

Date:

Name 1:

Name 2:

Assume you have a relation $R(\underline{a}, b, c)$. Suppose the blocks can hold either 10 records (tuples) or 99 keys and 100 pointers (b+trees). Nodes of the index are 70% full (they only contain 69 keys/70 pointers). The relation contains 1 million records. The values of a are expected to be ≥ 0 and $< 10^6$.

Consider the following two queries:

a) $\sigma_{a=5} R$

b) $\sigma_{a \geq 10,000 \text{ and } a < 20,000} R$

Every value of a between 1 and 10^6

1 matching record

$\Rightarrow 10,000$ matching rec.

70 - 100 A

$\frac{1}{10^6} = 10^{-6}$ selec.

$\frac{10^4}{10^6} = 10^{-2}$ selec.

1. Determine for each query:

- the number of expected matching records
- its selectivity

2. Determine, for each of the structures below:

- Expected number of leaf blocks of each index
- The expected height of each index
- The average number of disk I/Os needed to answer each query

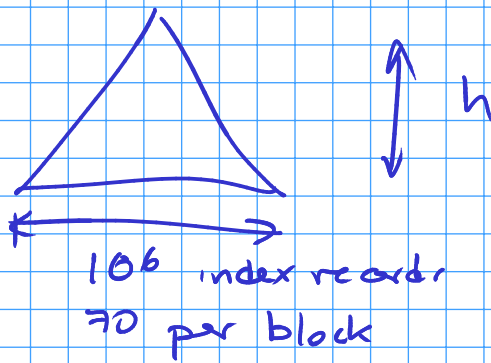
Assume that nothing is in memory initially, and that the search key is the primary key of the table.

a) The B+tree is dense and the heap is unsorted

b) The B+tree is a sparse.

Redo assuming that a is not a primary key, values of a vary from ≥ 0 and $\leq 10^5$

a) B + tree dense



$$\text{Block index} = \frac{10^6}{70} = \frac{1}{7} \cdot 10^5 \text{ blocks.}$$

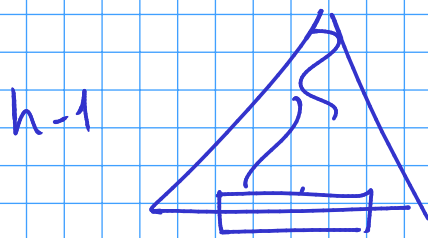
$$h = \left\lceil \log_{70} \left[\frac{1}{7} \cdot 10^5 \text{ blocks} \right] \right\rceil$$

$$= \left\lceil \frac{\log_{10} \left(\frac{1}{7} \cdot 10^5 \text{ blocks} \right)}{\log_{10} 70} \right\rceil$$

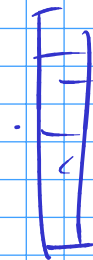
$$h = 3$$

For $\sigma_{a=5} R \Rightarrow h+1 = 4.$

For $\sigma_{a=10k \text{ and } R}$
 $a < 20k$



+ blocks

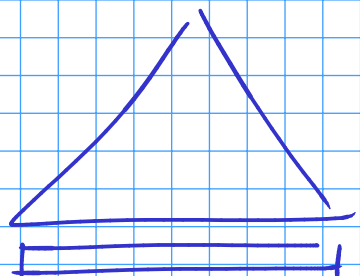


+ matching tuples

$$\text{blocks} = \left\lceil \frac{10^4}{70} \right\rceil = 143$$

$$\text{cost} = 2 + 143 + 10,000 = 10,145$$

b) Tree is sparse.



$$\# \text{ blocks index} = \frac{B(R)}{70} = \frac{10^5}{70}$$

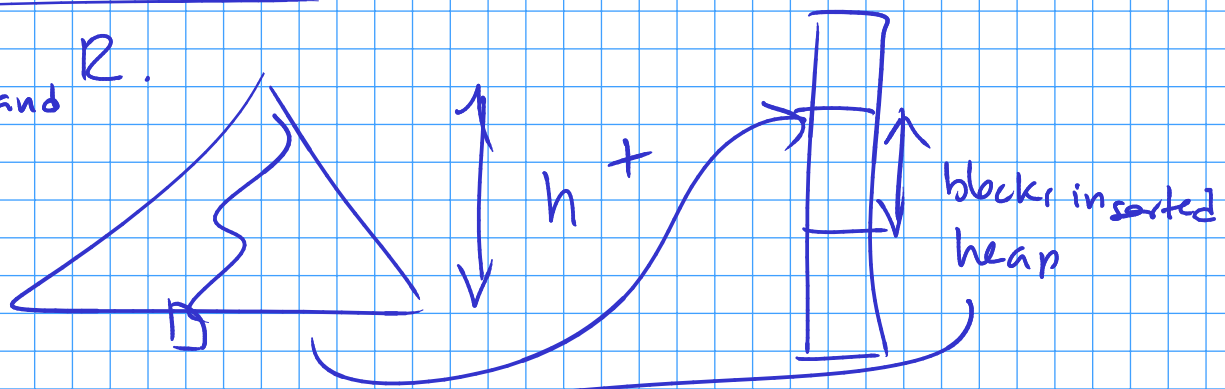
$$h = \left\lceil \log_{70} \frac{10^5}{70} \right\rceil$$

$$= \left\lceil \frac{\log_{10} \left(\frac{10^5}{70} \right)}{\log_{10} 70} \right\rceil$$

$$= \left\lceil 1.70 \right\rceil = 2.$$

$$\sigma_{a=5} R \Rightarrow h+1 = 3.$$

$$\sigma_{\substack{a \geq 10k \text{ and} \\ a \leq 20k}} R.$$



$$\text{blocks in heap} = \frac{10^4 \text{ tuples}}{10 \text{ tuples/block}} = 1,000 \text{ block.}$$

$$\text{Cost} = 1002 \text{ blocks}$$

$\sigma_{a=s} R$ a not primary key.

Indexes will be the same

matching tuples 10 (each value is repeated 10 times).

$$\text{Cost} = h + 10 = 13$$

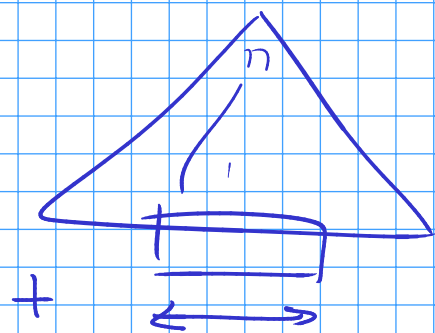
(10 blocks found in one block of index)

Sparse index 10 tuples can be found in one block of heap
(most likely 2 if they don't start in the beginning of block)

$$\begin{aligned} \text{Cost} &= h + 1 \quad \text{or} \quad h + 2 \\ &= 3 \quad \text{or} \quad 4. \end{aligned}$$

$\sigma_{a \geq 10k \text{ and } a < 20k} R \Rightarrow 10^5 \text{ tuples would match.}$

Dense:



$h-1$

+ matching tuple.
 10^5

$$\# \text{block index} = \frac{10^5 \text{ tuples}}{70}$$

$$\begin{aligned} \text{Cost} &= h-1 + \frac{10^5}{70} + 10^5 \\ &= 2 + \frac{10^5}{70} + 10^5 \end{aligned}$$

Sparse index

$h + \# \text{ blocks in heap.}$

$$\text{Cost} = h + \frac{10^5}{10} = 2 + 10^4 = 10,002 \text{ blocks.}$$