## MLCD Homework 3

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### Variation Inference on a Simple Network

#### 2.1.a

*Proof.* Assume the posterior is factored according to the mean-field distribution:  $q(\mathbf{x}) = \prod_{i=1}^{D} q_i(\mathbf{x}_i)$  where  $x \in \{A, B, C, D, E, F\}$ . We need to find the q such that  $\mathcal{KL}(q \mid\mid p)$  is minimized. From equation 21.9 of Murphy we have that this is equivalent to minimizing the lower bound on the energy functional  $J(q) \geq -log(p(D))$  or equivalently, maximixing the lower bound: L(q) = log(p(D)).

$$\begin{split} L(q_j) &= \sum_{x} \prod_{i} q_i(\mathbf{x}_i) (log(\hat{p}(\mathbf{x})) - \sum_{k} log(q_k(\mathbf{x}_k))) \\ &= \sum_{x_j} \sum_{x_{-j}} q_j(\mathbf{x}_j) \prod_{i \neq j} q_i(\mathbf{x}_i) (log(\hat{p}(\mathbf{x})) - \sum_{k} log(q_k(\mathbf{x}_k))) \\ &= \sum_{x_j} q_j(\mathbf{x}_j \sum_{\mathbf{x}_{-j}})) \prod_{i \neq j} q_i(\mathbf{x}_j) (\sum_{k \neq j} log(q_k(\mathbf{x}_k) + q_j(\mathbf{x}_j)) \\ &= \sum_{x_j} q_j(\mathbf{x}_j) log(f_j(\mathbf{x}_j) - \sum_{x_j} q_j(\mathbf{x}_j log(q_j(\mathbf{x}_j)) + C \end{split}$$

Where  $f_j(\mathbf{x}_j) \propto exp(\sum_{\mathbf{x}_{-j}} \prod_{i \neq j} q_i(\mathbf{x}_i)log(\hat{p}(\mathbf{x})))$ . This allows us to write  $L(q_j) = -\mathcal{KL}(q_j \mid\mid f_i)$  once we drop terms which are constant with respect to  $q_j$ . We can minimize this by setting  $q_j = f_j$ , giving us  $q_j(\mathbf{x}_j) \propto exp(\sum_{\mathbf{x}_{-j}} \prod_{i \neq j} q_i(\mathbf{x}_i)log(\hat{p}(\mathbf{x})))$ . We only need to consider nodes in  $\mathbf{x}_j$ 's Markov blanket (21.3 Murphy), so we don't need to multiply over all  $q(\mathbf{x}_i)$ .

We can now write the final form of the update equations:

- $q_A(a) \propto exp(\sum_{b \in C} q_B(b)q_C(c)log(p(a)p(b \mid a)p(c \mid a, b)))$
- $q_B(b) \propto exp(\sum_{a \in d} q_A(a)q_C(c)q_D(d)log(p(d \mid b, d)p(d \mid b)p(c \mid a, b)))$
- $q_C(c) \propto exp(\sum_{a,b,d,e} q_A(a)q_B(b)q_C(c)q_D(d)q_E(e)log(p(c \mid a,b)p(e \mid c,d))$
- $q_D(d) \propto exp(\sum_{b,c,e,f} q_B(b)q_C(c)q_E(e)q_F(f)log(p(d \mid b,d)p(f \mid f,d)p(e \mid c,d)$

- $q_E(e) \propto exp(\sum_{c,d,f} q_C(c)q_D(d)q_F(f)log(p(e \mid c,d))$
- $q_F(f) \propto exp(\sum_{d,e} q_D(d)q_E(e)log(p(f \mid d))$

### 2.1.b

See inference.R for an implementation of the mean-field update equations.

Using the mean-field approximation, we get a KL divergence of about .83 for computing the marginal over E and F. The measurement corresponds to a sort of distance, telling us how much information we've lost by approximating p(E,F) by q(E)q(F). This estimate is probably not very reasonable, since it assumes that there is little to no dependence between each variable, although the CPTs shows that there is.

### 2.1.c

Note: we handle this derivation in a similar way to mean-field. Because of this, the derivation only holds for non-overlapping structures, such as the  $\{A, B, C\}$  and  $\{D, E, F\}$ .

*Proof.* Assume the posterior is factored according to the mean-field distribution:  $q(\mathbf{c}) = \prod_{i=1}^{D} q_i(\mathbf{c}_i)$  where cluster  $c \in \{\{A, B, C\}, \{D, E, F\}\}$ . We need to find the q such that  $\mathcal{KL}(q \mid\mid p)$  is minimized. From equation 21.9 of Murphy we have that this is equivalent to minimizing the lower bound on the energy functional  $J(q) \geq -log(p(D))$  or equivalently, maximixing the lower bound: L(q) = log(p(D)).

$$\begin{split} L(q_j) &= \sum_{c} \prod_{i} q_i(\mathbf{x}_i) (log(\hat{p}(\mathbf{c})) - \sum_{k} log(q_k(\mathbf{c}_k))) \\ &= \sum_{c_j} \sum_{c_{-j}} q_j(\mathbf{c}_j) \prod_{i \neq j} q_i(\mathbf{c}_i) (log(\hat{p}(\mathbf{c})) - \sum_{k} log(q_k(\mathbf{c}_k))) \\ &= \sum_{c_j} q_j(\mathbf{c}_j \sum_{\mathbf{c}_{-j}})) \prod_{i \neq j} q_i(\mathbf{c}_j) (\sum_{k \neq j} log(q_k(\mathbf{c}_k) + q_j(\mathbf{c}_j)) \\ &= \sum_{c_j} q_j(\mathbf{c}_j) log(f_j(\mathbf{c}_j) - \sum_{c_j} q_j(\mathbf{c}_j log(q_j(\mathbf{c}_j)) + C \end{split}$$

Where  $f_j(\mathbf{c}_j) \propto exp(\sum_{\mathbf{c}_{-j}} \prod_{i \neq j} q_i(\mathbf{c}_i)log(\hat{p}(\mathbf{c})))$ . This allows us to write  $L(q_j) = -\mathcal{KL}(q_j \mid\mid f_i)$  once we drop terms which are constant with respect to  $q_j$ . We can minimize this by setting  $q_j = f_j$ , giving us  $q_j(\mathbf{c}_j) \propto exp(\sum_{\mathbf{c}_{-j}} \prod_{i \neq j} q_i(\mathbf{c}_i)log(\hat{p}(\mathbf{c})))$ . We only need to consider nodes in the Markov blanket of nodes in  $\mathbf{c}_j$  (21.3 Murphy), so we don't need to multiply over all  $q(\mathbf{c}_i)$ .

We can now write the final form of the update equations:

•  $q_{A,B,C}(a,b,c) \propto exp(\sum_{d,e,f} q_D(d)q_E(e)q_F(f)log(p(a)p(b \mid a)p(c \mid a,b)p(d \mid b)p(e \mid c,d)))$ 

•  $q_{D,E,F}(d,e,f) \propto exp(\sum_{b,c} q_B(b)q_C(c)log(p(f \mid d)p(e \mid c,d)p(d \mid b)))$ 

### 2.1.d

See inference.R for an implementation of the structured mean field update equations. This is indeed a better approximation than the mean-field. We get a KL divergence of about 0.002 instead of 0.83. This redunction in KL divergence corresponds to a lower amount of uncertainty by encoding p(E,F) with  $\sum_d q(d,E,F)$ . There is a lot of dependence between the variables in the Bayesian network. This is evident both in the CPT as well as the lower KL divergence for the structured mean-field. The fully factored mean-field would only have been a good approximation if there was indeed very little dependence between variables.

# 4. Collapsed Gibbs Sampler

The collapsed gibbs sampler was implemented and a shell script to run the sampler is provided. ./collapsed-sampler "input train file" "input test file" "output file preffix" "number of topics" "lambda" "alpha" "beta" "iterations" "burn-in"

Using this we generated the following output files, using different values for K (5 and 25), lambda (0.5, 0.8, 0.2) and alpha(0.1 and 1.0). 1100 iterations were completed with 1000 burn in iterations.

```
were completed with 1000 burn in the collapsed-output-25-0.2-0.1.txt-phi collapsed-output-25-0.2-0.1.txt-phi0 collapsed-output-25-0.2-0.1.txt-phi1 collapsed-output-25-0.2-0.1.txt-testll collapsed-output-25-0.2-0.1.txt-theta collapsed-output-25-0.2-0.1.txt-trainll collapsed-output-25-0.5-0.1.txt-phi collapsed-output-25-0.5-0.1.txt-phi1 collapsed-output-25-0.5-0.1.txt-phi1 collapsed-output-25-0.5-0.1.txt-testll collapsed-output-25-0.5-0.1.txt-theta collapsed-output-25-0.5-0.1.txt-theta collapsed-output-25-0.5-0.1.txt-trainll
```

(NOTE: according to page 10 in the handout, the last combination of parameters was  $K=25,~\lambda=0.5$  and  $\alpha=1.0$ , which is the values we submitted)

(however in the same line, the file name shows a  $\lambda$  of 0.2, we assumed this was a typo and that the actual  $\lambda$  should be 0.5)

```
a typo and that the actual \lambda should collapsed-output-25-0.5-1.txt-phi collapsed-output-25-0.5-1.txt-phi0 collapsed-output-25-0.5-1.txt-phi1 collapsed-output-25-0.5-1.txt-testll collapsed-output-25-0.5-1.txt-theta collapsed-output-25-0.5-1.txt-trainll collapsed-output-5-0.5-0.1.txt-phi
```

collapsed-output-5-0.5-0.1.txt-phi0 collapsed-output-5-0.5-0.1.txt-phi1 collapsed-output-5-0.5-0.1.txt-testll collapsed-output-5-0.5-0.1.txt-theta collapsed-output-5-0.5-0.1.txt-trainll collapsed-output-5-0.8-0.1.txt-phi collapsed-output-5-0.8-0.1.txt-phi1 collapsed-output-5-0.8-0.1.txt-phi1 collapsed-output-5-0.8-0.1.txt-testll collapsed-output-5-0.8-0.1.txt-testll collapsed-output-5-0.8-0.1.txt-theta collapsed-output-5-0.8-0.1.txt-trainll

# 5 Blocked Gibbs Sampler

### 5.1 Derivation

*Proof.* For deriving the Blocked Gibbs sampler, we can start with eq.(13) in the handout for the collapsed gibbs sampler.

$$\begin{split} P(Z,X,c,w\mid\alpha,\beta) &= P(w\mid Z,X,c,\beta)P(Z\mid\alpha)P(x\mid\lambda) \\ &= \prod_{k} \frac{\Gamma(\sum_{w}\beta)}{\Gamma(\sum_{w}n_{w}^{k}+\beta)} \prod_{w} \frac{\Gamma(n_{w}^{k}+\beta)}{\Gamma(\beta)} \\ &\prod_{c} \prod_{k} \frac{\Gamma(\sum_{w}\beta)}{\Gamma(\sum_{w}n_{w}^{c,k}+\beta)} \prod_{w} \frac{\Gamma(n_{w}^{c,k}+\beta)}{\Gamma(\beta)} \\ &\prod_{d} \frac{\Gamma(\sum_{k}\alpha)}{\Gamma(\sum_{k}n_{k}^{d}+\alpha)} \prod_{k} \frac{\Gamma(n_{d}^{k}+\alpha)}{\Gamma(\alpha)} \prod_{d,i} P(x_{di}\mid\lambda) \end{split}$$

from this term we can condition on all terms except  $Z_{di}$  and  $X_{di}$ .  $Z_{di}$  can take the values 0,1,2...K and  $X_{di}$  can take the values 0 or 1.

$$P(Z_{di} = k, X_{di} = 0 \mid Z - Z_{di}, X - X_{di}, c, w, \alpha, \beta, \lambda) = (1 - \lambda) \left( \frac{\frac{\Gamma(n_{wdi}^{k} + 1 + \beta)}{\Gamma(1 + \sum_{w} n_{w}^{k} + \beta)} \frac{\Gamma(n_{k}^{d} + 1 + \alpha)}{\Gamma(1 + \sum_{k} n_{k}^{d} + \alpha)}}{\frac{\Gamma(n_{k}^{d} + 1 + \alpha)}{\Gamma(\sum_{w} n_{w}^{k} + \beta)} \frac{\Gamma(n_{d}^{d} + \alpha)}{\Gamma(\sum_{k} n_{k}^{d} + \alpha)}} \right)$$

$$P(Z_{di} = k, X_{di} = 1 \mid Z - Z_{di}, X - X_{di}, c, w, \alpha, \beta, \lambda) = (\lambda) \left( \frac{\frac{\Gamma(n_{wdi}^{k} + 1 + \beta)}{\Gamma(1 + \sum_{w} n_{w}^{k} + \beta)} \frac{\Gamma(n_{d}^{k} + 1 + \alpha)}{\Gamma(1 + \sum_{k} n_{k}^{d} + \alpha)}}{\frac{\Gamma(n_{d}^{k} + 1 + \alpha)}{\Gamma(\sum_{w} n_{w}^{k} + \beta)} \frac{\Gamma(n_{d}^{k} + 1 + \alpha)}{\Gamma(\sum_{k} n_{k}^{d} + \alpha)}} \right)$$

Using the property of the gamma function we can reduce the above expressions

$$P(Z_{di} = k, X_{di} = 0 \mid Z - Z_{di}, X - X_{di}, c, w, \alpha, \beta, \lambda) = (1 - \lambda) \left( \frac{\frac{(n_{wdi}^{k} + \beta)}{(\sum_{w} n_{w}^{k} + \beta)} \frac{(n_{k}^{d} + \alpha)}{(\sum_{k} n_{k}^{d} + \alpha)}}{\frac{(n_{wdi}^{k} + \beta)}{(\sum_{w} n_{w}^{k} + \beta)} \frac{(n_{k}^{d} + \alpha)}{\sum_{k} n_{k}^{d} + \alpha}} \right)$$

$$P(Z_{di} = k, X_{di} = 1 \mid Z - Z_{di}, X - X_{di}, c, w, \alpha, \beta, \lambda) = (\lambda) \left( \frac{\frac{(n_{wdi}^{k} + \beta)}{(\sum_{w} n_{w}^{k} + \beta)} \frac{(n_{k}^{d} + \alpha)}{(\sum_{k} n_{k}^{d} + \alpha)}}{\frac{(n_{wdi}^{k} + \beta)}{(\sum_{w} n_{w}^{ck} + \beta)} \frac{n_{k}^{d} + \alpha}}{\sum_{k} n_{k}^{d} + \alpha}} \right)$$

Thus using these 2 equations we can relative weights for each condition  $Z_{di} = 0, 1, ...K$  and  $X_{di} = 0, 1$ , since one of the denominator terms are the same we can remove it.

$$P(Z_{di} = k, X_{di} = 0 \mid \dots) \propto (1 - \lambda) \left( \frac{\frac{(n_{wdi}^k + \beta)}{(\sum_{w} n_w^k + \beta)} \frac{(n_k^d + \alpha)}{(\sum_{k} n_k^d + \alpha)}}{(\sum_{w} n_w^k + \beta)} \right)$$

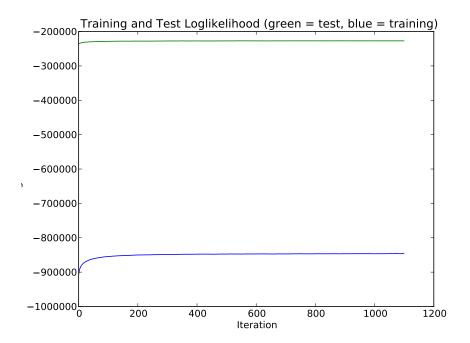
$$P(Z_{di} = k, X_{di} = 1 \mid \dots) \propto (\lambda) \left( \frac{\frac{(n_{wdi}^k + \beta)}{(\sum_{w} n_w^k + \beta)} \frac{(n_k^d + \alpha)}{(\sum_{k} n_k^d + \alpha)}}{\frac{(n_{wdi}^c + \beta)}{(\sum_{w} n_w^c + \beta)}} \right)$$

Which is the final derivation.

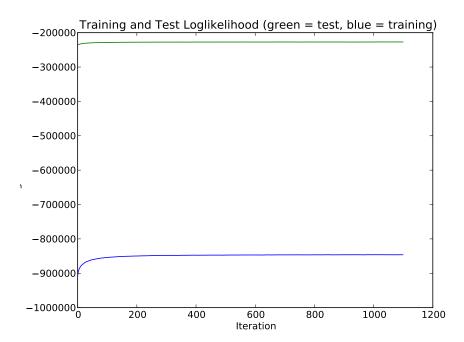
# 6 Text Analysis

## 6.1

Figure 1: Training and Test log likelihoods (chain 1)







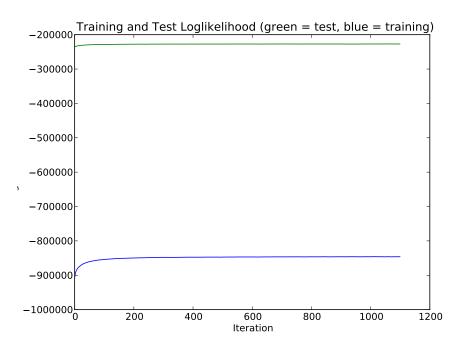


Figure 3: Training and Test log likelihoods (chain 3)

We've plotted the test and training log likelihood as a function of the number of iterations. Each plot exhibits the same trends; the training log likelihood increases over a period of about 100 iterations, before leveling off, while the test log likelihood behaves asymptotically after about 50 iterations. The log likelihood of the test data is much higher than that of the training log likelihood, because the test data is much smaller.

### 6.2

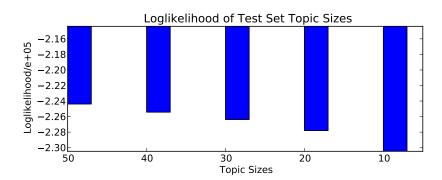
Extra Credit

### 6.3

Extra Credit

## 6.4

Figure 4: Test log likelihood vs topic size



The test likelihood increases with number of topics. The likelihood was highest for a topic size of 50.

### 6.5

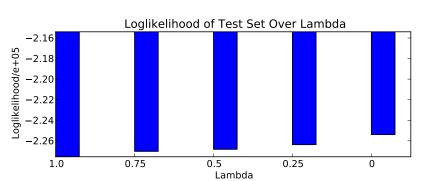


Figure 5: Test log likelihood as a function of lambda)

We see that as lambda decreases the log likelihood increases. For instance, at lambda = 1.0 the log likelihood is about -2.28e+05, while at lambda = 0 the log likelihood is about -2.255e+05.

### 6.6.a

Below is an example of topics from ACL, NIPS and global that share a common theme:

ACL Topic 1 model 0.016177074286 models 0.0115966992618 algorithm 0.0111221444474 probability 0.0100090151025 statistical 0.00885346456302 parsing 0.00821317593643 problem 0.00780716347197 based 0.00749694584482 given 0.00747310208966  ${\rm data}\ 0.00742426483454$ using 0.00720128594085maximum 0.00711986474806grammar 0.00653818196435probabilities 0.00591095459551 approach 0.00582103926486 algorithms 0.00580315705065 ${\it tree}\ 0.00551910860789$ time 0.00540066553474grammars 0.00533389632103framework 0.00530399171093**NIPS** Topic 0 algorithm 0.0218752931149model 0.0147504470285 ${\rm data}\ 0.0144234378009$  $models\ 0.0110908058826$ linear 0.010814378643 $method\ 0.00987114130807$ function 0.00961977446919learning 0.00949037931016problem 0.0088664442374using 0.00817617120279analysis 0.00789862326851results 0.00742700154592approach 0.00740190068817  $non\ 0.00720331878952$ probability 0.00708074495813  ${\rm new}\ 0.0069104242882$ present 0.00653868103357 algorithms 0.00651221863588gaussian 0.00629224913294 based 0.00609463801093Global Topic 0 algorithm 0.0180317637371 $\bmod el\ 0.0153512978987$  ${\rm data}\ 0.0119218228652$ models 0.0113371360174 problem 0.00852501154491 linear 0.00821802077018probability 0.00819562127406 $method\ 0.00814143246541$ using 0.00786159924427learning 0.00731179331377 function 0.00725015695961

approach 0.00685902616221 based 0.00664327777912 statistical 0.00647947181681 results 0.00641035322625 algorithms 0.00628478063586 new 0.00618878561834 analysis 0.00603455553512 non 0.00576769211681 present 0.00565615967426

These topic themes seems most similar even for different number of topics. (K=10,20,30) Another topic that was similar across all three corpora was: ACL: Topic 13 learning 0.0236569277439 ${\rm data}\ 0.0193323907822$ training 0.0177041124081 performance 0.0159465697687 number 0.0124777968224classification 0.0124619741943 $task\ 0.0116708930056$ features 0.011406526229method 0.00993581517459 machine 0.00986731144034problem 0.00986126749427based 0.00981922475935results 0.00958337539838 $\mathtt{set}\ 0.00951327638253$ methods 0.00951126987734 tasks 0.00915523901263classifiers 0.00896529773783supervised 0.00872972939337small 0.0081479403109paper 0.00804743671283

### NIPS:

Topic 13 data 0.0300396007649 learning 0.0297261962164 training 0.019597857133 method 0.0184479683093 classification 0.0171312523806 classifier 0.0145576037986 algorithms 0.0139714522147 performance 0.0133121610783 problem 0.0126331017797 set 0.0117619073234

class 0.0116415049338 methods 0.0116134797214 results 0.0116030737418 vector 0.011232450178 new 0.0111925377437 large 0.011071185214 based 0.0104201924844 number 0.0099513554648 paper 0.00978429669297 solution 0.00951159481878

### Global:

Topic 13 learning 0.026646969666 ${\rm data}\ 0.0243572607502$ training 0.0187586479328 performance 0.0149455191817 classification 0.0147060786892 $method\ 0.0138767816174$ number 0.0114753712323problem 0.0112215436682 classifier 0.0108612392988 algorithms 0.0107930679265 ${\rm set}\ 0.0106309255965$ results 0.010596472879methods 0.0105545761066 based 0.0102011322731task 0.00961196420842 large 0.00940082405471features 0.00933764214712 $vector\ 0.0092084187336$ tasks 0.0091929993896paper 0.00892055841457

We found some instances of where the global would match one of the corpus, but none where all three matched strongly.

When looking for different topics we found themes that were were different for each of the corpus, for example in the ACL corpus we found a topic on 'Dialogue Systems': ACL:

Topic 6 dialogue 0.0219991347715 spoken 0.0177885134507 speech 0.0126581563494 task 0.0116648168309 human 0.0108525267634 domain 0.0104291922417 user 0.0104060649467 utterances 0.0101806663248 utterance 0.00997455934244 understanding 0.00996049578751 paper 0.00877467285834 recognition 0.00867095956506 using 0.00812891238502 based 0.00809590665096 model 0.00734018345985 dialogues 0.00718810758578 dialog 0.00689035087032 conversational 0.0068748601014 speaker 0.0066985660931 interaction 0.00637844847388

This theme was not found at all in the NIPS data set. This theme was not found in the global topics as well. (It was mixed in with other themes as well). The closest theme to 'Dialogue Systems' in the global topics was: Topic 6 control 0.0184446149204dialogue 0.0143455278516 $\bmod el \ 0.0136240531211$ task 0.0132277819413 spoken 0.01159917392feedback 0.0110393686706 using 0.010376825941speech 0.00935091969147 based 0.00928811325642 human 0.0092401259526 domain 0.00913611264986 motor 0.00877570876671paper 0.00842529991289 learning 0.00810564028211 goal 0.00771651501929 sensory 0.00754210125492 user 0.00741528821163understanding 0.00707930464582robot 0.00706626036798utterances 0.00663944120127

But we can see they do not quite have the same theme. For example the global topic has the key words 'control', 'motor' and 'robot' that do not appear in the ACL topic. Similarly we seen topics in the NIPS data set that do not appear in the ACL or the global dat set. This is one such example:

Topic 19

functions 0.0391002305843number 0.0374894549313 linear 0.0369360971903bound 0.028667229673 threshold 0.0282325753112bounds 0.0257927722109 size 0.0252906042785 function 0.0250119924033dimension 0.0235328870425case 0.0189646286225lower 0.0180149584335  $vc\ 0.0164987927052$ polynomial 0.0160152800684 loss 0.0121242195613results 0.0119998061311sigmoidal 0.0117484878921 upper 0.0116594383107  $\log 0.0113391769272$ boolean 0.0108555791827average 0.010223235153

There are several examples of NIPS and ACL being very different. For example we found topics in NIPS about image classification, face recognition etc that were not in ACL. ACL had topics on Parsing, Dialogue systems that were not present in NIPS.

### 6.6.b

We ran the topic model experiment with different values of lambda ranging from 0 to 1, in 0.25 increments. When lambda was 0 we observed the following tendency: A topic from the NIPS corpus would be very closely matching a topic from ACL which in turn would match a topic from the global topic set. Even the topwords of the topics would show considerable overlap. Essential there was very little difference between global topics, NIPS topics and ACL topics. Below is an example of this:

NIPS:

Topic 0 theory 0.0152752867533 considered 0.0146942165574 conditions 0.0141730129378 structure 0.0131071805393 type 0.0126643354912 basic 0.0123172919606 approach 0.0122303116898 potential 0.0109731030856 proposed 0.0105979079432 view 0.00938236943994 structures 0.00924778137613 general 0.00924354685752 framework 0.00911836278605 defined 0.00881891099982 representation 0.00875773682695 fact 0.00874619668056 assumptions 0.00849641891459 computational 0.00824488679022 make 0.0081200431694 expressions 0.00806720925643

#### ACL:

Topic 0 approach 0.00955759250749structure 0.00932469521992computational 0.00901337524993 theory 0.0088656224769 type 0.00868458508203framework 0.00858519088188view 0.00809793965069 ${\rm fact}\ 0.00804118005236$  $make\ 0.00802123819825$ structures 0.00779098219251 idea 0.00766436554963means 0.00642444603114expressed 0.00636269110204notion 0.0062751911379  $way\ 0.0062170288999$ form 0.0062164898045aspects 0.00617640873327proposed 0.00613470794857problems 0.00611452805111various 0.00609385621181

### Global:

Topic 0 theory 0.0103994355748 approach 0.0103100396209 structure 0.0103069206994 type 0.00969403015477 computational 0.0090242737962 framework 0.00886248296989 view 0.00852344413744 fact 0.00834246413954 structures 0.00824754915285 make 0.00819565075453 idea 0.0077638351276 considered 0.00754218088409 basic 0.00748498173438 proposed 0.00720265283692 general 0.00682065830774 defined 0.00666832482311 way 0.00665240114992 various 0.00663051136196 means 0.00638142611106 representation 0.00634542296248

This makes sense because we always force our topic model select xdi = 0. Thus global counts were always used to select topic of a word.

The exact opposite was noticed when lambda = 1. In this case xdi = 1 and this forces the model to choose zdi from topic specific counts only. This makes the corpus-dependent topics as distinct as possible. However, the global topics were combination of the 2 corpus based topics. We show this observation with an example below:

### NIPS:

Topic 1 learning 0.053560952863algorithm 0.0476659197163 method 0.0291401253165 function 0.0251698271345 gradient 0.0218832202456  ${\it new}\ 0.019393991553$ algorithms 0.0190215622899 based 0.0176015626806 results 0.0161289210082present 0.013347054748 convergence 0.0130211751088stochastic 0.012943928966descent 0.0128555929271 optimal 0.0125686866905 problems 0.0123940403841 line 0.0112869986241framework 0.00998514068744simple 0.00986540714555 entropy 0.00983279638515 class 0.00977730839018ACL: Topic 1 disambiguation 0.0233493060825sense 0.0227870932636word 0.0218888237831 noun 0.0159414855324

words 0.0148539009865

corpus 0.0137351704195 $verb\ 0.0133779037281$ syntactic 0.0132941125277 types 0.0123804840712different 0.0120352561255 possible 0.0113540661016 classes 0.0112404308713ambiguous 0.0106116272386nouns 0.0103225790277ambiguity 0.00968102738332 contexts 0.00946866498308 relations 0.00944057161089wordnet 0.00915839507787senses 0.0090816674575construction 0.00893322583557 Global: Topic 1 learning 0.0282249707329algorithm 0.0255696975806 $method\ 0.0153599667806$ function 0.0133475377151 gradient 0.0115144534703 disambiguation 0.0114830826619sense 0.0112948544456word 0.0107859221618 ${\it new}\ 0.0102070479895$ algorithms 0.0100354918429 based 0.0100022010496 results 0.00858126571942 $noun\ 0.00784657195485$ words 0.00731867510807present 0.00701697279648 convergence 0.00684864181333 stochastic 0.00680968856066descent 0.0067635072899corpus 0.0067547123613optimal 0.00661169499662

We can see that the ACL topic and NIPS topic have nothing similar at all. There is not even one keyword shared across them. The Global topic that was closed to either of them was a combination of the 2 of these topics. Thus setting setting lambda = 1 forces the corpus-dependent topics to be as distinct as possible, and reducing lambda allows the corpus-dependent topics to share some attributes from the global topics.

### 6.6.c

When alpha was small (0.001) we noticed that the global topics closely matched one topic in either NIPS or ACL but not both. The effect was very similar to having a large lamda value, in that the 2 corpus specific topics did not share any commanlities. But one difference was in the fact that even the global topics closely corresponded to one of the corpus specic topics. Here is an example: NIPS.

NIPS: Topic 5 images 0.0410480607618image 0.0258754385985face 0.0225305751957facial 0.0202748259436recognition 0.0153357250119wavelet 0.014342053533 $\mathrm{sdm}\ 0.0116074738546$ faces 0.0111449598949processing 0.0109342063738 compression 0.0100716081724 natural 0.00988376080069gestures 0.00870922819804 resource 0.0086971774364 ability 0.00869219925919 video 0.0085884670679doing 0.00835519546142multiple 0.00821448159175 accurately 0.00788600302408performed 0.00779761574339

 $\mathtt{text}\ 0.00717814610869$ ACL: Topic 5 information 0.00946530556189 text 0.008634625417 language 0.0075118067353 paper 0.007269718655 introduction 0.00723863680093retrieval 0.0069269789511documents 0.00678145834625 using 0.00561962725638 results 0.00536930274001question 0.00532608668089 based 0.0052660817528large 0.00498759939707 ${\rm new}\ 0.00481660002936$ processing 0.00476814240649

document 0.00476758783097

natural 0.00472729426331 research 0.00468625554802 extraction 0.00466325593758 systems 0.00438320994137 analysis 0.00426115545668

### Global:

Topic 5 information 0.0090226221977 text 0.00860023835746language 0.00737593761979 paper 0.00711012836669retrieval 0.00693653602072introduction 0.0067104414601 documents 0.00628681970329 using 0.00549210942081 results 0.00542707527462 based 0.00535642452161processing 0.00533014376626 $natural\ 0.0052034120436$ question 0.00493768779341 new 0.00488974578961 large 0.00466275996326research 0.00448949200962systems 0.00444909407008analysis 0.00444774794064 extraction 0.00443890834317 document 0.00442010954034

The above 3 topics show that the global closely matched the ACL topic. We did not find any topic in the global topic set than matched the NIPS Topic 5. Similarly, here is an example where the global topic matched the NIPS topic but did not match any ACL topic. NIPS:

Topic 6 networks 0.0400012223316 layer 0.0355832546038 hidden 0.0340426850619 units 0.0297327302045 neural 0.0292441935316 time 0.0236760845029 network 0.0214810194423 threshold 0.0163439719183 depth 0.0120301950234 net 0.0119087822162 regions 0.0106863690813 internal 0.0105521766542 ann 0.0104753708873weights 0.0103834807446functions 0.0103384330005unit 0.0102672858853capacity 0.00954105663512 layered 0.00938272990112 $work\ 0.0093664322053$ multi 0.00902116785747 ACL: Topic 6 overall 0.0104036609725time 0.00934432229404view 0.00913086949803multi 0.00863859168453 earlier 0.00845128227215unit 0.00780469079382 instances 0.00734662235475quantity 0.00699236194259  ${\it tree}\ 0.0068684134886$ subsequent 0.00684258930905internal 0.00676967127057self 0.00652255996235indicate 0.00643422992496separate 0.00633665360426units 0.00614692963206chain 0.00599295090074 addition 0.00593501988839size 0.00589847514123chains 0.00573069610148single 0.00572209536948Global: Topic 6 networks 0.0283205662323layer 0.0270968321066hidden 0.0243711391275units 0.0231815938231 $neural\ 0.0217767030868$ time 0.0200543566135network 0.0163088941686 threshold 0.0126129711102unit 0.0100145444424internal 0.0098737454813depth 0.00977923378925  $multi\ 0.00941200023128$ net 0.00919550155843 weights 0.00906039029932

work 0.00854187801695 functions 0.0079867046736 regions 0.00756635924387 representation 0.0075598236236 capacity 0.00744685417727 ann 0.00739913637493

In the case of very high smoothing e.g. alpha = 10.0 we noticed that all 3 topic sets (NIPS, ACL and Global) looked very similar. It was like in the case where lambda = 0. We have attached topwords for each of these combinations.

When the beta parameter was tweaked, we noticed the topics changing in a different way. with very high values of beta, we noticed that topwords for topics were not very descriptive, this in turn make topic themes not very clear. This was noticed for both global topics and corpus-dependent topics. Also the topword weights in the topics were lower than in typical cases. When beta was high, it was hard to tell which corpus a topic set came from i.e. whether it was from the NIPS corpus or ACL. This is because the beta parameter smooths words heavily that even low frequency words get high weights. The opposite was observed when beta was made small. The topwords for high and low beta values are attached.