信息安全（03）

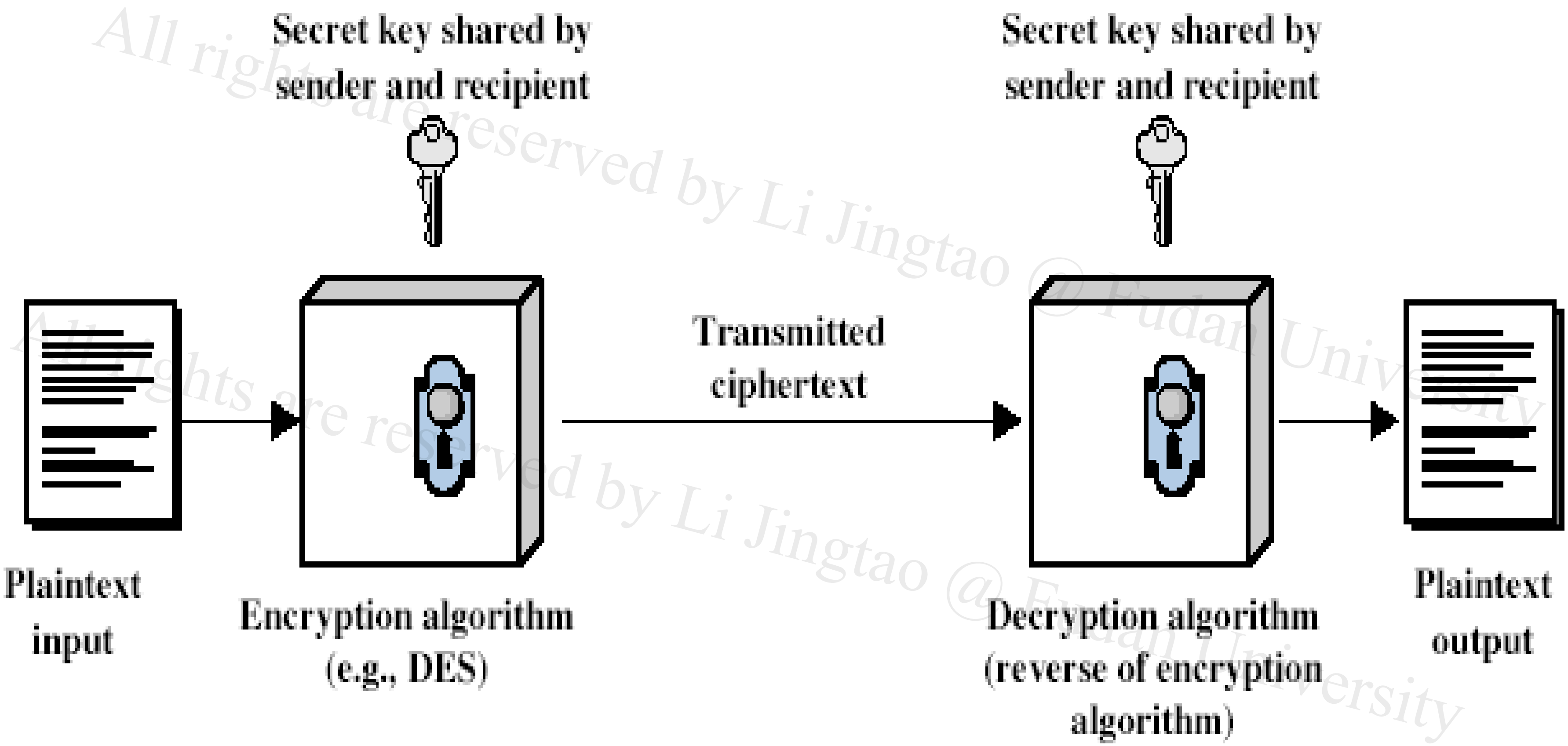
Introduction to Cryptography

- Modern Block Ciphers

Outline-Modern Block Ciphers

- now look at modern block ciphers
- one of the most widely used types of cryptographic algorithms
- provide confidential/authentication services
- focus on DES (Data Encryption Standard)
- to illustrate block cipher design principles

Review: Symmetric Cipher Model



Review

- **Monoalphabetic Cipher**
- **Playfair**
- **Vigenère Cipher**

Review: Product Ciphers

- ciphers using substitutions or transpositions are not secure because of language characteristics
- hence consider using several ciphers in succession to make harder, but:
  - two substitutions make a more complex substitution
  - two transpositions make more complex transposition
  - but a substitution followed by a transposition makes a new much harder cipher
- this is bridge from classical to modern ciphers

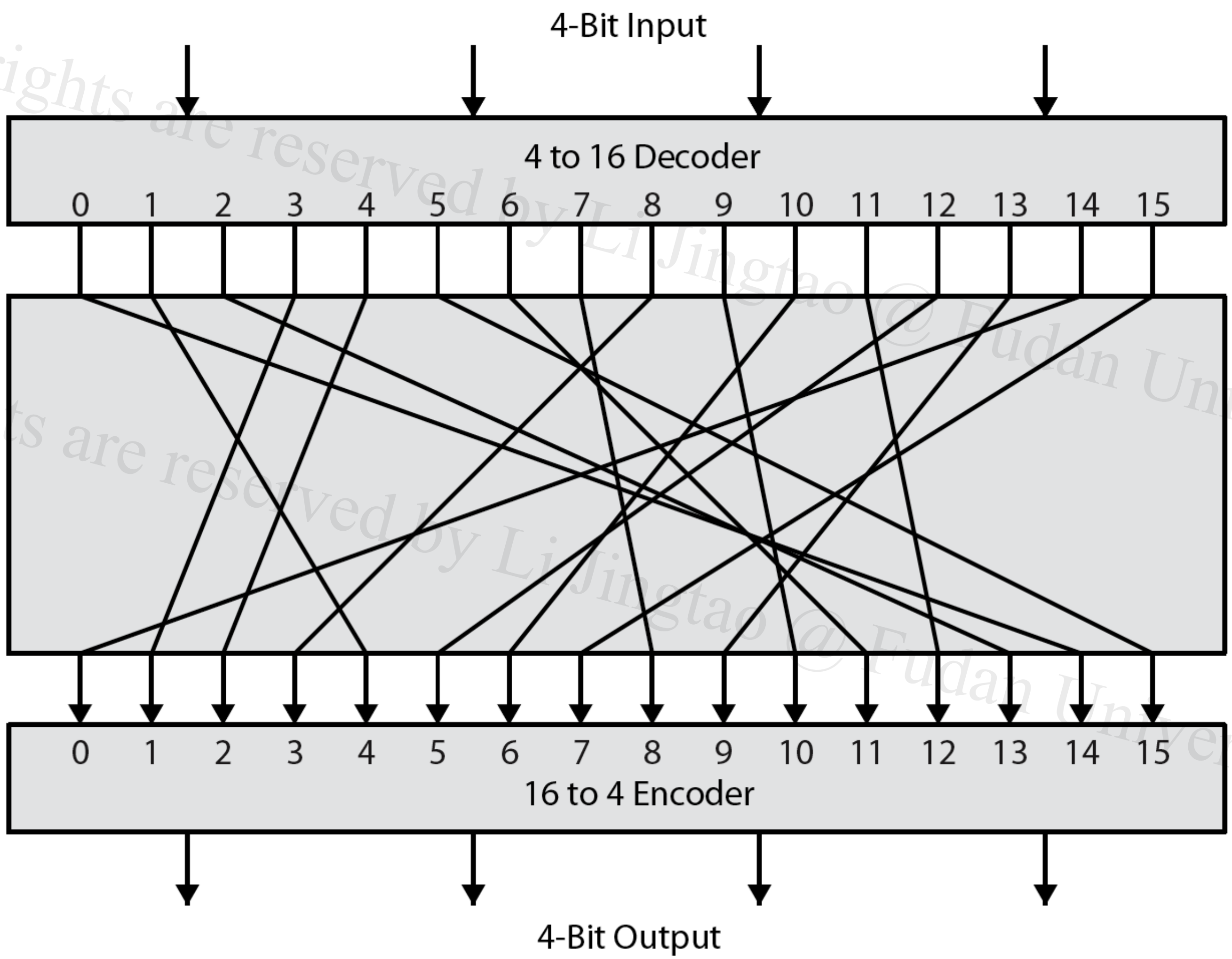
Block vs Stream Ciphers

- block ciphers process messages in blocks, each of which is then en/decrypted
- like a substitution on very big characters
  - 64-bits or more
- stream ciphers process messages a bit or byte at a time when en/decrypting
- many current ciphers are block ciphers
- broader range of applications

Block Cipher Principles

- most symmetric block ciphers are based on a **Feistel Cipher Structure**
- needed since must be able to **decrypt** ciphertext to recover messages efficiently
- block ciphers look like an extremely large substitution
- would need table of 2^{64} entries for a 64-bit block
- instead create from smaller building blocks
- using idea of a **product** cipher

Ideal Block Cipher



Ideal Block Cipher

Plaintext	Ciphertext
0000	1110
0001	0100
0010	1101
0011	0001
0100	0010
0101	1111
0110	1011
0111	1000
1000	0011
1001	1010
1010	0110
1011	1100
1100	0101
1101	1001
1110	0000
1111	0111

Ciphertext	Plaintext
0000	1110
0001	0011
0010	0100
0011	1000
0100	0001
0101	1100
0110	1010
0111	1111
1000	0111
1001	1101
1010	1001
1011	0110
1100	1011
1101	0010
1110	0000
1111	0101

Ideal Block Cipher->Feistel

- Feistel proposed
  - We can approximate the ideal block cipher by product cipher;
  - Develop a block cipher with a key length of *k* bits and a block length of *n* bits, allowing a total of 2^k possible transformation
  - Rather than 2^n!

Shannon and Substitution-Permutation Ciphers

- Claude Shannon introduced idea of substitution-permutation (S-P) networks in 1949 paper
- form basis of modern block ciphers
- S-P nets are based on the two primitive cryptographic operations seen before:
  - *substitution* (S-box)
  - *permutation* (P-box)
- provide *confusion* & *diffusion* of message & key

Confusion and Diffusion

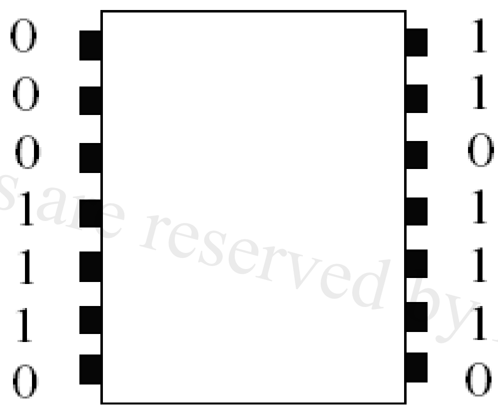
- cipher needs to completely obscure statistical properties of original message
- a one-time pad does this
- more practically Shannon suggested combining S & P elements to obtain:
- **diffusion** – dissipates statistical structure of plaintext over bulk of ciphertext
- **confusion** – makes relationship between ciphertext and key as complex as possible

Shannon理论

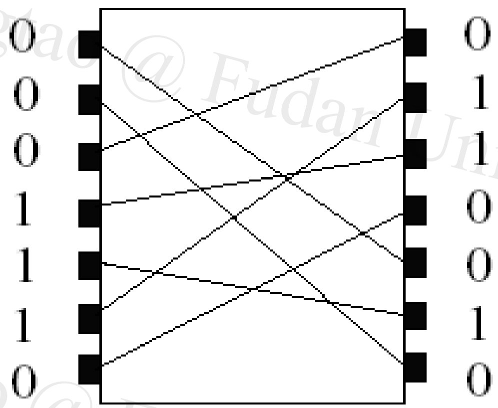
- Shannon提出利用扰乱（Confusion）和扩散（Diffusion）交替的方法来构造乘积密码密码（SPN, Substitution Permutation Network：替代-置换网络）
- 目的为了使基于统计的分析方法不易或者不能实现
- Shannon理论是现代分组密码算法的基础

SPN的基本操作

Substitution **Permutation**

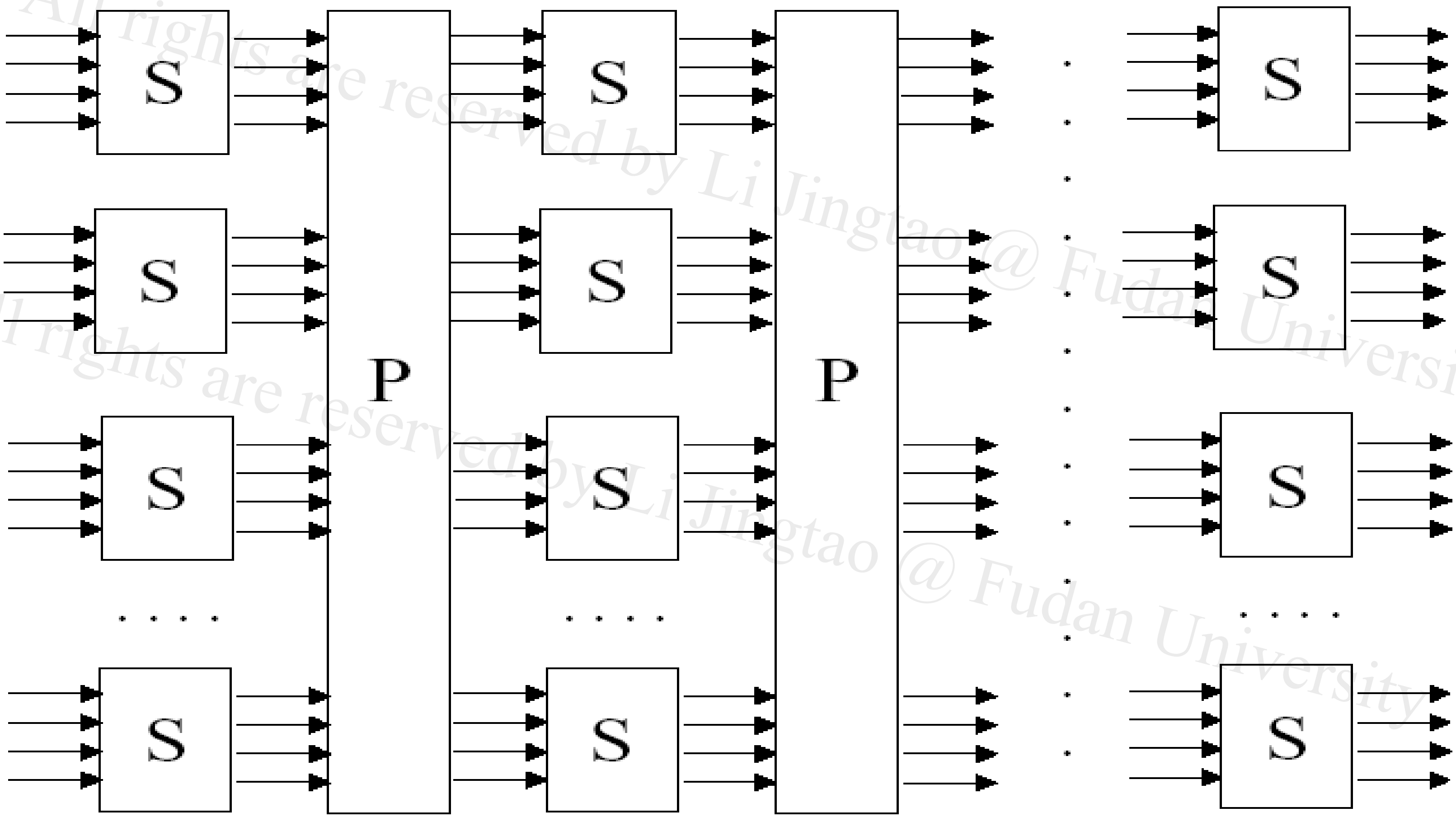


S-box

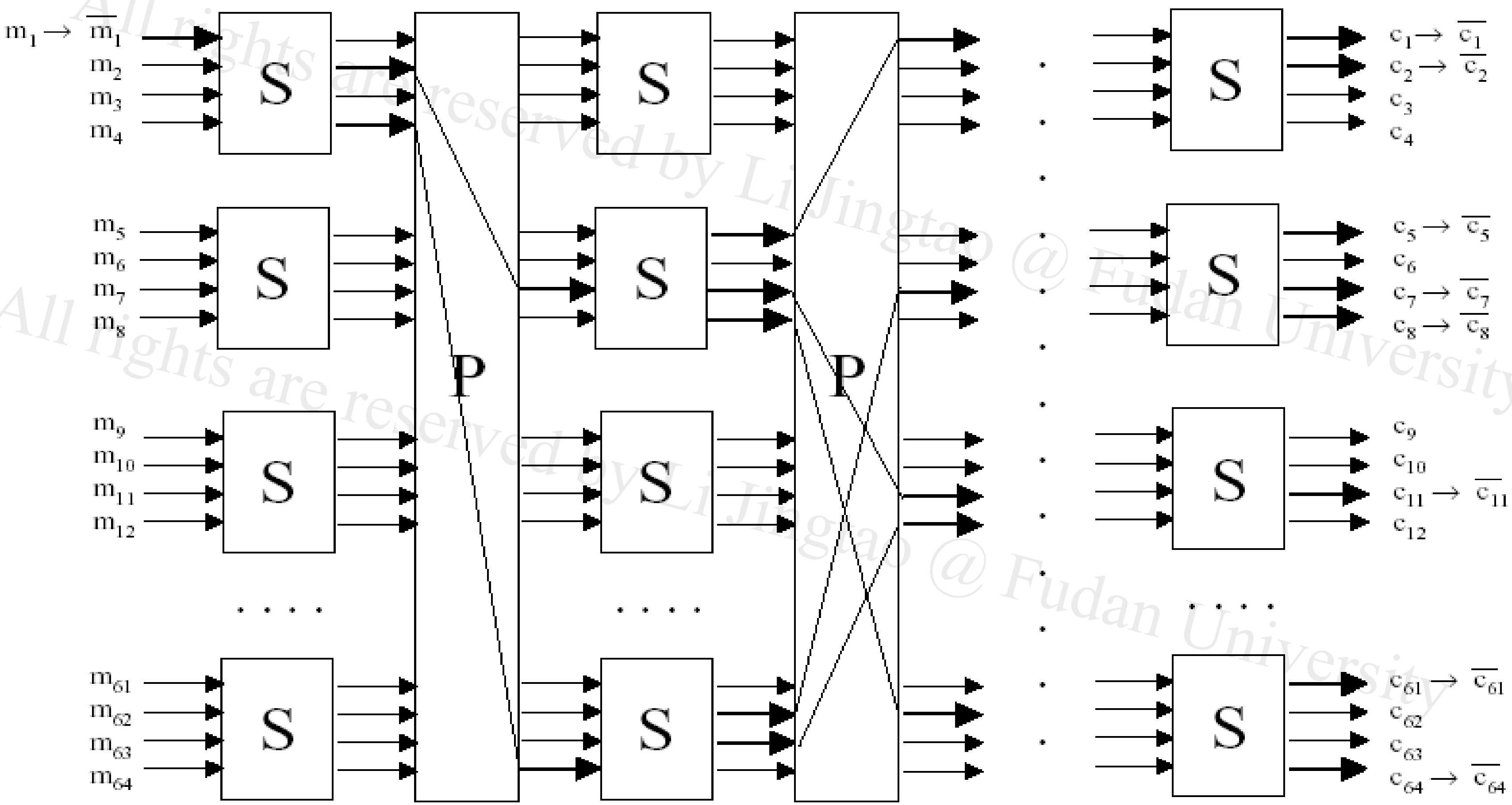


P-box

16位网络SPN



SPN的雪崩效应

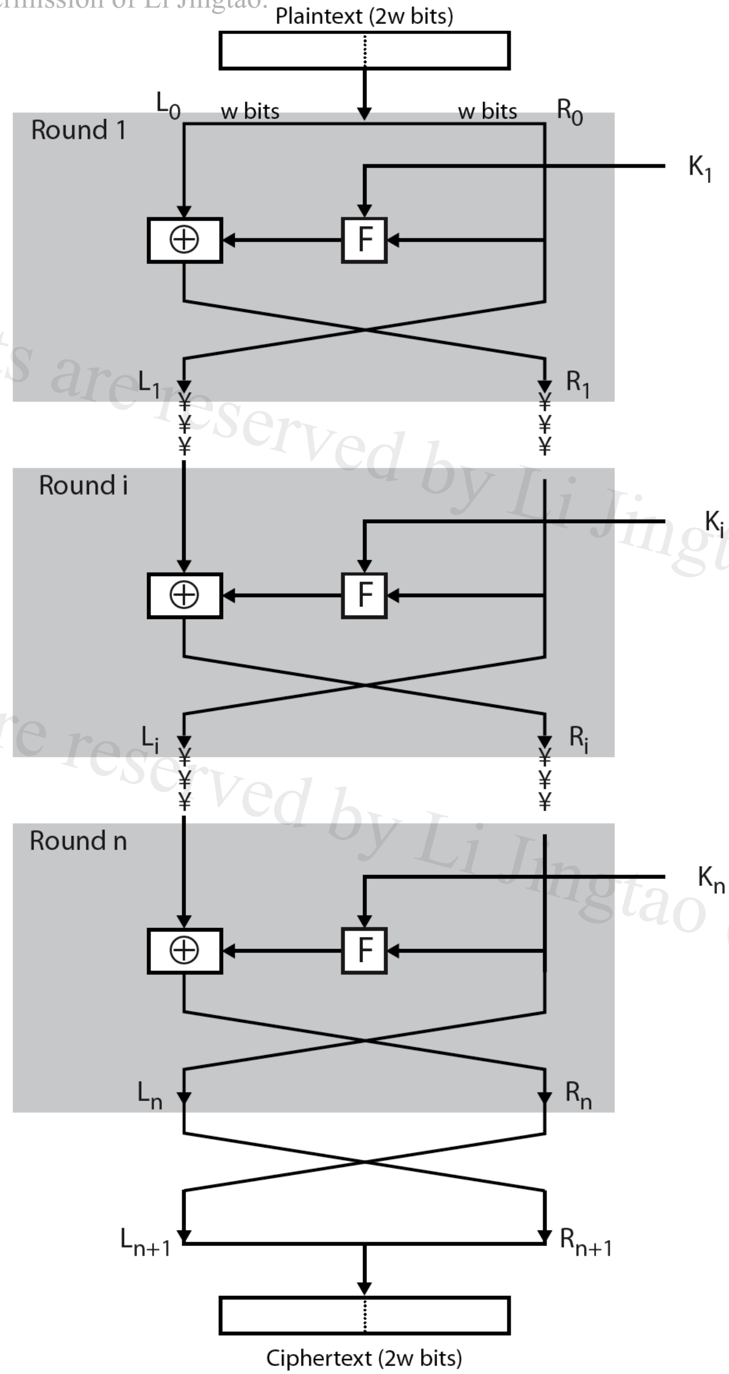


$m_1 \rightarrow \overline{m_1}$
m_2
m_3
m_4
m_5
m_6
m_7
m_8
m_9
m_{10}
m_{11}
m_{12}
m_{61}
m_{62}
m_{63}
m_{64}
S
P
$c_1 \rightarrow \overline{c_1}$
$c_2 \rightarrow \overline{c_2}$
c_3
c_4
$c_5 \rightarrow \overline{c_5}$
c_6
$c_7 \rightarrow \overline{c_7}$
$c_8 \rightarrow \overline{c_8}$
c_9
c_{10}
$c_{11} \rightarrow \overline{c_{11}}$
c_{12}
$c_{61} \rightarrow \overline{c_{61}}$
c_{62}
c_{63}
$c_{64} \rightarrow \overline{c_{64}}$

Feistel Cipher Structure

- Horst Feistel devised the **feistel cipher**
  - based on concept of invertible product cipher
- partitions input block into two halves
  - process through multiple rounds which
  - perform a substitution on left data half
  - based on round function of right half & subkey
  - then have permutation swapping halves
- implements Shannon's SPN concept

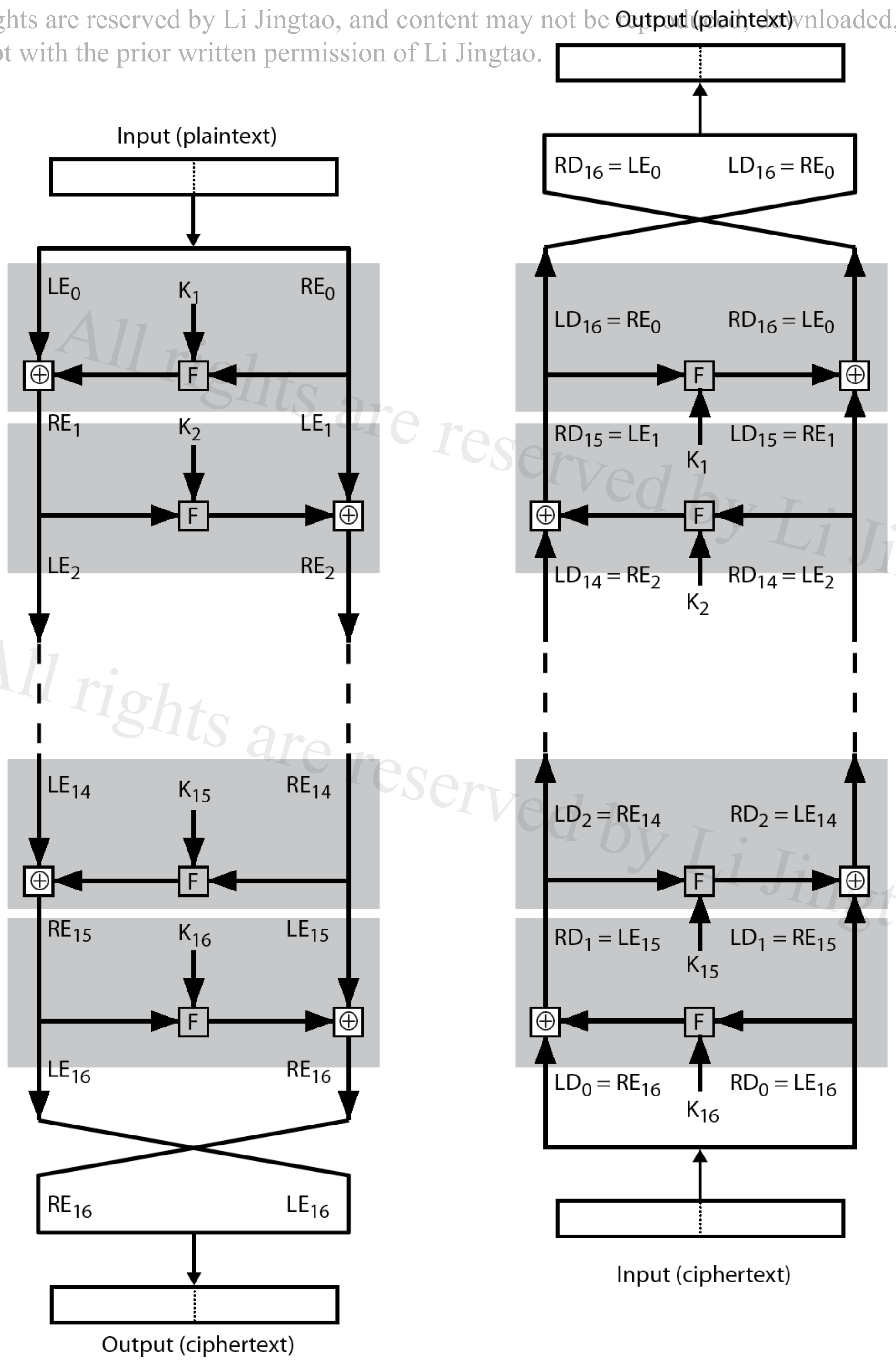
Feistel Cipher Structure



Feistel Cipher Design Elements

- block size
- key size
- number of rounds
- subkey generation algorithm
- round function
- Other consideration
  - fast software en/decryption
  - ease of analysis

Feistel Cipher Decryption



Data Encryption Standard (DES)

- most widely used block cipher in world
- adopted in 1977 by NBS (now NIST)
  - as FIPS PUB 46
- encrypts 64-bit data using 56-bit key
- has widespread use
- has been considerable controversy over its security
  - NBS (National Bureau of Standards)
  - NIST (National Institute of Standards and Technology)

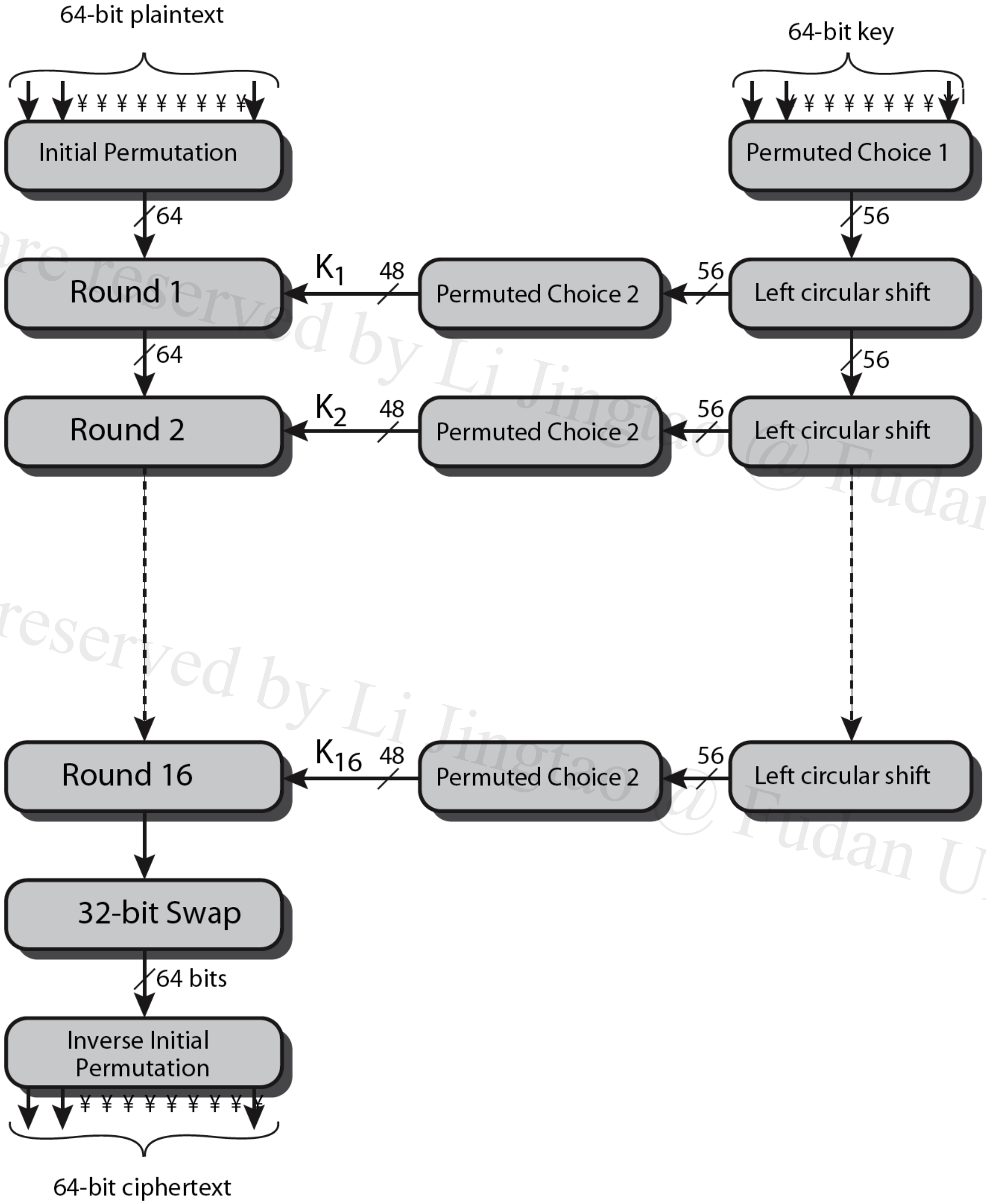
DES History

- IBM developed Lucifer cipher
  - by team led by Horst Feistel in late 60's
  - used 64-bit data blocks with 128-bit key
- then redeveloped as a commercial cipher with input from NSA and others
- in 1973 NBS issued request for proposals for a national cipher standard
- IBM submitted their revised Lucifer which was eventually accepted as the DES,1977

DES Design Controversy

- although DES standard is public
- was considerable controversy over design
  - in choice of 56-bit key (vs Lucifer 128-bit)
  - and because design criteria were classified
- subsequent events and public analysis show in fact design was appropriate
- use of DES has flourished
  - especially in financial applications
  - still standardised for legacy application use

DES Encryption Overview



Initial Permutation, IP

- first step of the data computation
- IP reorders the input data bits
- even bits to LH half, odd bits to RH half
- quite regular in structure (easy in h/w)
- example:

```
IP(675a6967 5e5a6b5a) = (ffb2194d 004df6fb)
```

DES Round Structure

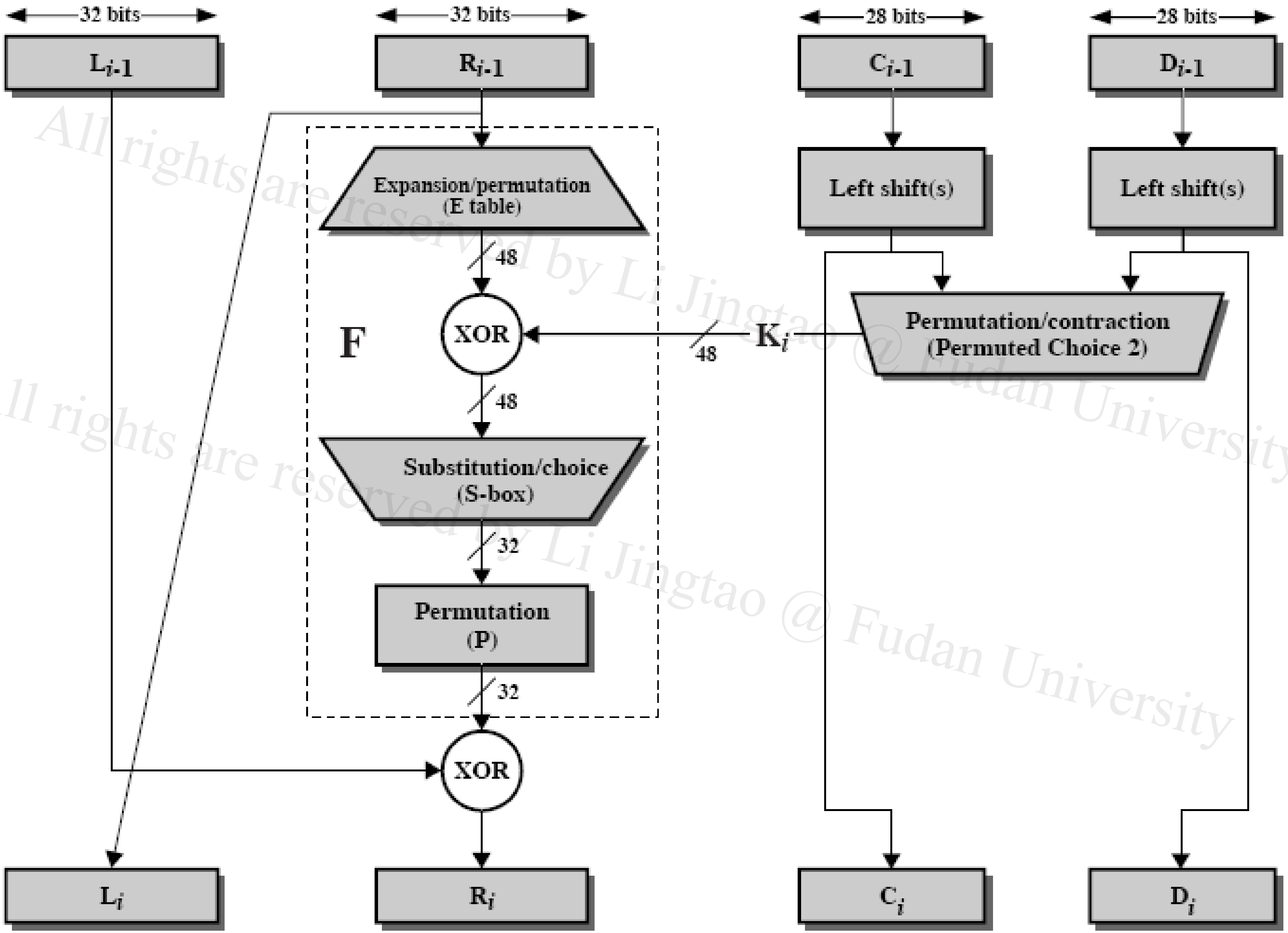
- uses two 32-bit L & R halves
- as for any Feistel cipher can describe as:

$L_i = R_{i-1}$

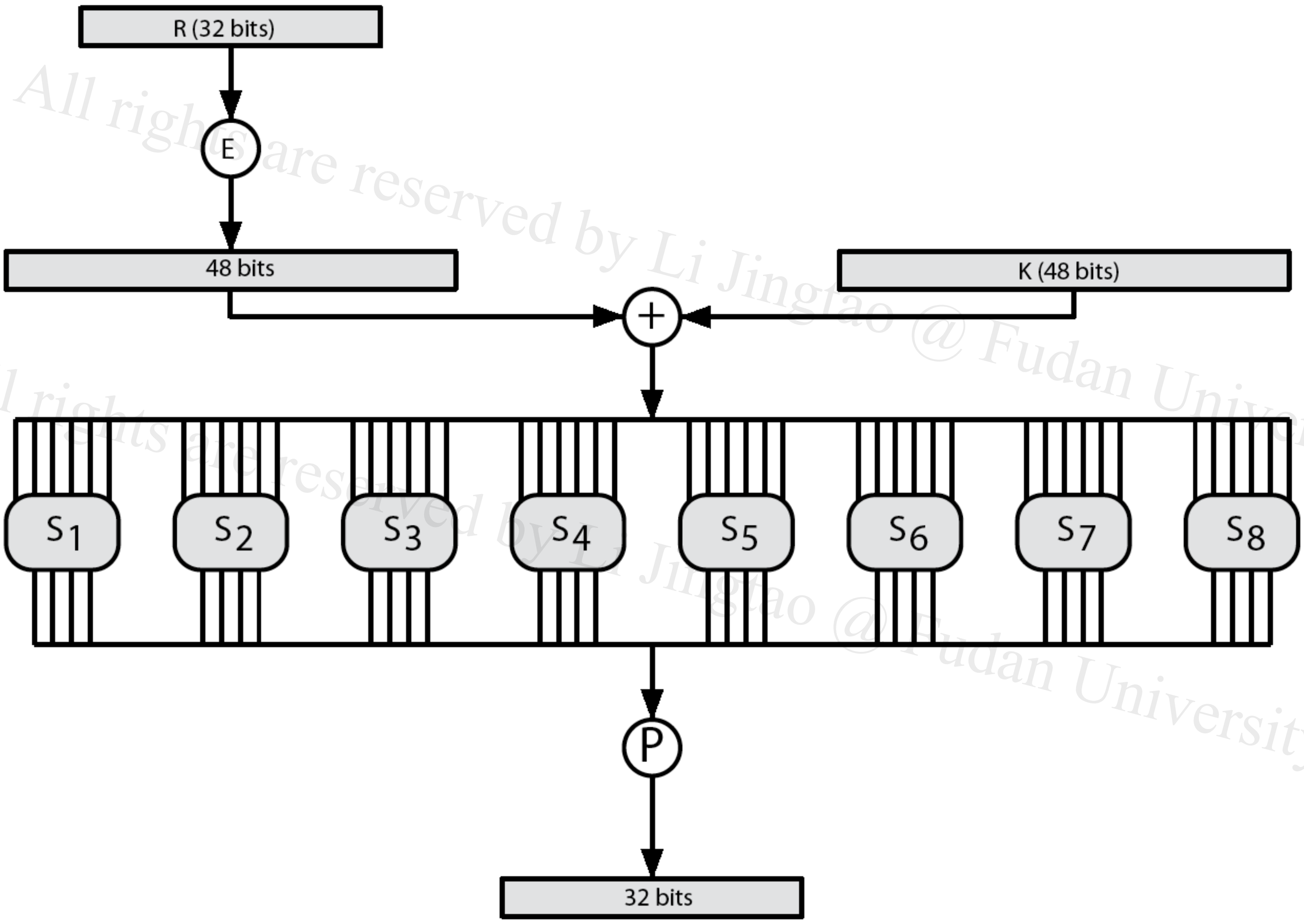
$R_i = L_{i-1} \oplus F(R_{i-1}, K_i)$

- F takes 32-bit R half and 48-bit subkey:
  - expands R to 48-bits using perm E
  - adds to subkey using XOR
  - passes through 8 S-boxes to get 32-bit result
  - finally permutes using 32-bit perm P

DES Round Structure



DES Round Structure



Substitution Boxes S

- have eight S-boxes which map 6 to 4 bits
- each S-box is actually 4 little 4 bit boxes
  - outer bits 1 & 6 (**row** bits) select one row of 4
  - inner bits 2-5 (**col** bits) are substituted
  - result is 8 lots of 4 bits, or 32 bits
- row selection depends on both data & key
  - feature known as autoclaving (autokeying)
- example:
  - `S(18 09 12 3d 11 17 38 39) = 5fd25e03`

DES Key Schedule

- forms subkeys used in each round
  - initial permutation of the key (PC1) which selects 56-bits in two 28-bit halves
  - 16 stages consisting of:
    - rotating **each half** separately either 1 or 2 places depending on the **key rotation schedule** K
    - selecting 24-bits from each half & permuting them by PC2 for use in round function F
- note practical use issues in h/w vs s/w

DES Decryption

- decrypt must unwind steps of data computation
- with Feistel design, do encryption steps again using subkeys in reverse order (SK16 … SK1)
  - IP undoes final FP step of encryption
  - 1st round with SK16 undoes 16th encrypt round
  - ….
  - 16th round with SK1 undoes 1st encrypt round
  - then final FP undoes initial encryption IP
  - thus recovering original data value

Avalanche Effect

- key desirable property of encryption algorithm
- where a change of **one** input or key bit results in changing approx **half** output bits
- making attempts to analysis by guessing keys impossible
- DES exhibits strong avalanche

Avalanche Effect

(a) Change in Plaintext		(b) Change in Key	
Round	Number of bits that differ	Round	Number of bits that differ
0	1	0	0
1	6	1	2
2	21	2	14
3	35	3	28
4	39	4	32
5	34	5	30
6	32	6	32
7	31	7	35
8	29	8	34
9	42	9	40
10	44	10	38
11	32	11	31
12	30	12	33
13	30	13	28
14	26	14	26
15	29	15	34
16	34	16	35

Strength of DES – Key Size

- 56-bit keys have $2^{56} = 7.2 \times 10^{16}$ values
- brute force search looks hard
- recent advances have shown is possible
  - in 1997 on Internet in a few months
  - in 1998 on dedicated h/w (EFF) in a few days
  - in 1999 above combined in 22hrs!
- still must be able to recognize plaintext
- must now consider alternatives to DES

DES Design Criteria

- as reported by Coppersmith in [COPP94]
- 7 criteria for S-boxes provide for
  - non-linearity
  - resistance to differential cryptanalysis
  - good confusion
- 3 criteria for permutation P provide for
  - increased diffusion

Block Cipher Design

- basic principles still like Feistel's in 1970's
- number of rounds
  - more is better, exhaustive search best attack
- function f:
  - provides "confusion", is nonlinear, avalanche
  - have issues of how S-boxes are selected
- key schedule
  - complex subkey creation, key avalanche

AES

- clear a replacement for DES was needed
  - have theoretical attacks that can break it
  - have demonstrated exhaustive key search attacks
- can use Triple-DES – but slow, has small blocks
- US NIST issued call for ciphers in 1997
- 15 candidates accepted in Jun 98
- 5 were shortlisted in Aug-99
- Rijndael was selected as the AES in Oct-2000
- issued as FIPS PUB 197 standard in Nov-2001

AES Requirements

- symmetric block cipher
- 128-bit data, 128/192/256-bit keys
- stronger & faster than Triple-DES
- active life of 20-30 years (+ archival use)
- provide full specification & design details
- both C & Java implementations
- NIST have released all submissions & unclassified analyses

Summary

- have considered:
  - – block vs stream ciphers
  - – Feistel cipher design & structure
  - – DES
    - details
    - strength
  - – block cipher design principles