

Just a few really basic notes on AGN:
From discussions with Andy L. and David H.

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1 Where's the energy coming from??

Quasars are visible across the EM spectrum, and the distribution of their energy output across the spectrum provides information about the type of processes fueling these sources. For example, the energy output in the radio frequency range is low, relative to the rest of the SED. For comparison: very bright radio sources have flux densities of ~ 1 Jansky, whereas an iPhone 6 has an RF output of ~ 30 dBm (at 824.2 - 848.8MHz and 1850.2 - 1909.8MHz) where a dBm is a decibel-milliwatt. This corresponds to a power of 1.0 Watt¹. So placing an iPhone on the Moon would give it a radio flux (using an average distance $d \approx 3.85 \cdot 10^8$ m and a total bandwidth of 82.2 MHz) of:

$$F = \frac{P}{4\pi d^2} \frac{1}{82.2 \cdot 10^6} \approx 6.53 \cdot 10^{-27} \frac{\text{W}}{\text{m}^2 \text{Hz}} \approx 0.6 \text{Jy}$$

The spectral irradiance on Earth from this mobile phone would therefore be of the same order of magnitude as the brightest astronomical radio sources.

Given the enormous energy output of AGN, the most likely source is accretion of matter unto a black hole, as this is the most efficient liberation of energy known to us. The following is a derivation of properties of the SED (most importantly the location of its peak), based on this assumption. In this approximation we will use a Schwarzschild geometry for the region around the black hole. Note there are two conventions in the literature for the basic radius: the Schwarzschild radius $R_{\text{Sch}} = \frac{2GM}{c^2}$ and the 'gravitational radius', which is half of R_{Sch} .

¹<https://en.wikipedia.org/wiki/DBm>

The first step is to locate the region where the radiation is coming from. Assuming the infalling matter will have some rotation, it will form an accretion disk around the black hole. Matter rotating around the black hole, for simple circular rotation, will have a minimum radius, defining the innermost stable circular orbit (ISCO). For the Schwarzschild metric $r_{\text{ISCO}} = 3R_{\text{Sch}}$ (derivation in box below).

(This derivation will follow that set out in e.g. Hartle (2003))

The metric for the Schwarzschild geometry (parameters t , r , θ and ϕ) in geometric units:

$$g_{\alpha\beta} = \begin{pmatrix} (1 - \frac{2M}{r}) & 0 & 0 & 0 \\ 0 & (1 - \frac{2M}{r})^{-1} & 0 & 0 \\ 0 & 0 & r^2 & 0 \\ 0 & 0 & 0 & r^2 \sin^2 \theta \end{pmatrix} \quad (1)$$

This geometry is independent of the time coordinate and has spherical symmetry for the spatial coordinates. There are therefore four Killing vectors associated with the system: one for the time coordinate and three for the spatial rotations around the Cartesian axes. The two relevant vectors for this derivation are $\xi^\alpha = (1, \vec{0})$ and $\eta^\alpha = (0, 0, 0, 1)$, where the latter is associated with the invariance in the ϕ direction. The system can further be simplified letting the angle ϕ operate in the equatorial plane, i.e. setting $\theta = \pi/2$.

For clarity we label the invariant quantities associated with ξ and η as e and l respectively:

$$-e = \xi \cdot \mathbf{u} = g_{tt} u^t = -\left(1 - \frac{2M}{r}\right) \frac{dt}{d\tau} \quad (2)$$

$$l = \eta \cdot \mathbf{u} = g_{\phi\phi} u^\phi = r^2 \frac{d\phi}{d\tau} \quad (3)$$

Note that e represents the conserved total energy per unit rest mass and l the conserved angular momentum (in the $\theta = \pi/2$ plane) per unit rest mass.

The behaviour of a test particle (of mass m) in this metric can best be understood in comparison with the Newtonian equation for the total energy for test mass rotating around a massive object:

$$E_{\text{tot}} = \frac{m}{2} \dot{r}^2 + \frac{L^2}{2mR^2} - \frac{GMm}{r}$$

Here the first term in the sum represents the linear kinetic energy and the second and third terms combine to form an effective potential. This same behaviour can be seen for the test particle in the Schwarzschild metric. Using the normalisation of the four-velocity ($\mathbf{u} \cdot \mathbf{u} = -1$) we find:

$$-\left(1 - \frac{2M}{r}\right)\left(\frac{dt}{d\tau}\right)^2 + -\left(1 - \frac{2M}{r}\right)^{-1}\left(\frac{dr}{d\tau}\right)^2 + r^2\left(\frac{d\phi}{d\tau}\right)^2 = -1$$

Combining with equations 2 and 3:

$$-\left(1 - \frac{2M}{r}\right)^{-1}e^2 + -\left(1 - \frac{2M}{r}\right)\left(\frac{dr}{d\tau}\right)^2 + \frac{l^2}{r^2} = -1$$

Which can now be rewritten in the same form as the Newtonian energy balance:

$$\frac{1}{2}(e^2 - 1) = \frac{1}{2}\left(\frac{dr}{d\tau}\right)^2 + \left(\frac{l^2}{2r^2} - \frac{M}{r} - \frac{Ml^2}{r^3}\right) \quad (4)$$

The effective potential has been changed, and it is the r^{-3} term that introduces the ISCO, a feature not present in the Newtonian system. This can be seen by setting the derivative of the Schwarzschild effective potential (the collection of terms in parentheses) equal to zero:

$$\frac{dV_{eff}}{dr} = \frac{M}{r^2} - \frac{l}{r^3} - \frac{3Ml^2}{r^4} = 0 \rightarrow r_{\pm} = \frac{l^2}{2M}\left(1 \pm \sqrt{1 - 12\frac{M^2}{l^2}}\right)$$

This value is at an extremum when $\frac{l^2}{M^2} = 12$. Substituting this value into the equation, it follows that $r_{min} = 6M$. This is also the innermost radius for a stable circular orbit, as for all smaller values of r , the test mass would spiral inward. Converting from geometric to SI units we find:

$$r_{ISCO} = \frac{6GM}{c^2} = 3R_{Sch} \quad (5)$$

The accretion disk therefore has a defined inner radius. The next question is which parts of the disk contribute most to the radiation. This can best be approached from a simple comparison of the changes in potential energy as a test mass falls radially inwards, from infinity to $5R_{Sch}$ and from $5R_{Sch}$ to $3R_{Sch}$. At these distances the Newtonian description is a good approximation.

Using the Newtonian $1/r$ potential and converting to units of R_{Sch} , we find:

$$\Delta E_p = GMm \left(\frac{1}{R_{\text{in}}} - \frac{1}{R_{\text{out}}} \right) = \frac{mc^2}{2} \left(\frac{1}{R_{\text{S,in}}} - \frac{1}{R_{\text{S,out}}} \right) \quad (6)$$

The first thing to note is that the scaling (with radius) of the released energy in this system is independent of the mass of the black hole. The second is that most of the energy is released close to the black hole. The total energy released would be: $\Delta E_{p:\infty \rightarrow 5} = \frac{1}{10}mc^2$, and $\Delta E_{p:5 \rightarrow 3} = \frac{1}{15}mc^2$. In the distance from $5R_{\text{Sch}}$ to $3R_{\text{Sch}}$, the particle would therefore gain an additional 2/3 of the energy it gained falling in from infinity to $5R_{\text{Sch}}$.

A comparison of the efficiency of black hole accretion with other energetic processes:

Assuming the test mass falls radially inward, all the way to the event horizon, the total amount of energy released is:

$$\Delta E_p = \frac{mc^2}{2}$$

In words: half of the mass's rest energy would be converted into kinetic energy through this process. Of course the actual process is not quite this simple. For example, this mass does not radiate, it simply disappears into the black hole. Kinetic energy is converted into thermal energy through friction in the accretion disk. This is the source of (most of) the radiation that we can detect. The efficiency of this energy conversion is $\sim \frac{1}{2}$. In addition, as we have established above, the accretion disk only reaches inward to $3R_{\text{Sch}}$. Combining these factors, the final conversion of energy is $\sim 1/12$ of the rest mass.

Defining the energy gain:

$$E = \mu \Delta mc^2 \quad (7)$$

μ is 0.01% for nuclear fission.

μ is 0.7% for nuclear fusion.

μ is 10-40% for grav. potential accretion.

Having determined that most of the radiation originates from the accretion disk close to the black hole, the next step is to determine the basic properties of the spectrum for this source. The radiation is thermal in origin, we can assume the total luminosity is related to the temperature via Stefan-Boltzmann's law:

$$L = 4\pi\sigma R^2 T^4 \Rightarrow T = \left(\frac{L}{4\pi\sigma R^2} \right)^{\frac{1}{4}} \quad (8)$$

Assume the black hole accretes at the Eddington limit:

$$L_E = \frac{4\pi G c m_p}{\sigma_e} M \quad (9)$$

Here m_p is the proton mass and σ_e is the Thomson cross section. In terms of solar mass $L_E = 1.38 \times 10^{31} \text{ W } (M/M_\odot)$. When converting to SI units, we find that $L_E = 6.37M$. This perhaps seems quite low, but is still much greater than any other known process.

We next insert 9 into 8, to find:

$$T = \left(\frac{L}{L_E} \left(\frac{R}{R_{\text{Sch}}} \right)^{-2} \right)^{\frac{1}{4}} \left(\frac{c^5 m_p}{4GM\sigma_e\sigma} \right)^{\frac{1}{4}} \quad (10)$$

The first term contains dimensionless scaling factors and the second term has unit Kelvin, as required. Note that $T \propto L^{\frac{1}{4}} R^{-\frac{1}{2}} M^{-\frac{1}{4}}$, which means (assuming $L \propto M$) that $T \propto M^{-\frac{1}{2}}$.

We can further rewrite to astronomical units, collecting all the constants into a numerical term:

$$T \approx 3.8 \cdot 10^7 \left(\frac{L}{L_E} \right)^{\frac{1}{4}} \left(\frac{M}{M_\odot} \right)^{-\frac{1}{4}} \left(\frac{R}{R_{\text{Sch}}} \right)^{-\frac{1}{2}} \quad (11)$$

The final step is to link this temperature to a black body spectrum, using Wien's displacement law. Wien's law is given by:

$$\lambda_{\text{max}} T \approx 2900 \mu\text{m} \cdot \text{K} \quad (12)$$

Combining Wien's law with equation 11, we find a peak in the SED at the following wavelength (in nm):

$$\lambda_{\text{max,AGN}} \approx 7.7 \cdot 10^{-2} \left(\frac{L}{L_E} \right)^{-\frac{1}{4}} \left(\frac{M}{M_\odot} \right)^{\frac{1}{4}} \left(\frac{R}{R_{\text{Sch}}} \right)^{-\frac{1}{2}} \quad (13)$$

We can now combine these expression with values for L , M and R that are appropriate for AGN. Using $L = L_E$, $R = 5R_{\text{Sch}}$ and $M = 10^8 M_\odot$:

$$T \approx 1.7 \cdot 10^5 \text{K} \quad \& \quad \lambda_{\text{max}} \approx 17 \text{nm}$$

A useful conversion to keep in mind is that a wavelength of 1.2 nm corresponds to a 1 keV photon. The spectrum we find should therefore peak in the EUV. This corresponds well with observed AGN spectra. Note that equation 13 implies that more massive sources will also have a redder spectrum. This is why solar mass black holes in binary systems become X-ray sources, whereas SMBHs are strongest in the EUV.

Given the match between estimated and observed spectra, it is possible to conclude that the basic assumptions going into this derivation (black hole accretion as the engine, emission from the inside of the accretion disk and thermal radiation from the disk as the origin for the largest peak in the spectrum) are correct. It should be noted, however, that the real peak of the average AGN spectrum has a its turnover around 100nm, which corresponds to a lower black body temperature. There are therefore clearly additional processes at work.

The luminosity of the system increases with \dot{M} , the accretion rate. This scaling is halted by the Eddington limit: the more mass is accreted (and loses energy through radiation), the more luminous the inner regions around the black hole become. As the Eddington limit scales with the mass of the black hole, more massive black holes will have a higher total luminosity.

2 Disks

The assumption of spherical accretion, as described for the Eddington limit in the previous section, presents serious problems for our understanding of accreting matter as the source of AGN luminosity. The first issue is that the matter simply falls radially inward: the potential energy is converted into kinetic energy, but the matter disappears into the black hole. What is required is a process to convert at least part of the change in potential energy into radiation. The second problem is that even if this process were available for spherical accretion, the created radiation would be absorbed by other infalling matter. For the accretion rates required to power the luminosity we see, the shells of infalling matter are optically thick. Because they fall in so rapidly, the energy therefore will be carried into the black hole before it has time to cross the accretion region in a process of absorption and re-emission. Spherical accretion is therefore an advective system.

We therefore **need** a disk of accreting matter, in which transfer of angular momentum and energy loss due to friction allow the potential energy to be turned into heat. The heated disk will then radiate as a black body, providing the source of the observed luminosity. The most important reference for this topic is the textbook by Frank, King and Raine (Cambridge, 2002).

2.1 Velocities

The orbital velocities, assuming spherical orbits at all radii, can be quite high close to the black hole. Rewriting the expression for the velocity, $v = \sqrt{\frac{GM}{R}}$, to include the radius in R_S , we find:

$$v_{Rs} = \sqrt{\frac{c^2}{2}} \left(\frac{R}{R_S} \right)^{-\frac{1}{2}} \quad (14)$$

At the Schwarzschild ISCO of $3R_S$, the speed will be approximately $1.2 \cdot 10^9$ m/s, a significant fraction of the speed of light. We can relate this speed to the time it takes to complete one revolution, which defines a characteristic time scale for the disk (at a given radius):

$$t_{rev} = \frac{2\pi R}{v} = 2\pi \sqrt{\frac{R^3}{GM}} = \frac{4\sqrt{2}\pi GM}{c^3} \left(\frac{R}{R_S} \right)^{\frac{3}{2}} \quad (15)$$

Evaluating this at $3R_S$ for a $10^8 M_\odot$ black hole, we find $t_{rev} \approx 5.2$ days.

2.2 From Potential to Heat

If we assume for simplicity that the black hole accretes at the Eddington limit, we relate the luminosity to the accretion rate via the efficiency, ϵ , at which the rest mass of the particle (located at infinite radius), is converted into radiation:

$$L = \epsilon \dot{M} c^2 = 6.37 \dot{M} \Rightarrow \dot{M} = \frac{6.37}{\epsilon c^2} L \quad (16)$$

For a $10^8 M_\odot$ black hole and an efficiency of approximately 10%:

$$\dot{M} \approx 1.2 \cdot 10^{23} \text{kg/s} \sim M_\odot/\text{year}$$

The energy to heat the accretion disk comes from the difference in total energy for a mass between two (circular) orbits. For a single orbit the energy can be expressed as follows:

$$E_p = -\frac{GMm}{r} \quad (17a)$$

$$E_k = \frac{mv^2}{2} = \frac{GMm}{2r} \quad (17b)$$

$$E_{\text{tot}} = E_p + E_k = -\frac{GMm}{2r} \quad (17c)$$

The potential and total energies are negative because this concerns a bound state. We can now consider the change in these energies as a mass moves radially over a distance Δr (note that $\Delta r < 0$ for inward motion):

$$\Delta E_p = \frac{\partial E_p}{\partial r} \Delta r = -\frac{GMm}{r^2} \Delta r \quad (18a)$$

$$\Delta E_k = \frac{\partial E_k}{\partial r} \Delta r = -\frac{GMm}{2r^2} \Delta r \quad (18b)$$

$$\Delta E_{\text{tot}} = \Delta E_p + \Delta E_k = -\frac{3GMm}{2r^2} \Delta r \quad (18c)$$

The first thing to remark about these results is the simple conclusion that transition between orbital ‘states’ are impossible without changing the total energy of the system. For a mass moving inward through the accretion disk, the energy must decrease. This is facilitated by friction. The second point is that out of the available potential energy at a higher radius, half will go into the increased kinetic energy at the lower radius, leaving the other half available for heating the disk (this is the origin of the factor 1/2 that was introduced in the accretion efficiency estimate in section 1).

In addition to a change in energy, a mass must also change its angular momentum. For circular motion:

$$\mathcal{L} = mvr = m\sqrt{GMr} \quad (19)$$

The angular momentum scales as $r^{1/2}$, which means a particle moving inward must lose angular momentum. Friction again provides this transfer. Consider the disk as a collection of rings, each circling at its own velocity. The for any pair of annuli, the inner ring moves faster than the outer; friction at the boundary between the rings causes the rings to exert a drag force on each other. The outer ring slows the inner ring down by reducing the momentum of its constituent particles, allowing them to move inward.

2.3 Temperature

Using the result from equation 18, we can make an estimate of the radial temperature profile of the accretion disk. We will assume it radiates as a perfect black body, as we did for the derivation in equation 8. Divide the ring into annuli, of width Δr . The luminosity of each ring is given by:

$$4\pi(r\Delta r)\sigma T^4 = L(r)\Delta r = \frac{GM\dot{m}}{2r^2}\Delta r. \quad (20)$$

Solving for T we find:

$$T = \left(\frac{GM\dot{m}}{8\pi\sigma r^3}\right)^{1/4} \Rightarrow T = \left(\frac{c^6\dot{m}}{64\pi\sigma G^2 M^2}\right)^{1/4} \left(\frac{R}{R_S}\right)^{-3/4} \quad (21)$$

Note especially the result that $T \sim r^{-3/4}$. It is possible to simplify this expression further by assuming \dot{m} is the Eddington accretion limit from equation 16:

$$T \approx 4.53 \cdot 10^7 \left(\frac{M}{M_\odot}\right)^{-1/4} \left(\frac{R}{R_S}\right)^{-3/4} \text{K} \quad (22)$$

Evaluating equation 22 for a $10^8 M_\odot$ black hole at $3R_S$, and using Wien's law (equation 12):

$$T(3R_S) \approx 2 \cdot 10^5 \text{K} \Rightarrow \lambda_{\text{max}} \approx 15 \text{nm}$$

Again we find that the radiation for a black hole of this size peaks in the UV range. This is for the black body spectrum close to the black hole, where we expect the temperature to be the highest. As noted in section 1, this is

also expected to be the brightest region. Comparing this to the peak for the $3R_S$ region for a $1 M_\odot$ black hole:

$$T(3R_S) \approx 2 \cdot 10^7 \text{K} \Rightarrow \lambda_{\text{max}} \approx 0.15 \text{nm}$$

The latter wavelength corresponds to approximately 8.5 keV. This agrees with our earlier estimate that a solar mass black hole accreting at the Edington limit should be brightest in X-rays.

The full spectrum of the radiation coming from the disk will be a composite of the black body spectra of all the annuli. If the range of temperatures is wide enough, the composite spectrum is expected to have a $\nu^{1/3}$ dependency, in between the Rayleigh-Jeans-limit for the coolest contributing region and the high frequency limit (Wien approximation) for the hottest contributing region. In a $\log L_\nu$ vs. $\log \nu$ plot this spectrum would be represented by a sloped straight line, with a cut-off at the low end (where the slope would correspond to ν^3) and an exponential cut-off at the high end. The observed spectrum in the UV/optical more closely resembles ν^0 , which, given the simplicity of the model described above, makes $\nu^{1/3}$ a reasonable approximation.

Results of observations in the infrared, discussed in Kishimoto et al. (2008), show that in this wavelength range the $\nu^{1/3}$ dependency holds almost exactly. The observations are based on polarised light from a source sample of six quasars. Polarised emission is associated with the accretion disk, as the polarisation angle appears to be parallel to the rotation axis (probed by the orientation of radio jets). The continuum emission is scattered off electrons inside the Broad Line Region and represents a ‘clean’ view of the spectrum, which resembles $\nu^{1/3}$.

3 For the future....

Thermal and non-thermal processes...

Photo-ionisation...

Broadlines...

4 Resources

Classic References:

Shakura & Sunyaev (1973) (and King (2009))

Pringle (1981)

(also e.g., Pringle & Rees (1972); Pringle et al. (1973); Pringle (1996))

Richards et al. (2006)

Kishimoto et al. (2008)

Lawrence (2012), and the paper trail therein...

Good links:

Schwarzschild radius: https://en.wikipedia.org/wiki/Schwarzschild_radius

www-astro.physics.ox.ac.uk/~garret/teaching/lecture7-2012.pdf

jila.colorado.edu/~pja/ast3730/lecture18.pdf

<https://andyxl.wordpress.com/2011/03/03/a-dim-glimmer/>

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Shakura N. I., Sunyaev R. A., 1973, *Astron. & Astrophys.*, 24, 337