

# What to do, and How to do it

A **specification** (or **contract**) tells us **what** some software must do; in its simplest form it states that

- ▶ if the input satisfies a given **Precondition**
- ▶ then the output must satisfy a given **Postcondition**

An **algorithm** is a description of **how** to implement a specification (fulfill a contract); it

- ▶ can be described in any standard programming language
- ▶ takes resources (**time** and **space**) that we would like to **minimize**.

Specifications written in English can often be ambiguous:

*let  $y$  be the integer square root of integer  $x$*

and therefore it may be preferable to write them in logic.

# Sorting and Selection

Why is **sorting** of interest?

- ▶ applications are ubiquitous
- ▶ there is a variety of algorithms
- ▶ some algorithms are “as good as we can get”

When is  $A'$  the result of **sorting** array  $A$ ?

- ▶ when  $A'$  is **non-decreasing** (express in logic!)
- ▶ and a permutation of  $A$

When is  $x$  the result of **selecting** the  $k$ 'th smallest element of  $A$ ?

- ▶ less than  $k$  elements are less than  $x$
- ▶ at least  $k$  elements are less than or equal to  $x$

# Top-Down Approach to Algorithms

The **Reduction** principle:

*Solve a “non-trivial” problem by using a solution to a “simpler” problem.*

# A Simple Top-down Sorting

We may sort an array  $A[1..n]$  as follows:

- ▶ If  $n \leq 1$ , then  $A[1..n]$  is already sorted.

Otherwise, when  $n > 1$ , reduce **larger** instances of sorting to **smaller** instances:

1. sort  $A[1..n - 1]$ ; then
2. insert  $A[n]$  into  $A[1..n - 1]$  at the proper location.

This is the **insertion sort** algorithm.

**Input:**  $A[1..n]$  is an array of numbers.

**Output:**  $A[1..n]$  is a permutation of its original values such that  $A[1..n]$  is non-decreasing.

INSERTIONSORT( $A[1..n]$ )

**if**  $n > 1$

        INSERTIONSORT( $A[1..n - 1]$ )

        INSERTLAST( $A[1..n]$ )

When running it, the **stack grows**!

## Bottom-up Computation

We can often save stack space by implementing a top-down design in a bottom-up fashion:

1. Compute solutions to the smallest instances.
2. Using the top-down solution as a guide, combine the solutions of smaller instances to obtain solutions to larger instances.

Example: the `fibonacci` function:

$$\text{fib}(0) = \text{fib}(1) = 1$$

$$\text{fib}(n + 2) = \text{fib}(n) + \text{fib}(n + 1)$$

- ▶ this takes **exponential** time if executed **naively**.
- ▶ but there is an efficient **iterative** program:

```
FIB_ITER(n)  
  i, j ← 1  
  for k ← 1 to n − 1  
    i, j ← j, i + j  
  return j
```

# Insertion Sort, Bottom-Up

The top-down version

```
INSERTIONSORT( $A[1..n]$ )  
  if  $n > 1$   
    INSERTIONSORT( $A[1..n - 1]$ )  
    INSERTLAST( $A[1..n]$ )
```

unfolds to

```
INSERTLAST( $A[1..2]$ )  
...  
INSERTLAST( $A[1..n]$ )
```

and thus a **bottom-up** version is

```
INSERTIONSORT( $A[1..n]$ )  
  for  $i \leftarrow 2$  to  $n$   
    INSERTLAST( $A[1..i]$ )
```

# Insert the Last Element in Proper Place

**Input:**  $A[1..n]$  with  $A[1..n-1]$  non-decreasing

**Output:**  $A[1..n]$  is a permutation of its original values such that  $A[1..n]$  is non-decreasing

INSERTLAST( $A[1..n]$ )

**if**  $n > 1$  **and**  $A[n] < A[n-1]$

$A[n] \leftrightarrow A[n-1]$

    INSERTLAST( $A[1..n-1]$ )

This is recursive but is

▶ **good** recursion: **tail-recursion**

▶ which easily converts to **iteration**:

INSERTLAST( $A[1..n]$ )

$j \leftarrow n$

**while**  $j > 1$  **and**  $A[j] < A[j-1]$

$A[j] \leftrightarrow A[j-1]$

$j \leftarrow j-1$

# Iterative Insertion Sort

```
INSERTIONSORT( $A[1..n]$ )  
  for  $i \leftarrow 2$  to  $n$   
     $j \leftarrow i$   
    while  $j > 1$  and  $A[j] < A[j - 1]$   
       $A[j] \leftrightarrow A[j - 1]; j \leftarrow j - 1$ 
```

**Space use:** only a constant (strictly speaking logarithmic in  $n$ ) amount of **extra** space is needed. We say the algorithm is **in-place**.

**Time use** is:

- ▶ **best-case:** **linear**, i.e., proportional to  $n$
- ▶ **worst-case:** **quadratic**, i.e., proportional to  $n^2$
- ▶ **average case:** ???  
(depends on expected input distribution)