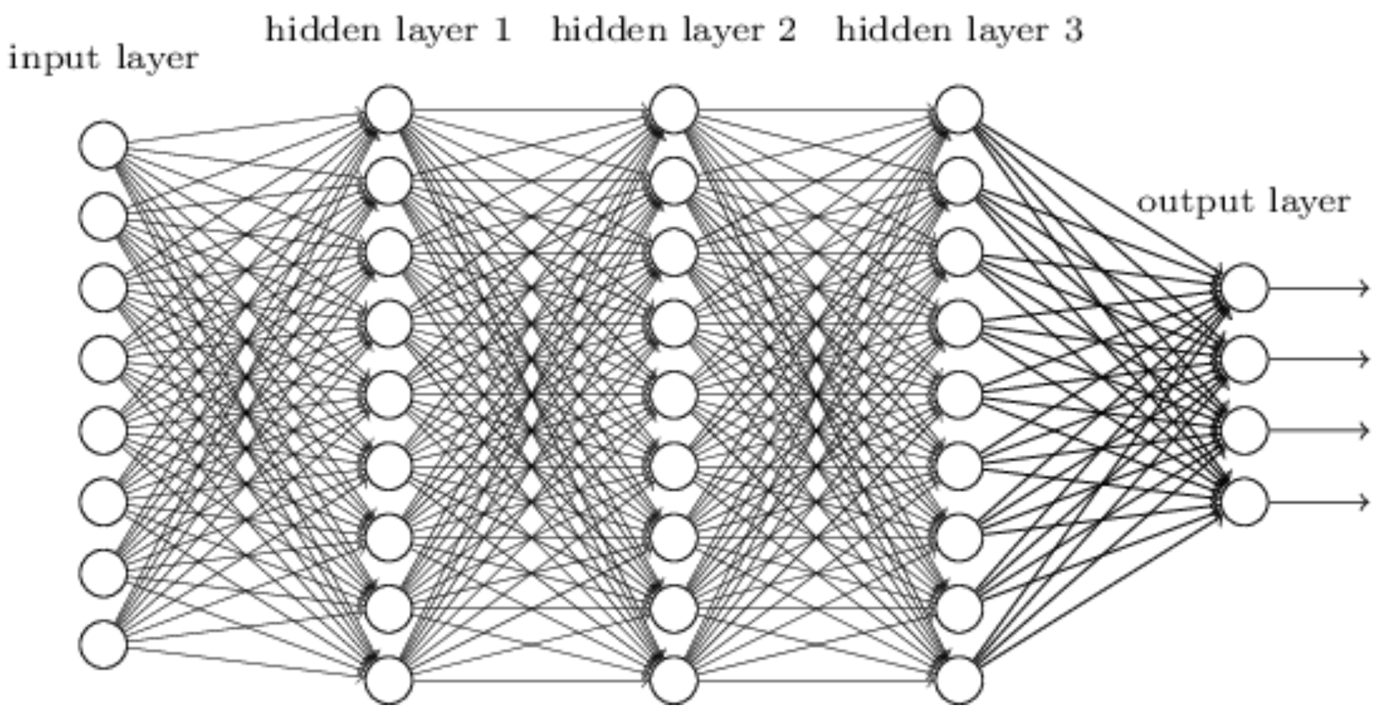
# Convolutional Neural Networks

- Learning outcomes
  - Differentiate the advantages of the CNNs when compared to fully connected NNs.
  - Differentiate the function of various components in CNNs
  - Perform convolutions of given pair of an image and a kernel
  - Construct a simple CNN for image classification, voice recognition

Source: https://cs.uwaterloo.ca/~mli/

# Smaller Networks: CNN

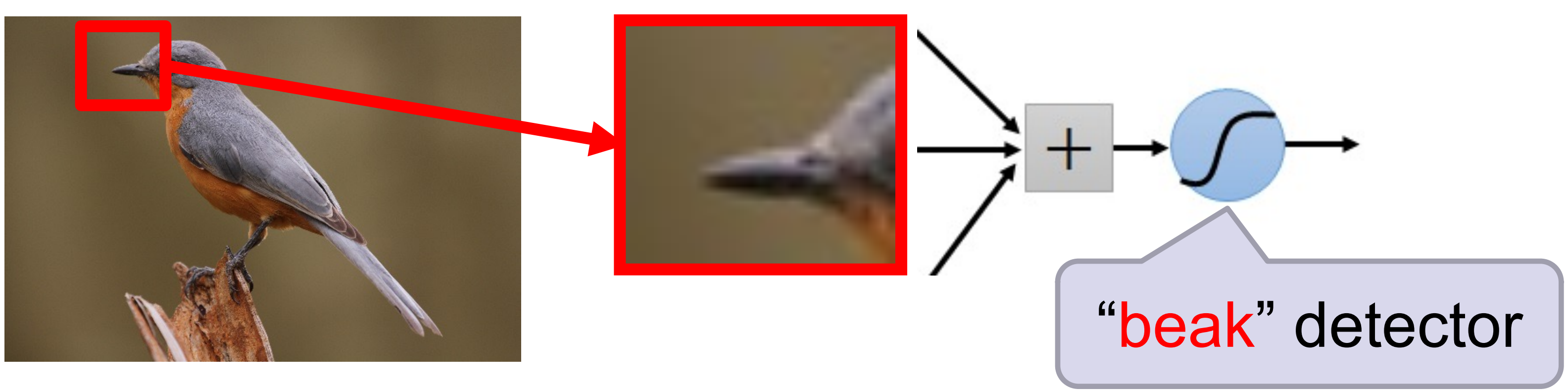
- We know it is good to learn a small model.
- From this fully connected model, do we really need all the edges?
- Can some of these be shared?



# Consider learning an image:

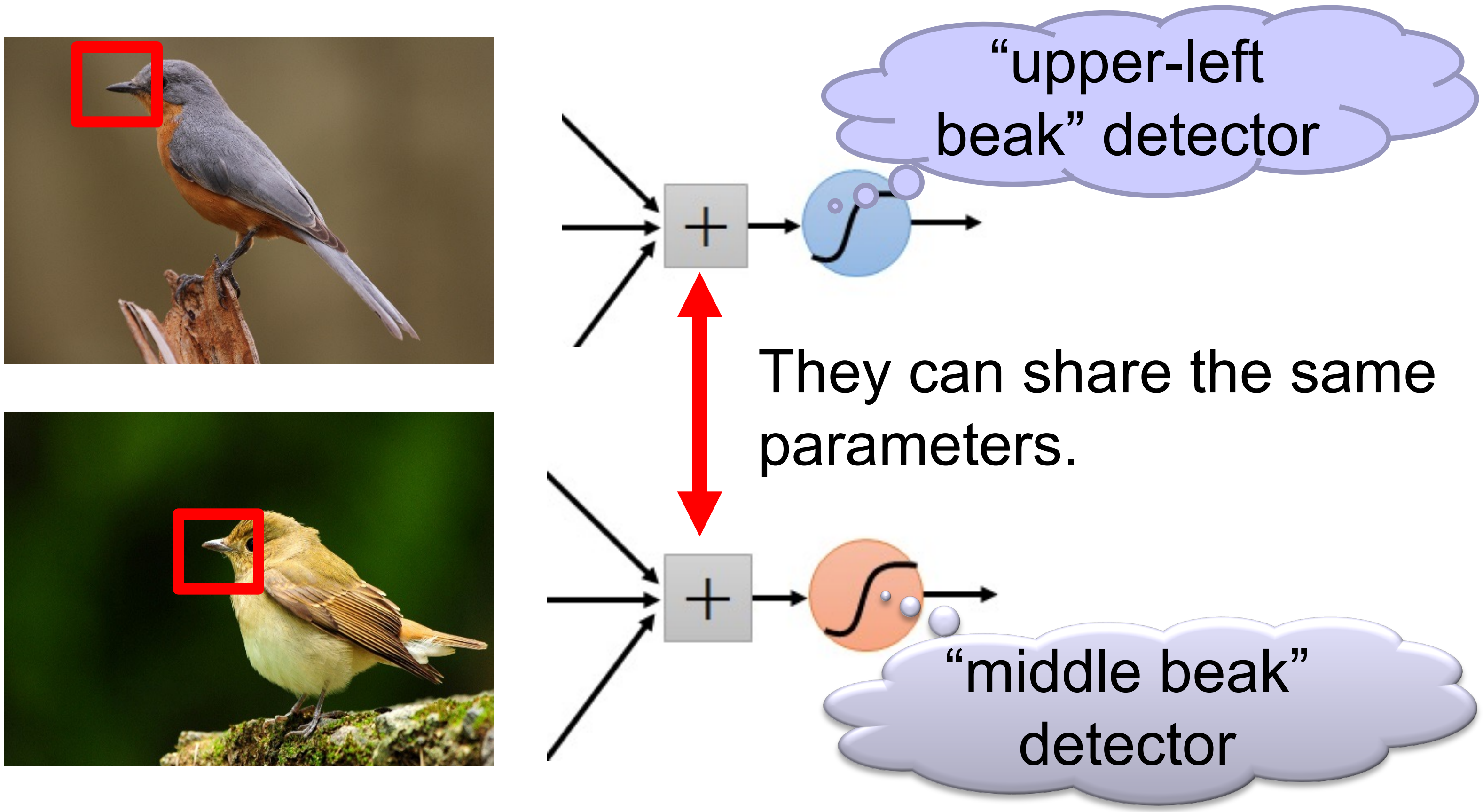
- Some patterns are much smaller than the whole image

Can represent a small region with fewer parameters



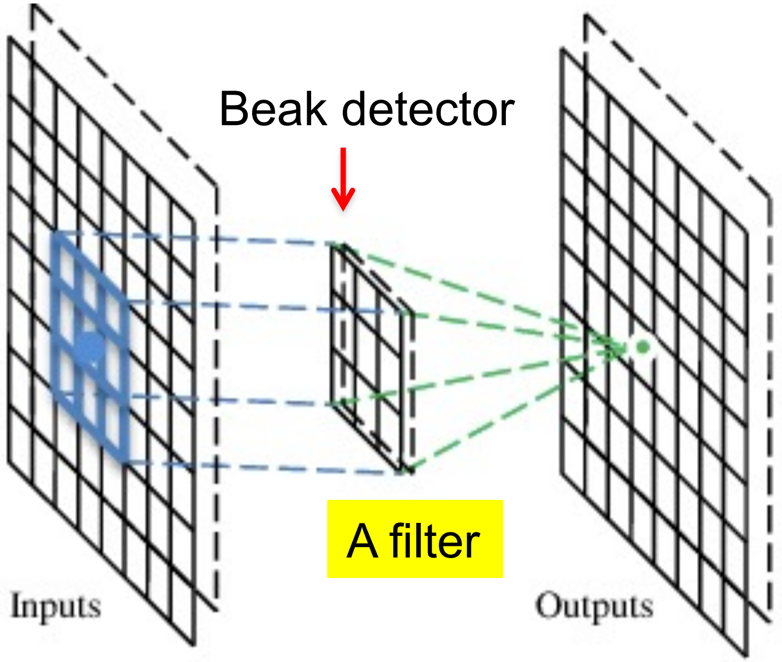
Same pattern appears in different places:

What about training a lot of such "small" detectors all around the image.



# A convolutional layer

A CNN is a neural network with some convolutional layers (and some other layers). A convolutional layer has a number of filters that does convolutional operation.



# Convolution

**These are the network parameters to be learned.**

| | | | | | |
|---|---|---|---|---|---|
| 1 | 0 | 0 | 0 | 0 | 1 |
| 0 | 1 | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 1 | 0 | 0 |
| 1 | 0 | 0 | 0 | 1 | 0 |
| 0 | 1 | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 0 | 1 | 0 |

6 x 6 image

| | | |
|---|---|---|
| 1 | -1 | -1 |
| -1 | 1 | -1 |
| -1 | -1 | 1 |

Filter 1

| | | |
|---|---|---|
| -1 | 1 | -1 |
| -1 | 1 | -1 |
| -1 | 1 | -1 |

Filter 2

⋮ ⋮

Each filter detects a small pattern (3 x 3).

# Convolution

| | | |
|---|---|---|
| 1 | -1 | -1 |
| -1 | 1 | -1 |
| -1 | -1 | 1 |

Filter 1

stride=1

| | | | | | |
|---|---|---|---|---|---|
| 1 | 0 | 0 | 0 | 0 | 1 |
| 0 | 1 | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 1 | 0 | 0 |
| 1 | 0 | 0 | 0 | 1 | 0 |
| 0 | 1 | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 0 | 1 | 0 |

6 x 6 image

Dot product

3 -1

# Convolution

| | | |
|---|---|---|
| 1 | -1 | -1 |
| -1 | 1 | -1 |
| -1 | -1 | 1 |

Filter 1

If stride=2

| | | | | | |
|---|---|---|---|---|---|
| 1 | 0 | 0 | 0 | 0 | 1 |
| 0 | 1 | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 1 | 0 | 0 |
| 1 | 0 | 0 | 0 | 1 | 0 |
| 0 | 1 | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 0 | 1 | 0 |

6 x 6 image

3 -3

# Convolution

stride=1

| | | | | | |
|---|---|---|---|---|---|
| 1 | 0 | 0 | 0 | 0 | 1 |
| 0 | 1 | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 1 | 0 | 0 |
| 1 | 0 | 0 | 0 | 1 | 0 |
| 0 | 1 | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 0 | 1 | 0 |

6 x 6 image

| | | |
|---|---|---|
| 1 | -1 | -1 |
| -1 | 1 | -1 |
| -1 | -1 | 1 |

Filter 1

| | | | |
|---|---|---|---|
| 3 | -1 | -3 | -1 |
| -3 | 1 | 0 | -3 |
| -3 | -3 | 0 | 1 |
| 3 | -2 | -2 | -1 |

# Convolution

stride=1

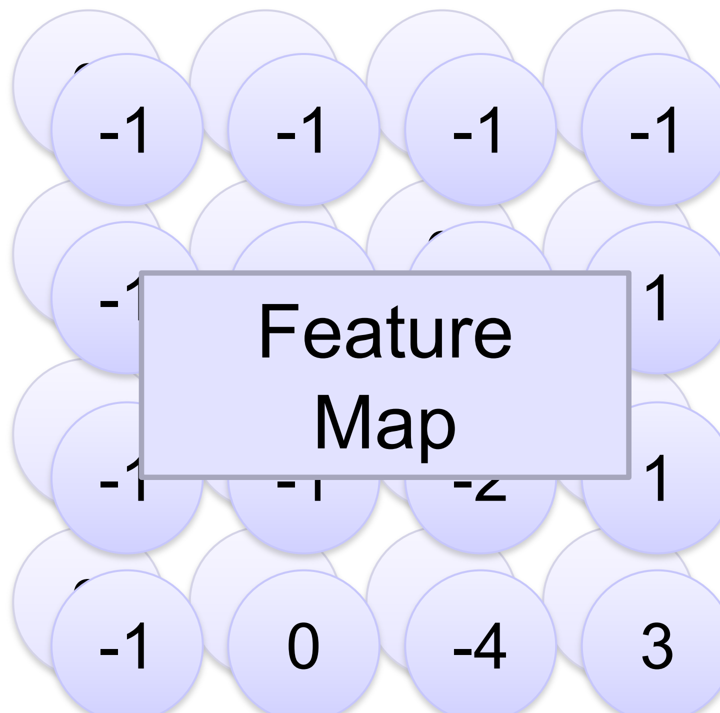
| | | | | | |
|---|---|---|---|---|---|
| 1 | 0 | 0 | 0 | 0 | 1 |
| 0 | 1 | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 1 | 0 | 0 |
| 1 | 0 | 0 | 0 | 1 | 0 |
| 0 | 1 | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 0 | 1 | 0 |

6 x 6 image

| | | |
|---|---|---|
| -1 | 1 | -1 |
| -1 | 1 | -1 |
| -1 | 1 | -1 |

Filter 2

Repeat this for each filter




Two 4 x 4 images
Forming 2 x 4 x 4 matrix

# Color image: RGB 3 channels

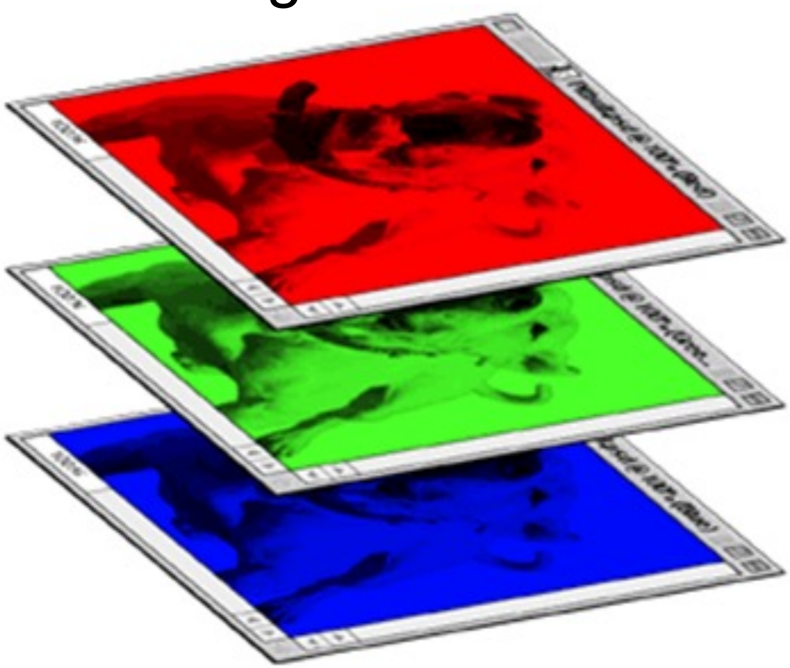
| | | |
|---|---|---|
| 1 | -1 | -1 |
| -1 | 1 | -1 |
| -1 | -1 | 1 |

Filter 1

| | | |
|---|---|---|
| -1 | 1 | -1 |
| -1 | 1 | -1 |
| -1 | 1 | -1 |

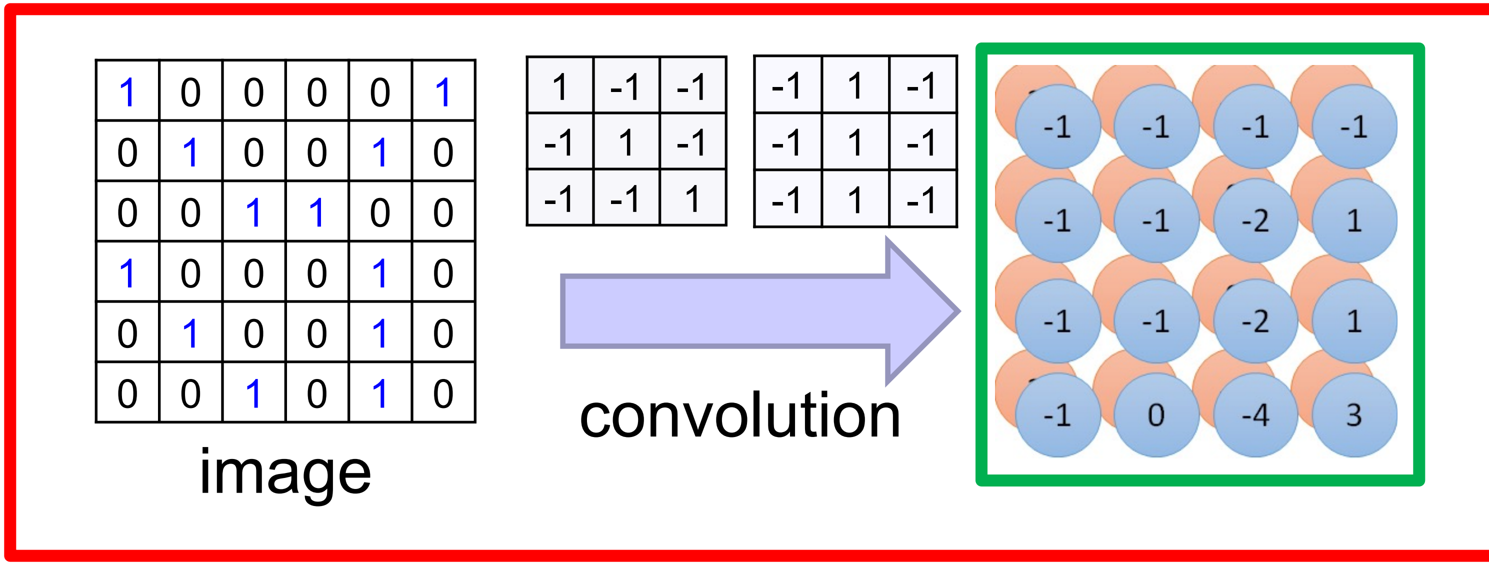
Filter 2

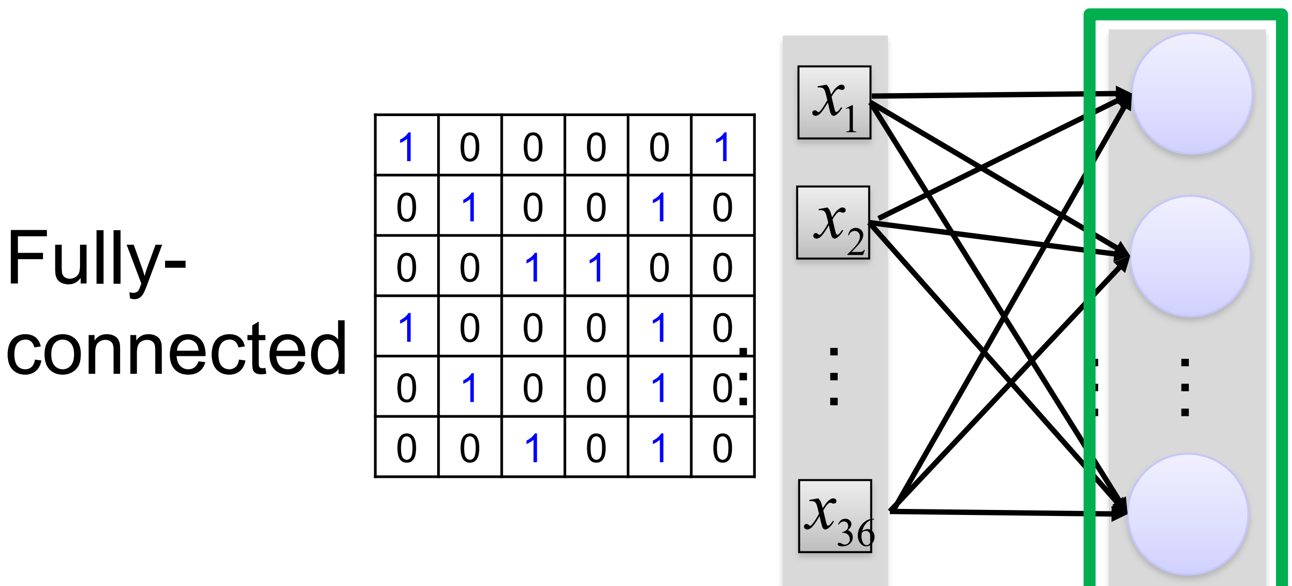
Color image

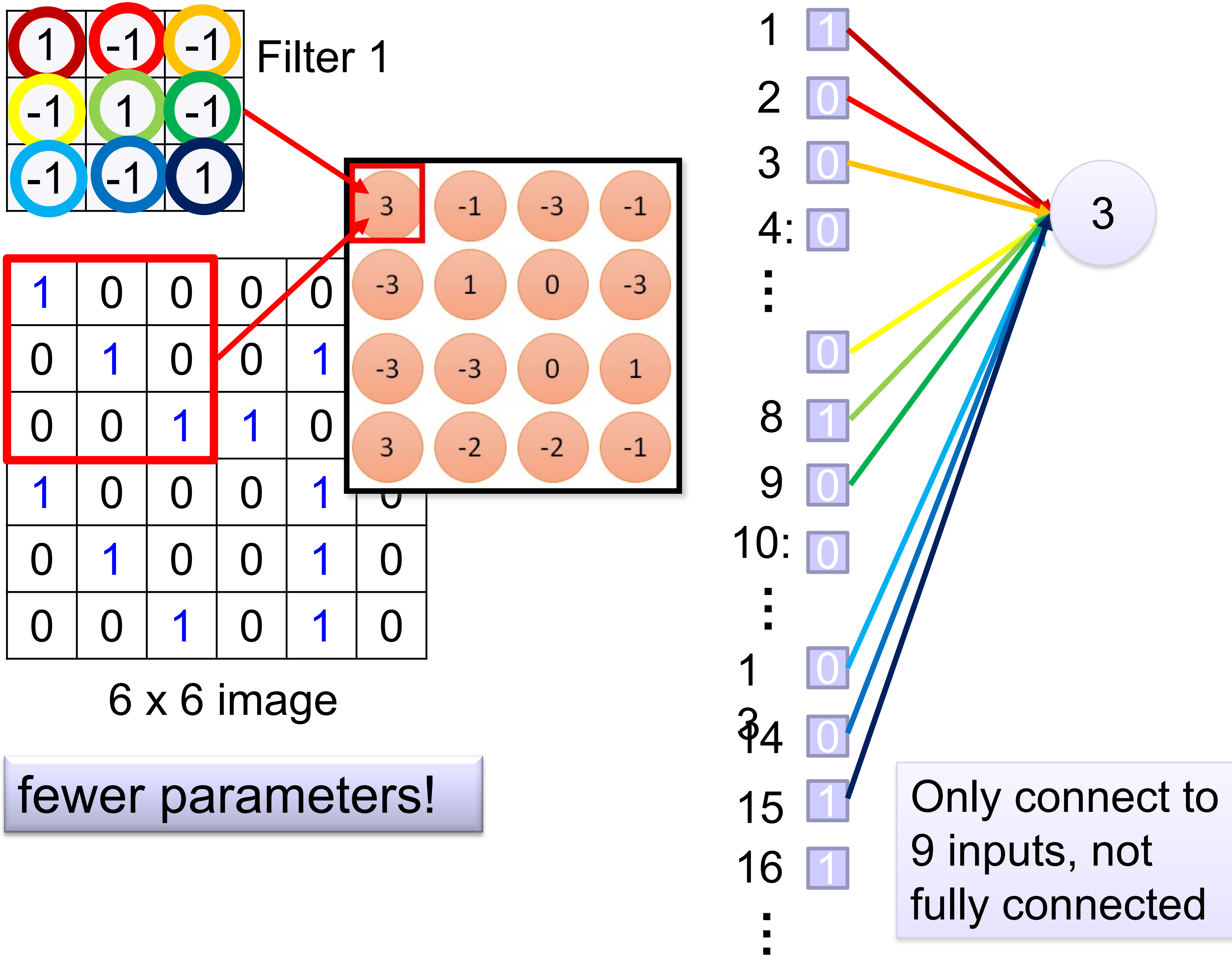


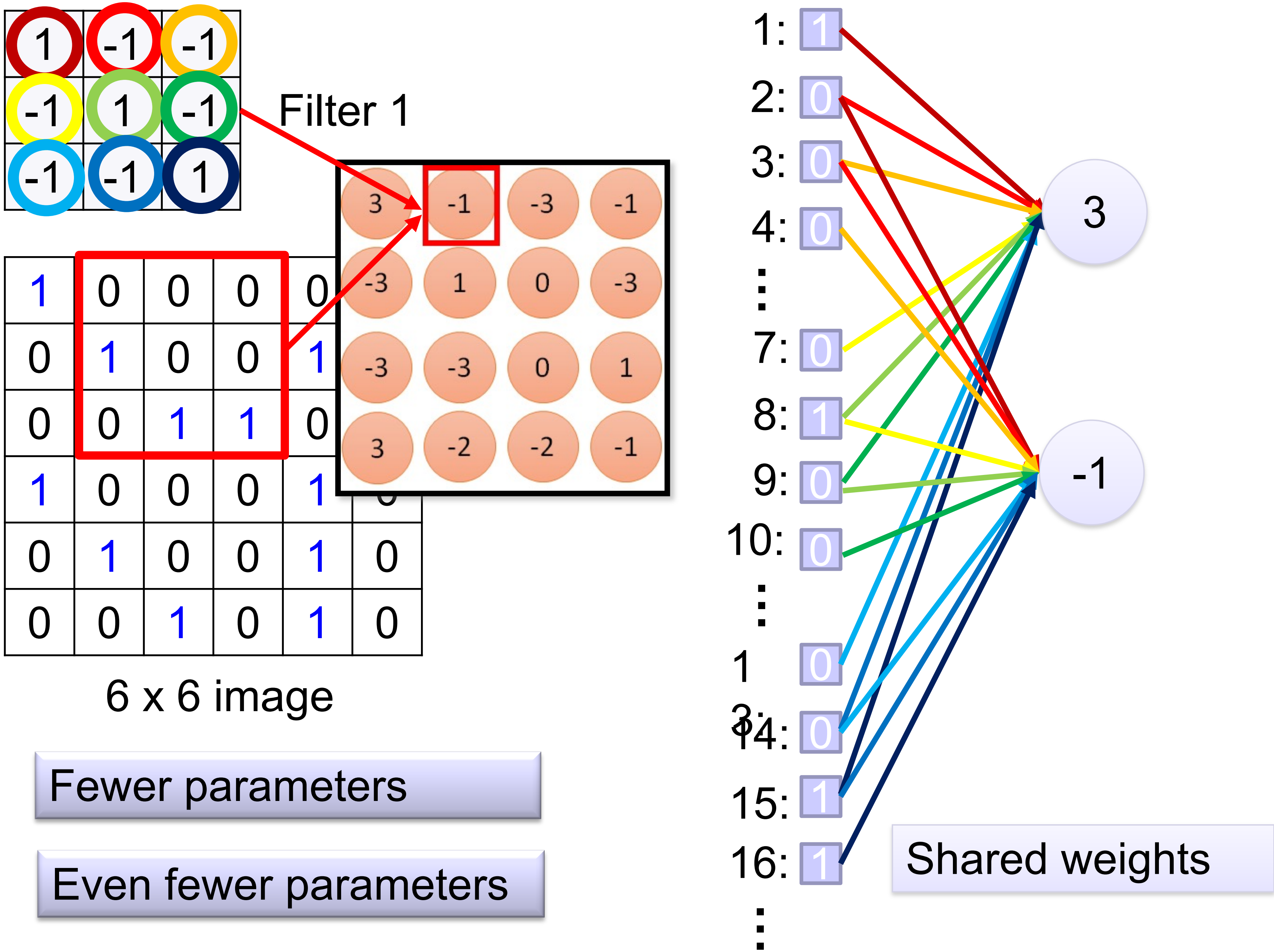
| | | | | | |
|---|---|---|---|---|---|
| 1 | 0 | 0 | 0 | 0 | 1 |
| 0 | 1 | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 1 | 0 | 0 |
| 1 | 0 | 0 | 0 | 1 | 0 |
| 0 | 1 | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 0 | 1 | 0 |

# Convolution v.s. Fully Connected



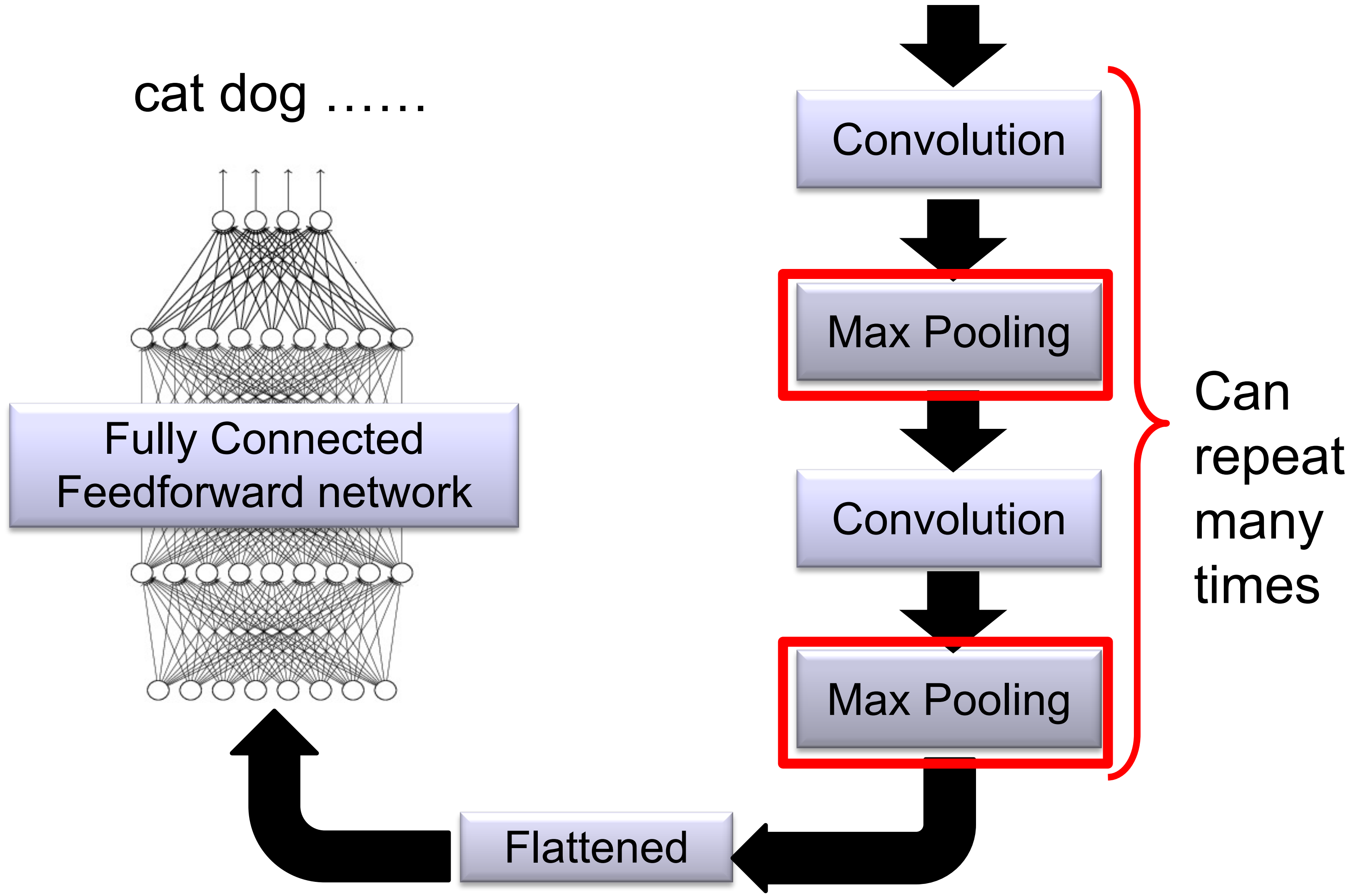




Filter 1
6 x 6 image
fewer parameters!
Only connect to 9 inputs, not fully connected
1
2
3
4:
8
9
10:
13
14
15
16
3



Filter 1
6 x 6 image
Fewer parameters
Even fewer parameters
1:
2:
3:
4:
7:
8:
9:
10:
13:
14:
15:
16:
3
-1
Shared weights

# The whole CNN



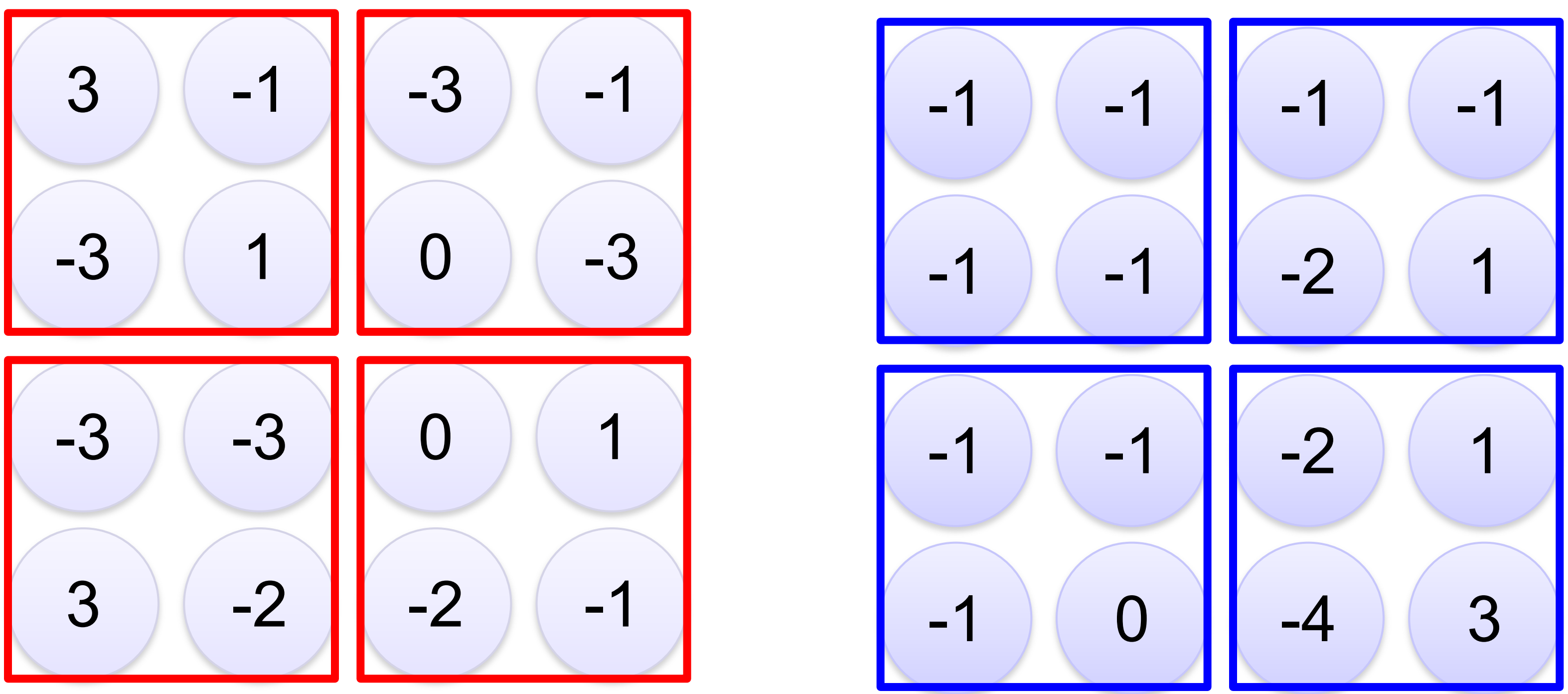
# Max Pooling

| | | |
|---|---|---|
| 1 | -1 | -1 |
| -1 | 1 | -1 |
| -1 | -1 | 1 |

Filter 1

| | | |
|---|---|---|
| -1 | 1 | -1 |
| -1 | 1 | -1 |
| -1 | 1 | -1 |

Filter 2



# Why Pooling

- Subsampling pixels will not change the object

bird

Subsampling

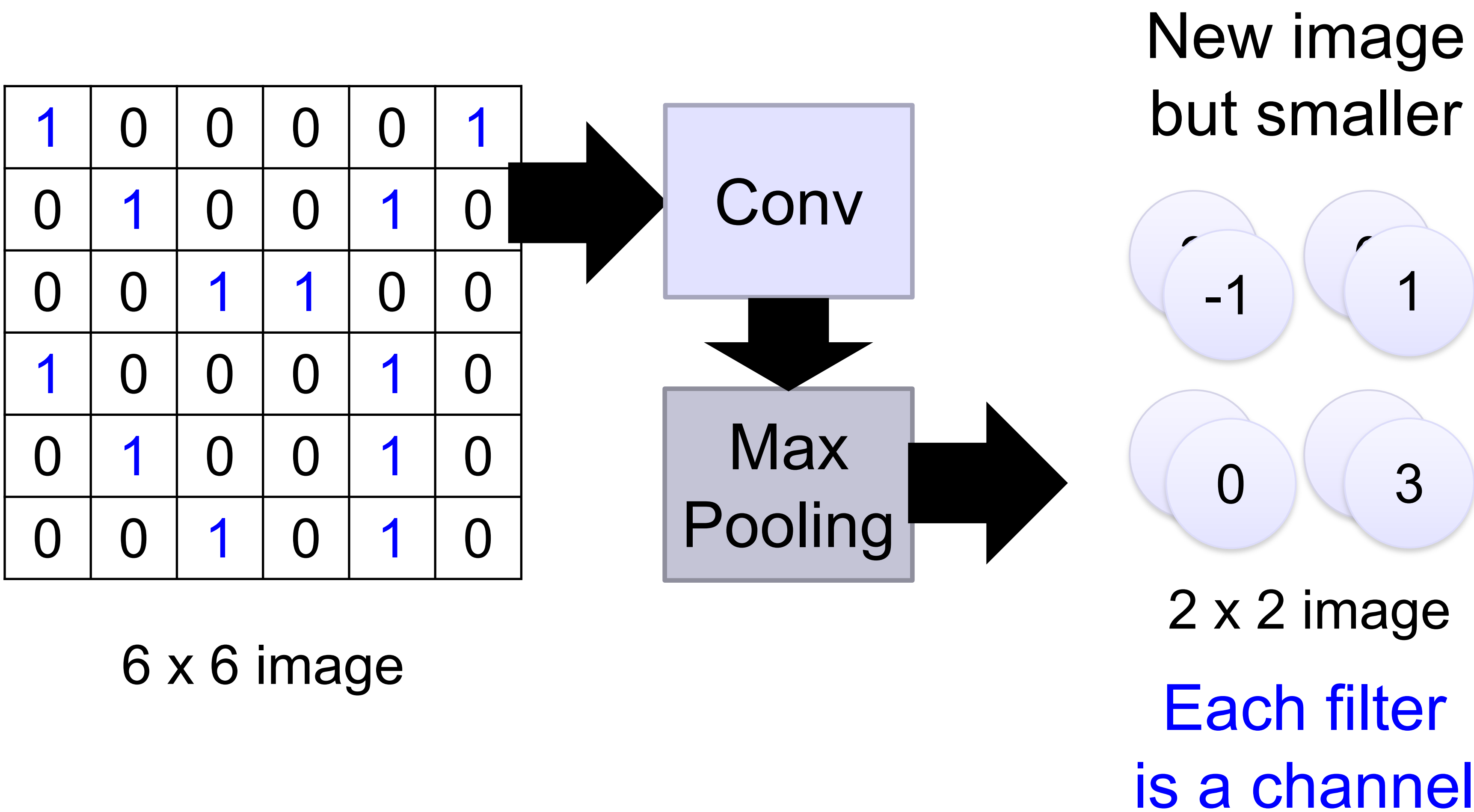
bird

fewer parameters to characterize the image

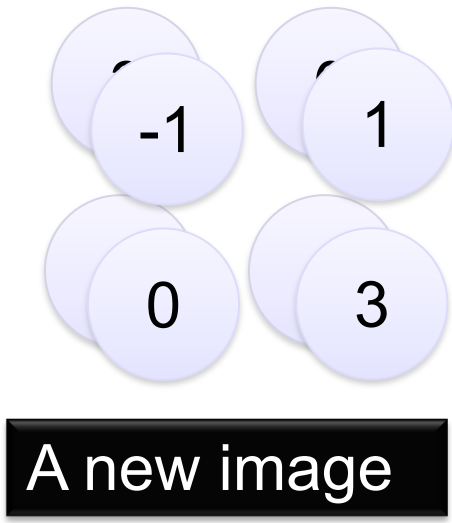
# A CNN compresses a fully connected network in three ways:

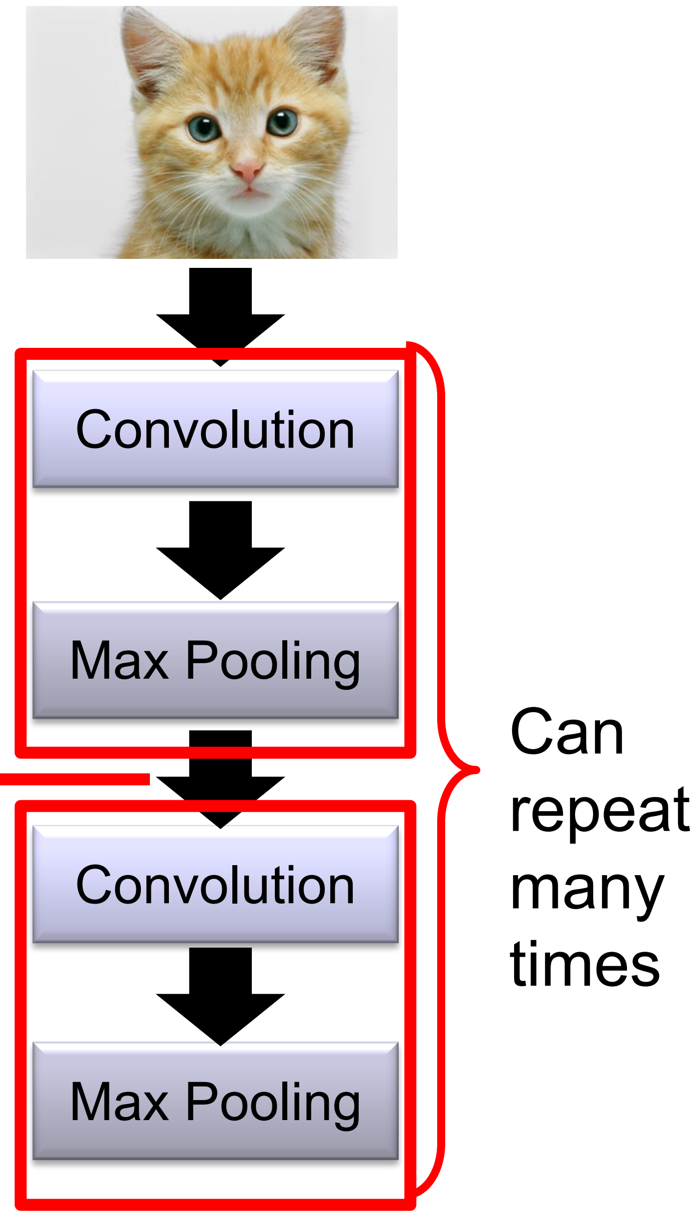
- Reducing number of connections
- Shared weights on the edges
- Max pooling further reduces the complexity

# Max Pooling


New image
but smaller
1 0 0 0 0 1
0 1 0 0 1 0
0 0 1 1 0 0
1 0 0 0 1 0
0 1 0 0 1 0
0 0 1 0 1 0
Conv
Max Pooling
-1
1
0
3
6 x 6 image
2 x 2 image
Each filter
is a channel

# The whole CNN

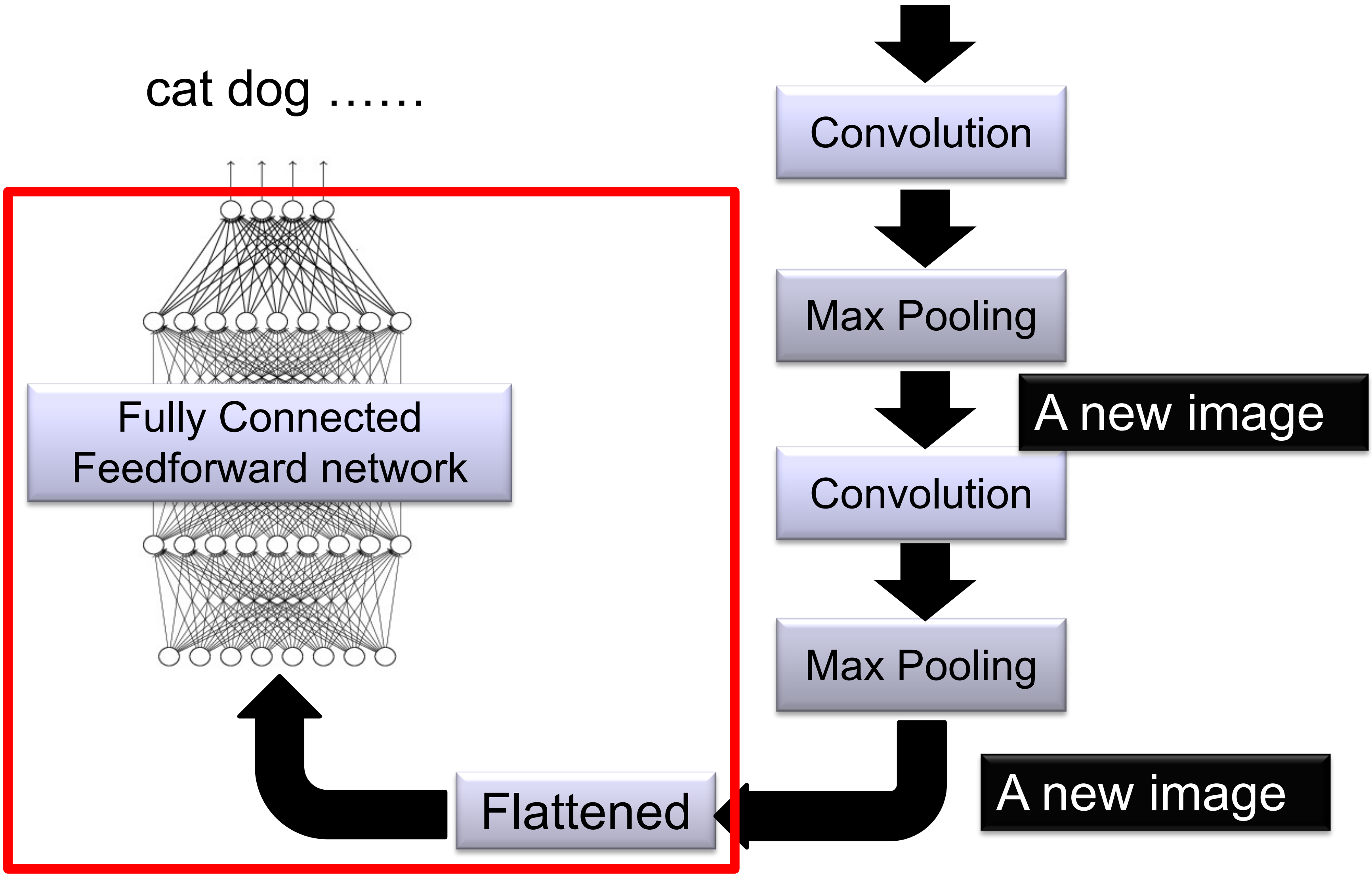


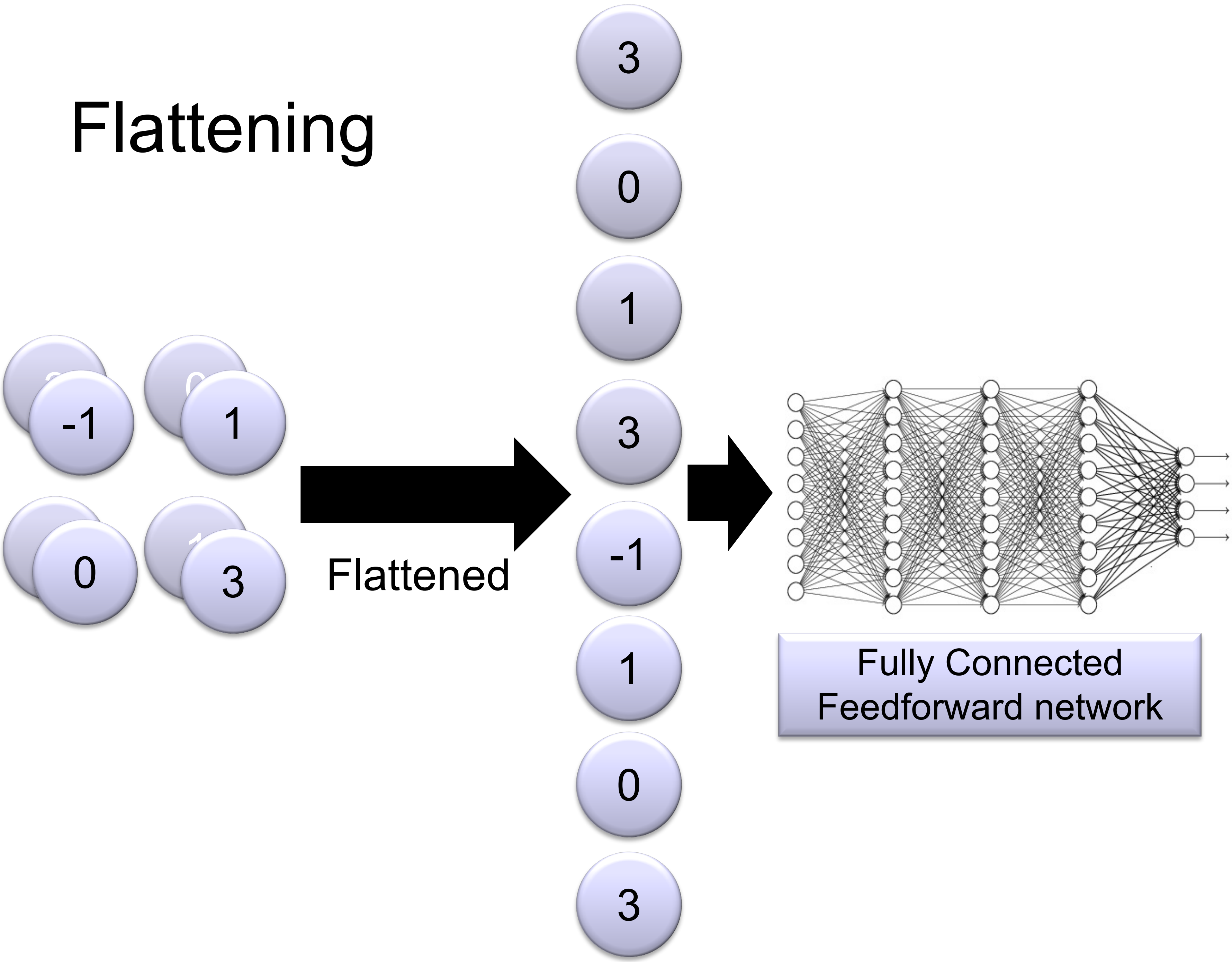

Smaller than the original image

The number of channels is the number of filters

# The whole CNN



# Flattening



-1
1
0
3
Flattened
3
0
1
3
-1
1
0
3
Fully Connected
Feedforward network

# ***CNN in Keras***

Only modified the ***network structure*** and ***input format (vector -> 3-D tensor)***

```
model2.add( Convolution2D( 25,3,3,
            input_shape=(28,28,1)) )
```

| | | |
|---|---|---|
| -1 | 1 | -1 |
| -1 | 1 | -1 |
| -1 | 1 | -1 |

| | | |
|---|---|---|
| 1 | -1 | -1 |
| -1 | 1 | -1 |
| -1 | -1 | 1 |

……

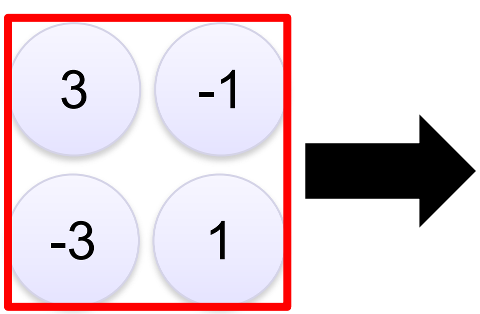
There are 25 3x3 filters.

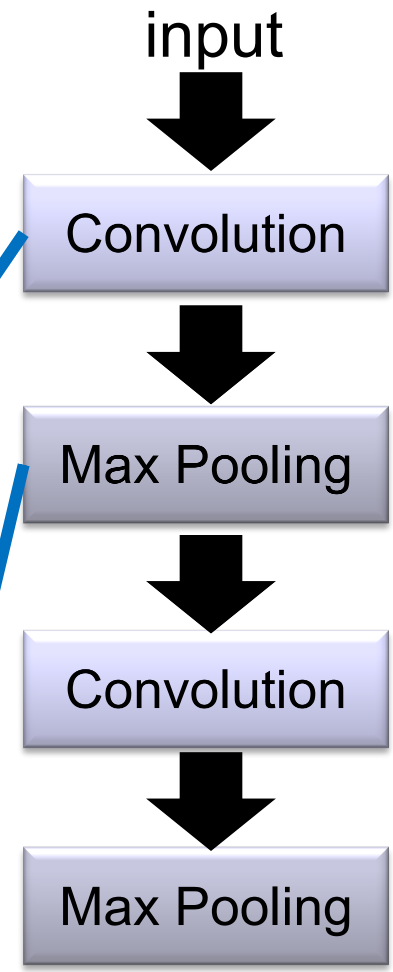
Input_shape = ( 28 , 28 , 1)

28 x 28 pixels

1: black/white, 3: RGB

```
model2.add(MaxPooling2D((2,2)))
```



# *CNN in Keras*

Only modified the ***network structure*** and ***input format (vector -> 3-D array)***

Input

1 x 28 x 28

Convolution

```
model2.add( Convolution2D( 25,3,3,
            input_shape=(28,28,1)) )
```

How many parameters for each filter? 9

25 x 26 x 26

Max Pooling

```
model2.add(MaxPooling2D((2,2)))
```

25 x 13 x 13

Convolution

```
model2.add(Convolution2D(50,3,3))
```

How many parameters for each filter? 225= 25x9

50 x 11 x 11

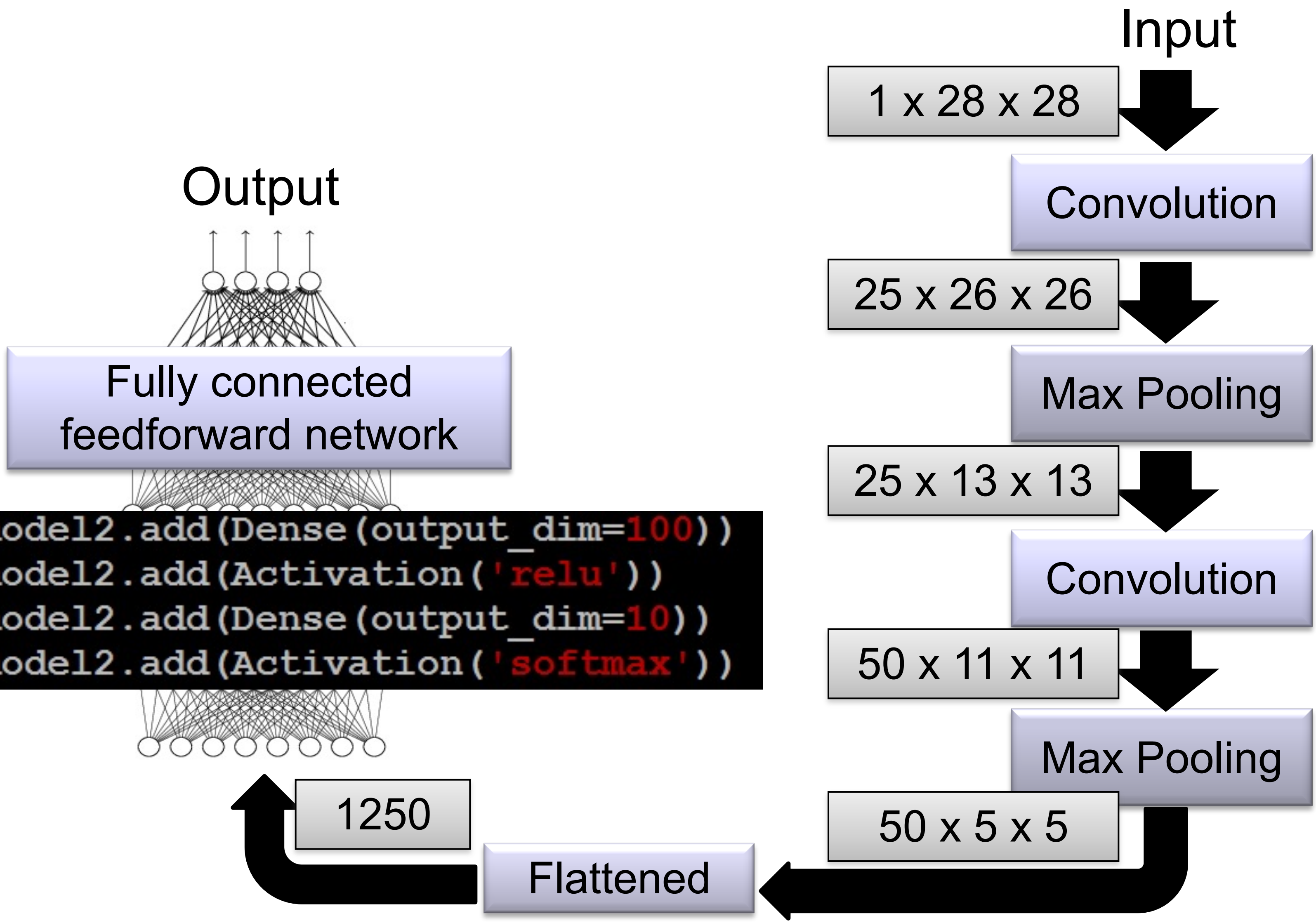
Max Pooling

```
model2.add(MaxPooling2D((2,2)))
```

50 x 5 x 5

# CNN in Keras

Only modified the ***network structure*** and ***input format (vector -> 3-D array)***




```
model2.add(Dense(output_dim=100))
model2.add(Activation('relu'))
model2.add(Dense(output_dim=10))
model2.add(Activation('softmax'))
```

```
model2.add(Flatten())
```

# AlphaGo

19 x 19 matrix

Black: 1

white: -1

none: 0

Neural Network

Next move

(19 x 19 positions)

Fully-connected feedforward network can be used

But CNN performs much better

# AlphaGo's policy network

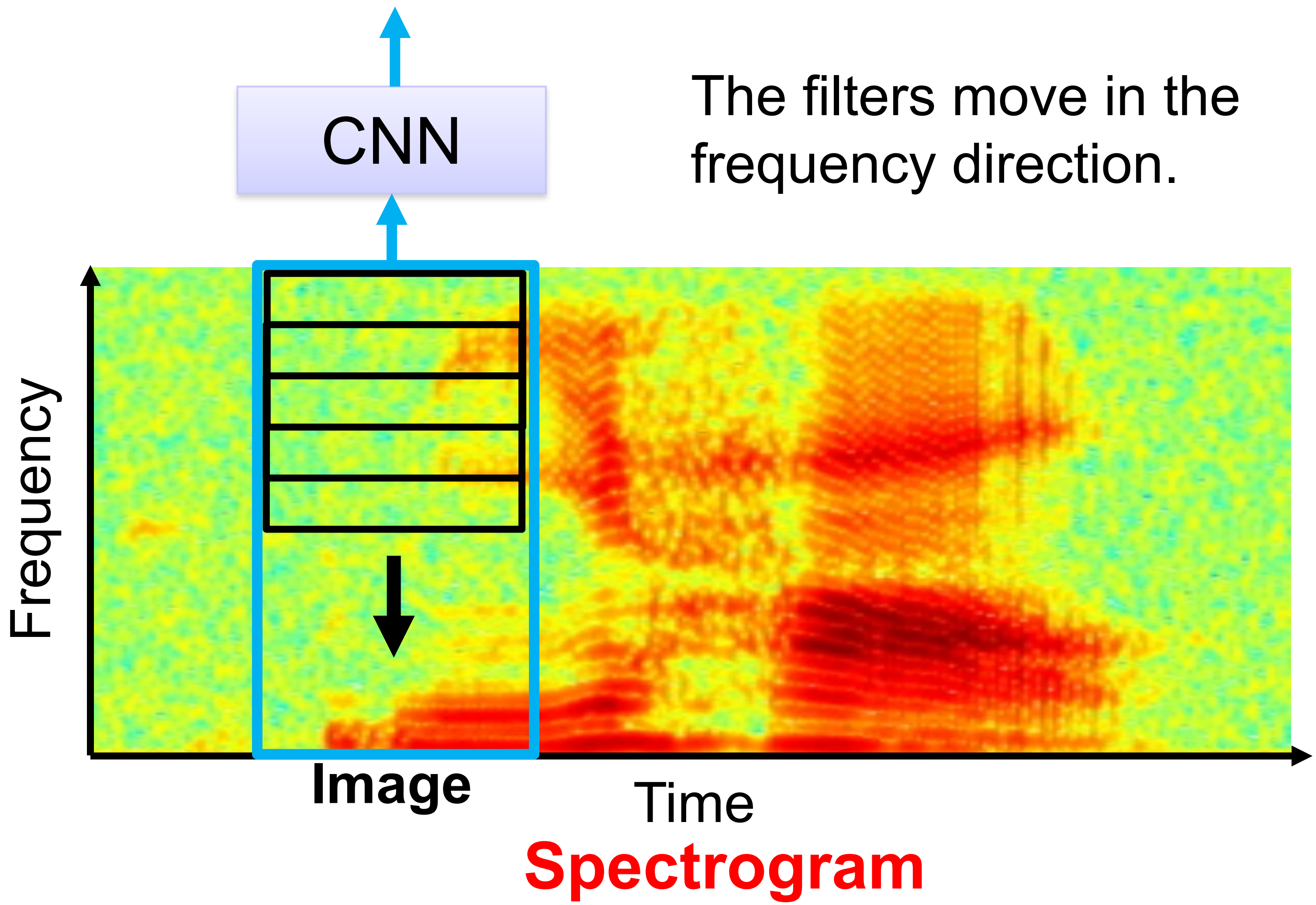
The following is quotation from their Nature article:

Note: AlphaGo does not use Max Pooling.

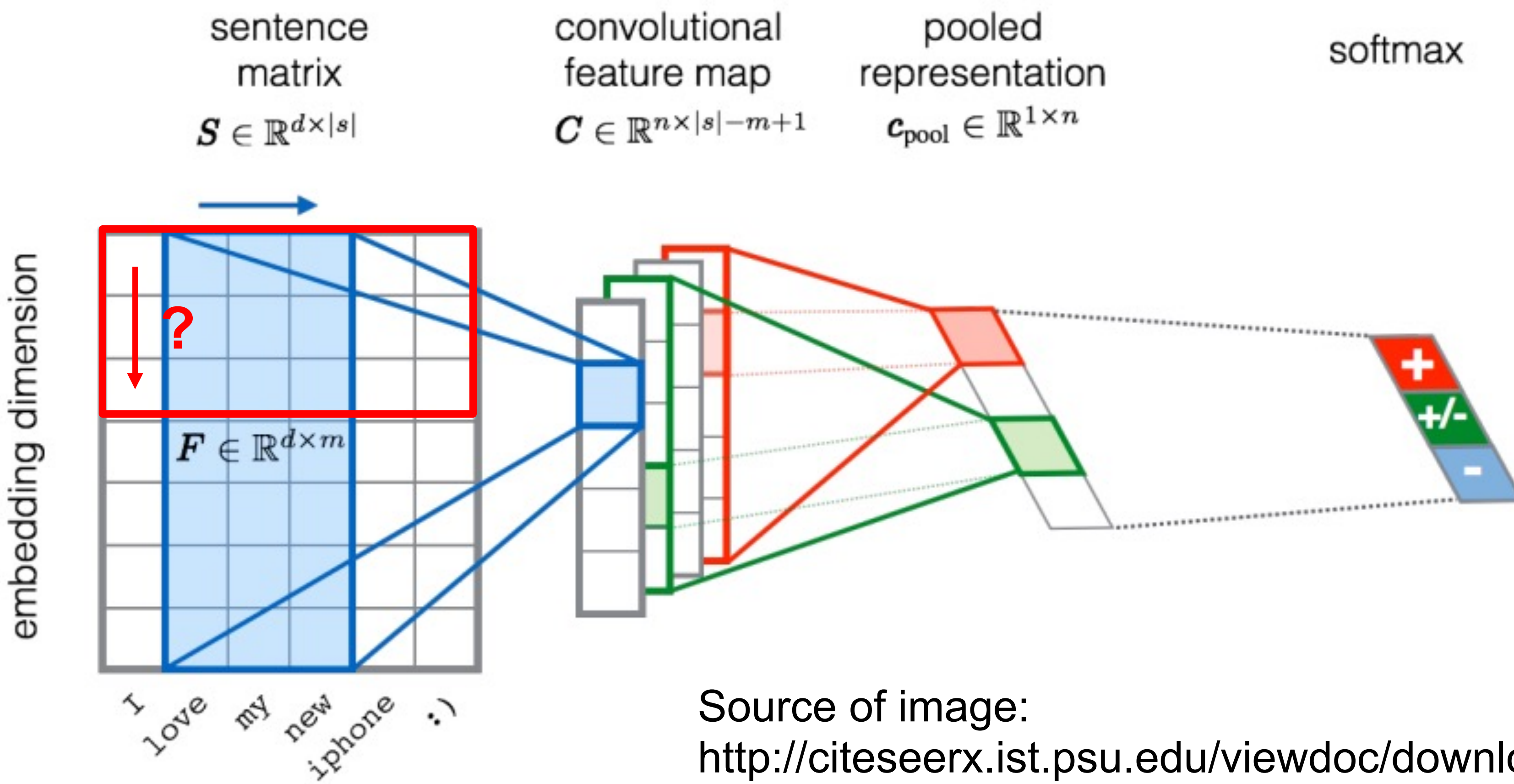
**Neural network architecture.** The input to the policy network is a $19 \times 19 \times 48$ image stack consisting of 48 feature planes. The first hidden layer zero pads the input into a $23 \times 23$ image, then convolves $k$ filters of kernel size $5 \times 5$ with stride 1 with the input image and applies a rectifier nonlinearity. Each of the subsequent hidden layers 2 to 12 zero pads the respective previous hidden layer into a $21 \times 21$ image, then convolves $k$ filters of kernel size $3 \times 3$ with stride 1, again followed by a rectifier nonlinearity. The final layer convolves 1 filter of kernel size $1 \times 1$ with stride 1, with a different bias for each position, and applies a softmax function. The match version of AlphaGo used $k = 192$ filters; Fig. 2b and Extended Data Table 3 additionally show the results of training with $k = 128$, 256 and 384 filters.

# CNN in speech recognition

The filters move in the frequency direction.



# CNN in text classification




Source of image:
http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.703.6858&rep=rep1&type=pdf