}_{d,k}$  with degree  $2d$  and diameter  $k$  has  $d^k$  vertices with labels that are strings of length  $k$  over the alphabet  $\{0, 1, \dots, d-1\}$ . There is an edge between vertex  $x_1, x_2, \dots, x_k$  and each vertex  $x_2, x_3, \dots, x_k, \lambda$  and  $\lambda, x_1, x_2, \dots, x_{k-1}$  with  $\lambda \in \{0, 1, \dots, d-1\}$ .  $\mathcal{UB}_{2,k}$  is the special case  $\mathcal{UB}_k$  in D21.

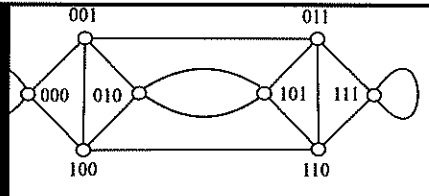
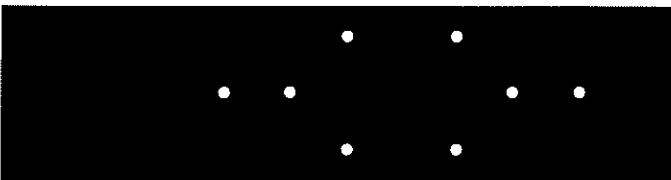
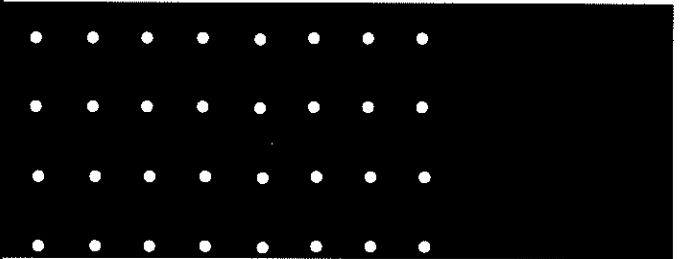
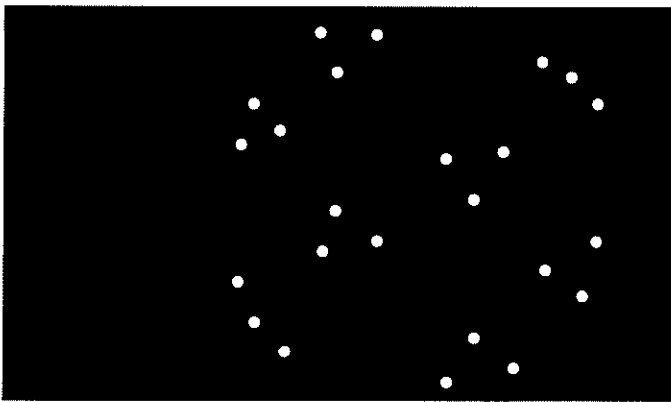


Table 12.2 lists some parameters of hypercubes and bounded-degree approximations of hypercubes.

	vertices	edges	degree	diameter
$\mathcal{H}_k$	$2^k$	$k \cdot 2^{k-1}$	regular $k$	$k$
$CCC_k$	$k2^k$	$\frac{3}{2} \cdot k2^k$	regular 3	$2k - 1 + \max(1, \lfloor \frac{k-2}{2} \rfloor)$
$\mathcal{BF}_k$	$k2^k$	$2 \cdot k2^k$	regular 4	$\lfloor \frac{3k}{2} \rfloor$
$\mathcal{SE}_k$	$2^k$	$\frac{3}{2} \cdot 2^k - 3 + (k \pmod{2})$	maximum 3	$2k - 1$
$\mathcal{UB}_k$	$2^k$	$2 \cdot 2^k - 3$	maximum 4	$k$

Table 12.2: Some parameters of hypercube-derived graphs.

### FACTS

**F20:** Let  $a_t^\Delta$  be the maximum number of vertices that can be informed after  $t$  time units in any graph with maximum degree  $\Delta$ . There is one informed vertex at time  $t = 0$  (the originator), and  $a_t^\Delta \leq 2 \cdot a_{t-1}^\Delta$  for  $t \geq 1$  (see **F1**). Furthermore, at time  $t + \Delta - 1$ ,  $t \geq 1$ , all vertices that were informed by time  $t$  have no more uninformed neighbors, so  $a_{t+\Delta}^\Delta \leq a_{t+\Delta-1}^\Delta + (a_{t+\Delta-1}^\Delta - a_t^\Delta) = 2a_{t+\Delta-1}^\Delta - a_t^\Delta$ . Based on this recurrence,  $1.440 \log_2 n - 1.769$  is a lower bound on  $b(n, 3)$  and  $1.137 \log_2 n - 0.637$  is a lower bound on  $b(n, 4)$  [LP88]. Closed form lower bounds are not known for  $\Delta > 4$ , but asymptotically  $b(n, \Delta) > p(n, \Delta)$  where  $p(n, \Delta) \approx (1 + \frac{\log_2 e}{2\Delta})$  [BHLP92a].

**F21:**  $b(CCC_k) = \lceil \frac{5k}{2} \rceil - 1$  [LP88].

**F22:**  $1.7417k \leq b(\mathcal{BF}_k) \leq 2k - 1$ . The upper bound may be improved to  $2k - \frac{1}{2} \log \log k + c$  for some constant  $c$  and a sufficiently large  $k$  [KMPS94].

**F23:**  $b(\mathcal{SE}_k) = 2k - 1$  [HJM93].

**F24:**  $1.3171k \leq b(\mathcal{UB}_k) \leq \frac{3}{2}(k + 1)$ . The upper bound is from [BP88] and the lower bound is from [KMPS94].

**F25:**  $b(n, \Delta) < p(n, \Delta)$  where  $p(n, \Delta) \approx (1 + \frac{0.415}{\Delta}) \log_2 n$  [BHLP92a]. This bound is obtained using graph compounds  $\mathcal{H}[\mathcal{UB}_{d,k}]$  where  $\mathcal{H}$  is a hypercube and  $\mathcal{UB}_{d,k}$  is a de Bruijn graph. Better upper bounds for particular values of  $\Delta$  can be obtained by compounding different graphs in de Bruijn graphs [BHLP92a]. See **F20** for a lower bound on  $p(n, \Delta)$ .

## 12.2.2 Gossiping

In this subsection, we turn our attention to gossiping — a related problem that was first investigated before broadcasting. Results on broadcasting provide lower bounds on gossiping and graphs constructed for broadcasting are also useful for gossiping.

### DEFINITIONS

**D24:** *Gossiping* is the goal of sending a unique message from each vertex to all of the other vertices in the graph.

**D25:** A *gossip protocol* is represented by a graph  $G = (V, E)$  on  $n$  vertices such that every edge  $e$  is labeled with a set of positive integers  $c_e$  and the labels satisfy the following constraints:

- the sets of labels on any two edges incident on a vertex are disjoint,
- there is a *transmission path* between any two vertices  $u$  and  $v$ ; in particular a path of edges  $(e_1, e_2, \dots, e_k)$  such that there exist elements of the corresponding label sets  $t_i \in c_{e_i}$  such  $t_1 < t_2 < \dots < t_k$ . Such a transmission path “ends” at time  $t_k$ .

**D26:** A vertex  $v$  is *informed at time  $t$  by a gossip protocol* if there exists a transmission path from every other vertex to  $v$  that ends at or before time  $t$ .

**D27:** The *completion time* of a gossip protocol is the least integer  $t$  such that every vertex  $v$  in the graph is informed at time  $t$ .

**D28:** The *gossip time*  $g(G)$  of a graph  $G$  is the minimum completion time of any gossip protocol for  $G$ .

#### EXAMPLE

**E8:** Figure 12.2.8 shows an example of a gossip protocol for a unicyclic graph.

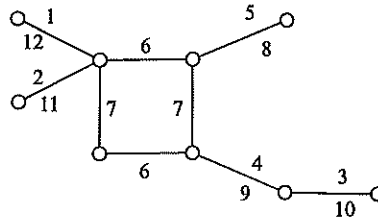


Figure 12.2.8: A gossip protocol.

#### REMARK

**R7:** Implicit in the above definitions is a basic model of communication. This model allows “calls” between two vertices such that all messages currently known to both vertices are exchanged. The model allows this unbounded exchange of information to occur in one time unit. Further, the model allows calls on disjoint edges,  $e_1$  and  $e_2$ , to occur during the same time unit  $t$ , when  $t \in c_{e_1}$  and  $t \in c_{e_2}$ ; each time unit is a “round.” When these assumptions are found to be untenable, researchers have augmented the basic model in a variety of ways that are too numerous to consider within the bounds of this paper.

#### FACTS

**F26:** Clearly  $g(G) \geq b(G)$  since from a gossip protocol a broadcast protocol for each vertex of  $G$  can be inferred that has a completion time which is at most the completion time of the gossip protocol.

**F27:** Given a gossip protocol, the time-reversed protocol derived from it is also a valid gossip protocol. In particular, if for each edge  $e$  we replace each  $t \in c_e$  with  $g(G) - t + 1$ , transmission paths still exist for all pairs of vertices [T71].

## Optimal Gossip Graphs

Here, we discuss the structure of gossiping in terms of the number of calls made without regard to the time needed to make the calls.

### DEFINITIONS

**D29:** The *size of a gossip protocol* for a graph  $G$  is the total number of calls used. In particular, the size is  $\sum_{e \in E(G)} |c_e|$ . Let  $f(G)$  be the minimum size of any gossip protocol for  $G$ . A protocol of size  $f(G)$  is an *optimal gossip protocol* for  $G$ .

**D30:** The *optimal gossip size*  $f(n)$  is the minimum  $f(G)$  over all graphs with  $n$  vertices.

### REMARKS

**R8:** As remarked above, the basic model of communication allows calls to occur “at the same time.” For the purpose of understanding  $f(G)$  this is de-emphasized; it is as if parallel calls have been linearized. Bandwidth consumed by a protocol is measured by this quantity, not time.

### FACTS

**F28:**  $f(n+1) \leq f(n) + 2$  for  $n \geq 1$  [T71]. This follows from the following observation: Given a graph  $G$  with  $f(G) = f(n)$ , add an edge from any vertex  $v$  of  $G$  to a new vertex  $v'$  to form a new graph  $G'$ . A valid gossip protocol for  $G'$  can be obtained by adding a call between  $v$  and  $v'$  both before and after a valid gossip protocol for  $G$ . This observation can be used to recursively construct gossip protocols from protocols for smaller graphs.

**F29:**  $f(G) \leq 2n - 3$  for any (connected) graph  $G$ . This follows from the previous fact, building a protocol recursively using a trivial protocol for the subgraph of two adjacent vertices as the basis. For some graphs  $G$  with  $n$  vertices we have  $f(G) > f(n)$ ; for example, the graph which is a path of four vertices requires, by exhaustive analysis, 5 calls, while  $f(n) = 4$ .

**F30:**  $f(n) = 2n - 4$  for  $n \geq 4$ , while  $f(1) = 0$ ,  $f(2) = 1$ , and  $f(3) = 2$ . Various researchers independently proved this fact using very different proof techniques [BS72, HMS72, T71]. Other proofs appeared later as corollaries to stronger theorems. The difficulty was the lower bound; the upper bound follows from the next fact.

**F31:**  $f(G) = 2n - 4$  for any graph  $G$  which contains  $C_4$  as a subgraph;  $C_4$  is a cycle of four edges. Note that  $f(C_4) = 4$ . This fact follows from the above facts, building a protocol recursively using a protocol for the  $C_4$  subgraph as the basis. An example of such a protocol was seen in Figure 12.2.8.

**F32:**  $f(G) = 2n - 4$  if and only if the graph  $G$  contains  $C_4$  as a subgraph. This resisted proof for many years even though it was widely conjectured. (The difficulty is that a  $C_4$  subgraph is necessary even though the protocol in the previous fact can be replaced by a fundamentally different protocol.) Eventually two independent proofs appeared [B81, KS80]. Later proofs used deeper results about “information flow” [K96, L95].

## Minimum Gossip Graphs

In this subsection, we return our focus to minimum time gossiping and consider the graphs on  $n$  vertices with the fewest edges that allow minimum time gossiping.

### DEFINITIONS

**D31:** Recall that  $g(G)$  is the gossip time for  $G$ , the number of rounds necessary to gossip in  $G$ . The *minimum gossip time*  $g(n)$  is the minimum  $g(G)$  over all graphs with  $n$  vertices.

**D32:** Let  $M_n = \{G \mid G \text{ has } n \text{ vertices and } g(G) = g(n)\}$ . A *minimum gossip graph*  $G$  (MGG) is a member of  $M_n$ , for some  $n$ , and the number of its edges is the minimum over all graphs in  $M_n$ . The number of edges in an MGG on  $n$  vertices is denoted  $G(n)$ .

### FACTS

**F33:** As noted above  $g(G) \geq b(G)$  for every  $G$ , so it follows that  $g(n) \geq b(n)$ .

**F34:**  $g(n) = \lceil \log_2 n \rceil$  if  $n$  is even and  $g(n) = \lceil \log_2 n \rceil + 1$  if  $n$  is odd. The lower bound follows from the bound on  $b(n)$  and the fact that with odd  $n$  some node does not participate in the first round. The upper bounds come from constructions [K75]. This result was anticipated in earlier literature where the problem was posed in a different context, e.g. [B50].

**F35:** It follows from the above that  $G(n) \geq B(n)$  for even  $n$  [F00].

**F36:** For any tree  $T$  with  $n$  vertices  $g(T) \geq 2\lceil \log_2 n \rceil - 1$  [L86].

**F37:** For all  $n = 2^k$ ,  $k \geq 1$ ,  $G(n) = \frac{n}{2} \log_2 n$ . In particular, the set  $\{\mathcal{H}_k \mid k = 1, 2, 3, \dots\}$  of binary hypercubes is an infinite family of MGGs for such  $n$  [L93].  $\mathcal{H}_k$  has a gossip protocol that makes all calls across each dimension at the same time and proceeds in dimension order; it will broadcast from each originator in the same manner as was discussed for broadcasting. For  $n = 16$ , all MGGs have been characterized; they are all formed from  $\mathcal{H}_4$  by perturbing  $C_4$  subgraphs [LP91]. Further, when  $k \geq 2$  the families  $\mathcal{W}_{2^k, k}$  and  $\mathcal{G}_{2^k, 4}$  are also MGGs [FR98].

**F38:** For all  $n = 2^k - 2$ ,  $k \geq 3$ ,  $G(n) = \frac{n}{2}(\lceil \log_2 n \rceil - 1)$ . In particular, the set  $\{\mathcal{D}_k \mid k = 3, 4, 5, \dots\}$  of Cayley graphs is an infinite family of MGGs for such  $n$  [DFF91, L93]. One gossip protocol is a dimension order protocol similar to the hypercube protocol except there are  $k - 1$  dimensions instead of  $k$ ; after calling across all dimensions the first dimension is repeated.

**F39:** For all  $n = 2^k - 4$ ,  $k \geq 6$ ,  $G(n) = \frac{n}{2}(\lceil \log_2 n \rceil - 1)$ . In particular, the infinite family  $\mathcal{W}_{n, k-1}$  are MGGs for such  $n$  [FR98, L93].

**F40:**  $G(n) \leq (n \lceil \log n \rceil)/2$  for even values of  $n$  [BHL97].

**F41:** Table 12.3 shows the known exact values of  $G(n)$  for small  $n$ , indicated by asterisks, and the best upper bounds currently known for other small  $n$ . These are apparently the only known values, beyond the families in the above facts. Some of the graphs that verify these values are the results of ad hoc constructions, while others are the results of construction methods that have produced several MBGs. The contents of this table are based on [F00, FL00, FR98, L93].

$n$	$G(n)$	$n$	$G(n)$	$n$	$G(n)$	$n$	$G(n)$	$n$	$G(n)$	$n$	$G(n)$	$n$	$G(n)$
1	0*	5	5*	9	9*	13	17	17	20	21	27	25	32
2	1*	6	6*	10	13*	14	21*	18	25	22	36	26	52
3	2*	7	7*	11	11*	15	19*	19	22	23	29	27	34
4	4*	8	12*	12	18*	16	32*	20	28	24	36*	28	56*
												32	80*

Table 12.3: Upper bounds on  $B(n)$  for small  $n$ . Values that are known to be optimal are indicated by asterisks.

### 12.2.3 Other Variations of Broadcasting and Gossiping

Many variations of broadcasting and gossiping have been investigated. Most of these involve changes to the model that we have been using, considering digraphs, hypergraphs, multiple originators, multiple messages from a single source, random transmissions, or restricted protocols. The model described here is somewhat unrealistic for gossiping, as it assumes that combined messages can be sent in a single transmission in constant time. Many of the other papers on gossiping use different models that account for the size of the combined messages sent.

For more information on these and other variations, see [FL94, HHL88, HKMP96, HKPRU05].

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