Algorithms and Data Structures

Heap

A heap is a nearly complete tree in which all parents have values either larger (max-heap) or lower (min-heap) than their children.

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--	--

Remark. Heaps can be implemented efficiently using arrays.

Space Efficient Trees

Nearly complete binary trees can be implemented efficiently using an array and the following helper functions.

```
children(i) = { 2i + 1, 2i + 2 }
parent(i) = [(i-1) / 2)]
```

Remark. code for 0 indexes arrays.

Heapify

The heapify function produces a new *heap* given an arbitrary root to two valid heaps in $O(\log n)$ by iteratively swapping the root with its largest child.

```
node = largest(root, left(root), right(root))
if (root != node) {
   exchange heap[root] and heap[node]
   heapify(node)
}
```

Remark. This function is the core of heap-build and heapsort.

Building a Heap

To build a *heap* in linear time O(n) we iteratively apply **heapify** from the parents of leafs, which are valid heaps, to the root.

```
for i from parent(n) to 1
  heapify(i)
```

Heapsort

$n \log n \mid n \log n \mid 1 \mid \times$	average worst	memory	stable
	$n \log n$ $n \log n$	1	×

heapsort is a sorting algorithm using a *heap* to iteratively extract the root and rebuilding a smaller heap using heapify.

```
build-max-heap(A)
heap-end = n - 1
while (end > 0) {
   swap A[heap-end] and A[0]
   heap-end--
   heapify(A, heap-end) // restore heap property
}
```

Quicksort

|--|

quicksort is a sorting algorithm progressively partitioning the array into two subarrays containing only elements respectively smaller and larger than some pivot.

Linear partitioning schemes such as *Lomuto* and *Hoare* produce an average running time T(n) = O(n) + 2T(n/2).

Remark. Randomized quicksort also yields $O(n \log n)$ worst-case.

Lomuto Partitioning

Lomuto is a linear partitioning scheme using the last element as the pivot and progressively growing a region with only lower elements.

```
p = A[hi]
i = lo // A[lo..i-1] are elements below p
for (j from lo to hi - 1) // A[i..j] are over p
    if (A[j] < p)
        exchange A[i] and A[j]
    i += 1
exchange A[i+1] and p
return i+1</pre>
```

Remark. Used in *quicksort* and *quickselect*. By fist swapping a random element to the end we produce randomized quicksort.

Remark. Less efficient than Hoare.

Hoare Partitioning

Hoare is a *linear* partitioning scheme in which two pointers travel towards each other while exchanging elements that violate their respective relation to the pivot.

```
p = A[lo]
i = lo - 1  // A[lo..i] are smaller than p
j = hi + 1  // A[j..hi] are larger than p
while True
   do i++ while A[i]
```

Remark. Used for quicksort and quickselect.

Dutch Flag Partitioning

The Dutch Flag problem is solved by a *linear* three-way partition operating with constant memory which iterates over the array while progressively growing three regions.

```
x = -1  // A[0..x] contains 0s
i = 0  // A[x+1...i-1] contains 1s
y = n  // A[y..n] contains 2s
while (i < y)
  if (A[i] < 1) { x++; swap A[x] and A[i]; i++ }
  if (A[i] = 1) { i++ }
  if (A[i] > 1) { y--; swap A[y] and A[i] }
```

Remark. Useful for quicksort with multiple duplicates.

Quickselect

Quickselect or Hoare Selection uses a partitioning scheme such as Lomuto or Hoare to select the k-th element in linear O(n) time.

```
select(A, lo, hi, k):
   if (lo == hi) return A[lo]
   p = partition(A, lo, hi) // pivot at correct spot
   if (p == k) return A[p]
   else if (p < k) return select(A, p+1, hi, k)
   else return select(A, lo, p-1, k)</pre>
```

A pivot selection strategy such as median-of-medians can be used. Remark. Worst case $O(n^2)$ as for quicksort. Constant memory overhead under tail call optimization or iteration.

Mergesort

_		memory	
$n \log n$	$n \log n$	n	\checkmark

Mergesort is a stable sorting algorithm recursively sorting two subarrays of equal size before merging them.

```
merge-sort(A, lo, hi):
    q = [(lo+hi)/2]
    merge-sort(A, lo, q)
    merge-sort(A, q+1, hi)
    merge(A, lo, hi, q)
```

Linear merging can be performed with O(n) memory and sentinel cards. merge-sort thus has running time T(n) = 2T(n/2) + O(n). Remark. Practical for multi-threaded sorting.

Sorting, Comparison, Divide-and-conquer

Counting Sort

```
\begin{array}{c|c} \mathbf{running \ time} & \mathbf{memory} & \mathbf{stable} \\ n+k & n+k & \checkmark \end{array}
```

Counting sort is a stable integer sorting algorithm for a known range [0,k] placing elements based on their prefix sum.

```
for (i from 1 to A.length) C[A[i]] += 1 // occurences
for (j from 1 to k) C[j] += C[j-1] // prefix sum
for (i from A.length to 1)
   B[C[A[i]]] = A[i] // put A[i] at position C[A[i]]
   C[A[i]] -= 1
```

Remark. Using both 0 and 1 indexed array. Due to being stable, counting sort can be used for radix sort.

Remark. Prefix sums can be very useful in subarray problems.

Radix Sort

Radix sort is an integer sorting algorithm using *counting sort* to sort elements for every digit, beginning with the *least-significant*.

```
for (i from 1 to d)
    stable-digit-sort(A, i) // digit 1 is the LSD
```

Remark. The underlying sorting algorithm needs to be stable.

Bucket Sort

Bucket sort is a sorting algorithm assuming the input is drawn from a uniform distribution over [0,1). Distribute the input numbers into n equal-sized subintervals and sort each.

```
for (i in 1 to n) insert A[i] into list B[[n A[i]]]
for (i in 1 to n) sort list B[i]
return B[0], ..., B[n-1]
```

Remark. Each bucket i is expected to contain few elements n_i , yielding linear O(n) average running time.

$$E[T(n)] = \Theta(n) + \sum_{i=1}^{n-1} O(E[n_i^2])$$

Breadth-First Search

```
running time O(V+E) or O(b^{d+1})
```

BFS is a graph traversal and search algorithm progressively expanding the *shallowest* nodes using a FIFO queue for the frontier.

Remark. Produces a breadth-first tree. BFS can find the shortest path if path cost is a non-decreasing function of depth.

Depth-First Search

```
running time O(V+E)
```

DFS is a graph traversal and search algorithm progressively expanding the *deepest* nodes in the frontier.

```
dfs-visit(u):
    u.discovery = ++time
    for (v in u.neighbors if v.parent = 0)
        v.parent = u
        dfs-visit(v)
    u.finish = ++time
for (u in G.V if v.parent = 0) dfs-visit(u)
```

Remark. A non-recursive implementation uses a stack.

Remark. Applications include $topological\ sort$ and finding strongly connected components.

Topological Sort

topological-sort produces a linear ordering \prec in a directed acyclic graph G such that $(u, v) \in E \implies u \prec v$, using decreasing finishing times of a *depth-first* forest.

```
topological-visit(u):
    u.discovered = true
    for (v in u.neighbors if not v.discovered)
        topological-visit(v)
    ordering.prepend(u)
```

Strongly Connected Components

Depth-first search can be used to identify strongly connected components by being applied to the transposed graph G^{T} .

```
dfs\left( G\right) to produce u.f dfs\left( G^{T}\right) \ but \ create \ each \ tree \ by \ decreasing \ u.f each tree is a strongly connected component
```

Remark. G^{T} simply contains all edges of G reversed.

Graphs in AI

Bellman-Ford Algorithm

running time $\Theta(VE)$

bellman-ford solves single-source shortest-path problems for any directed graph through relaxation, and detects negative cycles.

```
u.d = ∞ for (u in V) but s.d = 0
for (i from 1 to |V|-1)
   for (edge (u, v) in E)
        relax(u, v) // can v.d be improved through u?

for (edge (u, v) in E)
   if v.d > u.d + w(u, v) raise CycleException
```

Remark. Relaxation is used greedily in *Dijkstra's* algorithm.

Dijkstra's Algorithm

```
running time O(V^2) or O(E + V \log V)
```

dijkstra solves single-source shortest-path problems for directed graphs with non-negative weights using *greedy* relaxation.

DAG Shortest Path

running time O(V+E)

The single-source shortest-path problem for directed acyclic graphs can be solved linearly using $topological\ sort$.

```
u.d = \infty for (u in V) but s.d = 0
for (u in topological-sort(G))
  for (v in u.neighbors)
    relax(u, v) // can v.d be improved through u?
```

Floyd-Warshall Algorithm

running time $O(V^3)$

floyd-warshall solves all-pairs shortest-path problems for graphs without negative weight cycles using dynamic programming. Define d_{ij}^k as the shortest path from i to j using vertices $\{1,...,k\}$.

$$d_{ij}^{k} = \min \left\{ w_{ij}, d_{ij}^{k-1}, d_{ik}^{k-1} + d_{kj}^{k-1} \right\}$$

Huffman Code

A Huffman code is an optimal *prefix* scheme for a character coding problem. The corresponding full binary tree is constructed by *greedily* merging leaves with minimal frequency.

```
Q = C // the characters
for (i from 1 to n-1)
    create new node z
    z.left = x = Q.extract-min() // 0
    z.right = y = Q.extract-min() // 1
    z.freq = x.freq + y.freq
    Q.insert(z, z.freq)
return Q.extract-min() // root
```

Rod Cutting Problem

Given prices p_i for a rod of length i, determine the highest revenue decomposition for a rod of length n.

```
input: p = [1, 5, 8, 9], n = 4
solution: r = 10 // two pieces of length 2
```

Solution \Box .

Rod Cutting Solution

The rod-cutting problem can be solved using dynamic programming by exploiting optimal substructure. The maximum price r_n for a rod of length n can be written as:

$$r_n = \max_{1 \le i \le n} \{ p_i + r_{n-i} \}$$

A running time of $\Theta(n^2)$ is achieved by both the *memoized top-down* and *bottom-up* implementations.

Memoized Rod Cutting

The top-down implementation rod-cut with memoization to the rod-cutting problem is written recursively but solutions to subproblems are remembered in r[i].

```
if (r[n] \geq 0) return r[n]
q = 0
for (i from 1 to n)
    q = max{q, p[i] + rod-cut(n - i)}
r[n] = q
return r[n]
```

Bottom-Up Rod Cutting

The bottom-up implementation rod-cut to the *rod-cutting* problem iteratively solves subproblems of larger size.

```
for (i from 1 to n)
    q = -∞
    for (j from 1 to i)
        q = max(q, p[j] + r[i - j])
    r[i] = q
return r[n]
```

Longest Common Subsequence

Given two sequences $X = \langle x_1, ..., x_n \rangle$ and $Y = \langle y_1, ..., y_m \rangle$, determine the maximum-length common subsequence Z of X and Y.

```
input: X = ABCBDAB, Y = BDCABA solution: BCBA or BDAB // 4
```

Solution \Box .

Proble

LCS Solution

The *longest common subsequence* problem can be solved using dynamic problem in $\Theta(mn)$. Define c_{ij} the length of the LCS of the prefix sequences $X_{1..i}$ and $Y_{1..j}$.

$$c_{ij} = \begin{cases} c_{i-1,j-1} + 1 & \text{if } x_i = x_j \\ \max\{c_{i,j-1}, c_{i-1,j}\} & \text{if } x_i \neq x_j \end{cases}$$

For the optimal solution use auxiliary table $b_{ij} \in \{\uparrow, \nwarrow, \leftarrow\}$ to record the optimal structure of c_{ij} and backtrack from b_{nm} .

Maximum Subarray Problem

Given a one-dimensional array of numbers, determine the continuous subarray with maximum sum.

```
input: [-2, 1, -3, 4, -1, 2, 1, -5, 4] solution: 6 // [4, -1, 2, 1]
```

Solution \Box .



Kadane's Algorithm

Kadane's algorithm is a linear O(n) dynamic programming solution to the *maximum subarray* problem which iteratively determines the maximum subarray B_i ending at position i using B_{i-1} .

```
bi = s = A[0]
for (i from 1 to n-1)
   bi = max(bi + A[i], A[i])
   s = max(s, bi)
return s
```

Remark. kadane is an instance of a sliding-window algorithm.

Longest Increasing Subsequence

Given a one-dimensional array of numbers, determine the longest increasing subsequence.

```
input: [10, 22, 9, 33, 21, 50, 41, 60, 80] solution: 6 // [10, 22, 33, 50, 60, 80]
```

Solution .

LIS Solution

The longest increasing subsequence problem can be solved using dynamic programming. Define x_i to be the smallest number terminating a LIS of length i.

candidate-lis determines the longest LIS whose last element can be replaced by n. Since x is *sorted*, we use binary search.

Remark. An alternate solution uses LIS[i] as the length of the LIS ending at position i for a running time $O(n^2)$.

Longest k-Sum Subarray

Given a one-dimensional array of numbers A, determine the longest subarray A[i..j] summing to k.

```
input: A = [3, -5, 8, -14, 2, 4, 12], k = -5 solution: 5 // [-5, 8, -14, 2, 4]
```

Solution \Box .

Problem

Longest k-Sum Subarray Solution

The *longest k-sum subarray* problem is solved in *linear* time by defining P[s] as the smallest index i such that subarray A[0..i] has prefix sum s.

```
prefix = best = 0
P = {0 : -1}
for (i from 0 to n-1)
    prefix += A[i]
    missing = prefix - k
    if (prefix not in P) P[prefix] = i
    if (missing in P) best = max(best, i - P[missing])
return best
```

Remark. Subarray A[p..i] has sum k where p = P[missing].

Longest Substring Problem

Given a string s , determine the longest or shortest substring satisfying some criterion C.

```
input: S = ababbcabaac
criterion: pangram D = {a, b, c}
solution: cab // 3
```

 ${\it Example.} \ {\it Shortest pangram, longest substring without duplicates.}$

Sliding Window Method

The general *longest substring* problem can be solved in linear time using a *sliding window* technique, where two pointers extend or retract some substring S[i..j] to satisfy C.

```
for (j from 0 to n-1) // longest no duplicates while (S[i..j] does not satisfy C) i++ is S[i..j] new best?
```

Remark. Implementation depends on C and length optimization. Similar to Kadane's algorithm.

0-1 Knapsack Problem

Given sizes s_i and values v_i for n items, determine the maximum value a knapsack with capacity k can carry.

```
input: s, v = [(10, 60), (20, 100), (30, 120)]
capacity: k = 50
solution: 220 // item 2 and 3
```

0-1 Knapsack Algorithm

The knapsack problem can be solved using dynamic programming. Define $d_{i,k}$ to be the optimal value for a knapsack of capacity k using only items $\{1,...,i\}$.

$$d_{i,k} = \max\{v_i + d_{i-1,k-s_i}, d_{i-1,k}\}\$$

```
for (j from 1 to k)
    for (i from 1 to n)
        d[i, j] = ... // also check edge-cases
```

Remark. Additional substructure dimension than the *rod-cutting* solution as each items can only be taken once.

Fractional Knapsack Problem

Given sizes s_i and values v_i for n items, determine the maximum value a knapsack with capacity k can carry if a *fraction* of each item can be taken.

```
input: s, v = [(10, 60), (20, 100), (30, 120)]
capacity: k = 50
solution: 240 // item 1, 2 and 2/3 of item 3
```

Solution .

Greedy Knapsack Algorithm

The fractional knapsack problem can be solved using a greedy strategy. Order items by their volumetric value density $x_i = v_i/s_i$ and greedily pick as much as possible of each.

```
sort items by v[i]/s[i]
i = 0;
while (capacity > 0)
  fraction = min(1, capacity/s[i])
  capacity -= fraction * s[i]
  i++
```

Interval Selection Problem

Given starting times s_i and finishing times f_i of n intervals, determine the maximum subset of mutually compatible intervals.

```
input: s = [1, 3, 0, 5, 8, 5]
    f = [2, 4, 6, 7, 9, 9]
solution: {0, 1, 3, 4}
```

Interval Selection Algorithm

The *interval selection* problem can be solved by *greedily* picking compatible intervals by increasing finishing time f_i .

```
sort intervals by finishing time f_i S = \{A[0]\} f = f[0] for (i from 1 to n) if (s[i] \geq f) S = S \cup \{A[i]\} f = f[i] return S
```

Interval Partitioning Problem

Given starting times s_i and finishing times f_i of n intervals, determine the smallest partition into compatible intervals.

```
input: s = [1, 3, 0, 5, 8, 5]
    f = [2, 4, 6, 7, 9, 9]
solution: 3 // {2, 4} + {0, 1, 3} + {5}
```

Interval Partitioning Algorithm

The *interval partition* problem can be solved by *greedily* assigning intervals to the first compatible set by increasing start time s_i .

```
sort intervals by starting time s_i r = [] // when resources become available for (i from 0 to n-1) for (j=0; j < r.length; j++) // find compatible set if (r[j] \leq s[i]) break assign i to j r[j] = f[i]
```

Next Greater Element

Given a one-dimensional array, determine for each integer the next greater element, i.e. the first larger element on its right.

```
input: [5, 7, 4, 3, 6, 9, 2, 8] solution: [7, 9, 6, 6, 9, -1, 8, -1]
```

NGE Solution

The *next greater element* problem can be solved in linear time using a *stack* containing *pending* integers. As we traverse the array we compare the current element to the top unassigned elements.

```
s = [] // contains (value, position)
for (i from 0 to n-1)
  while (s && s.top()[0] < A[i]) // NGE for s.top
      x = s.pop()
      A[x[1]] = A[i]
  s.push(A[i], i)
while (s) A[s.pop()[1]] = -1</pre>
```

Longest Balanced Subarray

Given a binary array $\in \{0,1\}^n$, determine the longest continuous subarray containing an equal number of each digit.

```
input: [0, 1, 0, 0, 1, 1, 0, 0] solution: [1, 0, 0, 1, 1, 0] // 6
```

Balanced Subarray Solution

To solve the *longest balanced subarray* problem we use the algorithm to find the *longest 0-sum subarray* after substituting 0's by -1's.

```
B = {0: -1}
balance = longest = 0
for (i from 0 to n-1)
  balance += 1 if A[i] == 1 else -1
  if (balance in B)
    longest = max(longest, i - B[balance])
  else B[balance] = i
```

Remark. Note the use of an index map and prefix sums.

Inorder Successors Sum

Given the root of a binary tree, add to each node the sum of all its in-order successors.

```
input: inorder = [5, 7, 2, 9, 10, 3] // given as tree solution: [36, 31, 24, 22, 13, 3]
```

Solutions \Box \Box .



Recursive Inorder Successor Sum

The *in-order successor sum* problem can be solved *recursively* using an accumulator which each node increases by its own value.

```
int visit(node, acc): // acc = sum of upper successors
   if (node == NULL) return acc
   acc = visit(node->right, acc)
   node->value += acc
   return visit(node->left, node->value)
```

Remark. acc acts as a global variable. Use a pointer in C++. Remark. See the *iterative* solution.

Iterative Inorder Successor Sum

The *in-order successor sum* problem can be solved *iteratively* using a stack to traverse the tree in-reverse-order and an accumulator which each node increases by its own value.

```
digg(node, stack):
    while (node) {stack.push(node); node = node->right}

solve(root):
    acc = 0; stack = []; digg(root, stack)
    while (stack)
        node = stack.pop()
        node->value = acc = acc + node->value
        digg(node->left, stack)
```

Remark. See the recursive solution.

Longest Valid Parentheses

Given a string s containing characters (and), determine the longest valid well-formed parenthesis substring.

```
input: S = "()(()()()"
solution: "()()"
```

Solution \Box .

Problem

Longest Valid Parentheses Solution

The *longest valid parentheses* problem can be solved using an advanced *sliding window* method, using a stack containing the latest index of a potentially problematic character.

```
stack = [-1] // sentinel
solution = -1
for (i from 0 to n-1)
   if (s[i] == "(") stack.push(i)
   else
        stack.pop() // one less problem
        if (stack.empty()) stack.push(i) // problem!
        else solution = max(solution, i - stack.top())
```

k-Sum Combinations

Given a set of integers $\ s$ and an integer $\ k$, find all distinct combinations from integers in $\ s$ whose sum is equal to $\ k$.

Remark. A combination may contain duplicates.

```
input: S = [2, 3, 6, 7], k = 7
solution : [[7], [2, 2, 3]]
```

Solution \square .

k-Sum Combinations Solution

The k-sum combinations problem can be solved recursively using the helper function <code>solve</code>. Duplicates can be avoided by progressively restricting integers used from <code>s</code>.

```
solution = [], current = []
solve(k, j)
  if (k == 0) { solution.push_copy(current); return }
  for (i from j to n-1 if S[i] <= k)
      current.push_back(S[i])
      solve(k - S[i], i) // avoid duplicates with j
      current.pop_back() // clean-up</pre>
```

Remark. Note how only a single helper buffer current is needed.

Frog Problem

You are positioned at the beginning on an array A of non-negative integers, representing the maximum distance A[i] you can jump forward from each position i. Determine the minimum number of jumps to reach the last position.

```
input: [2, 3, 1, 1, 4] solution: 2 // 0 -> 1 -> 4
```

Solutions \downarrow \downarrow \downarrow \downarrow .

Dynamic Frog Programming

The frog problem can be solved in $O(n^2)$ using dynamic programming with x[i] as the minimum jumps required from index i.

```
X[..] = ∞, X[n-1] = 0
for (i from n - 2 to 0)
   for (j from 1 to A[i] if i + j < n)
        X[i] = min(X[i], 1 + X[i+j])
return X[0]</pre>
```

Remark. More efficient solutions are also provided.

Breadth-First Frog

The *frog problem* can be solved intuitively using a vanilla implementation of *breadth-first search* over indices as nodes.

```
Q = [0], distance = {0: 0}
while (true)
  x = Q.dequeue()
  if (x == n-1) return distance[x]
  for (j from 1 to A[i] if i + j < n)
    if (i+j not in distance)
        distance[i+j] = 1 + distance[i]
        Q.enqueue(i+j)</pre>
```

Remark. The queue Q can be removed for an *improved solution*, as only increasing integers are enqueued.

Improved Breadth-First Frog

The *frog problem* can be solved more efficiently using an adapted implementation of *breadth-first search*, avoiding a stack.

```
furthest = 0, distance = {0: 0}
for (i = 0; furthest < n - 1; i++)
   if (i + A[i] > furthest)
      for (j from furthest to i + A[i])
            distance[i+j] = distance[i] + 1
      furthest = i + A[i]
return distance[n-1]
```

Remark. The distance map can be avoided as well by counting the "waves" or breadth layers, for a linear solution.

Linear Frog Solution

The frog problem can be solved linearly by improving the breadth-first search solution to simply count the waves or breadth layers.

```
furthest = 0, jumps = 0, cur_wave = 0
for (i = 0; i < n - 1; i++)
  furthest = max(furthest, i + A[i])
  if (i == cur_wave)
    jumps++
    cur_wave = furthest
return jumps</pre>
```

Remark. This solution can not produce the jump sequence.