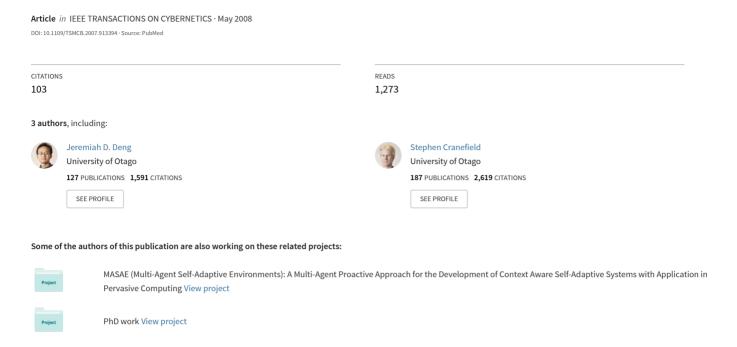
A Study on Feature Analysis for Musical Instrument Classification



A Study on Feature Analysis for Musical-Instrument Classification

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Abstract—In tackling data-mining and pattern-recognition 5 tasks, finding a compact but effective set of features has often been 6 found to be a crucial step in the overall problem-solving process. 7 In this paper, we present an empirical study on feature analysis 8 for classical-instrument recognition, using machine-learning tech-9 niques to select and evaluate features extracted from a number 10 of different feature schemes. It is revealed that there is signifi-11 cant redundancy between and within feature schemes commonly 12 used in practice. Our results suggest that further feature-analysis 13 research is necessary in order to optimize feature selection and 14 achieve better results for the instrument-recognition problem.

15 *Index Terms*—Feature extraction, feature selection, music, 16 pattern classification.

I. Introduction

18 USIC DATA analysis and retrieval has become a very 19 popular research field in recent years. The advance 20 of signal-processing and data-mining techniques has led to 21 intensive study on content-based music retrieval [1], [2], music-22 genre classification [3], [4], duet analysis [2], and, most fre-23 quently, on musical-instrument detection and classification 24 (e.g., [5]–[8]).

Instrument-detection techniques can have many potential applications. For instance, detecting and analyzing solo passages real lead to more knowledge about the different musical styles and can be further utilized to provide a basis for lectures in mu-29 sicology. Various applications for audio editing and audio and video retrieval or transcription can be supported. An overview of audio-information retrieval has been presented by Foote [9] and extended by various authors [2], [10]. Other applications include playlist generation [11], acoustic-environment classification [12], [13], and using audio-feature extraction to support video-scene analysis and annotation [14].

One of the most crucial aspects of instrument classification is to find the right feature-extraction scheme. During the last 8 few decades, research on audio signal processing has focused 9 on speech recognition, but few features can be directly applied 40 to solve the instrument-classification problem.

New methods are being investigated for achieving semantic 42 interpretation of low-level features extracted by audio-signal-

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processing methods. For example, a framework of low- and 43 high-level features given in the MPEG-7 multimedia descrip- 44 tion standard [15] can be used to create application-specific 45 description schemes. These can be used to annotate music with 46 a minimum of human supervision for the purpose of music 47 retrieval.

In this paper, we present a study on feature extraction and 49 selection for instrument classification using machine-learning 50 techniques. Features were first selected by ranking and other 51 schemes. Data sets of reduced features were then generated, 52 and their performance in instrument classification was further 53 tested with a few classifiers using cross-validations. Three 54 feature schemes were considered: features based on human 55 perception, cepstral features, and the MPEG-7 audio descrip- 56 tors. The performance of the feature schemes was assessed 57 first individually and then in combination with each other. We 58 also used dimension-reduction techniques to gain insight on 59 the right dimensionality for feature selection. Our aim was to 60 find the differences and synergies between the different feature 61 schemes and test them with various classifiers, so that a robust 62 classification system could be built. Features extracted from 63 different feature schemes were ranked and selected, and a num- 64 ber of classification algorithms were employed and managed 65 to achieve good accuracies in three groups of experiments: 66 instrument-family classification, individual-instrument classifi- 67 cation, and classification of solo passages.

Following this introduction, Section II reviews the recent 69 relevant work on musical-instrument recognition and audio- 70 feature analysis. Section III outlines the approach that we 71 adopted in tackling the problem of instrument classification, 72 including feature-extraction schemes, feature-selection meth- 73 ods, and classification algorithms used. Experiment settings and 74 results based on the proposed approach are then presented in 75 Section IV. We summarize the findings and conclude the paper 76 in Section V.

II. RELATED WORK

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Various feature schemes have been proposed and adopted 79 in the literature of instrument-sound analysis. On top of the 80 adopted feature schemes, different computational models or 81 classification algorithms have been employed for the purposes 82 of instrument detection and classification.

Mel-frequency cepstral coefficients (MFCC) features are 84 commonly employed not only in speech processing but also 85 in music-genre and instrument classifications. Marques and 86 Moreno [5] built a classifier that can distinguish between 87 eight instruments with 70% accuracy using the support vector 88

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89 machines (SVM). Eronen [6] assessed the performance of 90 MFCC, spectral, and temporal features such as amplitude en-91 velope and spectral centroids for instrument classification. The 92 Karhunen–Loeve transform was conducted to decorrelate the 93 features, and k-nearest neighbor (k-NN) classifiers were used, 94 with their performance assessed through cross-validation. The 95 results favored the MFCC features, and violin and guitar were 96 among the most poorly recognized instruments.

The MPEG-7 audio framework targets the standardization of 98 the extraction and description of audio features [15], [16]. The 99 sound description of MPEG-7 audio features was assessed by 100 Peeters $et\ al.$ [17] based on their perceived timbral similarity. 101 It was concluded that combinations of the MPEG-7 descriptors 102 could be reliably applied in assessing the similarity of musical 103 sounds. Xiong $et\ al.$ [12] compared the MFCC and MPEG-7 104 audio features for the purpose of sports-audio classification, 105 adopting the hidden Markov models (HMMs) and a number of 106 classifiers such as k-NN, Gaussian mixture models, AdaBoost, 107 and SVM. Kim $et\ al.$ [10] examined the use of HMM-108 based classification problems such as speaker recognition and 110 sound classification.

Brown *et al.* [18] conducted a study on identifying four in112 struments of the woodwind family. Features used were cepstral
113 coefficients, constant-Q transform, spectral centroid, and auto114 correlation coefficients. For classification, a scheme using the
115 Bayes decision rules was adopted. The recognition rates based
116 on the feature sets varied from 79% to 84%. Agostini *et al.* [7]
117 extracted spectral features for timbre classification, and the per118 formance was assessed over SVM, k-NN, canonical discrimi119 nant analysis, and quadratic discriminant analysis, with the first
120 and the last being the best. Compared with the average 55.7%
121 correct tone-classification rate achieved by some conservatory
122 students, it was argued that computer-based timbre recognition
123 can exceed human performance at least for isolated tones.

Kostek [2] studied the classification of 12 instruments played 125 under different articulations. She used multilayer neural net-126 works trained on wavelet-transform features and MPEG-7 de-127 scriptors. It was found that a combination of these two feature 128 schemes can significantly improve the classification accuracy 129 to a range of 55%-98%, with an average of about 70%. Mis-130 classifications occurred mainly within each instrument fam-131 ily (woodwinds, brass, and strings). A more recent study by 132 Kaminskyj et al. [19] dealt with isolated monophonic 133 instrument-sound recognition using k-NN classifiers. Features 134 used included MFCC, constant-Q-transform spectrum fre-135 quency, root-mean-square (rms) amplitude envelope, spectral 136 centroid, and multidimension-scaling (MDS) analysis trajecto-137 ries. These features underwent principal component analysis 138 (PCA) for reduction to a total dimensionality of 710. The 139 k-NN classifiers were then trained under different hierarchical 140 schemes. A leave-one-out strategy was used, yielding an accu-141 racy of 93% in instrument recognition and 97% in instrument-142 family recognition.

Some progress has been made in musical-instrument iden-144 tification for polyphonic recordings. Eggink and Brown [20] 145 presented a study on the recognition of five instruments (flute, 146 oboe, violin, and cello) in accompanied sonatas and concertos. Gaussian-mixture-model classifiers were employed on features 147 reduced by PCA. The classification performance on a variety of 148 data resources ranged from 75% to 94%, whereas misclassifi- 149 cation occurred mostly for flute and oboe (with both classified 150 as violin). Essid et al. [8] processed and analyzed solo musical 151 phrases from ten instruments. Each instrument was represented 152 by 15 min of audio material from various CD recordings. Spec- 153 tral features, audio-spectrum flatness, MFCC, and derivatives 154 of MFCC were used as features. An SVM classifier yielded an 155 average accuracy of 76% with 35 features. Livshin and Rodet 156 [21] evaluated the use of monophonic phrases for instrument 157 detection in continuous recordings of solo and duet perfor- 158 mances. The study made use of a database with 108 different 159 solos from seven instruments. A set of 62 features (temporal, 160 energy, spectral, harmonic, and perceptual) was proposed and 161 subsequently reduced by feature selection. The best 20 features 162 were used for real-time performance. A leave-one-out cross- 163 validation using a k-NN classifier gave an accuracy of 85% 164 for 20 features and 88% for 62 features. Benetos et al. [22] 165 adopted the branch-and-bound search to extract a six-feature 166 subset from a set of MFCC, MPEG-7, and other audio spectral 167 features. A nonnegative matrix factorization algorithm was 168 used to develop the classifiers, gaining an accuracy of 95.2% 169 for six instruments.

With the emergence of many audio-feature schemes, feature 171 analysis and selection has been gaining more attention recently. 172 A good introduction on feature selection was given in the 173 work of Guyon and Elisseeff [23], outlining the methods of 174 correlation modeling, selection criteria, and the general ap- 175 proaches of using filters and wrappers. Yu and Liu [24] dis- 176 cussed some generic methods such as information gain (IG) and 177 symmetric uncertainty (SU), where an approximation method 178 for correlation and redundancy analysis was proposed based 179 on using SU as the correlation measure. Grimaldi et al. [25] 180 evaluated selection strategies such as IG and gain ratio (GR) for 181 music-genre classification. Livshin and Rodet [21] used linear 182 discriminant analysis to repeatedly find and remove the least 183 significant feature until a subset of 20 features was obtained 184 from the original 62 feature types. The reduced feature set gave 185 an average classification rate of 85.2%, which is very close to 186 that of the complete set.

Benchmarking is still an open issue that remains unresolved. 188 There are very limited resources available for benchmarking; 189 therefore, direct comparison of these various approaches is 190 hardly possible. Most studies have used recordings digitized 191 from personal or institutional CD collections. The McGill Uni- 192 versity Master Samples (http://www.music.mcgill.ca/resources/ 193 mums/html/mums.html) have been used in some studies [7], 194 [19], [20], whereas the music samples from the UIOWA MIS 195 Database http://theremin.music.uiowa.edu/) were also widely 196 used [18], [20], [22].

III. FEATURE ANALYSIS AND VALIDATION

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A. Instrument Categories

Traditionally, musical instruments are classified into four 200 main categories or families: string, brass, woodwind, and per- 201 cussion. For example, violin is a typical string instrument, 202

| # | Abbr. | Description | Scheme | | | |
|-------|------------------------|--|-------------|--|--|--|
| 1 | ZC | ZC Zero Crossings | | | | |
| 2-3 | ZCRM, ZCRD | Mean and standard deviation of ZC Ratios | | | | |
| 4-5 | RMSM, RMSD | Mean and standard deviation of RMS | Perception- | | | |
| 6-7 | CentroidM, CentroidD | Mean and standard deviation of Centroid | based | | | |
| 8-9 | BandwidthM, BandwidthD | Mean and standard deviation of Bandwidth | | | | |
| 10-11 | FluxM, FluxD | Mean and standard deviation of Flux | | | | |
| 12 | НС | Harmonic Centroid Descriptor | | | | |
| 13 | HD | Harmonic Deviation Descriptor | | | | |
| 14 | HS | HS Harmonic Spread Descriptor | | | | |
| 15 | HV | Harmonic Variation Descriptor | MPEG-7 | | | |
| 16 | SC | Spectral Centroid Descriptor | | | | |
| 17 | TC | TC Temporal Centroid Descriptor | | | | |
| 18 | LAT | Log-Attack-Time Descriptor | | | | |
| 10.44 | MFCCkM, MFCCkD | MFCCkM, MFCCkD Mean and standard deviation | | | | |
| 19-44 | | of the first 13 linear MFCCs | MFCC | | | |

TABLE I
FEATURE ABBREVIATIONS AND DESCRIPTIONS

203 oboe and clarinet belong to the woodwind category, horn and 204 trumpet are brass instruments, and piano is usually classified as 205 a percussion instrument. Sounds produced by these instruments 206 bear different acoustic attributes. A few characteristics can be 207 obtained from their sound envelopes, including attack (the time 208 from silence to amplitude peak), sustain (the time length in 209 maintaining level amplitude), decay (the time the sound fades 210 from sustain to silence), and release (the time of the decay from 211 the moment the instrument stops playing). To achieve accurate 212 classification of instruments, more complicated features need to 213 be extracted.

214 B. Feature Extraction for Instrument Classification

Because of the complexity of modeling instrument timbre, 216 various feature schemes have been proposed through acoustic 217 study and pattern-recognition research. Our main intentions 218 are to investigate the performance of different feature schemes 219 and find a good feature combination for a robust instrument 220 classifier. Here, we consider three different extraction methods, 221 namely, perception-based features, MPEG-7-based features, 222 and MFCC. The first two feature sets consist of temporal 223 and spectral features, whereas the last is based on spectral 224 analysis. These features, 44 in total, are listed in Table I. Among 225 them, the first 16 are perception-based features, the next 7 are 226 MPEG-7 descriptors, and the last 26 are MFCC features.

227 1) Perception-Based Features: To extract perception-based 228 features, music sound samples were segmented into 40-ms 229 frames with 10-ms overlap. Each frame signal was analyzed 230 by 40 bandpass filters centered at Bark-scale frequencies. The 231 following are some important perceptual features used in this 232 paper.

233 1) Zero-crossing rate (ZCR), an indicator for the noisiness 234 of the signal, which is often used in speech-processing 235 applications

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$$ZCR = \frac{\sum_{n=1}^{N} |\operatorname{sign}(F_n) - \operatorname{sign}(F_{n-1})|}{2N}$$
 (1)

where N is the number of digf samples in the frame, and F_n is the value of the nth sample of a frame.

2) Root mean square (rms), which summarizes the energy 238 distribution in each frame 239

$$rms = \sqrt{\frac{\sum_{n=1}^{N} F_n^2}{N}}.$$
 (2)

Spectral centroid, which measures the average frequency 240 weighted by the sum of spectrum amplitude within one 241 frame

Centroid =
$$\frac{\sum_{k=1}^{K} P(f_k) f_k}{\sum_{k=1}^{K} P(f_k)}$$
 (3)

where f_k is the frequency in the kth channel, K=40 243 is the number of channels, and $P(f_k)$ is the spectrum 244 amplitude on the kth channel.

4) Bandwidth (also referred to as the centroid width), which 246 shows the frequency range of a signal weighted by its 247 spectrum 248

Bandwidth =
$$\frac{\sum\limits_{k=1}^{K}|\text{Centroid} - f_k|P(f_k)}{\sum\limits_{k=1}^{K}P(f_k)}.$$
 (4)

5) Flux, representing the amount of local spectral change, 249 which is calculated as the squared difference be- 250 tween the normalized magnitudes of consecutive spectral 251 distributions 252

Flux =
$$\sum_{k=2}^{K} |P(f_k) - P(f_{k-1})|^2$$
. (5)

These features were extracted from multiple segments of a 253 sample signal, and the mean value and standard deviation were 254 used as the feature values for each sound sample.

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2) MPEG-7 Timbral Features: Instruments usually have 256 some unique properties that can be described by their harmonic 257 spectra and their temporal and spectral envelopes. The MPEG-7 258 audio framework [15] endeavors to provide a complete feature 259

260 set for the description of harmonic instrument sounds. We 261 consider in this paper only two classes of timbral descriptors in 262 the MPEG-7 framework: timbral spectral and timbral temporal. 263 These include seven feature descriptors: harmonic centroid 264 (HC), harmonic deviation (HD), harmonic spread (HS), har-265 monic variation (HV), spectral centroid (SC), log attack time 266 (LAT), and temporal centroid (TC). The first five belong to the 267 timbral spectral feature scheme, whereas the last two belong to 268 the timbral temporal scheme. Note that the SC feature value was 269 obtained from the spectral analysis of the entire sample signal; 270 thus, it is similar to but different from the CentroidM of the 271 perception-based features. CentroidM was aggregated from the 272 centroid feature extracted from short segments within a sound 273 sample.

274 3) MFCC Features: To obtain MFCC features, a signal 275 needs to be transformed from frequency (hertz) scale to mel 276 scale

$$mel(f) = 2595 \log_{10} \left(1 + \frac{f}{700} \right). \tag{6}$$

277 The mel scale has 40 filter channels. The first extracted fil-278 terbank output is a measure of power of the signal, and the 279 following 12 linearly spaced outputs represent the spectral 280 envelope. The other 27 log-spaced channels account for the 281 harmonics of the signal. Finally, a discrete cosine transform 282 converts the filter outputs to give the MFCCs. The mean and 283 standard deviation of the first 13 coefficients thus obtained were 284 extracted for classification.

285 C. Feature Selection

Feature-selection techniques are often necessary for optimiz187 ing the feature sets used in classification. This way, redundant
188 features are removed from the classification process, and the di189 mensionality of the feature set is reduced to save computational
190 cost and defy the "curse of dimensionality" that impedes the
191 construction of good classifiers [23]. To assess the quality of a
192 feature used for classification, a correlation-based approach is
193 often adopted. In general, a feature is good if it is relevant to the
194 class concept but is not redundant given the inclusion of other
195 relevant features. The core issue is modeling the correlation
196 between two variables or features. Based on information theory,
197 a number of indicators can be developed to rank the features
198 by their correlation to the class. Relevant features will yield a
199 higher correlation.

Given a prediscretized feature set, the "noisiness" of the solution X can be measured as the entropy, which is defined as

$$H(X) = -\sum_{i} P(x_i) \log_2 P(x_i) \tag{7}$$

302 where $P(x_i)$ is the prior probability for the ith discretized value 303 of X. The entropy of X after observing another variable Y is 304 then defined as

$$H(X|Y) = -\sum_{j} P(y_j) \sum_{i} (P(x_i|y_j) \log_2 P(x_i|y_j)).$$
 (8)

The IG [26], indicating the amount of additional information 305 about X provided by Y, is given as

$$IG(X|Y) = H(X) - H(X|Y). \tag{9}$$

IG itself is symmetrical, i.e., IG(X|Y) = IG(Y|X), but in 307 practice, it favors features with more values [24].

The GR method normalizes IG by an entropy term

$$GR(X|Y) = \frac{IG(X|Y)}{H(Y)}.$$
 (10)

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A better measure is defined as the symmetrical uncer- 310 tainty [27]

$$SU(X|Y) = 2\frac{IG(X|Y)}{H(X) + H(Y)}.$$
(11)

SU compensates for IG's bias toward features with more values 312 and restricts the value range within [0, 1].

Despite a number of efforts previously made using the 314 aforementioned criteria [24], [25], there is no golden rule 315 for the selection of features. In practice, it is found that the 316 performance of the selected feature subsets is also related to the 317 choice of classifiers for pattern-recognition tasks. The wrapper- 318 based approach [28] was therefore proposed, using a classifier 319 combined with some guided search mechanism to choose an 320 optimal selection from a given feature set.

D. Feature Analysis by Dimension Reduction 322

Standard dimension-reduction or MDS techniques such as 323 PCA and Isomap [29] are often used to estimate an embedding 324 dimension of the high-dimensional feature space. PCA projects 325 high-dimensional data into low-dimensional space while pre- 326 serving the maximum variance. It has been found rather effec- 327 tive in pattern-recognition tasks such as face and handwriting 328 recognition. The Isomap algorithm calculates the geodesic dis- 329 tances between points in a high-dimensional observation space 330 and then conducts eigenanalysis of the distance matrix. As the 331 output, new coordinates of the data points in a low-dimensional 332 embedding are obtained that best preserve their intrinsic geo- 333 desic distances. In this paper, we used PCA and Isomap to 334 explore the sparseness of the feature space and examine the 335 residuals of the chosen dimensionality to estimate how many 336 features at least should be included in a subset. The perfor- 337 mance of the selected subsets was then compared with that of 338 the reduced and transformed feature space obtained by MDS.

E. Feature Validation via Classification

Feature-combination schemes generated from the selection 341 rankings were then further assessed using classifiers under 342 cross-validation. The following classification algorithms were 343 used in this paper: k-NN, an instance-based classifier weighted 344 by the reciprocal of distances [30]; naive Bayes, employing 345 Bayesian models in the feature space; multilayer perceptron 346 (MLP) and radial basis functions (RBFs), which are both neural 347

| Donle | IG | | GR | | SU | SVM | | |
|-------|------------|--------|------------|--------|------------|--------|------------|--|
| Rank | Feature | Value | Feature | Value | Feature | Value | Feature | |
| 1 | LAT | 0.8154 | LAT | 0.5310 | LAT | 0.4613 | HD | |
| 2 | HD | 0.6153 | HD | 0.5270 | HD | 0.3884 | FluxD | |
| 3 | FluxD | 0.4190 | MFCC2M | 0.3230 | BandwidthM | 0.2267 | LAT | |
| 4 | BandwidthM | 0.3945 | MFCC12D | 0.2970 | FluxD | 0.2190 | MFCC3D | |
| 5 | MFCC1D | 0.3903 | MFCC4D | 0.2700 | RMSM | 0.2153 | MFCC4M | |
| 6 | MFCC3D | 0.381 | BandwidthM | 0.2660 | MFCC1D | 0.2084 | ZCRD | |
| 7 | RMSM | 0.3637 | RMSM | 0.2640 | MFCC4M | 0.1924 | MFCC1M | |
| 8 | BandwidthD | 0.3503 | MFCC13D | 0.2580 | MFCC11D | 0.1893 | HC | |
| 9 | MFCC4M | 0.3420 | MFCC2D | 0.2450 | MFCC3D | 0.1864 | MFCC9D | |
| 10 | MFCC11D | 0.3125 | MFCC11D | 0.2400 | BandwidthD | 0.1799 | ZC | |
| 11 | ZCRD | 0.3109 | MFCC7D | 0.2350 | MFCC2M | 0.1784 | RMSM | |
| 12 | CentroidD | 0.2744 | FluxD | 0.2290 | MFCC4D | 0.1756 | CentroidD | |
| 13 | MFCC8D | 0.2734 | MFCC1D | 0.2240 | MFCC7D | 0.1710 | MFCC9M | |
| 14 | MFCC6D | 0.2702 | MFCC4M | 0.2200 | MFCC12D | 0.1699 | BandwidthM | |
| 15 | MFCC7D | 0.2688 | CentroidM | 0.2150 | ZCRD | 0.1697 | MFCC5D | |
| 16 | ZC | 0.2675 | SC | 0.2110 | CentroidD | 0.1653 | SC | |
| 17 | MFCC4D | 0.2604 | MFCC5M | 0.2090 | CentroidM | 0.1610 | MFCC12D | |
| 18 | CentroidM | 0.2578 | CentroidD | 0.2080 | MFCC13D | 0.1567 | MFCC7M | |
| 19 | MFCC10M | 0.2568 | HC | 0.1950 | SC | 0.1563 | MFCC2M | |
| 20 | MFCC10D | 0.2519 | MFCC1M | 0.1910 | MFCC8D | 0.1532 | MFCC6M | |

TABLE II
FEATURE RANKING FOR SINGLE TONES

348 network classifiers; and SVM, which is a statistical learning al-349 gorithm and has been widely used in many classification tasks.

350 IV. EXPERIMENT

351 A. Experiment Settings

We tackled the musical-instrument-classification problem in 353 two stages: 1) instrument-type classification using samples of 354 individual instruments and 2) direct classification of individual 355 instruments.

A number of utilities were used for feature extraction and 357 classification experiments. The perception-based features were 358 extracted using the IPEM Toolbox [31]. The Auditory Toolbox 359 [32] was used to extract MFCC features. The MPEG-7 audio-360 descriptor features were obtained using an implementation by 361 Casey [33]. Various algorithms implemented in Waikato Envi-362 ronment for Knowledge Analysis (Weka) [34] were used for 363 feature selection and classification experiments.

Samples used in the first experiment were taken from the 365 previously mentioned UIOWA MIS collection. The collection 366 consists of 761 single-instrument files from 20 instruments, 367 which cover the dynamic range from pianissimo to fortissimo 368 and are played bowed or plucked, with or without vibrato, 369 depending on the instrument. All samples were recorded in the 370 same acoustic environment (an anechoic chamber) under the 371 same conditions. We realized that this was a strong constraint, 372 and our result might not generalize to a complicated setting 373 such as live recordings of an orchestra. To explore the potential 374 of various feature schemes for instrument classification in live 375 solo performance, solo-passage music samples were collected 376 from CD recordings from private collections and the University 377 of Otago Library.

378 B. Instrument-Family Classification

379 *1) Feature Ranking and Selection:* We first simplified the 380 instrument-classification problem by grouping the instruments

into four families: piano, brass, string, and woodwind. For 381 this four-class problem, the best 20 features generated by the 382 three selection methods are shown in Table II. All of them 383 indicate that LAT and HD are the most relevant features. It is 384 important to note that the standard deviations of the MFCCs 385 are predominantly present in all three selections. Also, the 386 measures of the centroid and bandwidth, as well as the deviation 387 of flux, zero crossings, and mean of rms, can be found in each 388 of them. These selections are different from the best 20 features 389 selected by Livshin and Rodet [21], where MPEG-7 descriptors 390 were not considered. However, they also included bandwidth 391 (spectral spread), MFCC, and SC.

Classifiers were then employed to assess the quality of fea- 393 ture selection. A number of algorithms, including naive Bayes, 394 k-NN, MLP, RBF, and SVM, were compared on classification 395 performance based on tenfold cross-validation. Among these, 396 the naive-Bayes classifiers employed kernel estimation during 397 training. A plain k-NN classifier was used here with k=1. 398 SVM classifiers were built using sequential minimal optimiza- 399 tion, with RBF kernels and a complexity value of 100, with all 400 attributes being standardized. Pairwise binary SVM classifiers 401 were trained for this multiclass problem, with between 10 and 402 80 support vectors being created for each SVM. The structure 403 of MLP was automatically defined in the Weka implementation, 404 and each MLP was trained over 500 epochs with a learning rate 405 of 0.3 and a momentum of 0.2.

To investigate the redundancy of the feature set, we used the 407 IG filter to generate reduced feature sets of the best 20, best 10, 408 and best 5 features, respectively. Other choices, instead of IG, 409 were found to produce similar performance and, hence, are not 410 considered here. The performance of these reduced sets was 411 compared with the original full set with all 44 features. The 412 results are given in Table III.

These can be contrasted with the results presented in 414 Table IV, where 17 features were selected using a rank search 415 based on SVM attribute evaluation and the correlation-based 416 CfsSubset scheme implemented in Weka. This feature set, 417

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| Feature Scheme | k-NN | Naive Bayes | SVM | MLP | RBF |
|----------------|-------|-------------|-------|-------|------|
| All 44 | 95.75 | 86.5 | 97.0 | 95.25 | 95.0 |
| Best 20 | 94.25 | 86.25 | 95.5 | 93.25 | 95.5 |
| Best 10 | 90.25 | 86.25 | 94.25 | 91.0 | 87.0 |
| Best 5 | 89.5 | 81.0 | 91.75 | 86.75 | 84.5 |

TABLE IV
PERFOMANCE (IN PERCENTAGE) OF CLASSIFIERS TRAINED
ON THE "SELECTED 17" FEATURE SET

| Classifier | 1NN | Naive Bayes | SVM | MLP | RBF |
|-------------|------|-------------|-------|-----|-----|
| Performance | 96.5 | 88.25 | 92.75 | 94 | 94 |

TABLE V
PERFORMANCE (IN PERCENTAGE) IN CLASSIFYING THE
FOUR CLASSES (TENFOLD CROSS-VALIDATION)

| Feature Sets | Brass | Woodwind | String | Piano | Overall |
|------------------|-------|----------|--------|-------|---------|
| MFCC (26) | 99 | 90 | 89 | 95 | 93.25 |
| MPEG-7 (7) | 90 | 62 | 76 | 99 | 81.75 |
| IPEM (11) | 93 | 63 | 81 | 100 | 84.25 |
| MFCC+MPEG-7 (33) | 98 | 92 | 91 | 100 | 95.25 |
| MFCC+IPEM (37) | 98 | 89 | 94 | 98 | 94.75 |
| IPEM+MPEG-7(18) | 93 | 76 | 85 | 100 | 88.5 |
| Top 50% mix (21) | 95 | 89 | 88 | 100 | 93 |
| Best 20 | 97 | 88 | 92 | 100 | 94.25 |
| Selected 17 | 97 | 94 | 95 | 100 | 96.5 |

418 denoted as "Selected 17," includes CentroidD, BandwidthM, 419 FluxD, ZCRD, MFCC[2–6]M, MFCC10M, MFCC3/4/6/8D, 420 HD, LAT, and TC. It is noted that TC contributes positively 421 to the classification task, even though it is not among the top 20 422 ranked features. Here, the classification algorithms take similar 423 settings as those used to generate the results shown in Table III. 424 The performance of the "Selected 17" feature set is very close 425 to that of the full feature set. The k-NN classifier performs even 426 slightly better with the reduced feature set.

2) Evaluation of Feature-Extraction Schemes: Since the 428 k-NN classifier produced similar performance in much less 429 computing time compared with SVM, we further used 430 one-NN classifiers to assess the contribution from each in-431 dividual feature scheme and improvements achieved through 432 scheme combinations. Apart from combining the schemes one 433 by one, another option was also considered: picking the top 434 50% ranked attributes from each feature scheme, resulting in a 435 21-dimension composite set, called the "Top 50% mix." The re-436 sults are presented in Table V. Aside from overall performance, 437 classification accuracy on each instrument type is also reported. From these results, it can be seen that, among the indi-439 vidual feature subsets, MFCC outperforms both IPEM and 440 MPEG-7. This is different from the finding of Xiong et al. 441 [12] that reveals that MPEG-7 features give better results than 442 MFCC for the classification of sports-audio scenes such as 443 applause, cheering, music, etc. The difference was however 444 marginal (94.73% versus 94.60%). Given that the scope of 445 this paper is much narrower, this should not be regarded as 446 a contradiction. Indeed, some researchers also found more fa-447 vorable results using MFCC instead of MPEG-7 for instrument 448 classification [8], [10].

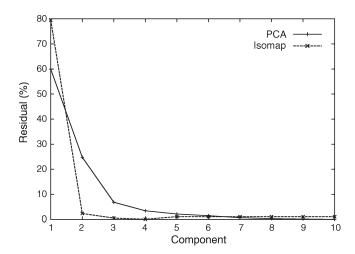


Fig. 1. Graphical representation of the reduced components. The x-axis gives the component number, and the y-axis gives the relevant normalized residual (in percentage). Only ten components are shown.

In terms of average performance of combination schemes 449 listed in Table V, the MFCC+MPEG-7 set produced the best 450 results, whereas the MPEG-7+IPEM set with 18 features gave 451 the poorest result. It is observed that the inclusion of MFCC is 452 most beneficial to the woodwind and string families, whereas 453 the inclusion of the MPEG-7 seems to boost the performance 454 on piano and woodwind. Generally, the more features that are 455 included, the better the performance. However, the difference 456 among 33, 37, and 44 features is almost negligible. It is in-457 teresting to note that the "Selected 17" feature set produced 458 very good performance. The "Top 50% mix" set produced 459 a performance as high as 93%, slightly worse than that of 460 the "Best 20" set, probably due to the fact that the selection 461 was not done globally among all features. All these results, 462 however, clearly indicate that there is strong redundancy within 463 the feature schemes.

In terms of accuracy on each instrument type, the piano 465 can be rather accurately classified on most feature sets. The 466 MPEG-7 and IPEM sets seem to have problems in identifying 467 woodwind instruments, with which MFCC can cope very well. 468 Combining MFCC with other feature sets can boost the perfor- 469 mance on woodwind significantly. The MPEG-7 set does not 470 perform well on string instruments either; however, a combi- 471 nation with either MFCC or IPEM can effectively enhance the 472 performance. These results suggest that these individual feature 473 sets are quite complementary to each other despite their strong 474 redundancy.

3) Dimension Reduction: Overall, when the total number 476 of included features is reduced, the classification accuracy 477 decreases monotonically. However, it is interesting to see from 478 Table III that, even with five features only, the classifiers 479 achieved a classification rate around 90%. In order to inter- 480 pret this finding, we used PCA and Isomap to reduce the 481 dimensionality of the full feature set. The two methods report 482 similar results. The normalized residuals of the extracted first 483 ten components using these methods are shown in Fig. 1. The 484 3-D projection of the Isomap algorithm, generated by selecting 485 the first three coordinates from the resulting embedding, is 486 shown in Fig. 2. The separability of the four classes already 487

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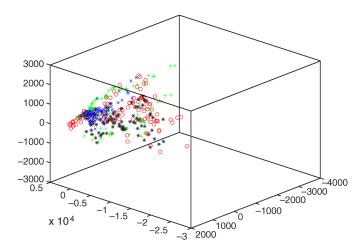


Fig. 2. Three-dimensional embedding of the feature space. There are 400 instrument samples, each with its category labeled: X—"piano," o—"string," +—"brass," and *—"woodwind." The three axes correspond to the transformed first three dimensions generated by Isomap.

488 starts to emerge with three dimensions. For both methods, the 489 residual falls under 0.5% after the fourth component, although 490 the dropping reported by Isomap is more significant. This 491 suggests that the data manifold of the 44-D feature space may 492 have an embedded dimension of four or five only.

As a test, the first five principal components (PCs) of the 494 complete feature set were extracted, resulting in weighted com-495 binations of MFCC, IPEM, and MPEG-7 features. A one-NN 496 classifier trained with the five PCs reports an average accuracy 497 of 88.0% in a tenfold cross-validation, very close to that of the 498 "Best 5" selection given in Table III. This further confirms that 499 there is strong redundancy within and between the three feature 500 schemes.

501 C. Instrument Classification

1) Individual-Instrument Sound Recognition: Next, all 20 503 instruments were directly distinguished from each other. We 504 chose to use one-NN classifiers as they worked very quickly and 505 gave almost the same accuracies compared to SVM. A feature-506 selection process was conducted using correlation-based subset 507 selection on attributes searched by SVM evaluation. This re-508 sulted in a subset of 21 features, including LAT, FluxM, ZCRD, 509 HD, CentroidD, TC, HC, RMSD, FluxD, and 12 MFCC values. 510 The confusion matrix for individual-instrument classification is 511 given in Table VI. Instrument "a" is piano, and instruments 512 "b-f" belong to the brass type, "g-j" to the string type, and 513 "k-t" to the woodwind type.

The overall average classification accuracy is 86.9%. The 515 performance, in general, is quite satisfactory, particularly for 516 piano and string instruments. Only one out of 20 piano samples 517 was wrongly classified (as oboe). Among the string instru-518 ments, the most significant errors occurred for viola samples, 519 with an accuracy of 18/25 = 72%. Classification errors in the 520 woodwind category mainly occurred within itself, having only 521 sporadic cases of wrong classification into other families. The 522 woodwind instruments have the lowest classification accuracy 523 compared with other instruments, but this may relate to the

limited number of woodwind data samples in the current data 524 set. The worst classified instrument is E^b clarinet. There is also 525 a notable confusion between alto flute and bass flute.

2) Instrument Recognition in Solo Phrases: Finally, a pre- 527 liminary experiment on instrument recognition in solo phrases 528 was conducted. For this experiment, one representative in- 529 strument of each instrument type was chosen. These were 530 as follows: trumpet, flute, violin, and piano. To detect the 531 right instrument in solo passages, a classifier was trained on 532 short monophonic phrases. Solo excerpts from CD recordings 533 were tested on this classifier. The problem here is that these 534 solo phrases were recorded with accompaniment; thus, they 535 were often polyphonic in nature. Selecting fewer and clearly 536 distinguishable instruments for the trained classifier helps make 537 the problem more addressable. It is assumed that an instrument 538 is playing dominantly in the solo passages. Thus, its spectral 539 characteristics will probably be the most dominant, and the fea- 540 tures derived from the harmonic spectrum are assumed to work. 541

The samples for the four instruments were taken from live 542 CD recordings. The trumpet passages sometimes have multiple 543 brass instruments playing. The flutes are accompanied by mul- 544 tiple flutes, a harp, or a double bass, and the violin passages are 545 sometimes flute- and string-accompanied. Passages of around 546 10-s length were segmented into 2-s phrases with 50% overlap. 547 Shorter segments seemed to have a tendency to lower classifica- 548 tion rates. The amount of music samples was basically balanced 549 across the four instrument types, as seen in Table VII.

The same SVM-based feature-selection scheme used before 551 searched out 19 features for this task. These included the fol- 552 lowing: eight MFCC values (mainly means), five MPEG-7 fea- 553 tures (HD, HS, HV, and SC), and four perception-based features 554 AQ5 (CentroidM, FluxM, ZCRD, and RMSM). An average accuracy 555 of 98.4% was achieved over four instruments using three-NN 556 classifiers with distance weighting. The Kappa statistic is re- 557 ported as 0.98 for the tenfold cross-validation, suggesting that 558 the classifier stability is very strong. The confusion matrix is 559 shown in Table VIII. The numbers shown are in percentage. The 560 largest classification errors occurred with flute being classified 561

Here, again, MFCC is shown to be dominant in classification. 563 To achieve a good performance, it is noted that the other two 564 feature schemes also contributed favorably and should also be 565 included. 566

D. Discussion 567

The scopes of some current studies and performance 568 achieved are listed in Table IX, where the number of in- 569 struments and the classification accuracies (in percentages) 570 of instrument-family and individual-instrument classifications 571 are listed. It can be seen that our results are better than or 572 comparable with those obtained by other researchers. However, 573 it is noted that the number of instruments included is different 574 and that the data sources are different despite the fact that 575 most of these included the UIOWA sample set. The exact 576 validation process used to assess the classification performance 577 may be different as well. For instance, we adopted tenfold 578 cross-validation in all our experiments, whereas Kaminskyj and 579

| Instrument | | Classified As | | | | | | | | | | | | | | | | | | |
|--------------|----|---------------|----|----|----|----|-----|----|----|-----|----|----|----|-----|----|----|----|----|----|----|
| Instrument | a | Ъ | С | d | e | f | g | h | i | j | k | 1 | m | n | 0 | p | q | r | S | t |
| a=piano | 95 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| b=tuba | 0 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c=trumpet | 0 | 0 | 95 | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d=horn | 0 | 0 | 0 | 95 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 0 |
| e=ttrombone | 0 | 0 | 0 | 0 | 90 | 0 | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 0 | 0 | 0 | 0 |
| f=btrombone | 0 | 0 | 0 | 0 | 5 | 95 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| g=violin | 0 | 0 | 0 | 0 | 0 | 0 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| h=viola | 0 | 0 | 0 | 0 | 4 | 8 | 4 | 72 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 4 | 0 | 4 |
| i=bass | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 92 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 4 | 0 | 0 |
| j=cello | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| k=sax | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 80 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 |
| l=altosax | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 80 | 0 | 0 | 0 | 0 | 20 | 0 | 0 | 0 |
| m=oboe | 0 | 10 | 0 | 0 | 0 | 10 | 0 | 10 | 0 | 10 | 0 | 0 | 60 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| n=bassoon | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 100 | 0 | 0 | 0 | 0 | 0 | 0 |
| o=flute | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 0 | 0 | 0 | 0 | 0 | 70 | 10 | 0 | 0 | 10 | 0 |
| p=altoflute | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 70 | 20 | 0 | 0 | 0 |
| q=bflute | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 20 | 80 | 0 | 0 | 0 |
| r=bclarinet | 0 | 0 | 0 | 0 | 0 | 10 | 0 | 0 | 10 | 10 | 0 | 0 | 0 | 0 | 10 | 0 | 0 | 60 | 0 | 0 |
| s=bbclarinet | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 0 | 0 | 0 | 0 | 0 | 10 | 0 | 0 | 0 | 80 | 0 |
| t=ebclarinet | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 10 | 0 | 0 | 10 | 20 | 0 | 0 | 0 | 50 |

TABLE VI Confusion Matrix for All 20 Instruments With Tenfold Cross-Validation. All Numbers Are in Percentage

TABLE VII
DATA SOURCES FOR THE SOLO-PHRASE EXPERIMENT

| Instrument | Data sources |
|------------|-------------------------|
| Trumpet | 9 min / 270 samples |
| Piano | 10.6 min / 320 samples |
| Violin | 10 min / 300 samples |
| Flute | 9 min / 270 samples |
| Total | 38.6 min / 1160 samples |

TABLE VIII
CONFUSION MATRIX FOR INSTRUMENT RECOGNITION IN
SOLO PASSAGES (PERFORMANCE IN PERCENTAGE)

| Instrument | Classified As | | | | | | | | |
|------------|---------------|---------|--------|-------|--|--|--|--|--|
| Instrument | piano | trumpet | violin | flute | | | | | |
| piano | 100 | 0 | 0 | 0 | | | | | |
| trumpet | 0.4 | 99.6 | 0 | 0 | | | | | |
| violin | 0.3 | 0.3 | 98.7 | 0.7 | | | | | |
| flute | 3.7 | 0 | 1.5 | 94.8 | | | | | |

580 Czaszejko [19] and others used leave-one-out cross-validation 581 instead.

Paired with a good performance level, the feature dimension-583 ality of our approach is relatively low, with the selected feature 584 sets having fewer than or around 20 dimensions. On the other 585 hand, Eggink and Brown [20] used the same UIOWA sample 586 collection but a different feature scheme with 90 dimensions, 587 reporting an average recognition rate of only 59% on five 588 instruments (flute, clarinet, oboe, violin, and cello). Livshin 589 and Rodet [21] used 62 features and selected the best 20 for 590 real-time solo detection. Kaminskyj and Czaszejko [19] used 591 710 dimensions after PCA. In this paper, a 5-D set after PCA 592 also achieved a good classification accuracy. A notable work is 593 by Benetos *et al.* [22], where only six features were selected. 594 However, there were only six instruments included in their 595 study, and the scalability of the feature selection needs to be 596 further assessed.

Although we gave such a performance list in Table IX, the 598 comparison has to be made with a notion of care. This is

particularly true for the case of instrument recognition in solo 599 passages, as it is impossible to make fair comparison when there 600 are no widely accepted benchmarks and researchers have used 601 various performance CDs [8], [21].

V. CONCLUSION

603

In this paper, we presented a study on feature extraction and 604 evaluation for the problem of instrument classification. The 605 main contribution is that we investigated three major feature- 606 extraction schemes, analyzed them using a number of feature- 607 selection methods, and assessed the classification performance 608 of the individual feature schemes, combined schemes, and 609 selected feature subsets. A small embedding dimension of the 610 feature space used was obtained using MDS, confirming the 611 strong redundancy of the considered feature schemes.

For experiments on monotone music samples, a publicly 613 available data set was used to allow for the purpose of bench- 614 marking. Feature-ranking measures were employed, and these 615 produced similar feature-selection outputs. Moreover, the per- 616 formance of the obtained feature subsets was verified using a 617 number of classifiers. The MPEG-7 audio-descriptor scheme 618 contributed the first two most significant features (LAT and HD) 619 for instrument classification; however, as indicated by feature 620 analysis, MFCC and perception-based features dominated in 621 the ranked and SVM-based selections. It was also demonstrated 622 that, among the individual feature schemes, the MFCC feature 623 scheme gave the best classification performance.

It is interesting to see that the feature schemes adopted in 625 current research are all highly redundant as assessed by the 626 dimension-reduction techniques. This may imply that an opti- 627 mal and compact feature scheme remains to be found, allowing 628 classifiers to be built quickly and accurately. The finding of 629 an embedding dimension as low as four or five, however, may 630 relate to the specific sound source files we used in this paper, 631 and its scalability needs further verification.

| Work | no. of instruments | Family classification (%) | Individual classification (%) |
|------------------------------|--------------------|---------------------------|-------------------------------|
| Eronen [6] | 29 | 77 | 35 |
| Martin and Kim [35] | 14 | 90 | 70 |
| Agostini et al. [7] | 27 | 81 | 70 |
| Kostek [2] | 12 | - | 70 |
| Kaminskyj and Czaszejko [19] | 19 | 97 | 93 |
| Benetos et al. [22] | 6 | - | 95.2 |
| This work | | | |
| UIOWA samples | 20 | 96.5 | 86.9 |
| Solo phrases | 4 | _ | 98.4 |

TABLE IX
PERFORMANCE OF INSTRUMENT CLASSIFICATION COMPARED

On the other hand, in the classification of individual in-634 struments, even the full feature set would not help much in 635 distinguishing woodwind instruments. In fact, it was found 636 in our experiments on solo-passage classification that some 637 MPEG-7 features were not reliable for giving robust classifi-638 cation results with the current fixed segmentation of solo pas-639 sages. For instance, attack time was not selected in the feature 640 scheme, but it could become a very effective attribute with the 641 help of onset detection. All these indicate that more research 642 works in feature extraction and selection are still necessary.

Apart from the timbral feature schemes we examined, there 644 are other audio descriptors in the MPEG-7 framework that 645 may contribute to better instrument classification, e.g., those 646 obtained from global spectral analysis such as spectral envelope 647 and spectral flatness [15]. Despite some possible redundancy 648 with the introduction of new features, it would be interesting to 649 investigate the potential gains that can be obtained. It would 650 also be interesting to see how the proposed approach scales 651 with increased feature numbers and increased amount of music 652 samples. For our future work, we intend to investigate these 653 issues along with the use of more live recorded music data and 654 also experiment on finding better mechanisms to combine the 655 feature schemes and improve the classification performance for 656 more solo instruments.

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