

GABRIELA SWANK

PROFESSIONAL SUMMARY

Dynamic AML specialist thriving on digging into details—running down suspicious wire transfers and streamlining queue processes to halve review times. Proven ability to spot red flags before they escalate, partner with compliance teams to tighten controls and mentor newcomers for rapid onboarding. Balances big-picture thinking with a laser focus on accuracy and deadlines, continuously refining workflows and embracing new challenges.

WORK HISTORY

Senior Operations Specialist, 06/2023 – Current

LPL Financial - San Diego

- Streamlines workflows by identifying process gaps and rolling out targeted improvements.
- Generates reports and validated data with SQL queries
- Monitors under-funded accounts and initiated corrective actions.
- Partners with accounting, trading and support teams to process journals, distributions and conversions.

Senior Fraud Specialist, 12/2022 – 06/2023

LPL Financial - San Diego

- Triaged real-time queues (ACH, check deposits, document verification) to flag high-risk transactions.
- Investigated alerts for account takeover, identity theft and consumer fraud to minimize losses.
- Filed Questionable Activity Reports for escalated cases.

Senior Processing Specialist, 09/2021 – 12/2022

LPL Financial - San Diego

- Performed AML/BSA due diligence on new business account applications.
 - Cleared OFAC alerts and screening hits in the compliance system.
 - Conducted negative-news searches via LexisNexis/Clear and documented findings.
 - Supported ACH, wire and deposit transactions and resolved online banking inquiries.
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CONTACT

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 <https://gabrielaswank.com/>

WEBSITES, PORTFOLIOS, PROFILES

 [LinkedIn Profile](#)

EDUCATION

Bachelor of Science, Cybersecurity and Information Assurance,
05/2023

California State University San Marcos
– San Marcos, CA

Associate degree, Cybersecurity and Information Assurance
12/2024

Sacramento City College – Sacramento, CA

SKILLS

- Fraud Detection and Prevention
 - Investigation and Analysis
 - Transaction Monitoring
 - Customer Due Diligence
 - Knowledge of BSA/AML Regulations
 - **Technologies:** JackHenry, Tableau, ChexSystems, Lexis Nexis, Clear, Salesforce
 - **Languages:** English and Portuguese
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