

# **Star-formation history and chemical enrichment in the early Universe**

*clues from the rest-UV and rest-optical spectra of  $z \sim 2-3$  galaxies*

**Allison Strom (Caltech)**

Chuck Steidel (Caltech)

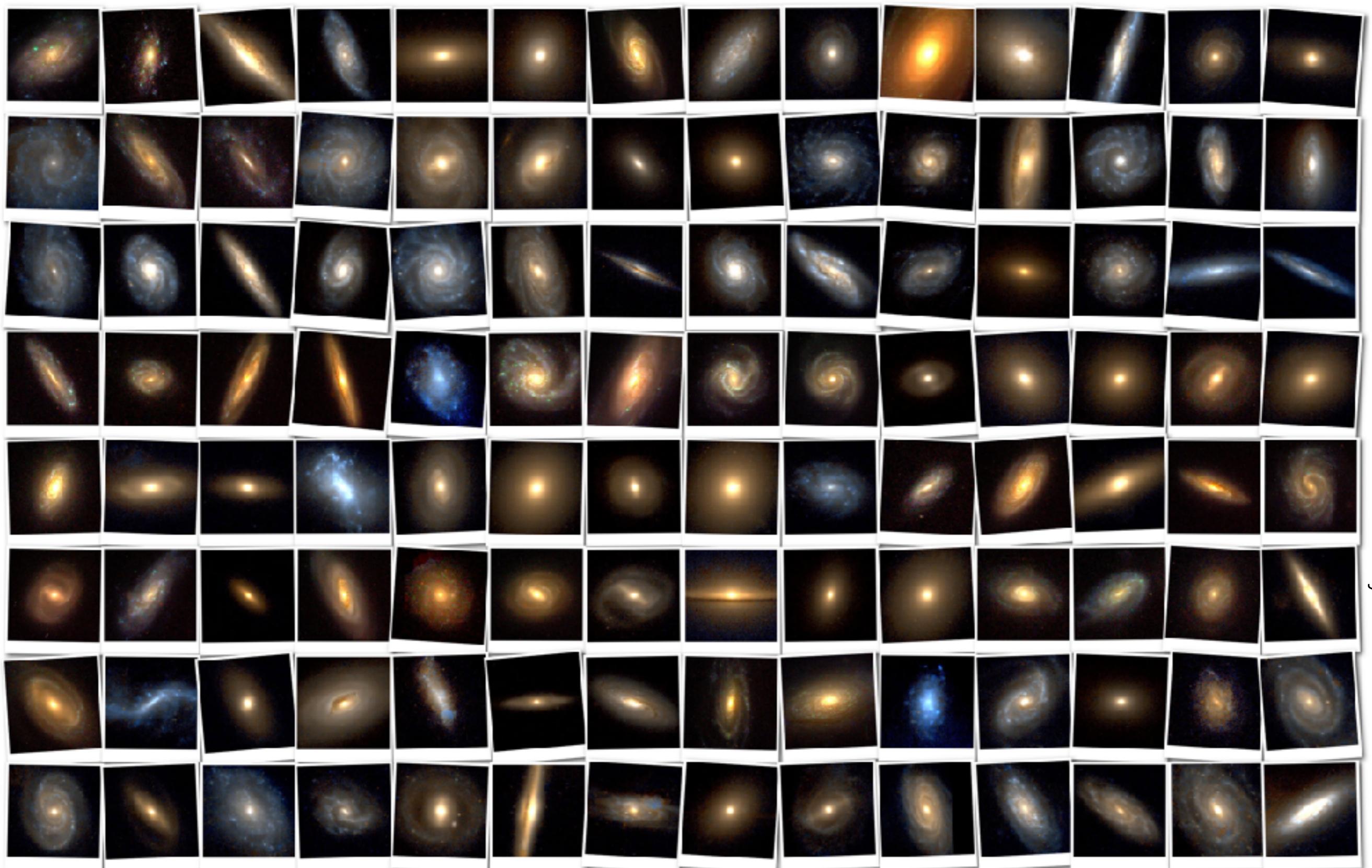
Gwen Rudie (Carnegie)

Ryan Trainor (UC Berkeley)

Max Pettini (IoA Cambridge)

Naveen Reddy (UC Riverside)



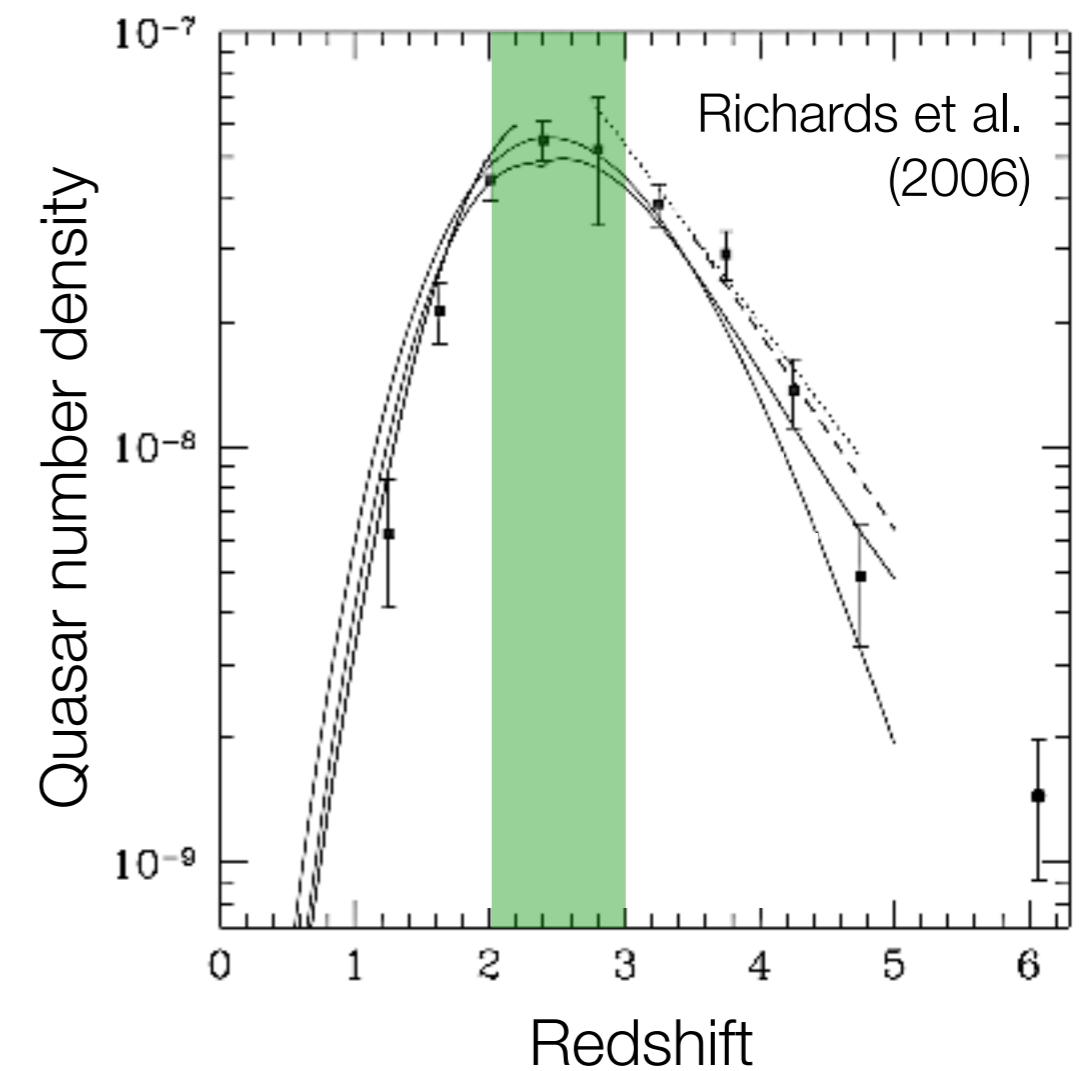
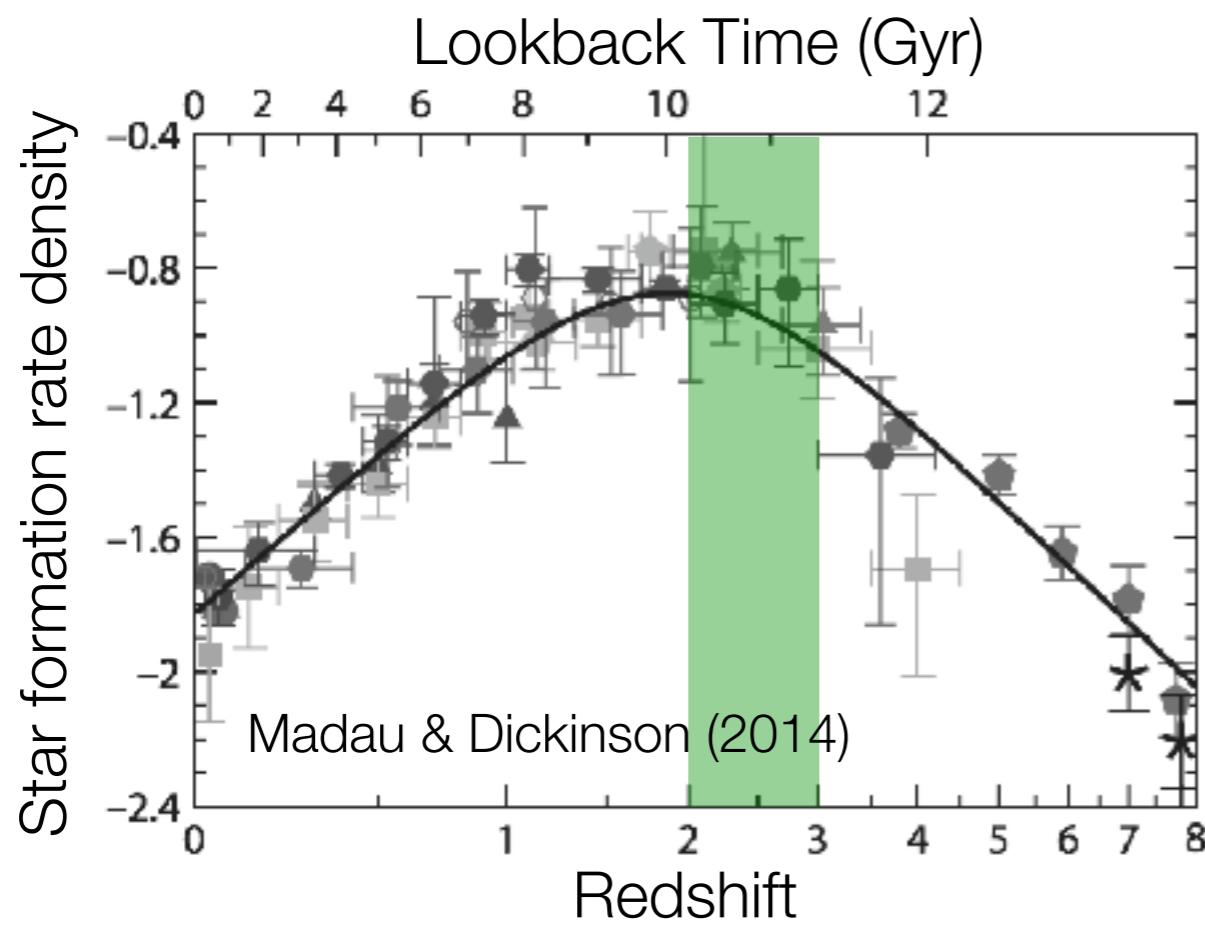


courtesy of Z. Frei and J. Gunn

Explaining the diversity of observed galaxy properties remains a challenge

The Universe was more active 10 Gyr ago

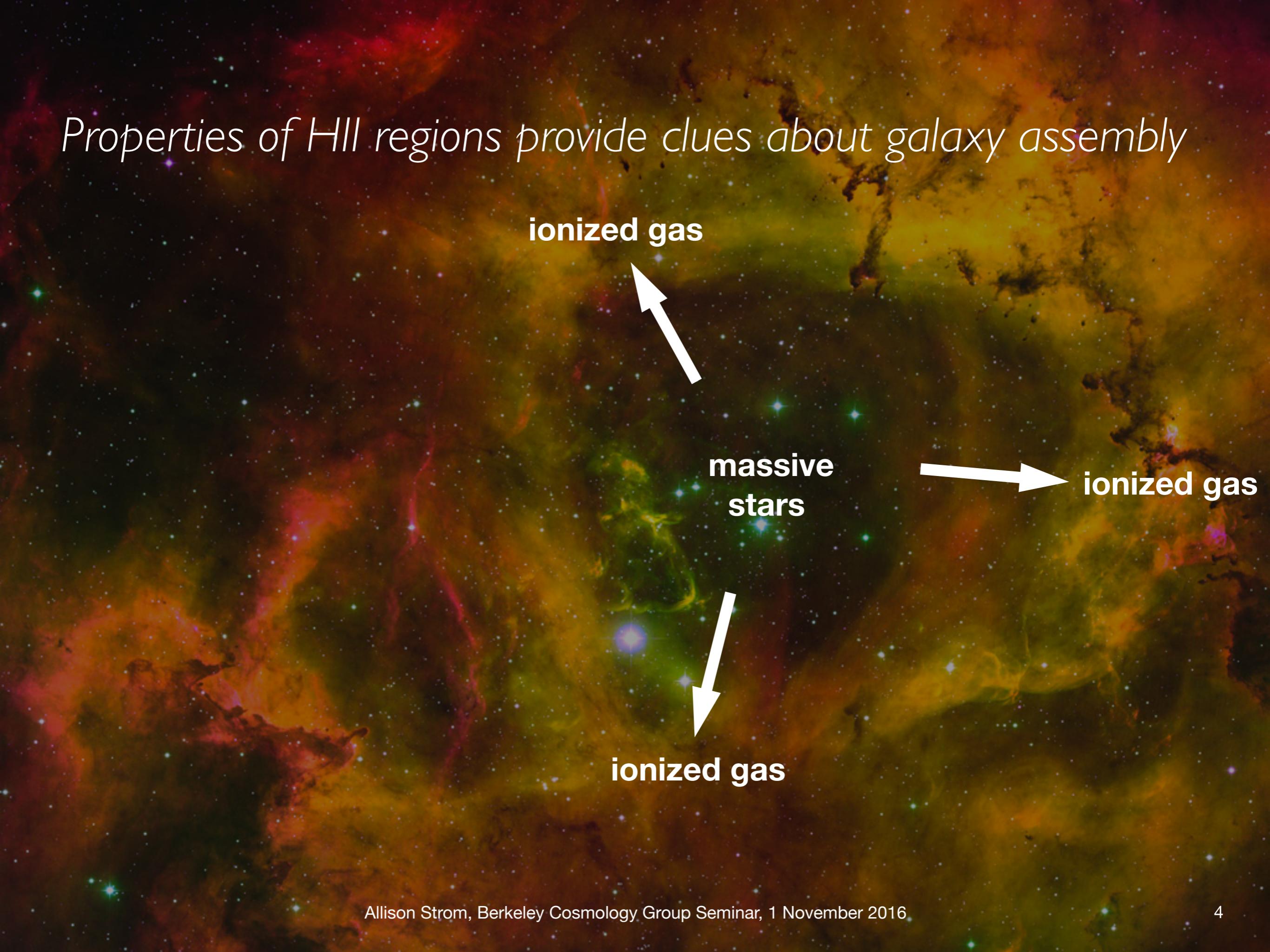
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*Properties of HII regions provide clues about galaxy assembly*

**massive  
stars**

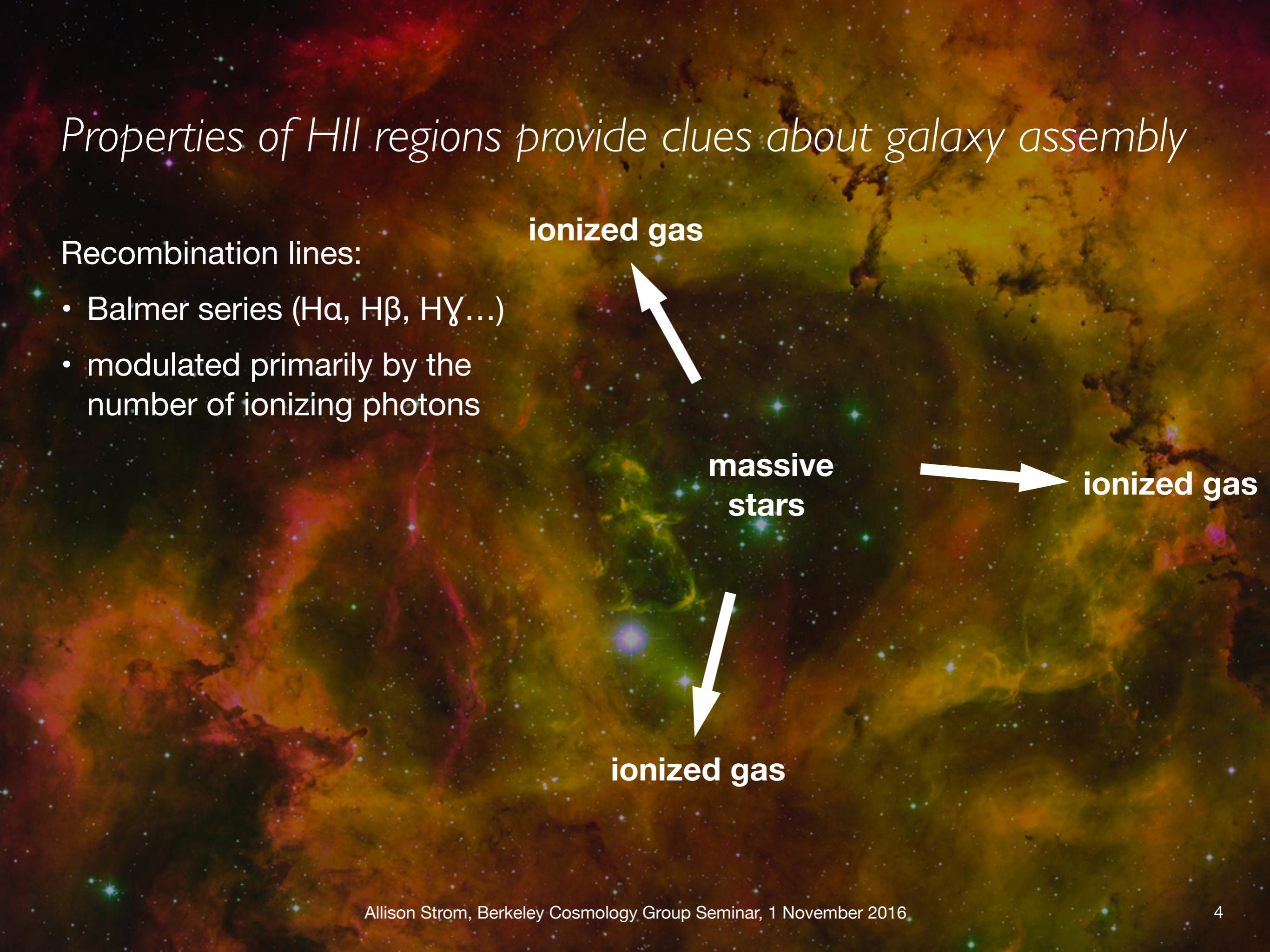
*Properties of HII regions provide clues about galaxy assembly*



# Properties of HII regions provide clues about galaxy assembly

Recombination lines:

- Balmer series (H $\alpha$ , H $\beta$ , H $\gamma$ ...)
- modulated primarily by the number of ionizing photons



ionized gas

massive stars

ionized gas

ionized gas

# Properties of HII regions provide clues about galaxy assembly

Recombination lines:

- Balmer series ( $\text{H}\alpha$ ,  $\text{H}\beta$ ,  $\text{H}\gamma\dots$ )
- modulated primarily by the number of ionizing photons

ionized gas

Collisionally-excited forbidden lines of metallic species:

- $[\text{O III}]$ ,  $[\text{O II}]$ ,  $[\text{N II}]$ ,  $[\text{S II}]$ ,  $[\text{Ne III}]$
- sensitive to abundance of elements, ionization equilibrium, and gas temperature

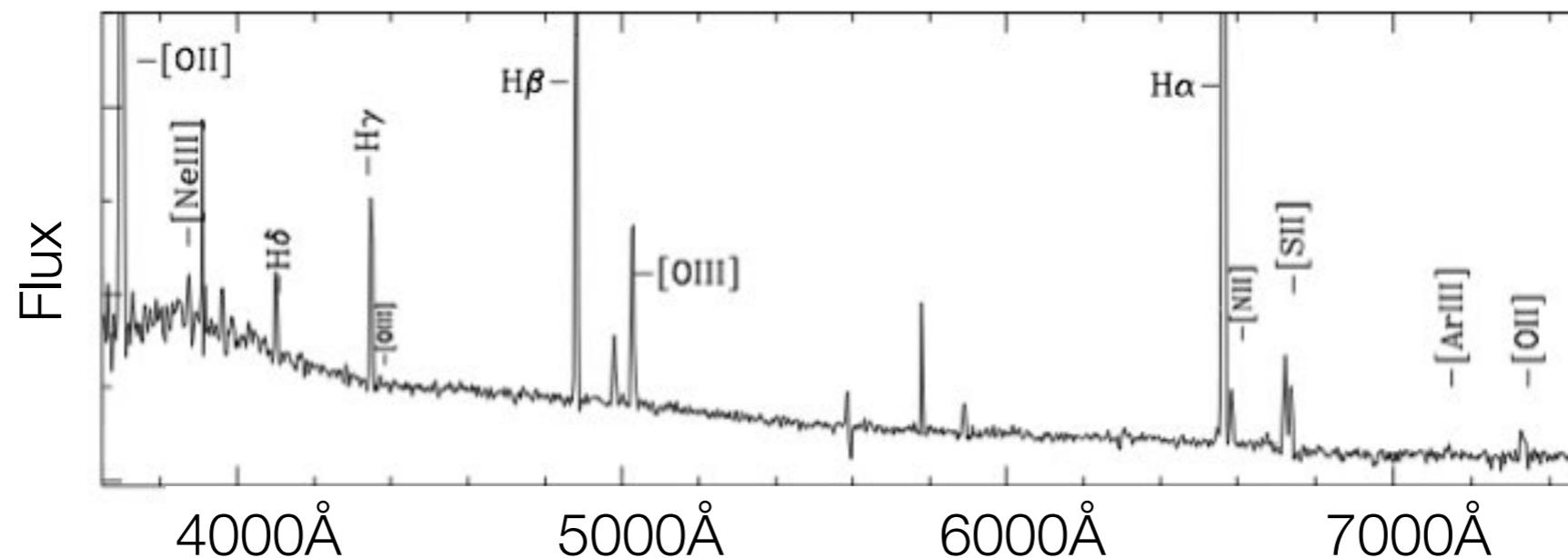
massive stars

ionized gas

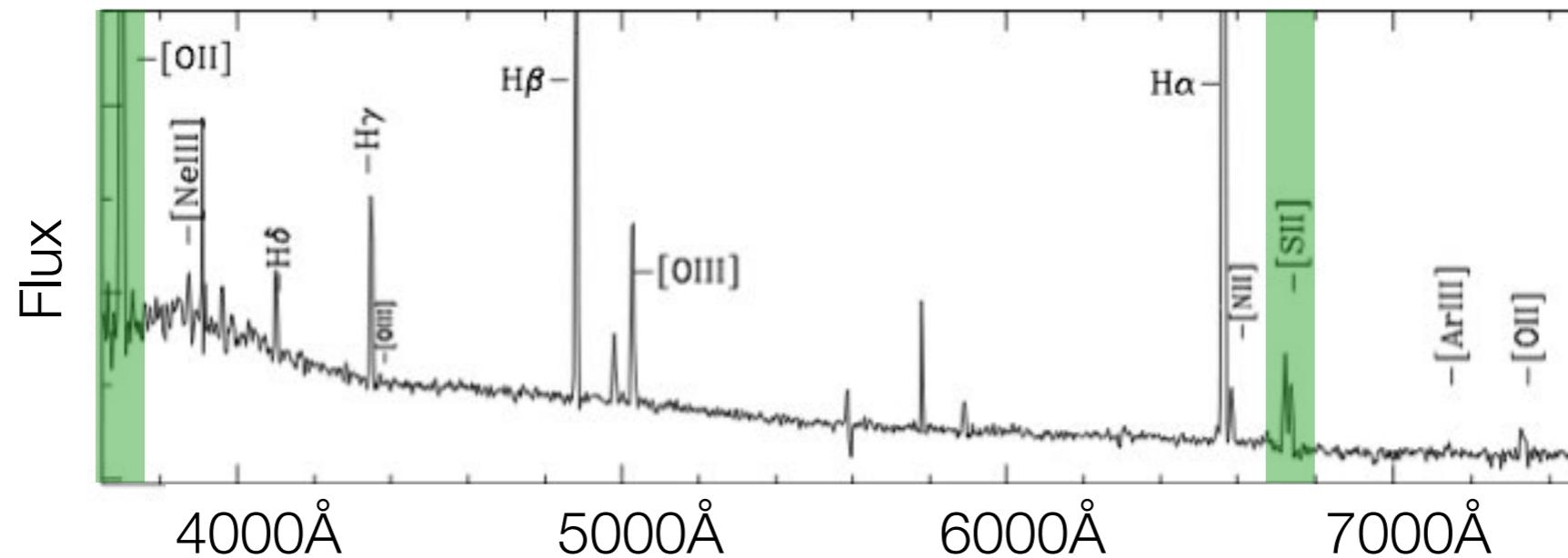
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# HII region spectra reveal detailed astrophysics

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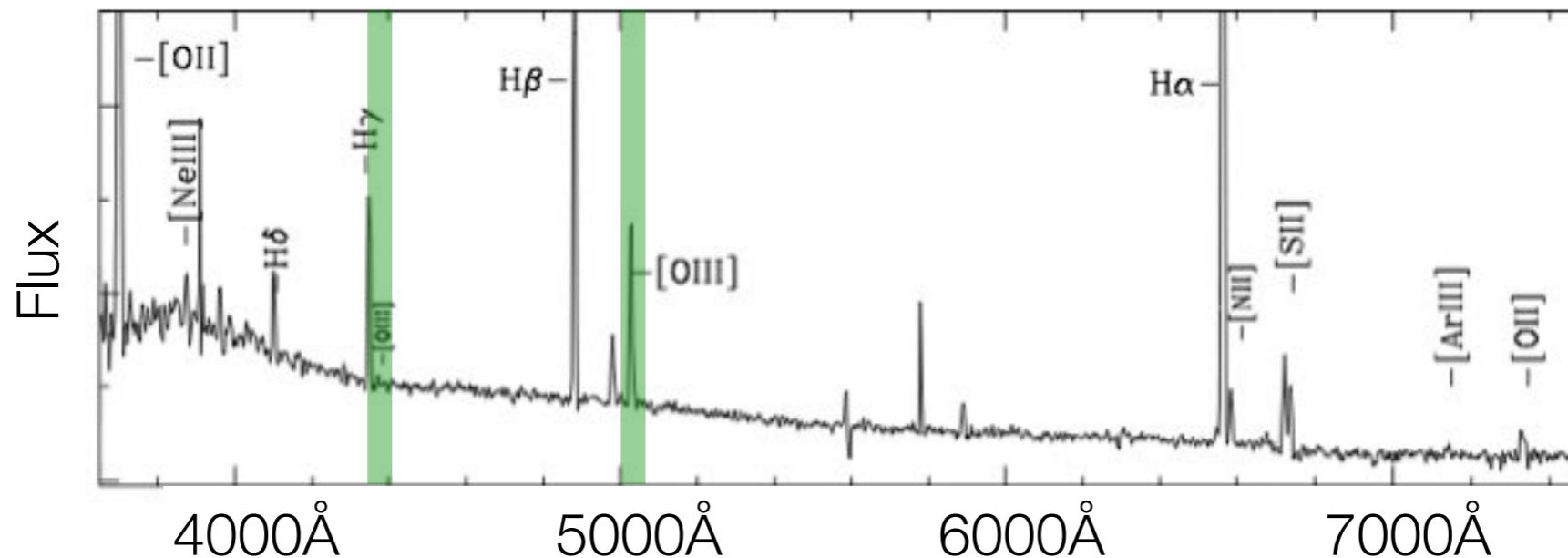


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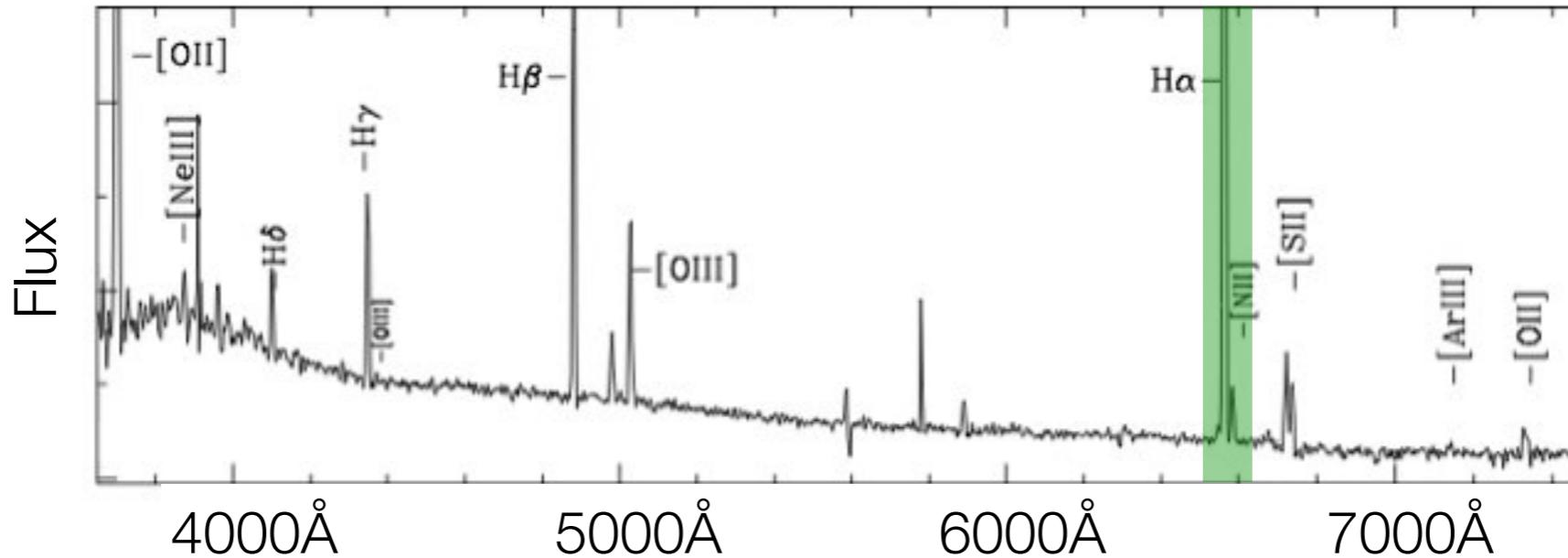
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# HII region spectra reveal detailed astrophysics



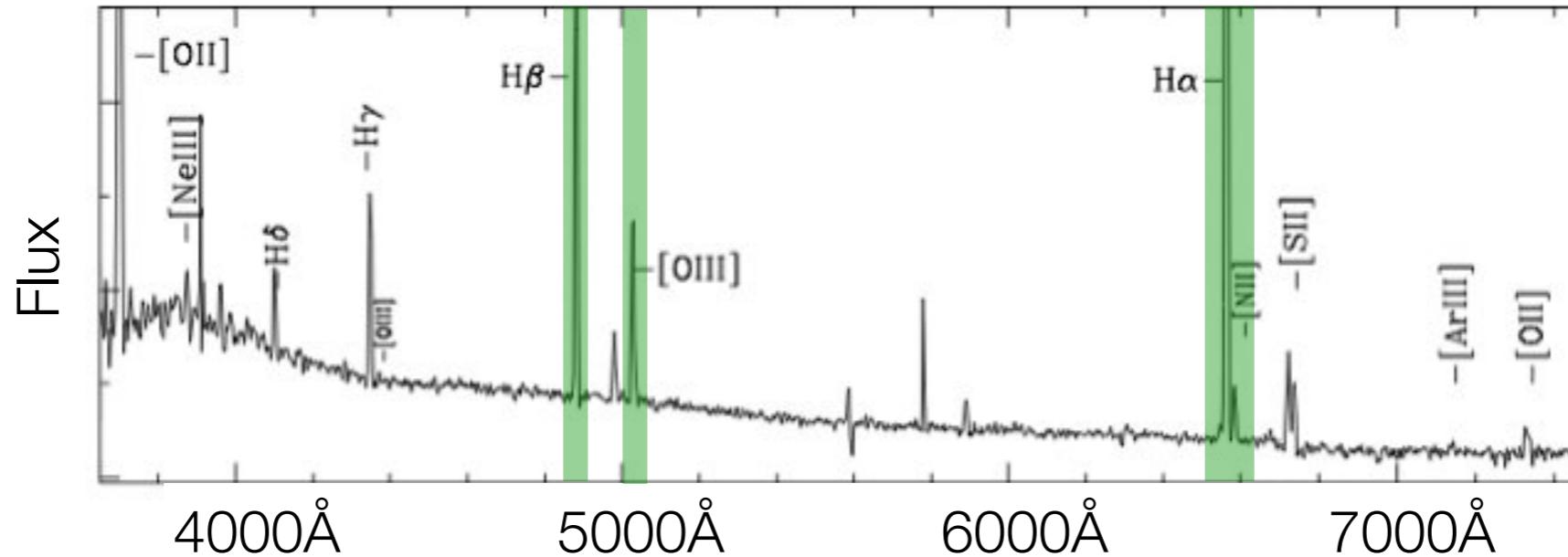
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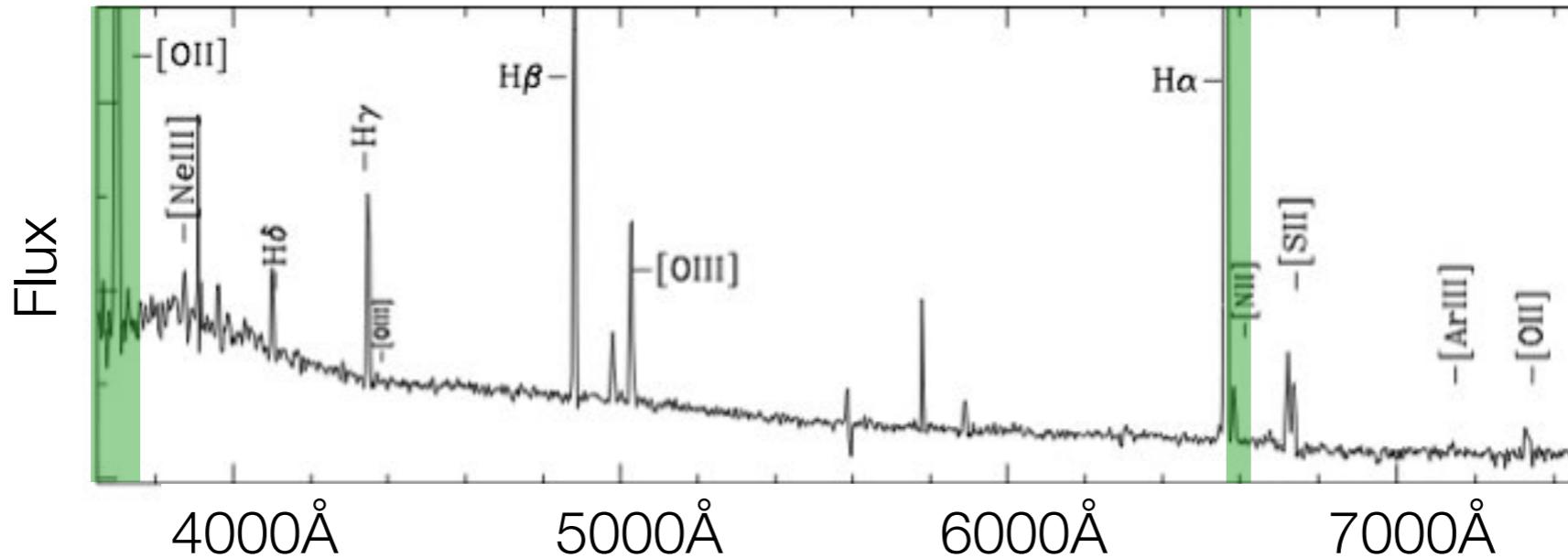
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# HII region spectra reveal detailed astrophysics



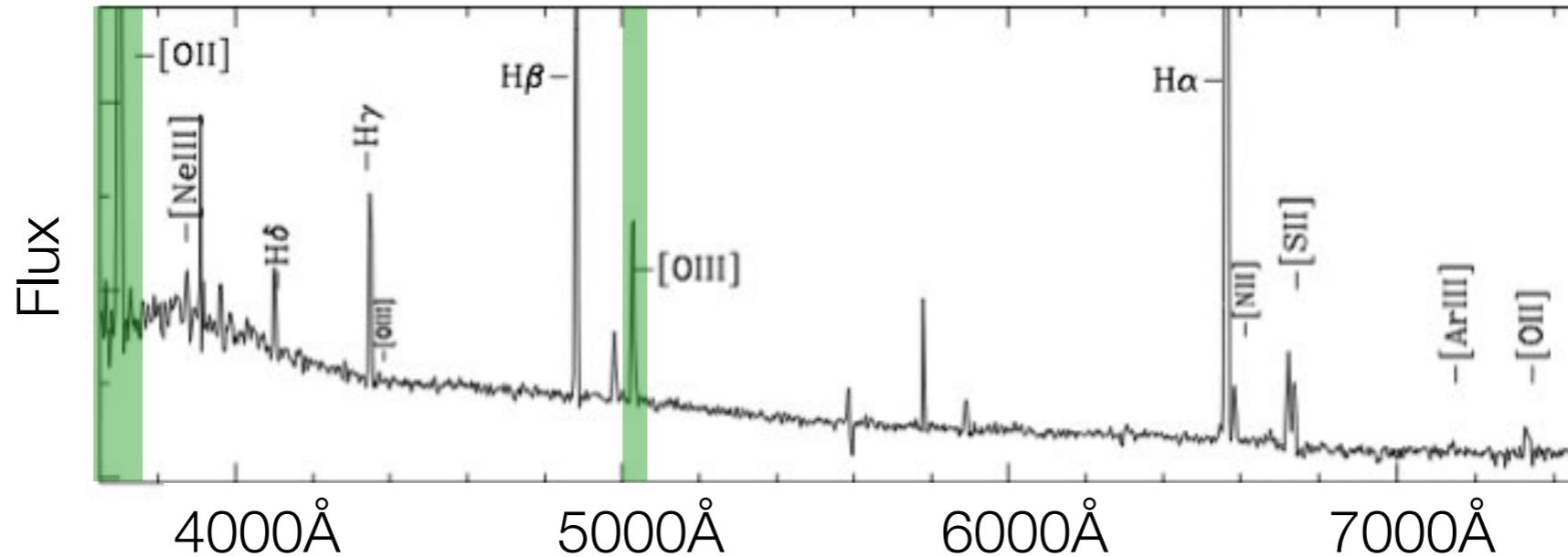
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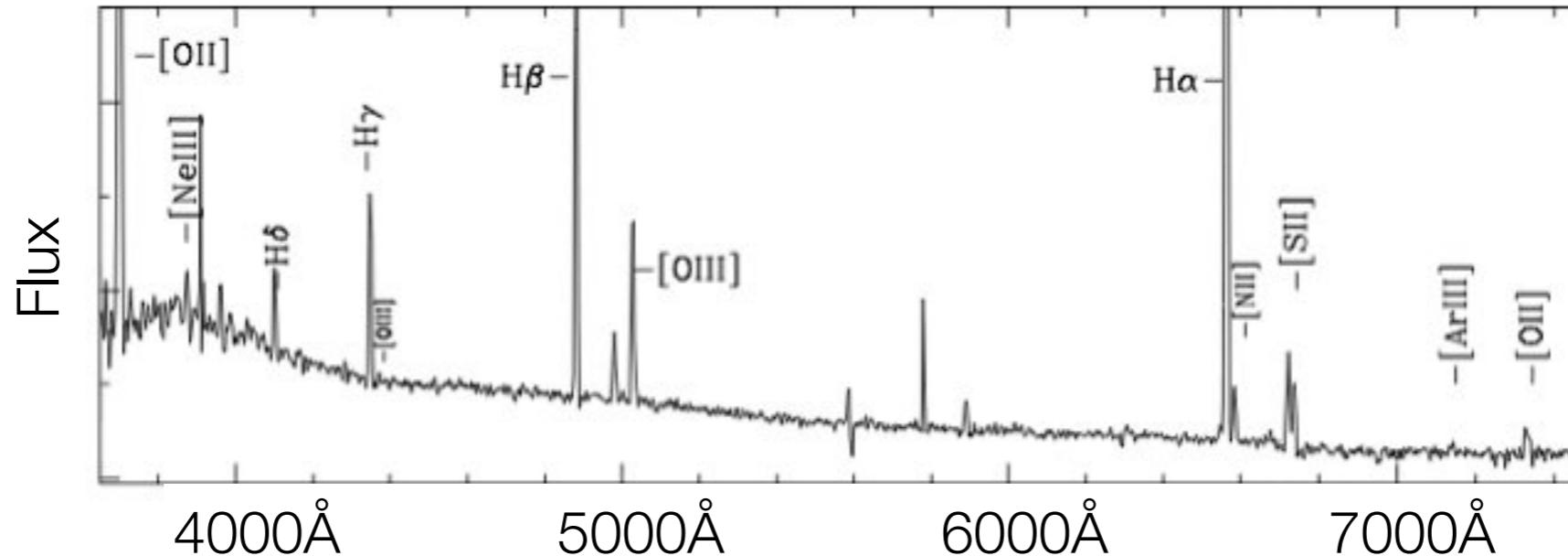
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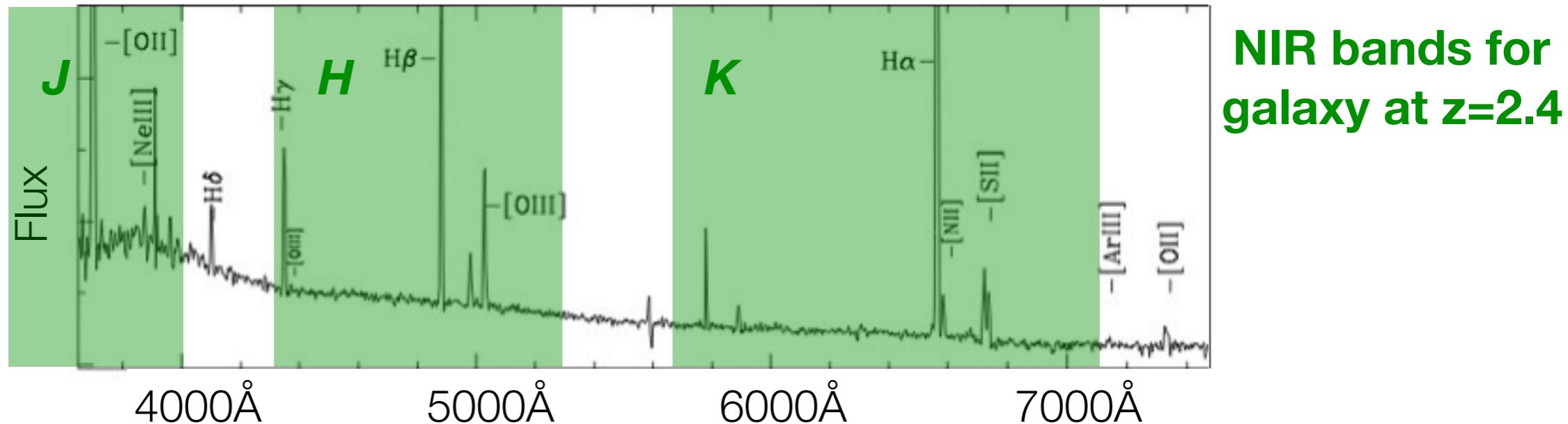
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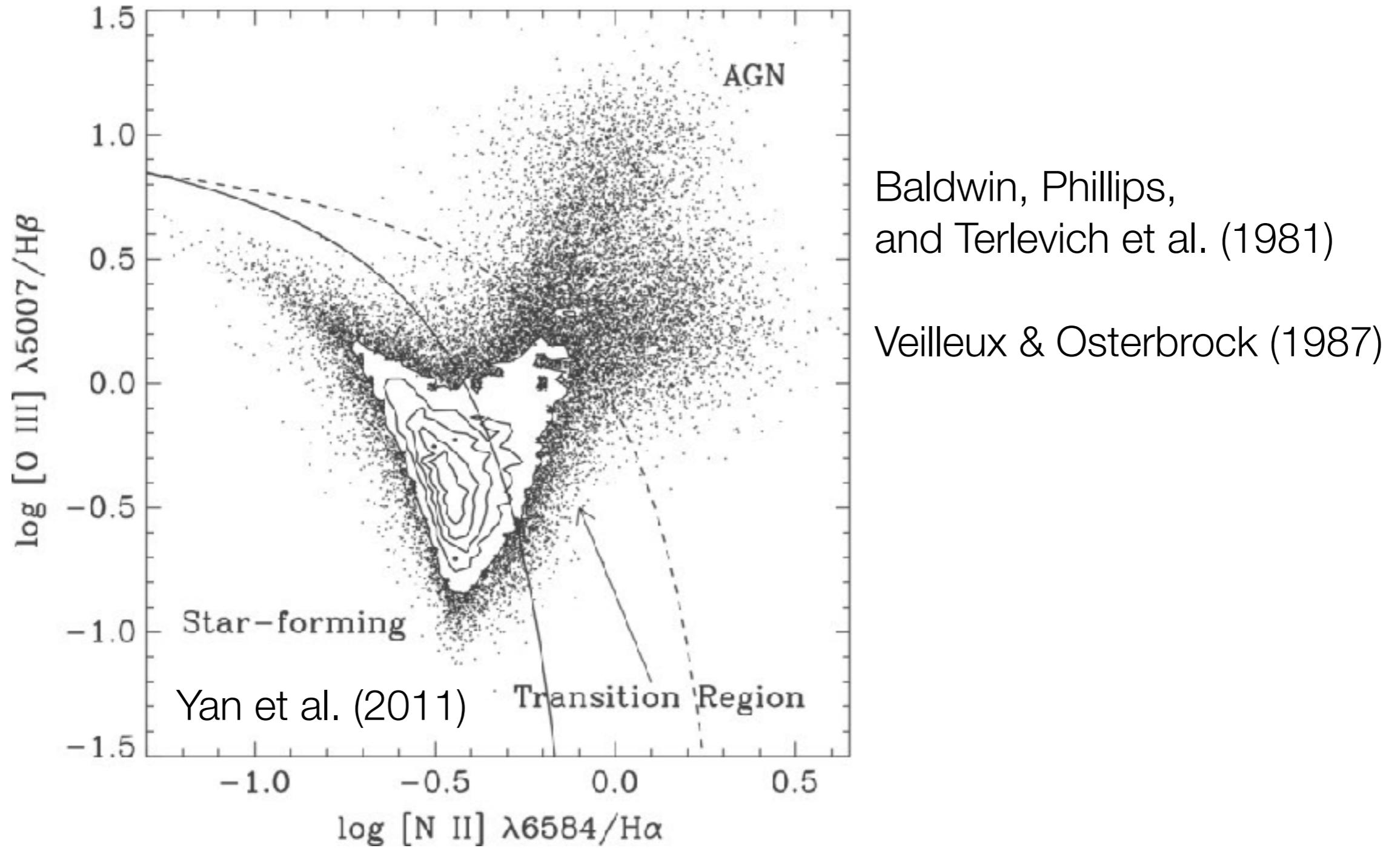
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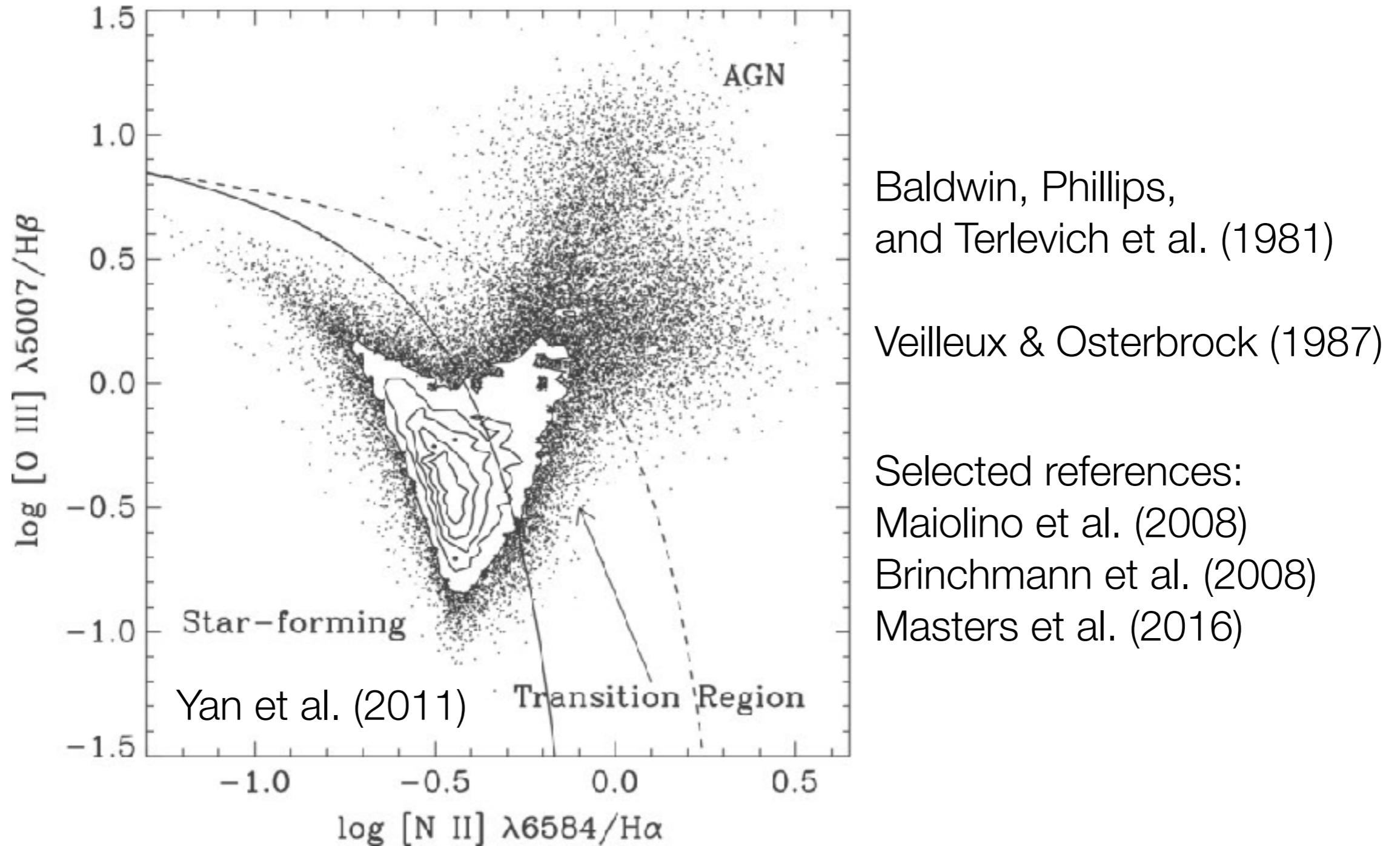
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*Correlations between astrophysical parameters will manifest as correlated changes in galaxies' spectra.*

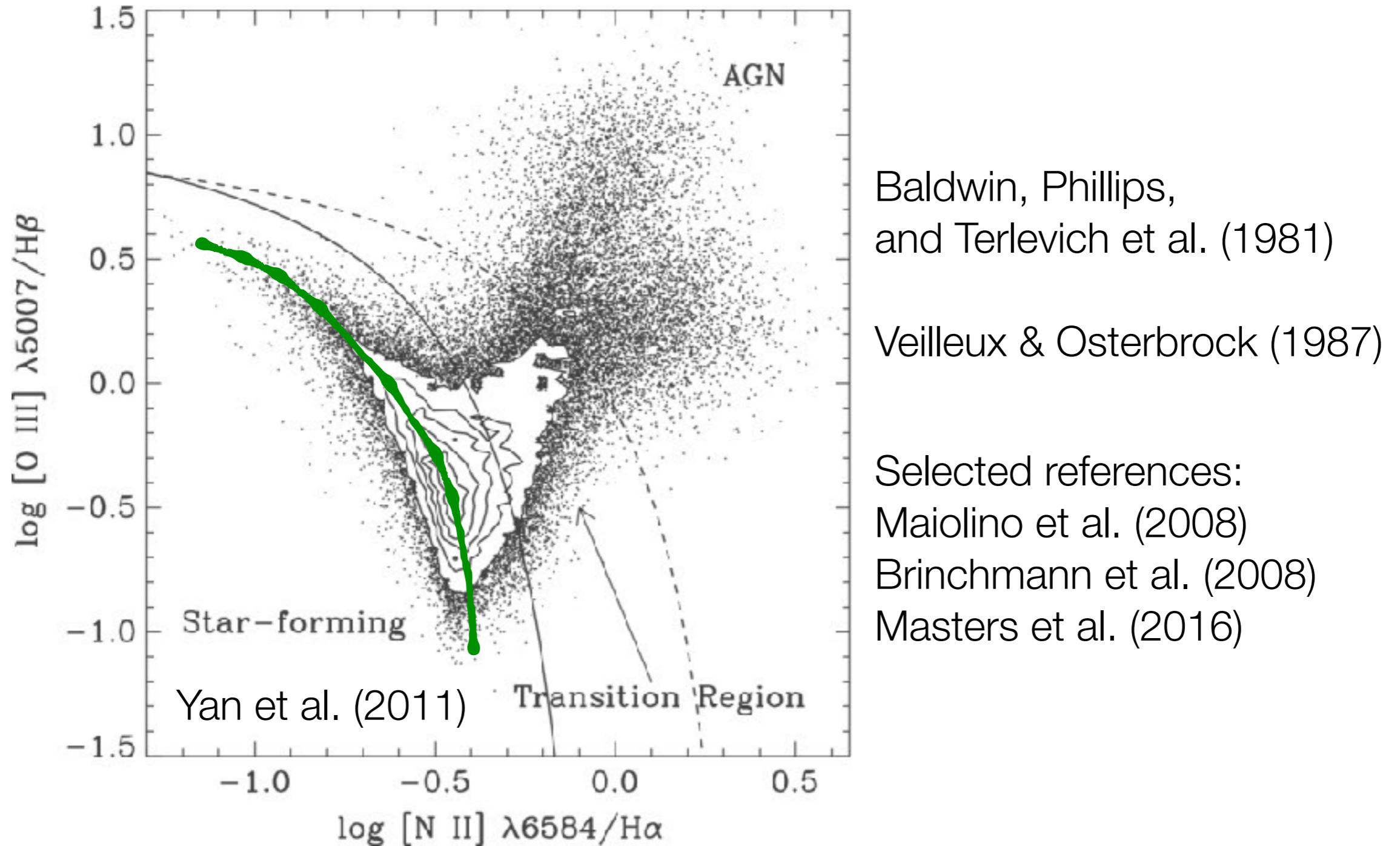
# The N2-BPT diagnostic diagram



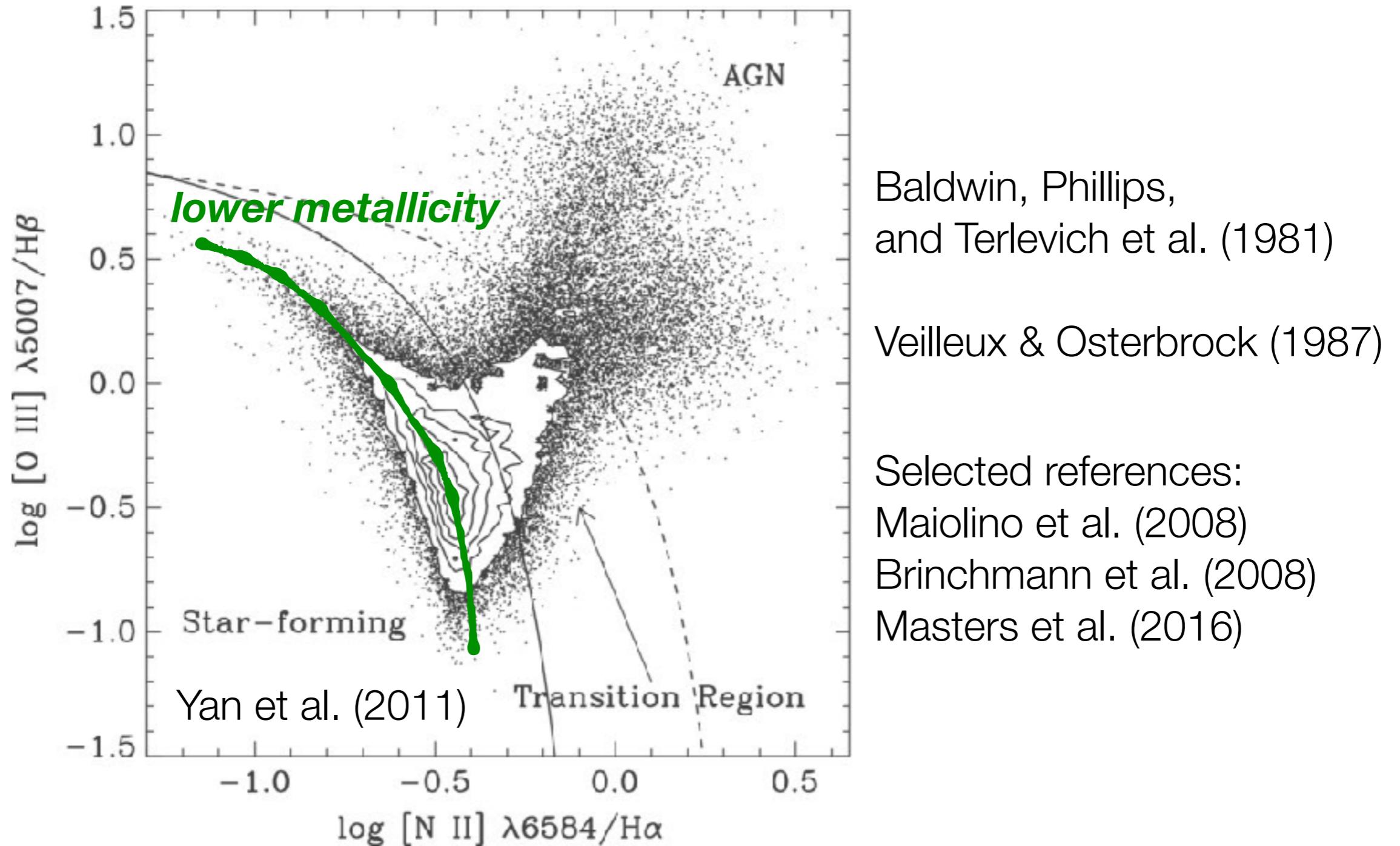
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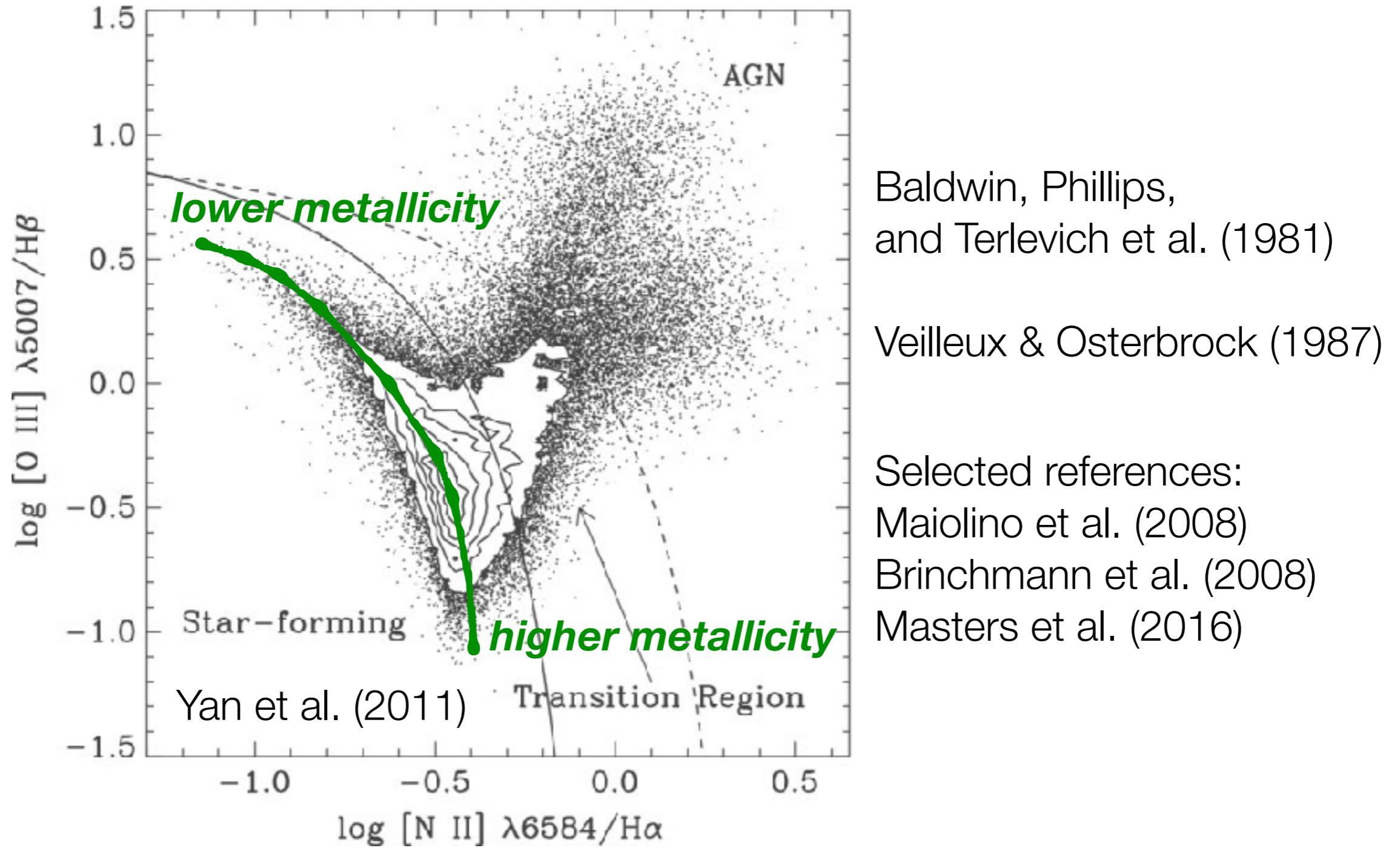
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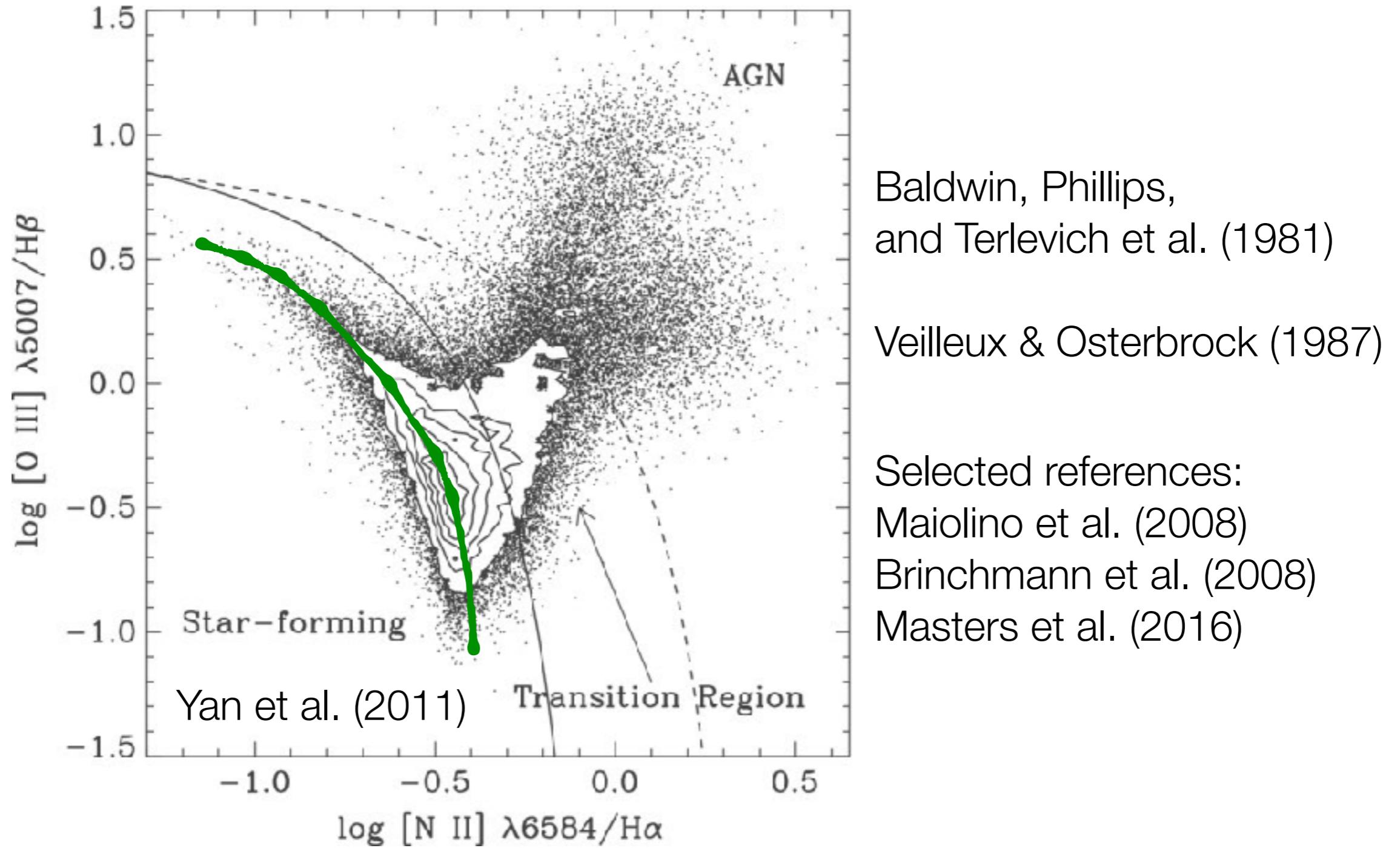
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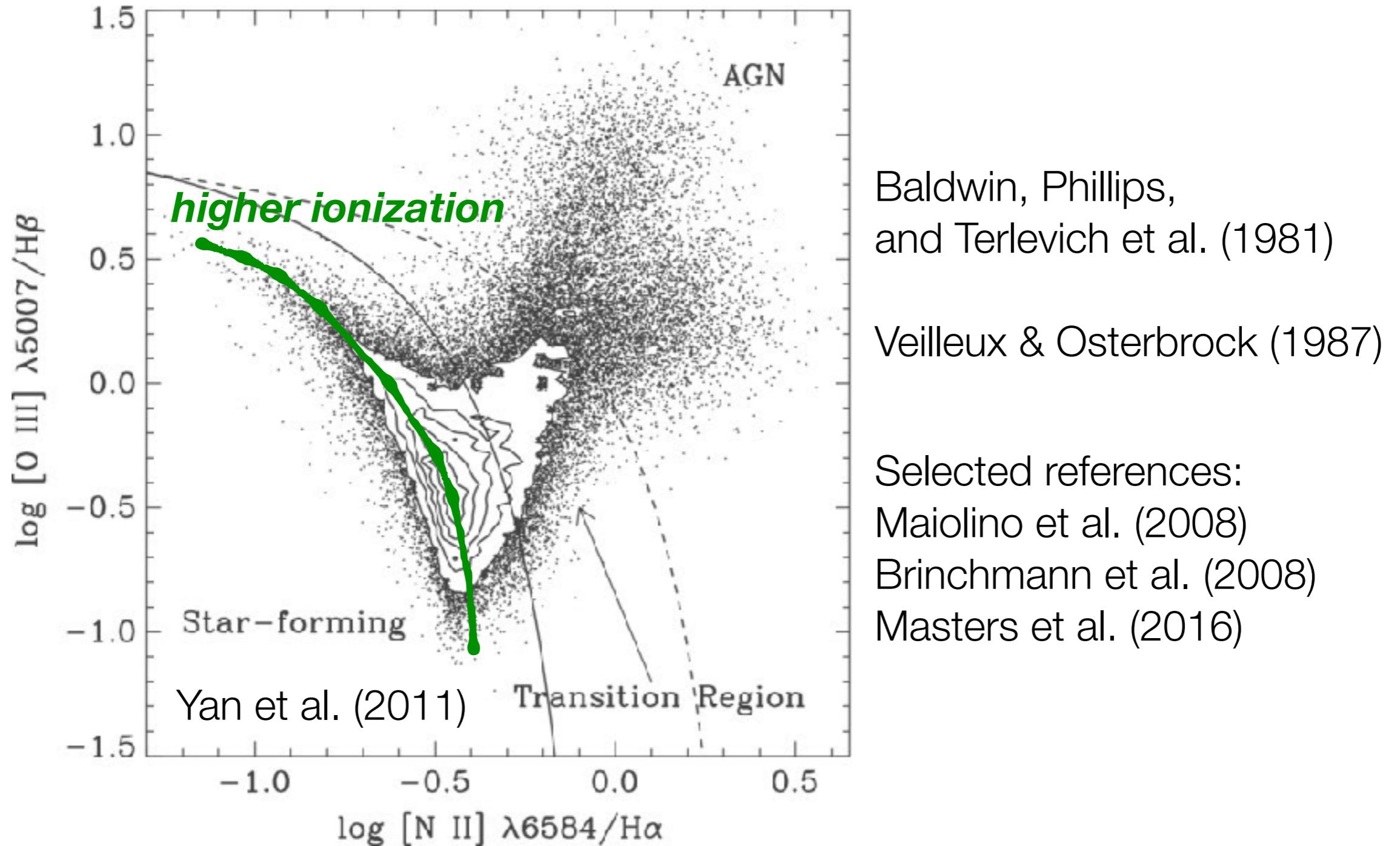
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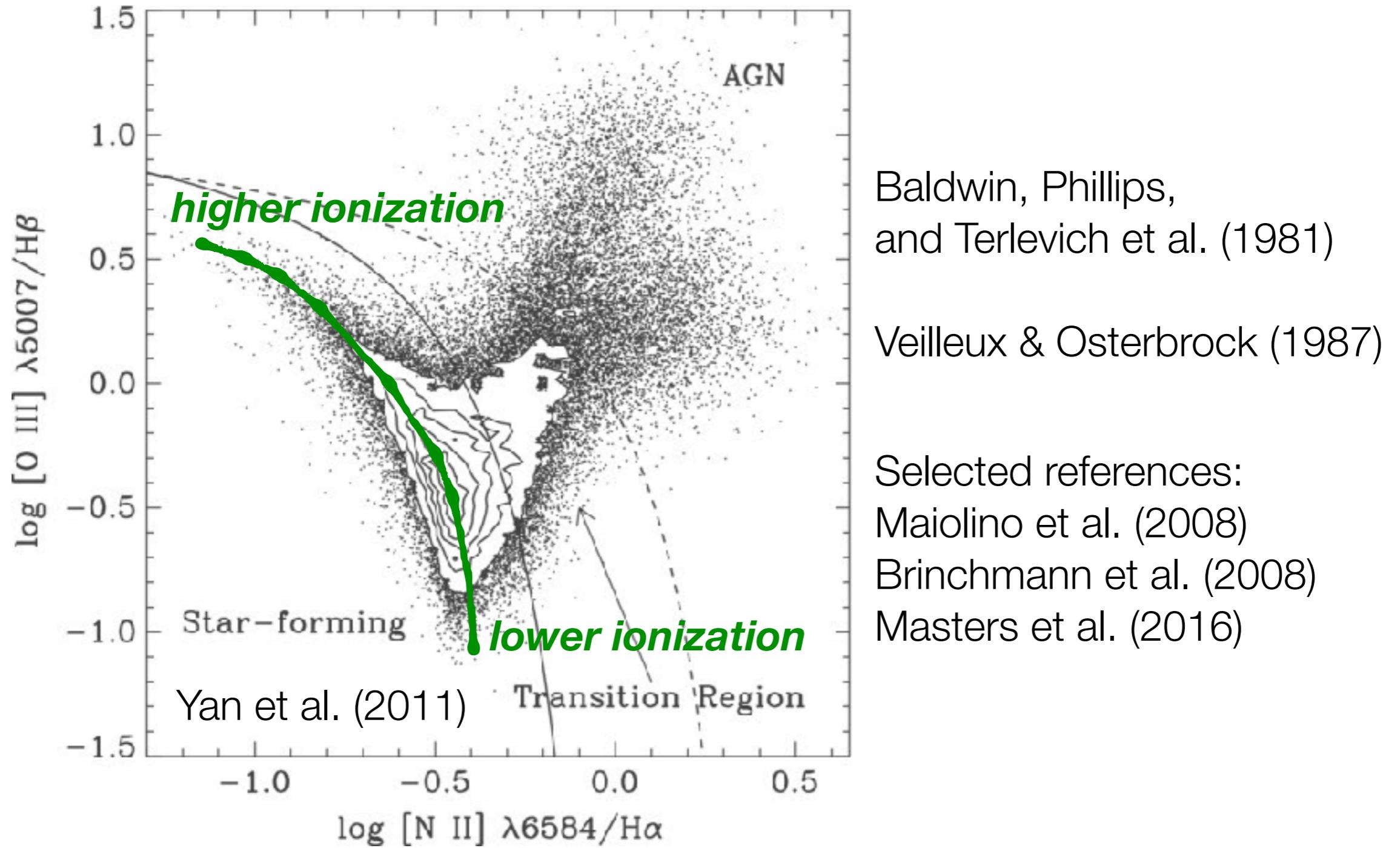
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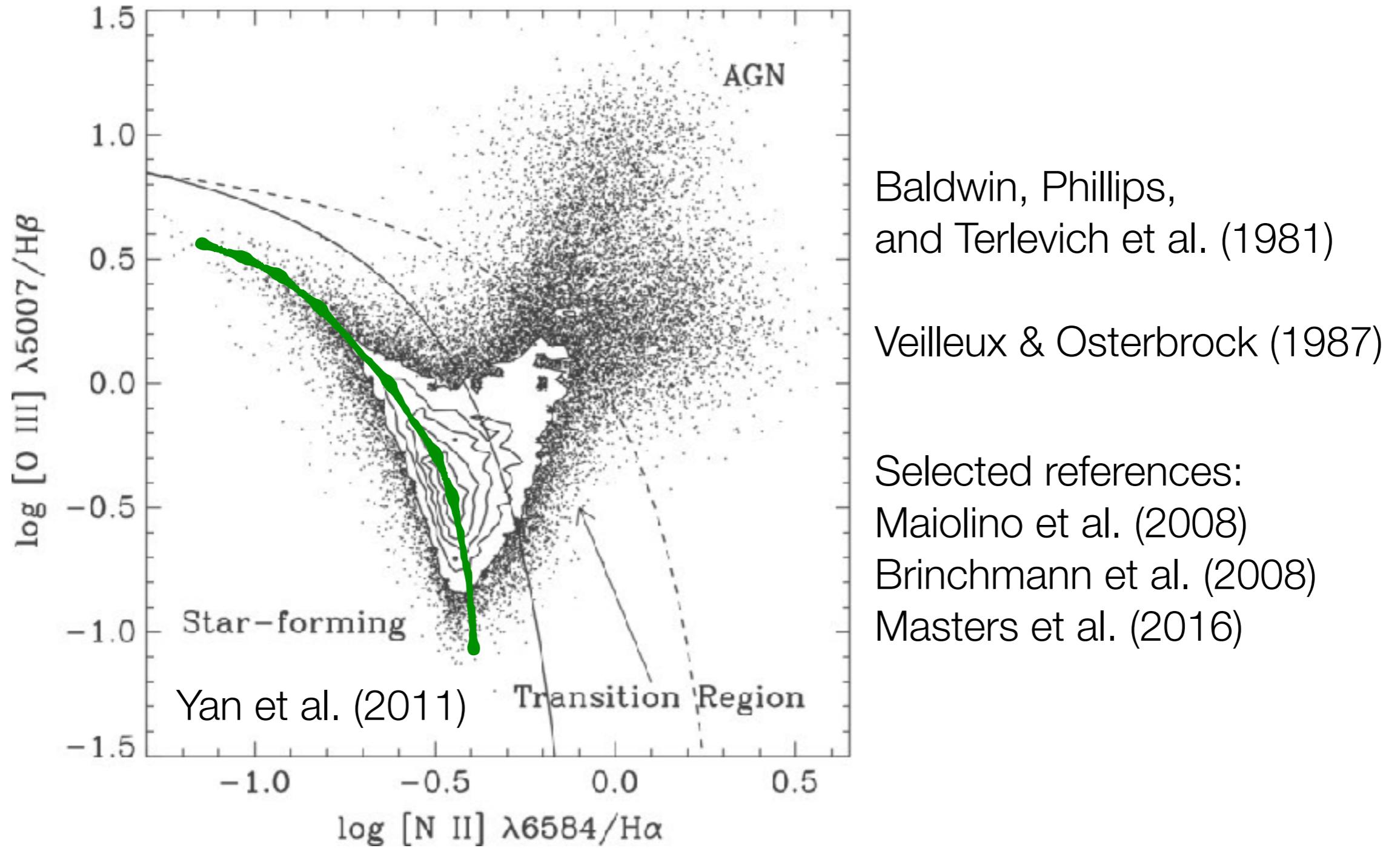
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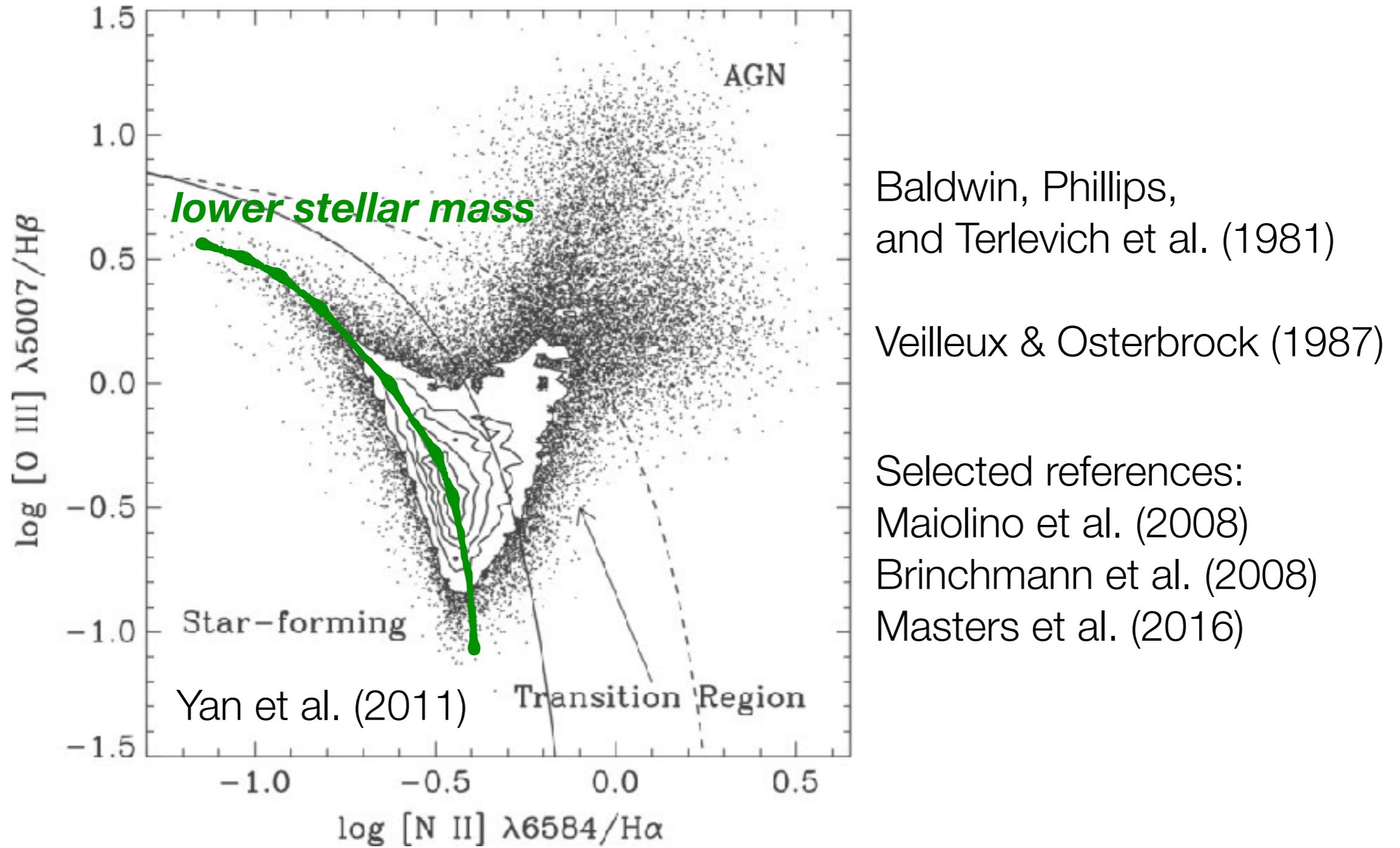
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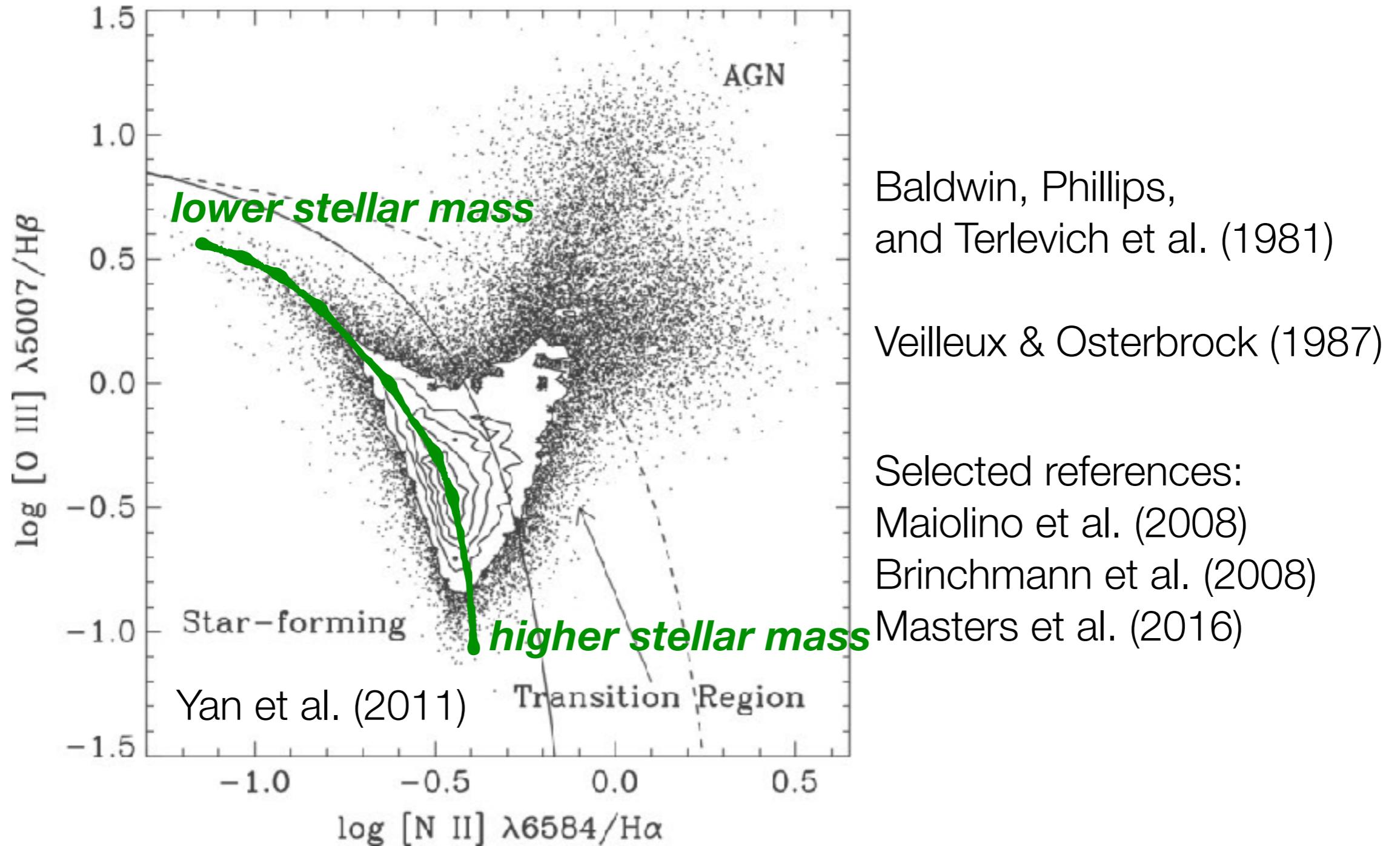
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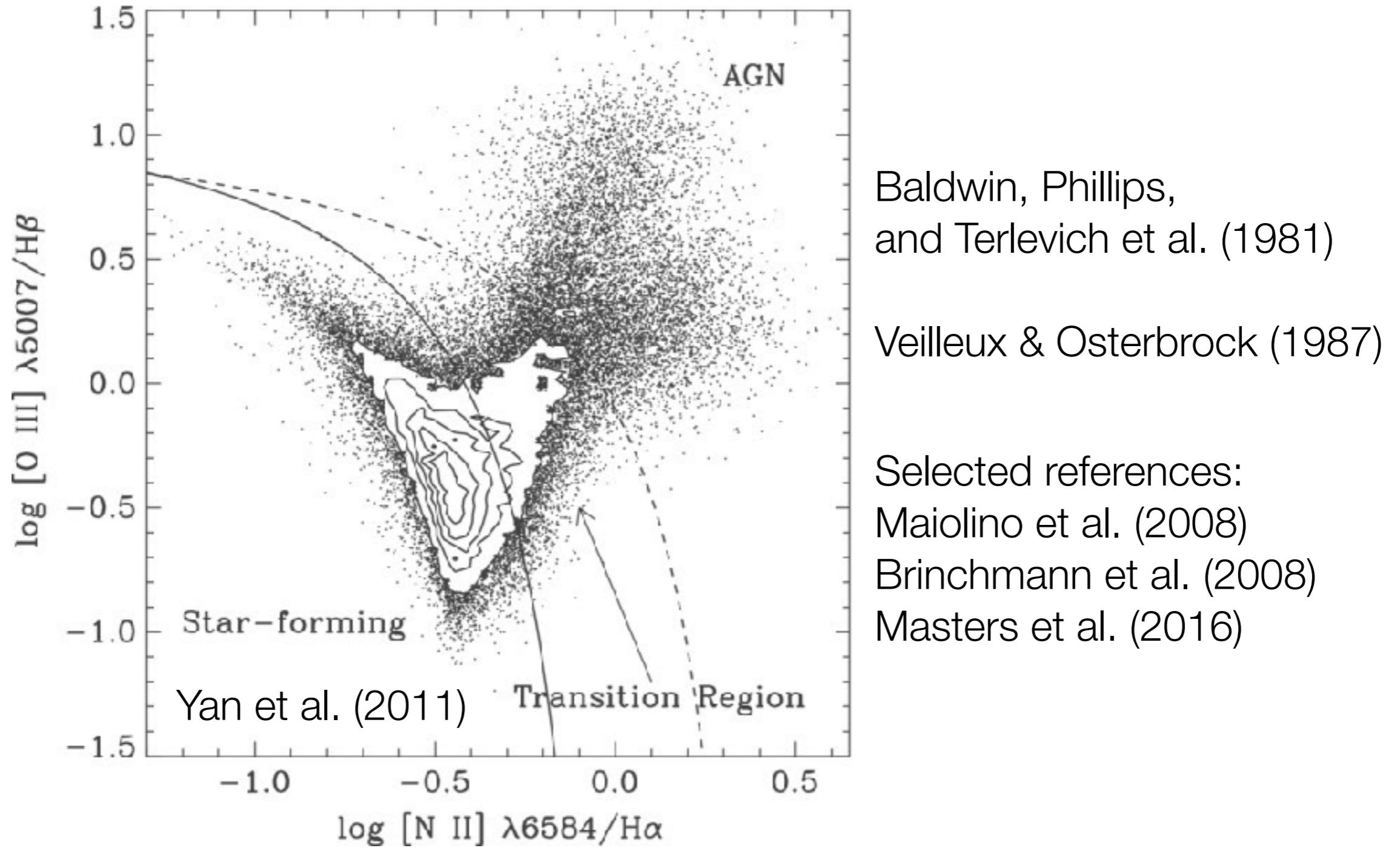
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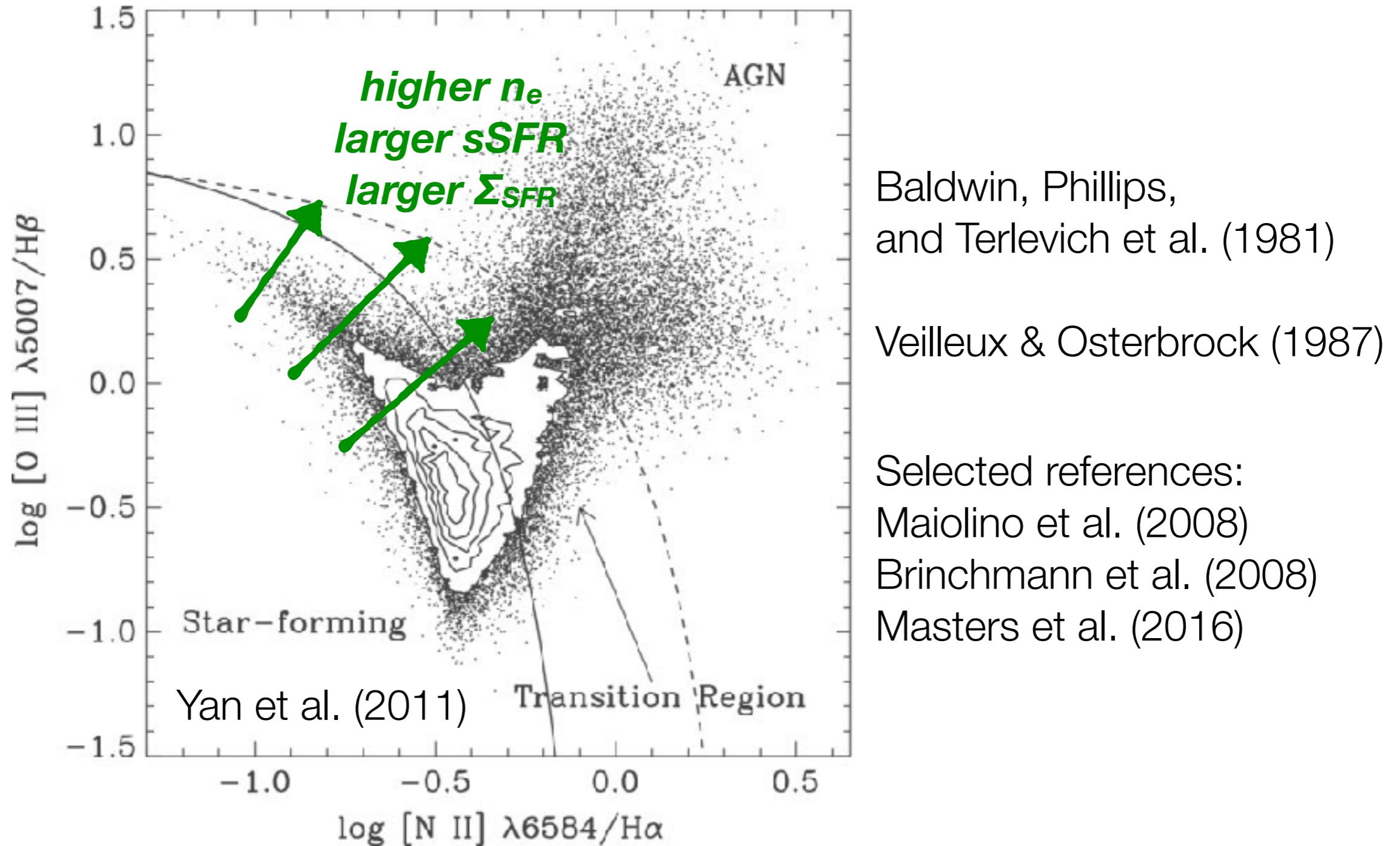
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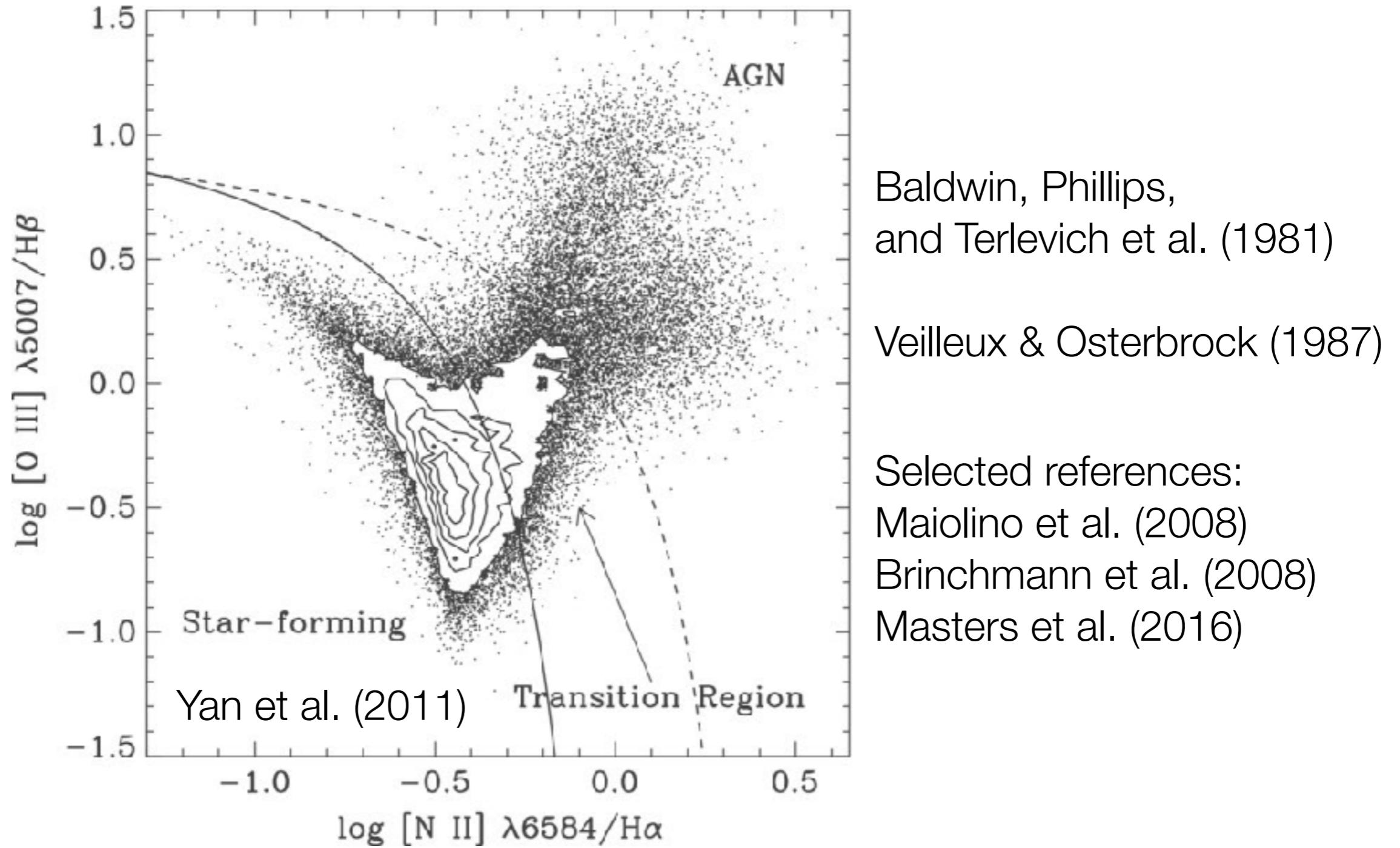
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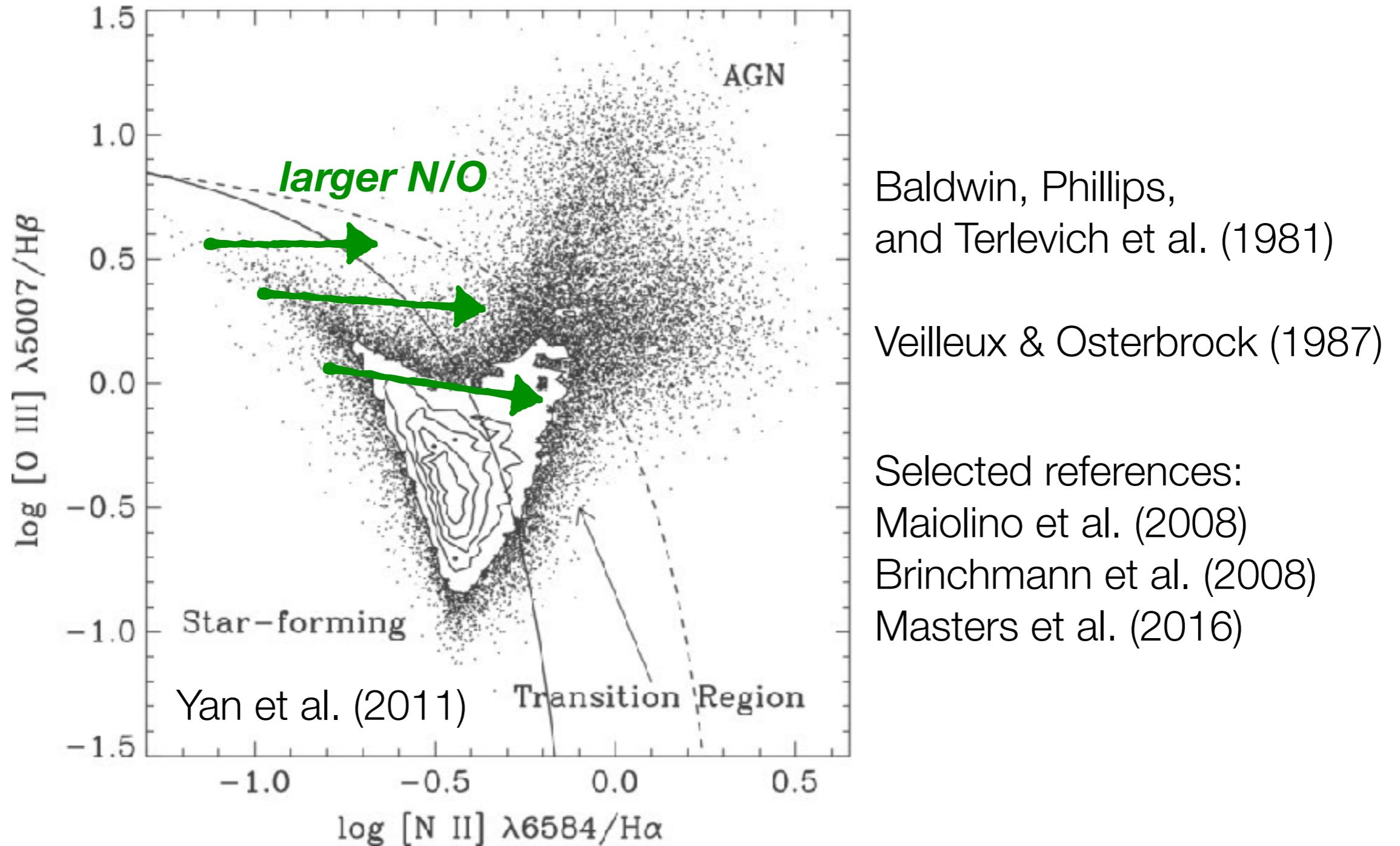
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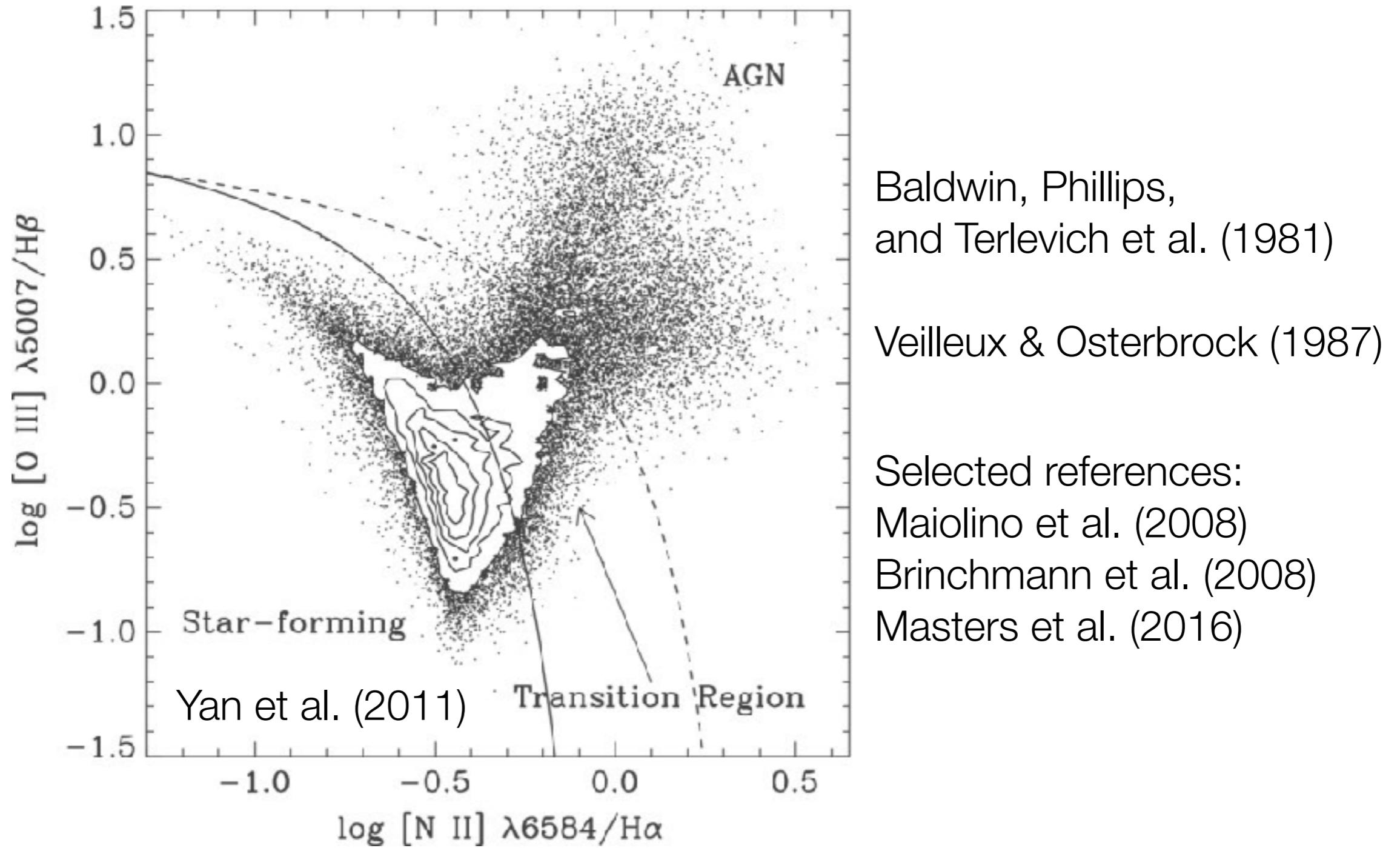
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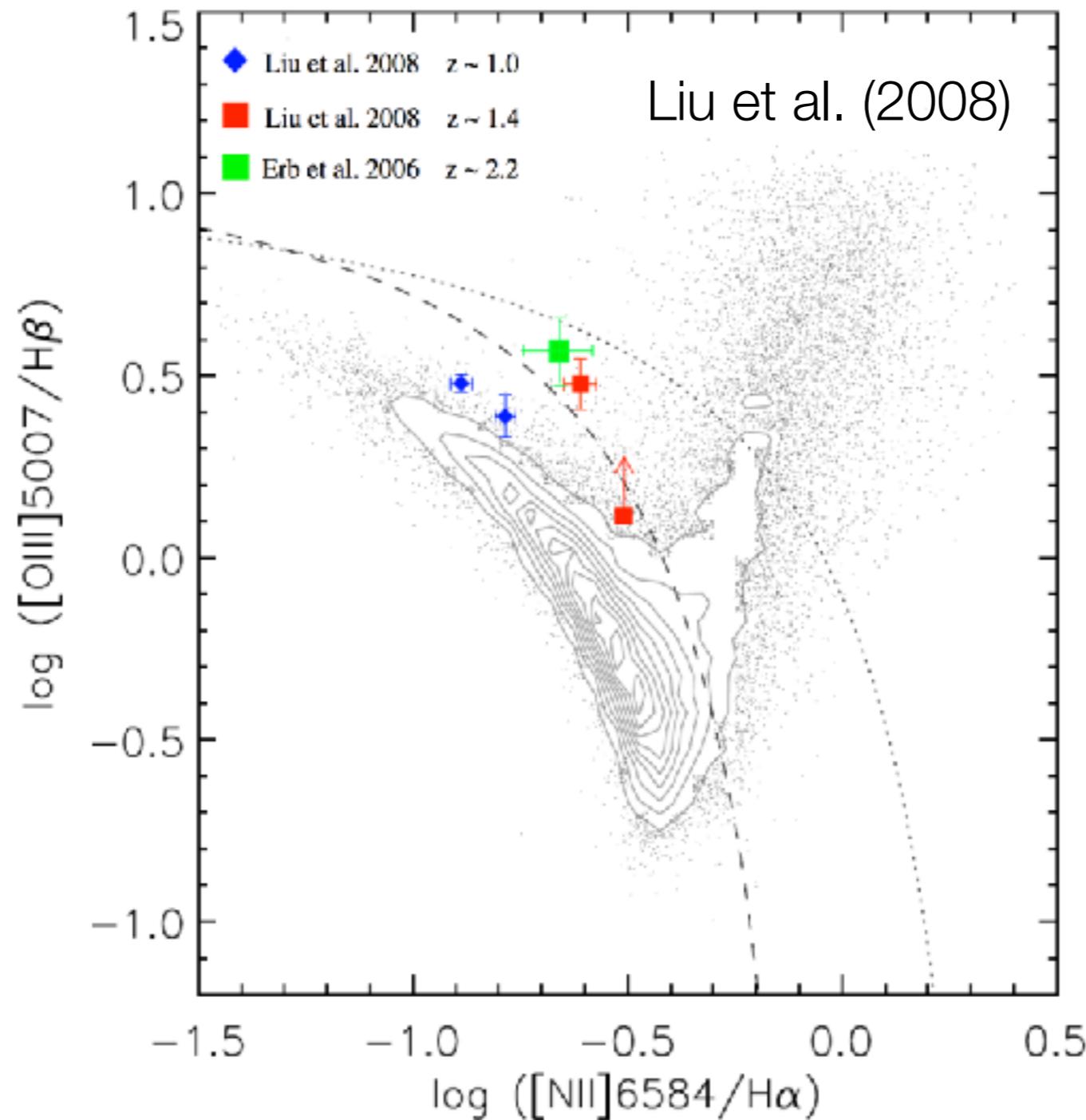
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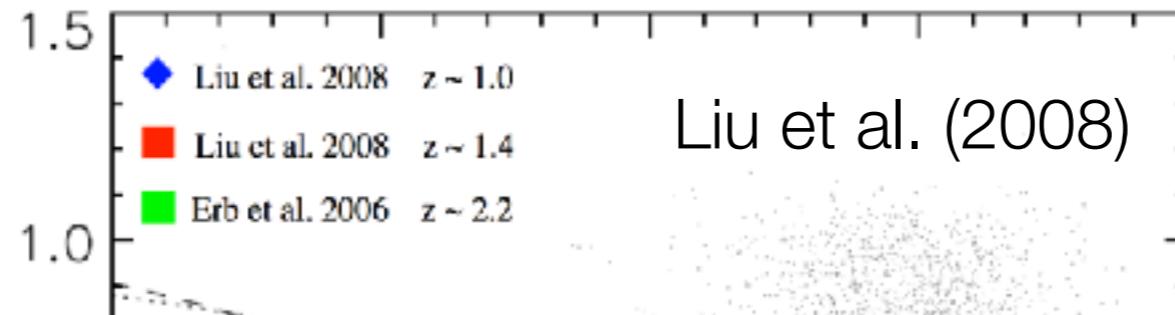
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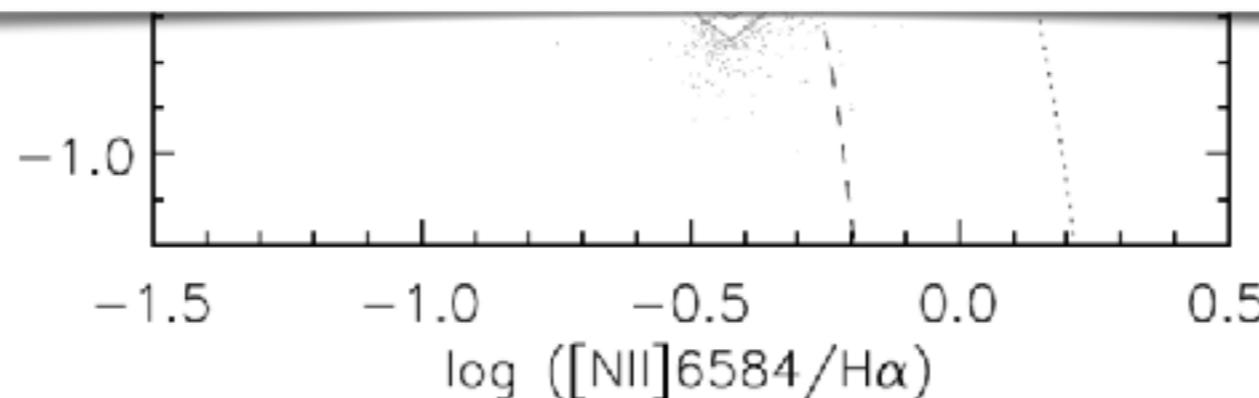
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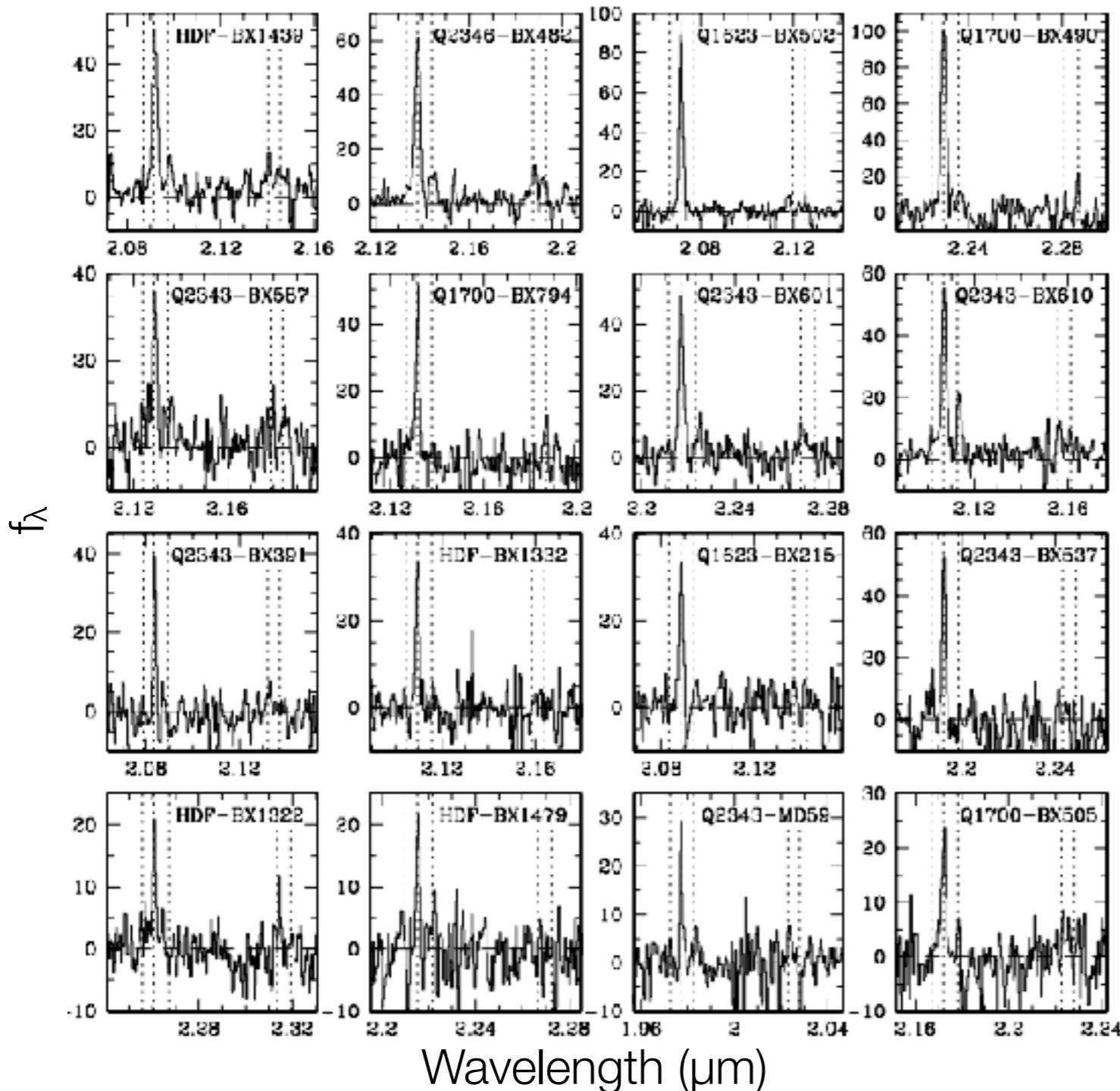


In order to fully understand the causes of this offset, which is also observed in  $z \sim 2$  star-forming galaxies, we examine in detail the small fraction of SDSS galaxies that have similar diagnostic ratios to those of the DEEP2 sample. Some of these galaxies indicate evidence for AGN and/or shock activity, which may give rise to their unusual line ratios and contribute to Balmer emission lines at the level of  $\sim 20\%$ . Others indicate no evidence for AGN or shock excitation yet are characterized by higher electron densities and temperatures, and therefore interstellar gas pressures, than typical SDSS star-forming galaxies of similar stellar mass. These anomalous objects also have higher concentrations and star formation rate surface densities, which are directly connected to higher interstellar pressure. Higher star formation rate surface densities, interstellar pressures, and H II region ionization parameters may also be common at high redshift. These effects must be taken into account when using strong-line indicators to understand the evolution of heavy elements in galaxies.



*Can we apply the paradigm developed  
for understanding present-day galaxies to  
galaxies  $\sim$ 2-3 Gyr after the Big Bang?*

# Early high-z observations were challenging



Erb et al. (2006)  
114 galaxies with NIRSPEC

Needed to stack galaxies to  
measure multiple emission lines

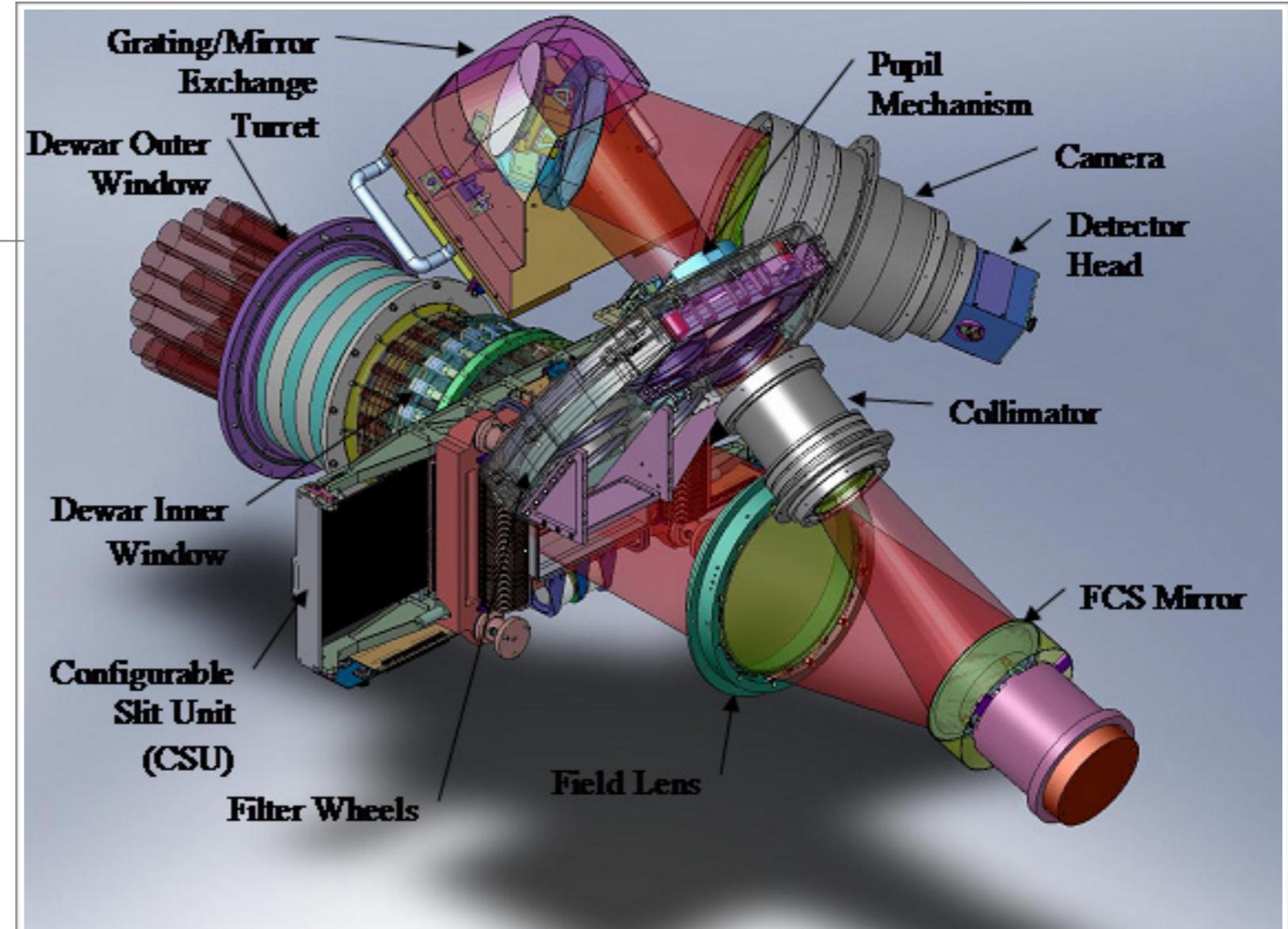
# Keck/MOSFIRE

Co-PIs:

Chuck Steidel (Caltech)  
Ian McLean (UCLA)

6.14' × 6.14' field-of-view

Spectroscopy and imaging  
in Y, J, H, K bands



Configurable slit unit (CSU):

46 re-positionable slits maximizes flexibility and efficiency

Keck/MOSFIRE is **5x more efficient for single objects** than Keck/NIRSPEC and is **~200x more efficient when multiplexing**

# Keck Baryonic Structure Survey (KBSS)

15 separate survey fields, with a total area =  $0.24 \text{ deg}^2$

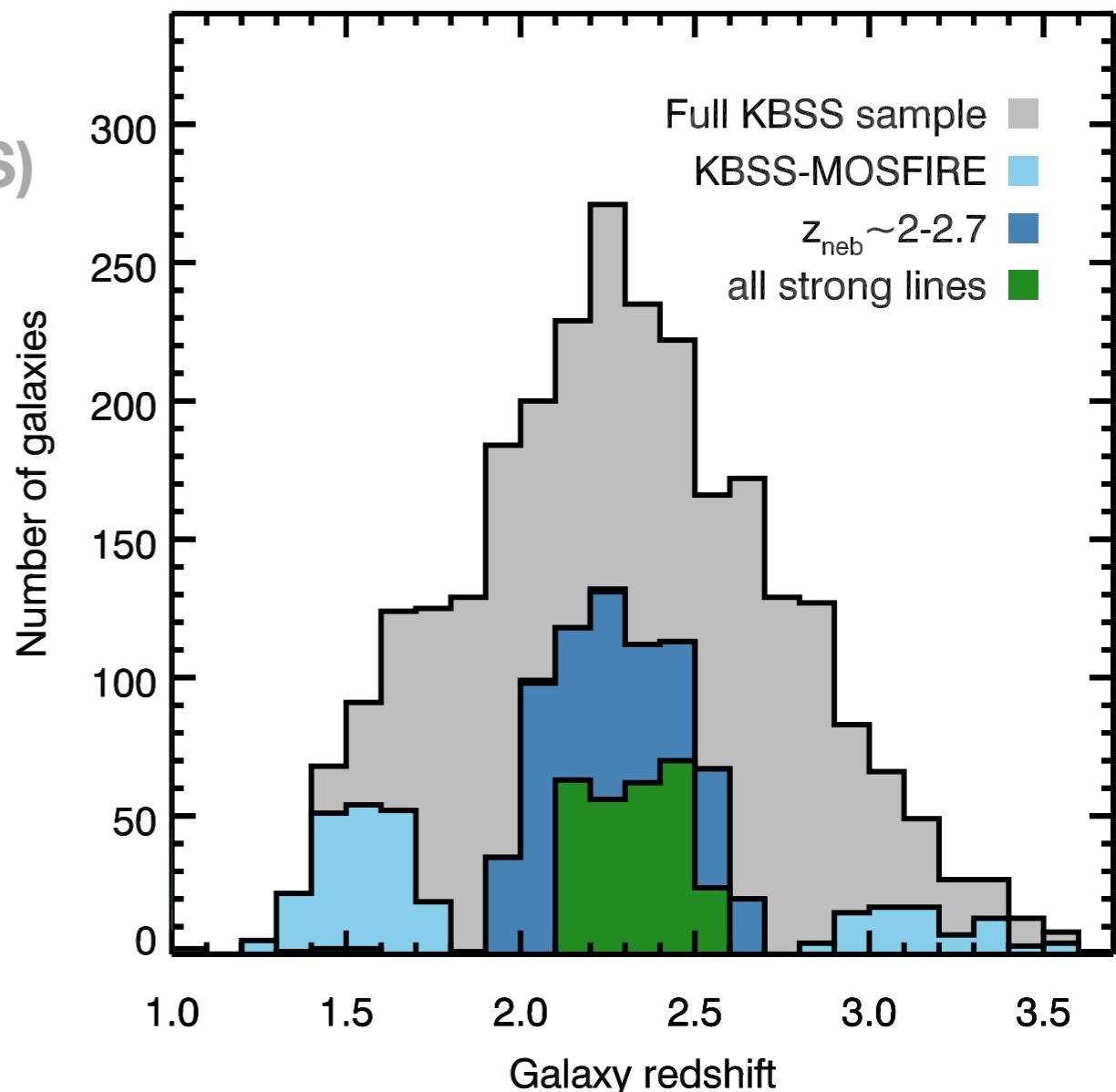
Spectroscopic observations:

- **2354 with rest-UV spectra (LRIS)**
- **1097 with rest-optical spectra**

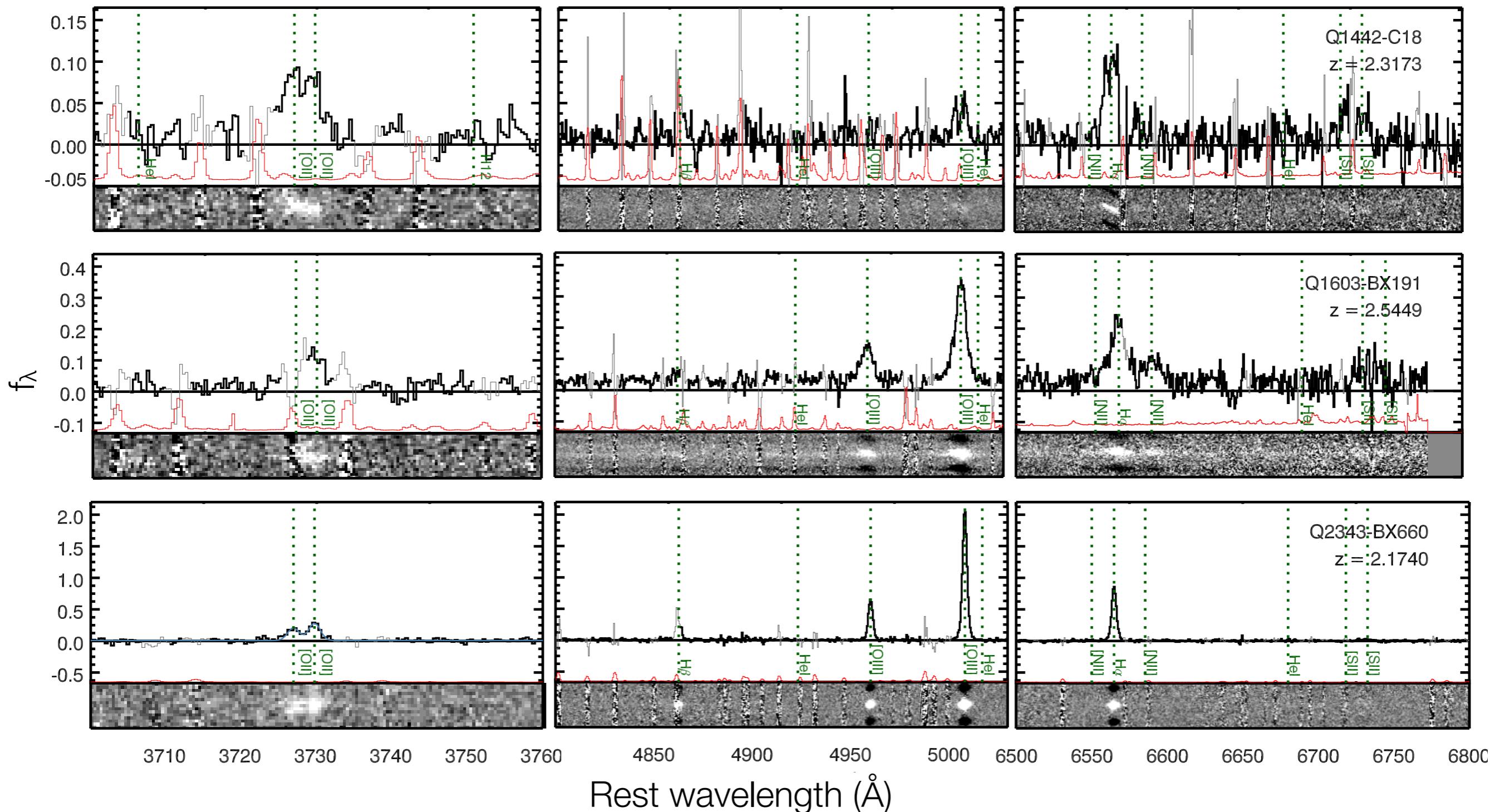
61 nights with MOSFIRE since commissioning in June 2012

**>700 galaxies** with  $z \approx 2-2.7$  have at least one MOSFIRE spectrum

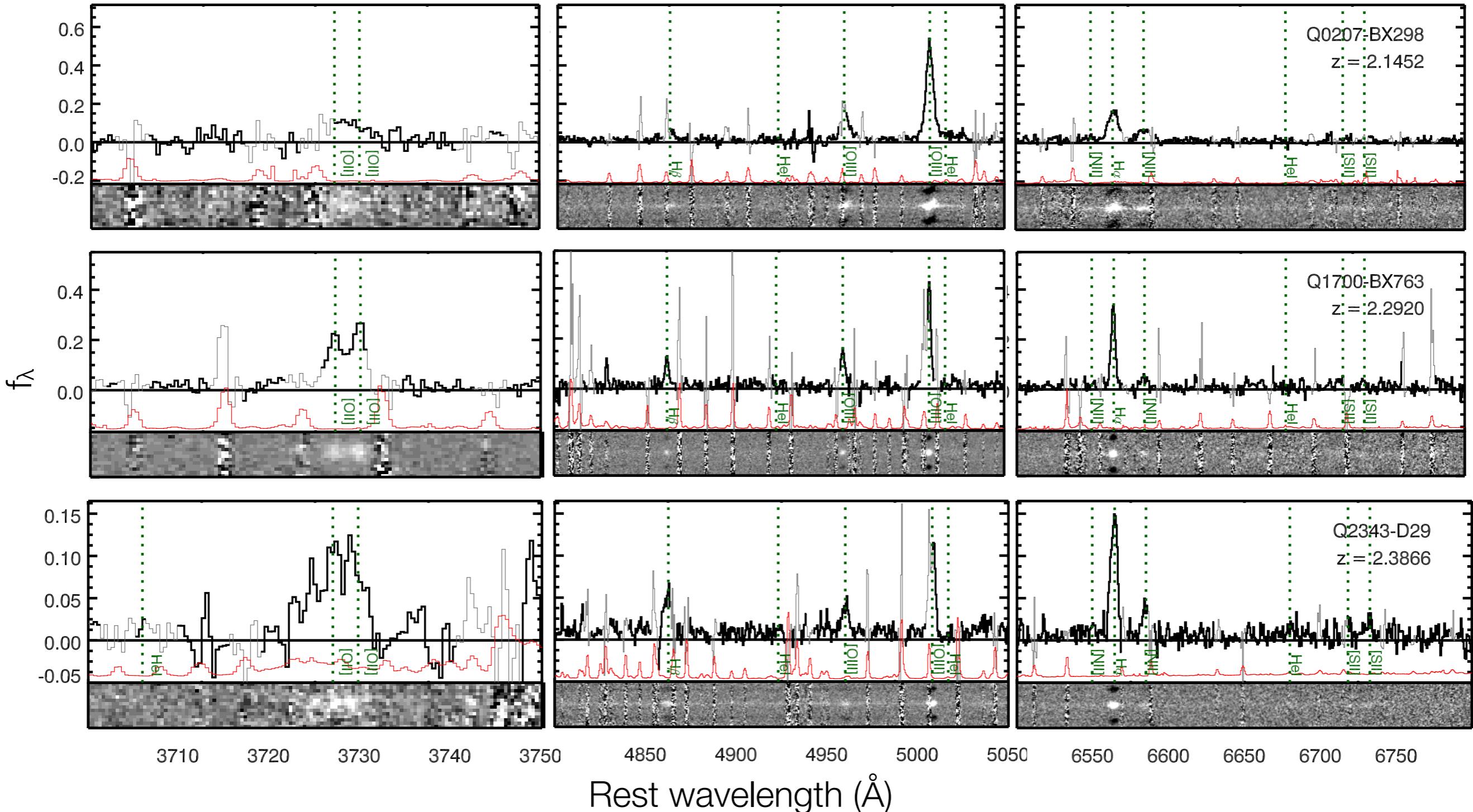
**283 galaxies** with good detections of many of the strong diagnostic emission lines in J, H, and K



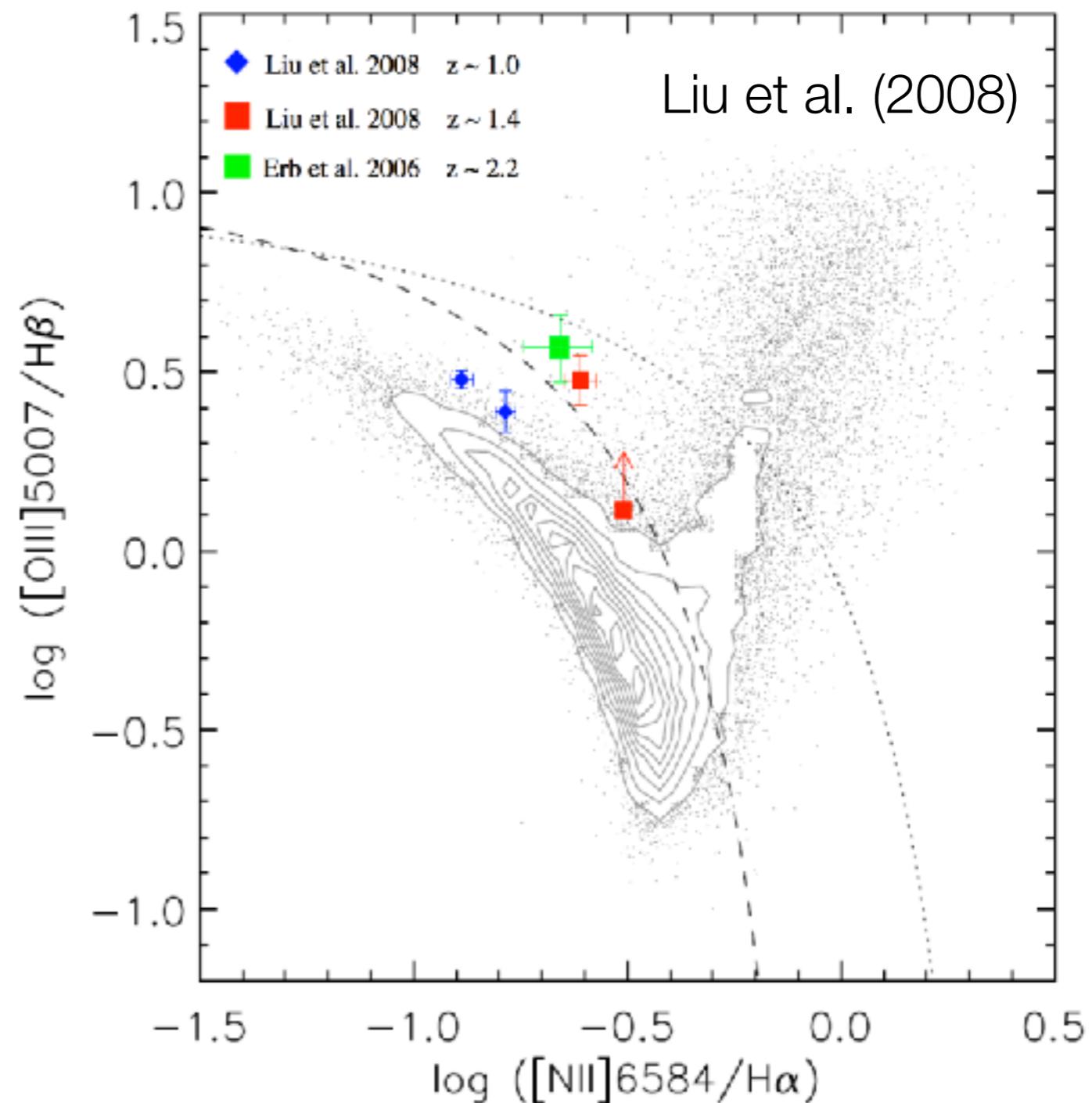
*KBSS-MOSFIRE allows us to study individual galaxies in detail*



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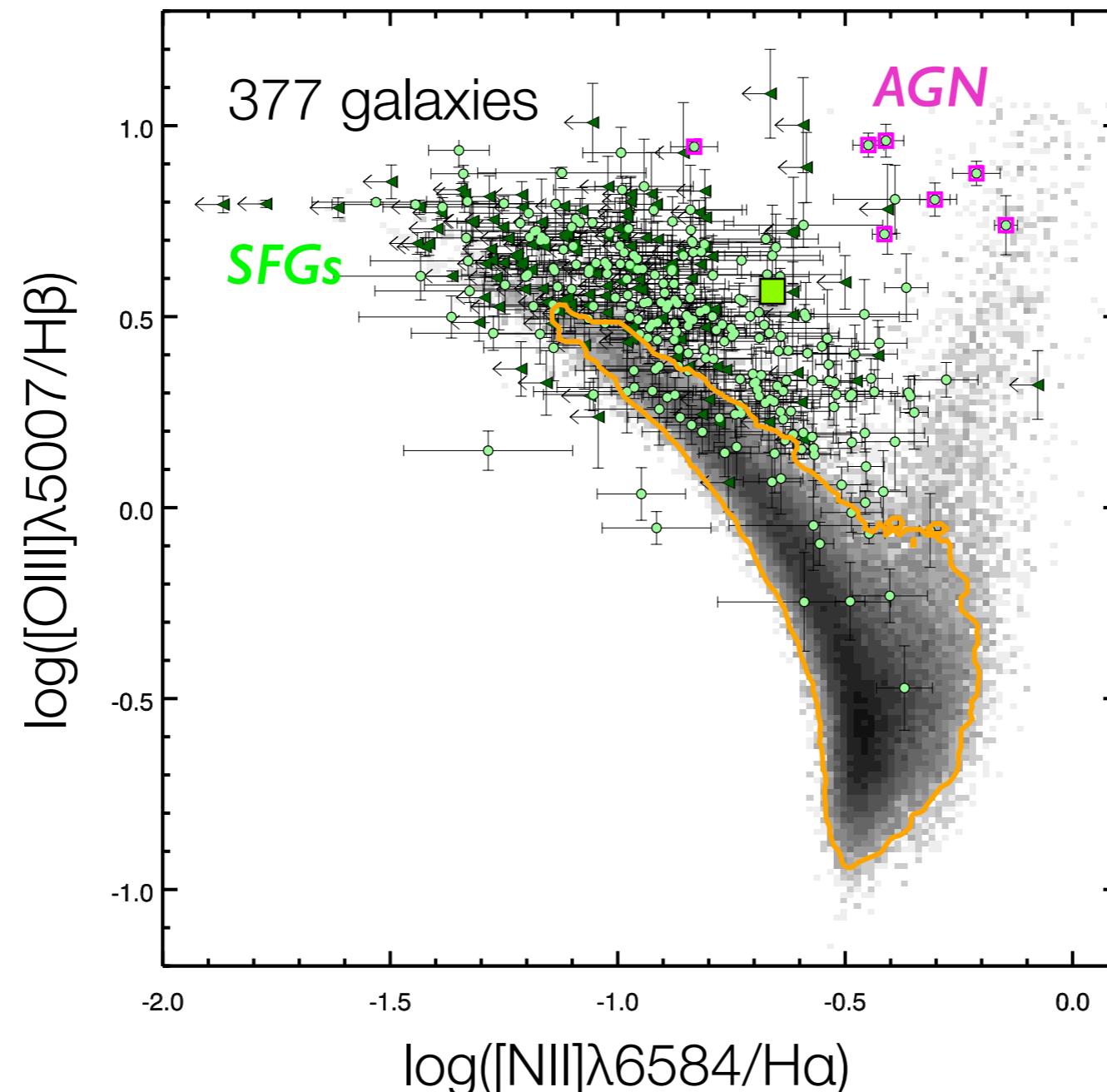


# BPT diagrams for $z \sim 2-3$ star-forming galaxies



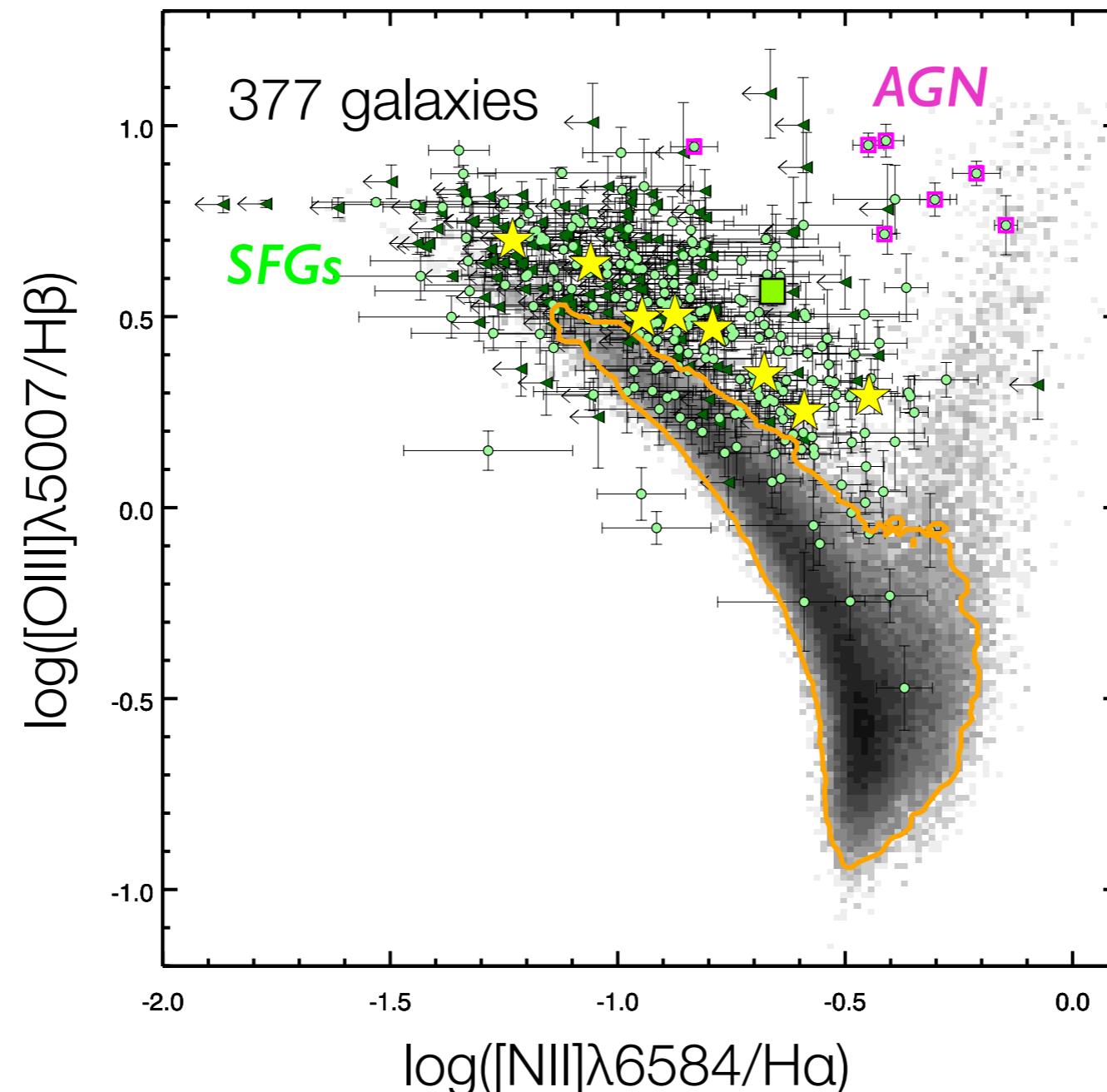
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**Strom et al. (2016)**, arXiv:1608.02587



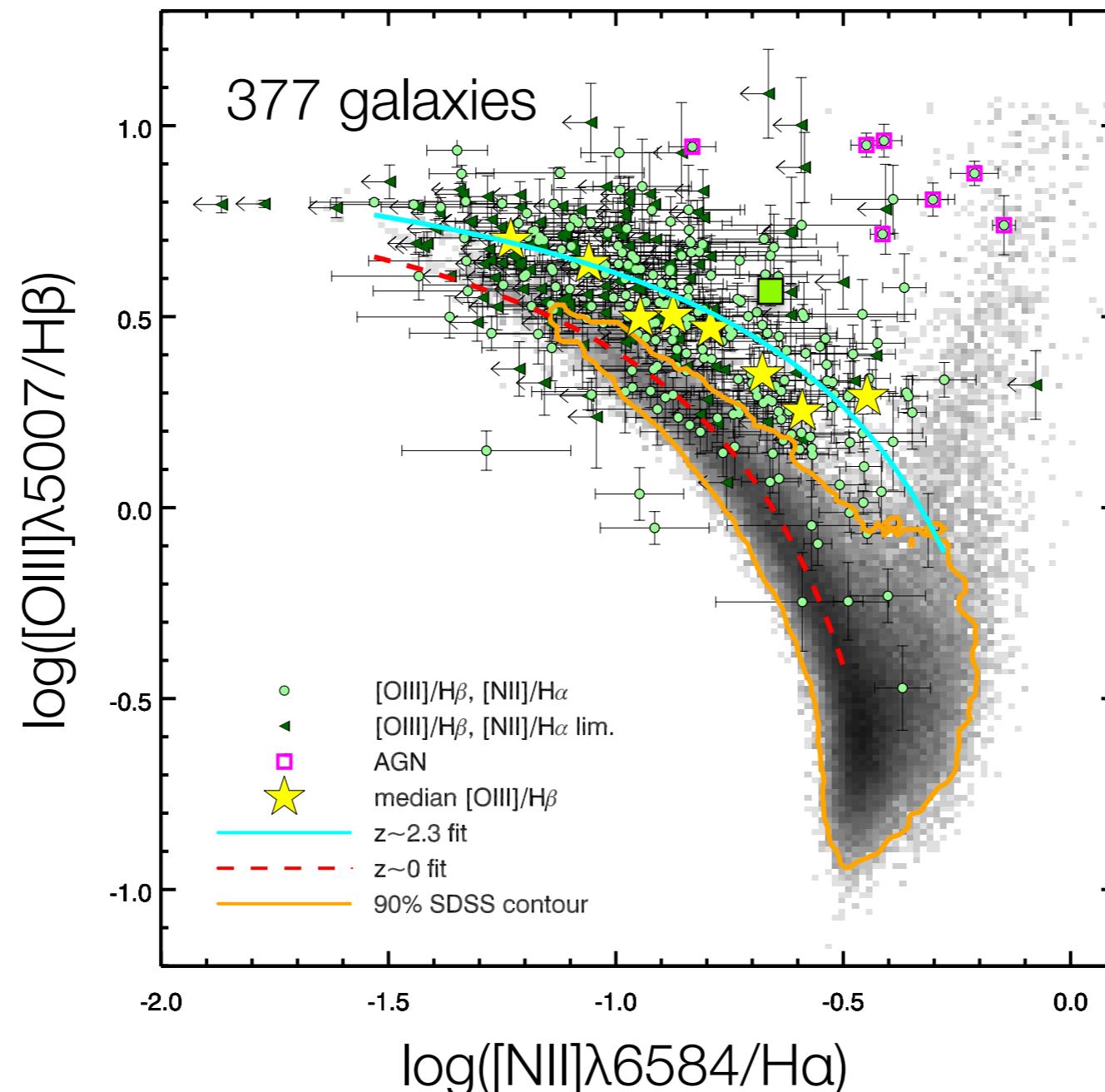
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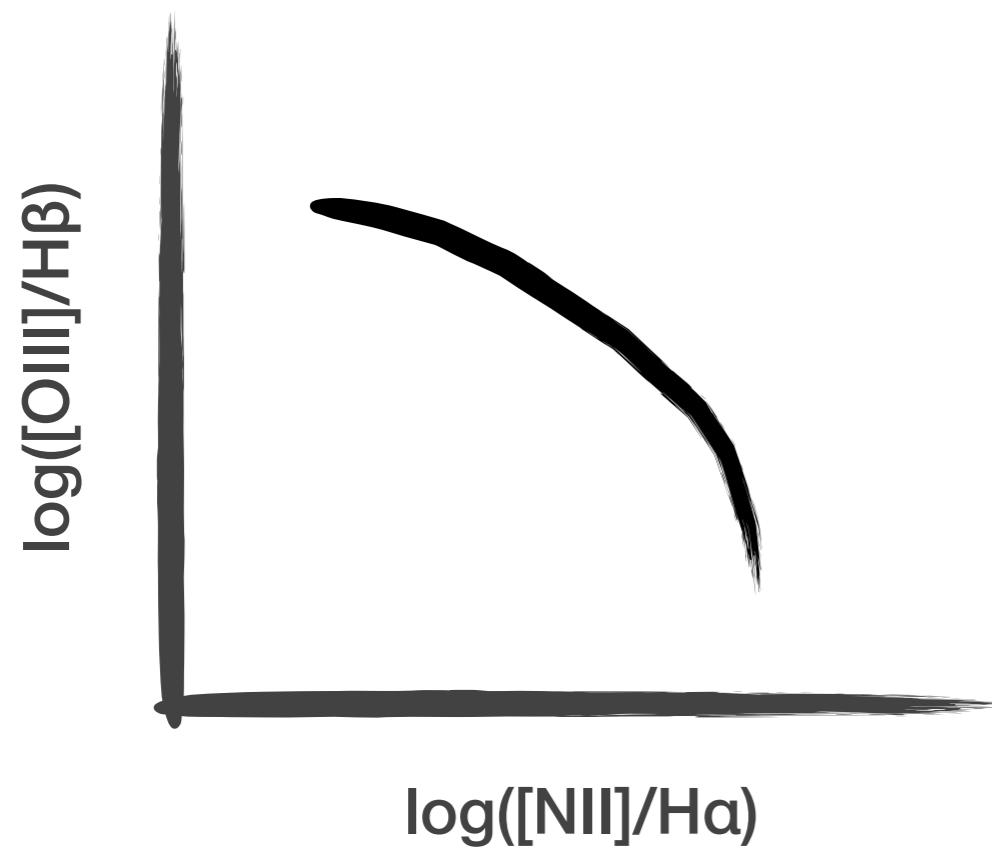
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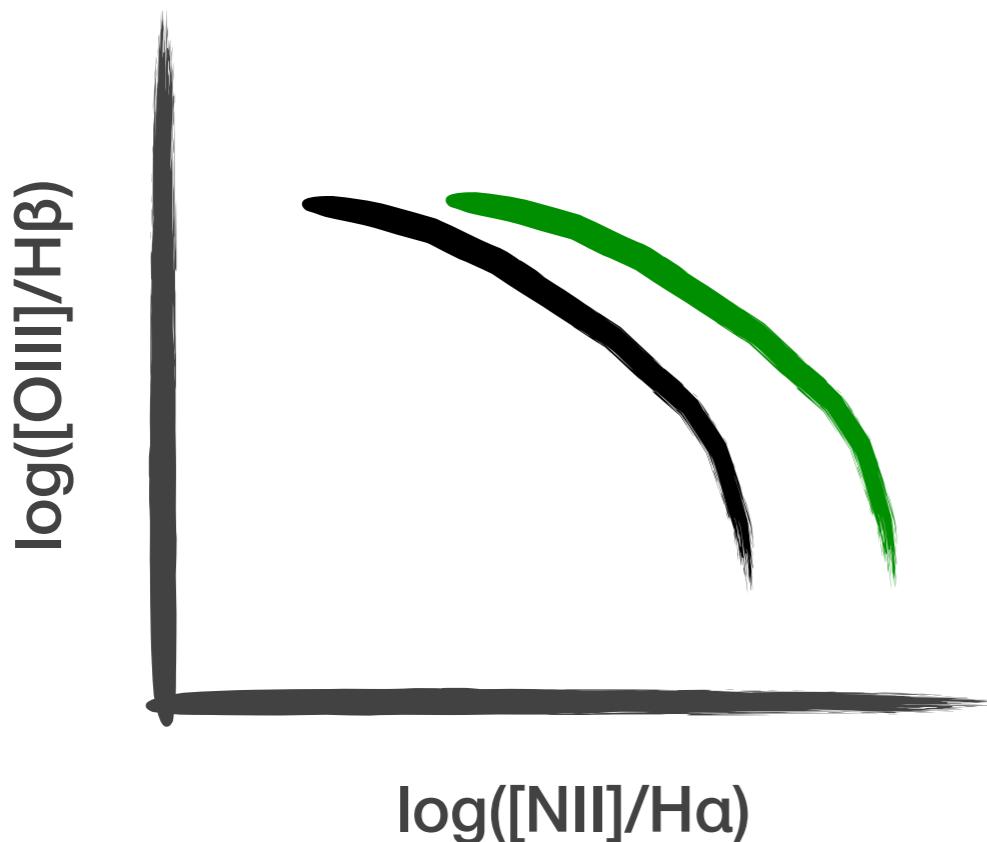
*What is different about z~2-3 galaxies?*

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*What is different about  $z \sim 2-3$  galaxies?*

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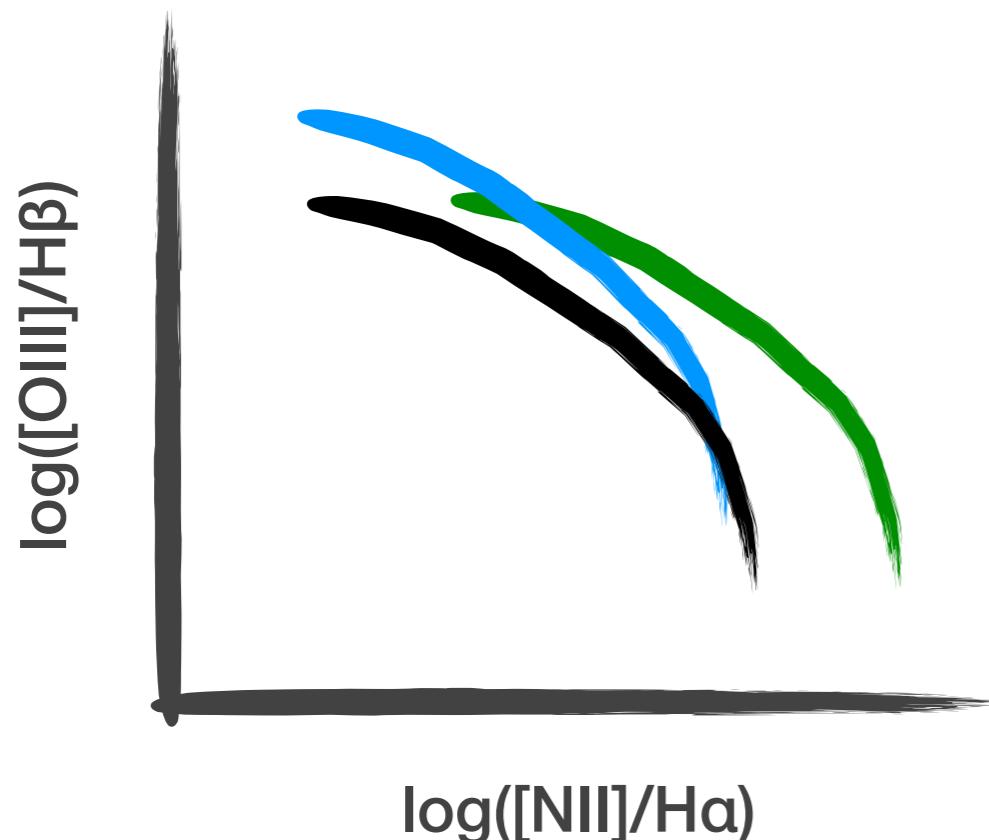


***enhanced N/O at fixed O/H***

(e.g., Masters+14, Shapley+15,  
Sanders+16, Masters+16)

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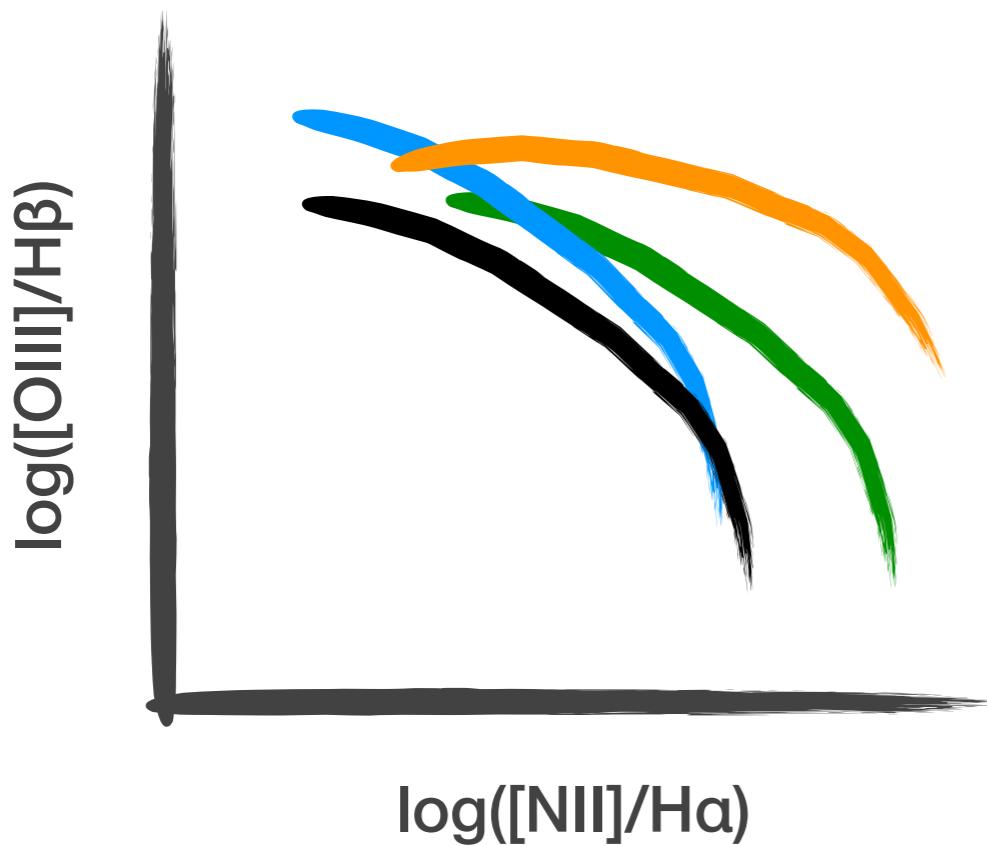
(e.g., Masters+14, Shapley+15,  
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***more ionizing photons***

(e.g., Kewley+15)

*What is different about  $z \sim 2-3$  galaxies?*

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***enhanced N/O at fixed O/H***

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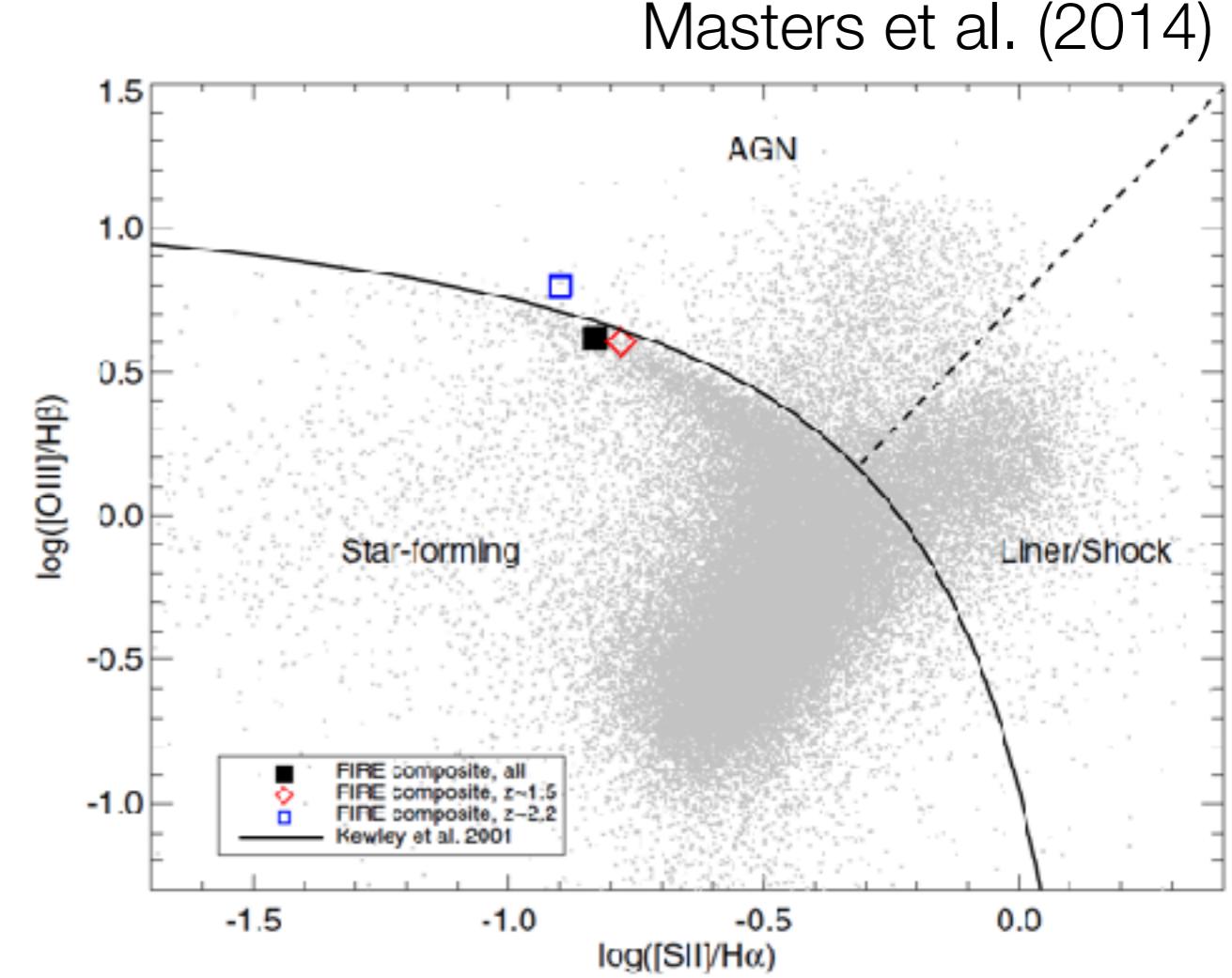
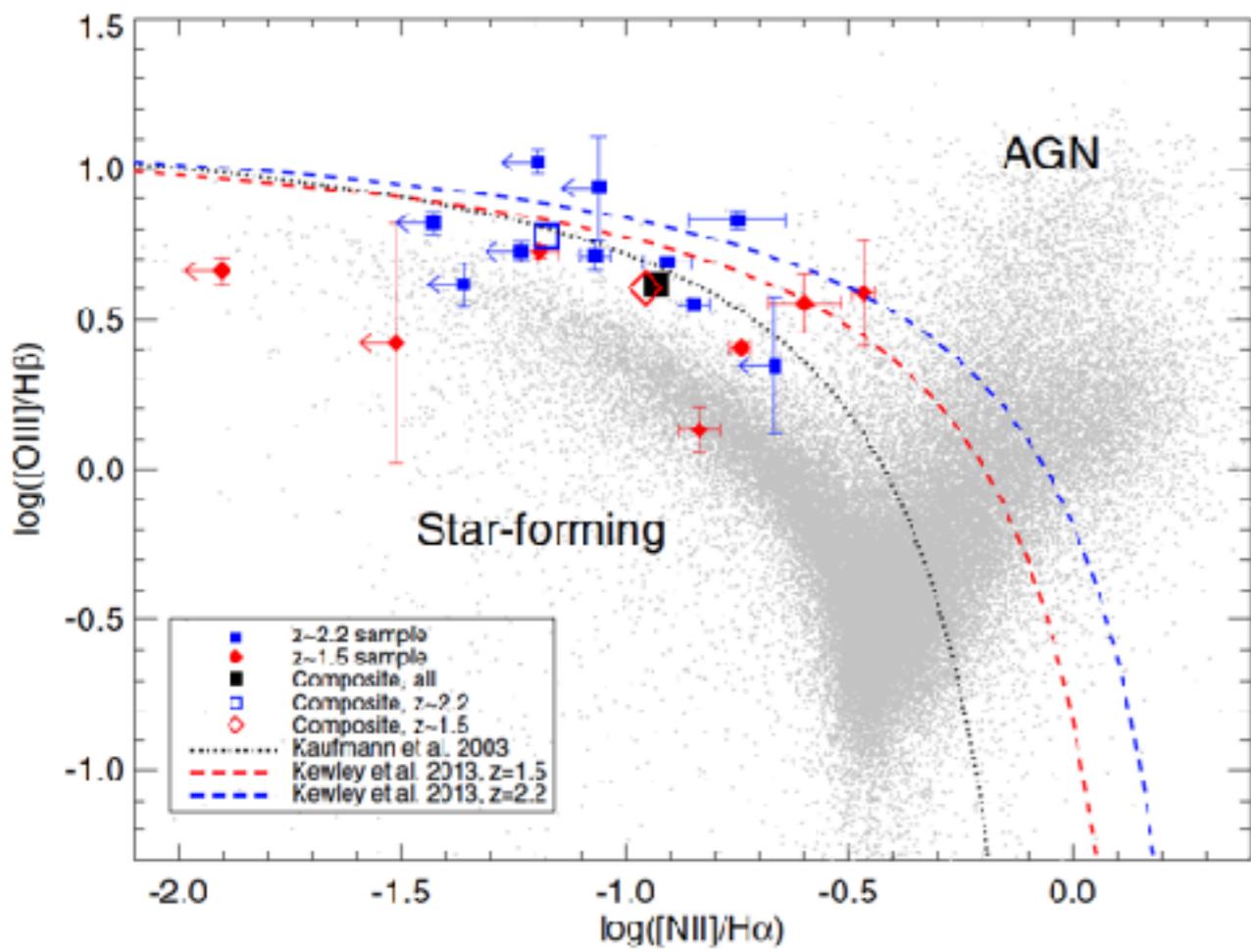
***more ionizing photons***

(e.g., Kewley+15)

***harder ionizing radiation***

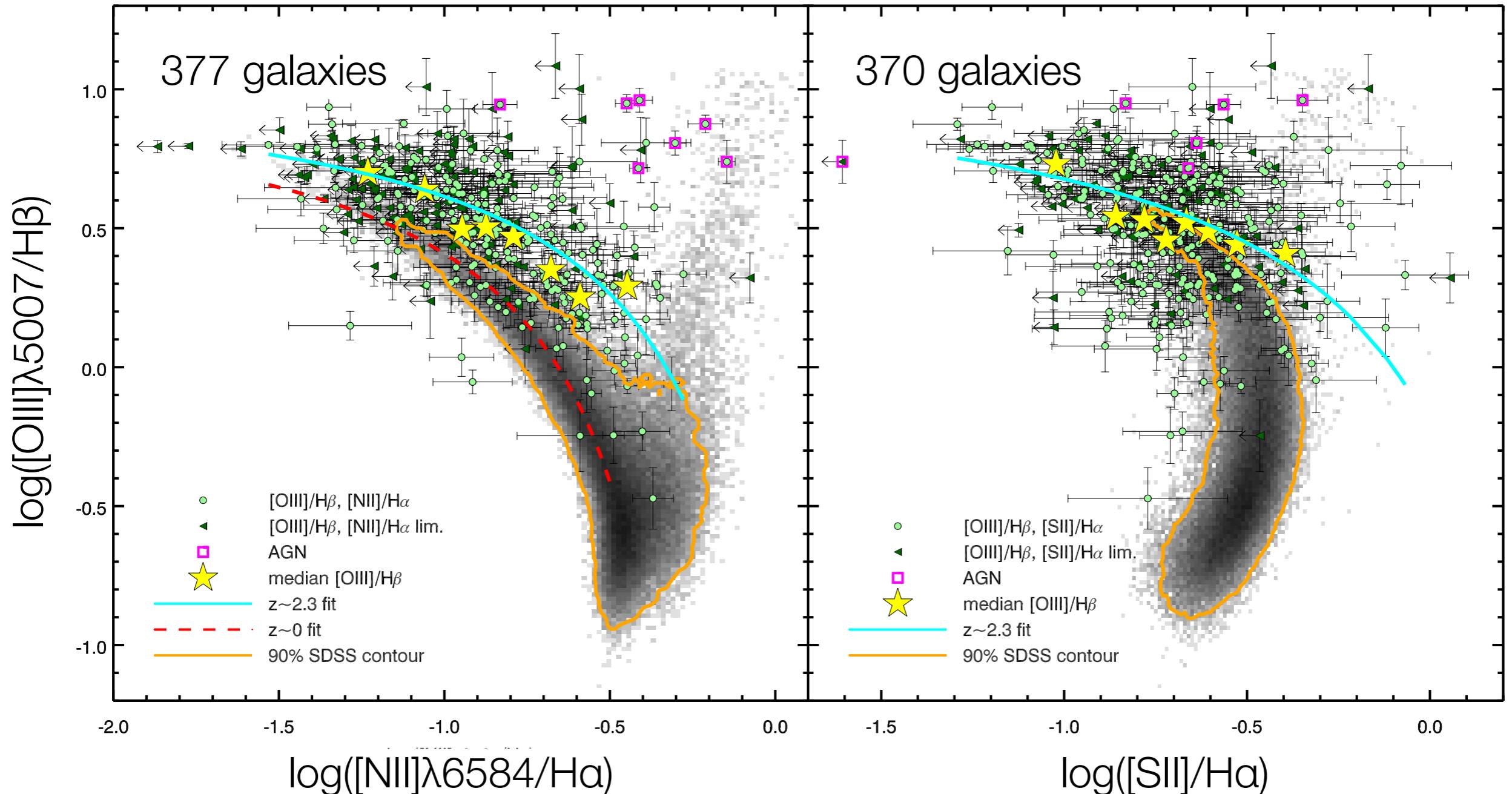
(e.g., Steidel+14, Steidel+16,  
Strom+16)

Absence of offset in S2-BPT suggested that N was anomalous

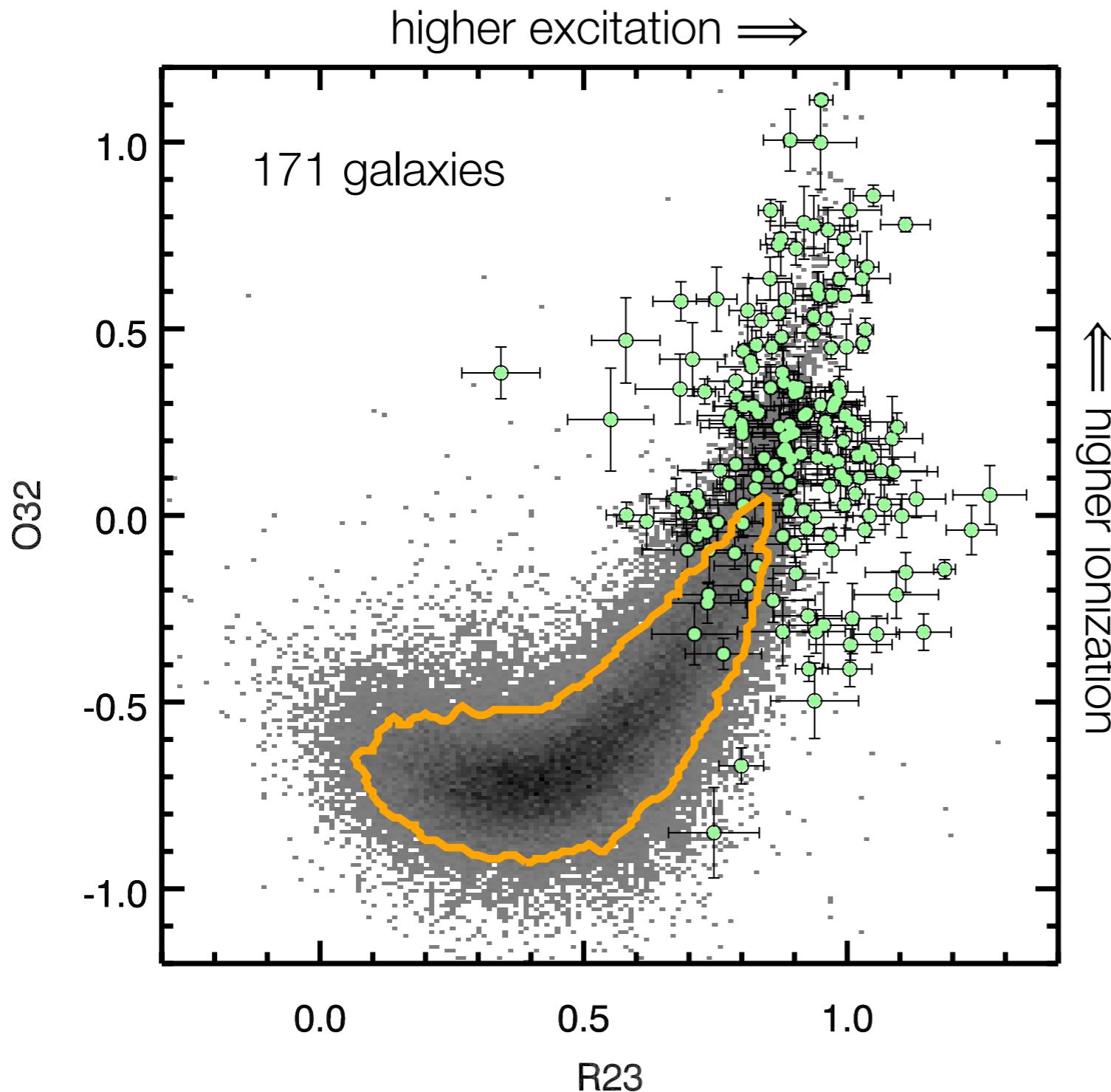


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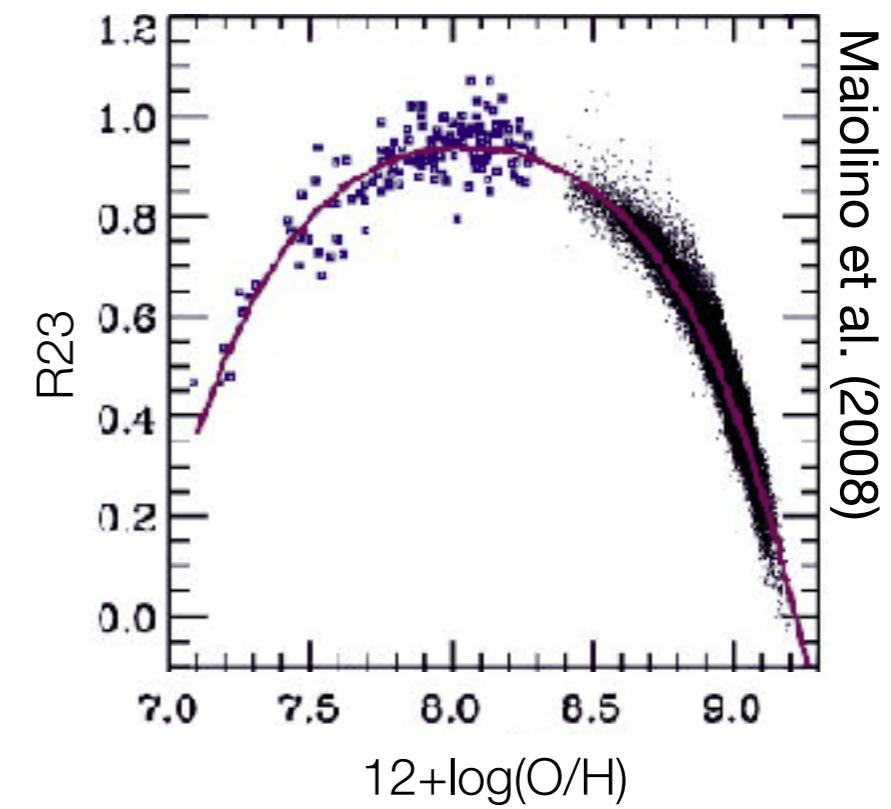


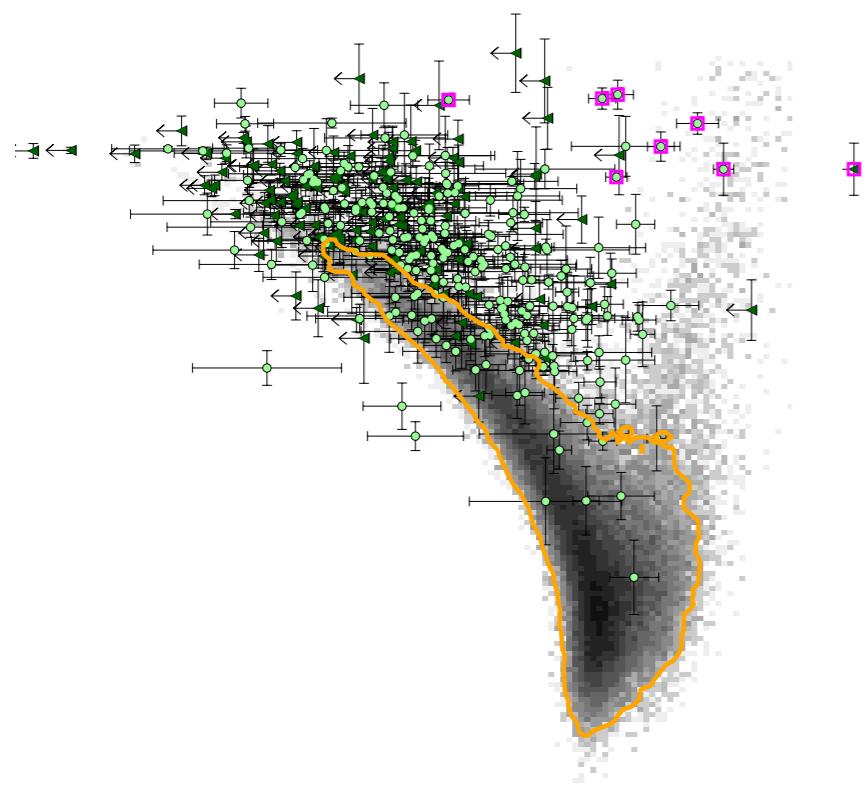
# O32 vs. R23: powerful probes of ionization and excitation



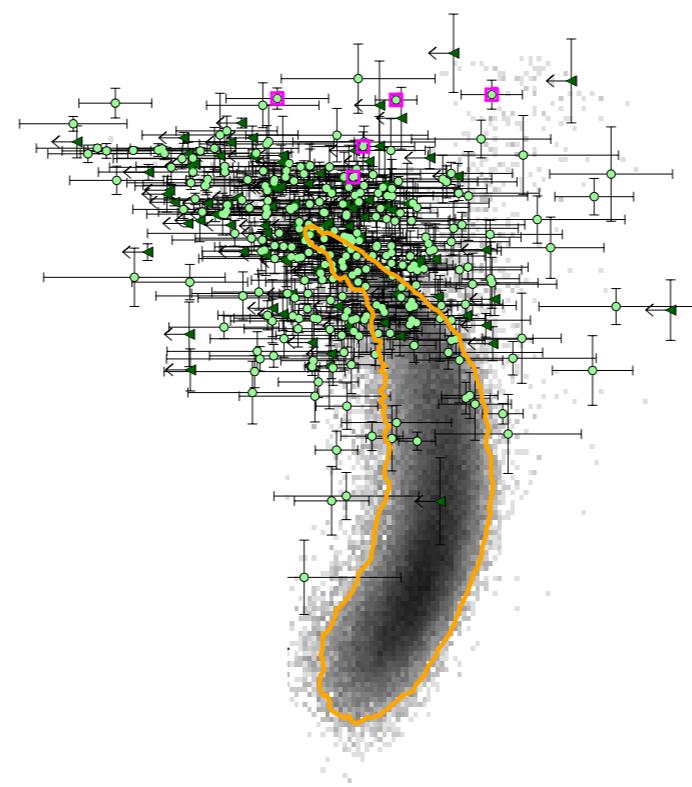
**O32 = [OIII]/[OII]**  
traces ionization state

**R23 = ([OIII]+[OII])/H $\beta$**   
used to estimate oxygen abundance

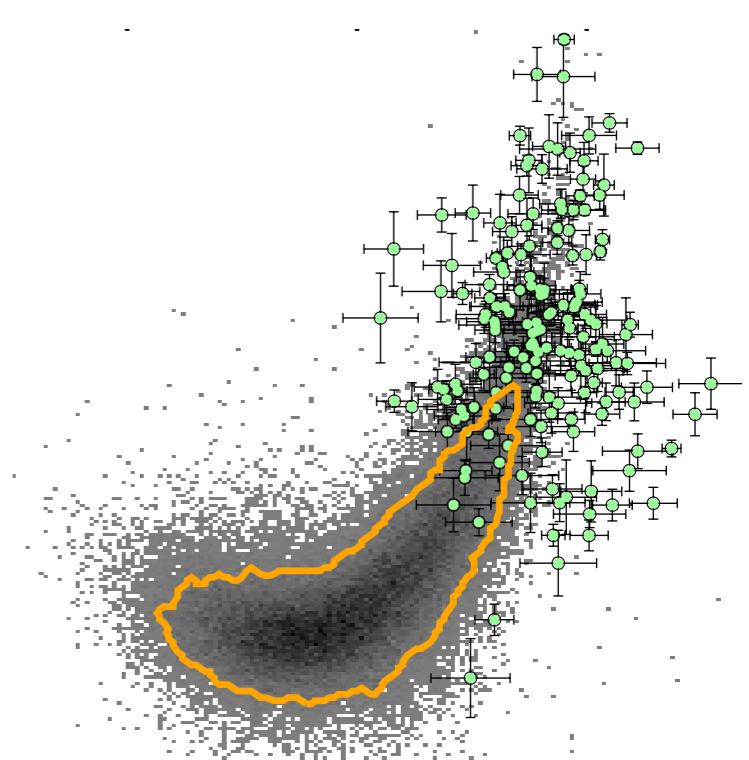




offset



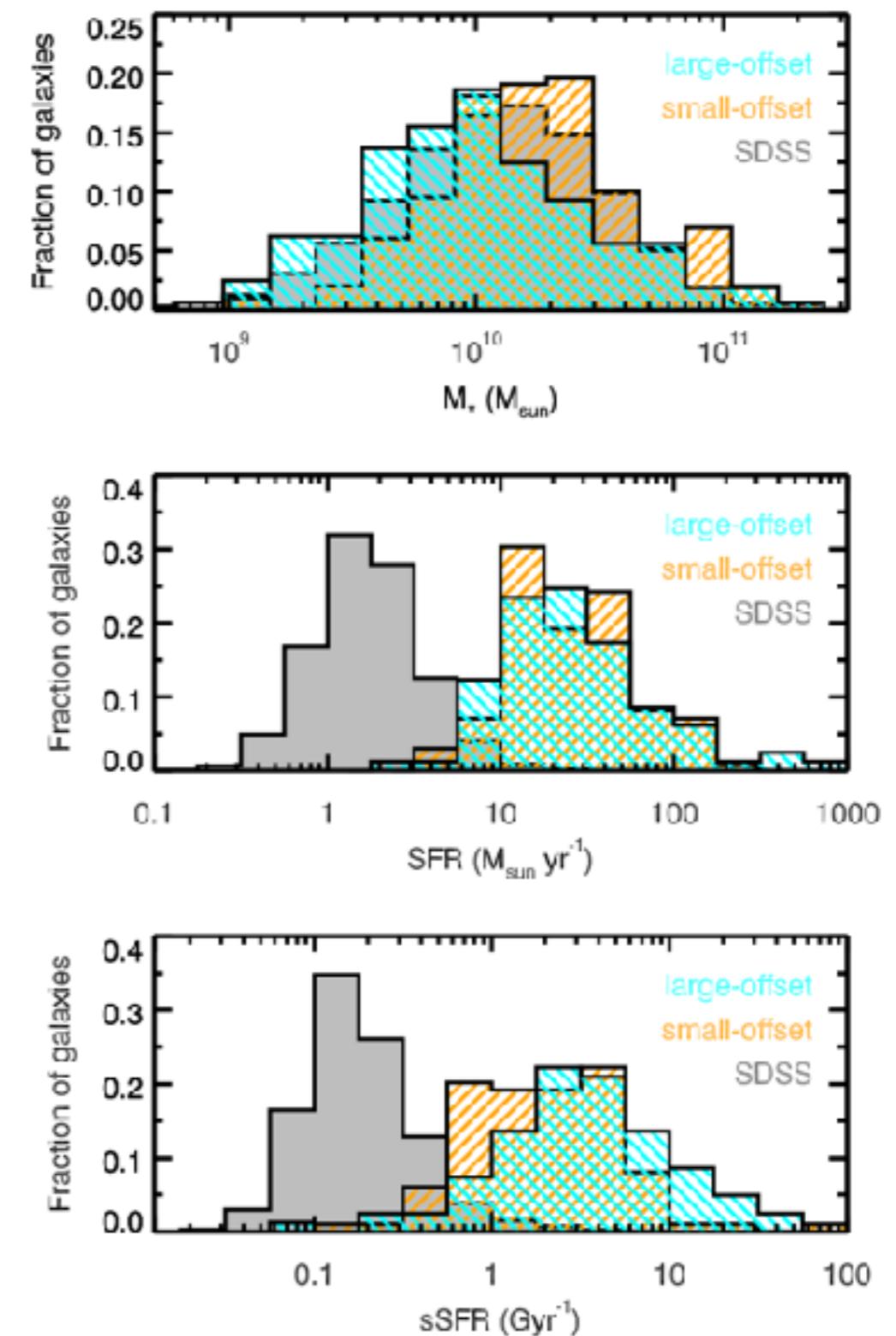
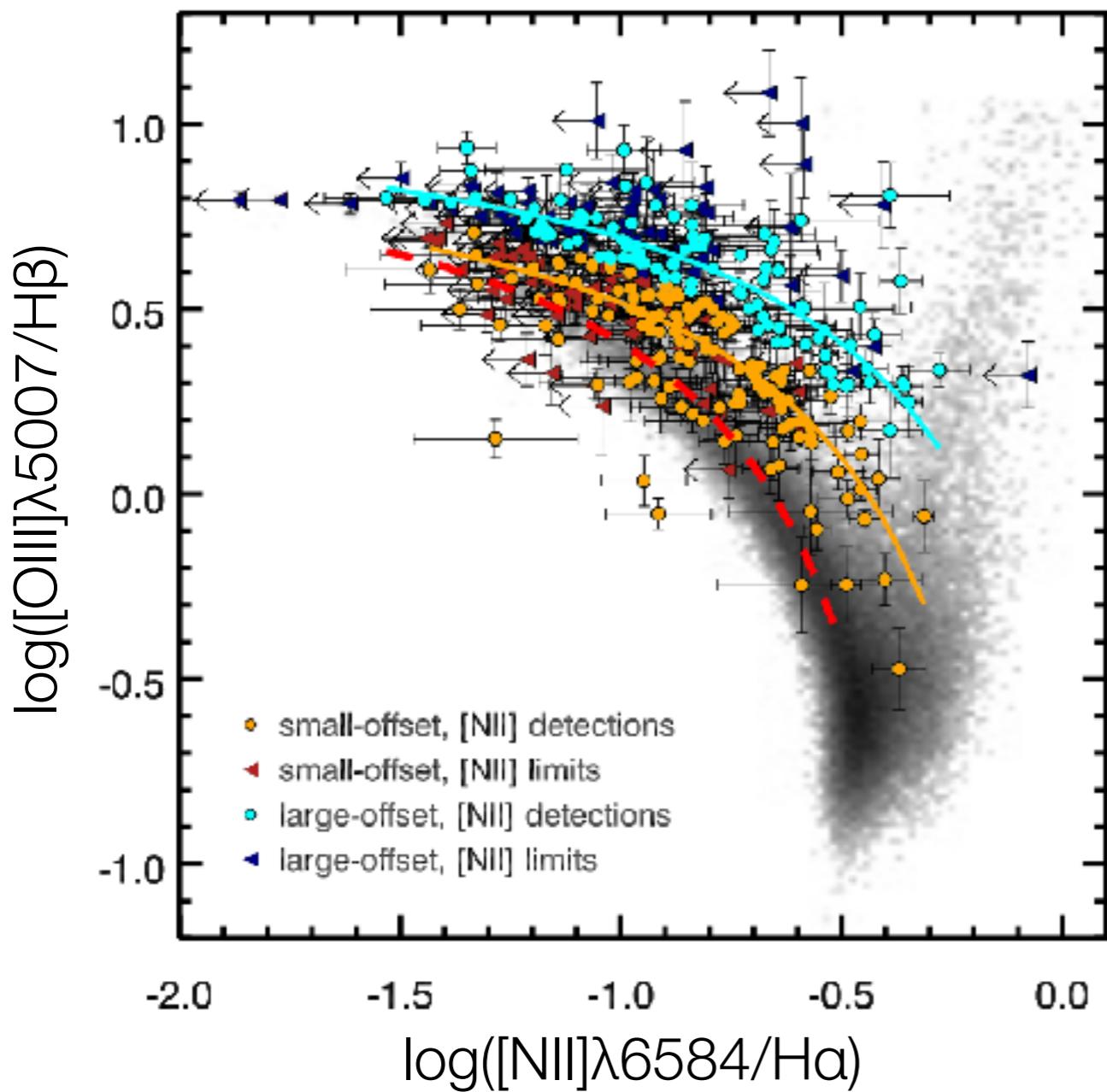
no offset

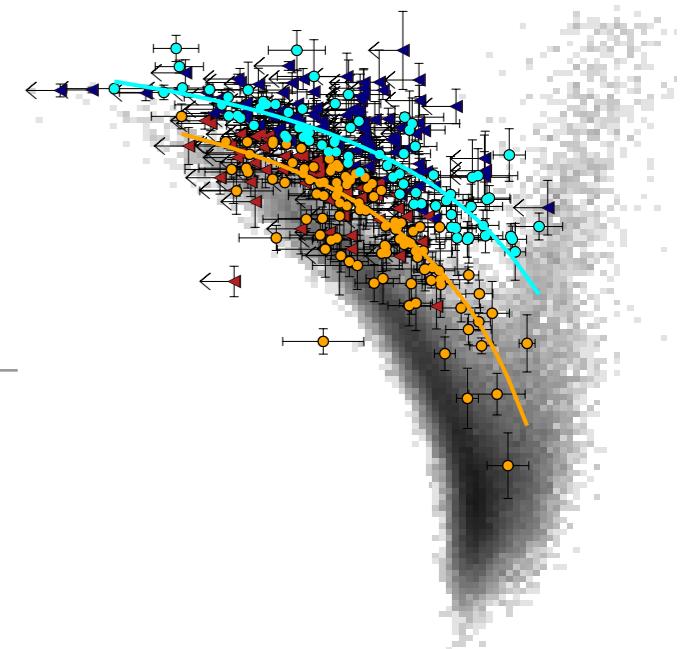


no offset

# Offset from SDSS in the N2-BPT correlates with sSFR

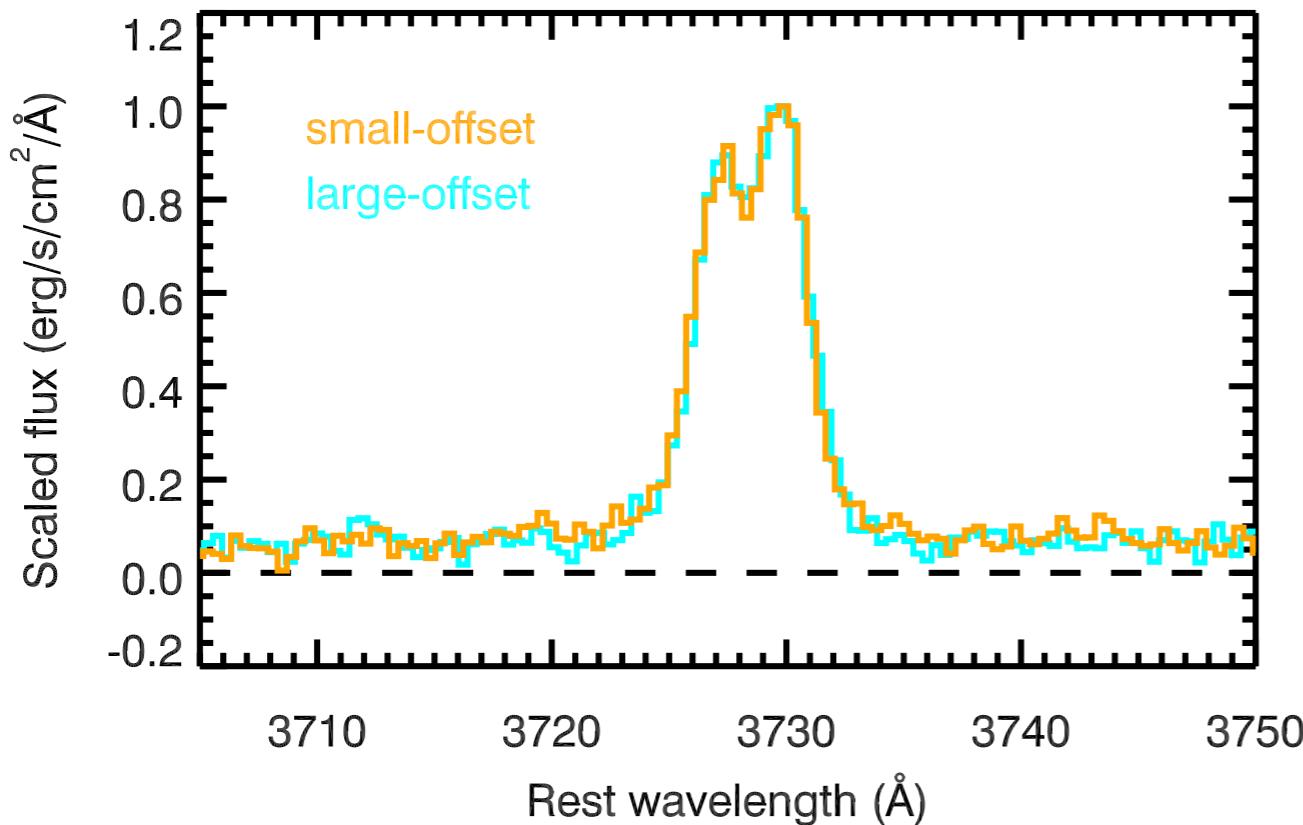
**Strom et al. (2016), arXiv:1608.02587**





KBSS-MOSFIRE galaxies have similar densities

**Strom et al. (2016)**, arXiv:1608.02587



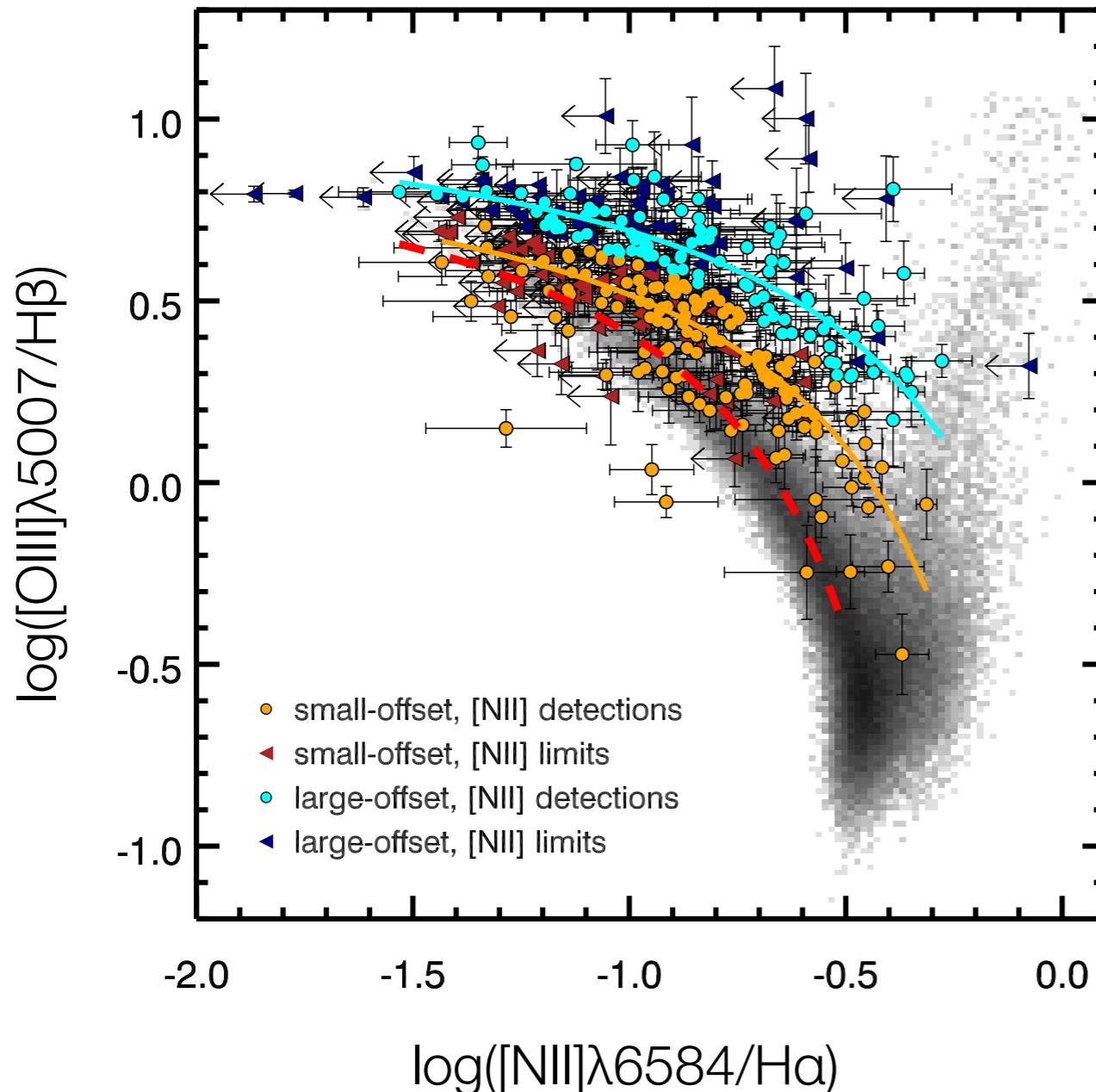
$$n_{e,\text{small}} = 281^{+43}_{-39} \text{ cm}^{-3}$$

$$n_{e,\text{large}} = 267^{+48}_{-43} \text{ cm}^{-3}$$

using diagnostic relation  
from Sanders et al. (2016)

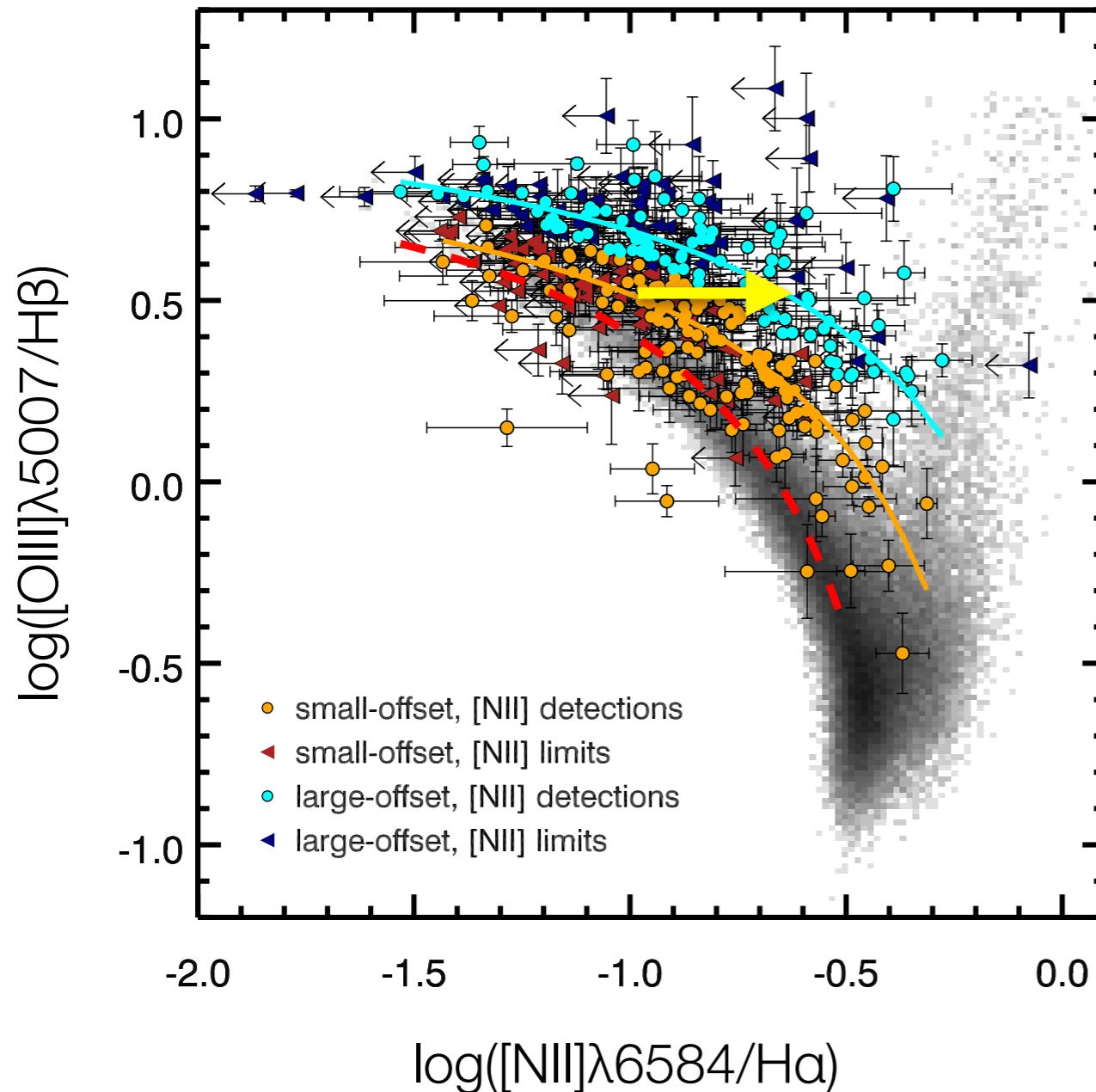
# *Changes in excitation necessary to explain differences*

**Strom et al. (2016), arXiv:1608.02587**



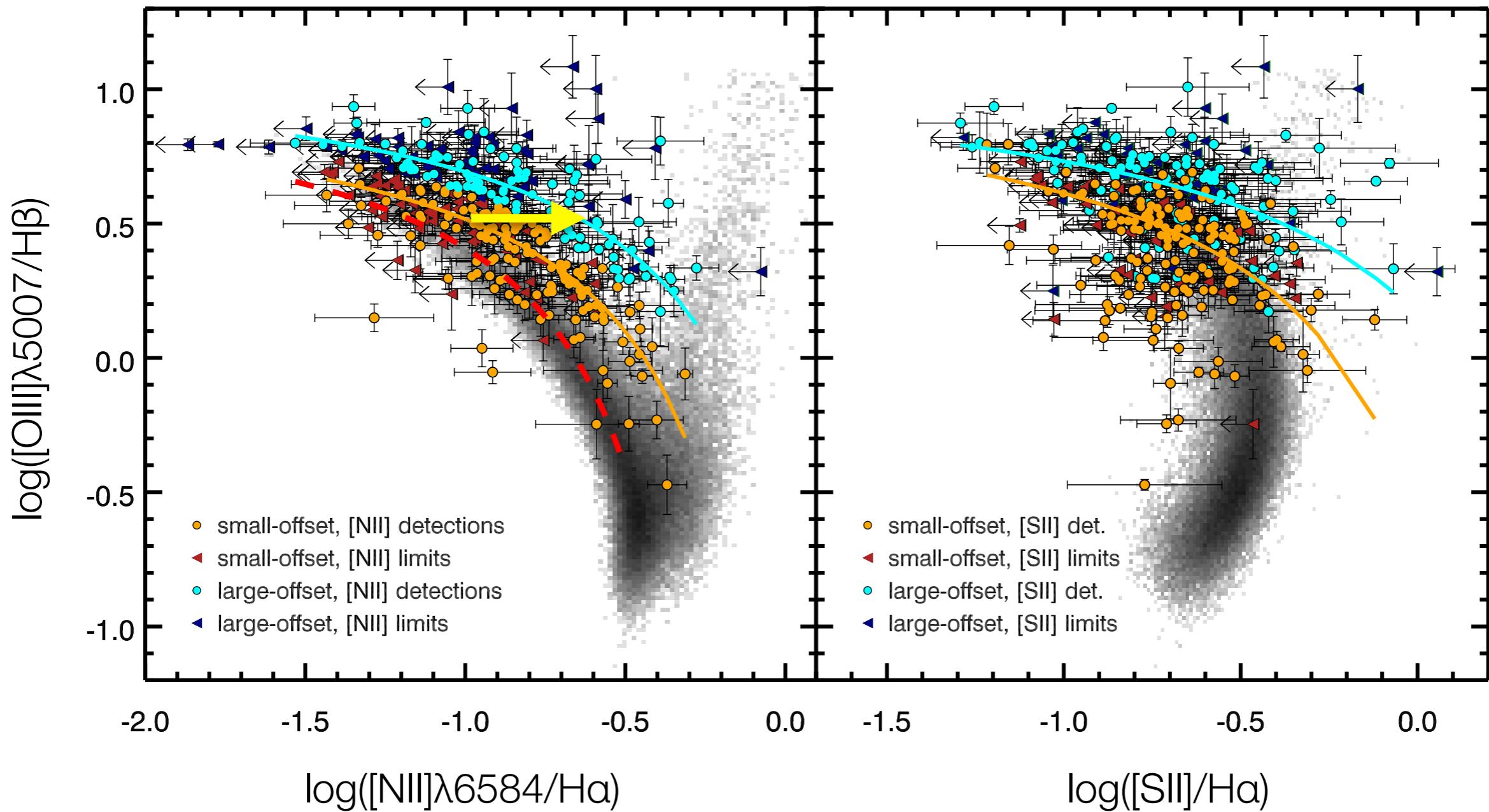
# *Changes in excitation necessary to explain differences*

**Strom et al. (2016), arXiv:1608.02587**



# Changes in excitation necessary to explain differences

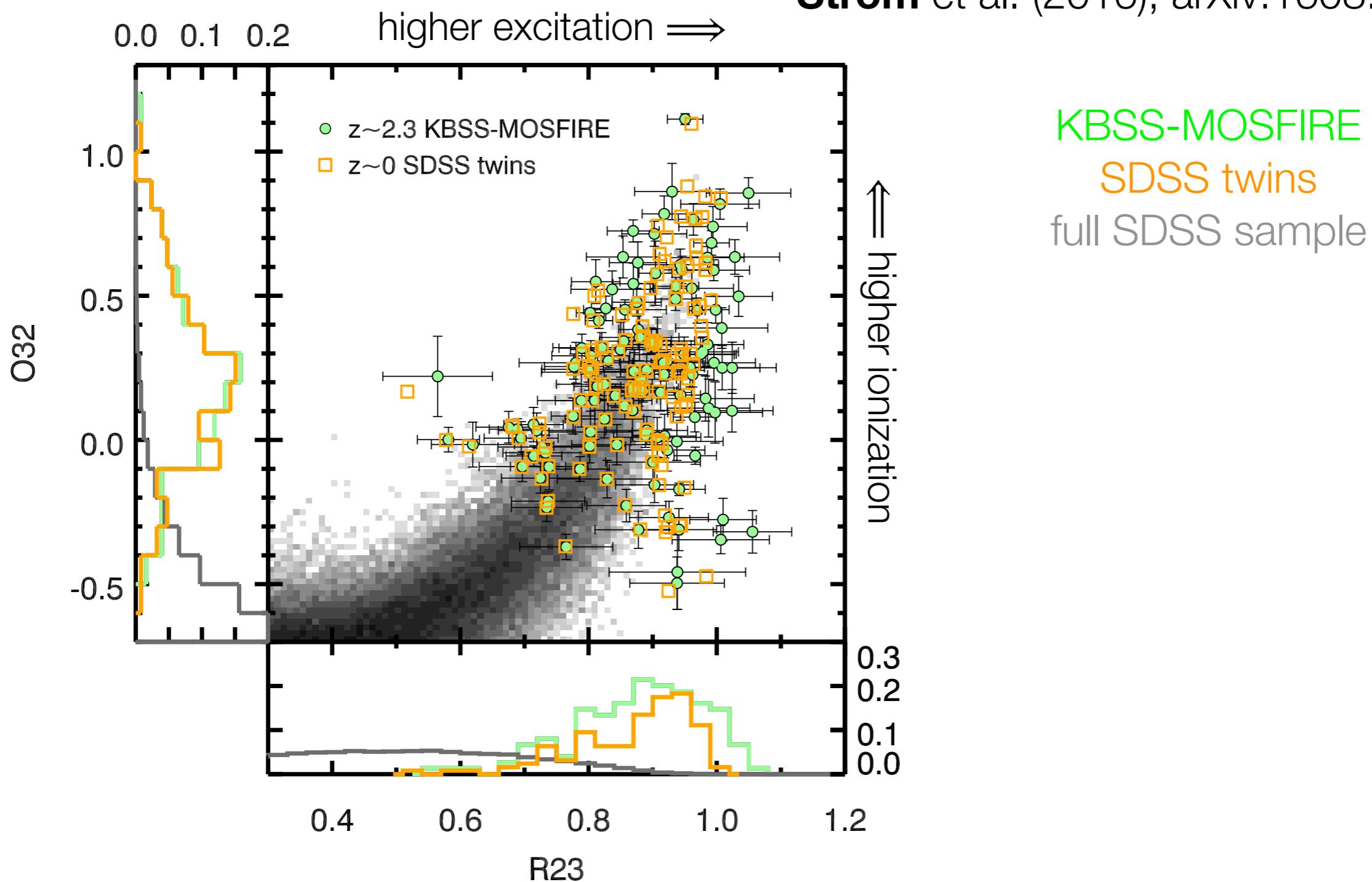
**Strom et al. (2016), arXiv:1608.02587**



*But do differences in nebular  
excitation explain everything?*

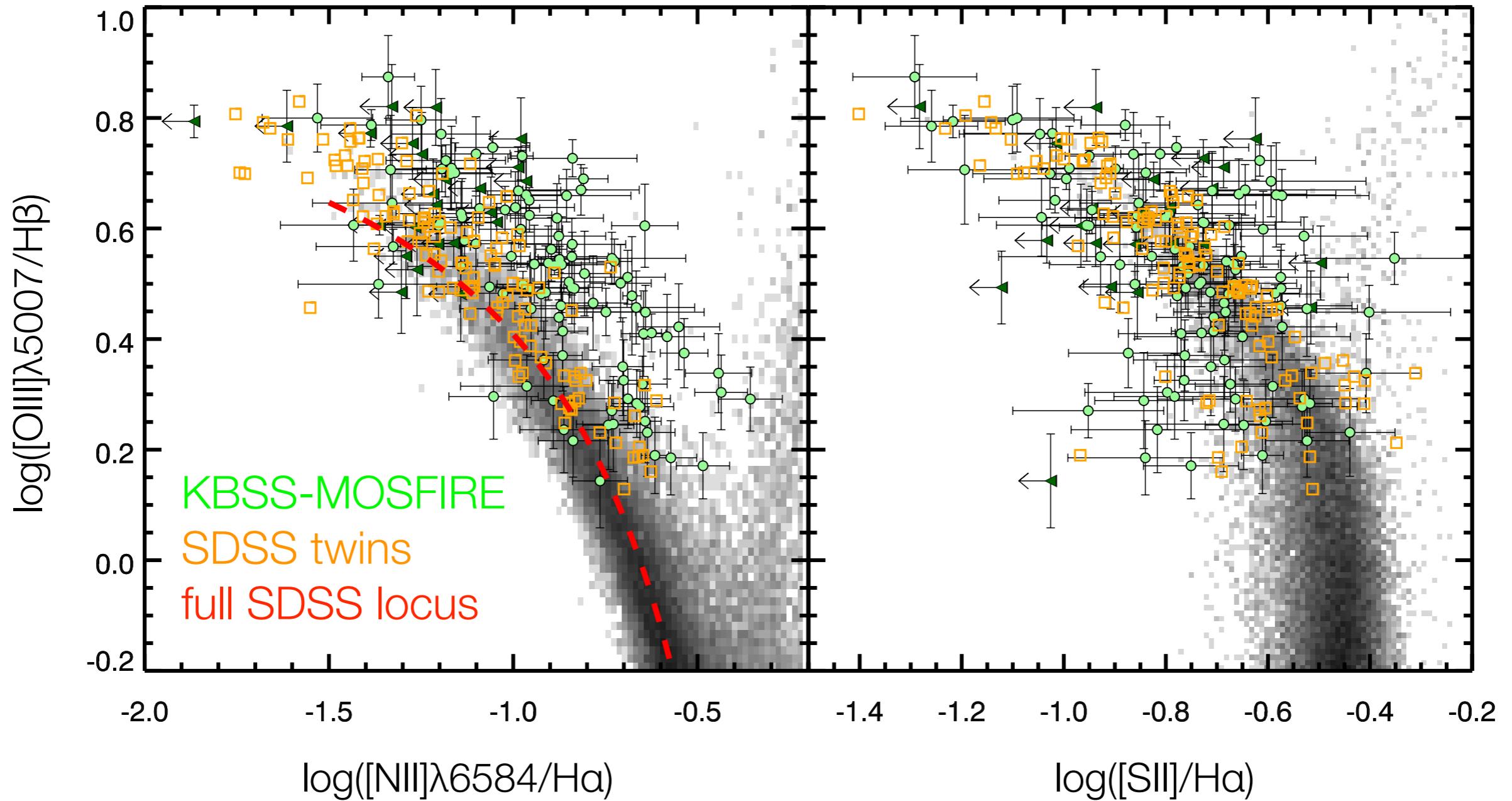
# SDSS “twins” selected to match KBSS-MOSFIRE in O32 and R23

**Strom et al. (2016), arXiv:1608.02587**



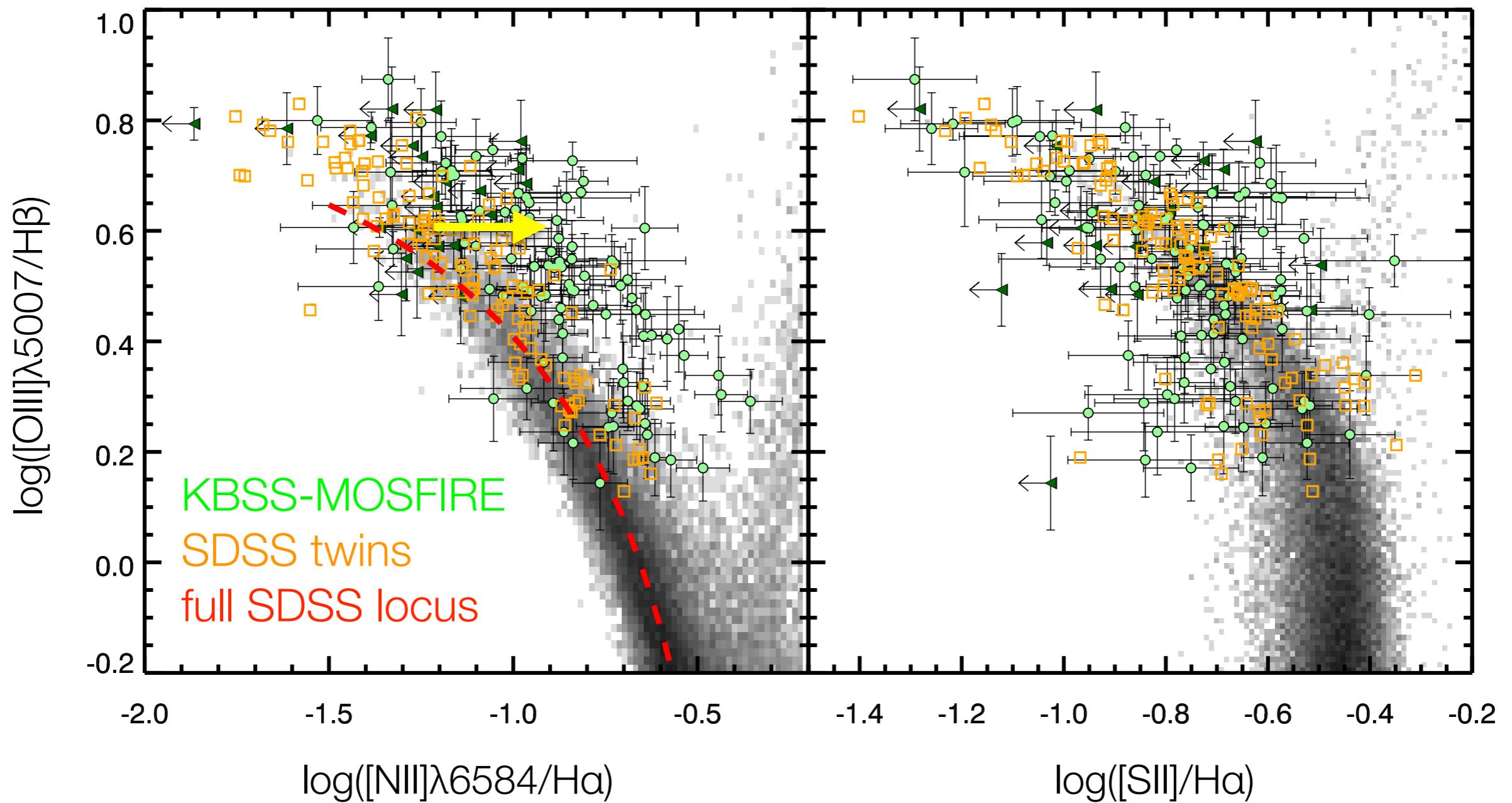
# High-z galaxies still exhibit offset in N2 BPT

**Strom et al. (2016), arXiv:1608.02587**

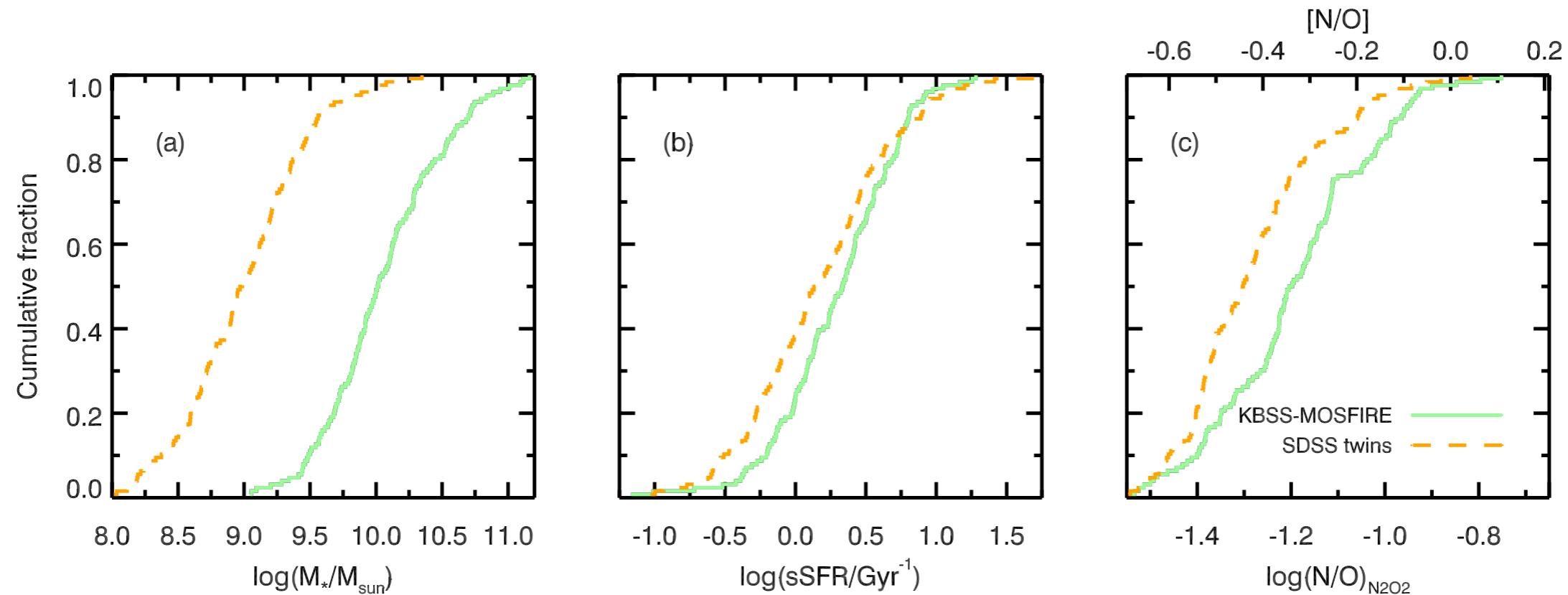


# High-z galaxies still exhibit offset in N2 BPT

**Strom et al. (2016), arXiv:1608.02587**



# Offset consistent with a small increase in N/O



KBSS-MOSFIRE galaxies...

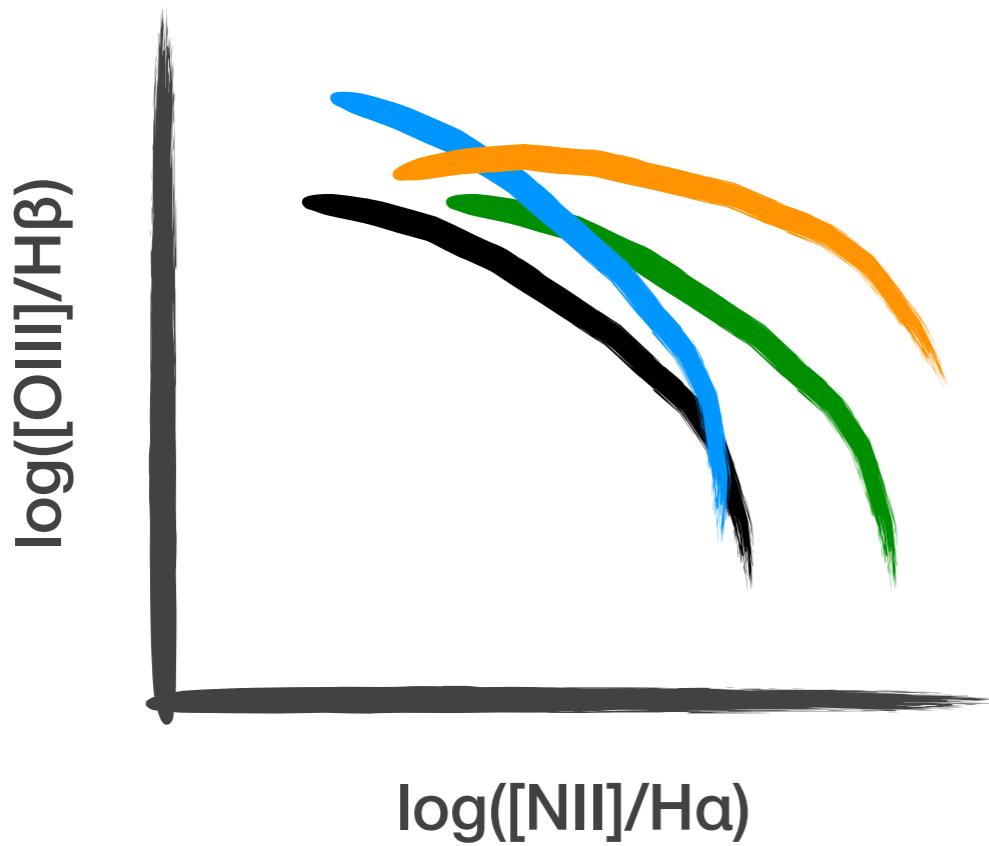
are 10 times more massive than SDSS twins

have higher sSFRs than SDSS twins

have higher N/O ratios than SDSS twins, but not enough to explain offset!

*What is different about z~2-3 galaxies?*

---



***enhanced N/O at fixed O/H***

difference in N/O for KBSS galaxies  
and SDSS twins <60% of offset

***more ionizing photons***

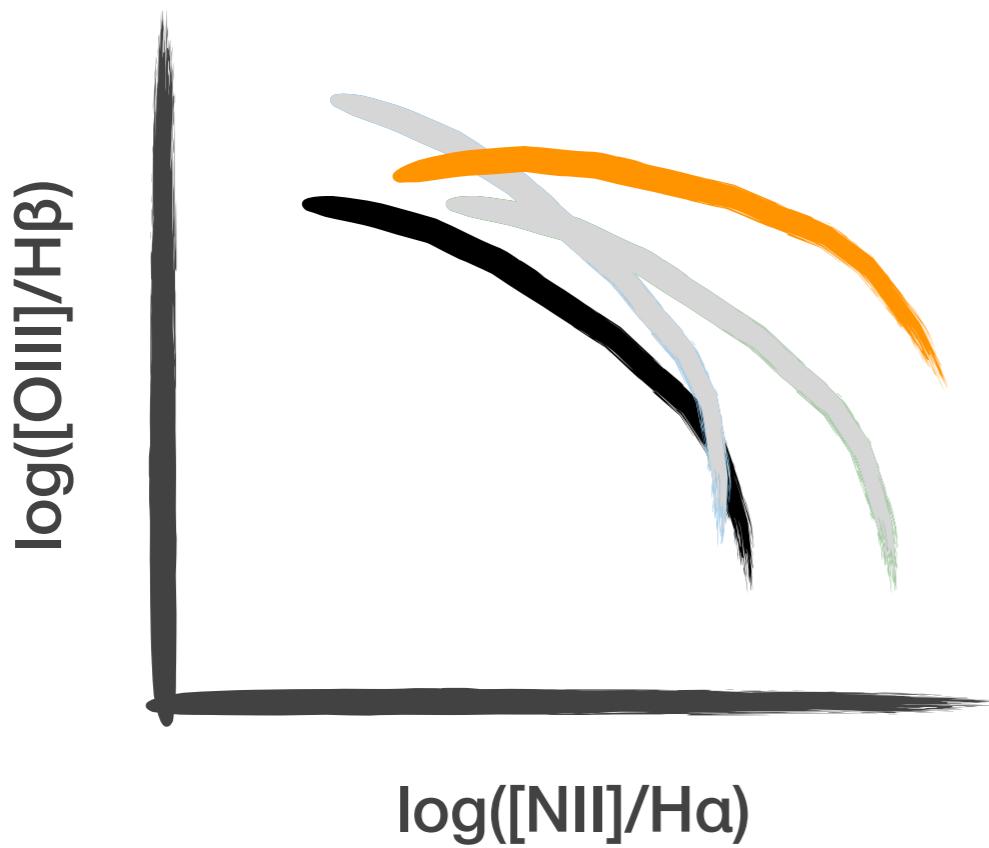
will increase O32, but cannot explain  
high [OIII]/Hβ and R23 at same time

***harder ionizing radiation***

can explain offset in [OIII]/Hβ and  
large values of R23 at fixed O32

# What is different about $z \sim 2-3$ galaxies?

---



***enhanced N/O at fixed O/H***

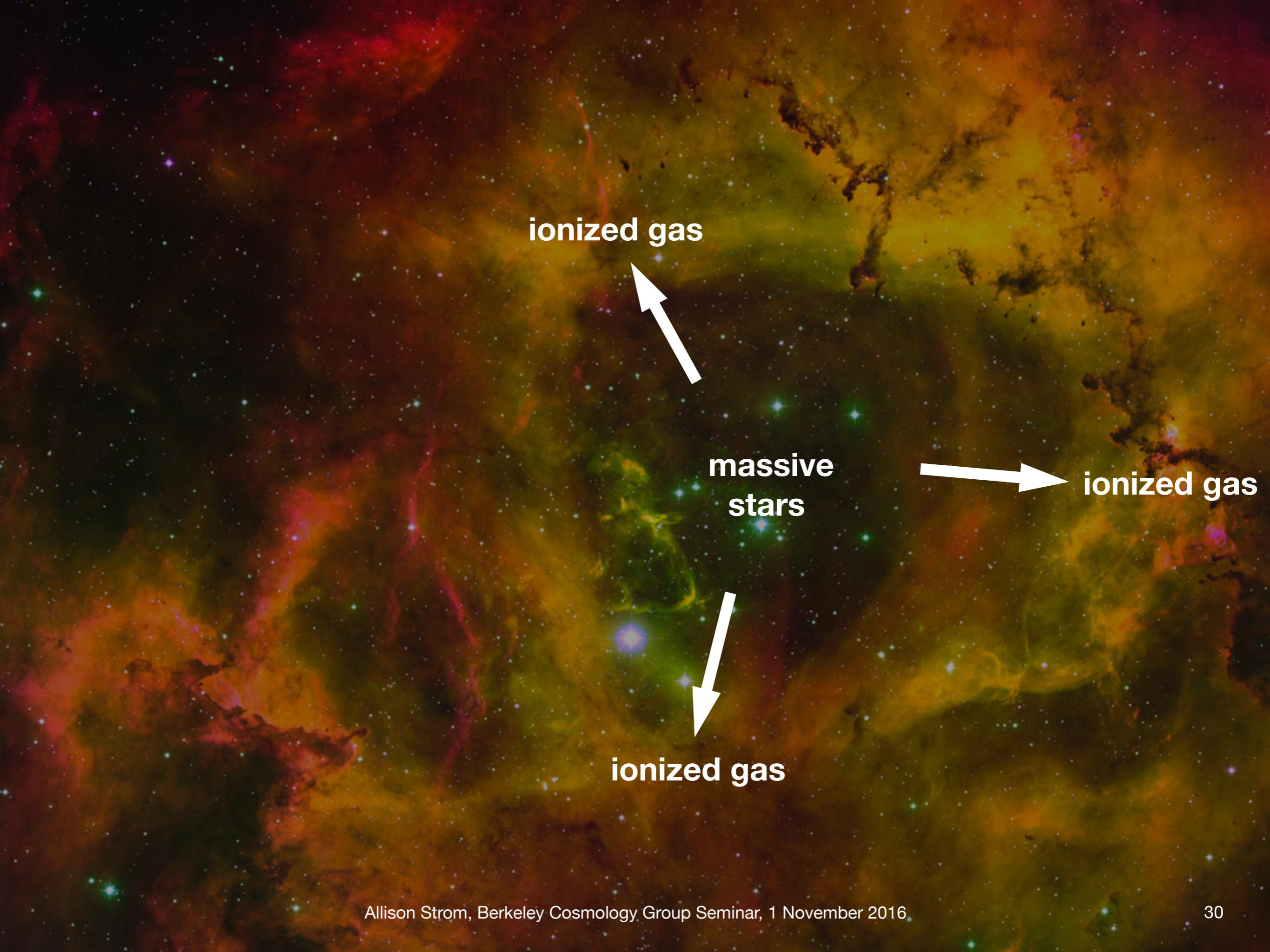
difference in N/O for KBSS galaxies  
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will increase O32, but cannot explain  
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***harder ionizing radiation***

can explain offset in [OIII]/Hβ and  
large values of R23 at fixed O32



ionized gas

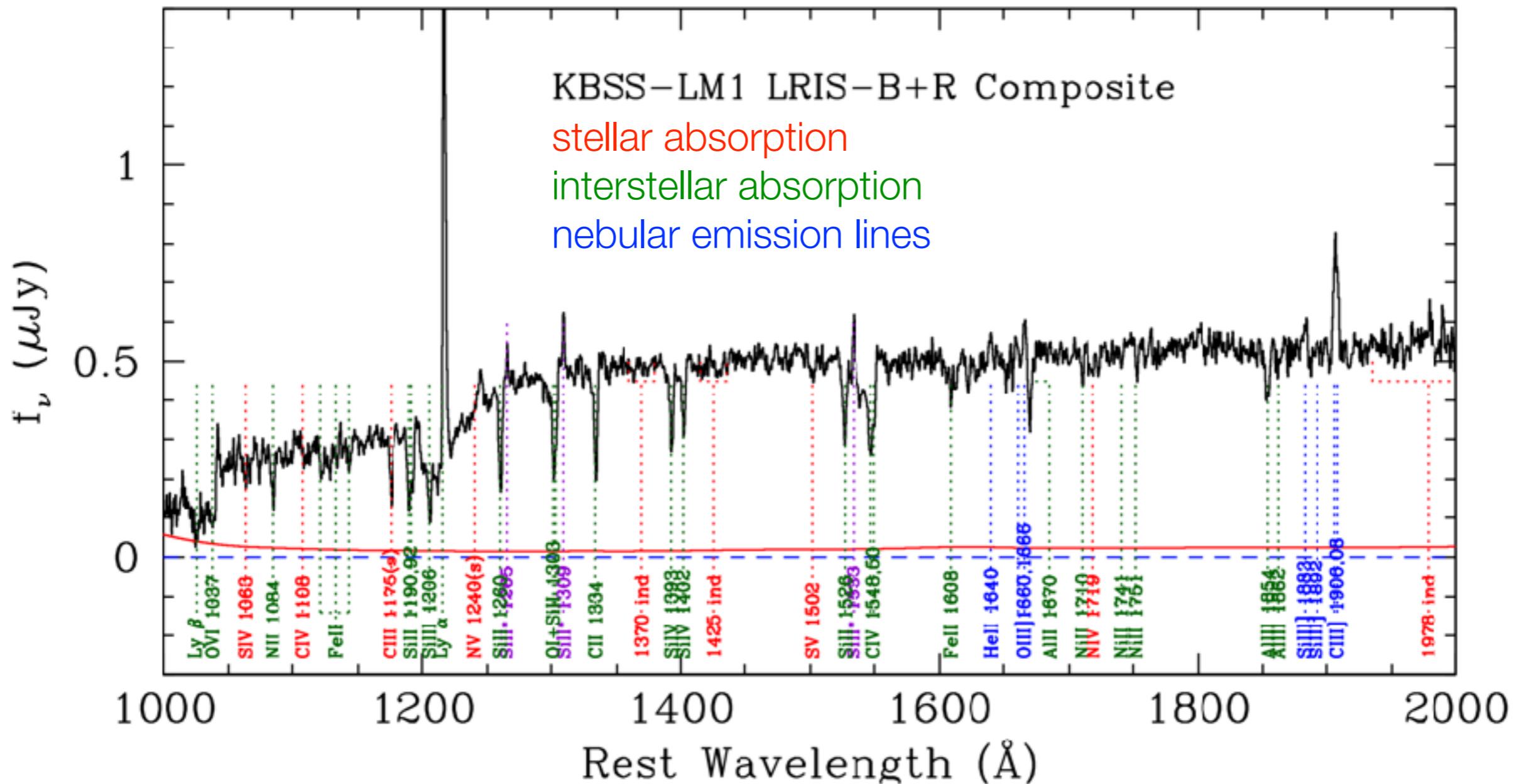
massive  
stars

ionized gas

ionized gas

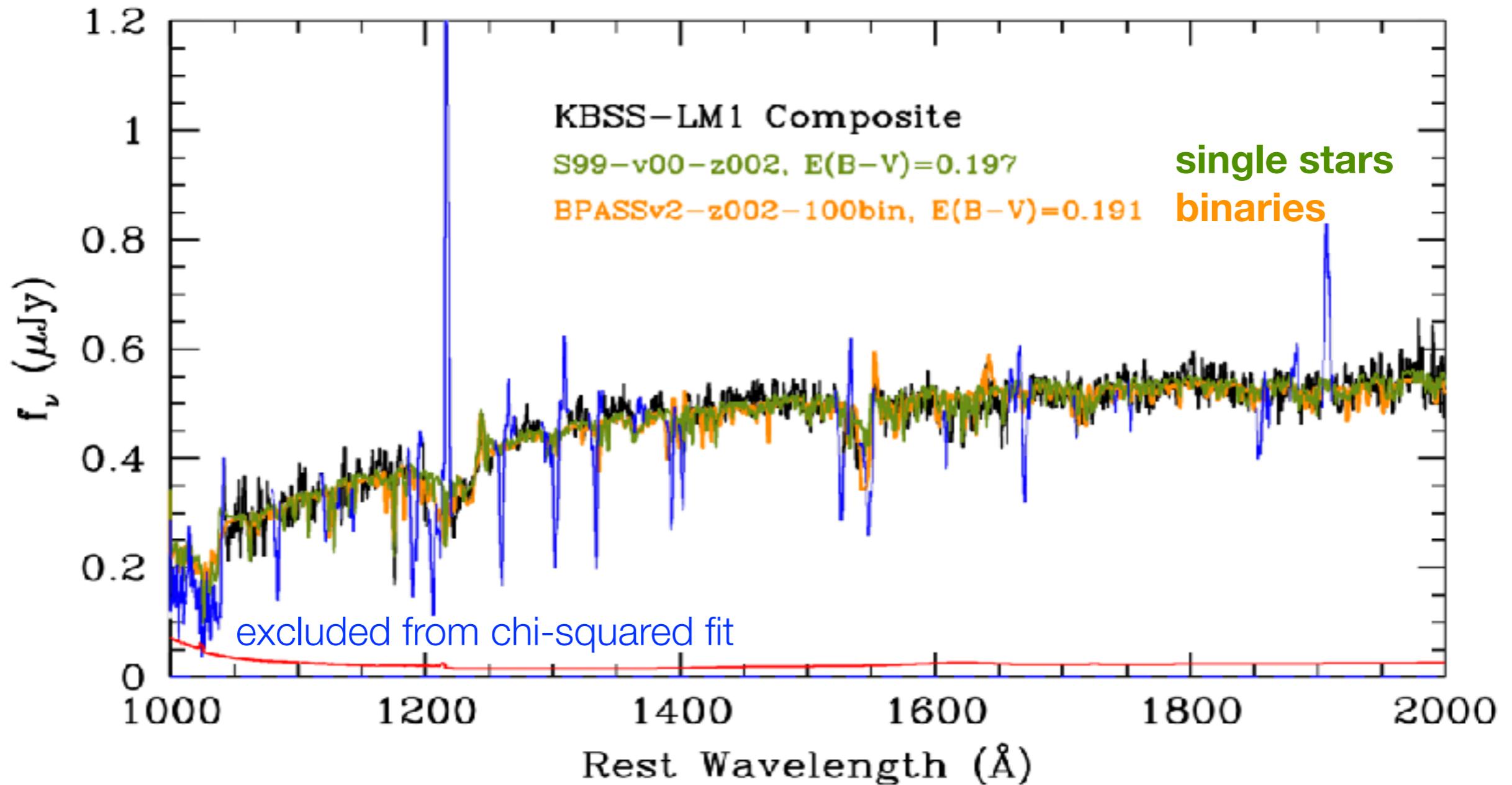
# Far-UV continuum of $z \sim 2$ -3 star-forming galaxies

Steidel, **Strom**, et al. (2016)



# Signatures of massive stars in $z \sim 2-3$ galaxies

Steidel, **Strom**, et al. (2016)

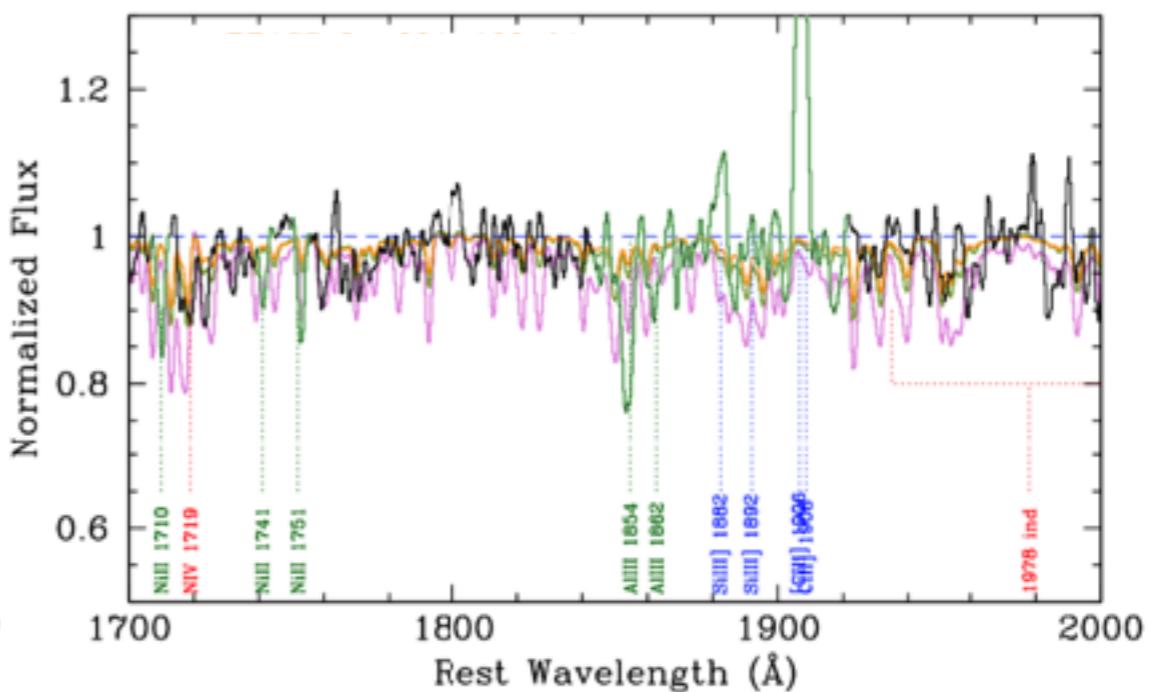
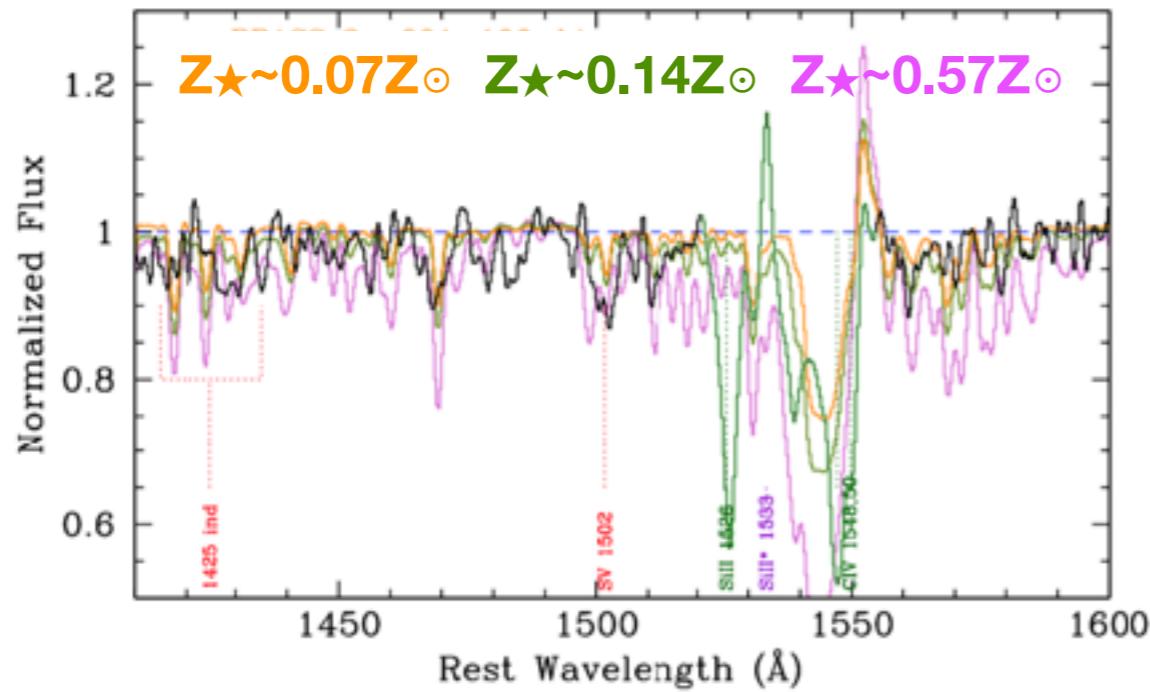
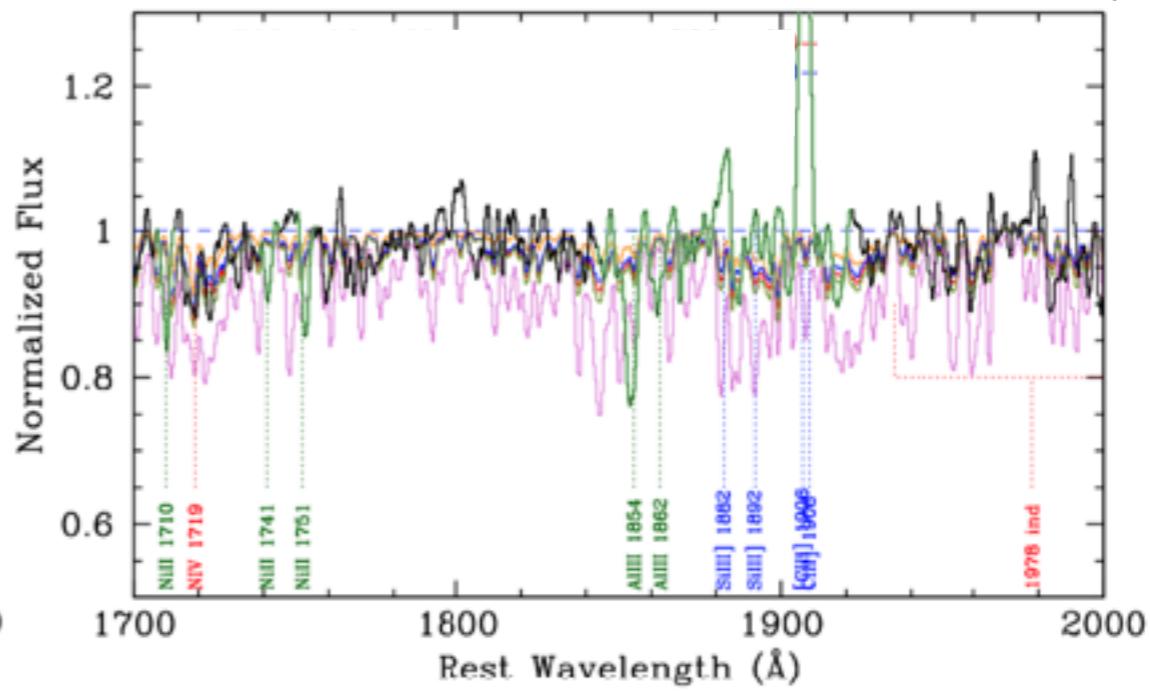
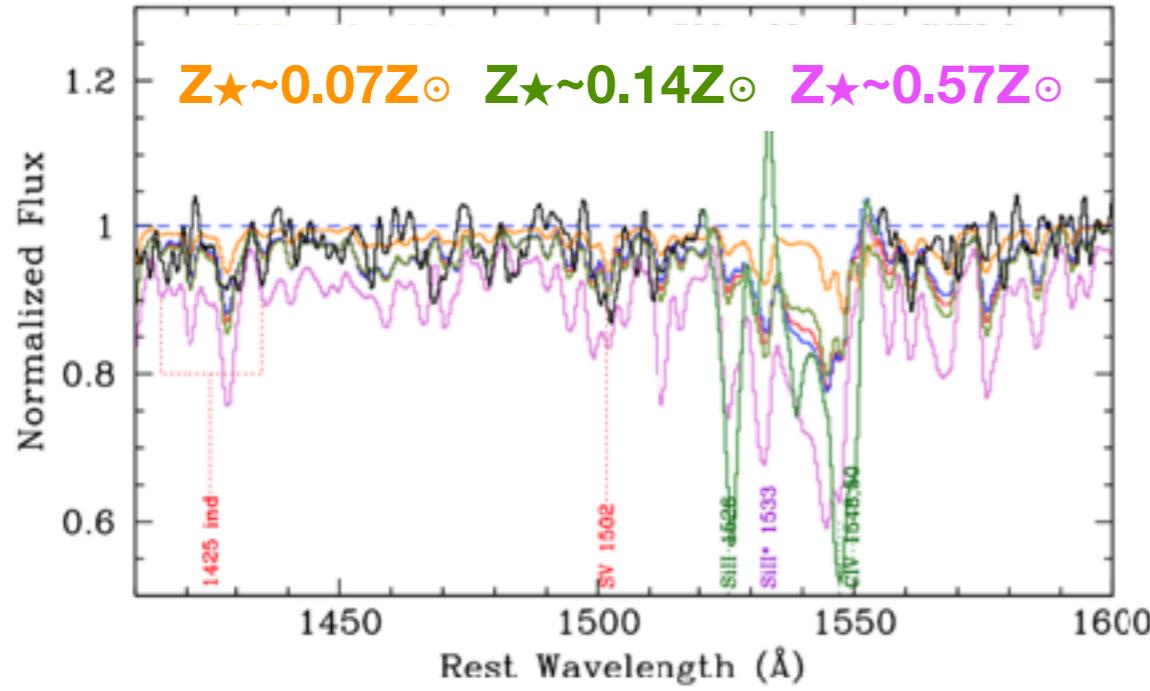


The non-ionizing UV continuum indicates Fe-poor stellar populations

# Signatures of massive stars in $z \sim 2-3$ galaxies

Steidel, Strom, et al. (2016)

Starburst99



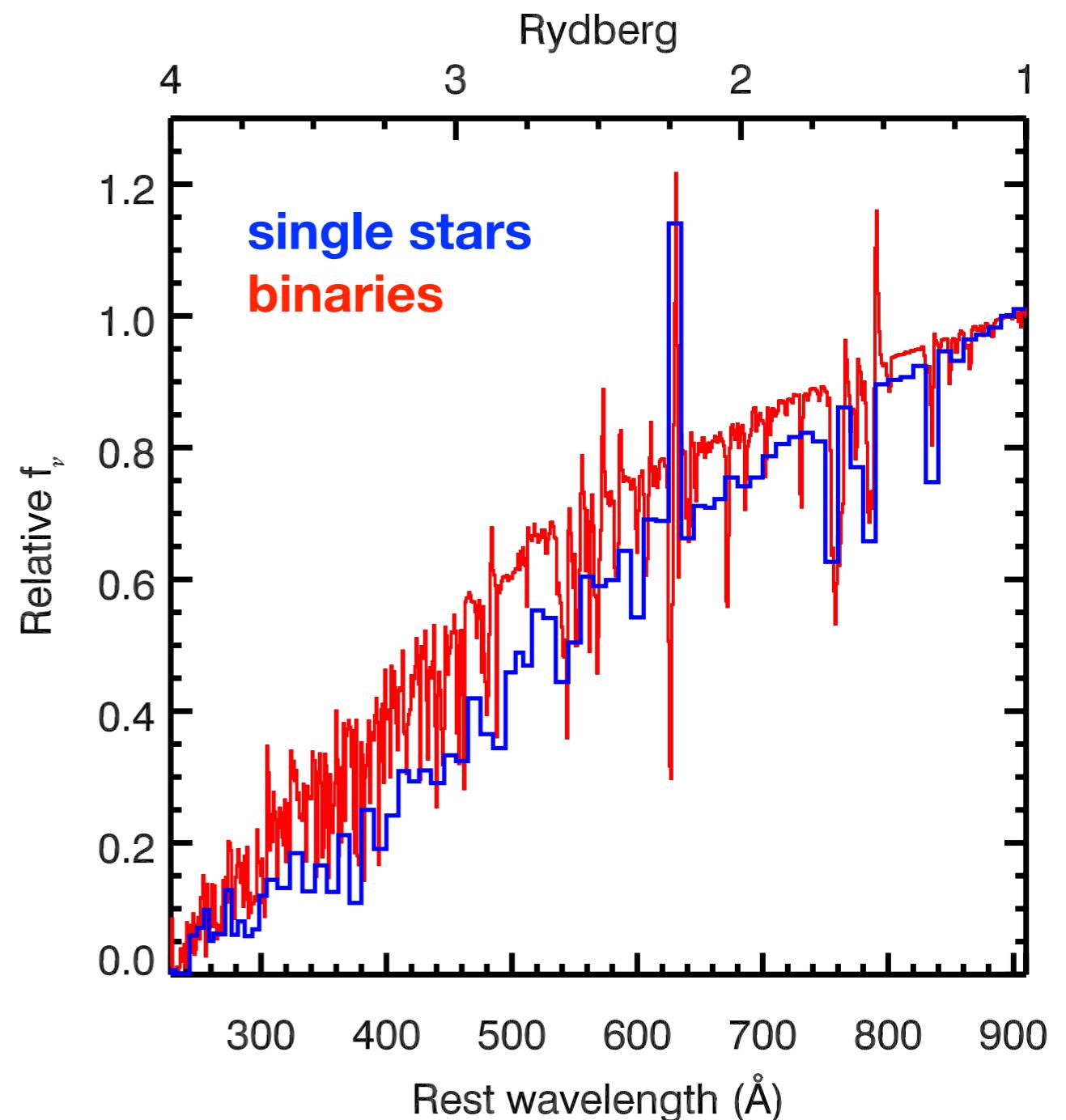
# To binary or not to binary

Sana et al. (2012): 50% of massive stars in 30 Dor show evidence of interaction with another star

Effects of binary evolution include

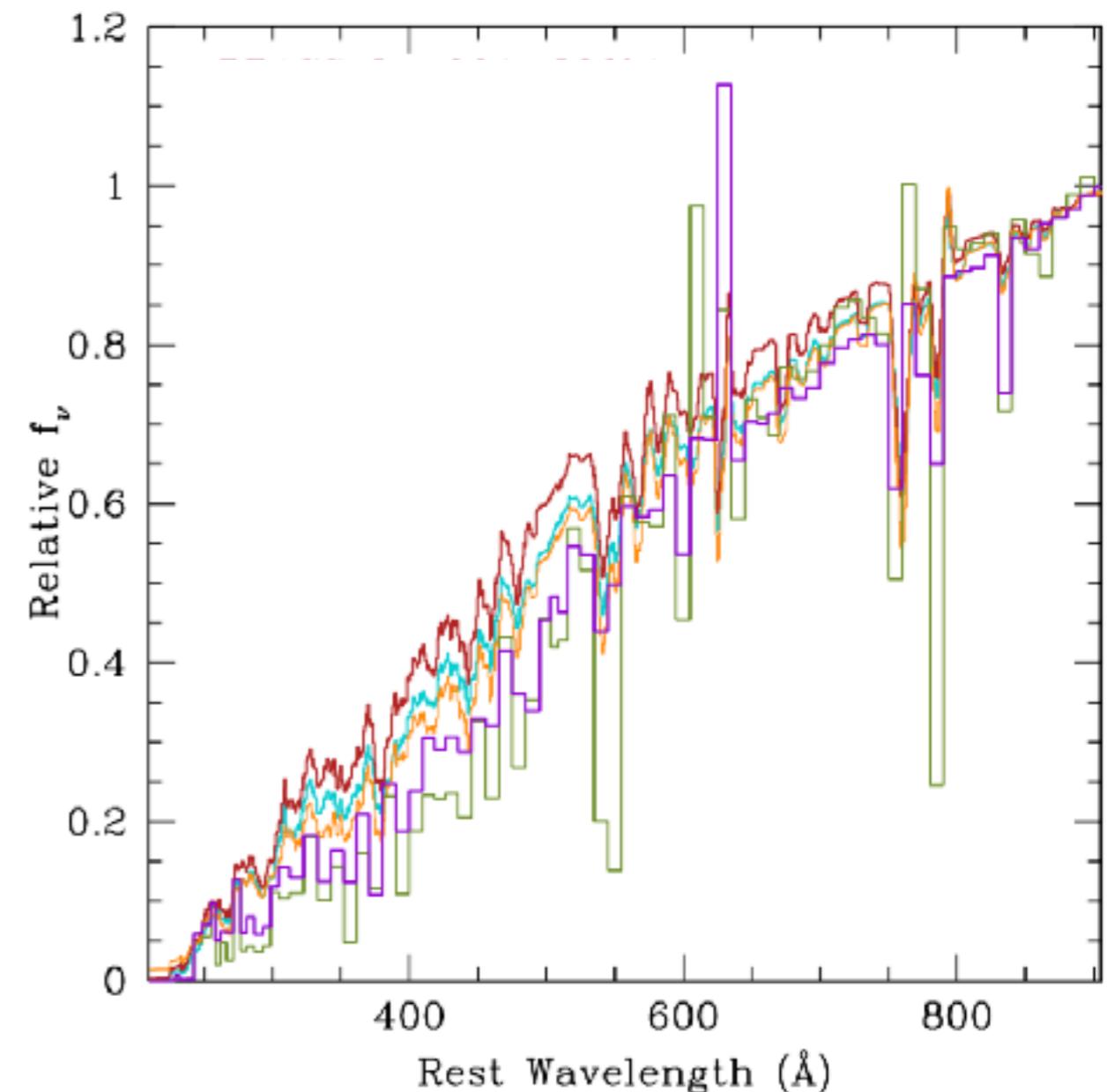
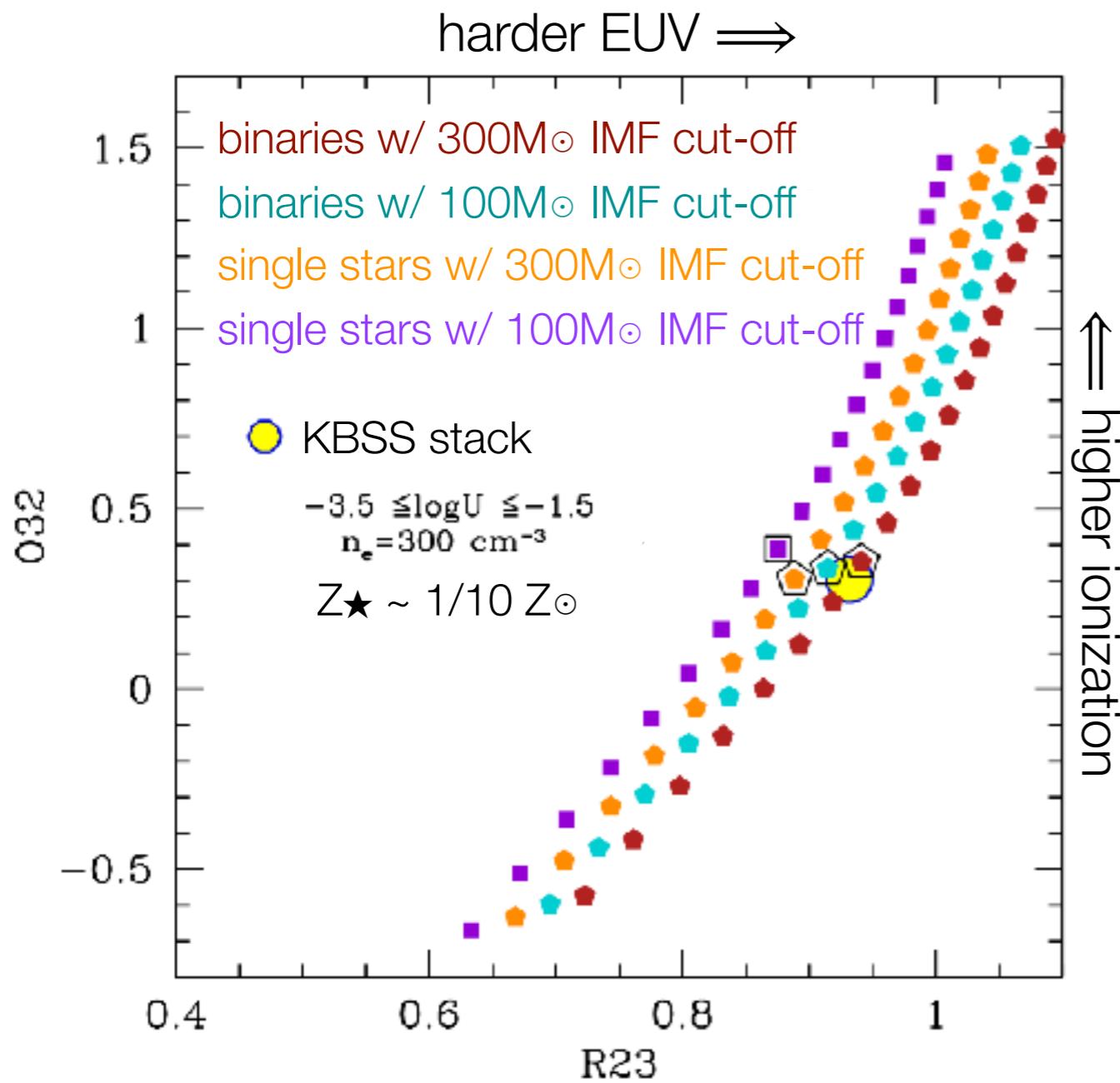
1. Mass transfer between close companions
2. Extended main sequence lifetimes
3. Tendency for stars to become hotter as they evolve

Changes EUV output of stellar population without changes to IMF



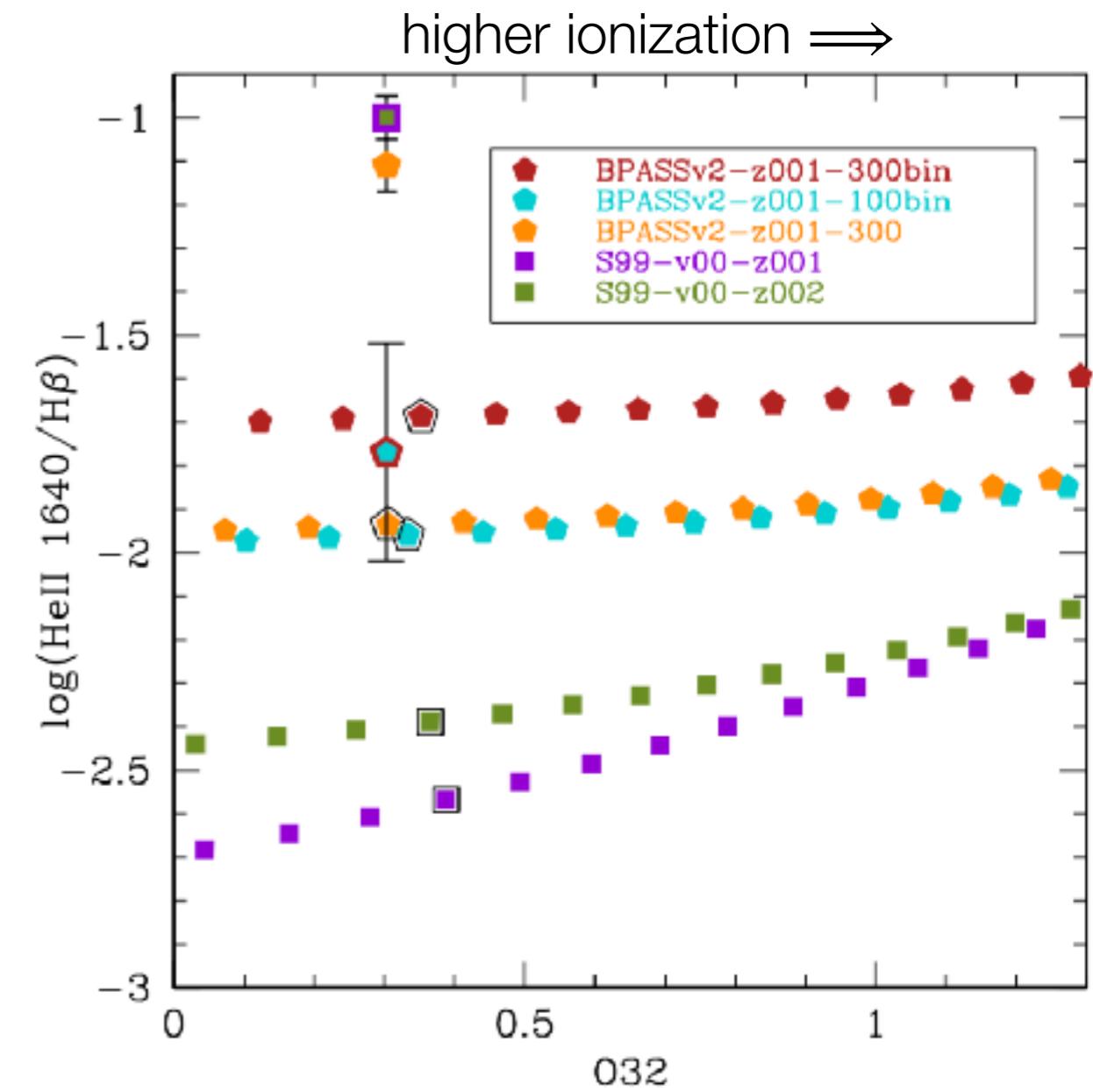
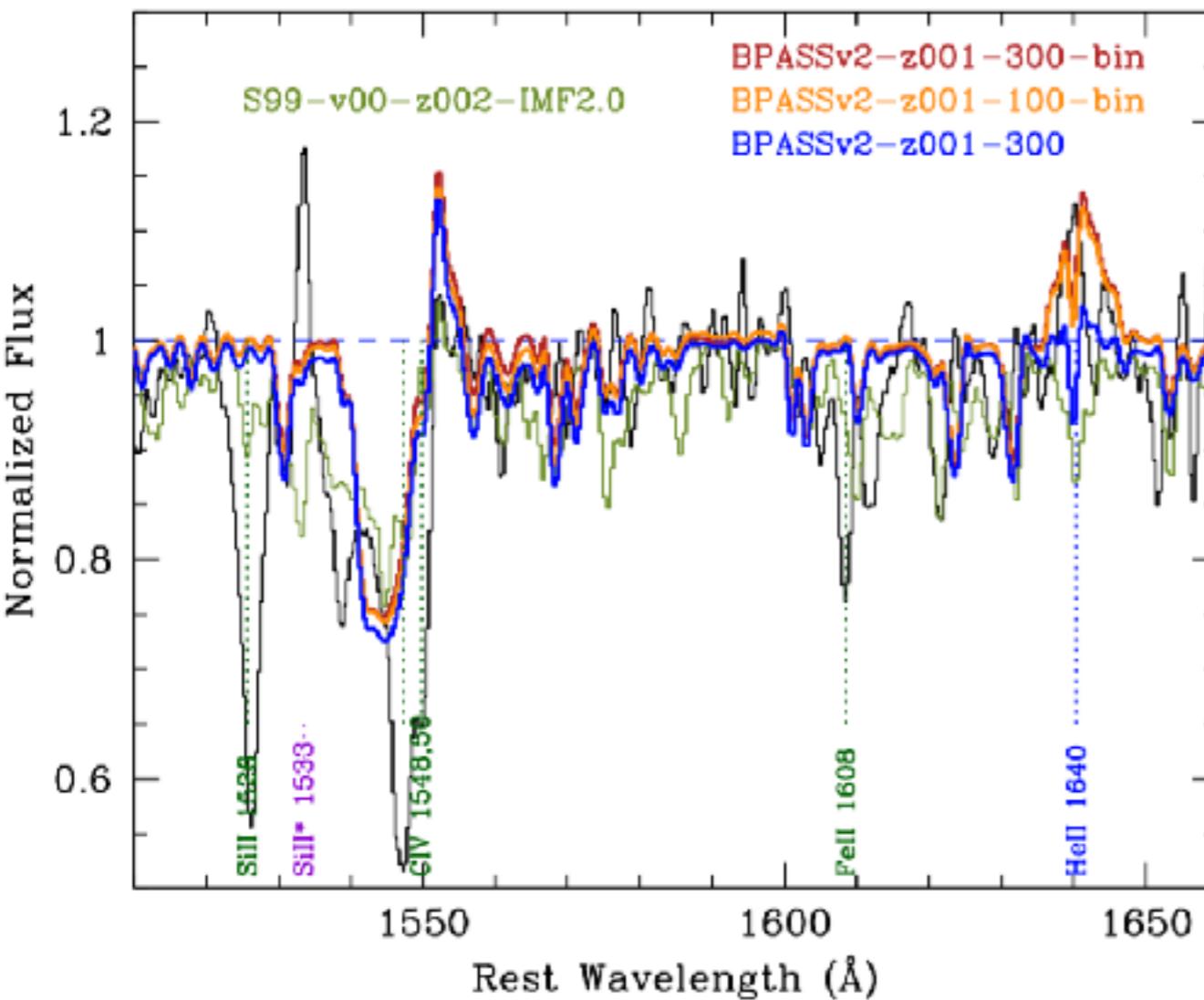
# Evidence for binary stars: high R23 at fixed O32

Steidel, **Strom**, et al. (2016)



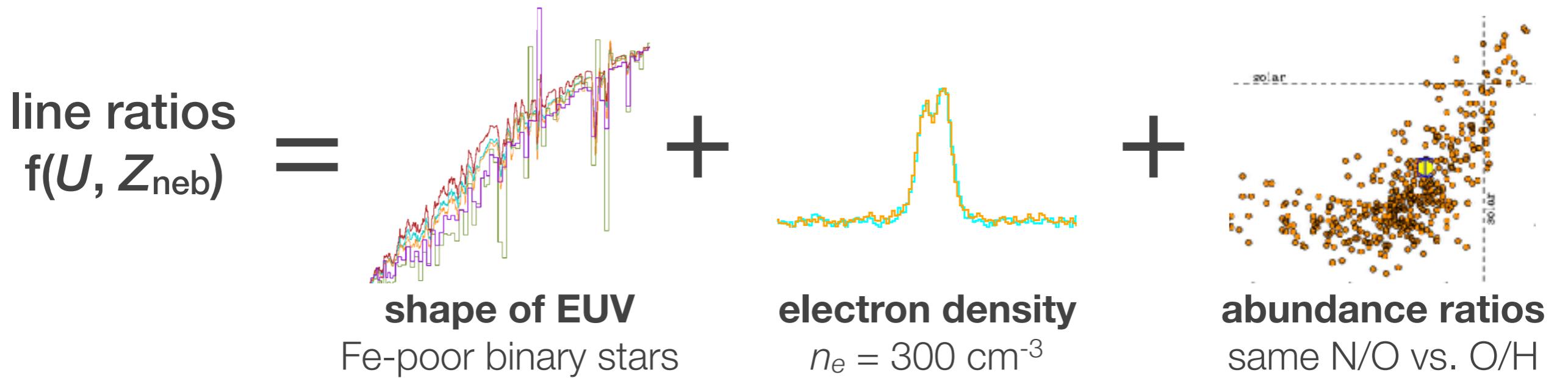
# Evidence for binary stars: stellar Hell $\lambda 1640$

Steidel, Strom, et al. (2016)

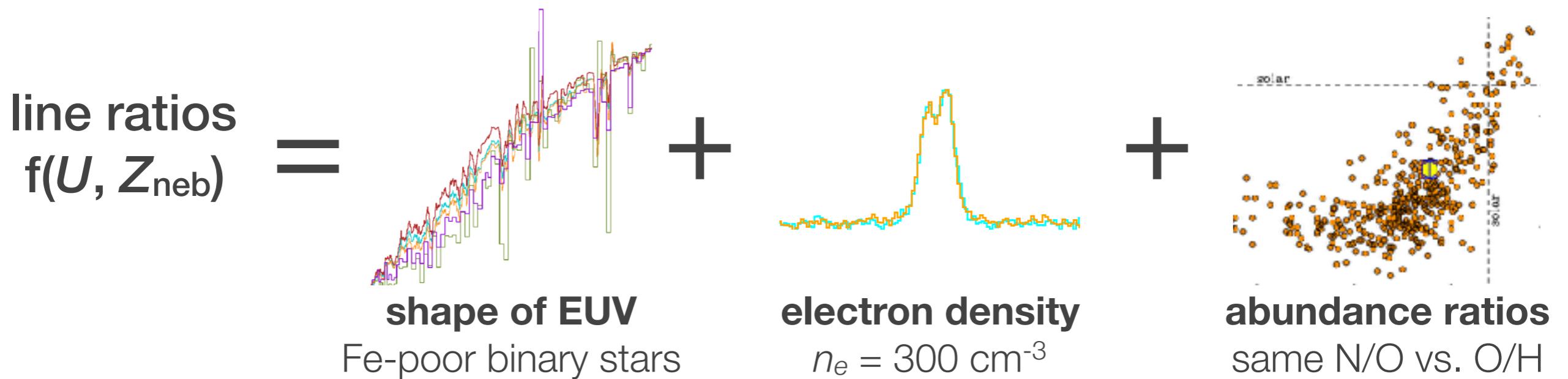


# *Photoionization models connect observations of stars and nebulae*

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# Photoionization models connect observations of stars and nebulae



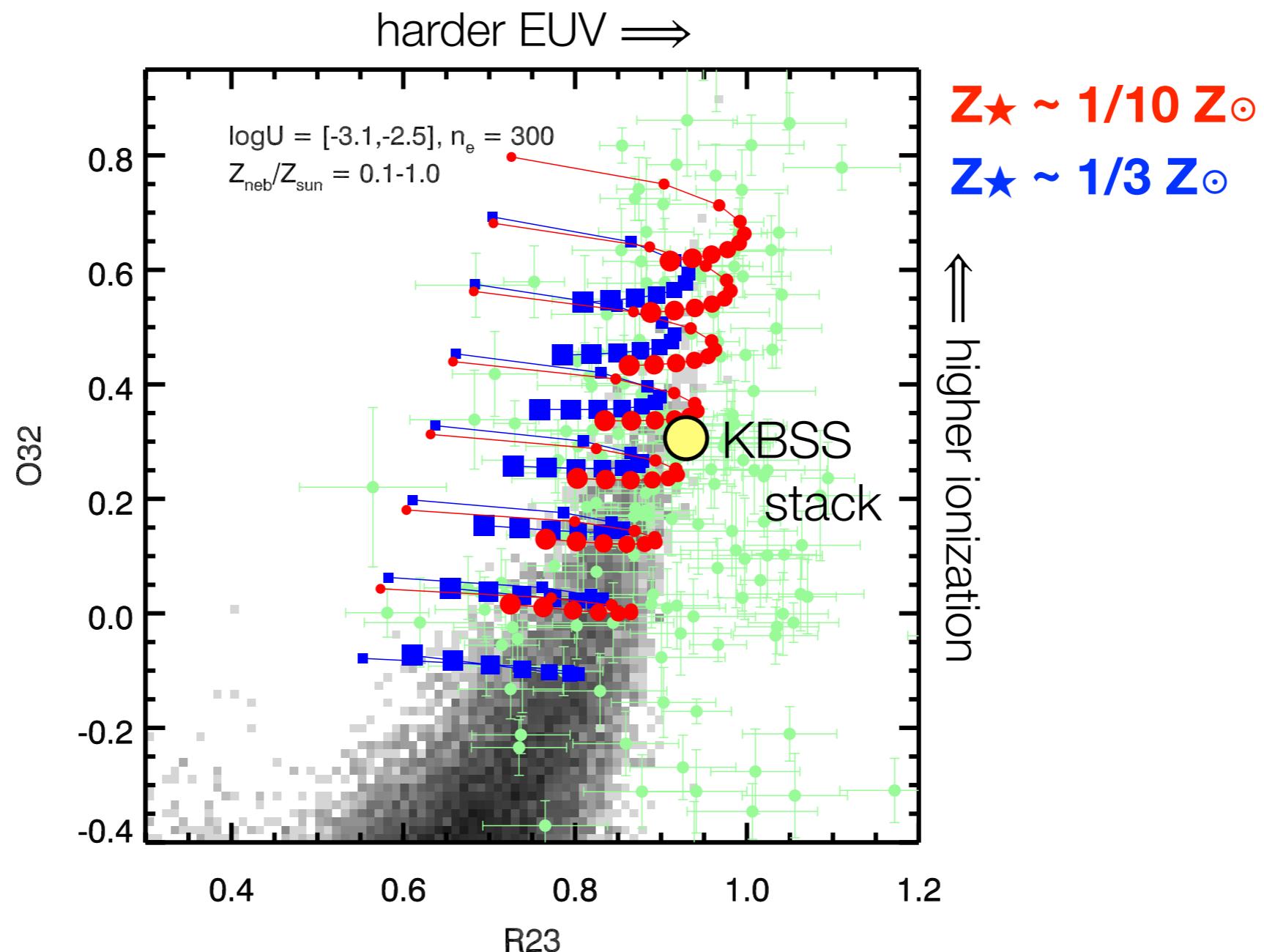
$Z_{\star}$  traces Fe abundance

$Z_{\text{neb}}$  traces O abundance

Different  $Z_{\star}$  and  $Z_{\text{neb}}$  imply O/Fe different from solar, but **not** gas and stars with different O or Fe

# 032-R23 diagram sensitive to changes in ionizing radiation

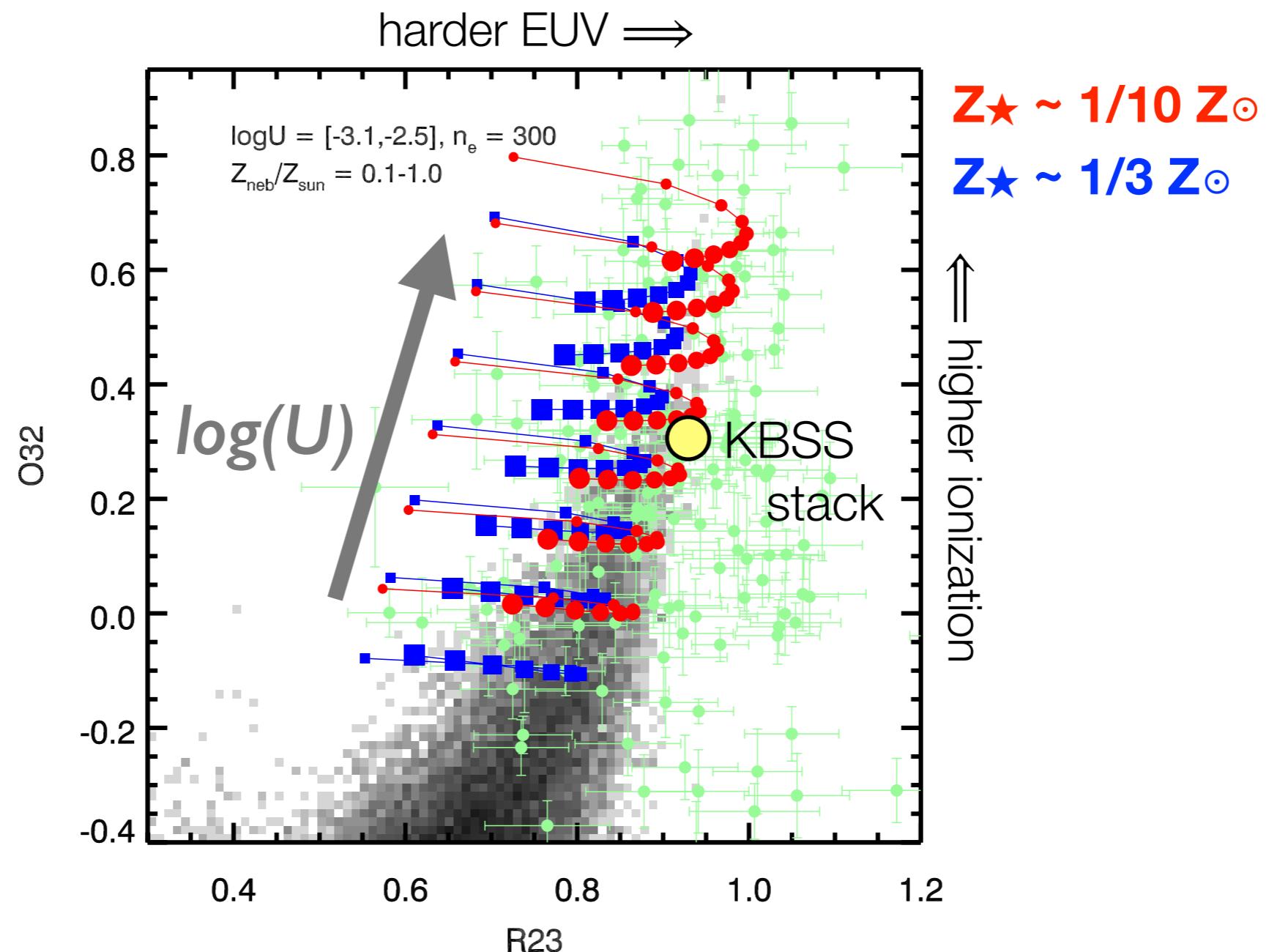
**Strom et al. (2016), arXiv:1608.02587**



Can't reproduce high-z galaxy observations only by increasing  $U$  or lowering  $Z_{\text{neb}}$

# 032-R23 diagram sensitive to changes in ionizing radiation

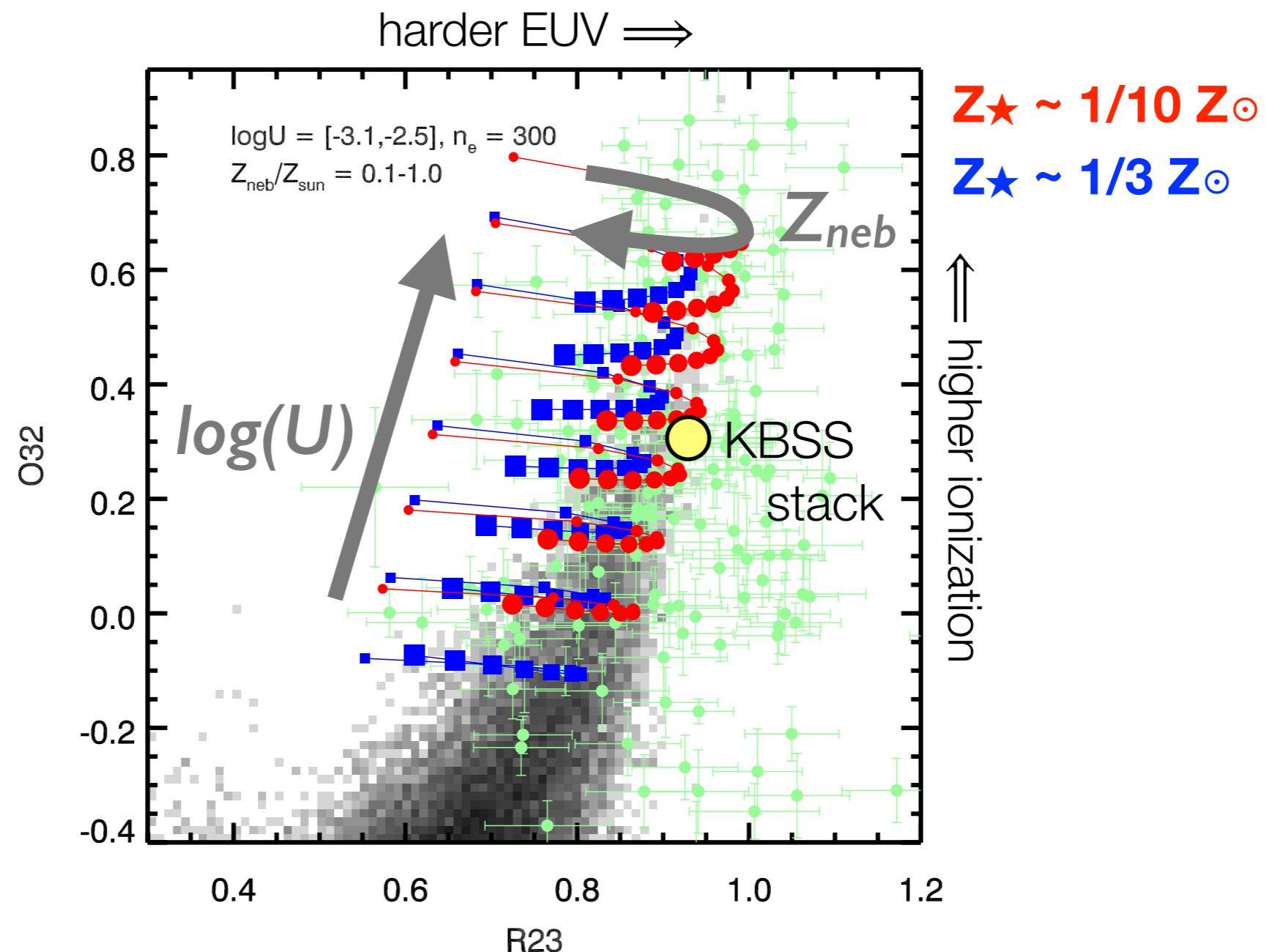
**Strom et al. (2016), arXiv:1608.02587**



Can't reproduce high-z galaxy observations only by increasing U or lowering  $Z_{\text{neb}}$

# 032-R23 diagram sensitive to changes in ionizing radiation

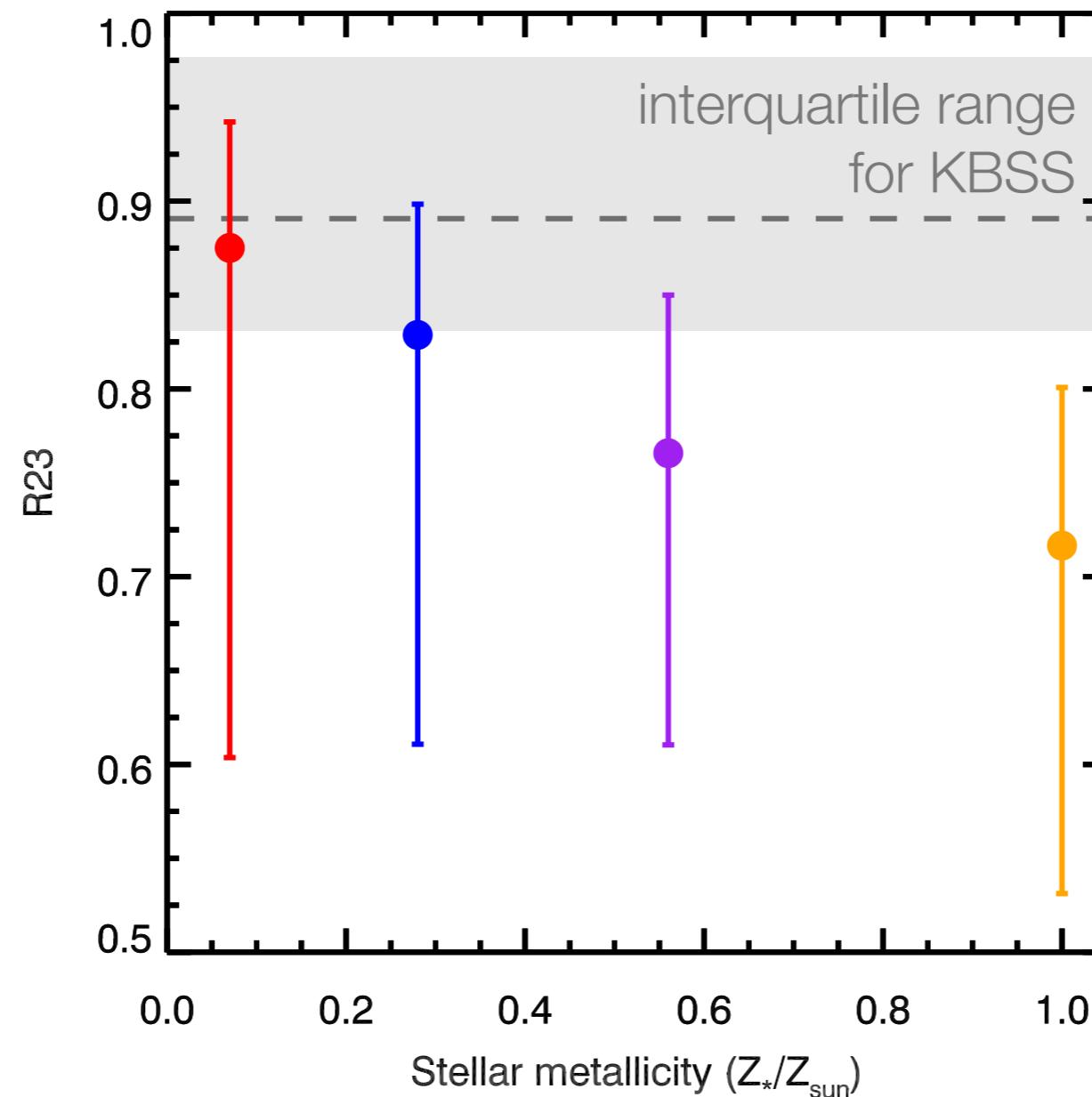
**Strom et al. (2016), arXiv:1608.02587**



Can't reproduce high-z galaxy observations only by increasing U or lowering  $Z_{\text{neb}}$

# 032-R23 diagram sensitive to changes in ionizing radiation

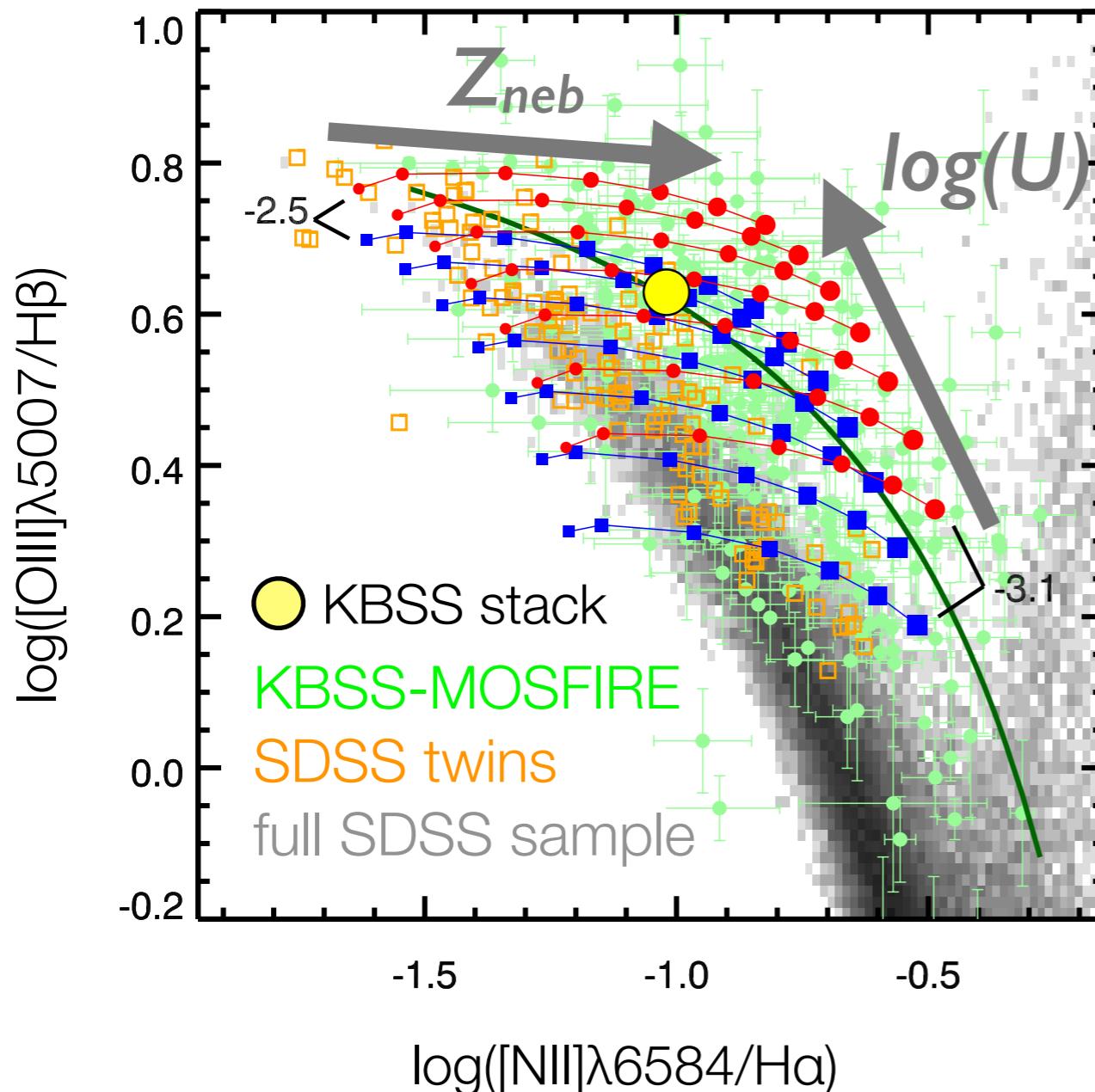
**Strom et al. (2016)**, arXiv:1608.02587



$Z_*/Z_{\odot} > 0.5$  inconsistent with the majority of KBSS-MOSFIRE galaxies

# Same models can reproduce locus in the N2-BPT

**Strom et al. (2016), arXiv:1608.02587**



$Z_{\star} \sim 1/10 Z_{\odot}$

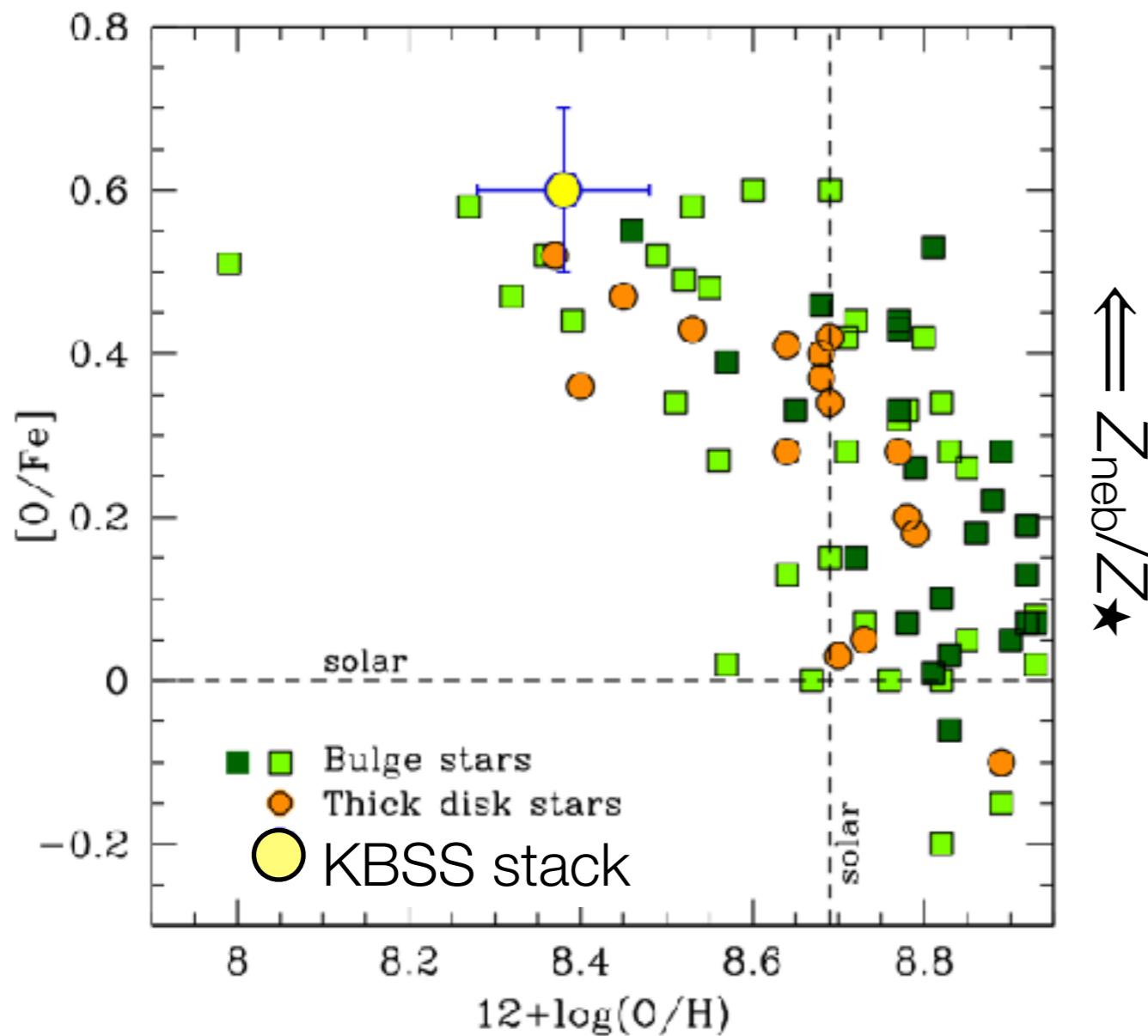
$Z_{\star} \sim 1/3 Z_{\odot}$

KBSS-MOSFIRE galaxies  
require higher  $Z_{\text{neb}}$  than twins

$Z_{\text{neb}}/Z_{\star}$  crudely traces O/Fe

# High-z galaxies have O/Fe similar to bulge+thick disk stars

Steidel, **Strom**, et al. (2016)

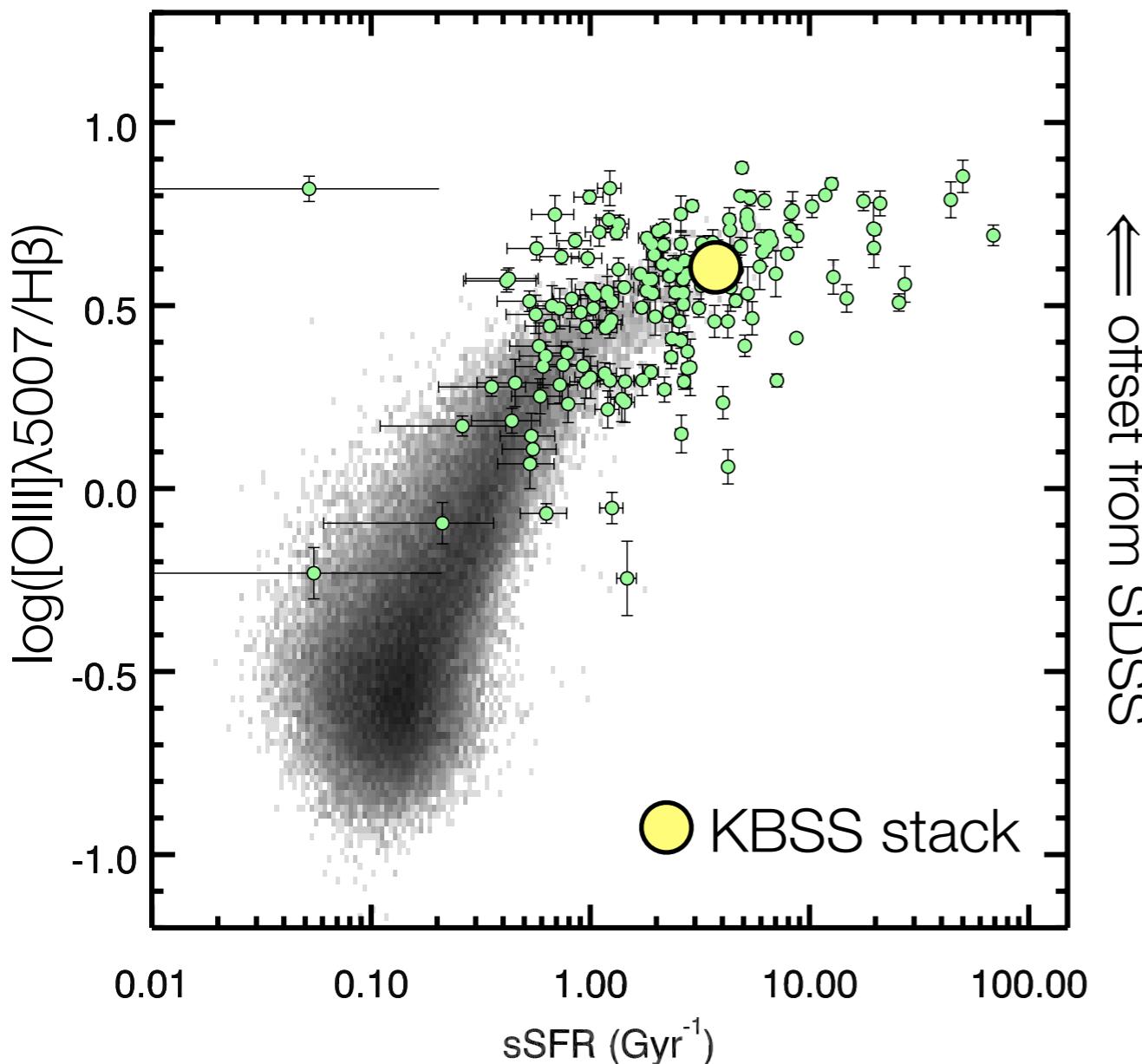


KBSS stack:  
 $O/Fe \sim 4-5(O/Fe)_\odot$

consistent with predictions  
from Nomoto et al. (2006)  
for low- $Z_\star$  Type II SNe

$O/Fe$  is related to age and star-formation history

**Strom et al. (2016)**, arXiv:1608.02587



most O coming  
from **Type II SNe**

most Fe coming  
from **Type Ia SNe**

$O/Fe \sim SFR(\text{now})/SFR(\text{past})$

*Can we apply the paradigm developed  
for understanding present-day galaxies to  
galaxies  $\sim$ 2-3 Gyr after the Big Bang?*

*Can we apply the paradigm developed  
for understanding present-day galaxies to  
galaxies  $\sim$ 2-3 Gyr after the Big Bang?*

***Not really.***

# *Impact of star formation history on metallicity diagnostics*

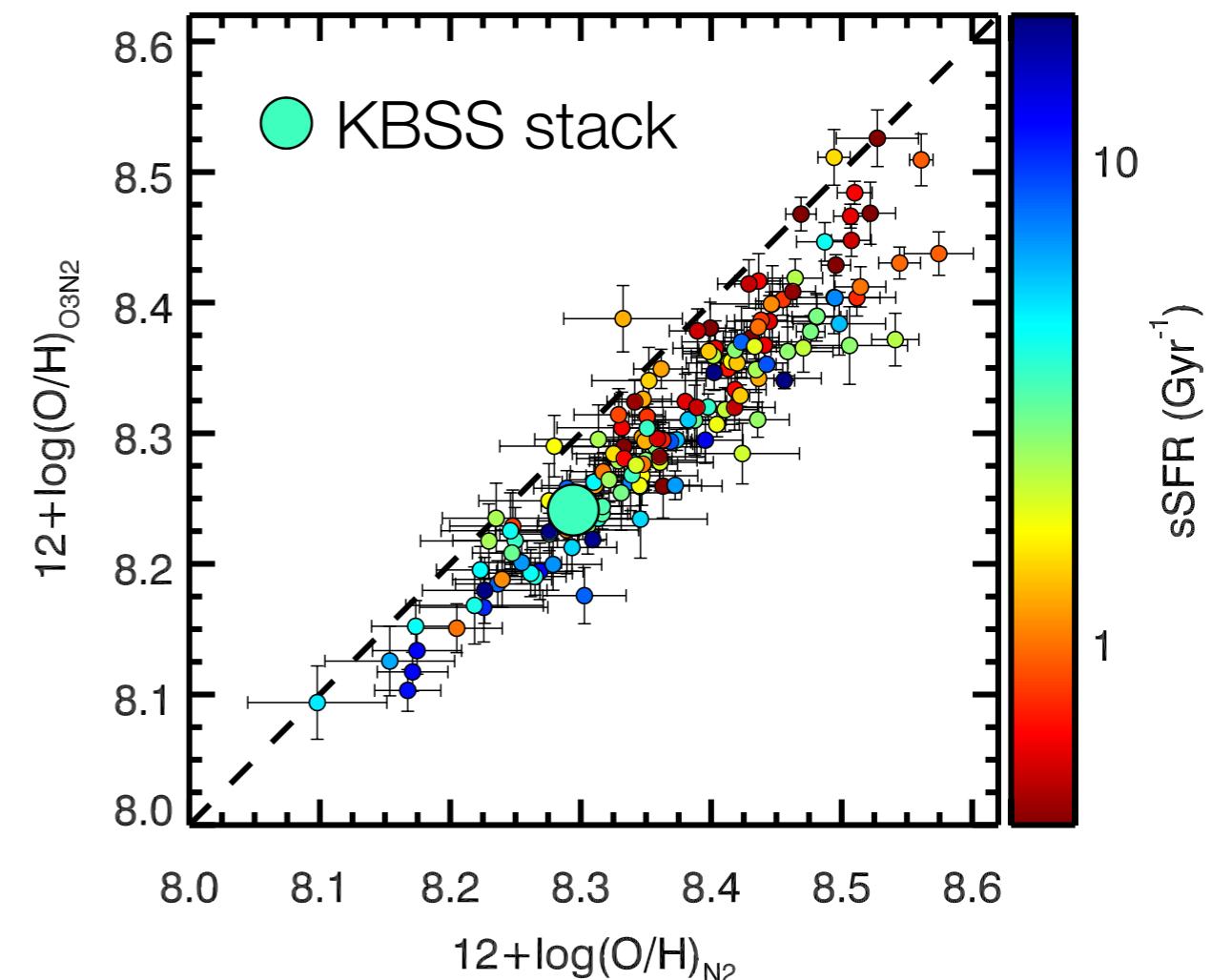
**Strom et al. (in prep.)**

Recent star formation will result in higher excitation (i.e., O3 and R23) at fixed O/H

Strong-line calibrations rely on the underlying correlation between

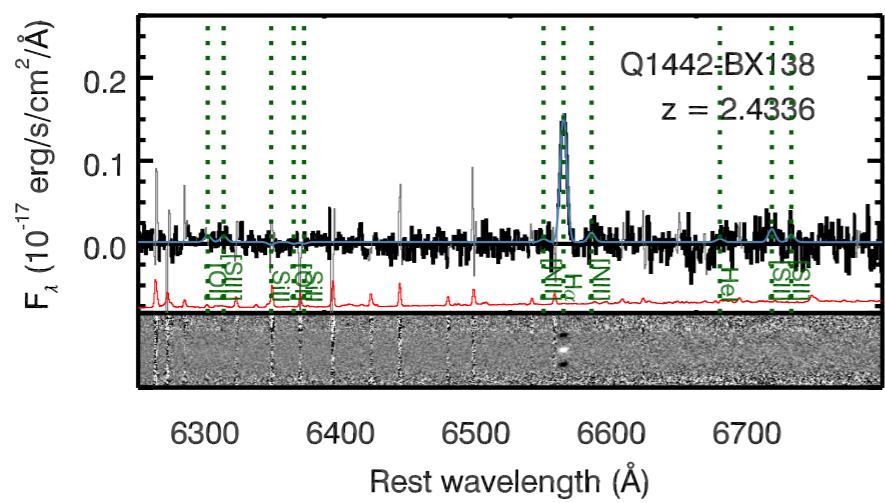
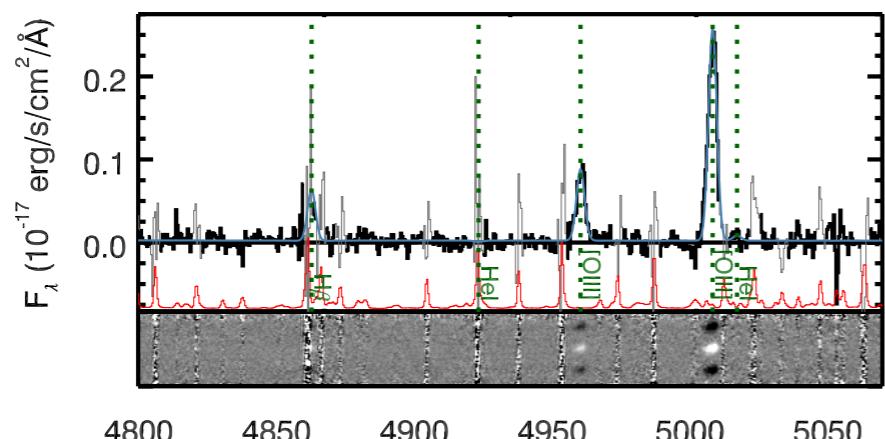
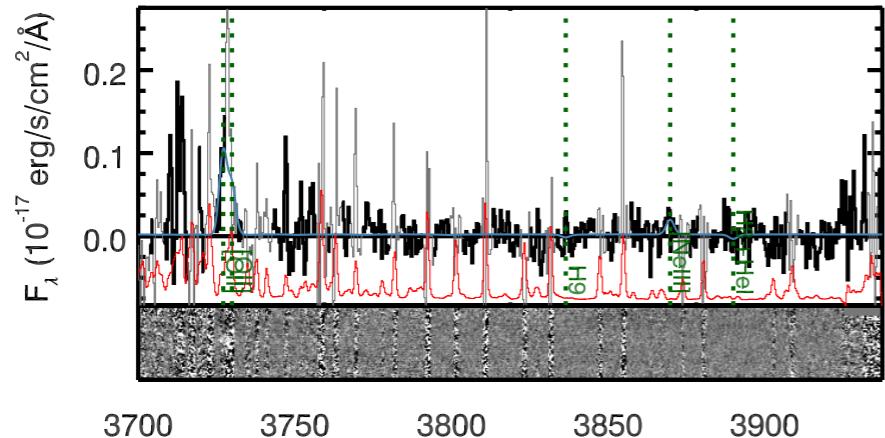
1. shape of the ionizing radiation (related to stellar Fe abundance)
2. gas-phase C, N, and O

Local metallicity calibrations will be inconsistent for high-z galaxies, especially at high  $12+\log(\text{O/H})$



Must carefully choose local “analogs”!

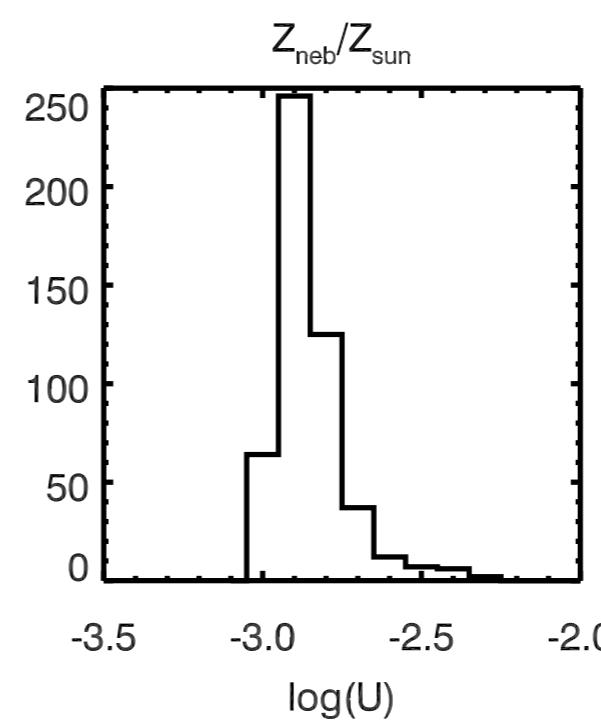
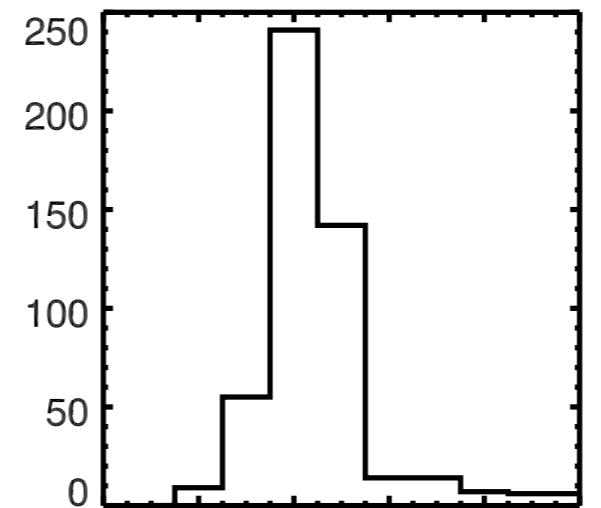
# Measuring O/H, N/O and U for individual galaxies



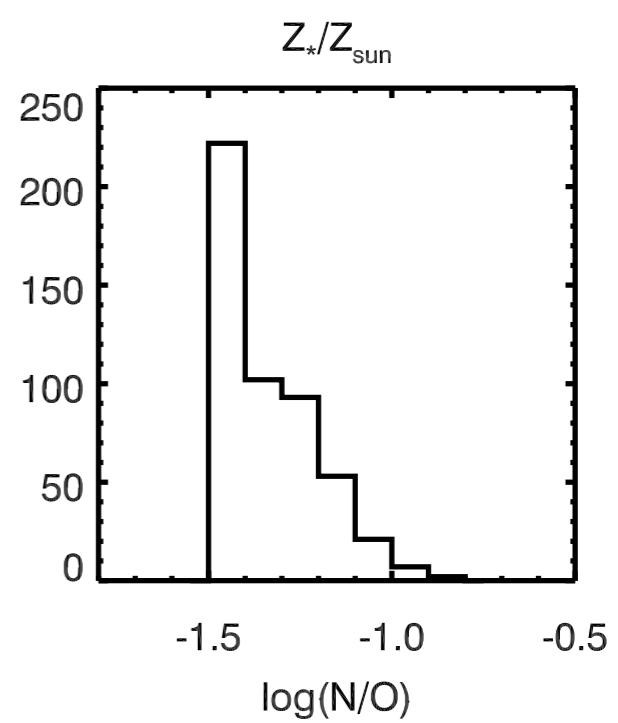
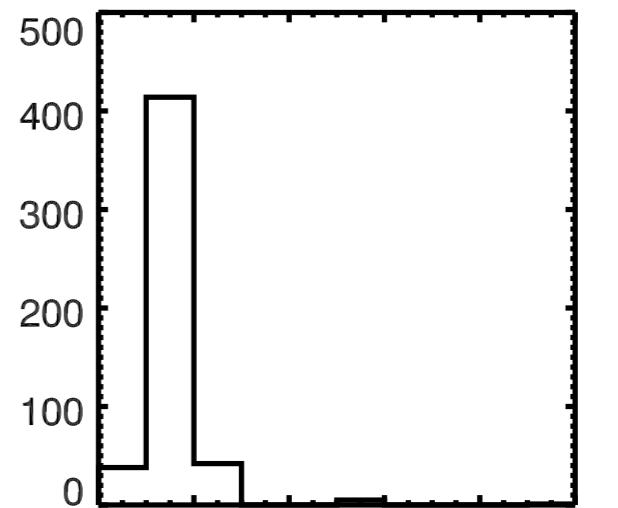
**J**

**H**

**K**

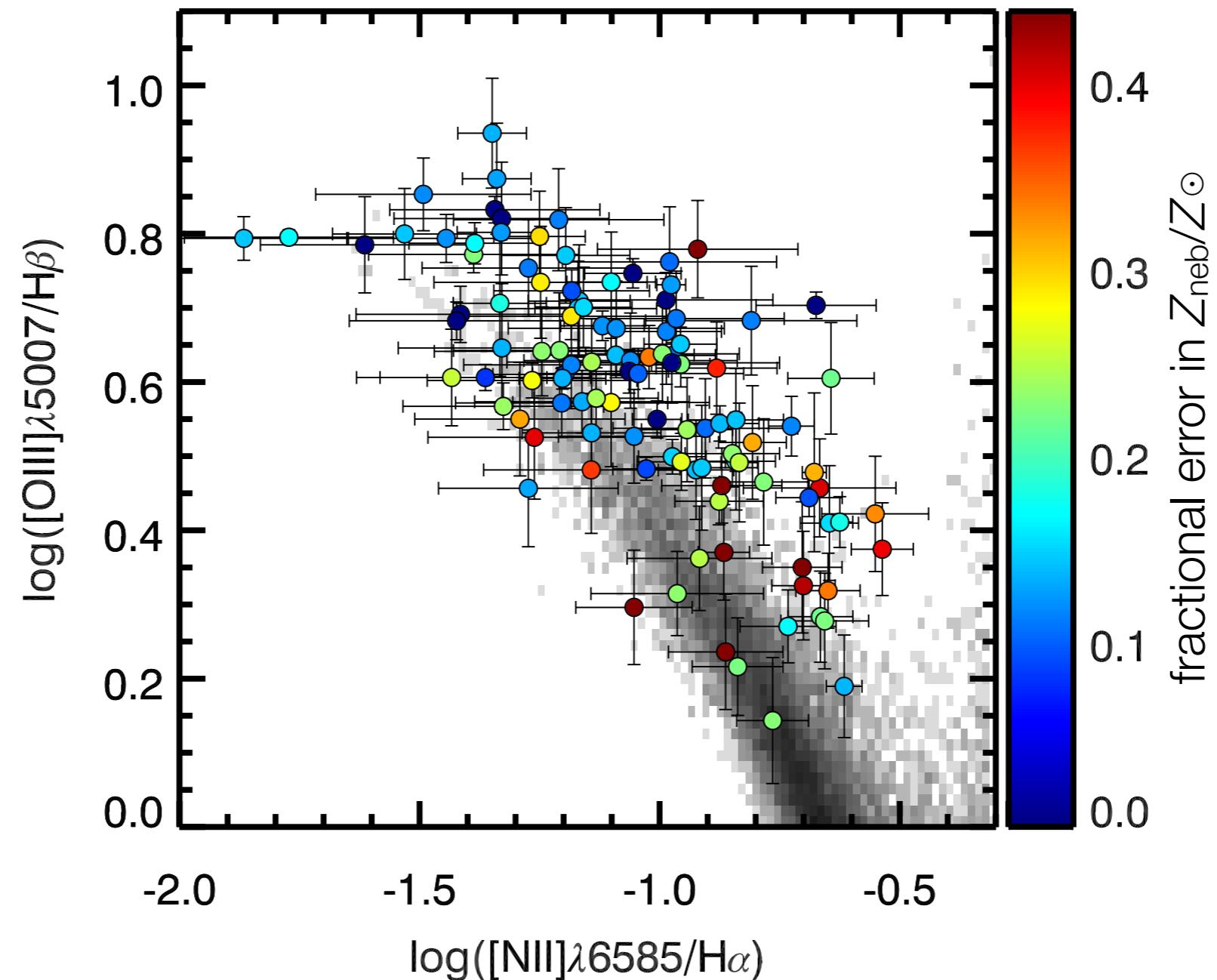


**Strom et al. (in prep.)**

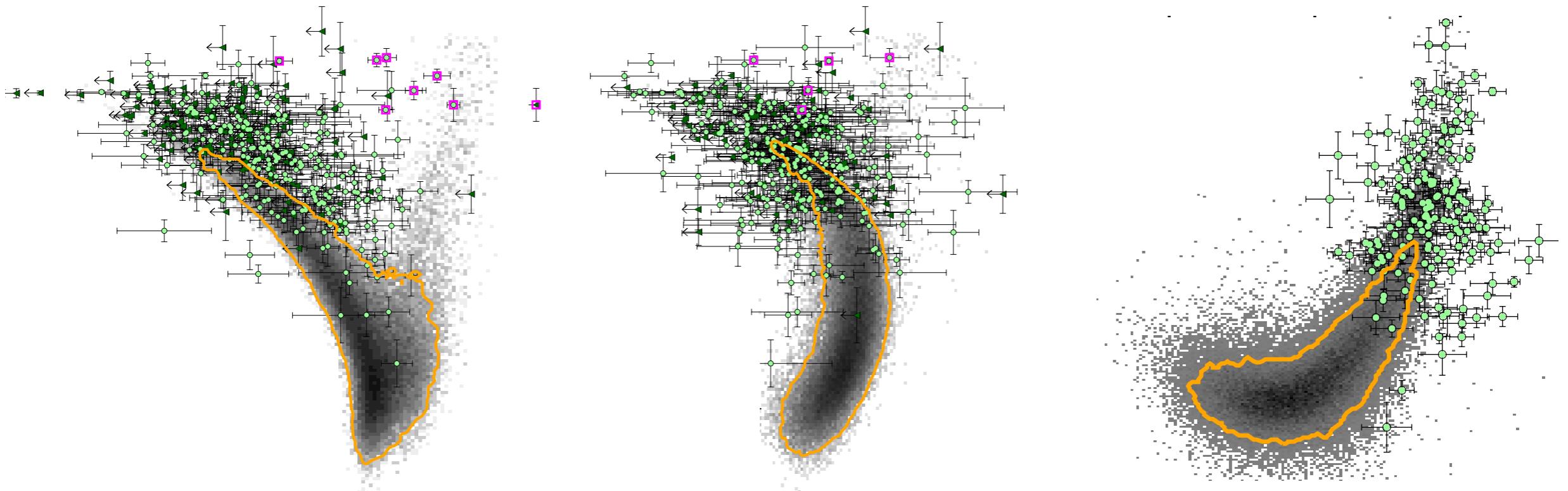


*Precision in determining parameters depends on location in parameter space*

**Strom et al. (in prep.)**



With KBSS, we can study the **population** of  $z \sim 2-3$  galaxies



Commonly measured emission line ratios in  $z \sim 2-3$  galaxies are more sensitive to the shape of stars' ionizing spectra than to the details of the gas chemistry

Self-consistent models require both very hard ionizing spectra (produced by massive Fe-poor binaries) and moderate gas-phase O/H, indicating high O/Fe

High O/Fe ratios occur when ISM chemistry is dominated by CCSNe products, as is common in systems with high sSFR ( $> 1 \text{ Gyr}^{-1}$ )

