

# Étale Cohomology

Nir Elber

25 September 2025

## Abstract

We review some basic theory of étale cohomology, building up to the cohomology of curves. We then define a Weil cohomology theory and state that étale cohomology is an example.

## Contents

<b>Contents</b>	<b>1</b>
<b>1 Basics of Étale Cohomology</b>	<b>1</b>
1.1 Sheaf Theory . . . . .	1
1.2 Cohomology: Starting Calculations . . . . .	4
1.3 Cohomology: Curves . . . . .	5
1.4 Base Change Theorems . . . . .	9
<b>2 Weil Cohomology Theories</b>	<b>10</b>
2.1 The Data . . . . .	10
2.2 The Künneth Formula . . . . .	12
2.3 Poincaré Duality . . . . .	13
2.4 Cycle Coherence . . . . .	15
2.5 Fixing $H^0$ . . . . .	20
2.6 The Lefschetz Trace Formula . . . . .	22

## 1 Basics of Étale Cohomology

In this section, we review some basic properties of étale cohomology, mostly to convince the audience that they are not too hard. For the most part, we follow [Del77].

### 1.1 Sheaf Theory

We are going to need to establish a little more sheaf theory.

**Definition 1** (étale site). Fix a scheme  $X$ . Then the (small) étale site  $X_{\text{ét}}$  is given by the category of étale morphisms  $Y \rightarrow X$ , where the coverings are given by collections  $\{U_i \rightarrow U\}_i$  of étale morphisms (over  $X$ ) such that the union  $\bigsqcup_i U_i \rightarrow U$  is surjective.

**Definition 2** (étale sheaf). Fix a scheme  $X$ . Then an étale sheaf is a presheaf  $\mathcal{F}$  on  $X_{\text{ét}}$  satisfying the following sheaf condition: for any étale covering  $\{U_i \rightarrow U\}_i$ , we have that  $\mathcal{F}(U)$  is the equalizer of the diagram

$$\prod_i \mathcal{F}(U_i) \rightrightarrows \prod_{i,j} \mathcal{F}(U_i \times_U U_j).$$

**Remark 3.** All our sheaves will be sheaves of abelian groups.

**Example 4 (constant).** Fix an abelian group  $A$ . Then we may define the constant sheaf  $\underline{A}_X$  on  $X$  by sending any étale open subset  $p: U \rightarrow X$  to

$$\Gamma(U, \underline{A}_X) := \text{Mor}_{\text{Top}}(U, A),$$

where  $A$  has been given the discrete topology. We can see that this is a sheaf because  $\Gamma(U, \underline{A}_X)$  factors through  $\pi_0(U)$ , which then allows us to check the sheaf condition by hand.

**Example 5 (kernels).** For any morphism  $\varphi: \mathcal{F}' \rightarrow \mathcal{F}$  of étale sheaves on  $X$ , we may define the kernel presheaf by sending an étale open subset  $U$  of  $X$  to

$$\ker \varphi(U) := \ker(\mathcal{F}'(U) \rightarrow \mathcal{F}(U)).$$

An argument with the Snake lemma shows that  $\ker \varphi$  succeeds at being an étale sheaf.

**Example 6.** Suppose  $X$  is the point  $\text{Spec } k$  for a field  $k$ ; set  $G_k := \text{Gal}(k^{\text{sep}}/k)$  for brevity. Then there is a functor  $\text{Sh}(X_{\text{ét}}) \rightarrow \text{Mod}(G_k)$  given by  $\mathcal{F} \mapsto \mathcal{F}(k^{\text{sep}})$ , where we are using the fact that the covering  $\text{Spec } k^{\text{sep}} \rightarrow \text{Spec } k$  is étale. In fact, this functor is an equivalence: its inverse functor takes a continuous  $G_k$ -module  $S$  to the étale presheaf  $\mathcal{F}$  which sends some étale covering  $\text{Spec } L \rightarrow \text{Spec } k$  (and note we may as well assume that  $L/k$  is separable) to

$$\mathcal{F}(\text{Spec } L) := S^{\text{Gal}(L/k)}.$$

It is not hard to check that this is actually a sheaf and that the functors we have defined are inverse equivalences.

In general, we have two general techniques to build sheaves: sheafification and descent. Let's begin with sheafification.

**Definition 7 (sheafification).** Fix a scheme  $X$ . For any étale presheaf  $\mathcal{F}$  on  $X$ , we define the presheaf  $\mathcal{F}^+$  on  $X$  on some étale open subset  $U$  of  $X$  by

$$\mathcal{F}^+(U) := \text{colim}_{\text{cover } \{U_i \rightarrow U\}} \left\{ (s_i)_i \in \prod_i \mathcal{F}(U_i) : s_i|_{U_i \times_U U_j} = s_j|_{U_i \times_U U_j} \text{ for all } i, j \right\}.$$

We call  $(-)^{++}$  the *sheafification*.

**Remark 8.** One can view the large collection of tuples  $(s_i)_i$  as  $\check{H}^0(U, \mathcal{F})$ . Thus, if  $\mathcal{F}$  is a sheaf, then we see that the canonical map  $\mathcal{F} \rightarrow \mathcal{F}^+$  is a natural isomorphism.

**Remark 9.** If  $\mathcal{F}$  is a presheaf, it turns out that  $\mathcal{F}^+$  is a separated presheaf, meaning that the canonical map

$$\mathcal{F}(U) \rightarrow \prod_i \mathcal{F}(U_i)$$

is monic for any covering  $\{U_i \rightarrow U\}$ . Further, if  $\mathcal{F}$  is a separated presheaf, then  $\mathcal{F}^+$  is a sheaf. This explains why  $(-)^{++}$  is the sheafification.

The largest source of our sheaves will come from “faithfully flat descent.” In hopes of not being bogged down in commutative algebra, we content ourselves with only the application we need.

**Theorem 10 (faithfully flat descent).** Fix a scheme  $X$ . Define the functor  $(-)_\text{ét} : \text{QCoh}(X_{\text{Zar}}) \rightarrow \text{PSh}(X_\text{ét})$  given by sending a quasicoherent sheaf  $\mathcal{F}$  on  $X_\text{ét}$  to the étale presheaf  $\mathcal{F}_\text{ét}$  defined by sending the étale open subset  $p: U \rightarrow X$  to

$$\Gamma(U, \mathcal{F}_\text{ét}) := p^* \mathcal{F}(U).$$

Then  $(-)_\text{ét}$  is fully faithful and has image in  $\text{Sh}(X_\text{ét})$ .

**Remark 11.** In fact, the essential image is given by “quasicoherent” étale sheaves.

**Example 12.** If  $Y \rightarrow X$  is a commutative group scheme over  $X$ , then  $Y$  defines a quasicoherent Zariski sheaf over  $X$  and hence an étale sheaf over  $X$ . For example, taking  $Y = \mathbb{G}_{m,X}$  defines the étale sheaf

$$\Gamma(U, \underline{\mathbb{G}}_m) = \Gamma(U, \mathcal{O}_U^\times).$$

There is a similar étale sheaf  $\underline{\mu}_n$  for any positive integer  $n \geq 1$  given by the scheme  $X \times_{\mathbb{Z}} \mathbb{Z}[T]/(T^n - 1)$ ; on  $U$ , it outputs the  $n$ th roots of unity in  $\mathcal{O}_U^\times$ .

**Remark 13 (Kummer).** Fix a scheme  $X$  and a positive integer  $n$  for which  $m \in \Gamma(X, \mathcal{O}_X^\times)$ . Then we claim that the sequence

$$1 \rightarrow \underline{\mu}_n \rightarrow \underline{\mathbb{G}}_m \xrightarrow{n} \underline{\mathbb{G}}_m \rightarrow 1$$

is exact. Exactness on the left can be commuted on the level of presheaves by Example 5. Lastly, for surjectivity on the right, we note that a section  $u \in \mathcal{O}_U^\times(U)$  for some étale affine open subset  $U \rightarrow X$  admits a lift in  $\underline{\mathbb{G}}_m$  at the étale open subset  $U' := \text{Spec } \mathcal{O}_U[T]/(T^n - u)$ . In particular,  $U' \rightarrow X$  continues to be étale over  $X$  because the polynomial  $T^n - u$  is separable!

As with Zariski sheaves, we will get quite some utility out of the ability to take fibers.

**Definition 14 (étale fiber).** Fix some étale presheaf  $\mathcal{F}$  on a scheme  $X$ . Given a geometric point  $\bar{x} \hookrightarrow X$ , an *étale open neighborhood* is an étale open subset  $U \rightarrow X$  such that  $\bar{x}$  factors through  $U$ . We then define the fiber

$$\mathcal{F}_{\bar{x}} := \text{colim}_{\substack{\text{étale } U \rightarrow X \\ \bar{x} \in U}} \Gamma(U, \mathcal{F}).$$

**Remark 15.** We claim that some étale sheaf  $\mathcal{F}$  on  $X$  vanishes if and only if all its stalks vanish. Indeed, the stalks vanishing implies that  $\Gamma(\mathcal{F}, U) = 0$  for any sufficiently small étale open neighborhood of  $X$ , which implies  $\mathcal{F}^+ = 0$  and hence  $\mathcal{F} = 0$  because  $\mathcal{F}$  is a sheaf.

**Proposition 16.** Fix a scheme  $X$ . A sequence

$$0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0$$

of étale sheaves on  $X$  is exact if and only if the fibers

$$0 \rightarrow \mathcal{F}'_{\bar{x}} \rightarrow \mathcal{F}_{\bar{x}} \rightarrow \mathcal{F}''_{\bar{x}} \rightarrow 0$$

is exact for every geometric point  $\bar{x} \hookrightarrow X$ .

*Proof.* For the forward direction, exactness on the left follows because taking global sections is left-exact by Example 5 (and the fact that directed colimits commute with limits). Lastly, the fact that  $\mathcal{F}_{\overline{x}} \rightarrow \mathcal{F}_{\overline{x}}''$  is surjective can be checked by using the fact that  $\mathcal{F} \rightarrow \mathcal{F}''$  is epic on the skyscraper sheaf at  $\overline{x}$ .

For the reverse direction, it is enough to note that taking kernels and cokernels commute with taking fibers, which follows in the case of cokernels because taking sheafification commutes with taking fibers, by the construction of the sheafification. ■

## 1.2 Cohomology: Starting Calculations

It turns out that  $\mathrm{Sh}(X_{\mathrm{\acute{e}t}})$  has enough injectives. In the sequel, we want access to two derived functors.

**Definition 17** (étale cohomology). Fix a scheme  $X$ . Then the functor  $\Gamma(X, -)$  is left-exact by Example 5, so we may define the étale cohomology functors

$$H^\bullet(X_{\mathrm{\acute{e}t}}; -) : \mathrm{Sh}(X_{\mathrm{\acute{e}t}}) \rightarrow \mathrm{Ab}$$

as the right-derived functors  $R^\bullet \Gamma(X, -)$ .

**Definition 18** (pushforward). Fix a morphism  $f : X \rightarrow Y$  of schemes. Then we define the *pushforward*  $f_* : \mathrm{PSh}(X_{\mathrm{\acute{e}t}}) \rightarrow \mathrm{PSh}(Y_{\mathrm{\acute{e}t}})$  by

$$f_* \mathcal{F}(-) := \mathcal{F}(X \times_Y -).$$

**Remark 19.** If  $\mathcal{F}$  is an étale sheaf, then the sheaf condition on  $X$  directly implies the sheaf condition for  $f_* \mathcal{F}$  on  $Y$ . Furthermore, by checking on sections, we see that  $f_*$  is exact on presheaves and hence left-exact on sheaves by Example 5.

**Remark 20.** As with Zariski sheaves, one can show that  $f_*$  admits an exact left adjoint

$$f^* \mathcal{G}(U) := \left( \operatorname{colim}_{U \hookrightarrow (X \times_Y V)} \mathcal{F}(V) \right)^{++}.$$

As usual, the exactness of  $f^*$  is seen on stalks.

**Example 21** (skyscraper). If  $i : \{x\} \hookrightarrow X$  is the inclusion of a point, then we can take sheaves  $\mathcal{F}$  on  $\{x\}$  to the “skyscraper” sheaves  $i_* \mathcal{F}$  on  $X$ . One can use these skyscraper sheaves to show that  $X_{\mathrm{\acute{e}t}}$  has enough injectives in the same way as for Zariski sheaves.

**Definition 22** (higher pushforward). Fix a morphism  $f : X \rightarrow Y$  of schemes. Then we define the *higher pushforwards* as the right-derived functors

$$R^\bullet f_* : \mathrm{Sh}(X_{\mathrm{\acute{e}t}}) \rightarrow \mathrm{Sh}(Y_{\mathrm{\acute{e}t}}).$$

**Remark 23.** Exactly as for Zariski sheaves, one can show that  $R^i f_* \mathcal{F}$  is the sheafification of

$$U \mapsto H^i(X \times_Y U, \mathcal{F}).$$

For example, this follows by a consideration of  $\delta$ -functors because  $R^\bullet f_*$  is a universal  $\delta$ -functor [Har77, Proposition 8.1].

To show that we can actually compute these groups sometimes, let’s give some easier calculations.

**Example 24 (Galois cohomology).** Suppose that  $X$  is a point  $\text{Spec } k$ , and set  $G_k := \text{Gal}(k^{\text{sep}}/k)$  for brevity. Then Example 6 provides an equivalence of categories

$$\text{Sh}(X_{\text{ét}}) \cong \text{Mod}(G_k),$$

and one can see that taking global sections on the left corresponds to taking Galois invariants on the right. Thus, étale cohomology corresponds to Galois cohomology. For example,  $H^1((\text{Spec } k)_{\text{ét}}, \underline{\mathbb{G}}_m) = 0$  by Hilbert's theorem 90.

**Example 25.** If  $X$  is a smooth proper irreducible variety over an algebraically closed field  $k$ , then we can compute the global sections as  $\mathcal{O}_X(X) = k$ , so

$$H^0(X_{\text{ét}}, \underline{\mathbb{G}}_m) = k^\times.$$

**Lemma 26.** Fix a scheme  $X$ . Then

$$H^1(X_{\text{ét}}, \underline{\mathbb{G}}_m) = \text{Pic}(X).$$

*Proof.* The idea is that an invertible sheaf is a  $\underline{\mathbb{G}}_m$ -torsor, and one expects  $H^1(X_{\text{ét}}, \underline{G})$  to classify  $G$ -torsors over  $X$  up to isomorphism. Let's make this expectation more explicit.

One can show that  $H^1(X_{\text{ét}}, \underline{\mathbb{G}}_m)$  can be computed via Čech cohomology, which means that  $H^1(X_{\text{ét}}, \underline{\mathbb{G}}_m)$  is the colimit of the groups

$$\check{H}^1(\{U_i \rightarrow X\}_i, \underline{\mathbb{G}}_m) = \frac{\ker \left( \prod_{ij} \mathcal{O}_{U_{ij}}(U_{ij})^\times \rightarrow \prod_{i,j,k} \mathcal{O}_{U_{ijk}}(U_{ijk})^\times \right)}{\text{im} \left( \prod_i \mathcal{O}_{U_i}(U_i)^\times \rightarrow \prod_{i,j} \mathcal{O}_{U_{ij}}(U_{ij})^\times \right)},$$

where the colimit is being taken over étale coverings  $\{U_i \rightarrow X\}_i$ . (Here,  $U_{ij} = U_i \times_X U_j$  and  $U_{ijk} = U_i \times_X U_j \times_X U_k$ .) Now, given an invertible étale sheaf  $\mathcal{L}$ , we can produce an element of the above colimit by fixing some étale covering  $\{U_i \rightarrow X\}_i$  trivializing  $\mathcal{L}$  with given trivializations  $\varphi_i: \mathcal{L}|_{U_i} \rightarrow \mathcal{O}_{U_i}$ . Then the scalars  $\{c_{ij}\}_{i,j}$  defined by composing the isomorphisms

$$\mathcal{O}_{U_{ij}} = \mathcal{O}_{U_i}|_{U_j} \cong \mathcal{L}|_{U_i}|_{U_j} = \mathcal{L}|_{U_j}|_{U_i} \cong \mathcal{O}_{U_j}|_{U_i} = \mathcal{O}_{U_{ij}}$$

produce an element in the numerator of  $\check{H}^1(\{U_i \rightarrow X\}_i, \underline{\mathbb{G}}_m)$ . It turns out that the denominator is exactly given by the trivial étale invertible sheaves, so we conclude that the colimit  $H^1(X_{\text{ét}}, \underline{\mathbb{G}}_m)$  classifies invertible étale sheaves up to isomorphism.

It now remains to check that the group of invertible étale sheaves up to isomorphism is isomorphic to the group of invertible Zariski sheaves up to isomorphism. For this, it is enough to show that there is an equivalence of full subcategories from invertible Zariski sheaves to invertible étale sheaves, for which we use the functor of Theorem 10. This functor is already fully faithful, and it sends invertible Zariski sheaves to invertible étale sheaves.

Lastly, to see that it is essentially surjective, we must use some descent. Note that any invertible étale sheaf is quasicoherent and this already of the form  $\mathcal{L}^{\text{ét}}$  for some quasicoherent Zariski sheaf  $\mathcal{L}$ . To check that  $\mathcal{L}$  is Zariski-locally trivial, it is enough to take any étale open covering  $\{p_i: U_i \rightarrow X\}_i$  and note that  $\mathcal{L}|_{U_i}$  being trivial implies that  $\mathcal{L}|_{p(U_i)}$  is trivial because being trivial can be checked after faithfully flat base change! ■

### 1.3 Cohomology: Curves

Our present goal is to show the following.

**Theorem 27.** Fix a smooth projective irreducible curve  $X$  over an algebraically closed field  $k$ . Then

$$H^i(X_{\text{ét}}, \mathbb{G}_m) = \begin{cases} k^\times & \text{if } i = 0, \\ \text{Pic } X & \text{if } i = 1, \\ 0 & \text{if } i \geq 2. \end{cases}$$

Let's explain why we should care.

**Corollary 28.** Fix a smooth projective irreducible curve  $X$  over an algebraically closed field  $k$ . Then for any positive integer  $n$  which is nonzero in  $k$ ,

$$H^i(X_{\text{ét}}, \mu_n) = \begin{cases} \mu_n & \text{if } i = 0, \\ \text{Pic}^0(X)[n] & \text{if } i = 1, \\ \mathbb{Z}/n\mathbb{Z} & \text{if } i = 2, \\ 0 & \text{if } i \geq 3. \end{cases}$$

*Proof.* In degree 0, this follows because the  $n$ th roots of unity in  $k^\times$  is everything in  $\mu_n$ . For the remaining calculations, we apply Theorem 27 to the Kummer exact sequence

$$1 \rightarrow \mu_n \rightarrow \mathbb{G}_m \xrightarrow{n} \mathbb{G}_m \rightarrow 1$$

of Remark 13. Indeed, because the map  $n: k^\times \rightarrow k^\times$  is surjective, the long exact sequence shows that  $H^i(X_{\text{ét}}, \mu_n) = 0$  for  $i \geq 3$ , and in lower degrees, the sequence

$$0 \rightarrow H^1(X_{\text{ét}}, \mu_n) \rightarrow \text{Pic}(X) \xrightarrow{n} \text{Pic}(X) \rightarrow H^2(X_{\text{ét}}, \mu_n) \rightarrow 0$$

is exact. We now compute  $H^1(X_{\text{ét}}, \mu_n)$  and  $H^2(X_{\text{ét}}, \mu_n)$  separately.

- In degree 1, we see that any element in the kernel  $n: \text{Pic}(X) \rightarrow \text{Pic}(X)$  must be in degree 0, so  $H^1(X_{\text{ét}}, \mu_n)$  is also the kernel of  $n: \text{Pic}^0(X) \rightarrow \text{Pic}^0(X)$ . Of course, this is just  $\text{Pic}^0(X)[n]$ , as desired.
- In degree 2, we note that there is an isomorphism  $\text{Pic}^0(X) \oplus \mathbb{Z} \rightarrow \text{Pic}(X)$  by sending  $1 \in \mathbb{Z}$  to any divisor in  $\text{Pic } X$  of degree 1. Now, we can compute the cokernel of  $n: \text{Pic}(X) \rightarrow \text{Pic}(X)$  on each of  $\text{Pic}^0(X)$  and  $\mathbb{Z}$  separately. Well, because  $\text{Pic}^0(X)$  is the Jacobian of  $X$  and hence an abelian variety and hence a divisible group (over the algebraically closed field  $k$ ), we see that the cokernel on  $\text{Pic}^0(X)$  vanishes. Lastly, the cokernel of  $n: \mathbb{Z} \rightarrow \mathbb{Z}$  produces the desired  $\mathbb{Z}/n\mathbb{Z}$ . ■

**Remark 29.** If  $X(\mathbb{C})$  is a smooth projective irreducible curve over  $\mathbb{C}$  of genus  $g$ , then Betti cohomology tells us to expect

$$H^i(X, \mathbb{Z}/n\mathbb{Z}) = \begin{cases} \mathbb{Z}/n\mathbb{Z} & \text{if } i = 0, \\ (\mathbb{Z}/n\mathbb{Z})^{2g} & \text{if } i = 1, \\ \mathbb{Z}/n\mathbb{Z} & \text{if } i = 2, \\ 0 & \text{if } i \geq 3. \end{cases}$$

Thus, Corollary 28 explains that we are getting the correct Betti numbers on finite coefficients! (Namely,  $\text{Pic}^0(X)[n] \cong (\mathbb{Z}/n\mathbb{Z})^{2g}$  because  $\text{Pic}^0(X)$  is an abelian variety of dimension  $2g$ .)

We now embark on the proof of Theorem 27. By Example 25 and Lemma 26, it remains to show vanishing in high degrees. To this end, we will require the following rather annoying algebraic input.

**Lemma 30.** Fix a profinite group  $G$  and a discrete  $G$ -module  $M$ . Suppose that  $H^i(H, M) = 0$  for  $i \in \{1, 2\}$  for all closed subgroups  $H \subseteq G$ . Then  $H^i(G, M) = 0$  for all  $i > 0$ .

*Proof.* By realizing profinite group cohomology as a colimit, it is enough to handle the case where  $G$  is finite. We show this in cases.

1. If  $G$  is cyclic, this holds because  $H^i(G, -)$  is 2-periodic for  $i \geq 1$ .
2. Suppose  $G$  is solvable. In this case, we induct on  $|G|$ , where the previous step handles the base case. Now, for the inductive step, we are granted a normal subgroup  $H \subseteq G$  for which  $G/H$  is cyclic. We would like to show that  $H^i(G, M) = 0$  for all  $i \geq 1$ , so fix an injective resolution  $M \rightarrow I^\bullet$  of  $M$ , and we would like to show that this is injective after taking  $G$ -invariants. We will take  $H$ -invariants first and then take  $(G/H)$ -invariants afterwards.

Note  $\text{Res}_H^G$  has an exact left adjoint  $\text{Ind}_H^G$ , so  $\text{Res}_H^G$  sends injectives to injectives, so  $M \rightarrow I^\bullet$  is in fact an injective resolution for  $M$  viewed as an  $H$ -module. Thus,

$$M^H \rightarrow (I^H)^\bullet$$

continues to be an exact resolution for  $M^H$  because  $H^i(H, M) = 0$  for all  $i \geq 1$  by the inductive hypothesis. In fact, the modules  $I^H$  continue to be injective because  $(-)^H = \text{Hom}_{\mathbb{Z}[H]}(\mathbb{Z}, -)$  has an exact left adjoint given by  $- \otimes_{\mathbb{Z}[G]} \mathbb{Z}$ , so the above is an injective resolution of  $G$ -modules! Accordingly, we can take its  $G$ -invariants to compute cohomology, so we find that

$$H^i(G, M) = H^i(G/H, M^H)$$

for all  $i$ . Accordingly,  $H^i(G/H, M^H) = 0$  for  $i \in \{1, 2\}$ , and the same works for any subgroup of  $G/H$ , so we conclude by the inductive hypothesis.

3. Lastly, we work in the general case. Let  $G_p$  be a Sylow  $p$ -subgroup for each prime  $p$ , and we note that the solvable case above shows that  $H^i(G_p, M) = 0$  for all primes  $p$  and  $i \geq 1$ . Thus, for each  $i \geq 1$ , the composite

$$H^i(G, M) \xrightarrow{\text{Res}} H^i(G_p, M) \xrightarrow{\text{CoRes}} H^i(G, M)$$

vanishes; but this composite is multiplication by  $[G : G_p]$ , so multiplying by  $[G : G_p]$  kills  $H^i(G, M)$ . Taking the greatest common divisor of all  $[G : G_p]$  as  $p$  varies verifies that  $H^i(G, M) = 0$ . ■

**Proposition 31 (Tsen).** Fix an algebraically closed field  $k$ , and let  $K$  be an extension of transcendence degree 1. Then, for each  $i \geq 2$ , we have

$$H^i((\text{Spec } K)_{\text{ét}}, \mathbb{G}_m) = 0$$

*Proof.* By Lemma 30 and Hilbert's theorem 90 (as in Example 24) shows that we only have to handle  $i = 2$ . Quickly, let's explain how to reduce this to an algebra problem. By Example 24, we see that cohomology on the point  $\text{Spec } K$  corresponds to Galois cohomology of  $G_K := \text{Gal}(K^{\text{sep}}/K)$ , so we are interested in showing that the Galois cohomology group  $H^2(G_K, \overline{K}^\times)$  vanishes. Luckily, this group is understood to classify central simple algebras over  $K$  up to equivalence, where two central simple algebras  $A$  and  $B$  are equivalent if and only if there are integers  $m$  and  $n$  for which  $M_m(A) \cong M_n(B)$ .

Thus, it remains to classify central simple algebras over  $K$ . This is done in two steps.

1. We show that  $K$  is " $C_1$ ," meaning that any homogeneous polynomial  $f \in K[x_1, \dots, x_n]$  of degree  $d < n$  admits a nonzero solution. For this, we will use Riemann–Roch; let  $X$  be the smooth proper curve over  $k$  with function field  $K$ , and let  $g$  be the genus of  $X$ . Fix a basepoint  $x_0 \in X$  and a positive integer  $r$  to be made large later. Then evaluation of  $f$  defines a map

$$f: \Gamma(X, \mathcal{O}_X(rx_0)) \rightarrow \Gamma(X, \mathcal{O}_X(dx_0)).$$

We are on the hunt for a root of  $f$ , which may as well come from  $\Gamma(X, \mathcal{O}_X(rx_0))$ . For  $r$  large enough, we see that

$$\begin{cases} \dim \Gamma(X, \mathcal{O}_X(rx_0)) = r - g + 1, \\ \dim \Gamma(X, \mathcal{O}_X(dx_0)) = rd - g + 1, \end{cases}$$

in which case the induced map

$$f: \mathbb{A}_k^{n(r-g+1)} \rightarrow \mathbb{A}_k^{rd-g+1}.$$

Now, the fiber over 0 (which is nonempty because it contains 0) has dimension at least  $(rd - g + 1) - n(r - g + 1)$  (indeed, this can be seen on the level of transcendence degrees of residue fields), which is positive and hence nonzero for  $r$  large enough.

2. Given that  $K$  is  $C_1$ , we now complete the proof. By the theory of central simple algebras (in particular, by Wedderburn's theorem), it is enough to show that any division algebra  $D$  over  $K$  is in fact isomorphic to  $k$ . Now, it is known that  $D \otimes_K \bar{K}$  is isomorphic to  $M_r(\bar{K})$  for some positive integer  $r$ , so one may define a "reduced norm"  $N: D \rightarrow K$  given by

$$N(a) := \det_{\bar{K}}(a \otimes 1).$$

It turns out that  $N$  does not depend on the choice of isomorphism  $D \otimes_K \bar{K} \cong M_r(\bar{K})$ , which one can show (for example) by the Skolem–Noether theorem.

In fact, once we give  $D$  as basis over  $K$  (which notably requires  $r^2$  elements), we find that  $N$  is a homogeneous polynomial of degree  $r$  by its definition. However, it has no nonzero roots: any  $a \in D^\times$  has  $N(a) \neq 0$  because  $N(a)N(1/a) = 1$ . Thus, we must have  $\dim_K D \leq \deg N$ , so  $r^2 \leq r$ , so  $r = 1$ . ■

We are now ready to prove Theorem 27

*Proof of Theorem 27.* By Theorem 27. By Example 25 and Lemma 26, it remains to show vanishing in high degrees, it remains to show that  $H^i(X_{\text{ét}}, \underline{\mathbb{G}}_m) = 0$  for  $i \geq 2$ . Let  $j: \eta \hookrightarrow X$  be the generic point of  $X$ . The idea is to consider the short exact sequence

$$0 \rightarrow \underline{\mathbb{G}}_m \rightarrow j_* \underline{\mathbb{G}}_m \xrightarrow{\text{div}} \bigoplus_{\text{closed } i_x: x \hookrightarrow X} (i_x)_* \underline{\mathbb{Z}} \rightarrow 0$$

of étale sheaves on  $X$ . Let's quickly explain where the maps come from and why this sequence is exact.

- The map  $\underline{\mathbb{G}}_m \rightarrow j_* \underline{\mathbb{G}}_m$  is induced on sections: given some étale open subset  $U \hookrightarrow X$ , we see that  $U$  must be one-dimensional, and we are mapping  $\mathcal{O}_U(U)^\times$  into  $K(U)^\times$ . This description allows us to see that this map is injective, so our sequence is exact at  $\underline{\mathbb{G}}_m$ .
- The map  $\text{div}$  is also induced on sections: given some étale open subset  $U \hookrightarrow X$ , we send  $f \in K(U)^\times$  to its valuations at each given closed point of  $U$ . This description again allows us to see that this map is surjective: given any finite set of closed points  $S \subseteq U$ , we need to exhibit some  $f \in K(U)^\times$  with prescribed valuations at each point in  $S$ . By shrinking  $U$ , we may assume that  $U$  is not proper and hence affine, and we may further assume that the primes in  $S$  are principal (because Dedekind domains localize to factorial domains). Then such a function  $f$  can be found by considering the fraction field  $K(U)$ .
- Lastly, we should check that our sequence is exact in the middle. We may once again do this on sections: on any étale open subset  $U \hookrightarrow X$ , this amounts to the statement that any  $f \in K(U)^\times$  with no poles or zeroes must come from  $\mathcal{O}_U(U)^\times$ . This follows from the algebraic version of Hartog's lemma.

The long exact sequence will now be complete the proof as soon as we show that  $H^i(X_{\text{ét}}, j_* \underline{\mathbb{G}}_m) = 0$  and  $H^i(X_{\text{ét}}, (i_x)_* \underline{\mathbb{Z}}) = 0$  for  $i \geq 1$ .

- We show that  $H^i(X_{\text{ét}}, (i_x)_* \underline{\mathbb{Z}}) = 0$  for  $i \geq 1$ . In fact, we will show that

$$H^i(X_{\text{ét}}, (i_x)_* \underline{\mathbb{Z}}) \stackrel{?}{=} H^i(\{x\}, \underline{\mathbb{Z}})$$

for any  $i$ , from which the claim follows from Example 24 because  $x = \text{Spec } k$ , and  $k$  is algebraically closed.



To show the claim, we compute on injective resolutions: give  $\underline{\mathbb{Z}} \in \mathrm{Sh}(\{x\}_{\text{ét}})$  an injective resolution  $\underline{\mathbb{Z}} \rightarrow \mathcal{I}^\bullet$ . Because  $i_x$  is a closed embedding, we see that

$$(i_x)_* \underline{\mathbb{Z}} \rightarrow (i_x)_* \mathcal{I}^\bullet$$

is also a resolution; namely, exactness can be checked on stalks. However,  $(i_x)_*$  as an exact left adjoint  $(i_x)^*$ , so it sends injectives to injectives, so this is actually an injective resolution. Thus, we may use the resolution  $(i_x)_* \mathcal{I}^\bullet$  to compute cohomology, but its global sections are just given by the global sections of  $\mathcal{I}^\bullet$ , and the result follows.

- We show that  $H^i(X_{\text{ét}}, j_* \underline{\mathbb{G}_m}) = 0$  for  $i \geq 1$ . Once again, we will actually show that

$$H^i(X_{\text{ét}}, j_* \underline{\mathbb{G}_m}) \stackrel{?}{=} H^i(\{\eta\}, \underline{\mathbb{G}_m})$$

for all  $i$ , from which the result will follow from Proposition 31.

We once again show this on the level of injective resolutions: choose an injective resolution  $\mathcal{I}^\bullet$  of  $\underline{\mathbb{G}_m} \in \mathrm{Sh}(\{\eta\}_{\text{ét}})$ . Then  $j_*$  still sends injectives to injectives because it has an exact left adjoint, so the argument of the previous point will allow us to conclude as soon as we know that

$$0 \rightarrow j_* \underline{\mathbb{G}_m} \rightarrow j_* \mathcal{I}^0 \rightarrow j_* \mathcal{I}^1 \rightarrow \dots$$

is actually exact. For this, we must check that  $R^i j_* \underline{\mathbb{G}_m} = 0$  for all  $i \geq 1$ , which we will do on stalks. Because  $X$  is a smooth curve, it is enough to only consider the stalks at closed points  $x \in X$ . But by Remark 23, the stalk at some geometric point  $x \in X$  is the sheafification of the presheaf

$$U \mapsto H^i(U \times_X \eta, \underline{\mathbb{G}_m}),$$

which vanishes by Proposition 31 because  $U \times_X \eta$  is a disjoint union of fields  $K$  of transcendence degree 1 over  $k$ . ■

**Remark 32.** The end of the argument can be recast into a particularly simple application of the Leray spectral sequence. In order to avoid technicalities, we have not given this argument. See [Del77, Section III.3]

## 1.4 Base Change Theorems

Having done a little work with étale cohomology, we allow ourselves to state some big theorems of étale cohomology, without any proofs. In particular, we are morally obligated to record a statement of the Proper base change theorem.

**Theorem 33 (Proper base change).** Fix a pullback square as follows.

$$\begin{array}{ccc} X' & \xrightarrow{g'} & X \\ f' \downarrow & & \downarrow f \\ S' & \xrightarrow{g} & S \end{array}$$

For any torsion étale sheaf  $\mathcal{F}$  on  $X$ , the natural map

$$g^* R^\bullet f_* \mathcal{F} \rightarrow R^\bullet (f')_* ((g')^* \mathcal{F})$$

is an isomorphism if  $f$  is proper.

**Remark 34.** Let's construct the natural map in degree 0: note  $((g')^*, (g')_*)$  forms an adjoint pair, so there is a unit map  $\text{id} \rightarrow (g')_*(g')^*$ , which produces a map  $f_* \rightarrow f_*(g')_*(g')^*$ , but  $f_*(g')_* = g_*(f')_*$ , so we complete the construction of the map upon using the adjunction  $((g')^*, (g')_*)$  again.

**Example 35.** The key case of the theorem occurs when  $S'$  is a single point  $s \hookrightarrow S$  so that  $X'$  is a fiber  $X_s$ . In this case, we can see that Theorem 33 amounts to saying that

$$(R^\bullet f_* \mathcal{F})_s = H^\bullet(X_s, \mathcal{F}),$$

which intuitively means that the higher pushforward interpolates cohomology of the fibers.

**Remark 36.** There is also a base change theorem where  $F$  is instead assumed to be smooth. Unsurprisingly, this is referred to as the Smooth base change theorem.

We are not going to do anything with base change today, but it is worth noting that it allows us to make sense of cohomology with proper supports.

**Definition 37.** Fix a separated scheme  $X$  which is of finite type over a field  $k$ . Then Nagata's theorem provides a compactification  $i: X \hookrightarrow \overline{X}$ . For any torsion étale sheaf  $\mathcal{F}$  on  $X$ , we define the *cohomology with proper supports* as

$$H_c^\bullet(X_{\text{ét}}, \mathcal{F}) := H^\bullet(\overline{X}_{\text{ét}}, i_* \mathcal{F}).$$

Indeed, checking that this definition is well-defined requires Theorem 33.

## 2 Weil Cohomology Theories

It will be worth our time to encode everything we expect to be true for a good cohomology theory, and it then turns out that étale cohomology provides an example of such a theory. In essence, we are asking for a formalism of a cohomology theory, which is known as a Weil cohomology theory. Approximately speaking, a Weil cohomology theory is a cohomology theory with the minimum amount of data to prove the Lefschetz trace formula without too much pain. Our exposition here follows [SP, Tag 0FFG]. Throughout, we freely use facts about intersection theory and Chow groups because the author is too ignorant to provide a suitable review of these notions; everything we need can be found in [Ful98].

### 2.1 The Data

Throughout, we fix a base field  $K$  and a coefficient field  $F$ . We require  $\text{char } F = 0$ , but we do not require  $K$  to be algebraically closed. These hypotheses will not be repeated!

**Notation 38.** Let  $\mathcal{P}(K)$  denote the category of smooth projective varieties over  $K$ , with morphisms given by regular maps.

Here is the data we will be working with.

**Definition 39** (Weil cohomology datum). A Weil cohomology datum consists of the following data.

- A one-dimensional  $F$ -vector space  $F(1)$ .
- A contravariant functor  $H^\bullet$  from  $\mathcal{P}(K)$  to the category of  $\mathbb{Z}$ -graded commutative  $F$ -algebras. We will write the product as a cup  $\cup$ .
- For  $X \in \mathcal{P}(K)$  of equidimension  $d$ , there is a trace map  $\int_X : H^{2d}(X)(d) \rightarrow F$ .
- For  $X \in \mathcal{P}(K)$ , there is a cycle class map  $\text{cl}_X : CH^i(X) \rightarrow H^{2i}(X)(i)$ , which is required to be a group homomorphism.

Frequently, we will call  $H^\bullet$  alone the Weil cohomology datum, leaving the other inputs implied.

In short,  $F(1)$  is the Tate twist,  $H^\bullet$  are the vector spaces one usually remembers with Weil cohomology theories,  $\int_X$  keeps track of Poincaré duality, and  $\text{cl}_X$  relates cohomology to geometry.

In order to keep us thinking “cohomologically,” we use some special notation.

**Notation 40.** Fix a Weil cohomology datum  $H^\bullet$  over  $K$  with coefficients in  $F$ .

- For any  $F$ -vector space  $V$ , we write  $V(n) := V \otimes F(1)^{\otimes n}$ . Here, negative exponents denote duals.
- If  $f : X \rightarrow Y$  is a regular map, we let  $f^* : H^\bullet(Y) \rightarrow H^\bullet(X)$  denote the induced ring homomorphism.

**Remark 41.** In the sequel, we may note that  $f^*(\alpha \cup \beta) = f^*\alpha \cup f^*\beta$  without comment: indeed, this follows because  $f^*$  is a ring homomorphism! Similarly, we may use the fact that  $(g \circ f)^* = f^* \circ g^*$ , which follows because the functor  $H^\bullet$  is contravariant.

Let’s explain what makes our Weil cohomology datum for étale cohomology.

**Definition 42** ( $\ell$ -adic cohomology). Fix a smooth projective variety  $X$  over a field  $k$ . Then we define the  $\ell$ -adic cohomology as

$$\lim H^i((X_{\bar{k}})_{\text{ét}}, \mathbb{Z}/\ell^\bullet \mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{Q}.$$

In the sequel, we will abbreviate this group to  $H_\ell^i(X)$ .

**Remark 43.** Note  $H_\ell^i(X)$  is a Galois representation: any  $\sigma \in \text{Gal}(k^{\text{sep}}/k)$  induces a pullback  $\sigma^* : X_{k^{\text{sep}}} \rightarrow X_{\bar{k}^{\text{sep}}}$  and thus a morphism on cohomology.

Thus, we see that we are going to take our ground field  $F$  to be  $\mathbb{Q}_\ell$ . We should go ahead and explain what  $\mathbb{Q}_\ell(1)$  is.

**Definition 44** (Tate twist). Fix a scheme  $X$ . Then we define the Tate twist  $\mathbb{Z}/n\mathbb{Z}(1) := \underline{\mu}_n$  and extend our definition to  $\mathbb{Z}/n\mathbb{Z}(d)$  for any  $d \in \mathbb{Z}$  additively. Then we define

$$\mathbb{Z}_\ell(d) := \lim \mathbb{Z}/\ell^\bullet \mathbb{Z}(d).$$

**Remark 45.** It may look like  $\mathbb{Z}_\ell(d)$  and  $\mathbb{Z}_\ell$  are the same, and indeed, they are isomorphic as vector spaces. However,  $\mathbb{Z}_\ell$  has the trivial Galois action while  $\mathbb{Z}_\ell(1)$  has a “twisted” Galois action!

Next, we construct the cup product  $H_\ell^r(X) \otimes H_\ell^s(X) \rightarrow H_\ell^{r+s}(X)$ . The easiest way to do this is via Čech cohomology: given some étale open cover  $\{U_i \rightarrow X\}_i$  and sheaves  $\mathcal{F}$  and  $\mathcal{G}$ , we get a pairing

$$\prod_{i_0, \dots, i_r} \mathcal{F}(U_{i_0 \dots i_r}) \otimes \prod_{j_0, \dots, j_s} \mathcal{G}(U_{j_0 \dots j_s}) \rightarrow \prod_{i_0, \dots, i_{r+s}} (\mathcal{F} \otimes \mathcal{G})(U_{i_0 \dots i_{r+s}})$$

by sending  $f \otimes g$  to  $(f \cup g)_{i_0 \dots i_{r+s}} := f_{i_0 \dots i_r} \otimes g_{i_r \dots i_{r+s}}$ . One can check that this descends to cohomology and produces a  $\mathbb{Q}_\ell$ -algebra structure on  $H_\ell(X)$ .

It remains to construct the trace map and cycle class maps. These are significantly more technically involved, so we will largely skip them. Indeed, it is not infrequent that constructing the trace map and establishing its basic properties is about equally hard as proving Poincaré duality (which we will talk more about later). However, we mention that one can use Poincaré duality to construct the cycle class map as follows: given an irreducible subvariety  $Z \subseteq X$  where  $X$  has equidimension  $d$  and  $Z$  has equidimension  $r$ , we can compose the restriction

$$H_\ell^{2d-2r}(X)(d-r) \rightarrow H_\ell^{2d-2r}(Z)(d-r)$$

with the trace  $\int_Z$  to produce a functional on  $H_\ell^{2d-2r}(X)(d-r)$ . Poincaré duality will tell us that such functionals amount to the data of an element in  $H^{2r}(X)(r)$ , which turns out to be our cycle class map!

**Remark 46.** Here is another way to construct the cycle class map is to use Chern classes, which amounts to the data of a map

$$\text{Pic}(X) \rightarrow H^2(X)(1).$$

Now, a Weil cohomology datum is going to be required to satisfy many axioms. Before going further, let's summarize them.

- We need a Künneth formula to ensure that products of varieties go to products in graded algebras.
- We need Poincaré duality, for example to define pushforwards. This adds some coherence to the cycle class maps.
- To add some geometric input to the picture, we need some coherence of our cycle class maps.
- Lastly, we will need another axiom to ensure that, for example,  $H$  is only supported in nonnegative indices.

## 2.2 The Künneth Formula

Let's begin with the Künneth formula.

**Definition 47 (Künneth formula).** Fix a Weil cohomology datum  $H^\bullet$  over  $K$  with coefficients in  $F$ . Then  $H^\bullet$  satisfies the *Künneth formula* if and only if it satisfies the following for all  $X, Y \in \mathcal{P}(K)$ .

(a) Künneth formula: the map

$$\begin{aligned} H^\bullet(X) \otimes H^\bullet(Y) &\rightarrow H^\bullet(X \times Y) \\ \alpha \otimes \beta &\mapsto \text{pr}_1^* \alpha \cup \text{pr}_2^* \beta \end{aligned}$$

is an isomorphism of graded  $F$ -algebras. We may write  $\alpha \boxtimes \beta := \text{pr}_1^* \alpha \cup \text{pr}_2^* \beta$ .

(b) Fubini's theorem: if  $X$  and  $Y$  have equidimension  $d$  and  $e$ , respectively, then

$$\int_{X \times Y} (\alpha \boxtimes \beta) = \int_X \alpha \cdot \int_Y \beta$$

for any  $\alpha \in H^{2d}(X)(d)$  and  $\beta \in H^{2e}(Y)(e)$ .

**Remark 48.** It is worth recalling the grading on the tensor product of two graded vector spaces: if  $V$  and  $W$  are  $\mathbb{Z}$ -graded vector spaces, then  $(V \otimes W)$  has a grading given by

$$(V \otimes W)_n = \bigoplus_{i+j=n} V_i \otimes W_j.$$

In particular, we see that satisfying the Künneth formula implies that there is a canonical isomorphism

$$\bigoplus_{i+j=n} H^i(X) \otimes H^j(Y) \rightarrow H^n(X \times Y).$$

It is worth noting that the Künneth formula has good functoriality properties.

**Lemma 49.** Fix a Weil cohomology datum  $H^\bullet$  over  $K$  with coefficients in  $F$  satisfying the Künneth formula. Given morphisms  $f: X \rightarrow X'$  and  $g: Y \rightarrow Y'$  in  $\mathcal{P}(K)$ , we have

$$(f \times g)^* = f^* \otimes g^*.$$

*Proof.* Note that these are both automatically ring maps  $H^\bullet(X' \times Y') \rightarrow H^\bullet(X \times Y)$ . By the Künneth formula, it is enough to check this on elements of the form  $\alpha \boxtimes \beta = \text{pr}_1^* \alpha \cup \text{pr}_2^* \beta$ , where  $\alpha \in H^\bullet(X)$  and  $\beta \in H^\bullet(Y)$ . Well, we note

$$(f \times g)^* \text{pr}_1^* \alpha = f^* \alpha,$$

and similarly  $(f \times g)^* \text{pr}_2^* \beta = g^* \beta$ . Combining completes the proof.  $\blacksquare$

## 2.3 Poincaré Duality

We now move on to Poincaré duality.

**Definition 50 (Poincaré duality).** Fix a Weil cohomology datum  $H^\bullet$  over  $K$  with coefficients in  $F$ . Then  $H^\bullet$  satisfies *Poincaré duality* if and only if it satisfies the following for all  $X \in \mathcal{P}(K)$  of equidimension  $d$ .

- (a) Finite type: we have  $\dim_F H^i(X) < \infty$  for all  $i \in \mathbb{Z}$ .
- (b) Poincaré duality: for each index  $i$ , the composite

$$H^i(X) \times H^{2d-i}(X)(d) \xrightarrow{\cup} H^{2d}(X)(d) \xrightarrow{f_X} F$$

is a perfect pairing of vector spaces over  $F$ .

**Remark 51.** Notably, our definition allows cohomology to be supported in negative degrees! We will remedy this later in Lemma 76 when we have a full definition of a Weil cohomology theory.

An important feature of Poincaré duality is that it lets us define the pushforward.

**Notation 52.** Fix a Weil cohomology datum  $H^\bullet$  over  $K$  with coefficients in  $F$  satisfying Poincaré duality. If  $f: X \rightarrow Y$  is a regular map of smooth projective varieties of equidimensions  $d$  and  $e$  respectively, we define the index- $i$  pushforward

$$f_*: H^{2d-i}(X)(d) \rightarrow H^{2e-i}(Y)(e)$$

as the transpose of the pullback  $f^*$  under Poincaré duality.

**Remark 53.** Explicitly, given  $\alpha \in H^{2d-i}(X)(d)$ , then  $f_*\alpha \in H^{2e-i}(Y)(e)$  is defined as the unique element such that

$$\int_X (f^*\beta \cup \alpha) = \int_Y (\beta \cup f_*\alpha)$$

for all  $\beta \in H^i(Y)$ . For example, if  $\alpha \in H^{2d}(X)(d)$ , we may choose  $\beta = 1$  to see that  $\int_X \alpha = \int_Y f_*\alpha$ .

**Remark 54.** The pushforward construction is functorial: given maps  $f: X \rightarrow Y$  and  $g: Y \rightarrow Z$ , we check that  $(g \circ f)_* = g_* \circ f_*$ . Well, we already know that  $(g \circ f)^* = f^* \circ g^*$  by functoriality of  $H^\bullet$ , so this follows by taking the transpose along Poincaré duality.

**Remark 55.** If  $\dim X = \dim Y$ , then  $f_*$  preserves the grading. Further, we can undo the twisting to see that  $f_*$  becomes a graded linear map  $f_*: H^\bullet(X) \rightarrow H^\bullet(Y)$ .

We know that  $f^*(\alpha \cup \beta) = f^*\alpha \cup f^*\beta$ . We would like a similar way to compute  $f_*$  on products. This is not quite possible, but one can do something.

**Lemma 56 (Projection formula).** Fix a Weil cohomology datum  $H^\bullet$  over  $K$  with coefficients in  $F$  satisfying Poincaré duality. If  $f: X \rightarrow Y$  is a regular map of smooth projective varieties of equidimensions  $d$  and  $e$  respectively, then

$$f_*(f^*\beta \cup \alpha) = \beta \cup f_*\alpha$$

for each  $\alpha \in H^{2d-i}(X)(d)$  and  $\beta \in H^j(Y)$ .

*Proof.* We unravel the definition, following Remark 53. Indeed, for any  $\beta' \in H^{i-j}(Y)$  has

$$\int_X f^*\beta' \cup (f^*\beta \cup \alpha) = \int_Y \beta' \cup (\beta \cup f_*\alpha)$$

by definition of  $f_*\alpha$ . ■

**Remark 57.** This projection formula is expected on the level of cycles: for  $\alpha \in CH(X)$  and  $\beta \in CH(Y)$ , one has  $f_*(f^*\beta \cdot \alpha) = \beta \cdot f_*\alpha$  for any proper map  $f: X \rightarrow Y$ .

**Lemma 58.** Fix a Weil cohomology datum  $H^\bullet$  over  $K$  with coefficients in  $F$  satisfying the Künneth formula and Poincaré duality. Given  $X, Y \in \mathcal{P}(K)$  which are equidimensional of dimensions  $d$  and  $e$  respectively, then

$$\text{pr}_{2*}(\alpha \boxtimes \beta) = \left( \int_X \alpha \right) \beta$$

for any  $\alpha \in H^{2d}(X)(d)$  and  $\beta \in H^\bullet(Y)(e)$ .

*Proof.* It is enough to consider the case where  $\beta$  is homogeneous, so say  $\beta \in H^{2d-j}(Y)(e)$ . Then we must check that

$$\int_{X \times Y} \text{pr}_2^* \beta' \cup (\alpha \boxtimes \beta) \stackrel{?}{=} \int_Y \beta' \cup \left( \int_X \alpha \right) \beta$$

for any  $\beta' \in H^j(Y)$ . Well,  $\beta' \cup (\alpha \boxtimes \beta) = \alpha \boxtimes (\beta'\beta)$ , so this follows from the Künneth formula. ■

## 2.4 Cycle Coherence

Our last collection of coherence assumptions on  $H^\bullet$  is for the cycle class maps.

**Definition 59 (cycle coherence).** Fix a Weil cohomology datum  $H^\bullet$  over  $K$  with coefficients in  $F$  satisfying Poincaré duality. Then  $H^\bullet$  satisfies *cycle coherence* if and only if it satisfies the following.

- (a) Pullbacks: if  $f: X \rightarrow Y$  is a regular map of smooth projective varieties, then  $\text{cl}_X(f^! \beta) = f^* \text{cl}_Y(\beta)$  for any  $\beta \in \text{CH}^\bullet(Y)$ .
- (b) Pushforwards: if  $f: X \rightarrow Y$  is a regular map of smooth equidimensional projective varieties, then  $\text{cl}_Y(f_* \alpha) = f_* \text{cl}_X(\alpha)$  for any  $\alpha \in \text{CH}^\bullet(X)$ .
- (c) Cup products: given  $\alpha, \alpha' \in \text{CH}^\bullet(X)$ , we have  $\text{cl}_X(\alpha \cdot \alpha') = \text{cl}_X(\alpha) \cup \text{cl}_X(\alpha')$ .
- (d) Non-degeneracy: we have  $\int_{\text{Spec } K} \text{cl}_{\text{Spec } K}([\text{Spec } K]) = 1$ .

We now have enough axioms to start proving some results, so let's give a name for our current stopping point.

**Definition 60 (pre-Weil cohomology theory).** Fix a Weil cohomology datum  $H^\bullet$  over  $K$  with coefficients in  $F$  satisfying Poincaré duality. Then  $H^\bullet$  is a *pre-Weil cohomology theory* if and only if  $H^\bullet$  satisfies the Künneth formula, Poincaré duality, and cycle coherence.

As we start to move into proving things, it is worth keeping track of the following idea.



**Idea 61.** To prove something about all Weil cohomology theories, one proves something “motivic” (i.e., “geometric”) and then does linear algebra.

We will point out the various places we use motivic input; typically, one can see it as where we apply anything about cycle class maps. As an example, let's compute the cohomology of the point.

**Example 62.** Fix a pre-Weil cohomology theory  $H^\bullet$  over  $K$  with coefficients in  $F$ . Then the cohomology ring  $H^\bullet(\text{Spec } K)$  is supported in degree 0, and

$$\int_{\text{Spec } K} : H^0(\text{Spec } K) \rightarrow F$$

is an isomorphism of algebras over  $F$ .

*Proof.* Our pieces of motivic input will be that  $\text{Spec } K \times \text{Spec } K = \text{Spec } K$  and that  $[\text{Spec } K] \cdot [\text{Spec } K] = [\text{Spec } K]$  in  $\text{CH}^0(\text{Spec } K)$ .

Note  $\text{Spec } K \times \text{Spec } K \cong \text{Spec } K$ , so  $\dim_F H^\bullet(\text{Spec } K \times \text{Spec } K) = \dim_F H^\bullet(\text{Spec } K)$ . Thus, the Künneth formula requires  $\dim_F H^\bullet(\text{Spec } K) \in \{0, 1\}$ . However, the non-degeneracy part of cycle coherence forces  $H^0(\text{Spec } K) \neq 0$ , so we conclude  $\dim_F H^\bullet(\text{Spec } K) = 1$ . Now, Poincaré duality tells us that  $\dim_F H^i(X) = \dim_F H^{-i}(X)$  for all  $i \in \mathbb{Z}$ , so  $H^\bullet$  must be supported in degree 0.

It remains to show that  $\int_{\text{Spec } K} : H^0(\text{Spec } K) \rightarrow F$  is an isomorphism of algebras. This map is certainly an  $F$ -linear map of one-dimensional  $F$ -vector spaces, so it takes the form  $a \mapsto a \int_{\text{Spec } K} 1$  where  $1 \in H^0(\text{Spec } K)$  is the unit. It thus suffices to check that  $\int_{\text{Spec } K} 1 = 1$ . Well, cycle coherence requires  $\int_{\text{Spec } K} \text{cl}_{\text{Spec } K}([\text{Spec } K]) = 1$ , so we would like to show  $\text{cl}_{\text{Spec } K}([\text{Spec } K]) = 1$ . For this, we note that

$$[\text{Spec } K] \cdot [\text{Spec } K] = [\text{Spec } K],$$

so cycle coherence forces  $\text{cl}_{\text{Spec } K}([\text{Spec } K]) \in \{0, 1\}$ , and zero it is not permitted by non-degeneracy. ■

**Corollary 63.** Fix a pre-Weil cohomology theory  $H^\bullet$  over  $K$  with coefficients in  $F$ . If  $X \in \mathcal{P}(K)$ , then  $\text{cl}_X([X]) = 1$ .

*Proof.* Let  $p_X: X \rightarrow \text{Spec } K$  be the structure map. Then we have some motivic input  $[Y] = p_Y^*([\text{Spec } K])$ , so cycle coherence tells us that

$$\text{cl}_Y([Y]) = p_Y^*(\text{cl}_{\text{Spec } K}([\text{Spec } K])),$$

from which  $\text{cl}_Y([Y]) = 1$  follows by Example 62. ■

We can also check that our cohomology is sufficiently nontrivial.

**Proposition 64.** Fix a pre-Weil cohomology theory  $H^\bullet$  over  $K$  with coefficients in  $F$ . If  $X \in \mathcal{P}(K)$  is nonempty, then  $H^0(X) \neq 0$ .

*Proof.* Throughout, for  $Y \in \mathcal{P}(K)$ , the structure morphism is denoted by  $p_Y: Y \rightarrow \text{Spec } K$ . The proof has two steps.

1. We show that  $H^\bullet(X) \neq 0$  if  $X$  is nonempty and irreducible. It suffices to show that  $H^\bullet$  has some nonzero functional, for which we use points. Because  $X$  is smooth, it has a closed point  $x \in X$  with residue field  $\kappa(x)$  finite and separable over  $K$ ; let  $i: \{x\} \rightarrow X$  denote the inclusion. Then  $(p_X \circ i): \{x\} \rightarrow \text{Spec } K$  is given by the inclusion  $K \hookrightarrow \kappa(x)$ , from which we can compute

$$(p_X)_* i_*[x] = [\kappa(x) : K] \cdot [\text{Spec } K].$$

(At the level of intersection theory, one can see this by passing to the algebraic closure, whereupon  $x$  splits into  $[\kappa(x) : K]$  distinct geometric points.) This provides our geometric input. Then cycle class coherence and Corollary 63 show that

$$(p_X)_*(\text{cl}_X(i_*[x])) = [\kappa(x) : K].$$

Because  $F$  has characteristic 0, we see that the right-hand is nonzero, so  $\text{cl}_X(i_*[x]) \neq 0$ , so  $H^\bullet(X) \neq 0$ .

2. We reduce to the irreducible case. Suppose  $X$  is nonempty, and let  $X' \subseteq X$  be an irreducible component. We would like to show that  $1 \neq 0$  in  $H^\bullet(X)$ . Well, there is a ring map  $H^\bullet(X) \rightarrow H^\bullet(X')$  given by the inclusion, so it is actually enough to check that  $1 \neq 0$  in  $H^\bullet(X')$ . This has been done in the previous step. ■

**Example 65.** Fix a pre-Weil cohomology theory  $H^\bullet$  over  $K$  with coefficients in  $F$ . Then  $H^\bullet(\emptyset) = 0$ .

*Proof.* For any  $X \in \mathcal{P}(K)$ , our geometric input is that  $\emptyset \times X = \emptyset$ , from which the Künneth formula requires

$$\dim_F H^\bullet(\emptyset) \cdot \dim_F H^\bullet(X) = \dim_F H^\bullet(\emptyset).$$

Now, we choose  $X$  to be nonempty of dimension at least 1 (for example,  $X = \mathbb{P}_K^1$ ), then Proposition 64 shows  $H^0(X) \neq 0$ , from which Poincaré duality yields  $\dim_F H^\bullet(X) \geq 2$ . Plugging this in to the above equality gives  $H^0(X) \dim_F H^\bullet(\emptyset) = 0$ , from which the result follows. ■

In the sequel, we will also want more general control over unions.



**Proposition 66.** Fix a pre-Weil cohomology theory  $H^\bullet$  over  $K$  with coefficients in  $F$ . Given  $X, Y \in \mathcal{P}(K)$ , let  $i_1: X \rightarrow X \sqcup Y$  and  $i_2: Y \rightarrow X \sqcup Y$  denote the canonical inclusions. Then the map

$$\begin{aligned} H^\bullet(X \sqcup Y) &\rightarrow H^\bullet(X) \times H^\bullet(Y) \\ \gamma &\mapsto (i_1^* \gamma, i_2^* \gamma) \end{aligned}$$

is an isomorphism.

*Proof.* If  $X = \emptyset$  or  $Y = \emptyset$ , then the other inclusion is an isomorphism, and there is nothing to do. Let the given map be denoted  $i$ . Ultimately, the difficulty in this proof arises from the fact that there is no canonical inverse map, so we will have to apply various tricks to put ourselves in situations where we have approximations.

Quickly, we note that  $i$  is a product of algebra maps and hence an algebra map, so the main content comes from checking that this is a bijection. We will check injectivity and surjectivity, both in steps. Let's start with injectivity.

1. We show that  $i$  is injective if  $X$  and  $Y$  are equidimensional with  $\dim X = \dim Y$ . This hypothesis will be used to allow us to think of pushforwards along  $i_1$  and  $i_2$  at the level of the full graded vector spaces, as in Remark 55. In particular, we will show that

$$\gamma \stackrel{?}{=} i_{1*} i_1^* \gamma + i_{2*} i_2^* \gamma$$

for any  $\gamma \in H^\bullet(X \sqcup Y)$ ; injectivity follows because this shows that  $(\alpha, \beta) \sqcup i_{1*} \alpha + i_{2*} \beta$  is a one-sided inverse for  $i$ .

By the projection formula (Lemma 56), it is enough to check that

$$1 \stackrel{?}{=} i_{1*} 1 + i_{2*} 1,$$

from which one can apply  $\gamma \cup -$ . Well, by Corollary 63, this is equivalent to asking for

$$\text{cl}_{X \sqcup Y}([X \sqcup Y]) = i_{1*} \text{cl}_X([X]) + i_{2*} \text{cl}_Y([Y]),$$

We now see that this has motivic input given by the equation  $[X \sqcup Y] = [X] + [Y]$ , from which the result follows after using cycle coherence.

2. We show that  $i$  is injective in the general case. This will require a geometric trick. Given  $X$  and a positive integer  $d > \dim X$ , we will construct  $X'$  of dimension  $d$  for which there is an embedding  $j_X: X \rightarrow X'$  and a projection  $q_X: X' \rightarrow X$  such that  $q_X \circ j_X = \text{id}_X$ . If we choose  $d$  to exceed  $\max\{\dim X, \dim Y\}$  and apply the same construction to  $Y$ , then we can conclude as follows. The diagrams

$$\begin{array}{ccc} X \sqcup Y & \longleftarrow & X, Y \\ q_X \sqcup q_Y \uparrow & & q_X \uparrow \uparrow q_Y \\ X' \sqcup Y' & \longleftarrow & X', Y' \end{array} \quad \begin{array}{ccc} H^\bullet(X \sqcup Y) & \longrightarrow & H^\bullet(X) \times H^\bullet(Y) \\ (q_X \sqcup q_Y)^* \downarrow & & q_X^* \downarrow \downarrow q_Y^* \\ H^\bullet(X' \sqcup Y') & \longrightarrow & H^\bullet(X') \times H^\bullet(Y') \end{array}$$

commute (the right diagram is induced from the left by functoriality), and the bottom row of the right diagram is injective by the previous step. Now,  $q_\bullet \circ i_\bullet = \text{id}_\bullet$ , so  $i_\bullet^* \circ q_\bullet^* = \text{id}_\bullet^*$ , meaning that the vertical  $q_\bullet^*$ s in the right diagram are all injective. Thus, the diagonal morphism of the right diagram is injective, so its top morphism is injective as well.

It remains to construct  $X'$ . Decompose  $X$  into irreducible components  $\{X_1, \dots, X_n\}$ , and we note that the smoothness of  $X$  implies that its irreducible components are connected components as well. Thus,  $X = X_1 \sqcup \dots \sqcup X_n$ , allowing us to define

$$X' := \left( X_1 \times \mathbb{P}_K^{d-\dim X_1} \right) \sqcup \dots \sqcup \left( X_n \times \mathbb{P}_K^{d-\dim X_n} \right).$$

Choosing a point of the projective spaces gives an inclusion  $X \hookrightarrow X'$ , and there is an obvious projection  $X' \twoheadrightarrow X$  by getting rid of the projective spaces.

We now turn to the surjectivity. It would be wonderful if the one-sided inverse in the first step also showed surjectivity (even in the case  $\dim X = \dim Y$ ), but this only works once we know that the maps  $H^\bullet(X \sqcup Y) \rightarrow H^\bullet(X)$  and  $H^\bullet(X \sqcup Y) \rightarrow H^\bullet(Y)$  are surjective. We will have to expend some effort for this.

3. Suppose that there is a morphism  $f: Y \rightarrow X$ . Then we show that the map  $i_1^*: H^\bullet(X \sqcup Y) \rightarrow H^\bullet(X)$  is surjective. Indeed, the inclusion  $i_1: X \subseteq X \sqcup Y$  admits a section  $s: X \sqcup Y \rightarrow X$  by sending all of  $Y$  along  $f$ . Thus,  $s \circ i_1 = \text{id}_X$ , meaning  $i_1^* \circ s^* = \text{id}_X^*$ , so  $i_1^*$  is surjective.
4. We show that the map  $i_1^*: H^\bullet(X \sqcup Y) \rightarrow H^\bullet(X)$  is always surjective. This requires a trick: all objects among  $F$ -vector spaces are faithfully flat, so we may check surjectivity after applying  $-\otimes H^\bullet(Z)$  for any  $Z$ . By the Künneth formula, we see that we are reduced to checking if

$$i_1^*: H^\bullet((X \times Z) \sqcup (Y \times Z)) \rightarrow H^\bullet(X \times Z)$$

is surjective. In light of the previous step, we are tasked with finding  $Z$  such that there is a map  $(Y \times Z) \rightarrow (X \times Z)$ . Well,  $X$  is nonempty and smooth, so it has some closed point  $x \in X$  with separable residue field  $\kappa(x)$ ; then there is a map  $Y_{\kappa(x)} \rightarrow X_{\kappa(x)}$  given by mapping all of  $Y$  to  $x$ .

5. We show that the map  $i$  is surjective. We are not going to use an assumption like  $\dim X = \dim Y$ ; instead, we interface directly with  $e_X := \text{cl}_{[X \sqcup Y]}([X])$  and  $e_Y := \text{cl}_{[X \sqcup Y]}([Y])$ .

By the previous step, the map  $i_1^* H^\bullet(X \sqcup Y) \rightarrow H^\bullet(X)$  is surjective, as is  $i_2^*$  by symmetry. Thus, it suffices to show that  $i$  surjects onto elements of the form  $(i_1^* \gamma, i_2^* \delta)$ . Well, we claim that

$$\begin{cases} i_1^*(e_X \cup \gamma + e_Y \cup \delta) \stackrel{?}{=} i_1^* \gamma, \\ i_2^*(e_X \cup \gamma + e_Y \cup \delta) \stackrel{?}{=} i_2^* \delta. \end{cases}$$

Indeed, because  $i_1^*$  and  $i_2^*$  are ring homomorphisms, it is enough to note that  $i_1^* e_X = e_X$  and  $i_1^* e_Y = 0$  by cycle coherence for the first equality, and  $i_2^* e_X = 0$  and  $i_2^* e_Y = e_Y$  by cycle coherence for the second equality. ■

**Remark 67.** If  $X$  and  $Y$  are equidimensional with  $\dim X = \dim Y$ , then the first step shows that there is a canonical inverse given by

$$(\alpha, \beta) \mapsto i_{1*} \alpha + i_{2*} \beta.$$

Importantly, these pushforwards really only make sense in the equidimensional case!

**Corollary 68.** Fix a pre-Weil cohomology theory  $H^\bullet$  over  $K$  with coefficients in  $F$ . Suppose  $X, Y \in \mathcal{P}(K)$  are equidimensional of dimension  $d$ . For any  $\alpha \in H^{2d}(X \sqcup Y)(d)$ , we have

$$\int_{X \sqcup Y} \alpha = \int_X i_1^* \alpha + \int_Y i_2^* \alpha.$$

*Proof.* By Remark 67, we see that  $\alpha = i_{1*} i_1^* \alpha + i_{2*} i_2^* \alpha$ . Thus, for example, we compute  $\int_{X \sqcup Y} i_{1*} i_1^* \alpha$  is

$$\int_{X \sqcup Y} (1 \cup i_{1*} i_1^* \alpha) = \int_X (1 \cup i_1^* \alpha),$$

which is  $\int_X i_1^* \alpha$ . Adding together a similar computation for  $i_2^* \alpha$  completes the argument. ■

As an application, we can now fairly easily compute the cohomology of multiple points.

**Example 69.** Fix a pre-Weil cohomology theory  $H^\bullet$  over  $K$  with coefficients in  $F$ . Suppose  $X \in \mathcal{P}(K)$  is zero-dimensional. Then  $H^\bullet(X)$  is supported in degree 0, and  $H^0(X)$  is a separable algebra over  $F$  of dimension equal to the degree of  $X \rightarrow \text{Spec } K$ . Further,  $\int_X: H^0(X) \rightarrow F$  is the trace.

*Proof.* For psychological reasons, we quickly reduce to the case where  $X$  is a closed point. By decomposing  $X$  into irreducible components (which are connected components by smoothness) and using Proposition 66, it suffices to show the various claims in the case that  $X$  is irreducible (indeed, the conclusion is closed under taking disjoint unions). Thus, we may assume that  $X$  is irreducible.

Because  $X$  is zero-dimensional, the structure morphism  $X \rightarrow \operatorname{Spec} K$  is finite, so  $X$  is affine; we write  $X = \operatorname{Spec} L$ . Because  $X$  is smooth and hence étale, we see that  $L$  must be a finite-dimensional separable algebra over  $K$ . In fact,  $L$  must be a field extension of  $K$  because  $X$  is irreducible. Let  $M$  be a Galois closure of the separable extension  $L/K$ . Roughly speaking, the idea of the proof is to run all of our checks after extending up to  $M$ . We proceed in steps.

1. We explain how to base-change to  $M$ . Well, there is an isomorphism

$$\begin{aligned} L \otimes M &\rightarrow \prod_{\sigma \in \operatorname{Hom}_K(L, M)} M \\ a \otimes b &\mapsto (\sigma(a)b)_\sigma \end{aligned}$$

because  $L/K$  is separable. This translates into the motivic input  $X \times \operatorname{Spec} M = \bigsqcup_{\sigma \in \operatorname{Hom}_K(L, M)} \operatorname{Spec} M$ , which induces an isomorphism

$$\begin{aligned} H^\bullet(X) \otimes H^\bullet(\operatorname{Spec} M) &\rightarrow H^\bullet(\operatorname{Spec} M)^{\operatorname{Hom}_K(L, M)} \\ \alpha \otimes \beta &\mapsto (\sigma^* \alpha \cup \beta)_\sigma \end{aligned}$$

by the Künneth formula and Proposition 66.

2. We check that  $H^\bullet(X)$  is concentrated in degree 0, and  $H^0(X)$  is an algebra over  $F$  of dimension equal to the degree of the structure morphism  $X \rightarrow \operatorname{Spec} K$ . (Note that this degree is  $[L : K]$ .) Well, taking dimensions on both sides of the last map in step 1 (and noting  $\dim_F H^\bullet(\operatorname{Spec} M) \geq \dim_F H^0(\operatorname{Spec} M) > 0$  by Proposition 64), we find that

$$\dim_F H^\bullet(X) = \dim_F H^0(X) = [L : K].$$

The needed claims follow.

3. We check that  $H^0(X)$  is separable over  $F$ . Well,  $H^0(Y)$  is faithfully flat over  $F$  because it is a finite-dimensional separable algebra over  $F$  by what we already know. Further, separability can be checked after a faithfully flat extension, so checking the separability of  $H^0(X)$  over  $F$  can be seen by checking the separability of

$$H^0(X) \otimes H^0(Y) = H^0(Y)^{\operatorname{Hom}_K(L, M)}$$

over  $H^0(Y)$ , which is now clear.

4. We show that  $\int_X : H^0(X) \rightarrow F$  is the trace. The main point is to compare the traces on  $X \times \operatorname{Spec} M$  and  $\bigsqcup_{\sigma \in \operatorname{Hom}_K(L, M)} \operatorname{Spec} M$ . Fix some  $\alpha \in H^0(X)$ , and we would like to compute  $\int_X \alpha$ . On one hand, Lemma 58 gives  $\int_X \alpha = \operatorname{pr}_{2*}(\alpha \boxtimes 1)$ , but alternatively one can see via our explicit isomorphism that

$$\operatorname{pr}_{2*}(\alpha \boxtimes 1) = \sum_{\sigma \in \operatorname{Hom}_K(L, M)} \sigma^* \alpha.$$

Indeed, for any  $\beta \in H^\bullet(\operatorname{Spec} M)$ , we see  $\sum_{\sigma} \int_{\operatorname{Spec} M} (\beta \cup \sigma^* \alpha) = \int_{X \times \operatorname{Spec} M} \operatorname{pr}_2^* \beta \cup (\alpha \boxtimes 1)$ , where we have used Corollary 68. It remains to check that  $\alpha \mapsto \sigma^* \alpha$  amounts to the full set of homomorphisms  $H^0(X) \rightarrow \overline{F}$ . Well, upon choosing some map  $\iota : H^0(\operatorname{Spec} M) \rightarrow \overline{F}$ , we see that there is an isomorphism

$$\begin{aligned} H^0(X) \otimes \overline{F} &\rightarrow F^{\operatorname{Hom}_K(L, M)} \\ \alpha \otimes \beta &\mapsto (\iota(\sigma^* \alpha) \cup \beta)_\sigma \end{aligned}$$

which completes the proof because  $H^0(X) \otimes \overline{F}$  is supposed to be isomorphic to  $\overline{F}^{\operatorname{Hom}(H^0(X), \overline{F})}$  via this sort of map. ■

**Corollary 70.** Fix a pre-Weil cohomology theory  $H^\bullet$  over  $K$  with coefficients in  $F$ . Given  $X \in \mathcal{P}(K)$  and some zero-dimensional cycle  $Z \subseteq X$ , we have

$$\deg[Z] = \int_X \text{cl}_X([Z]).$$

*Proof.* We may adjust  $Z$  so that it is smooth divisor. Letting  $i: Z \rightarrow X$  denote the inclusion, we get the motivic input that  $[Z] = i_*[Z]$ , so  $\text{cl}_X([Z]) = i_*1$  by Corollary 63 and cycle coherence. It follows that

$$\int_X \text{cl}_X([Z]) = \int_Z 1$$

by Remark 53. We now use Example 69 to compute the right-hand side: because  $\int_X: H^0(Z) \rightarrow F$  is the trace, its evaluation on 1 is the dimension  $\dim_F H^0(Z)$ , which we know to be the degree of  $Z \rightarrow \text{Spec } K$ . This completes the proof. ■

## 2.5 Fixing $H^0$

Now that we've done work with our pre-Weil cohomology theories, let's introduce our last axiom.

**Definition 71 (Weil cohomology theory).** Fix a pre-Weil cohomology theory  $H^\bullet$  over  $K$  with coefficients in  $F$ . Then  $H^\bullet$  is a *Weil cohomology theory* if and only if the induced map

$$H^0(\text{Spec } \Gamma(X, \mathcal{O}_X)) \rightarrow H^0(X)$$

is an isomorphism for all  $X \in \mathcal{P}(K)$ .

**Remark 72.** Let's explain where this map comes from. There is a natural map  $X \rightarrow \text{Spec } \Gamma(X, \mathcal{O}_X)$ ; for example, this exists already on the level of locally ringed spaces, though one could alternatively define it by gluing together maps on affine open subschemes. However, we must check  $\text{Spec } \Gamma(X, \mathcal{O}_X) \in \mathcal{P}(K)$ : certainly  $\Gamma(X, \mathcal{O}_X)$  is some finite-dimensional  $K$ -algebra, so the issue is separability. For this, we base-change to  $\bar{K}$ , noting

$$\Gamma(X, \mathcal{O}_X)_{\bar{K}} = \Gamma(X_{\bar{K}}, \mathcal{O}_{X_{\bar{K}}})$$

because cohomology is stable under base change. The right-hand side is a product of fields because  $X_{\bar{K}}$  is still a proper variety, so it follows that  $\Gamma(X, \mathcal{O}_X)$  is separable and hence smooth over  $K$ .

It is certainly desirable to have  $H^0(\text{Spec } \Gamma(X, \mathcal{O}_X)) \rightarrow H^0(X)$  be an isomorphism. Let's explain some of its applications.

**Lemma 73.** Fix a Weil cohomology theory  $H^\bullet$  over  $K$  with coefficients in  $F$ . For any  $X \in \mathcal{P}(K)$  of equidimension  $d$ , the space  $H^{2d}(X)(d)$  is generated by classes of points as an  $H^0(X)$ -module.

*Proof.* If  $X = \emptyset$ , there is nothing to do, so we assume that  $X$  is nonempty. By Proposition 66, we may assume that  $X$  is irreducible. Define  $L := \Gamma(X, \mathcal{O}_X)$  for brevity; because  $X$  is irreducible,  $L$  is a field, and we know that it is finite separable over  $K$ .

Now, for each closed point  $x \in X$  (which we assume to have residue field  $\kappa(x)$  to be separable over  $L$ ), let  $i: \{x\} \rightarrow X$ , and we would like to check that the class  $\text{cl}_X([x]) \in H^{2d}(X)(d)$  generates as a module over  $H^0(X) = H^0(\text{Spec } L)$ . Quickly, note that  $\text{cl}_X([x]) = i_*1$  by Corollary 63 and cycle coherence. As such, we want to show that the map  $H^0(X) \rightarrow H^{2d}(X)(d)$  given by  $\alpha \mapsto (\alpha \cup i_*1)$  is surjective. Now, Lemma 56 explains  $\alpha \cup i_*1 = i_*i^*\alpha$ , so we might as well show that the map  $i_*: H^0(\{x\}) \rightarrow H^{2d}(X)(d)$  is surjective.

Continuing, it is enough to check that the transpose  $i^*: H^0(X) \rightarrow H^0(\{x\})$  is injective. Now, let  $p: X \rightarrow \text{Spec } L$  be the canonical projection, and then  $p^*: H^0(\text{Spec } L) \rightarrow H^0(X)$  is an isomorphism! Thus, it is enough

to show that  $i^*p^* : H^0(\operatorname{Spec} L) \rightarrow H^0(\{x\})$  is injective. There are a few ways to conclude, but here is one using Example 69: it is enough to check injectivity after faithfully flat base change, so we may check injectivity after tensoring with the separable  $K$ -algebra  $H^0(\operatorname{Spec} M)$ , where  $M$  is some Galois closure of  $L\kappa(x)/K$ . Then both  $H^0(\operatorname{Spec} L)$  and  $H^0(\{x\})$  split up into products of  $H^0(\operatorname{Spec} M)$ , from which the injectivity follows. ■

**Remark 74.** It turns out that the conclusion of the lemma also implies that  $H^0(\operatorname{Spec} \Gamma(X, \mathcal{O}_X)) \rightarrow H^0(X)$  is an isomorphism, but we will not need this. We refer to [SP, Tag 0F10].

**Lemma 75.** Fix a Weil cohomology theory  $H^\bullet$  over  $K$  with coefficients in  $F$ . If  $f : X \rightarrow Y$  is a finite map of equidimensional varieties of dimension  $d$  with  $Y$  geometrically irreducible, then  $f_*f^* = (\deg f)$ .

*Proof.* We begin with a couple reductions.

- It is enough to check that  $f_*f^* = (\deg f)$  on homogeneous elements of  $H^\bullet(Y)$ , and in fact, it is enough to merely check equality of traces on elements in  $H^{2d-i}(Y)(d)$ . Indeed, to check that  $f_*f^*\beta = (\deg f)\beta$  for any  $\beta \in H^{2d-i}(Y)(d)$ , Remark 53 explains that it is enough to check

$$\int_X f^*\beta' \cup f^*\beta \stackrel{?}{=} \int_Y \beta' \cup (\deg f)\beta$$

for all  $\beta' \in H^i(Y)$ . This now follows by applying  $\int_Y \circ (f_*f^*) = (\deg f) \int_Y$  to  $\beta' \cup \beta \in H^{2d}(Y)(d)$ ; in particular, recall  $\int_Y \circ f_* = \int_X$  by Remark 53.

- We show that it is enough to check the equality  $\int_X \circ f^* = (\deg f) \int_Y$  on the image of  $\operatorname{cl}_X : CH^d(Y) \rightarrow H^{2d}(Y)(d)$ . Because  $Y$  is geometrically irreducible, we see that  $\Gamma(Y, \mathcal{O}_Y) = K$  (this can be checked after passing to the algebraic closure), so  $H^{2d}(Y)(d)$  is isomorphic to  $H^0(Y)$  (by Poincaré duality), which is isomorphic to  $H^0(\operatorname{Spec} K)$  (because this is a Weil cohomology theory), which is simply  $F$  (by Example 62). It is thus enough to check the result at a single vector in  $H^{2d}(Y)(d)$ , such as the class of a point (which is nonzero by Lemma 73).

As such, our “motivic” input will come from checking  $\int_X \circ f^* = (\deg f) \int_Y$  on classes of points: because  $f$  is finite, any  $q \in Y$  has

$$f^*[q] = \sum_{p \in f^{-1}(\{q\})} m_p \cdot [p],$$

where  $m_p$  is a multiplicity satisfying  $\sum_p m_p [\kappa(p) : K] = \deg f$ . Then passing this through  $\operatorname{cl}_X$  (and using cycle coherence), followed by applying  $\int_X$  (and Corollary 70) completes this check. ■

**Lemma 76.** Fix a Weil cohomology theory  $H^\bullet$  over  $K$  with coefficients in  $F$ . For any  $X \in \mathcal{P}(K)$  of dimension  $d$ , the graded algebra  $H^\bullet(X)$  is supported in degrees  $[0, 2d]$ .

*Proof.* By Proposition 66, it is enough to check this in the case that  $X$  is irreducible. Then  $X$  has equidimension  $d$ , so Poincaré duality implies that it is enough to show that  $H^\bullet(X)$  is supported in nonnegative degrees.

We will show that  $H^\bullet(X)$  is supported in nonnegative degrees by an awkward contraposition: we will show that any pre-Weil cohomology theory  $H^\bullet$  admitting some  $Y \in \mathcal{P}(Y)$  with  $H^\bullet(Y)$  supported at a negative index must fail to be a Weil cohomology theory. By replacing  $Y$  with  $Y \times Y$  and using the Künneth formula, we may assume that  $H^{-2n}(Y) \neq 0$  for some  $n > 0$ . We now set  $X := Y \times \mathbb{P}_K^n$ , so the Künneth formula gives

$$H^0(X) = \bigoplus_{i \in \mathbb{Z}} H^i(Y) \otimes H^{-i}(\mathbb{P}_K^n)$$

For example,  $H^0(X)$  contains the summands  $H^0(Y) \subseteq H^0(X)$  and  $H^{-2n}(Y) \otimes H^{2n}(\mathbb{P}_K^n)$ , so

$$\dim_F H^0(X) > \dim_F H^0(Y).$$

(Note  $H^{2n}(\mathbb{P}_K^n)$  is nonzero by Proposition 64 and Poincaré duality.) However,  $\Gamma(X, \mathcal{O}_X) = \Gamma(Y, \mathcal{O}_Y)$ : a global section is a map to  $\mathbb{A}^1$ , and the only maps  $\mathbb{P}_K^n \rightarrow \mathbb{A}^1$  are constants anyway. Thus, it is impossible to have both  $H^0(X) \cong H^0(\Gamma(X, \mathcal{O}_X))$  and  $H^0(Y) \cong H^0(\Gamma(Y, \mathcal{O}_Y))$ ! ■

## 2.6 The Lefschetz Trace Formula

We have now cobbled together enough of a theory of Weil cohomology. Let's work towards an application: the Lefschetz trace formula. After everything we've done, this proof is purely formal. Our exposition follows [Mil13, Section 25].

Given a regular map  $f: X \rightarrow X$ , the Lefschetz trace formula computes the intersection number  $\Gamma_f \cdot \Delta$  in terms of cohomology. Thus, our proof will begin by understanding the graph  $\Gamma_f$ .

**Lemma 77.** Fix a pre-Weil cohomology theory  $H^\bullet$  over  $K$  with coefficients in  $F$ . For any regular map  $f: X \rightarrow Y$  of equidimensional projective varieties and  $\beta \in H^\bullet(Y)$ , we have

$$\text{pr}_{1*}(\text{cl}_{X \times Y}([\Gamma_f]) \cup \text{pr}_2^* \beta) = f^* \beta.$$

*Proof.* Our motivic input is that  $[\Gamma_f] = (\text{id}_X, f)_*([X])$ , by definition. Then cycle coherence and Corollary 63 shows  $\text{cl}_{X \times Y}([\Gamma_f]) = (\text{id}_X, f)_* 1$ . Thus, the projection formula (Lemma 56) implies

$$\text{pr}_{1*}(\text{cl}_{X \times Y}([\Gamma_f]) \cup \text{pr}_2^* \beta) = \text{pr}_{1*}(\text{id}_X, f)_*(\text{id}_X, f)^* \text{pr}_2^* \beta.$$

Functoriality reveals this is  $f^* \beta$ . ■

**Lemma 78.** Fix a pre-Weil cohomology theory  $H^\bullet$  over  $K$  with coefficients in  $F$ . For equidimensional  $X \in \mathcal{P}(K)$  with  $d := \dim X$ , let  $\{e_{ij}\}_{1 \leq j \leq \beta_i}$  be a basis of  $H^i(X)$  for each  $i$ ; further, choose a dual basis  $\{e_{2d-i,j}^\vee\}_{1 \leq j \leq \beta_i}$  of  $H^{2d-i}(X)(d)$  so that  $\int_X (e_{2d-i,j}^\vee \cup e_{ij'}) = 1_{j=j'}$  for each  $j$  and  $j'$ . Then any regular map  $f: X \rightarrow X$  admits a decomposition

$$\text{cl}_{X \times X}([\Gamma_f]) = \sum_{\substack{i \in \mathbb{Z} \\ 1 \leq j \leq \beta_i}} f^* e_{ij} \boxtimes e_{2d-i,j}^\vee.$$

*Proof.* Note that the  $e_{2d-i,j}^\vee$ s exist by Poincaré duality. Now, the Künneth formula tells us that  $H^d(X \times X)(d) = \bigoplus_{i \in \mathbb{Z}} H^i(X) \otimes H^{2d-i}(X)(d)$ , so  $\text{cl}_{X \times X}([\Gamma_f])$  admits some decomposition

$$\text{cl}_{X \times X}([\Gamma_f]) = \sum_{\substack{i \in \mathbb{Z} \\ 1 \leq j \leq \beta_i}} \alpha_{ij} \boxtimes e_{2d-i,j}^\vee,$$

where  $\alpha_{ij} \in H^i(X)$  is some class. We would like to show  $\alpha_{ij} = f^* e_{ij}$ . To extract out the needed coefficients, we need to cup with a basis vector and apply the pairing. As such, we compute

$$\text{pr}_{1*}(\text{cl}_{X \times X}([\Gamma_f]) \cup \text{pr}_2^* e_{ij}) = \sum_{\substack{i \in \mathbb{Z} \\ 1 \leq j \leq \beta_i}} \text{pr}_{1*}(\alpha_{ij} \boxtimes (e_{2d-i,j}^\vee \cup e_{ij})),$$

which collapses down to  $\alpha_{ij}$  by Lemma 58 and construction of the  $e_{2d-i,j}^\vee$ s. We now complete the proof by recognizing the left-hand side as  $f^* e_{ij}$  by Lemma 77. ■

**Example 79.** Taking  $f = \text{id}_X$  shows that the diagonal  $\Delta \subseteq X \times X$  has a decomposition

$$\text{cl}_{X \times X}([\Delta]) = \sum_{\substack{i \in \mathbb{Z} \\ 1 \leq j \leq \beta_i}} e_{ij} \boxtimes e_{2d-i,j}^\vee.$$

**Remark 80.** It may appear that Lemma 78 needs some finiteness condition like Lemma 76, but our proof actually shows that all but finitely many of the  $f^*e_{ij}$  are allowed to vanish.

We are now ready for the proof.

**Theorem 81 (Lefschetz trace formula).** Fix a Weil cohomology theory  $H^\bullet$  over  $K$  with coefficients in  $F$ . For equidimensional  $X \in \mathcal{P}(K)$  and endomorphism  $f: X \rightarrow X$ , we have

$$\deg([\Gamma_f] \cdot [\Delta]) = \sum_{i=0}^{2d} (-1)^i \text{tr}(f^*; H^i(X)).$$

*Proof.* This proof is essentially a direct computation. By Corollary 70, we see that

$$\deg([\Gamma_f] \cdot [\Delta]) = \int_{X \times X} \text{cl}_{X \times X}([\Gamma_f]) \cup \text{cl}_{X \times X}([\Delta]),$$

where we have quietly also used cycle coherence. We now fix a basis  $\{e_{ij}\}_{ij}$  of  $H^\bullet(X)$  and a dual basis  $\{e_{2d-i,j}^\vee\}_{ij}$  of  $H^{2d-\bullet}(X)$  as in Lemma 78. Then Lemma 78 (and a reversed Example 79) allows us to compute this as

$$\deg([\Gamma_f] \cdot [\Delta]) = \sum_{\substack{i, i' \in \mathbb{Z} \\ 1 \leq j, j' \leq \beta_i}} \int_{X \times X} (f^*e_{ij} \boxtimes e_{2d-i',j'}^\vee) \cup \left( (-1)^{i'} e_{2d-i',j'}^\vee \boxtimes e_{i',j'} \right).$$

By expanding out  $\alpha \boxtimes \beta = \text{pr}_1^* \alpha \cup \text{pr}_2^* \beta$  and rearranging, we may rewrite the right-hand side as

$$\deg([\Gamma_f] \cdot [\Delta]) = \sum_{\substack{i, i' \in \mathbb{Z} \\ 1 \leq j, j' \leq \beta_i}} (-1)^{i+ii'} \int_{X \times X} (f^*e_{ij} \cup e_{2d-i',j'}^\vee) \boxtimes (e_{2d-i,j}^\vee \boxtimes e_{i',j'}),$$

which by the Künneth formula is

$$\deg([\Gamma_f] \cdot [\Delta]) = \sum_{\substack{i, i' \in \mathbb{Z} \\ 1 \leq j, j' \leq \beta_i}} (-1)^{i+ii'} \int_X (f^*e_{ij} \cup e_{2d-i',j'}^\vee) \int_X (e_{2d-i,j}^\vee \cup e_{i',j'}).$$

Now, the right-hand integral is  $1_{i=i'} 1_{j=j'}$  by construction of our dual basis, so we are left with

$$\deg([\Gamma_f] \cdot [\Delta]) = \sum_{\substack{i \in \mathbb{Z} \\ 1 \leq j \leq \beta_i}} \int_X (f^*e_{ij} \cup e_{2d-i,j}^\vee).$$

Because technically  $\{e_{ij}\}_j$  and  $\{(-1)^i e_{2d-i,j}^\vee\}_j$  are the dual bases with  $\int_X (e_{ij} \cup (-1)^i e_{2d-i,j}^\vee) = 1_{j=j'}$ , we see that the right-hand integral collapses down to  $(-1)^i \text{tr}(f^*; H^i(X))$ . This completes the proof upon using Lemma 76 to restrict the sum to  $i \in [0, 2d]$ . ■

**Remark 82.** Technically, this argument works for pre-Weil cohomology theories, provided we sum over all  $i \in \mathbb{Z}$  instead of  $i \in [0, 2d]$ .

Let's apply some of the theory we built to do one last calculation.

**Example 83.** Fix a pre-Weil cohomology theory  $H^\bullet$  over  $K$  with coefficients in  $F$ . Then

$$H^i(\mathbb{P}_K^1) = \begin{cases} F & \text{if } i = 0, \\ F(-1) & \text{if } i = 2, \\ 0 & \text{else.} \end{cases}$$

*Proof.* The main claim is that  $\dim_F H^\bullet(\mathbb{P}_K^1) = 2$ . Quickly, let's explain why the main claim completes the proof. Certainly  $H^0(\mathbb{P}_K^1) \neq 0$  by Proposition 64, so  $H^2(\mathbb{P}_K^1)(1) \neq 0$  by Poincaré duality as well, which provides the lower bound  $\dim_F H^\bullet(\mathbb{P}_K^1) \geq 2$ . If we were to have equality, then we must have  $H^\bullet(\mathbb{P}_K^1) = H^0(\mathbb{P}_K^1) \oplus H^2(\mathbb{P}_K^1)$ , and  $H^0(\mathbb{P}_K^1) = F$  and  $H^2(\mathbb{P}_K^1)(1) = F$  become forced.

We now prove the main claim. It remains to show  $\dim_F H^\bullet(\mathbb{P}_K^1) \leq 2$ . Technically, Theorem 81 will not be enough for our purposes because the Euler characteristic includes a  $-\dim_F H^1(X)$  term. Our motivic input is that the cycle class  $[\Delta]$  in  $\mathbb{P}_K^1 \times \mathbb{P}_K^1$  is equal to  $\text{pr}_1^*[\infty] + \text{pr}_2^*[\infty]$ , where  $\infty \in \mathbb{P}_K^1$  is a point at infinity. Indeed, consider the function  $f: \mathbb{P}^1 \times \mathbb{P}^1 \rightarrow \mathbb{P}^1$  given by  $f(x, y) := x - y$ . Then  $f$  has zero-set given by  $\Delta$  and poles given by  $\{\infty\} \times \mathbb{P}_K^1$  and  $\mathbb{P}^1 \times \{\infty\}$ , so

$$\text{div } f = \text{pr}_1^*[\infty] + \text{pr}_2^*[\infty] - \Delta$$

must be a trivial divisor class. We conclude that

$$\text{cl}_{\mathbb{P}_K^1 \times \mathbb{P}_K^1}([\Delta]) = \text{cl}_{\mathbb{P}_K^1}([\infty]) \boxtimes 1 + 1 \boxtimes \text{cl}_{\mathbb{P}_K^1}([\infty]).$$

Now, Example 79 shows that the left-hand side has no expression in terms of fewer than  $\dim_F H^\bullet(X)$  total pure tensors, so we conclude that  $\dim_F H^\bullet(X) \leq 2!$  ■

## References

- [Del77] P. Deligne. *Étale Cohomology: Starting Points*. 1977. URL: <https://www.jmilne.org/math/Documents/DeligneArcata.pdf>.
- [Har77] Robin Hartshorne. *Algebraic Geometry*. Graduate Texts in Mathematics, No. 52. New York: Springer-Verlag, 1977.
- [Ful98] William Fulton. *Intersection theory*. Second. Vol. 2. Ergebnisse der Mathematik und ihrer Grenzgebiete. 3. Folge. A Series of Modern Surveys in Mathematics [Results in Mathematics and Related Areas. 3rd Series. A Series of Modern Surveys in Mathematics]. Springer-Verlag, Berlin, 1998, pp. xiv+470. ISBN: 3-540-62046-X; 0-387-98549-2. DOI: [10.1007/978-1-4612-1700-8](https://doi-org.libproxy.mit.edu/10.1007/978-1-4612-1700-8). URL: <https://doi-org.libproxy.mit.edu/10.1007/978-1-4612-1700-8>.
- [Mil13] James S. Milne. *Lectures on Etale Cohomology* (v2.21). Available at [www.jmilne.org/math/](http://www.jmilne.org/math/). 2013.
- [SP] The Stacks project authors. *The Stacks project*. <https://stacks.math.columbia.edu>. 2022.