### **LECTURE 2**

#### ANALYSIS OF INTSERTION SORT

Running time 
$$T(n) = c_1 n + c_2 (n-1) + c_4 (n-1) + c_5 \Sigma t_i + c_6 \Sigma (t_{i-1}) + c_7 \Sigma (t_{i-1}) + c_8 (n-1)$$

### Here:

- 1. Subscripts refer to statements in the pseudocode.
- 2. t<sub>i</sub> is the number of times the while loop test is executed for that value of j.
- 3. Summation  $\Sigma$  runs over all values of j, that is j=2 to j=n.

Best case occurs for already sorted array, in which case no values need to moved from one place to another. Running time is  $\Theta(n)$ .

Worst case occurs for reverse sorted array, in which case  $t_j$ , the number of executions of the loop test, is at its maximum. Running time is  $\Theta(n^2)$ .

Even if we assume that  $t_i = j/2$  on average, we still get running time  $\Theta(n^2)$ .

# **DIVIDE AND CONQUER APPROACH**

- 1. Divide the problem into a number of sub-problems.
- 2. Solve the sub-problems recursively, but if a sub-problem is "small enough", solve it in a "straightforward" manner, without further sub-division.
- 3. Combine the solutions to sub-problems into the solution to the original problem.

## **EXAMPLE:**

```
MERGE-SORT( A, p, r )
if p < r
  q = floor((p+r)/2)
  MERGE-SORT( A, p, q )
  MERGE-SORT( A, q+1, r )
  MERGE( A, p, q, r )</pre>
```

For the function MERGE, please see [CLRS] <-- **SELF STUDY**.

### **ANALYSIS OF RUNNING TIME**

DIVIDE step: Constant running time, denoted as  $D(n) = \Theta(1)$ .

RECURSION step: T(n) = 2T(n/2)

MERGE step:  $C(n) = \Theta(n)$ .

Combining these:

$$T(n) = \begin{cases} \Theta(1), & \text{if } n = 1 \\ 2T(n/2) + \Theta(n), & \text{if } n > 1 \end{cases}$$

Solution is  $T(n) = \Theta(n \log_2 n) = \Theta(n \lg n)$ .

[We accept without proof, but we can always check by back-substitution.]

Solution can be understood by making use of a balanced binary tree, assuming for that purpose that  $n = 2^k$ , for some integer k.

A so-called "master theorem" sets out the general case, as we will see later.

## OTHER EXAMPLES

In Bubble-sort, we count the number of exchanges. Running time  $\Theta(n^2)$ .

In matrix multiplication, we count the number of scalar multiplications. Simple algorithm gives running time  $\Theta(n^3)$ .

Binary search in a sorted array takes running time  $\Theta(\log_2 n)$ .

Finding the maximum or minimum element in an unsorted array takes running time  $\Theta(n)$ .