

# 05. Splines & Subdivision Curves

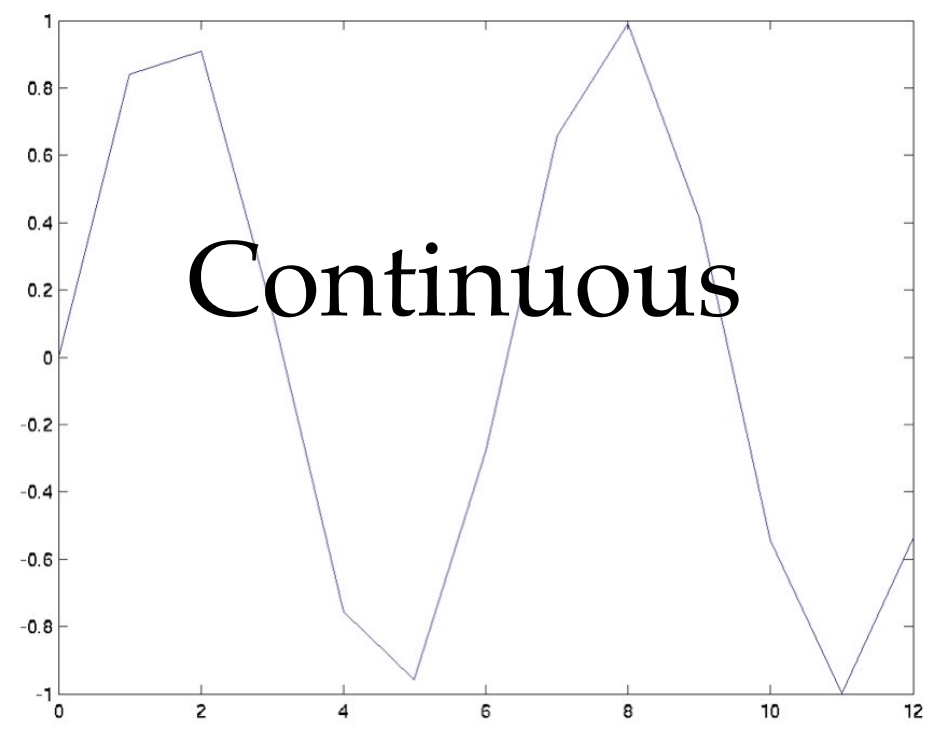
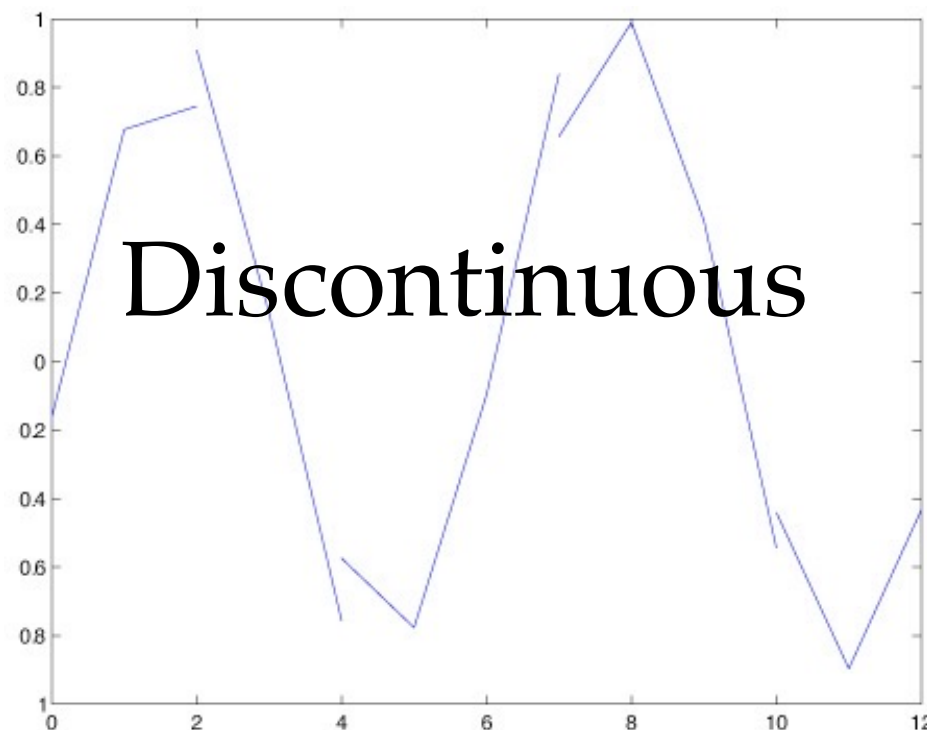
Dr. Hamish Carr

# Continuity

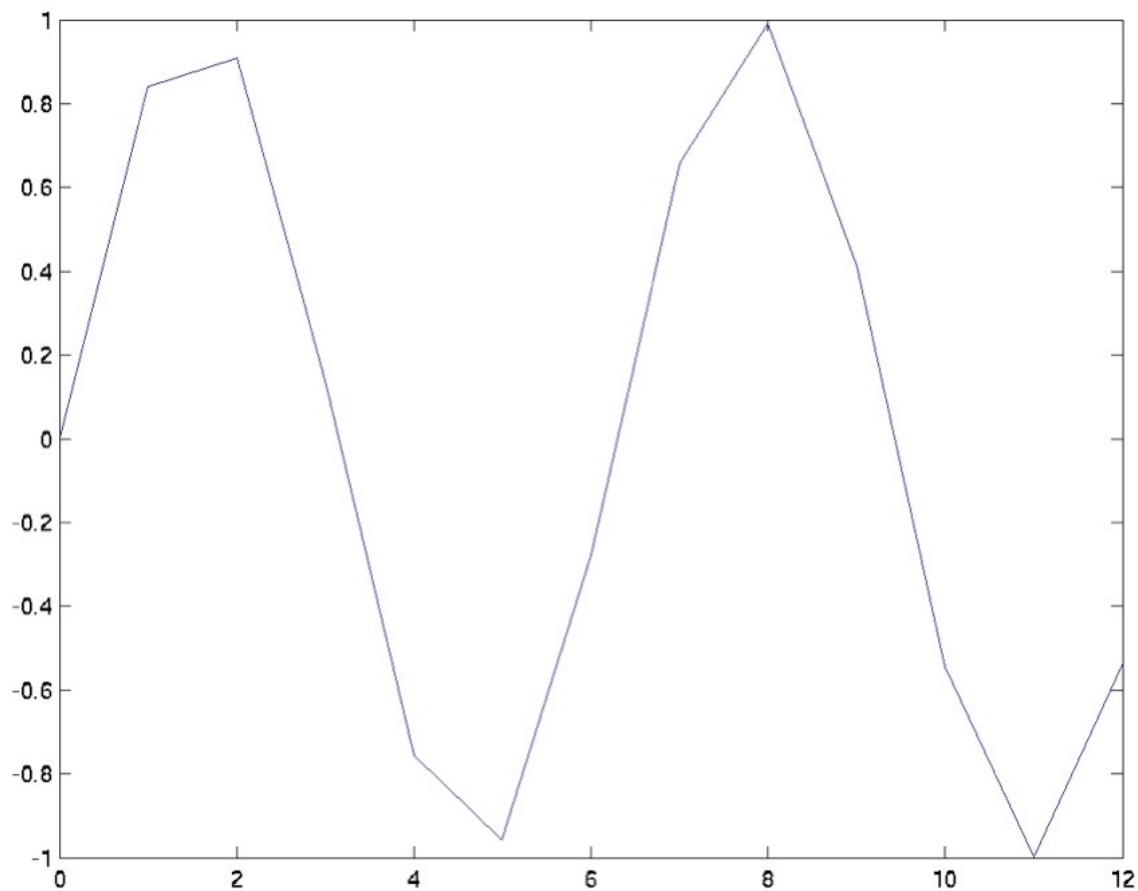
- A continuous function  $f(x)$  satisfies:

$$\lim_{x \rightarrow a^-} f(x) = f(a) = \lim_{x \rightarrow a^+} f(x)$$

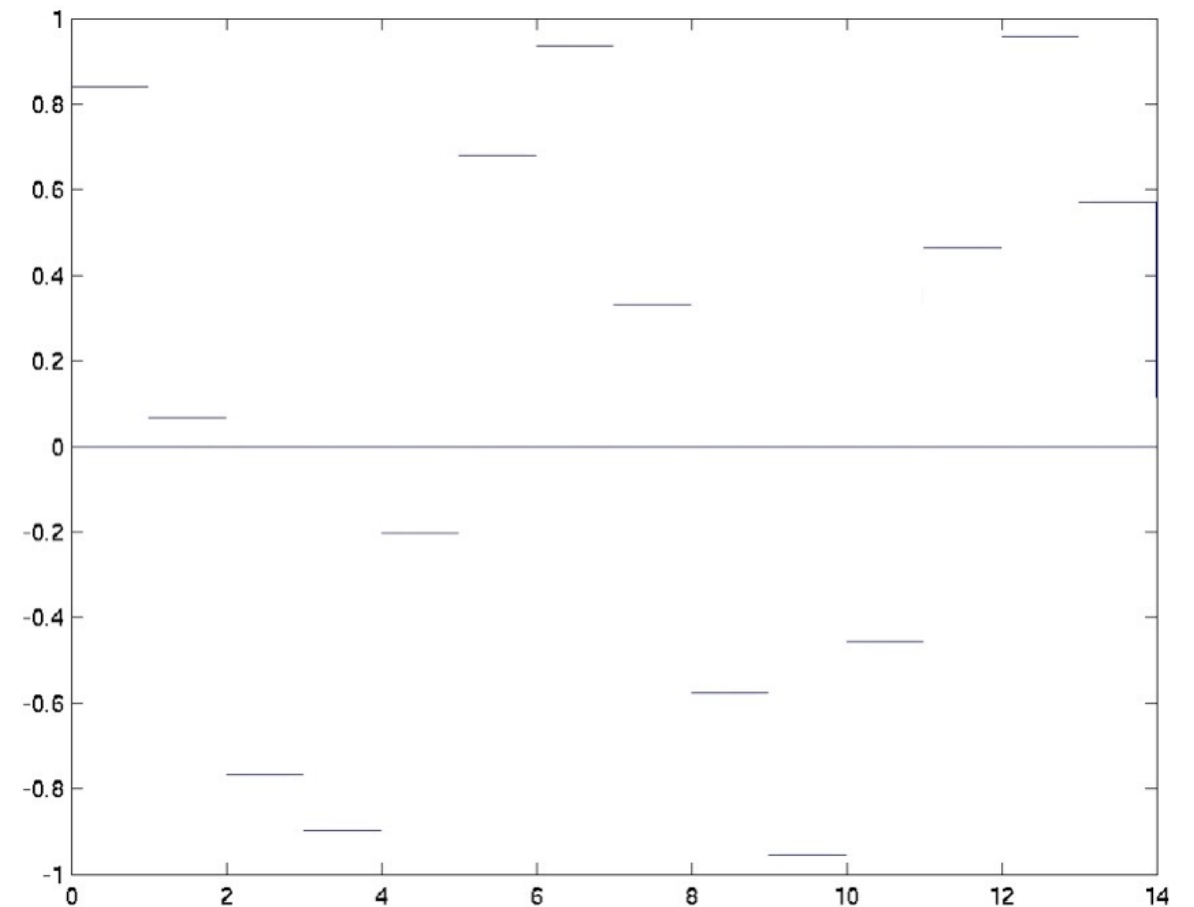
- Also called  $C^0$  continuous



# Continuous $\neq$ Smooth



Not *smooth*  
Why not?

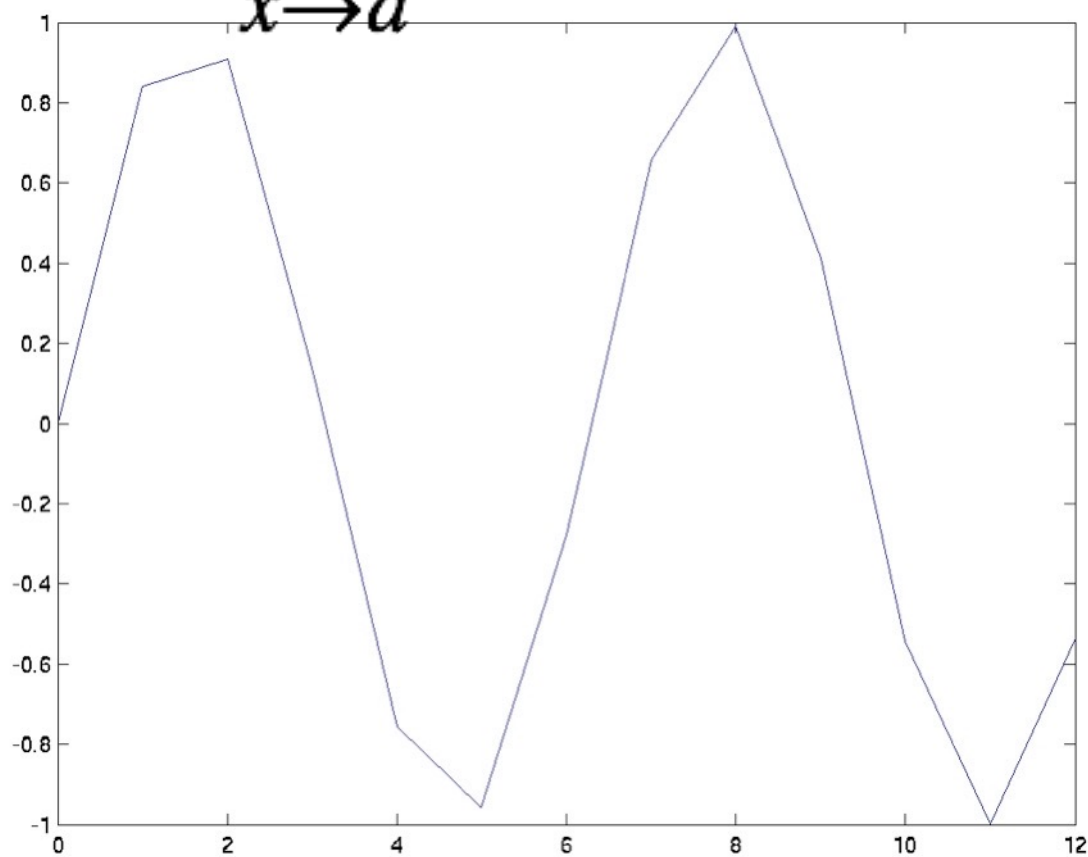


Slope (derivative)  
*slope is discontinuous*

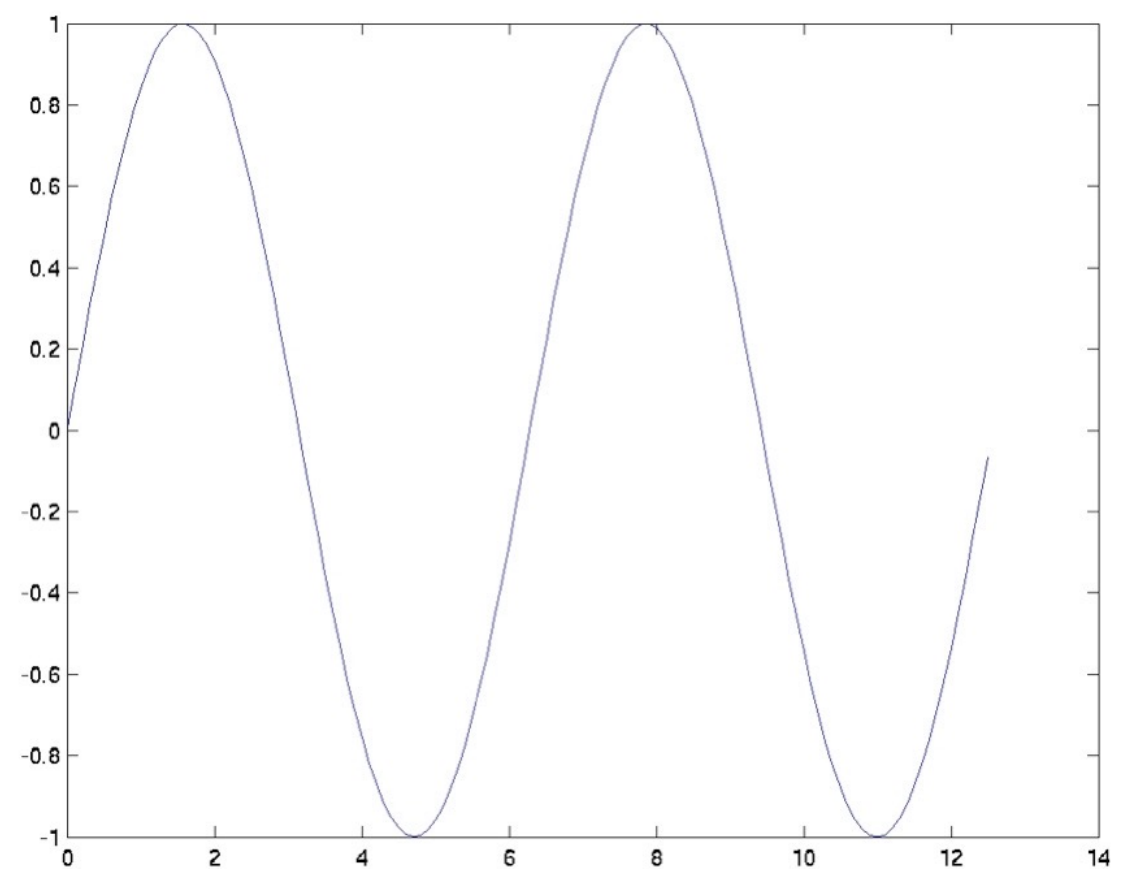
# $C^n$ Continuity

- A function  $f(x)$  is  $C^n$  continuous if:

$$\lim_{x \rightarrow a^-} f^{(n)}(x) = f^{(n)}(a) = \lim_{x \rightarrow a^+} f^{(n)}(x)$$



$C^0$  Continuous



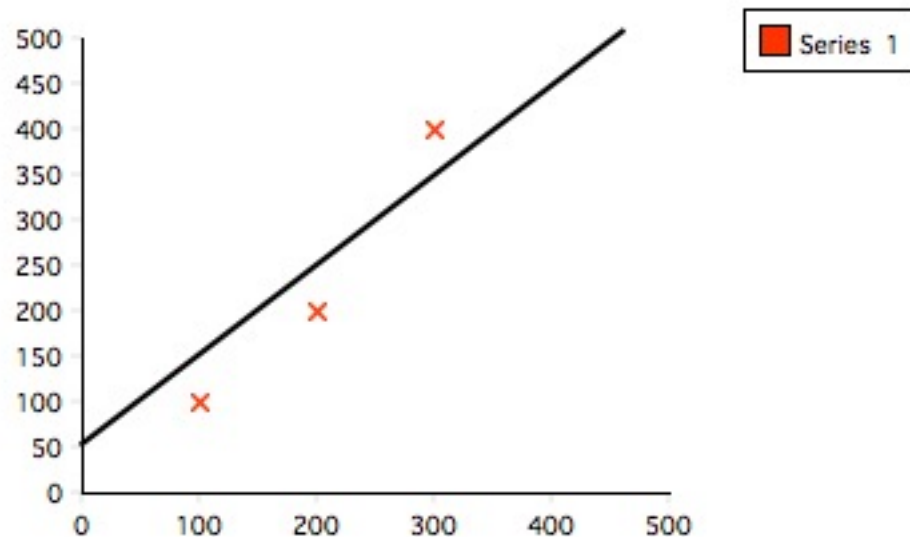
$C^1$  Continuous

*actually  $C^\infty$*

# Desiderata

- Smooth curves  $\rightarrow$  at least  $C^1$  continuity
- Mathematically simple  $\rightarrow$  polynomials
- Closed form intersections  $\rightarrow$  polynomials
- Computationally efficient  $\rightarrow$  low-order
- Interpolating  $\rightarrow$  pass through points
- Local control  $\rightarrow$  artists can use them
- Bounded interpolation  $\rightarrow$  ditto

# Least Squares



- Find *one* line that fits a set of points

$$R = \sum_{i=1}^N d_i^2$$
$$= \sum_{i=1}^N [y_i - (a + bx_i)]^2$$

- Minimise this:  
solve for a, b

- Non-interpolating
- Only gives you a line

# Lagrange Polynomials

- Interpolating (+)
- Polynomial (+)
- High-order (-)
- Inefficient (-)
- No closed form (-)
- No local control

$$\begin{aligned}\alpha_{ij}(x) &= \frac{x - x_j}{x_i - x_j} \\ &= \begin{cases} 1 & i = j \\ 0 & i \neq j \end{cases}\end{aligned}$$

$$\begin{aligned}l_j(x) &= \prod_{\substack{i=1 \\ i \neq j}}^N \alpha_{ij}(x) \\ &= \prod_{\substack{i=1 \\ i \neq j}}^N \frac{x - x_j}{x_i - x_j}\end{aligned}$$

$$\begin{aligned}f(x) &= \sum_{j=1}^N l_j(x) y_j \\ &= \sum_{j=1}^N \prod_{\substack{i=1 \\ i \neq j}}^N \frac{x - x_j}{x_i - x_j} y_j\end{aligned}$$

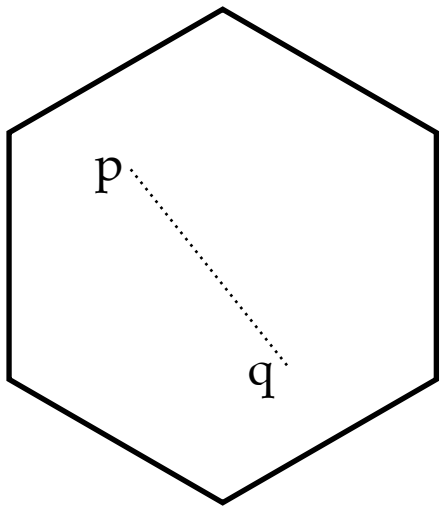
# Lagrange Example



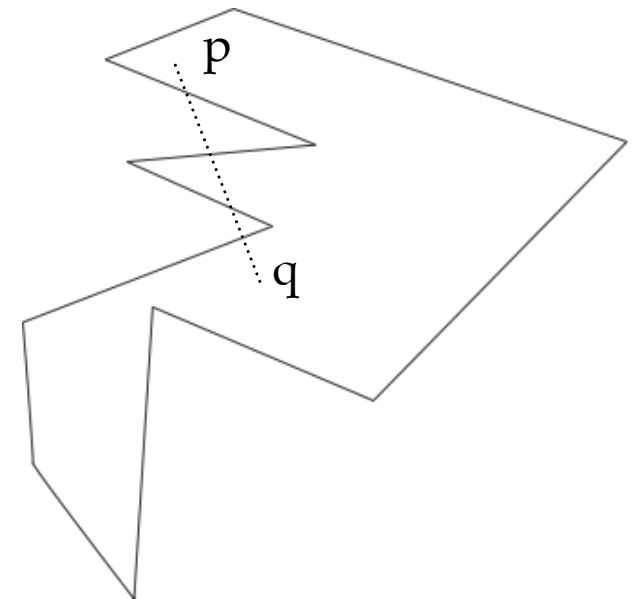
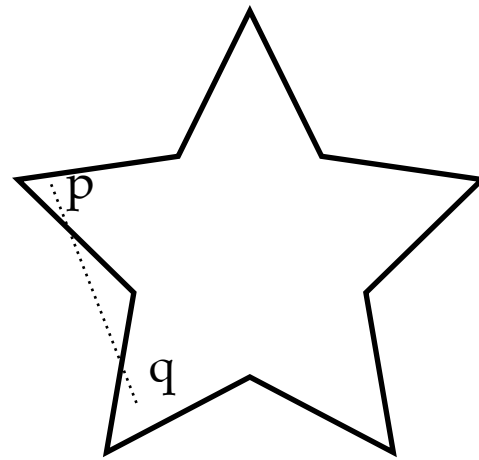
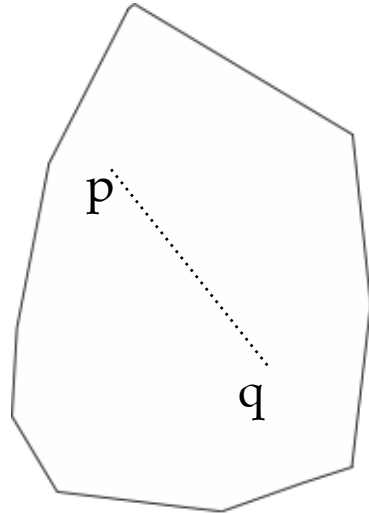


# Convex Polygons

- In a convex polygon,
  - if  $p, q$  are in the polygon
  - then so is the line  $pq$



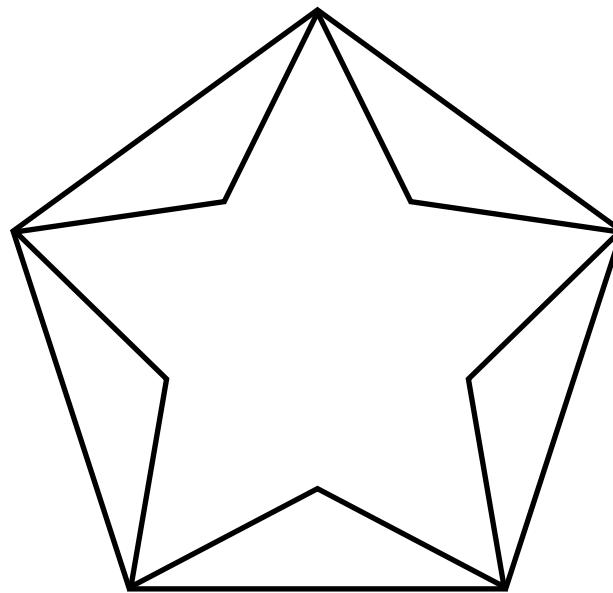
Convex



Concave

# Convex Hull

- For a set of points
  - the convex hull is the
    - smallest convex polygon
    - that contains all the points



# Formal Definition

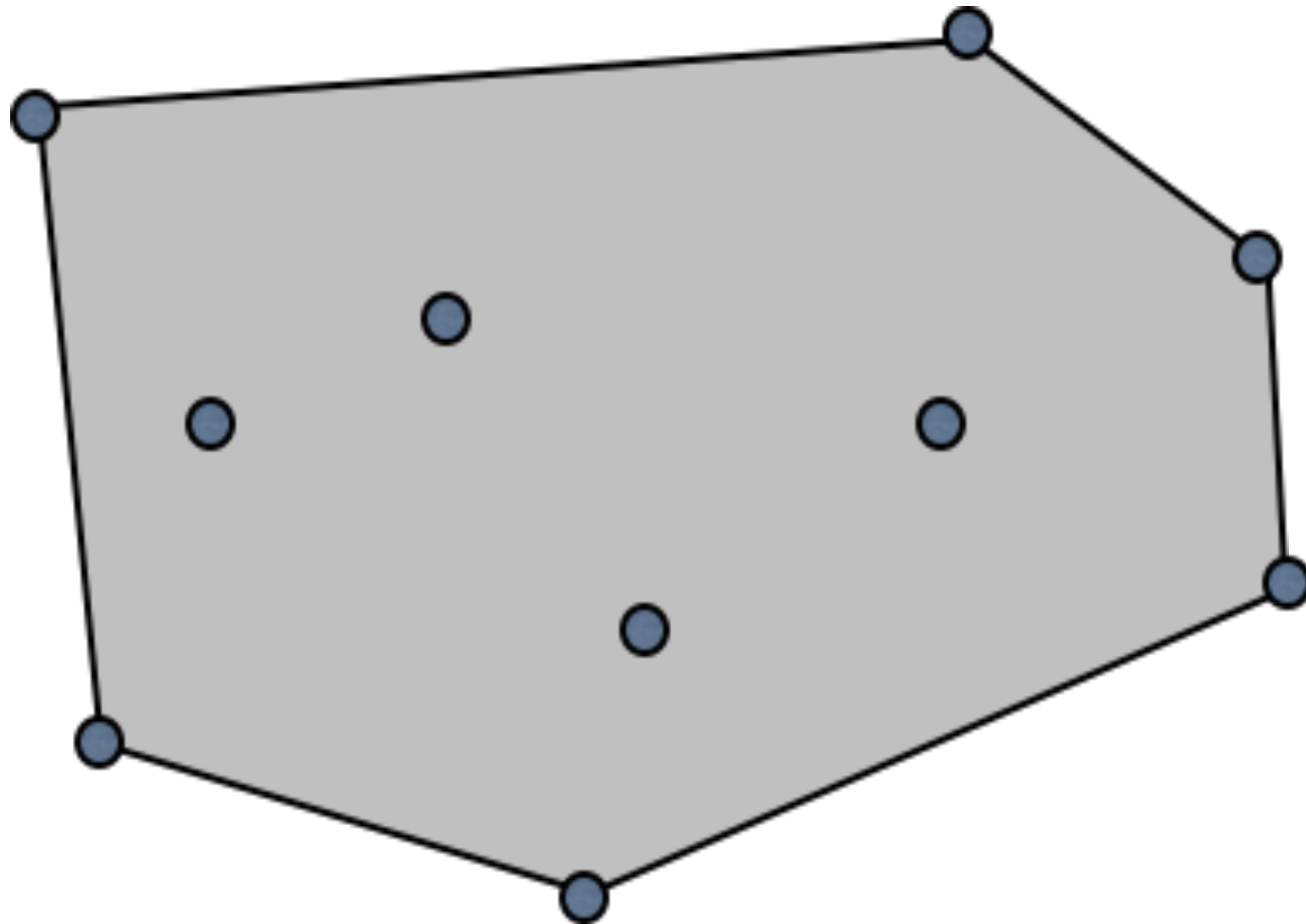
- The *convex hull* of  $\{p_i = (x_i, y_i)\}$  is the set:

$$\left\{ p = \sum_{i=1}^n \alpha_i p_i : \alpha_i \in [0, 1], \sum_{i=1}^n \alpha_i = 1 \right\}$$

- Extension of barycentric interpolation to a set
- Not guaranteed to be linear
- But provides guarantees on behaviour
- e.g. keep curve in convex hull of point set



# Convex Hull Example



- *All* linear combinations of points
- Algorithms later ...

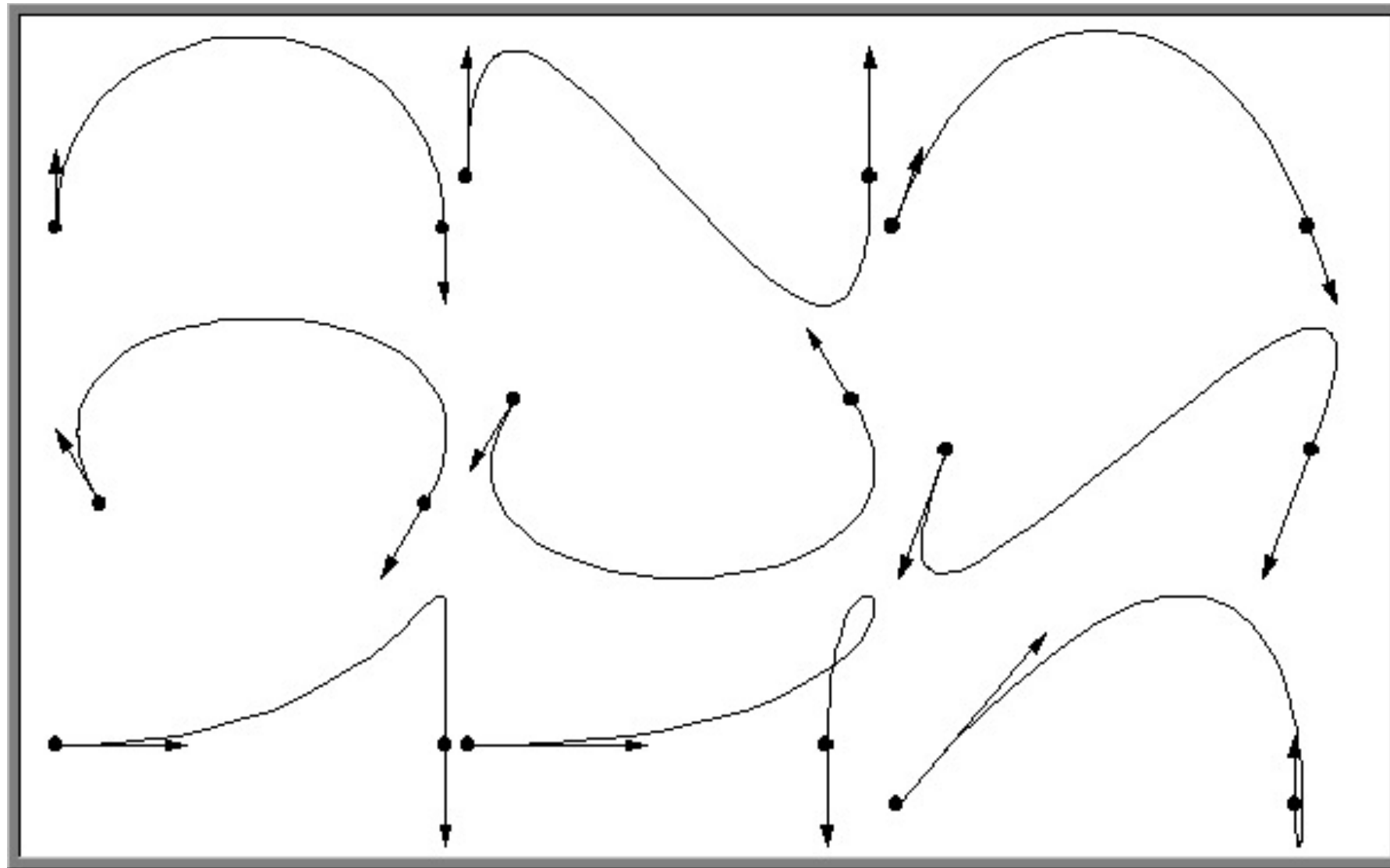
# Interpolating Conditions

- Extra interpolating points are difficult
- So add:
  - interpolating vectors (Hermite)
  - non-interpolating points (Béziars / Splines)
- They're all equivalent anyway
- And turn out to require cubic polynomials

# Hermite Conditions

- We want a curve that passes through 2 points
  - $f(0) = p_0$
  - $f(1) = p_1$
- And has known *direction* vectors
  - $f'(0) = \vec{v}_0$
  - $f'(1) = \vec{v}_1$

# Hermite Examples



©T. Munzner, UBC

# Hermite Example



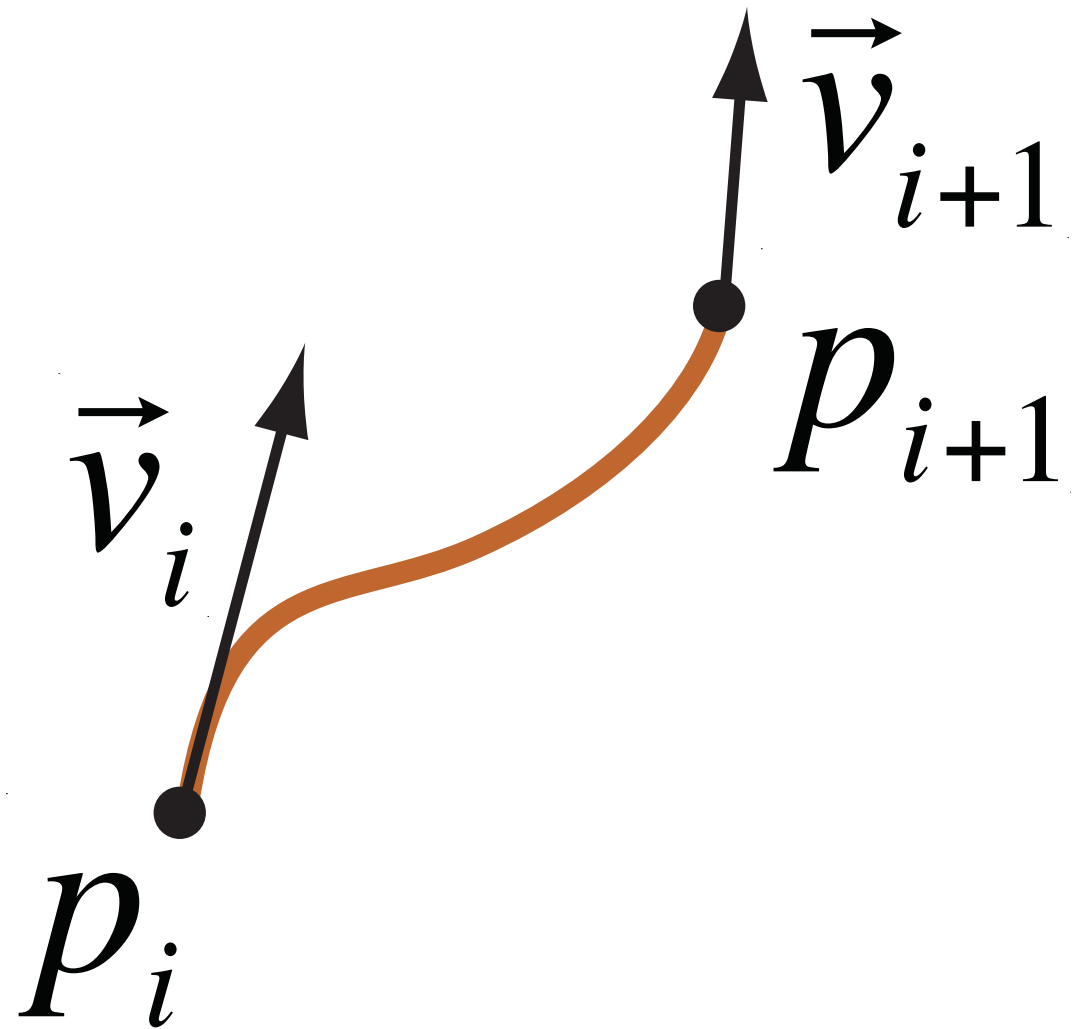


# Piecewise Hermites

- We want to link them together
- As we use line segments to build polygons
- So we will assume we have a sequence
  - $\{f_0, f_1, \dots, f_n\}$
- We will assume points/vectors are repeated

# Cubic Hermite Curves

- Given by:
  - $f_i(0) = p_i$
  - $f_i(1) = p_{i+1}$
  - $f_i'(0) = \vec{v}_i$
  - $f_i'(1) = \vec{v}_{i+1}$



# Development

$$f_i(t) = a_i t^3 + b_i t^2 + c_i t + d_i$$

$$\begin{aligned} p_i &= f_i(0) \\ &= a_i 0^3 + b_i 0^2 + c_i 0 + d_i \\ &= d_i \end{aligned}$$

$$f'_i(t) = 3a_i t^2 + 2b_i t + c_i$$

$$\begin{aligned} \vec{v}_i &= 3a_i 0^2 + 2b_i 0 + c_i \\ &= c_i \end{aligned}$$

$$\begin{aligned} p_{i+1} &= f_i(1) \\ &= a_i 1^3 + b_i 1^2 + c_i 1 + d_i \\ &= a_i + b_i + c_i + d_i \\ &= a_i + b_i + \vec{v}_i + p_i \end{aligned}$$

$$p_{i+1} - p_i - \vec{v}_i = a_i + b_i$$

$$\vec{w}_i - \vec{v}_i = a_i + b_i$$

$$\begin{aligned} \vec{v}_{i+1} &= 3a_i 1^2 + 2b_i 1 + c_i \\ &= 3a_i + 2b_i + \vec{v}_i \end{aligned}$$

$$\vec{v}_{i+1} - \vec{v}_i = 3a_i + 2b_i$$

$$\begin{aligned} \vec{v}_{i+1} &= 3a_i 1^2 + 2b_i 1 + c_i \\ &= 3a_i + 2b_i + \vec{v}_i \end{aligned}$$

$$\vec{v}_{i+1} - \vec{v}_i = 3a_i + 2b_i$$

---


$$b_i = 3p_{i+1} - 3p_i - 2\vec{v}_i - \vec{v}_{i+1}$$

$$a_i + b_i = p_i + 1 - p_i - \vec{v}_i$$

$$a_i + 3p_{i+1} - 3p_i - 2\vec{v}_i - \vec{v}_{i+1} = p_i + 1 - p_i - \vec{v}_i$$

$$a_i = 2p_i - 2p_{i+1} + \vec{v}_i + \vec{v}_{i+1}$$



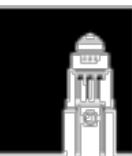
# Finally!!

$$f_i(t) = \begin{bmatrix} t^3 & t^2 & t & 1 \end{bmatrix} \begin{bmatrix} 2 & -2 & 1 & 1 \\ -3 & 3 & -2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} p_i \\ p_{i+1} \\ \vec{v}_i \\ \vec{v}_{i+1} \end{bmatrix}$$

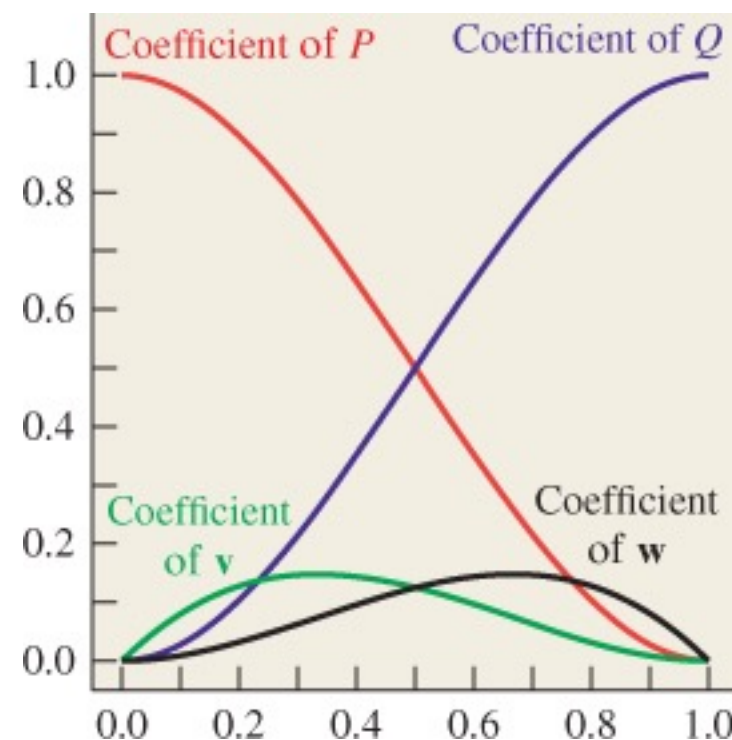
Basis  
matrix M

Geometry  
matrix G

- Now we have something workable
- interpolating, but uses vectors



# Basis Functions

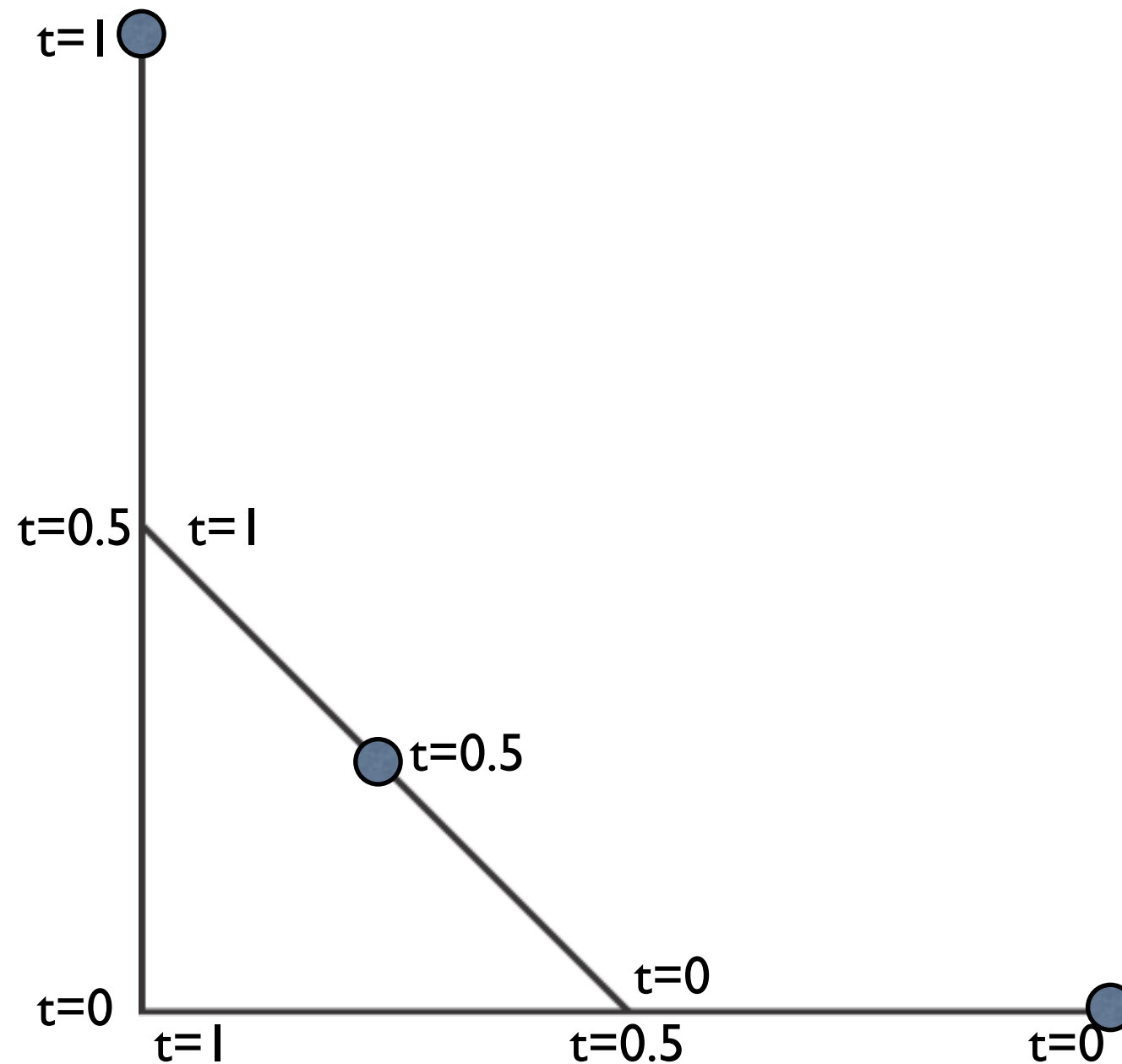


- We can plot the *weight* of each point/vector
- as a function of parameter  $t$

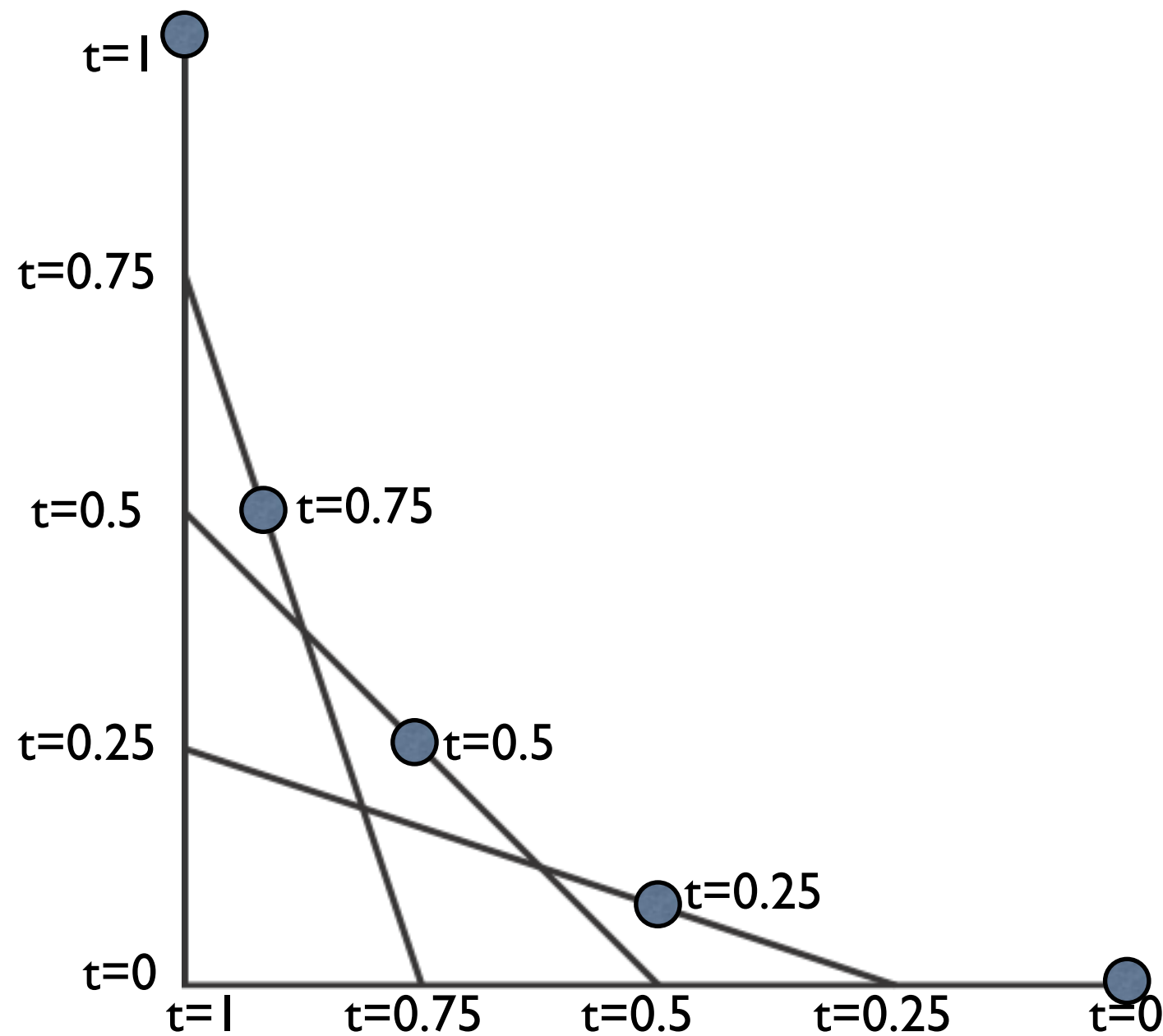
# Bézier Curves

- Arbitrary polynomial degree
- Cubic Béziers are equivalent to Hermites
  - And can be converted to/from them
- But easier to implement
- And easier to generalise

# Parametrization

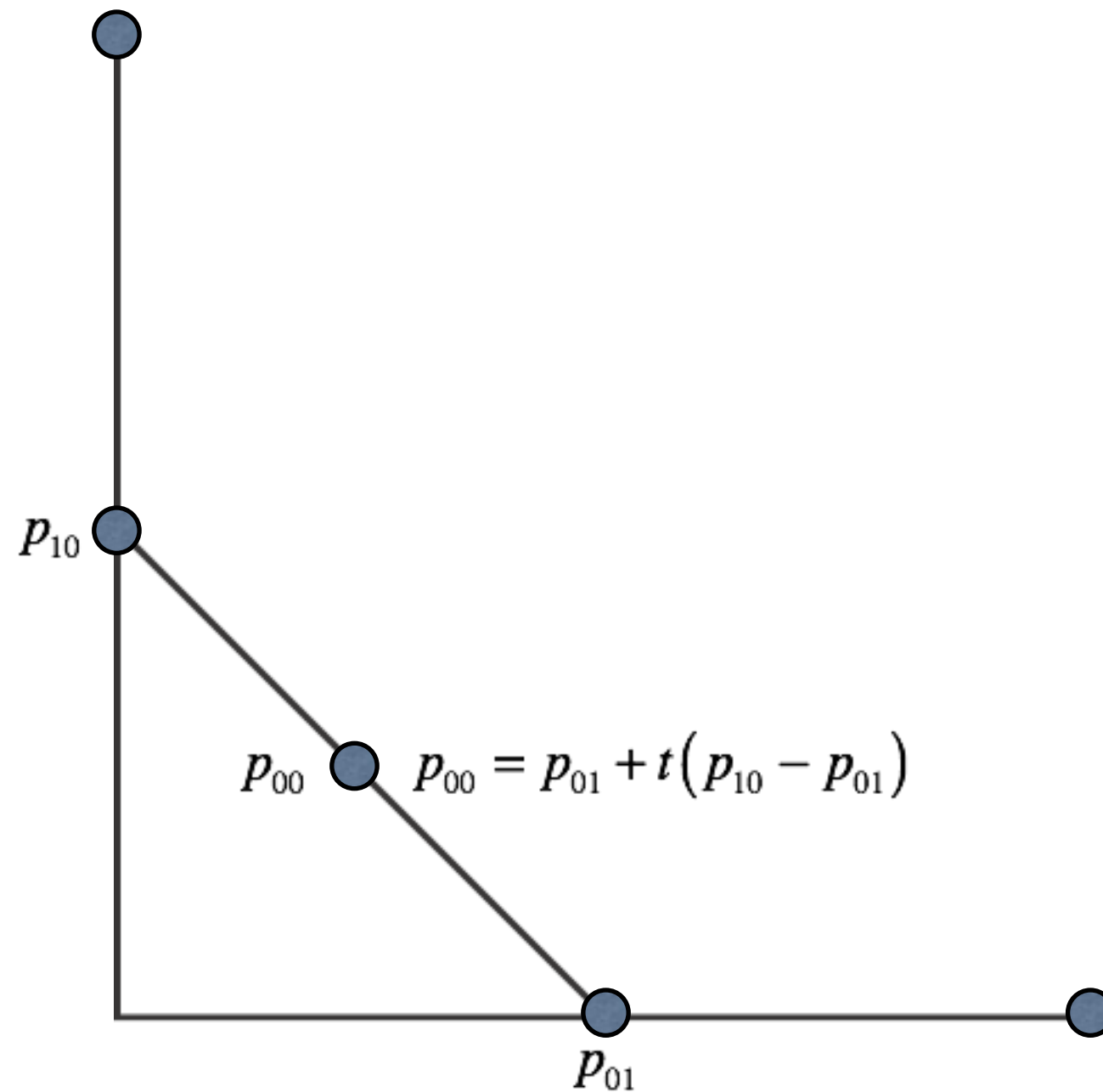


# Parametrization

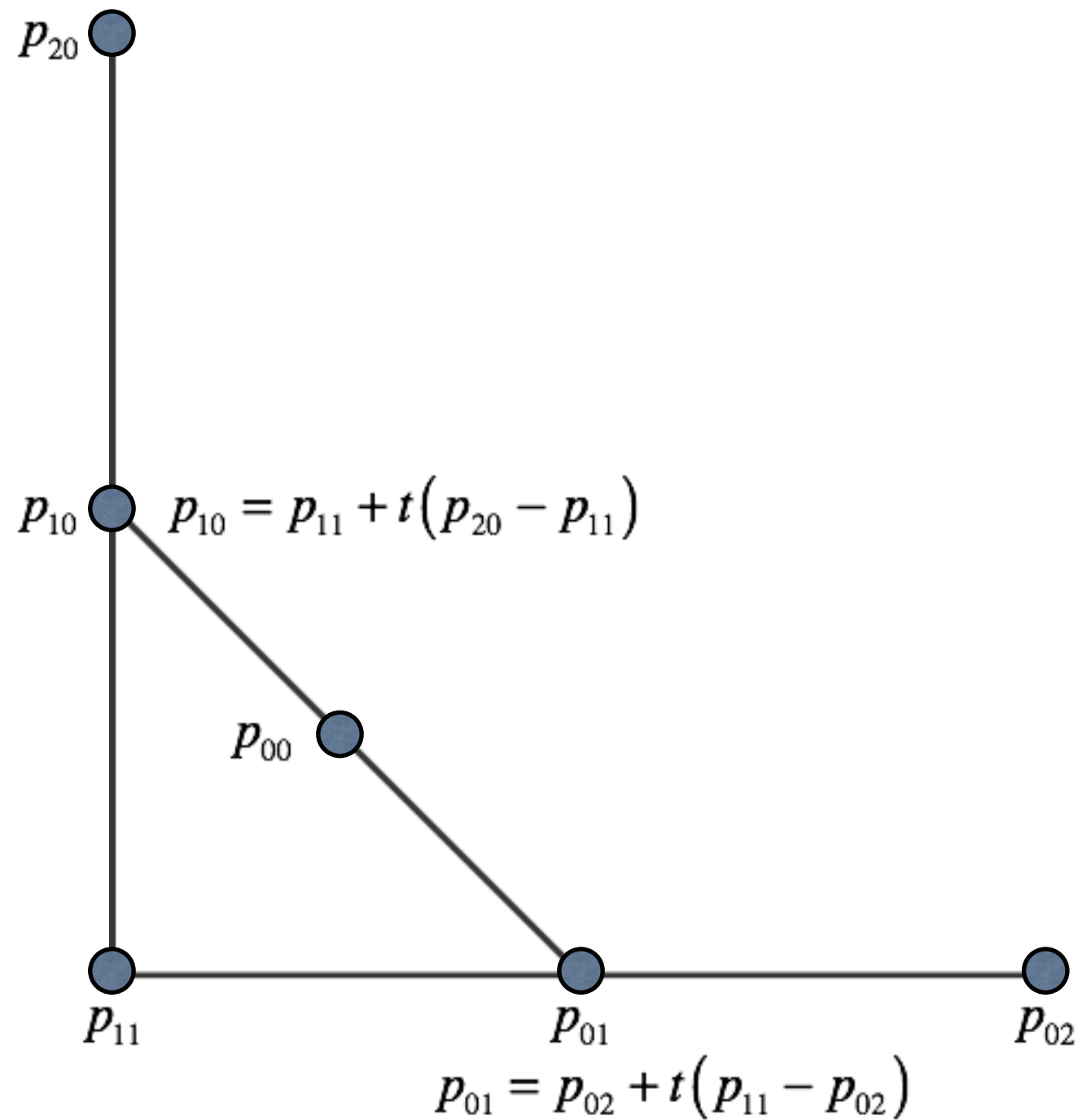




# Development



# Development



# Algebra

$$\begin{aligned}p_{10} &= p_{11} + t(p_{20} - p_{11}) = (1-t)p_{11} + t(p_{20}) \\p_{01} &= p_{02} + t(p_{11} - p_{02}) = (1-t)p_{02} + t(p_{11}) \\p_{00} &= p_{01} + t(p_{10} - p_{01}) = (1-t)p_{01} + t(p_{10}) \\&= (1-t)((1-t)p_{02} + t(p_{11})) + t((1-t)p_{11} + t(p_{20})) \\&= p_{02} - 2p_{02}t + p_{02}t^2 + p_{11}t - p_{11}t^2 + p_{11}t - p_{11}t^2 + p_{20}t^2 \\&= (p_{02} - 2p_{11} + p_{20})t^2 \\&\quad + (-2p_{02} + 2p_{11})t \\&\quad + p_{02} \\&= \begin{bmatrix} p_{02} & p_{11} & p_{20} \end{bmatrix} \begin{bmatrix} 1 & -2 & 1 \\ -2 & 2 & 0 \\ 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} t^2 \\ t \\ 1 \end{bmatrix}\end{aligned}$$



# Table method

$p_{00} = (1-t)p_{01} + t(p_{10})$ $\uparrow$ $t$	$p_{01} = (1-t)p_{02} + t(p_{11})$ $\uparrow$ $t$	$p_{02}$
$p_{10} = (1-t)p_{11} + t(p_{20})$ $\uparrow$ $t$	$p_{11}$	
$p_{20}$		

d=0

d=1

d=2

d=1

d=2

d=2



# Table method

$(18, 51)$ $\uparrow$ 0.25 $(12, 60)$ $\uparrow$ 0.25 $(24, 24)$	$\leftarrow 0.75$ $d=0$	$(20, 48)$ $\uparrow$ 0.25 $(8, 72)$	$\leftarrow 0.75$ $d=1$	$(24, 40)$ $d=2$
	$\leftarrow 0.75$ $d=1$		$d=2$	



# In general

- Compute diagonals in descending order  $d$
- Each entry is found by:

$$p_{i,j} = (1 - t)p_{i,j+1} + tp_{i+1,j} : i + j = d$$

- We stop when we reach  $p_{00}$
- And draw it
- Repeat for different values of  $t$

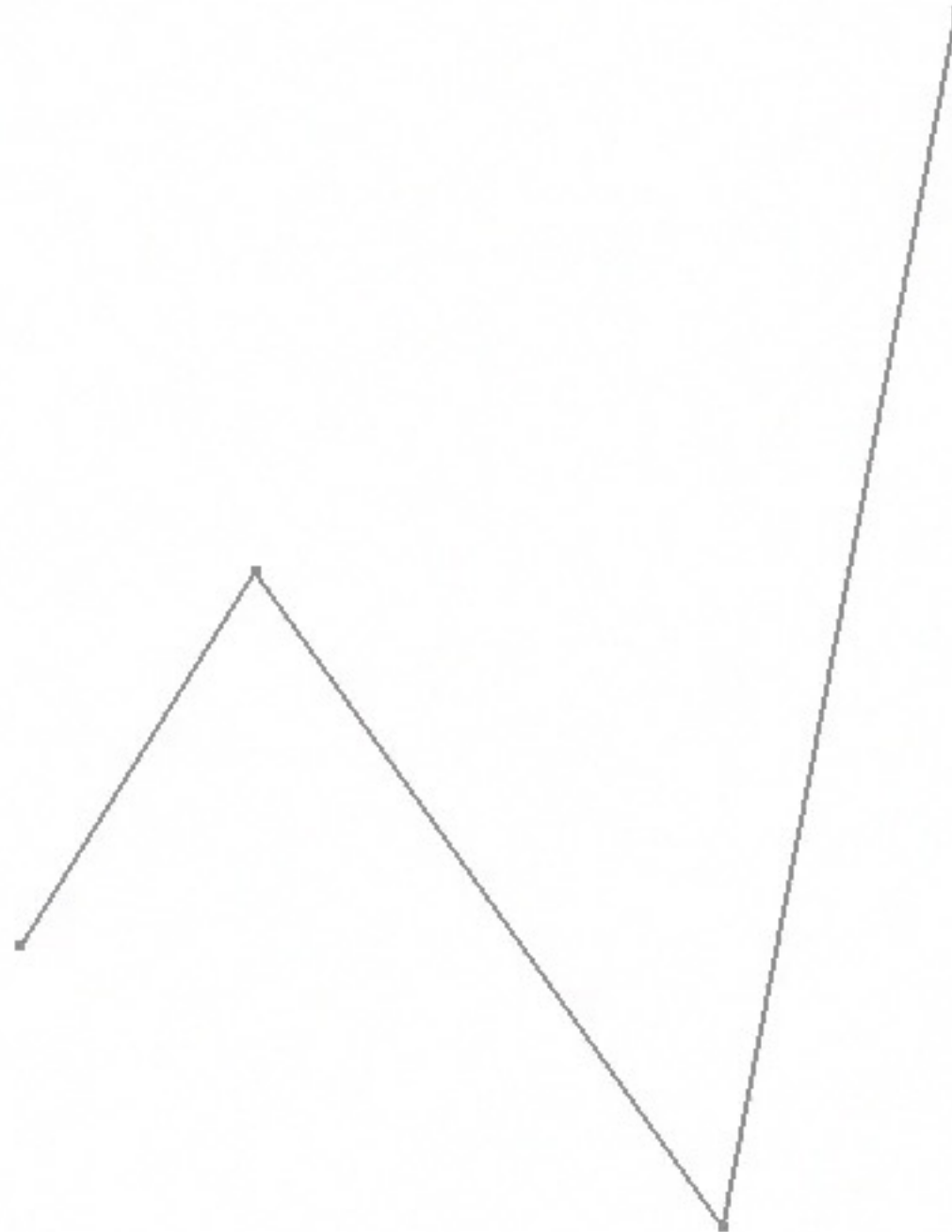


# de Casteljau Algorithm

```
int N_PTS = 3;
Point bezPoints[N_PTS][N_PTS];

void DrawBezier()
{ // DrawBezier()
  for (float t = 0.0; t <= 1.0; t += 0.01)
  { // parameter loop
    for (int diag = N_PTS-2; diag >= 0; diag--)
    { // diagonal loop
      for (int i = 0; i <= diag; i++)
      { // i loop
        int j = diag - i;
        bezPoints[i][j] = (1.0-t)*bezPoints[i][j+1] + t*bezPoints[i+1][j];
      } // i loop
    } // diagonal loop
    // set the pixel for this parameter value
    SetPixel(bezPoints[0][0]);
  } // parameter loop
} // DrawBezier()
```

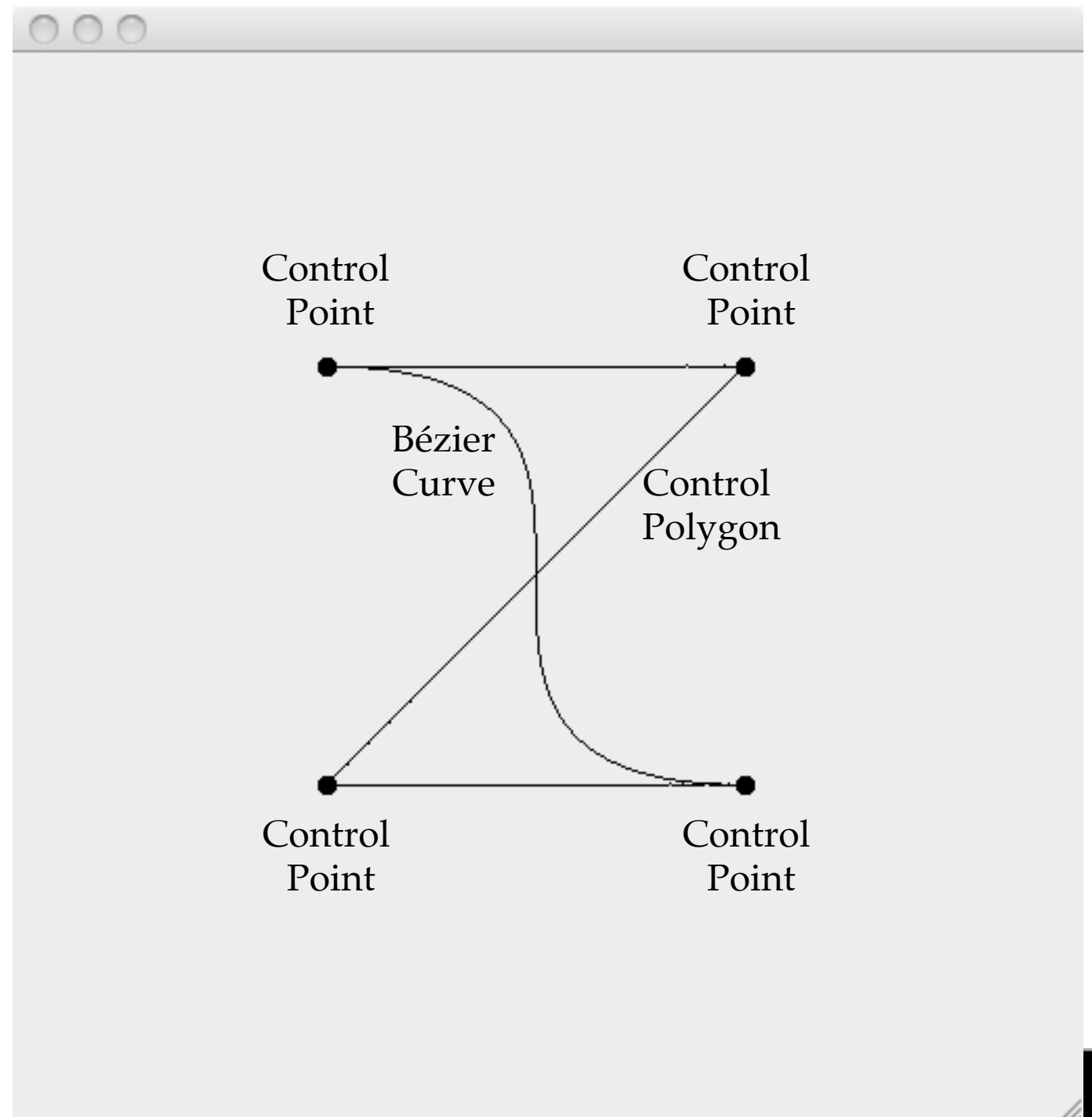
# de Casteljau Example





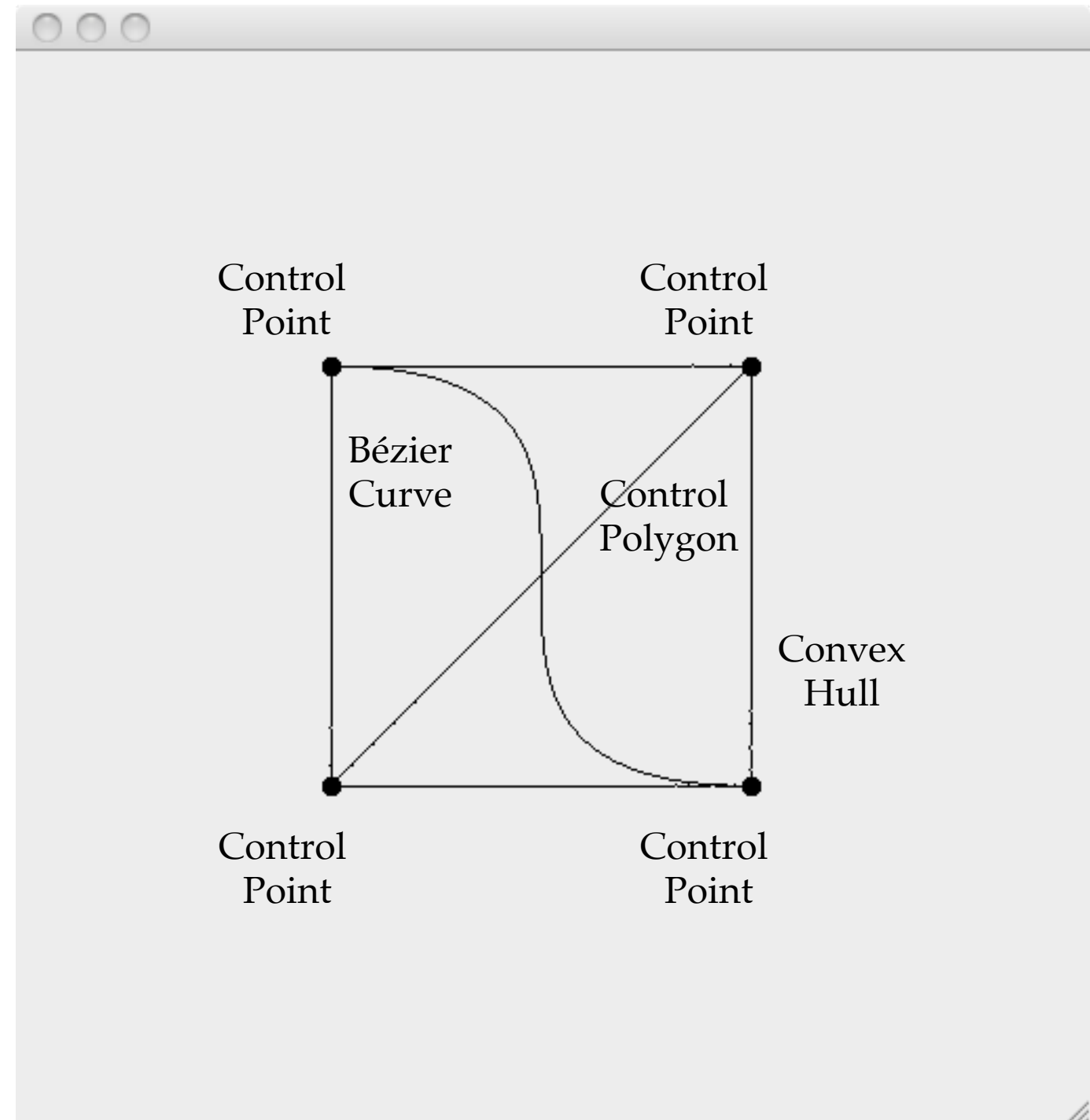
# Bézier Control Polygons

- The points are the control points
- The lines are the control polygon
- although they're actually a polyline



# Bézier Convex Hull

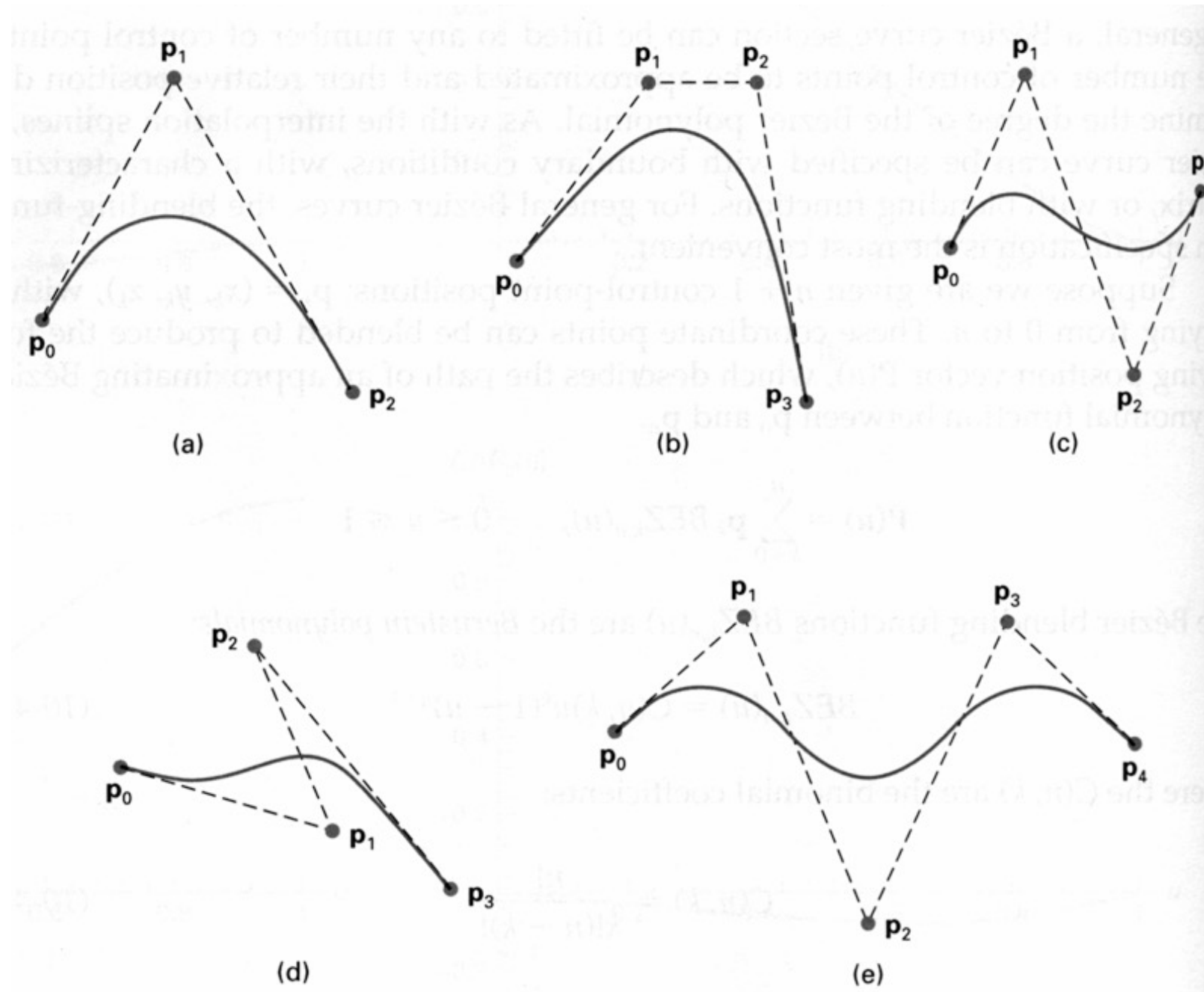
- Bézier uses linear interpolation
  - i.e. along lines
- Which means:
  - it's bounded
  - by the convex hull



# Properties of Béziers

- Smooth -  $C^\infty$
- Interpolate through endpoints
- Bounded - convex hull property
- Efficient - repeated linear interpolation
- Numerically stable
- Easy to control

# Some Examples



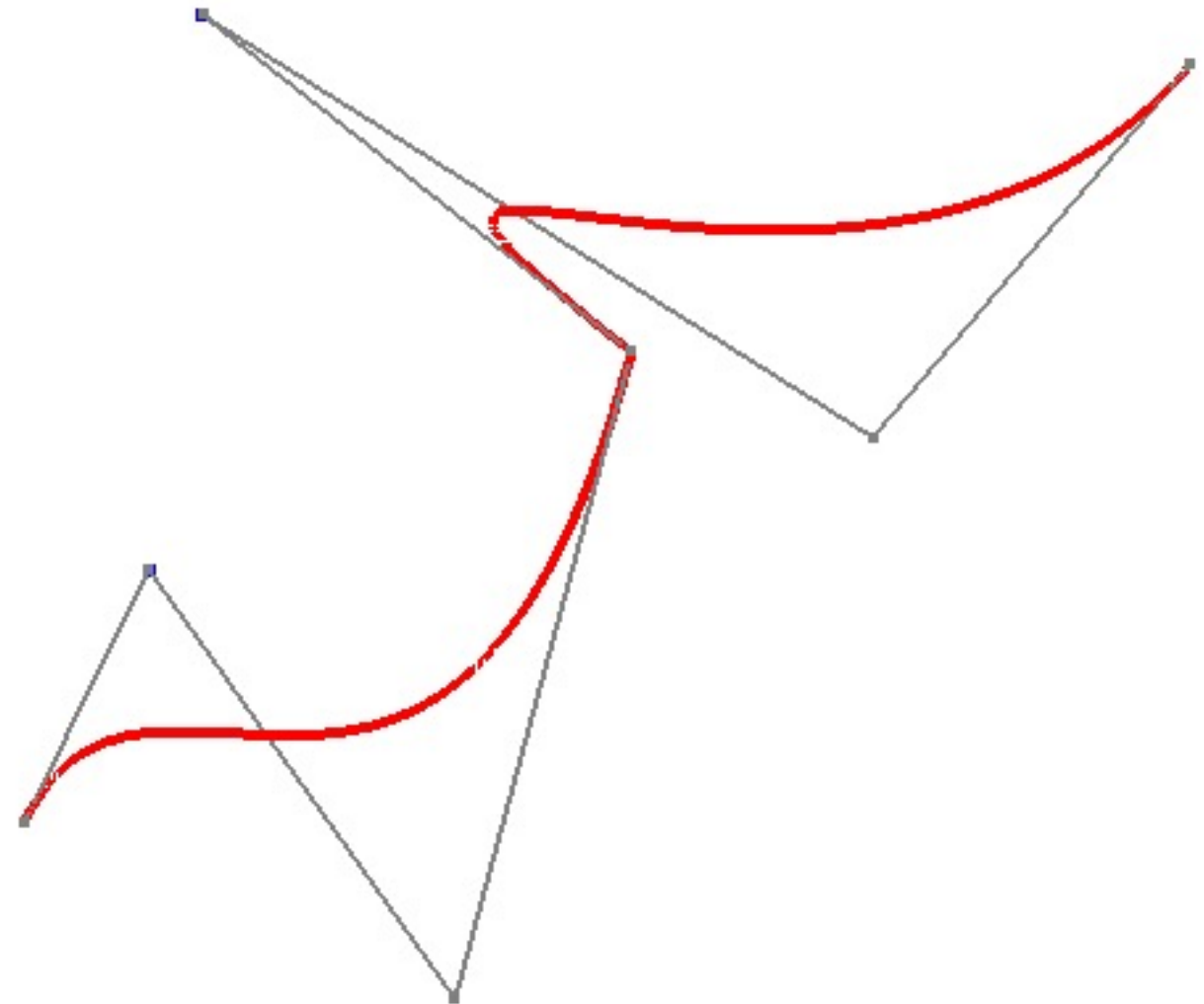
©T. Munzner, UBC



UNIVERSITY OF LEEDS

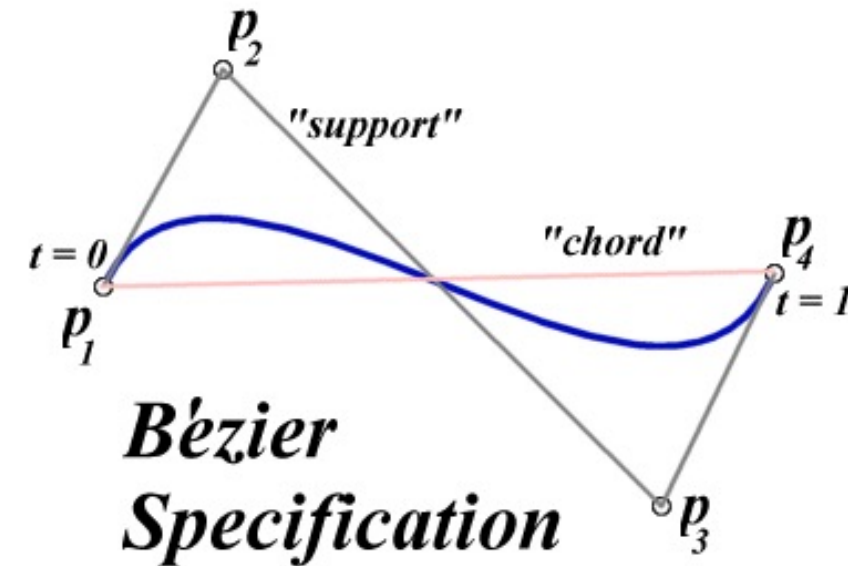
# Piecewise Béziers

- Convenient, but
- not  $C^1$  continuous
- we want slopes to match
- unless we *want* sharp turns/corners



# Cubic Bézier Curves

- Curves defined by 4 points
- Curve passes through two points
- contained in *convex hull* of points



©T. Munzner, UBC

$$p_{00}(t) = [p_{03} \quad p_{12} \quad p_{21} \quad p_{30}] \begin{bmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 3 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} t^3 \\ t^2 \\ t^1 \\ 1 \end{bmatrix}$$

# Conversion

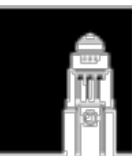
- Hermites can be converted to Béziers

$$\begin{aligned}
 \begin{bmatrix} p_{03} & p_{12} & p_{21} & p_{30} \end{bmatrix} \begin{bmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 3 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} t^3 \\ t^2 \\ t^1 \\ 1 \end{bmatrix} &= \begin{bmatrix} x_1 & x_0 & \vec{x}_1' & \vec{x}_0' \end{bmatrix} \begin{bmatrix} -2 & 3 & 0 & 0 \\ 2 & -3 & 0 & 1 \\ 1 & -1 & 0 & 0 \\ 1 & -2 & 1 & 0 \end{bmatrix} \begin{bmatrix} t^3 \\ t^2 \\ t^1 \\ 1 \end{bmatrix} \\
 \begin{bmatrix} p_{03} & p_{12} & p_{21} & p_{30} \end{bmatrix} &= \begin{bmatrix} x_1 & x_0 & \vec{x}_1' & \vec{x}_0' \end{bmatrix} \begin{bmatrix} -2 & 3 & 0 & 0 \\ 2 & -3 & 0 & 1 \\ 1 & -1 & 0 & 0 \\ 1 & -2 & 1 & 0 \end{bmatrix} \begin{bmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 3 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix}^{-1} \\
 \begin{bmatrix} p_{03} & p_{12} & p_{21} & p_{30} \end{bmatrix} &= \begin{bmatrix} x_1 & x_0 & \vec{x}_1' & \vec{x}_0' \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 3 \\ 0 & 0 & -3 & 0 \end{bmatrix}
 \end{aligned}$$



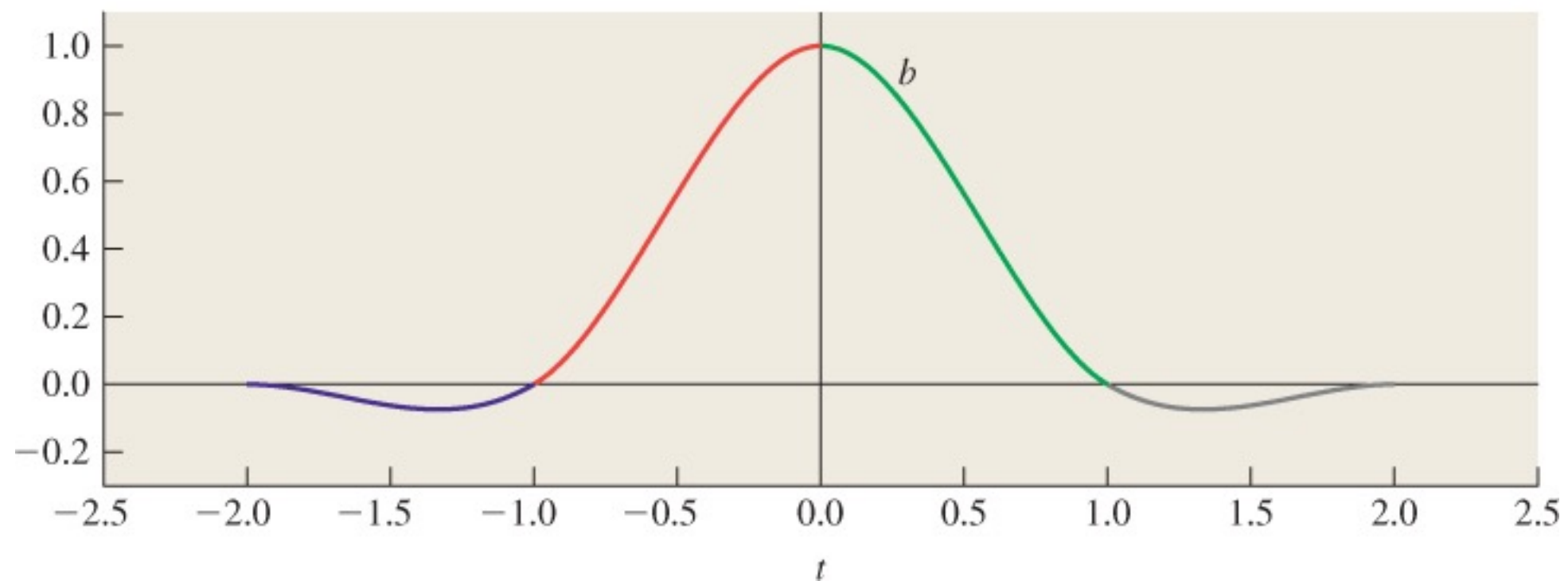
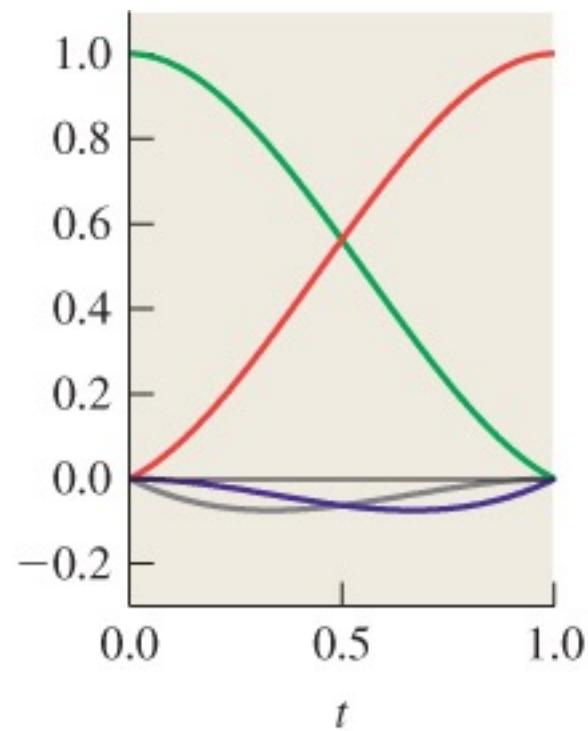
# Catmull-Rom Splines

- Basically a fixed form of Hermites
- Interpolate through *every* point
- Vectors chosen are based on the points
  - $\vec{v}_i = \frac{1}{3}(p_{i+1} - p_{i-1})$
- Except at the endpoints
  - $\vec{v}_0 = \frac{2}{3}(p_1 - p_0)$
  - $\vec{v}_n = \frac{2}{3}(p_n - p_{n-1})$





# Catmull-Rom Weights



# Terminology

- Notice we have a *sequence* of points
- Which defines a sequence of curves
- And we reuse points along the sequence
- At integer parameter values called *knots*
- We can generalise this further
- With cubic B-splines

# Cubic B-splines

- Generalise Bézier/Hermite/Catmull-Rom
- Reuse individual control points (knots)
- More efficient
- Identical to Bézier geometry matrix
  - except for last row

$$x(t) = \begin{bmatrix} x_{i-2} & x_{i-1} & x_i & x_{i+1} \end{bmatrix} \begin{bmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 3 & 0 & 0 \\ 1 & 4 & 1 & 0 \end{bmatrix} \begin{bmatrix} (t-i)^3 \\ (t-i)^2 \\ (t-i)^1 \\ 1 \end{bmatrix}$$



# Non-Uniform B-Splines

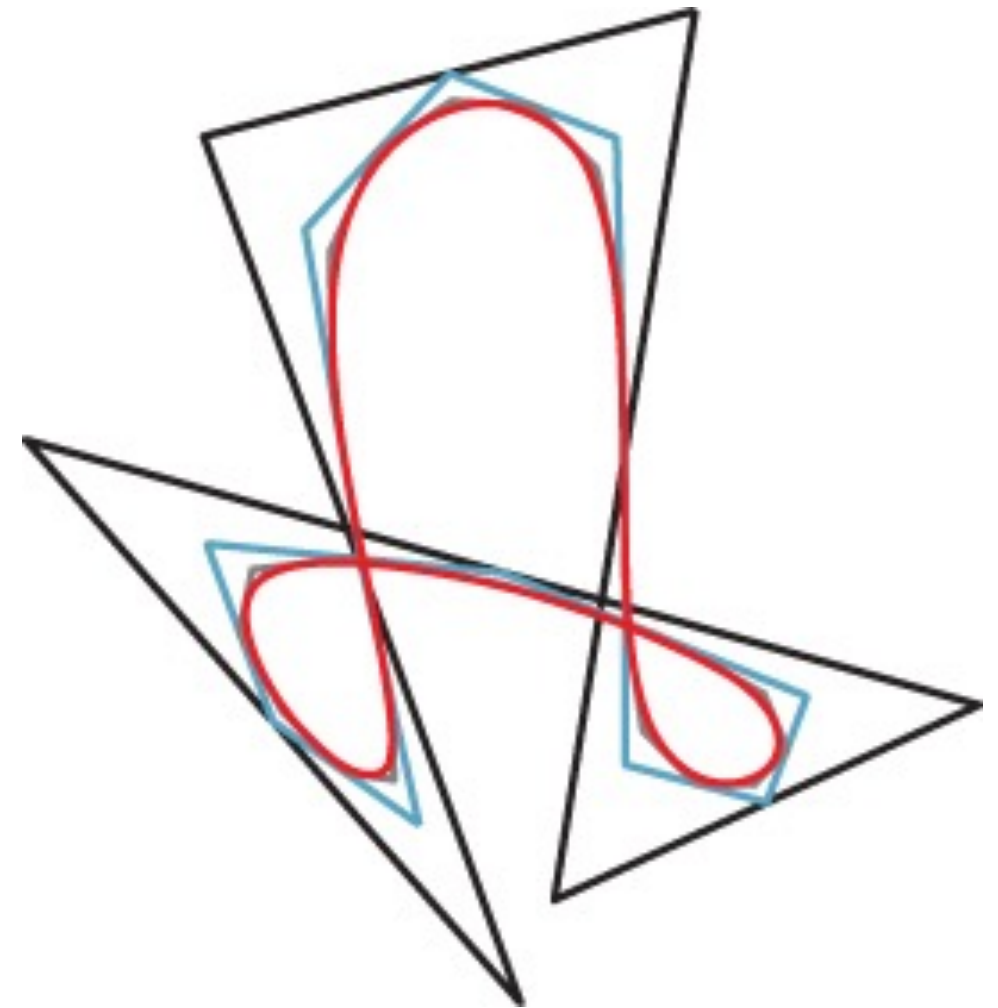
- Non-Uniform B-Splines
  - Knots are not evenly spaced
  - So we add  $t_i$  term to represent parameters
  - And we can repeat a point  $p_i$
  - Which allows us to have sharp corners
- But we can't represent circles
- Or other *conic* sections

# NURBS

- Non-Uniform Rational B-Splines
- Add the homogeneous coordinate  $w$ 
  - As a function of  $t$
- Allows conic sections
- And survives projection!
- So we can project, then intersect

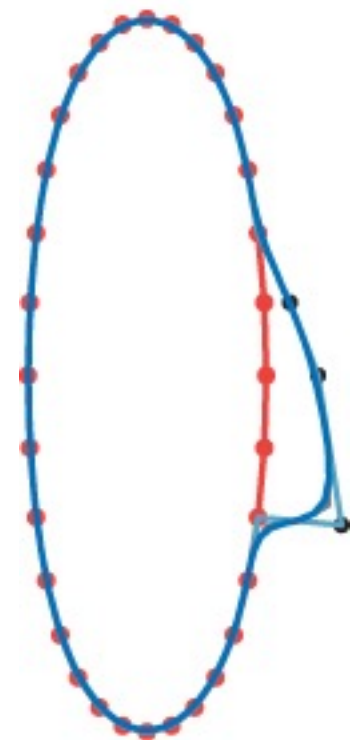
# Subdivision Curves

- Start with a polygon
- Shrink it inwards to get a smaller polygon
- $e_i = (p_i + p_{i+1})$
- $w_i = \frac{1}{2}p_i + \frac{1}{4}e_i + \frac{1}{4}e_{i+1}$
- In the limit, we get a curve

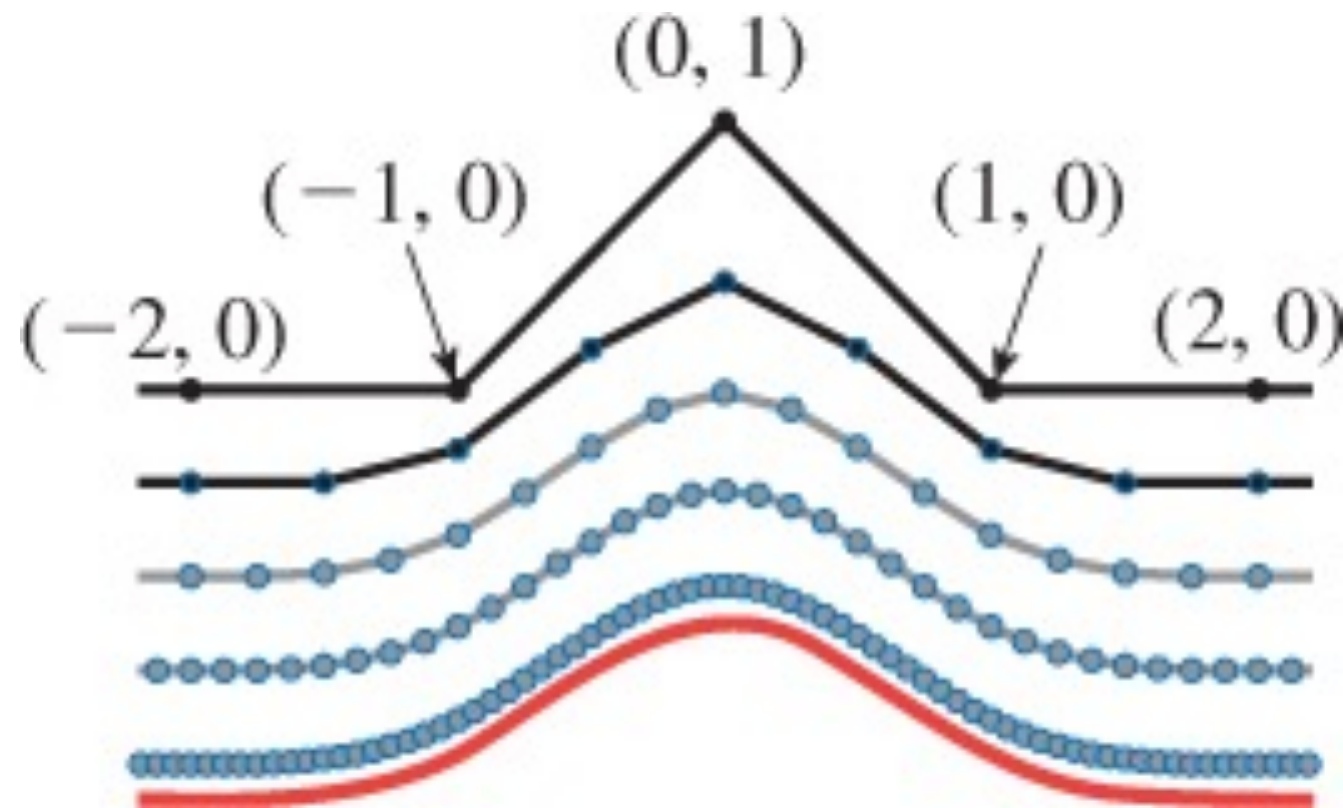


# Editing Subdivision Curves

- Start with a simple shape
  - For example, an oval:
- At the second level
  - Move some nodes
  - To create a "nose"



# Equivalence



- If we take the limit, we get a cubic curve
- And it's *exactly* the cubic B-spline

