

# 3D Point Clouds

## Lecture 7 – Feature Detection

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**1. Introduction to Features/Keypoints**



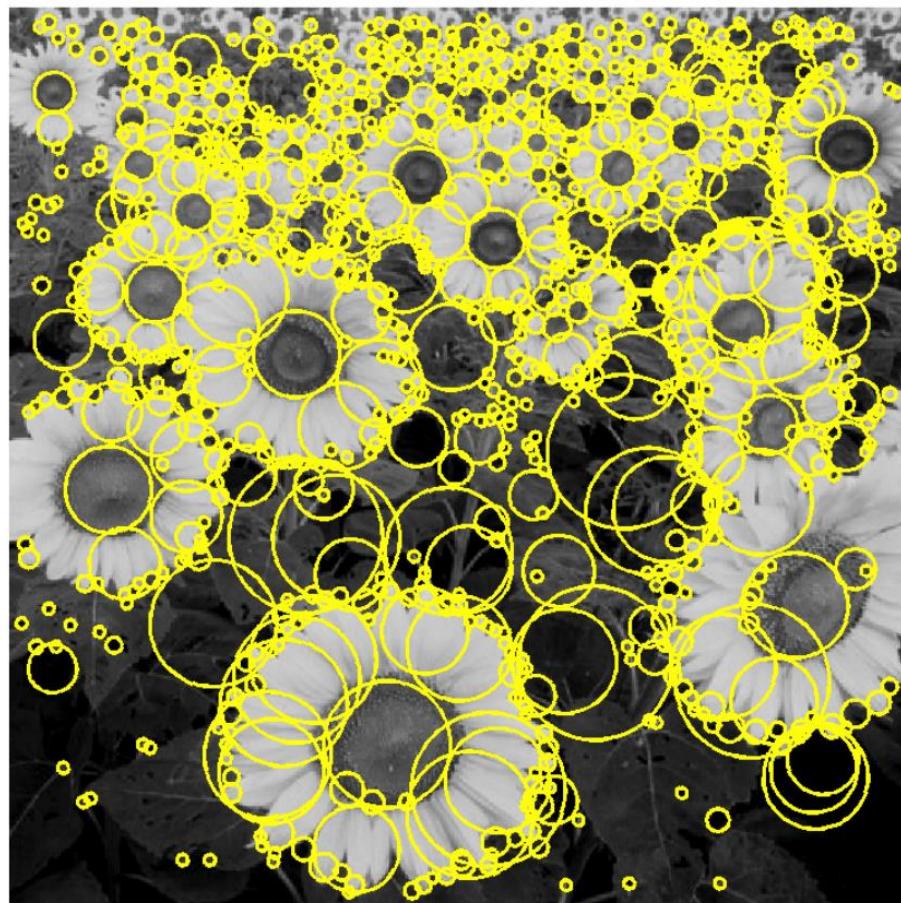
**2. Detector – Classical and Modern**



**3. Descriptor – Classical and Modern**



## Image Features – Points / Blobs

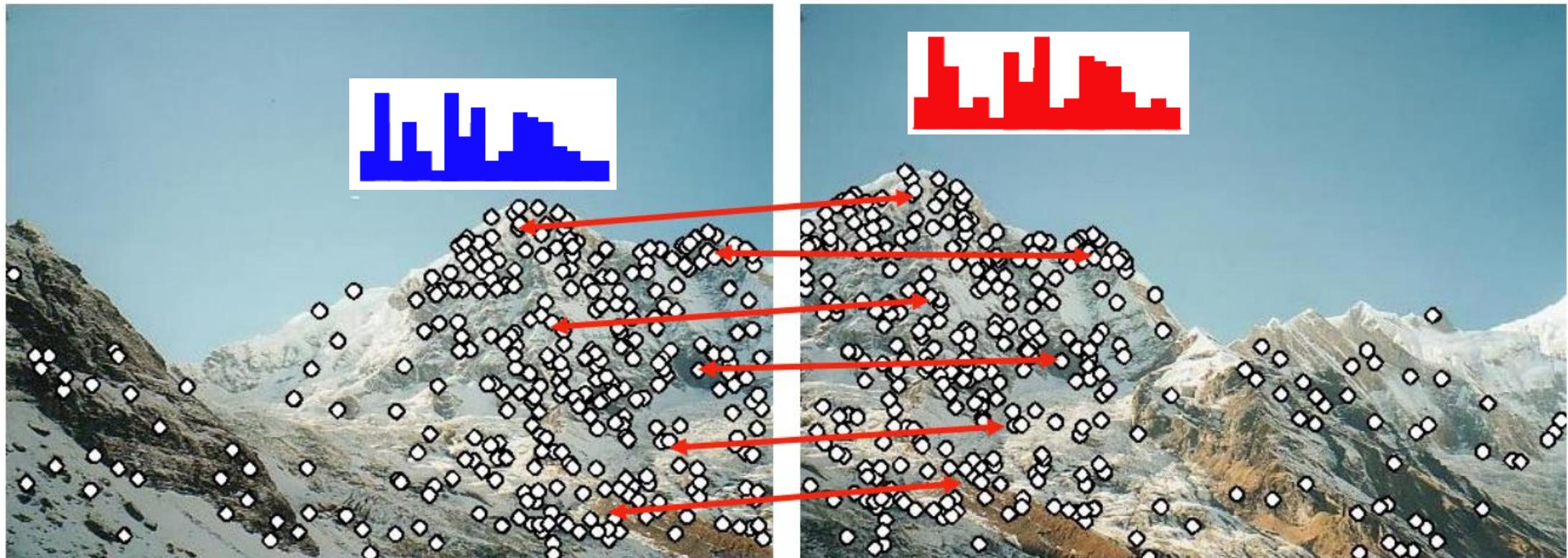


**Feature Detection:** Identify the interest points

Source: Computer Vision, Raquel Urtasun



## Image Features – Description and Matching



**Feature Description:** Extract a vector around the feature point to describe it.  
**Feature Matching:** Determine correspondence between descriptors



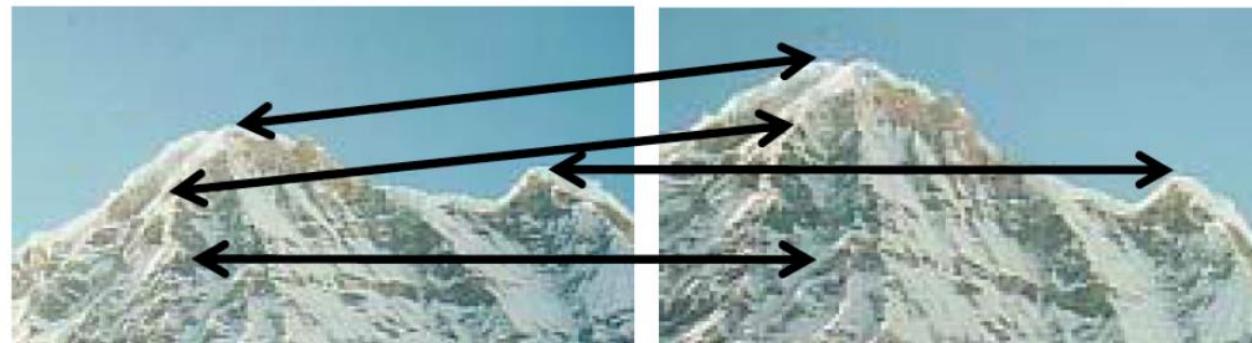
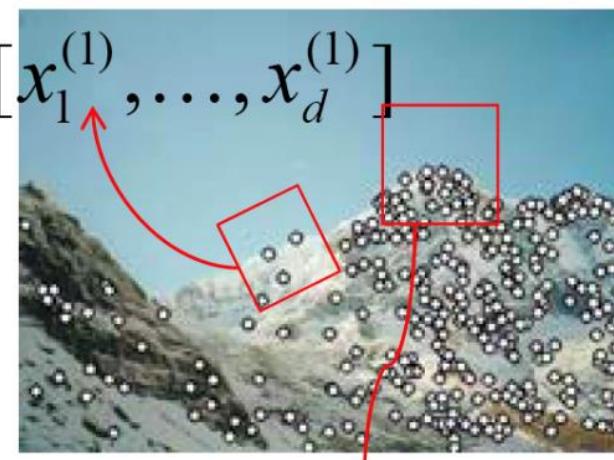
**Detection:** Identity the interest points

**Description:** Extract a vector around the feature point to describe it.

**Matching:** Determine correspondence between descriptors

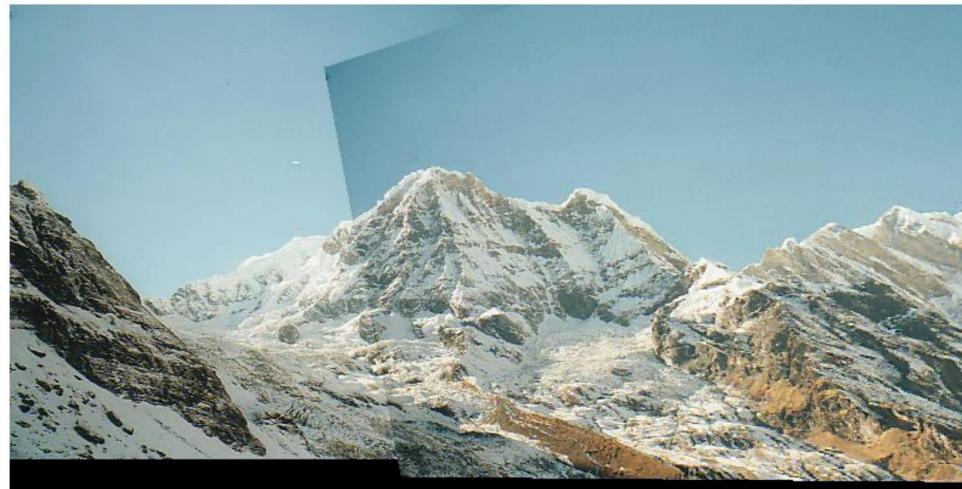
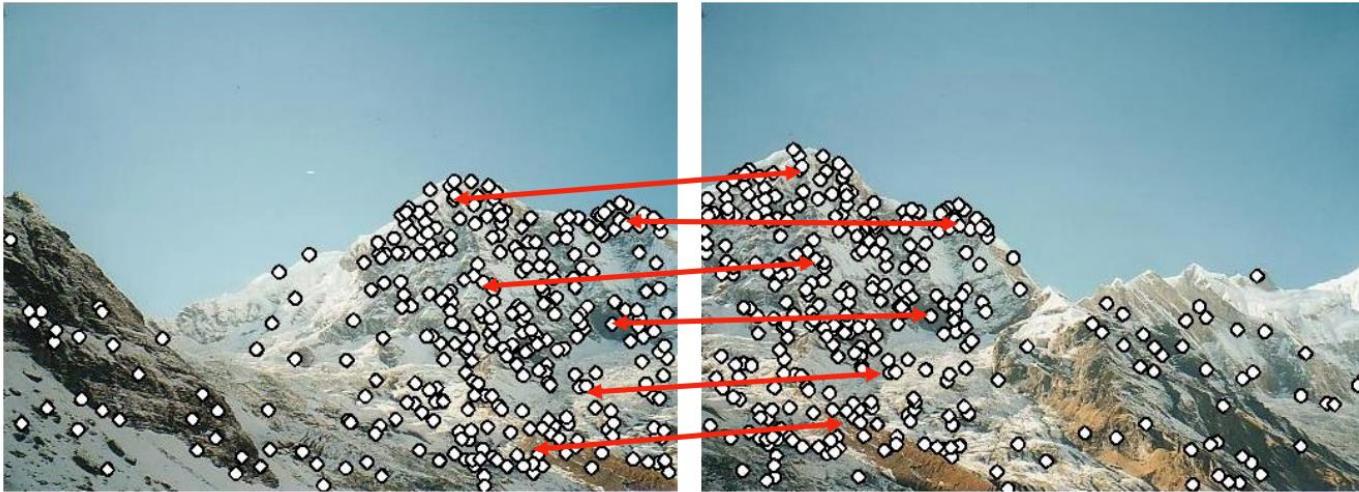


$$\mathbf{x}_1 = [x_1^{(1)}, \dots, x_d^{(1)}]$$





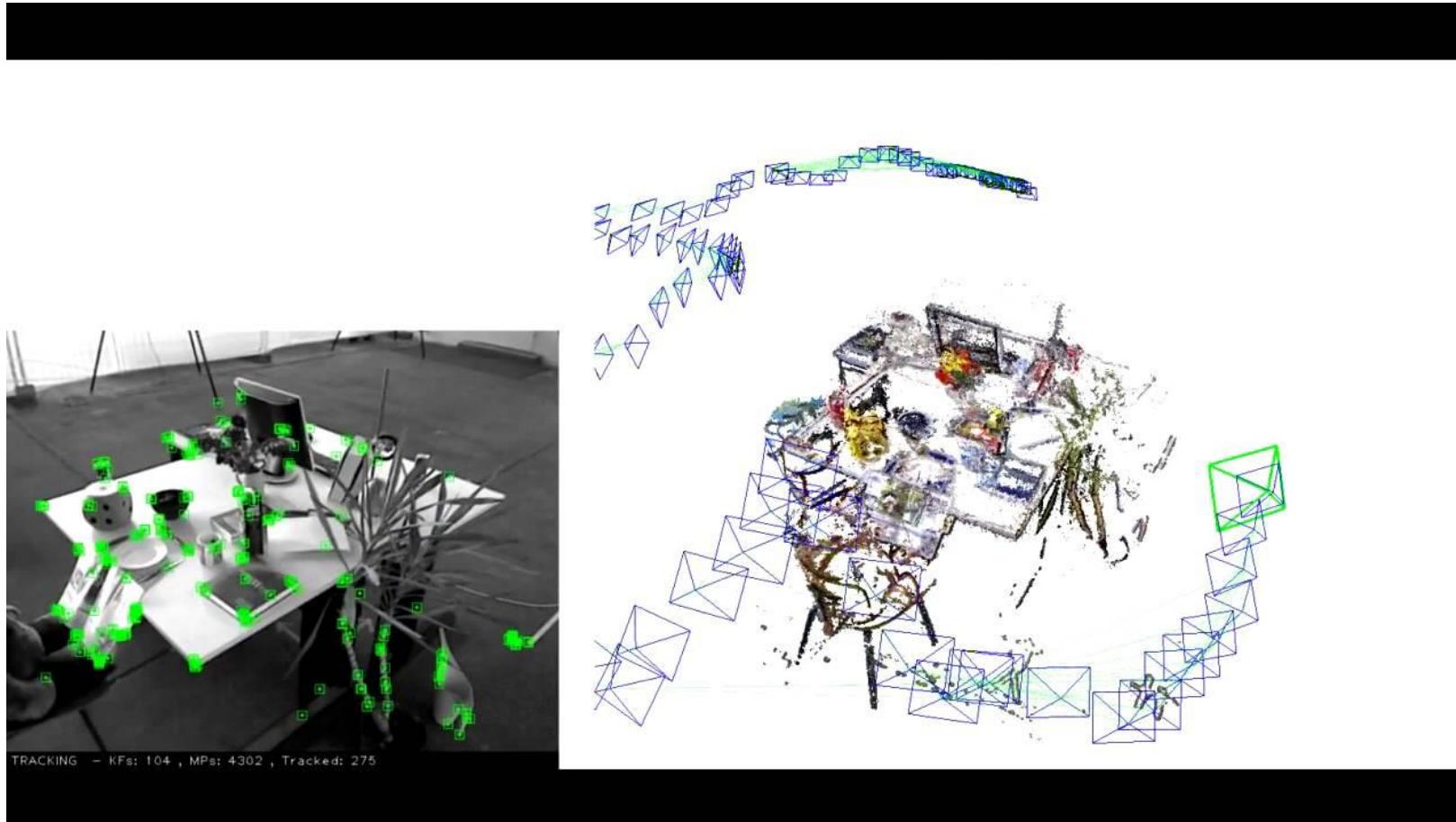
## Image Features – Applications – Panorama



Source: Computer Vision, Raquel Urtasun



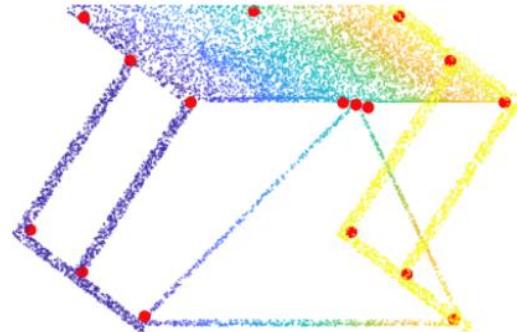
## Image Features – Applications – SLAM (Simultaneous Localization and Mapping)



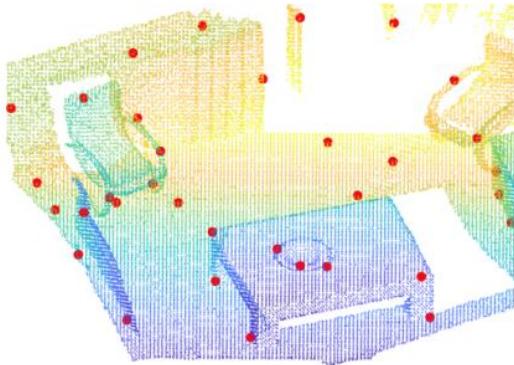
Source: ORB SLAM, <https://webdiis.unizar.es/~raulmur/orbslam/>



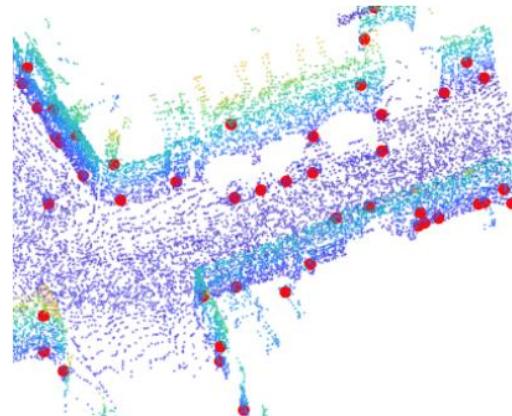
## Point Cloud Features



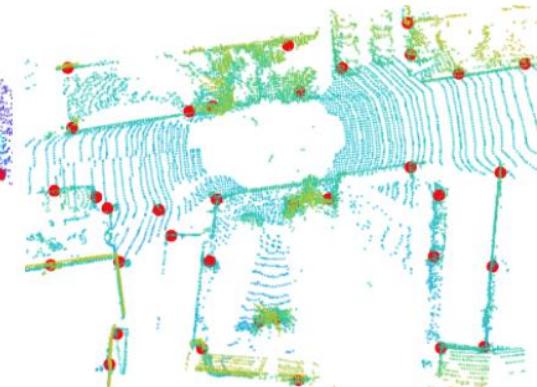
CAD Model



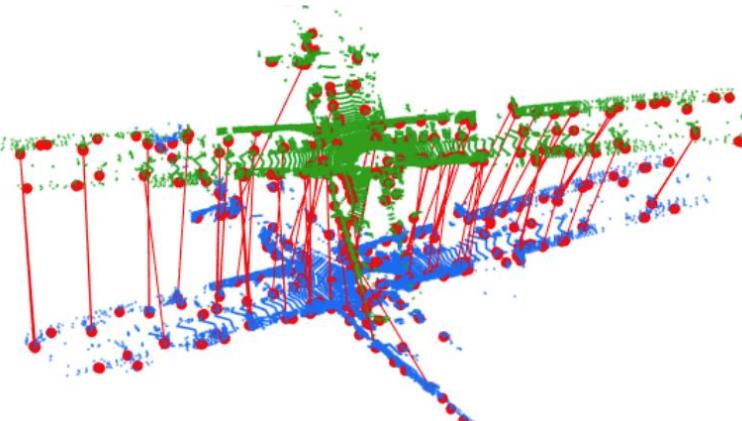
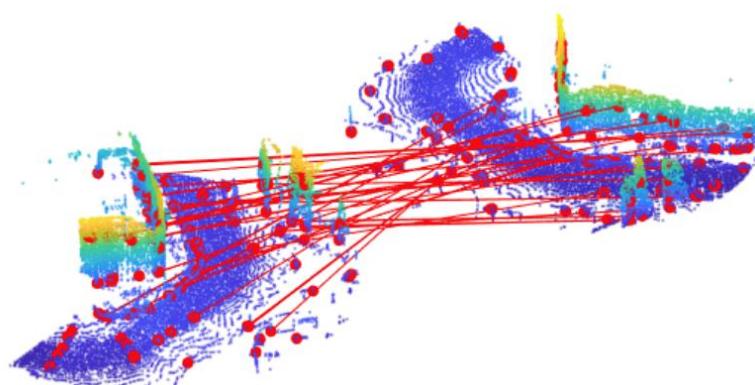
RGB-D



Oxford RobotCar



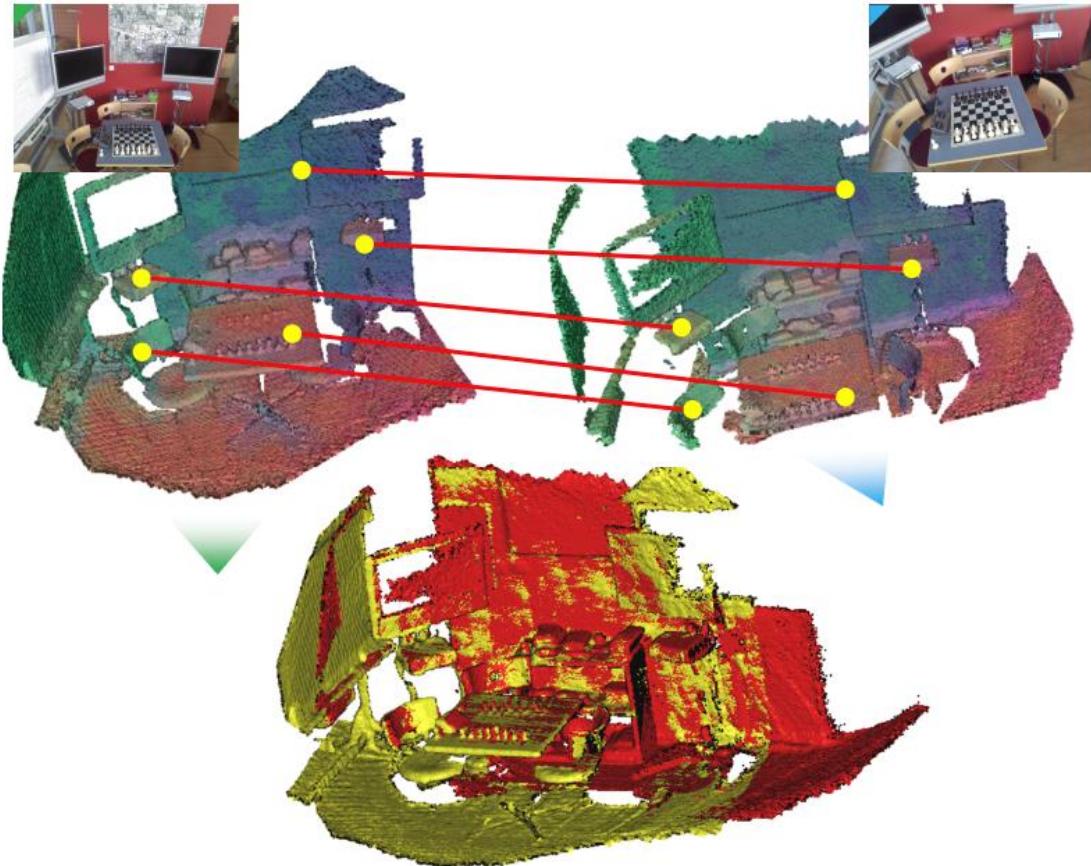
KITTI



Description and Matching



## Point Cloud Features - Registration

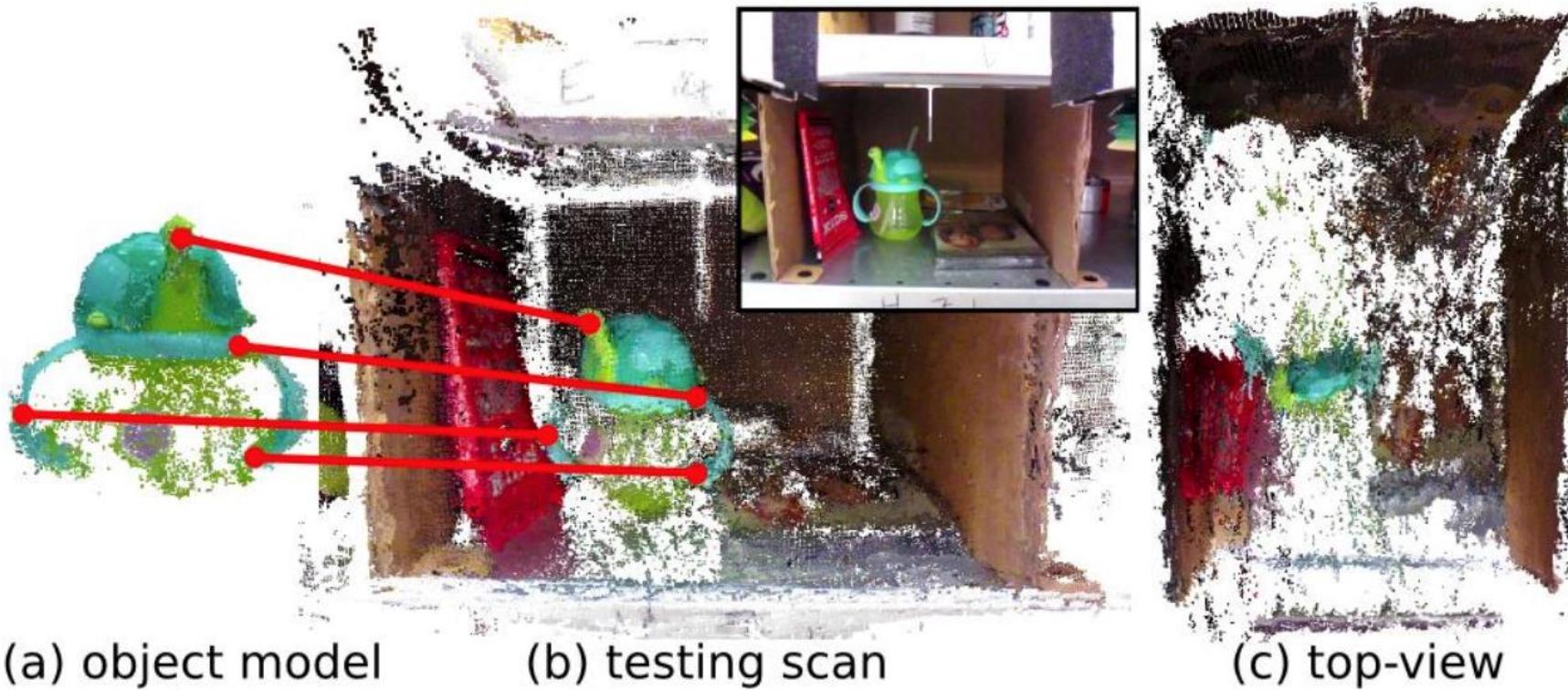


### Registration

- Find a transform to align two point clouds
- A transform consists of
  - Rotation  $R$
  - Translation  $t$
- Method 1 - Iterative Closest Point (ICP)?
  - ICP requires proper initial guess
  - Low overlapping ratio
- Method 2 – Detect and match features
  - No initialization required
  - Works for low overlapping ratio



## Point Cloud Features – Object 6D Pose



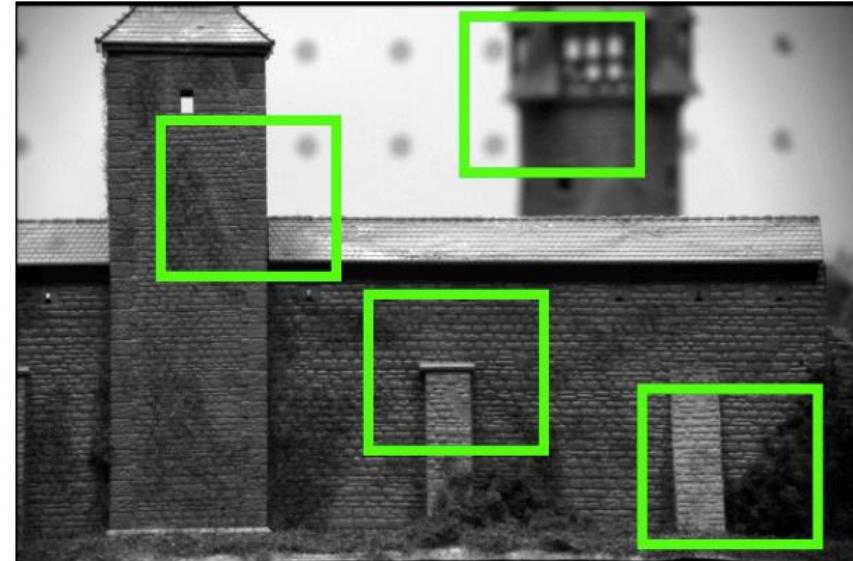
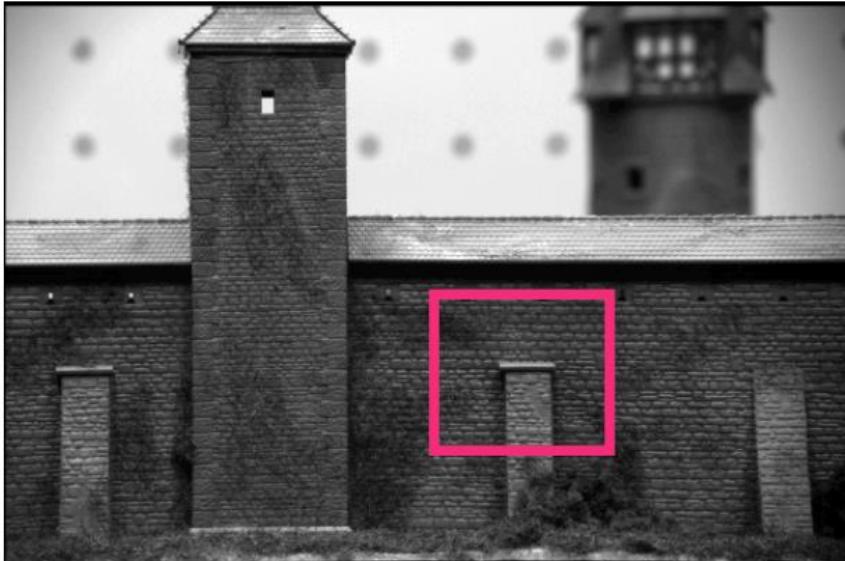
- In fact, it is still registration



- Borrow ideas from image features
  - Harris
  - SUSAN (Small Univalue Segment Assimilating Nucleus)
  - SIFT (Scale-Invariant Feature Transform)
- Native in 3D geometry
  - ISS (Intrinsic Shape Signatures)
- Deep learning
  - Very few methods available



## Harris Detector – Patch Matching

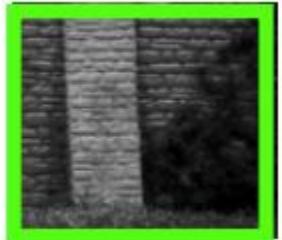
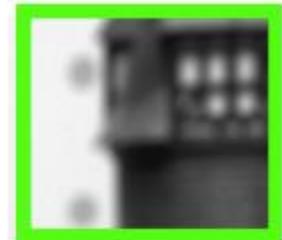
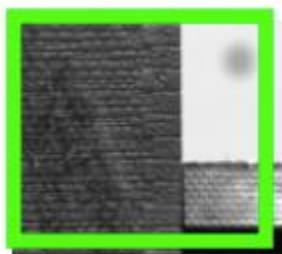


- Find the most similar patch in the second image.



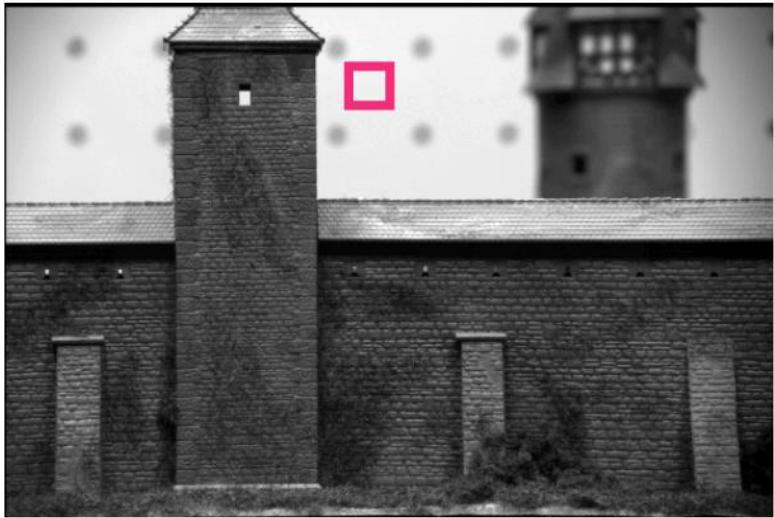
?

=





## Harris Detector – Patch Matching



animals

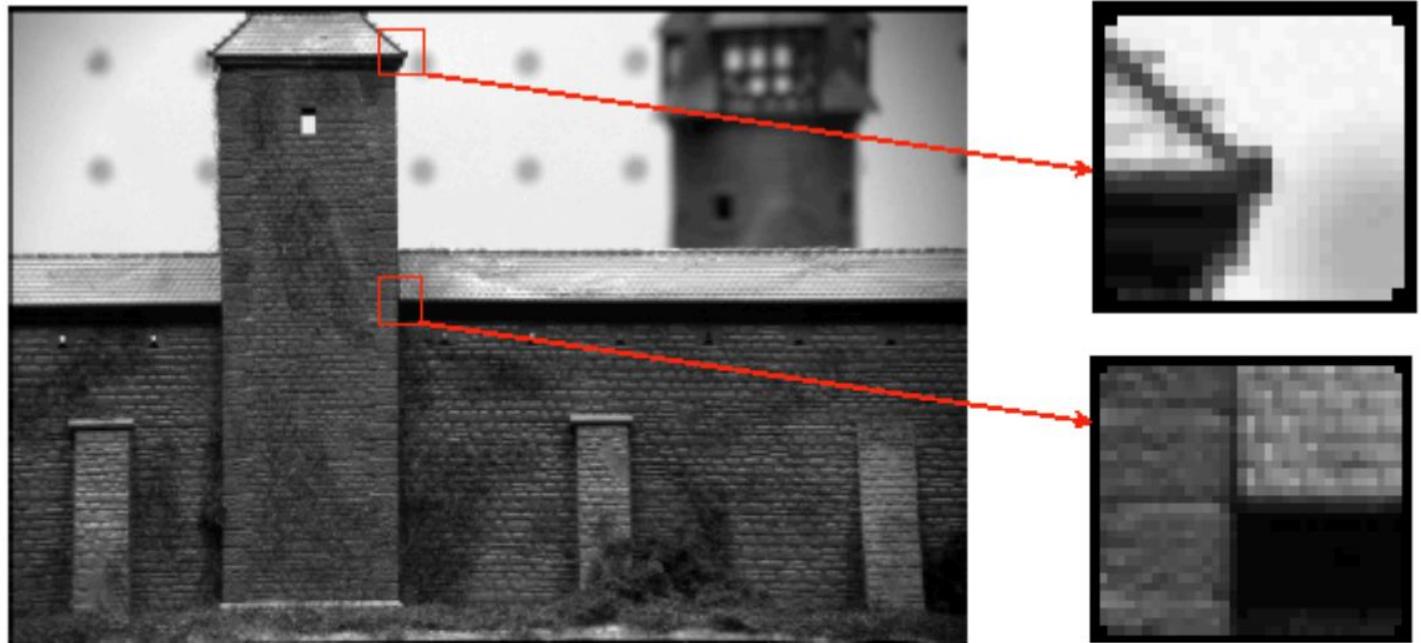
- Not all **patches** are created equal. Some are more equal than others.  
-- Animal Farm, George Orwell





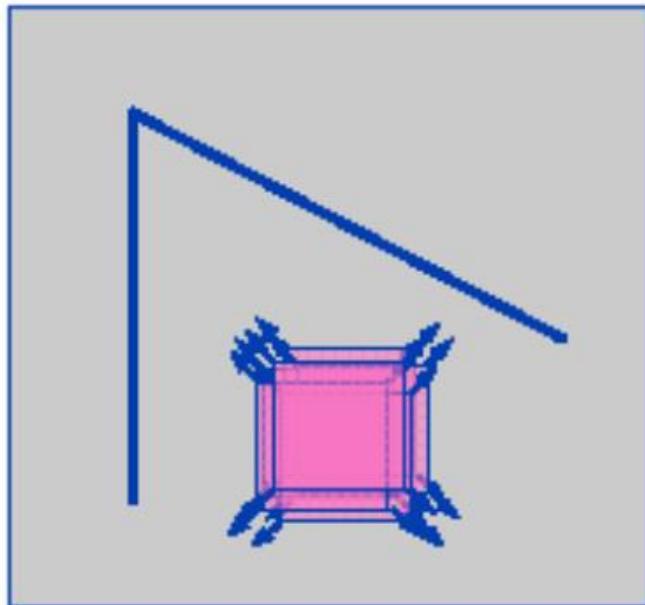
## Harris Detector – Corner Points

- What are corners?
  - Junctions of contours
- Corners are distinctive.
  - Large variations in neighborhood
  - Stable to viewpoint change
  - Good features!

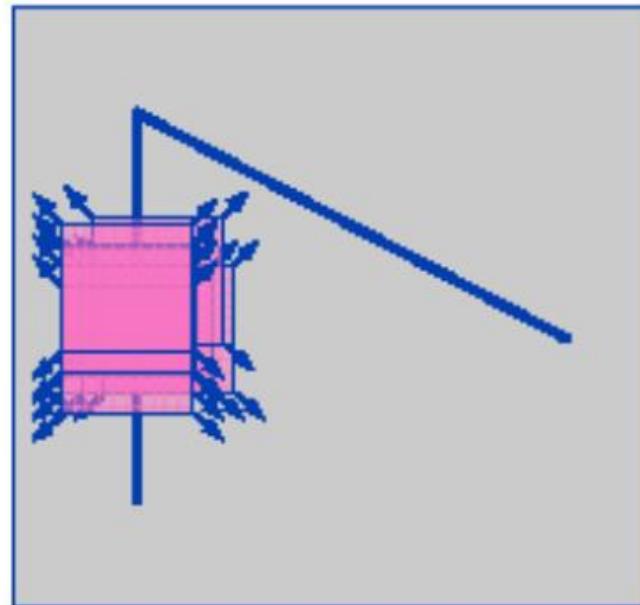




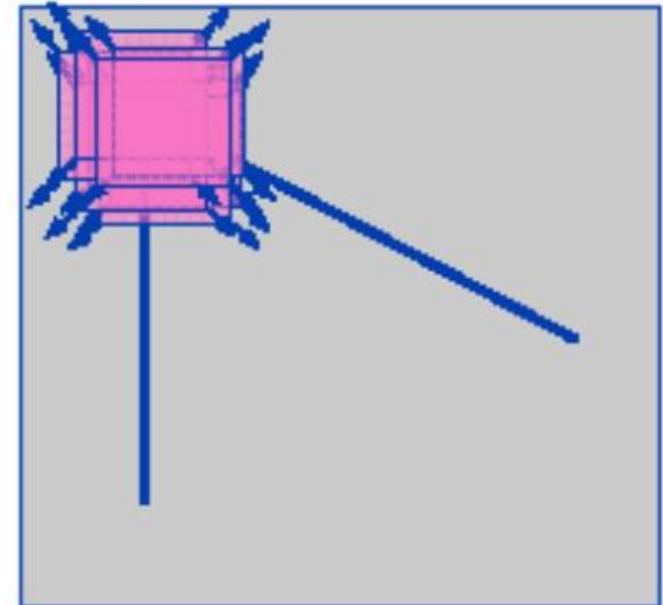
## Corner – Basic Idea



“flat”:  
no change in all directions



“edge”:  
no change along the edge direction



“corner”:  
significant change in all directions



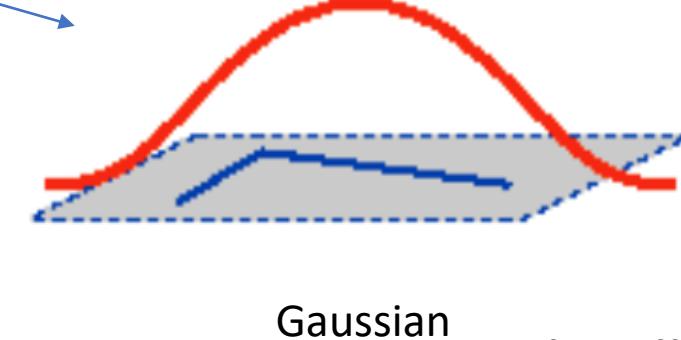
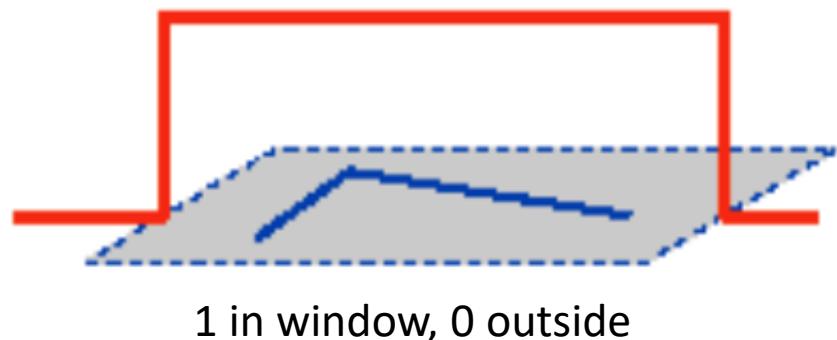
- Given an image  $I$ , consider a patch  $x, y \in \Omega$
- Shift the patch by  $[u, v]$ , the intensity change is:

$$E(u, v) = \sum_{x, y \in \Omega} w(x, y)[I(x + u, y + v) - I(x, y)]^2$$

Windows  
function

Shifted  
intensity

Intensity





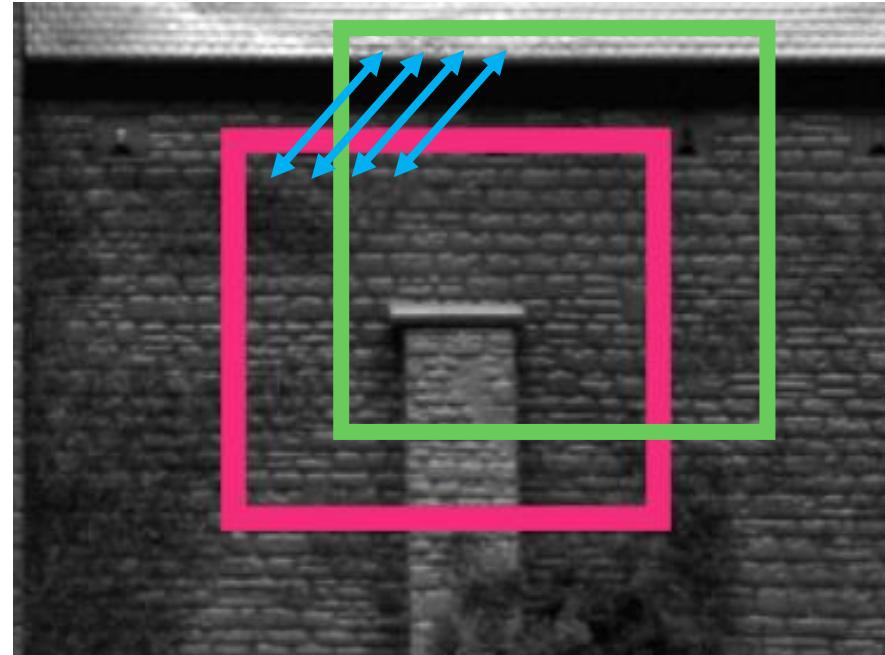
$$E(u, v) = \sum_{x,y \in \Omega} w(x, y)[I(x + u, y + v) - I(x, y)]^2$$

$u, v$  is fixed

$x, y$  is loop over the patch

How to select  $u, v$ ?

As small as possible  $\rightarrow$  gradient





$$f(x + u, y + v) = f(x, y) + uf_x(x, y) + vf_y(x, y)$$

First order partial derivatives

$$+ \frac{1}{2!} [u^2 f_{xx}(x, y) + uv f_{xy}(x, y) + v^2 f_{yy}(x, y)]$$

Second order partial derivatives

$$+ \frac{1}{3!} [u^3 f_{xxx}(x, y) + u^2 v f_{xxy}(x, y) + uv^2 f_{xyy}(x, y) + v^3 f_{yyy}(x, y)]$$

Third order partial derivatives

+ ... (*higher order terms*)

First order approximation:  $f(x + u, y + v) \approx f(x, y) + uf_x(x, y) + vf_y(x, y)$



- Intensity change for a patch when shifted  $[u, v]$  is represented by

$$\sum_{x,y \in \Omega} w(x, y) [I(x + u, y + v) - I(x, y)]^2$$

- Let's assume  $w(x, y)$  is the binary windows function, i.e,  $w(x, y) = 1, \forall x, y \in \Omega$

$$E(u, v) = \sum_{x,y \in \Omega} (I(x + u, y + v) - I(x, y))^2$$



- Intensity change is given by

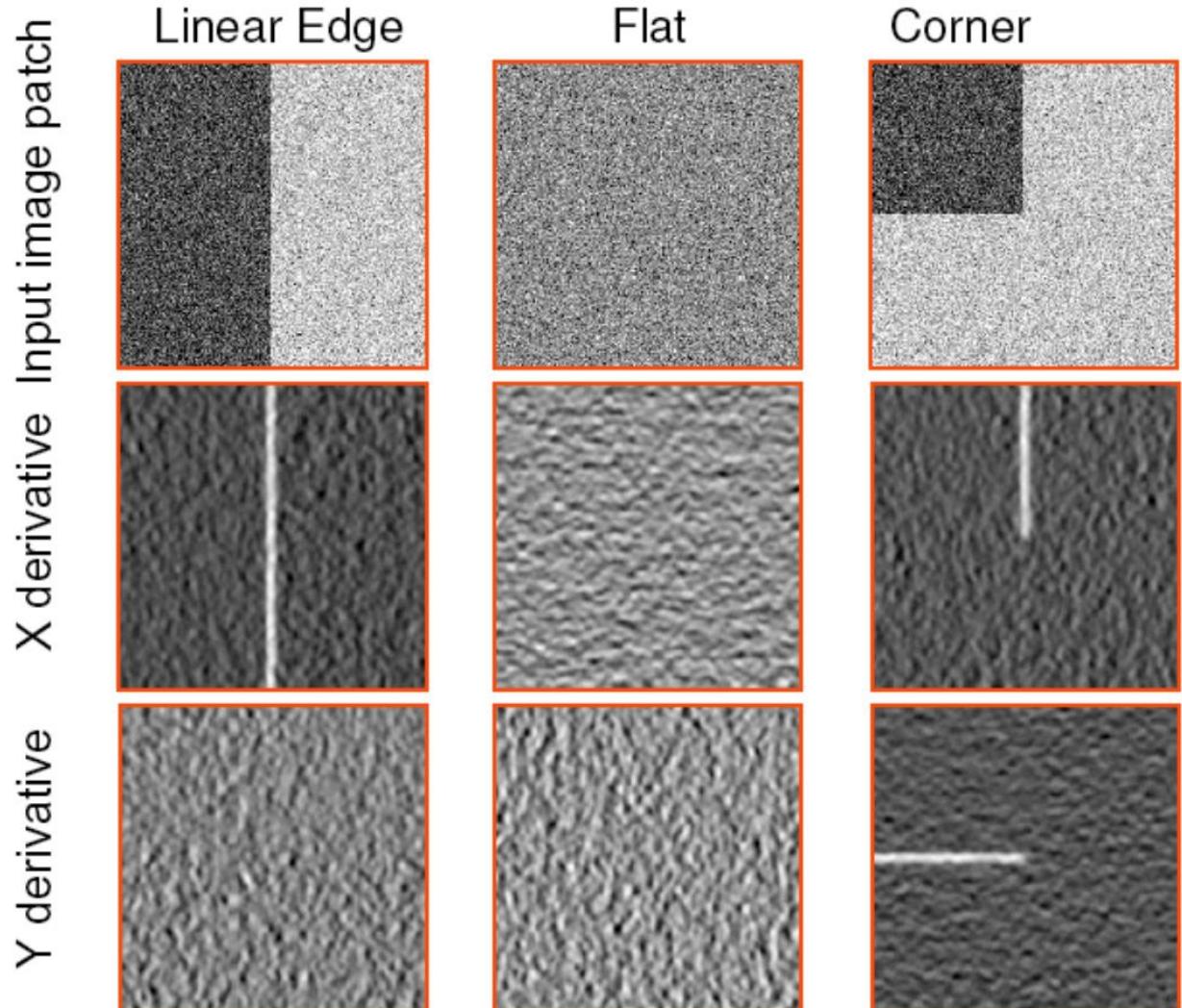
$$E(u, v) \approx [u \ v] M \begin{bmatrix} u \\ v \end{bmatrix}, \quad M = \sum_{x,y \in \Omega} \begin{bmatrix} I_x^2 & I_x I_y \\ I_x I_y & I_y^2 \end{bmatrix}$$

- $M$  is the **covariance matrix of the image gradient**

- Denote intensity gradient for location  $[x_i, y_i]$  as  $I_i = [I_{x_i}, I_{y_i}]^T \in \mathbb{R}^2$
- Covariance matrix  $M = \sum_i I_i I_i^T$
- However,
  - What does it actually mean?
  - How can we get feature points?



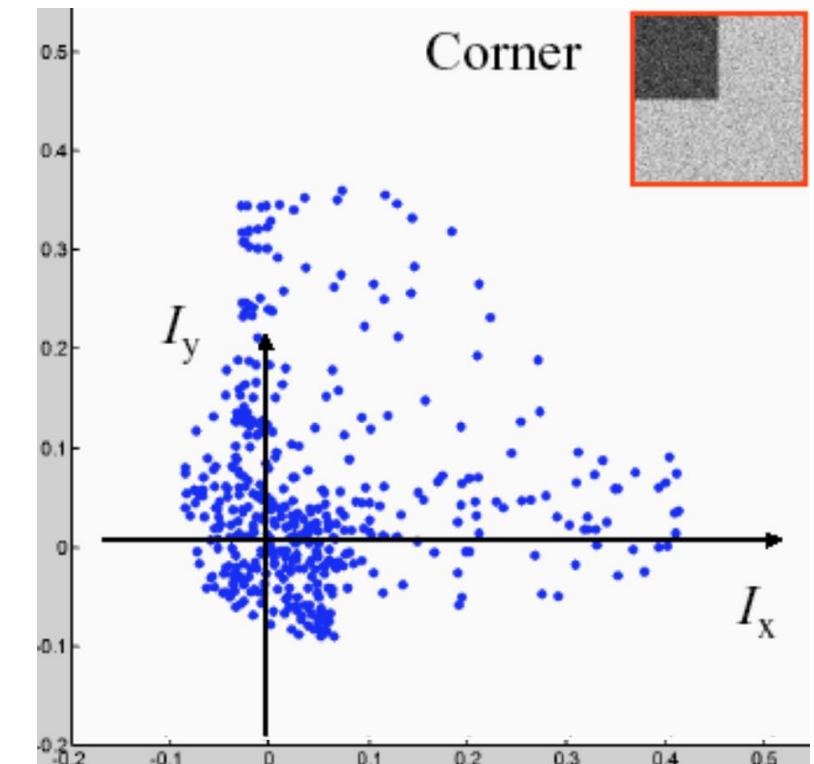
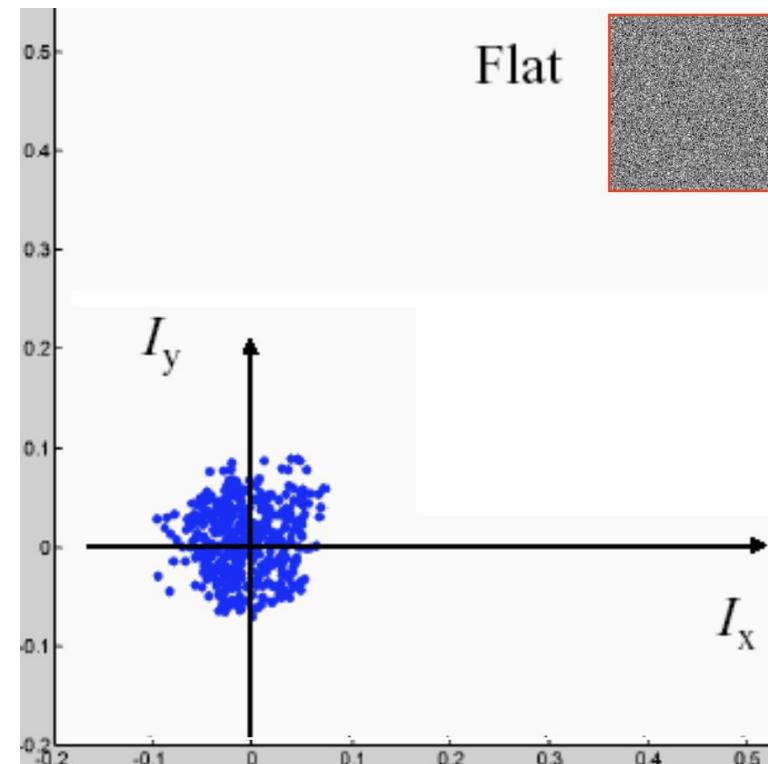
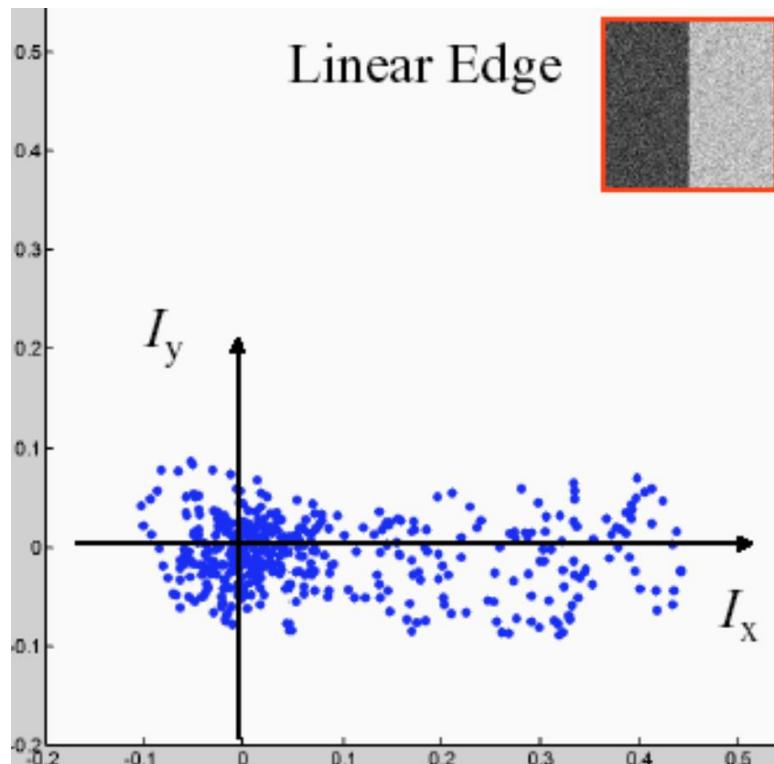
- Linear edge
  - $I_i = [I_{x_i}, 0]^T$
- Flat
  - $I_i = [0,0]^T$
- Corner
  - $I_i = [I_{x_i}, I_{y_i}]^T$





## Gradient $I_i$ as points

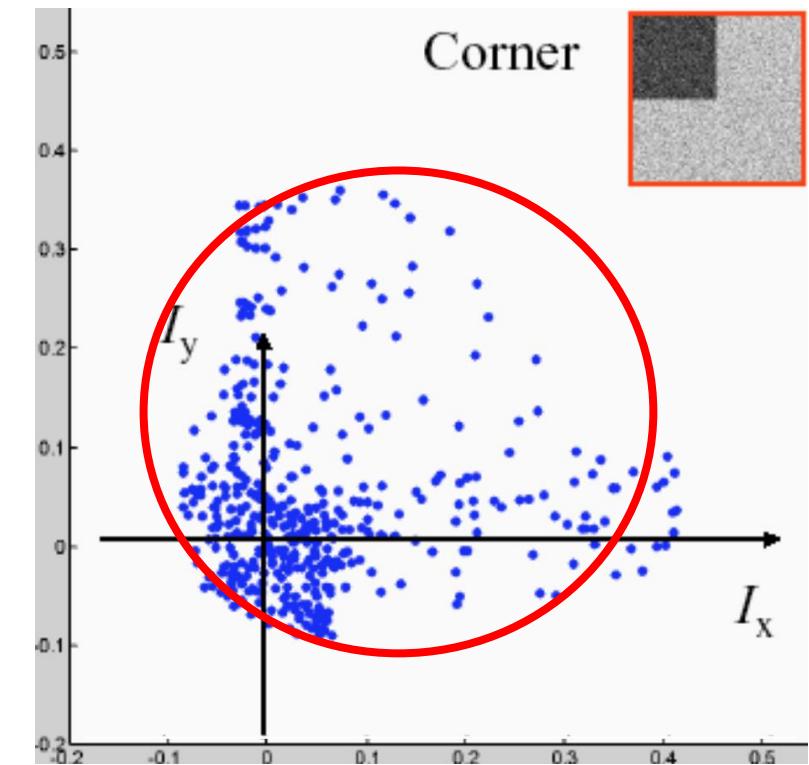
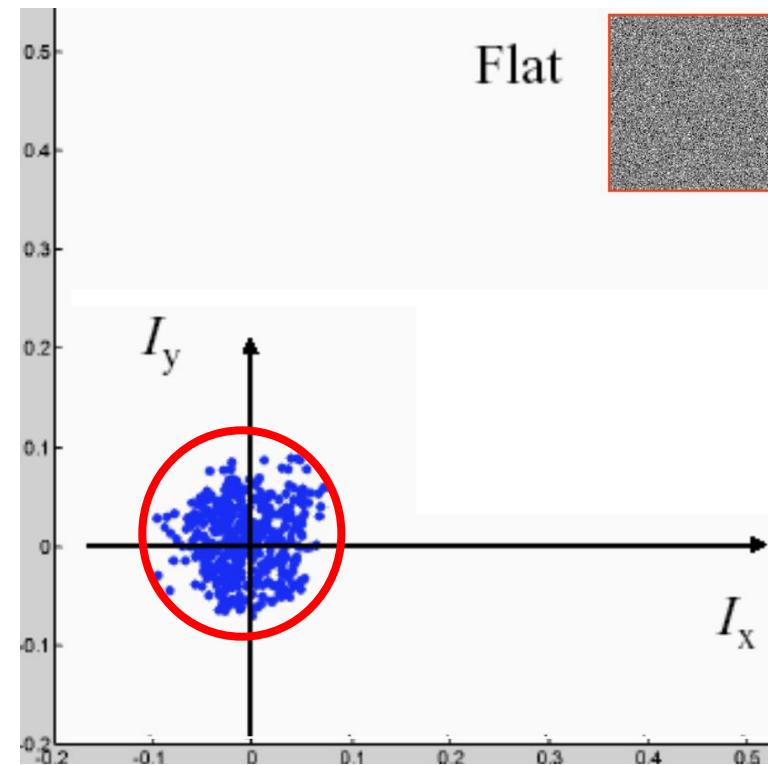
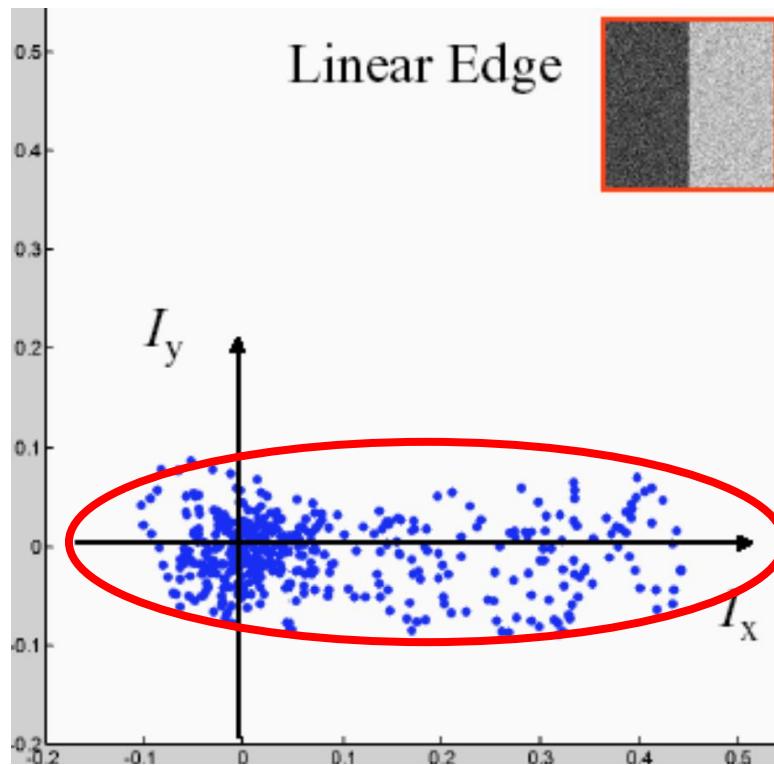
- Plot the gradient  $I_i = [I_{x_i}, I_{y_i}]^T$  for three case: Linear Edge, Flat, Corner





## Gradient $I_i$ as points

- We can distinguish these 3 cases by covariance matrix  $M$
- The eigenvalues of  $M$  are  $\lambda_1, \lambda_2$

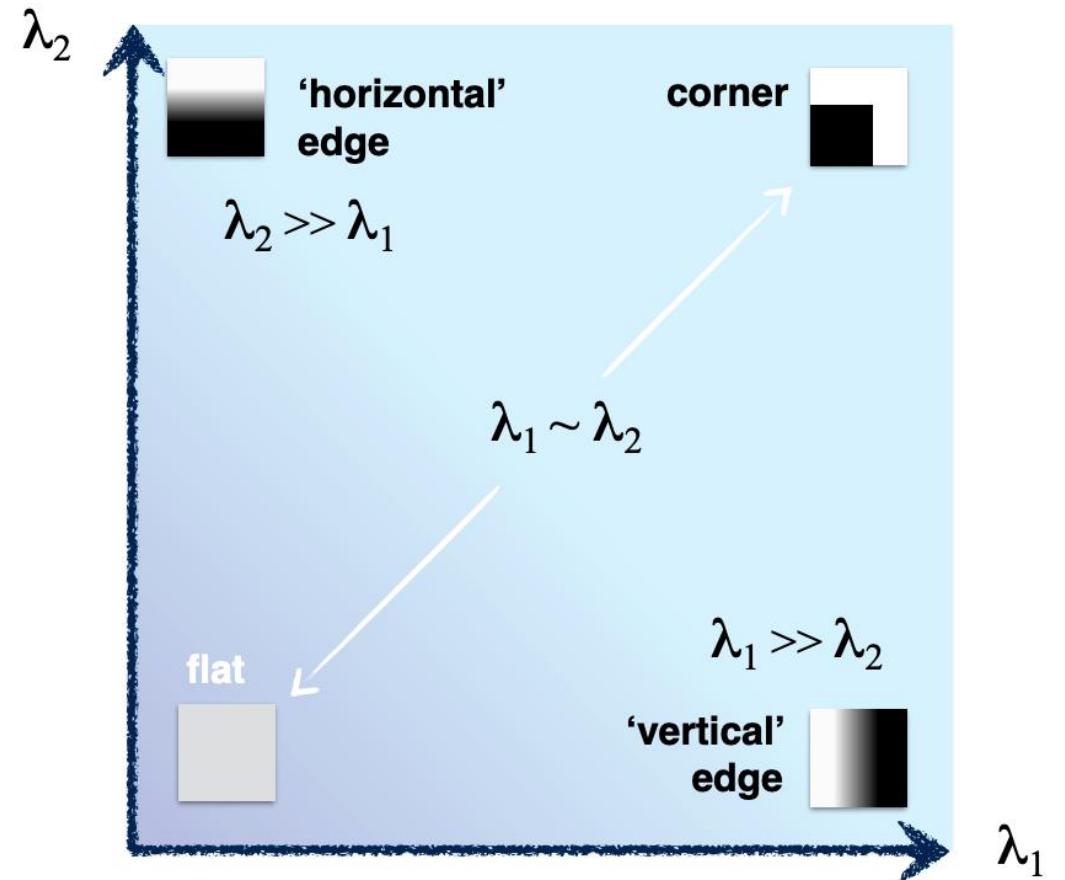




- The intensity change is

$$E(u, v) \approx [u \quad v] M \begin{bmatrix} u \\ v \end{bmatrix}, \quad M = \sum_{x,y \in \Omega} \begin{bmatrix} I_x^2 & I_x I_y \\ I_x I_y & I_y^2 \end{bmatrix}$$

- We want corner points
  - Large gradient along x  $\rightarrow I_i$  spreads along x
  - Large gradient along y  $\rightarrow I_i$  spreads along y
- Corner points – both  $\lambda_1, \lambda_2$  are large





## Response Function $R$

- Harris & Stephens (1988): A Combined Corner and Edge Detector

$$R = \det M - k(\text{trace } M)^2,$$

$$\det M = \lambda_1 \lambda_2$$

$$\text{trace } M = \lambda_1 + \lambda_2$$

$k \in [0.04, 0.06]$  (empirically determined *constant*)

- Kanade & Tomasi (1994): Good Features to Track

$$R = \min(\lambda_1, \lambda_2)$$

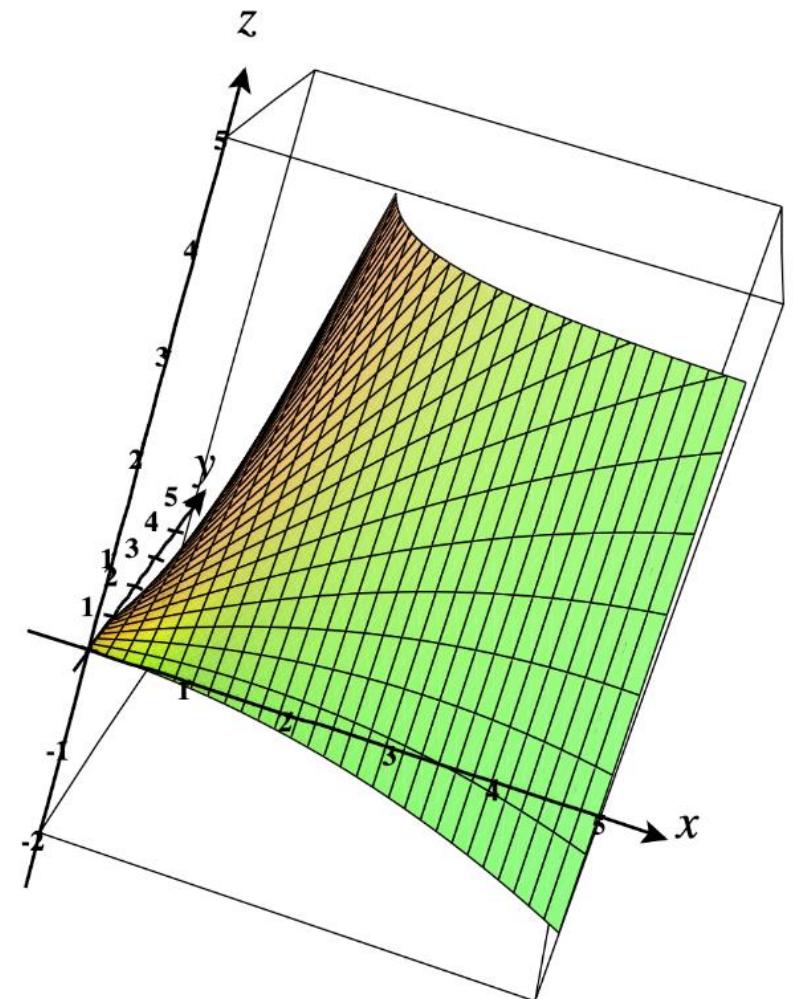
- Nobel (1998): Finding Corners.

$$R = \frac{\det M}{\text{trace}(M) + \epsilon}$$



## Response Function $R$

- Set a threshold  $\tau$  for  $R$ 
  - Corner:  $R \geq \tau$
- Harris Detector Algorithm
  - Compute  $R$  for every pixel in the image
  - Store result as a "response image"
  - Normalized the "response image" to e.g.  $[0, 1]$
  - Empirically set a  $\tau$
  - Non-Maximum Suppression (NMS)



Plot of Harris response  $R = \det M - k(\text{trace } M)^2$



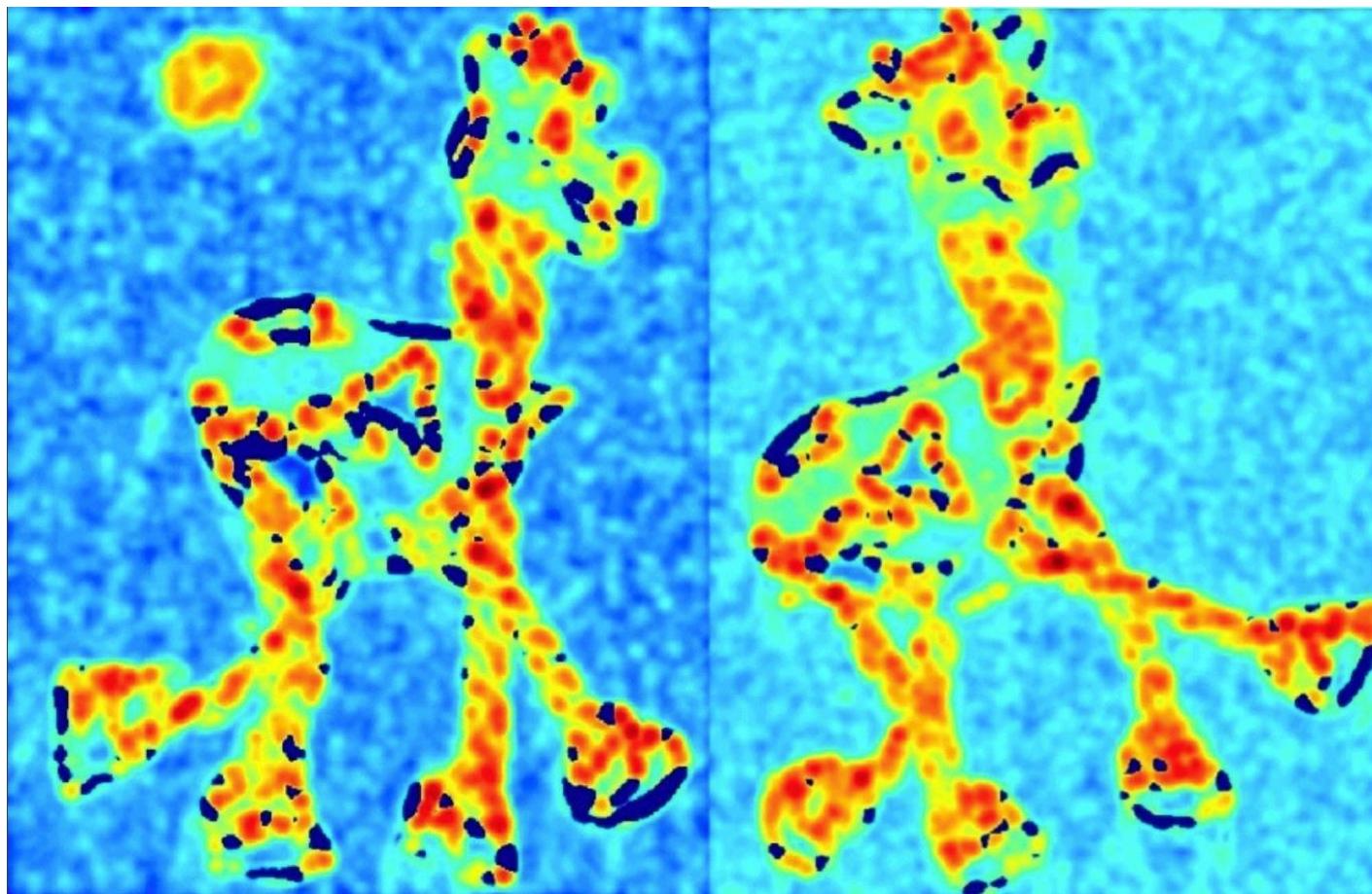
## Harris Corner Detector – Input Image



Source: 16-385 Computer Vision, CMU, Kris Kitani



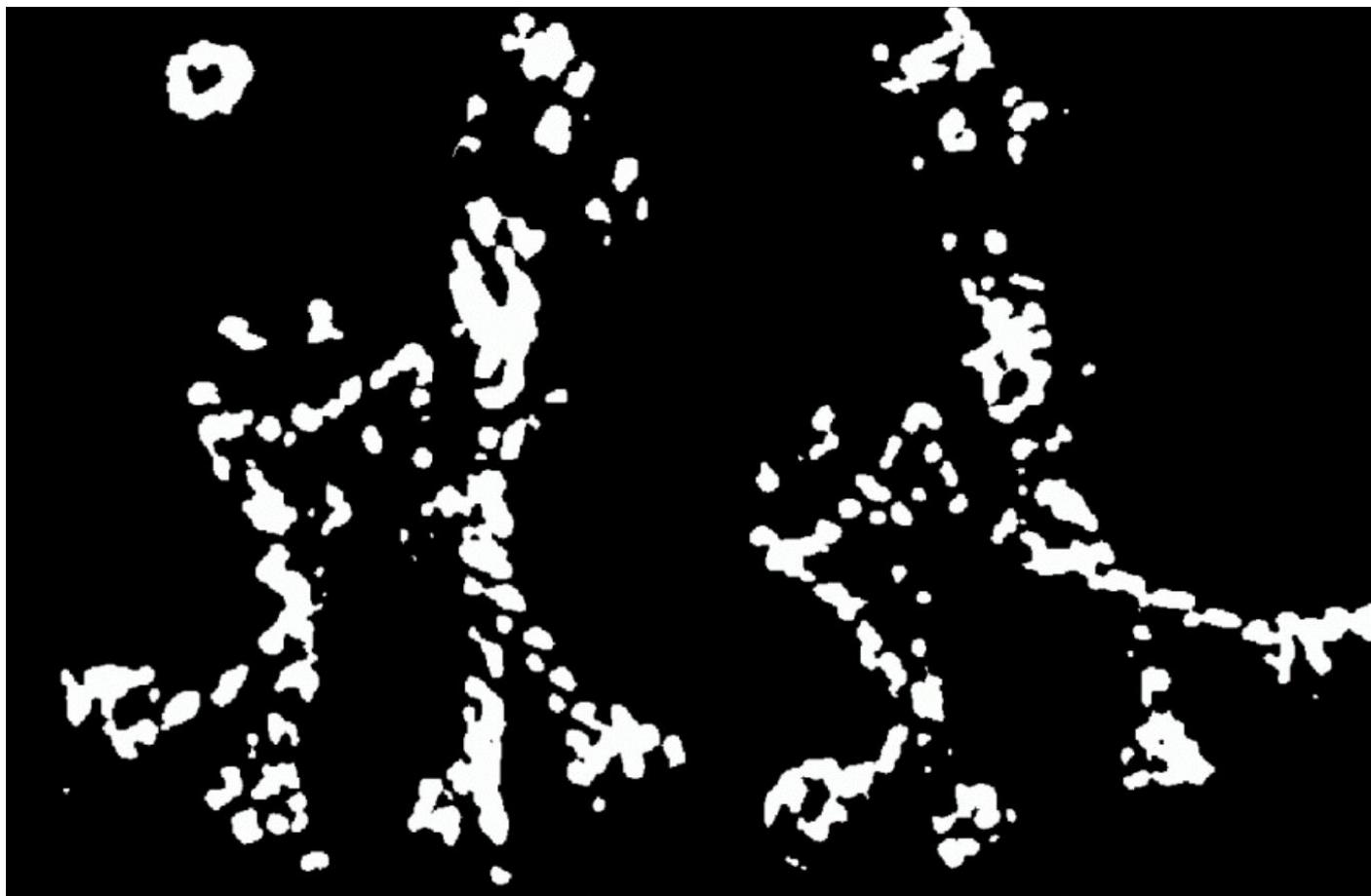
## Harris Corner Detector – Corner Response



Source: 16-385 Computer Vision, CMU, Kris Kitani



## Harris Corner Detector – Corner Response Thresholded



Source: 16-385 Computer Vision, CMU, Kris Kitani



## Harris Corner Detector – NMS



Source: 16-385 Computer Vision, CMU, Kris Kitani



## Harris Corner Detector – Detection Result



Source: 16-385 Computer Vision, CMU, Kris Kitani



## How to Extend Harris to Point Cloud?

- Harris Corner is based on **intensity change of a patch**
  - Move the patch, how much the intensity changes?

$$E(u, v) = [u \quad v] M \begin{bmatrix} u \\ v \end{bmatrix}, \quad M = \sum_{x,y \in \Omega} \begin{bmatrix} I_x^2 & I_x I_y \\ I_x I_y & I_y^2 \end{bmatrix}$$

Covariance of  
image gradient

- Assume there is intensity in the point cloud, how can we formulate a cost function?
  - Points are discrete.
  - What is a “patch” in point cloud?
  - How to “move a patch”?



## Harris 3D with Intensity

- For a local (small) region  $\Omega$  over a point
- Assume intensity is a continuous function

$$I(x, y, z): \mathbb{R}^3 \rightarrow \mathbb{R}, [x, y, z] \in \Omega$$

- Assume the **small “move”** is  $[u, v, w]$
- The intensity change is

$$E(u, v, w) = \sum_{x, y, z \in \Omega} [I(x + u, y + v, z + w) - I(x, y, z)]^2$$



- Cost function

$$E(u, v, w) = \sum_{x,y,z \in \Omega} [I(x + u, y + v, z + w) - I(x, y, z)]^2$$

- Apply first-order Taylor Series approximation, we have

$$E(u, v, w) = [u \ v \ w] M \begin{bmatrix} u \\ v \\ w \end{bmatrix}, \quad M = \sum_{x,y,z \in \Omega} \begin{bmatrix} I_x^2 & I_x I_y & I_x I_z \\ I_x I_y & I_y^2 & I_y I_z \\ I_x I_z & I_y I_z & I_z^2 \end{bmatrix}$$

*M* is the covariance matrix of intensity over the surface.

- How to compute  $I_x, I_y, I_z$ ?



- Denote the point we are analyzing is  $p = [p_x, p_y, p_z]^T$
- In the neighborhood  $\Omega$ , there are points  $\{\mathbf{x}_i = [x_i, y_i, z_i]\}$
- The intensity gradient around  $p$  is a vector  $\mathbf{e} = [e_x, e_y, e_z]^T \in \mathbb{R}^3$ 
  - Direction of  $e$  is the direction of **greatest intensity increase**
  - Magnitude  $\|e\|$  is the rate of intensity change
- Ideally, there will be:

$$(x_1 - p_x)e_x + (y_1 - p_y)e_y + (z_1 - p_z)e_z = I(x_1, y_1, z_1) - I(p_x, p_y, p_z)$$

$$\dots = \dots$$

$$(x_i - p_x)e_x + (y_i - p_y)e_y + (z_i - p_z)e_z = I(x_i, y_i, z_i) - I(p_x, p_y, p_z)$$

$$\dots = \dots$$



- Scalar form

$$(x_i - p_x)e_x + (y_i - p_y)e_y + (z_i - p_z)e_z = I(x_i, y_i, z_i) - I(p_x, p_y, p_z)$$

- Vector form

$$\mathbf{x}'_i^T \mathbf{e} = \Delta I_i,$$

$$\mathbf{x}'_i = [x'_i, y'_i, z'_i]^T = [x_i - p_x, y_i - p_y, z_i - p_z]^T$$

- Matrix form

$$A\mathbf{e} = \mathbf{b}, \quad A = \begin{bmatrix} x'_1 & y'_1 & z'_1 \\ \vdots & \vdots & \vdots \\ x'_i & y'_i & z'_i \\ \vdots & \vdots & \vdots \end{bmatrix}, \quad \mathbf{b} = \begin{bmatrix} \Delta I_1 \\ \vdots \\ \Delta I_i \\ \vdots \end{bmatrix}$$



- We want to find the intensity gradient  $\mathbf{e}$  that satisfies

$$A\mathbf{e} = \mathbf{b}, \quad A = \begin{bmatrix} x'_1 & y'_1 & z'_1 \\ \vdots & \vdots & \vdots \\ x'_i & y'_i & z'_i \\ \vdots & \vdots & \vdots \end{bmatrix}, \quad \mathbf{b} = \begin{bmatrix} \Delta I_1 \\ \vdots \\ \Delta I_i \\ \vdots \end{bmatrix}$$

- Which is impossible in when number of point  $> 3$ , so actually,

$$\min_{\mathbf{e}} \|A\mathbf{e} - \mathbf{b}\|_2^2$$

- Solution given by  $\mathbf{e} = (A^T A)^{-1} A^T \mathbf{b}$



- Come back to the Harris 3D with intensity

$$E(u, v, w) = [u \quad v \quad w] M \begin{bmatrix} u \\ v \\ w \end{bmatrix}, \quad M = \sum_{x,y,z \in \Omega} \begin{bmatrix} I_x^2 & I_x I_y & I_x I_z \\ I_x I_y & I_y^2 & I_y I_z \\ I_x I_z & I_y I_z & I_z^2 \end{bmatrix}$$

- Now we know  $\mathbf{e} = [I_x, I_y, I_z]$
- One more thing – optionally we can project  $\mathbf{e}$  onto local surface.



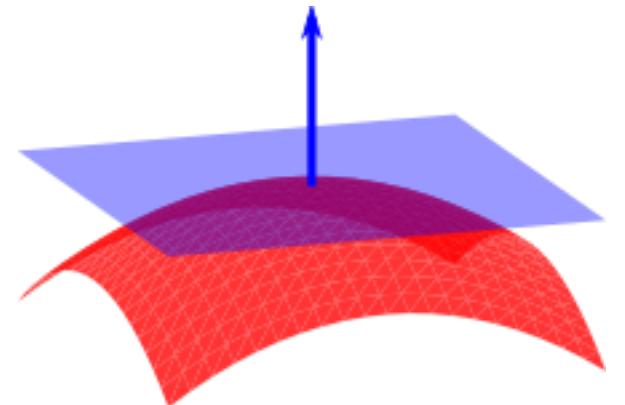
- In many cases, point cloud is from scanning, i.e., points are on the surface of the object/environment
- For every point  $p$ , we can **fit a surface** over the local (small) neighborhood.

$$f(x, y, z) = 0$$

- Using first-order approximation, the surface becomes a plane

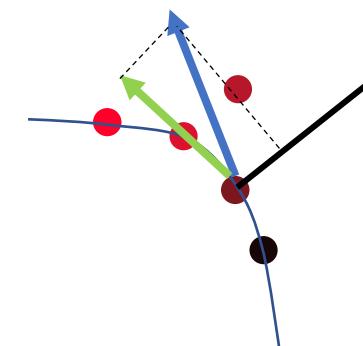
$$ax + by + cz + d = 0$$

Surface normal of  $p$ :  $\mathbf{n} = [n_x, n_y, n_z]^T = \frac{[a, b, c]^T}{\|[a, b, c]^T\|_2}$





- Projecting intensity gradient  $\mathbf{e}$  onto the surface may reduce the effect of noise
  - Look at the 2D example
  - Color represents intensity, red – large, black – small
  - Blue line -  $\mathbf{e}$
  - Green line -  $\mathbf{e}$  projected onto the surface (this case it is a curve)  $\rightarrow \mathbf{e}'$
  - Black line – surface normal  $\mathbf{n}$
- How to project  $\mathbf{e}$  onto surface/curve?
  - $\mathbf{e}' = \mathbf{e} - \mathbf{n}(\mathbf{n}^T \mathbf{e}) = \mathbf{e} - \mathbf{n}(\mathbf{e}^T \mathbf{n})$



Projection onto the surface normal



- The intensity change function

$$E(u, v, w) = [u \ v \ w] M \begin{bmatrix} u \\ v \\ w \end{bmatrix}, \quad M = \sum_{x,y,z \in \Omega} \begin{bmatrix} I_x^2 & I_x I_y & I_x I_z \\ I_x I_y & I_y^2 & I_y I_z \\ I_x I_z & I_y I_z & I_z^2 \end{bmatrix}$$

- Now, how to compute corner response?
- Kanade & Tomasi (1994):  $R = \lambda_3$ 
  - assume eigenvalues are sorted from large to small
- However,  $R = \lambda_2$  is also valid.
  - Intensity corner on a surface is still a corner.





- Harris on image

$$E(u, v) = [u \quad v] M \begin{bmatrix} u \\ v \end{bmatrix}, \quad M = \sum_{x,y \in \Omega} \begin{bmatrix} I_x^2 & I_x I_y \\ I_x I_y & I_y^2 \end{bmatrix}$$

Covariance of  
image gradient

- Harris on point cloud with intensity

$$E(u, v, w) = [u \quad v \quad w] M \begin{bmatrix} u \\ v \\ w \end{bmatrix}, \quad M = \sum_{x,y,z \in \Omega} \begin{bmatrix} I_x^2 & I_x I_y & I_x I_z \\ I_x I_y & I_y^2 & I_y I_z \\ I_x I_z & I_y I_z & I_z^2 \end{bmatrix}$$

Covariance of  
intensity gradient

- How about point cloud without intensity? Still Covariance!



- A local surface around  $p$  is

$$f(x, y, z) = 0$$

- Similarly, we construct a cost function

$$E(u, v, w) = \sum_{x, y, z \in \Omega} [f(x + u, y + v, z + w) - f(x, y, z)]^2$$

- But, what does it mean?
  - $f(x, y, z) = 0 \rightarrow$  point  $[x, y, z]$  on the surface
  - Move it by  $[u, v, w]$ , is it still on the surface?
    - Likely no.
    - Then, how far is it from the surface  $f(x, y, z) = 0$ ?



- Again, first-order approximation. A local surface around  $p$  becomes a plane.

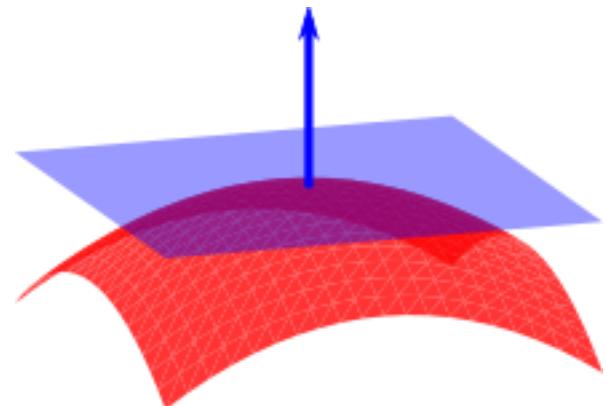
- The surface normal of  $p$  is  $n = [n_x, n_y, n_z]^T$
- $ax + by + cz + d = 0$ , where  $a = n_x, b = n_y, c = n_z$

- What is  $ax' + by' + cz' + d,$

$$x' = x + u, y' = y + v, z' = z + w$$

- Distance of  $[x', y', z']$  to the plane!
- Why?

$$\text{dist}(\text{point}, \text{plane}) = \frac{ax' + by' + cz' + d}{\sqrt{a^2 + b^2 + c^2}} = \frac{ax' + by' + cz' + d}{1}$$





- Cost function

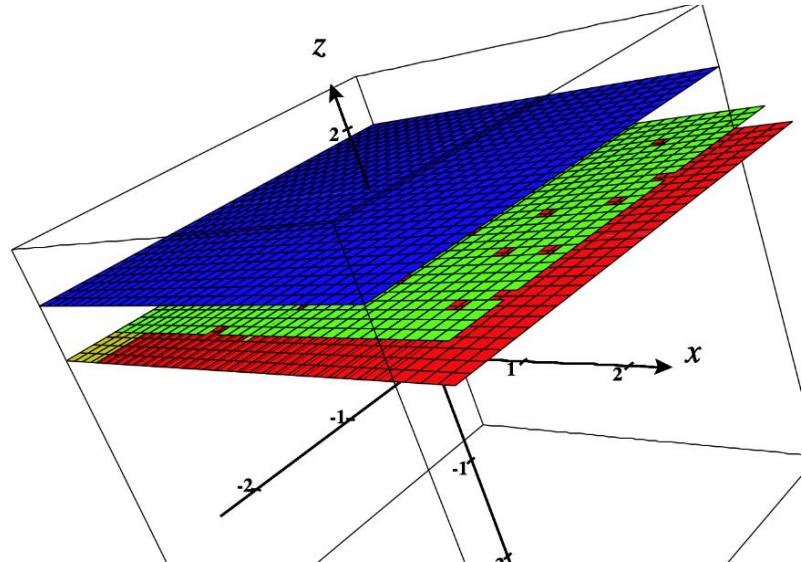
$$E(u, v, w) = \sum_{x,y,z \in \Omega} [f(x + u, y + v, z + w) - f(x, y, z)]^2$$

- Intuitively, what is that?
  - Move a point by  $[u, v, w]$ , how far is the new point to the surface?

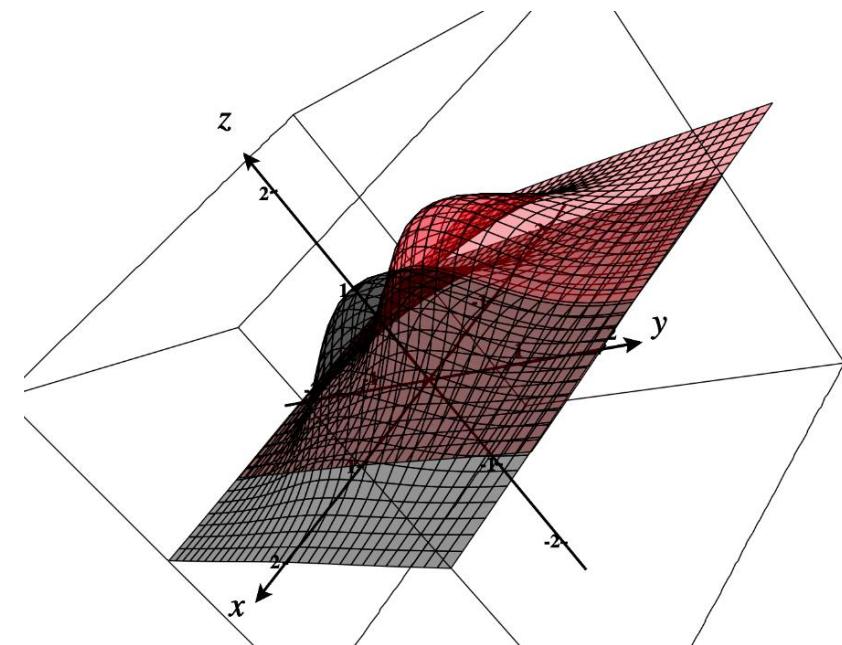


## 3D Harris Without Intensity

- Example 1: points distributed over a x-y plane
  - Points in  $\Omega$  change cost  $E$  only with  $w$  (along z direction)



- Example 2: points distributed over some shape
  - Points in  $\Omega$  change cost  $E$  in various directions.





- Cost function

$$E(u, v, w) = \sum_{x, y, z \in \Omega} [f(x + u, y + v, z + w) - f(x, y, z)]^2$$

$$f(x, y, z) = ax + by + cz + d = n_x x + n_y y + n_z z + d$$

- Apply first-order Taylor Series approximation, we have

$$E(u, v, w) = [u \quad v \quad w] M \begin{bmatrix} u \\ v \\ w \end{bmatrix}, \quad M = \sum_{x, y, z \in \Omega} \begin{bmatrix} n_x^2 & n_x n_y & n_x n_z \\ n_x n_y & n_y^2 & n_y n_z \\ n_x n_z & n_y n_z & n_z^2 \end{bmatrix}$$

- $M$  is the **covariance matrix of surface normal over the surface**.



- With intensity. Tomasi Response  $R = \lambda_2$

$$E(u, v, w) = [u \ v \ w] M \begin{bmatrix} u \\ v \\ w \end{bmatrix}, \quad M = \sum_{x,y,z \in \Omega} \begin{bmatrix} I_x^2 & I_x I_y & I_x I_z \\ I_x I_y & I_y^2 & I_y I_z \\ I_x I_z & I_y I_z & I_z^2 \end{bmatrix}$$

Covariance of intensity gradient

- Without intensity. Tomasi Response  $R = \lambda_3$

$$E(u, v, w) = [u \ v \ w] M \begin{bmatrix} u \\ v \\ w \end{bmatrix}, \quad M = \sum_{x,y,z \in \Omega} \begin{bmatrix} n_x^2 & n_x n_y & n_x n_z \\ n_x n_y & n_y^2 & n_y n_z \\ n_x n_z & n_y n_z & n_z^2 \end{bmatrix}$$

Covariance of surface normal



- Covariance matrix of  $[I_x, I_y, I_z, n_x, n_y, n_z]$

$$M = \sum_{x,y,z \in \Omega} \begin{bmatrix} I_x^2 & I_x I_y & I_x I_z & I_x n_x & I_x n_y & I_x n_z \\ I_x I_y & I_y^2 & I_y I_z & I_y n_x & I_y n_y & I_y n_z \\ I_x I_z & I_y I_z & I_z^2 & I_z n_x & I_z n_y & I_z n_z \\ n_x I_x & n_x I_y & n_x I_z & n_x^2 & n_x n_y & n_x n_z \\ n_y I_x & n_y I_y & n_y I_z & n_x n_y & n_y^2 & n_y n_z \\ n_z I_x & n_z I_y & n_z I_z & n_x n_z & n_y n_z & n_z^2 \end{bmatrix}$$

- Tomasi Response  $R = \lambda_4$ 
  - $R = \lambda_3$  is too loose
    - E.g., Harris 6D is a superset of Harris 3D without intensity when  $R = \lambda_3$
  - $R = \lambda_5$  is too strict
    - E.g., A corner has to be a geometric corner **AND** intensity corner at the same time.



## Harris Family Summary

	<b>Input</b>	<b>Covariance Matrix</b>	<b>Criteria</b>	<b>Intuition</b>
Harris Image	Image	Intensity gradient	$\lambda_2$ is small	Intensity corners
Harris 3D	PC with Intensity	Intensity gradient	$\lambda_2$ is small	Intensity corners in local surface
Harris 3D	PC	Surface normals	$\lambda_3$ is small	Corners in 3D space
Harris 6D	PC with Intensity	Intensity gradient + surface normals	$\lambda_4$ is small	Corners in either 3D space / local surface intensity



- Harris Corners 3D/6D are extensions of image based Harris corners.
- How about native methods for point cloud?
- Intrinsic Shape Signatures (ISS): A Shape Descriptor for 3D Object Recognition
  - Keypoints are those have large 3D point variations in their neighborhood
  - Simple, Principle Component Analysis (PCA)
  - The smallest eigenvalue of the covariance matrix should be large.

- Given a point  $p_i \in \mathbb{R}^3$ , compute its **weighted covariance matrix** over a radius  $r$ 
  - Points at sparse regions contribute more than those at dense regions
- 1. The weight of any point  $p_j$  is
  - $w_j = \frac{1}{|\{p_k: \|p_k - p_j\|_2 < r\}|}$
  - Inversely related to number of points in its neighborhood within  $r$
- 2. Weighted covariance matrix:

$$Cov(p_i) = \frac{\sum_{\|p_j - p_i\|_2 < r} w_j (p_j - p_i)(p_j - p_i)^T}{\sum_{\|p_j - p_i\|_2 < r} w_j}$$



4. Compute eigenvalues of  $Cov(p_i)$  as  $\lambda_i^1, \lambda_i^2, \lambda_i^3$ , in the order decreasing magnitude
5.  $p_i$  is a keypoint if

$$\frac{\lambda_i^2}{\lambda_i^1} < \gamma_{21} \text{ and } \frac{\lambda_i^3}{\lambda_i^2} < \gamma_{32}$$

- A flat surface can be  $\lambda_i^1 = \lambda_i^2 > \lambda_i^3$
  - A line can be  $\lambda_i^1 > \lambda_i^2 = \lambda_i^3$
  - So we have to ensure  $\lambda_i^1 > \lambda_i^2 > \lambda_i^3$
6. Non-Maximum Suppression (NMS) with  $\lambda_i^3$

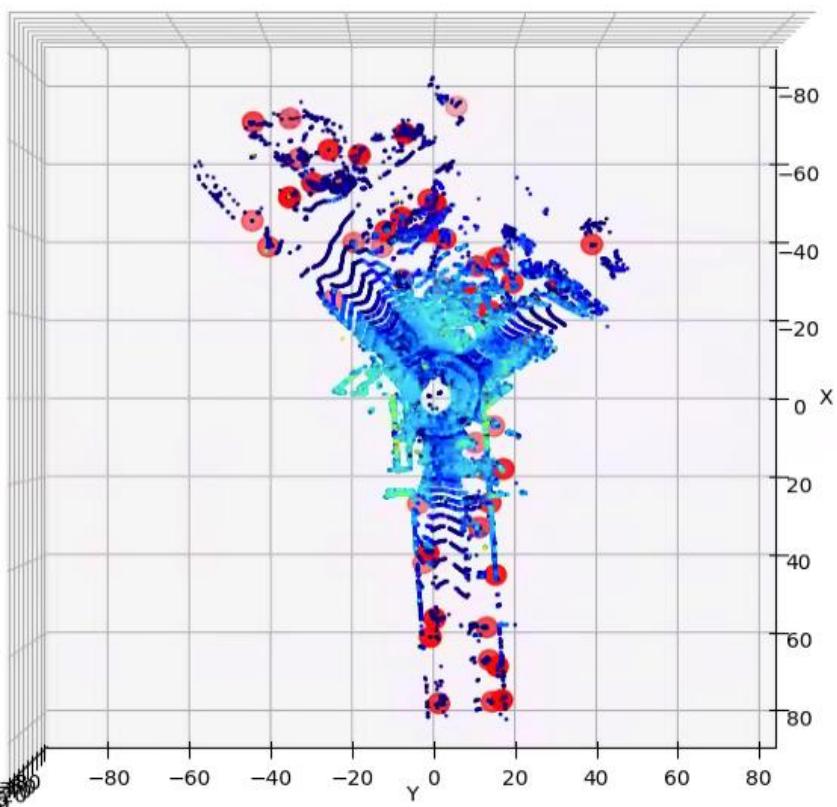


	Input	Covariance Matrix	Criteria	Intuition
Harris Image	Image	Intensity gradient	$\lambda_2$ is small	Intensity corners
Harris 3D	PC with Intensity	Intensity gradient	$\lambda_2$ is small	Intensity corners in local surface
Harris 3D	PC	Surface normals	$\lambda_3$ is small	Corners in 3D space
Harris 6D	PC with Intensity	Intensity gradient + surface normals	$\lambda_4$ is small	Corners in either 3D space / local surface intensity
ISS	PC	Weight point coordinates	$\frac{\lambda_i^2}{\lambda_i^1} < \gamma_{21}, \frac{\lambda_i^3}{\lambda_i^2} < \gamma_{32}$	Point distribution is different in 3 dimensions

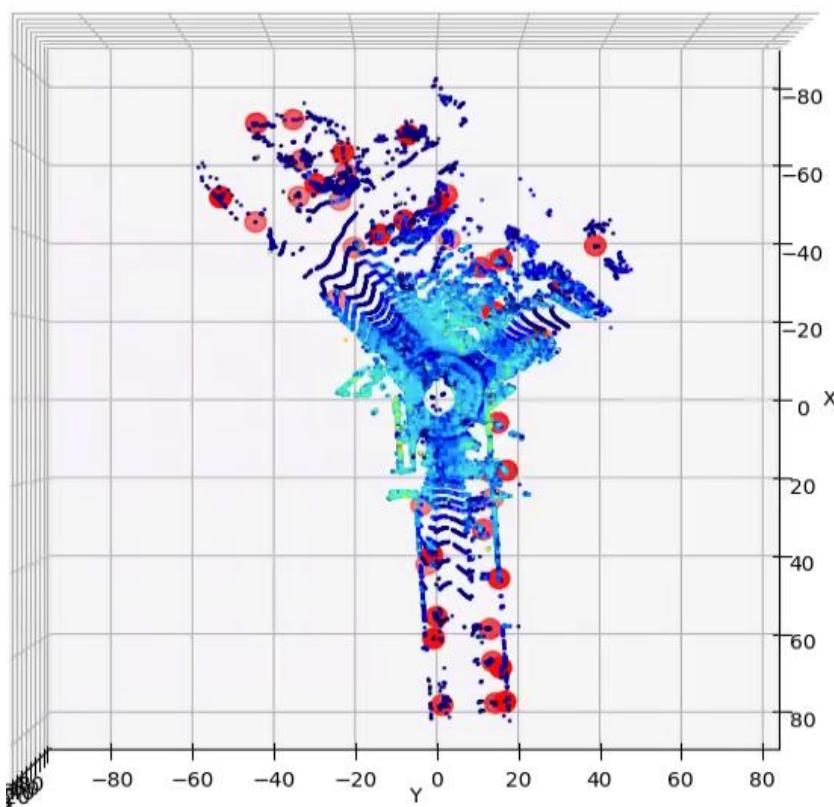


## Examples - KITTI

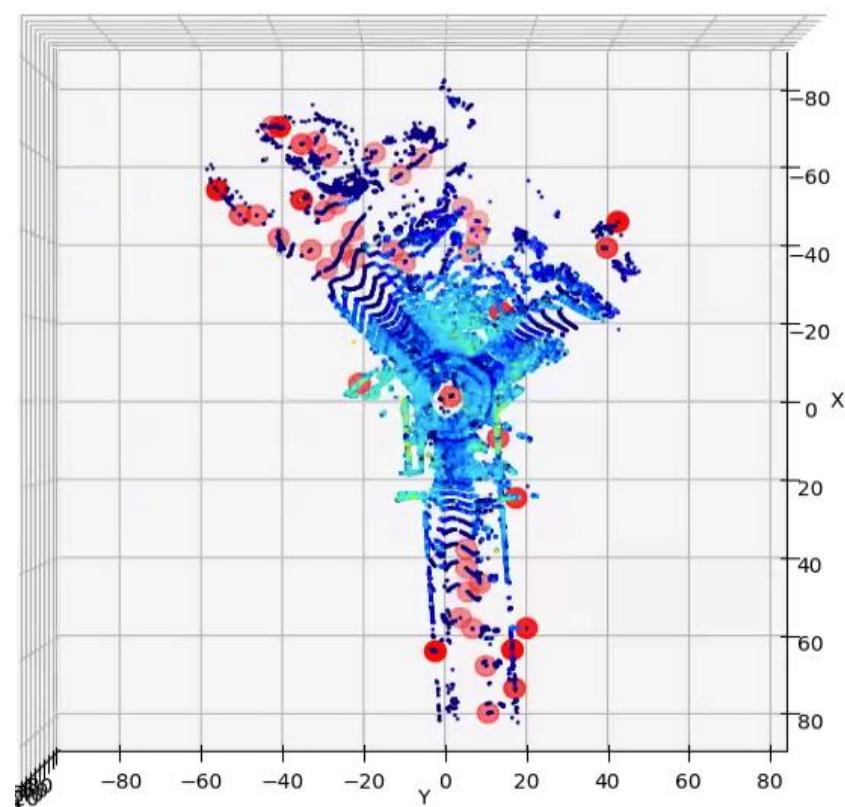
Harris 3D  
without intensity



Harris 6D  
with intensity



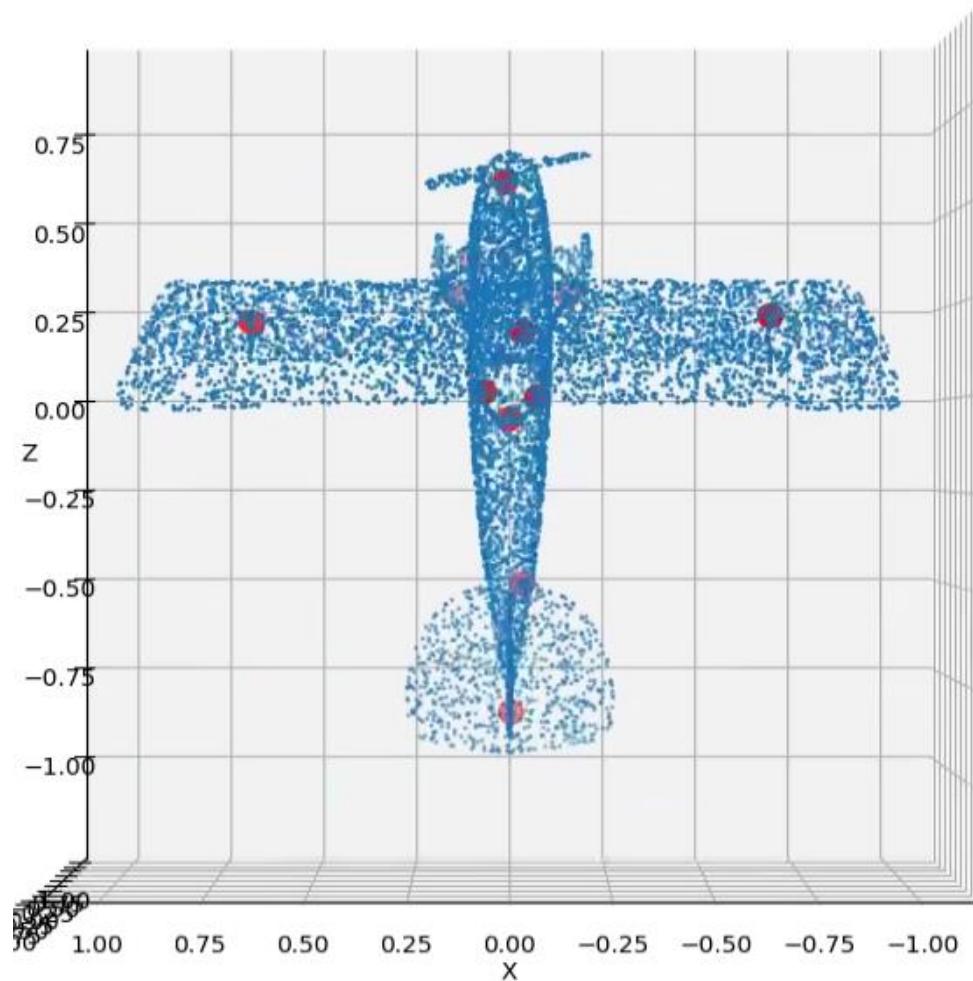
ISS



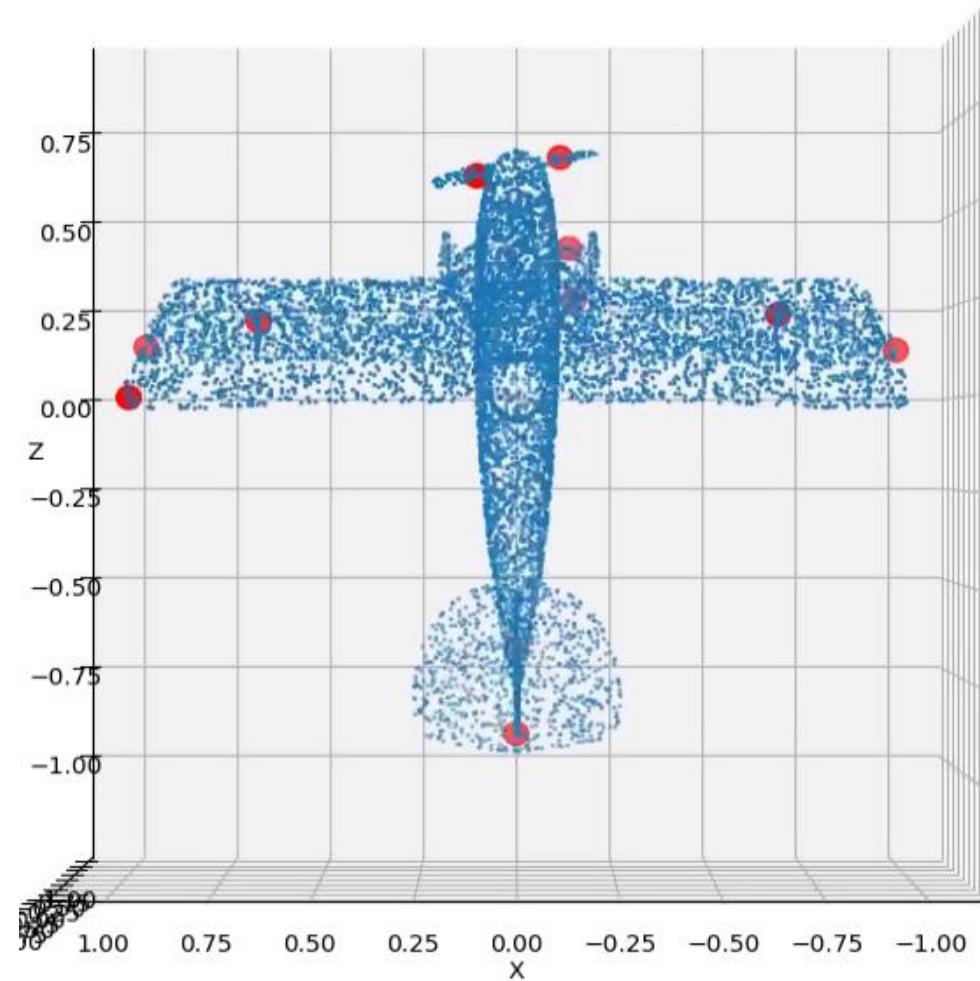


## Examples – ModelNet

Harris 3D  
without intensity



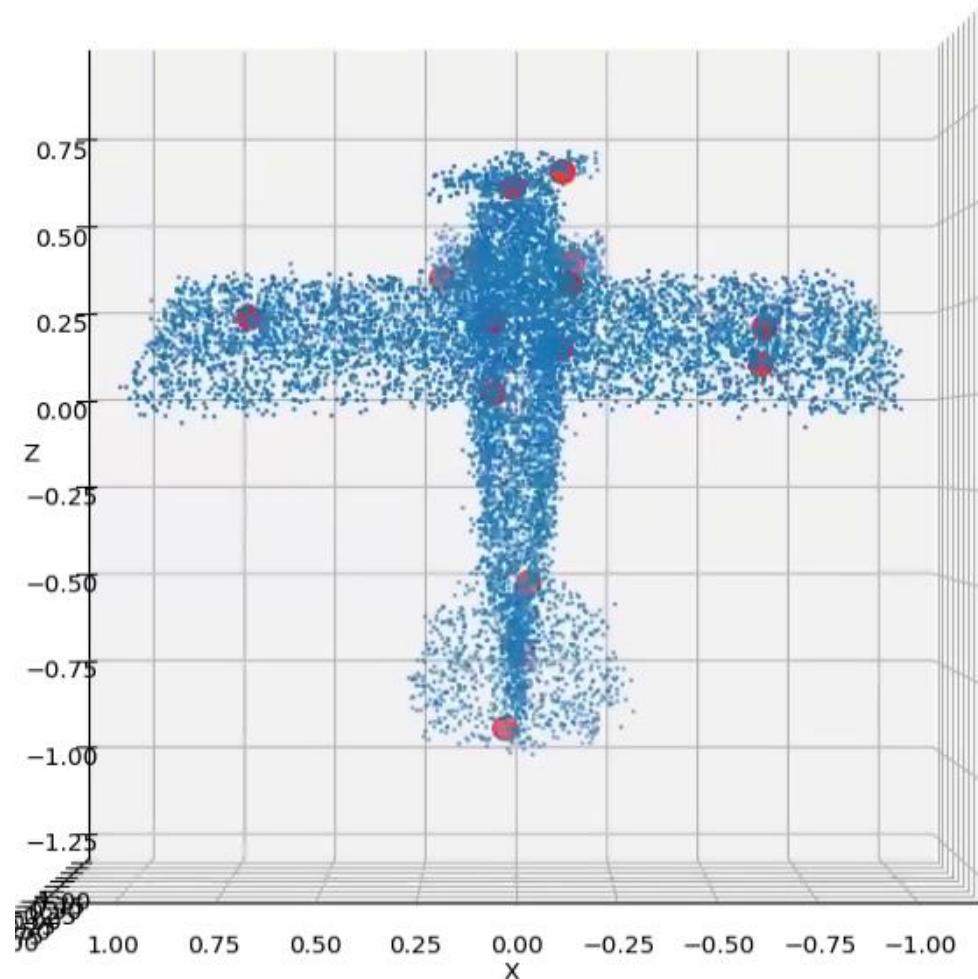
ISS



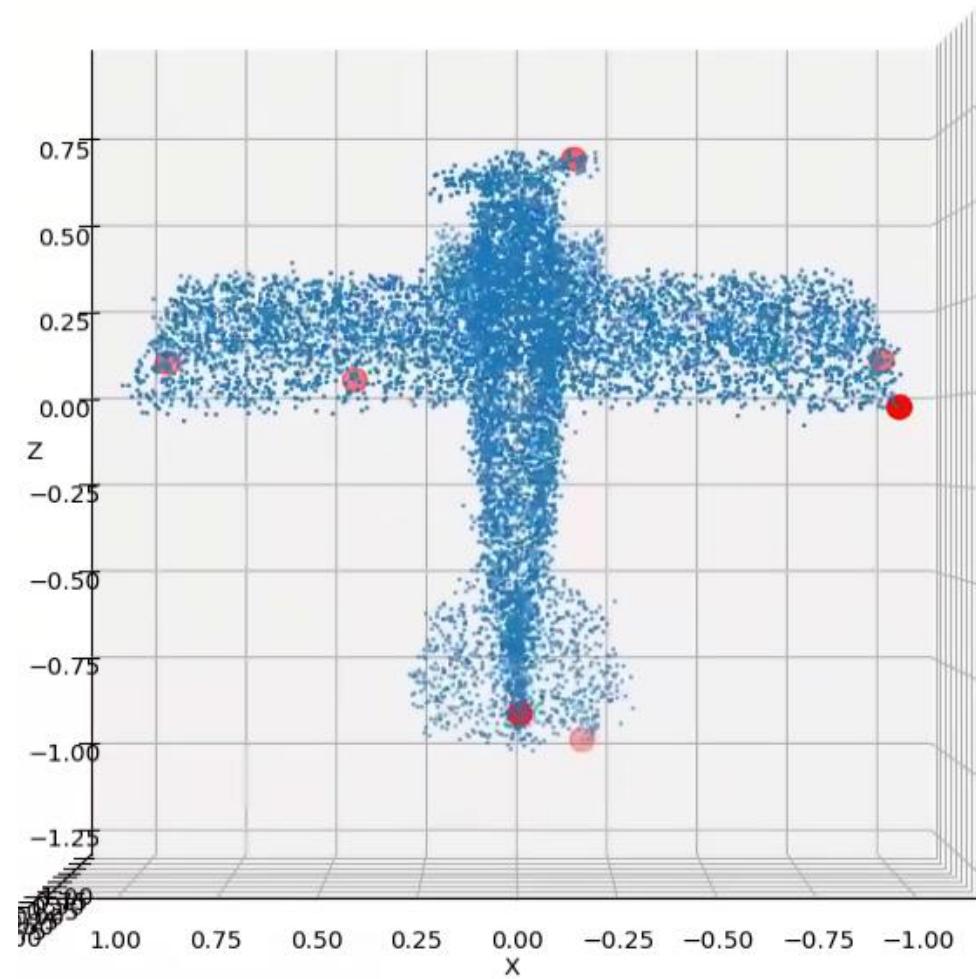


## Examples – ModelNet with Noise

Harris 3D  
without intensity

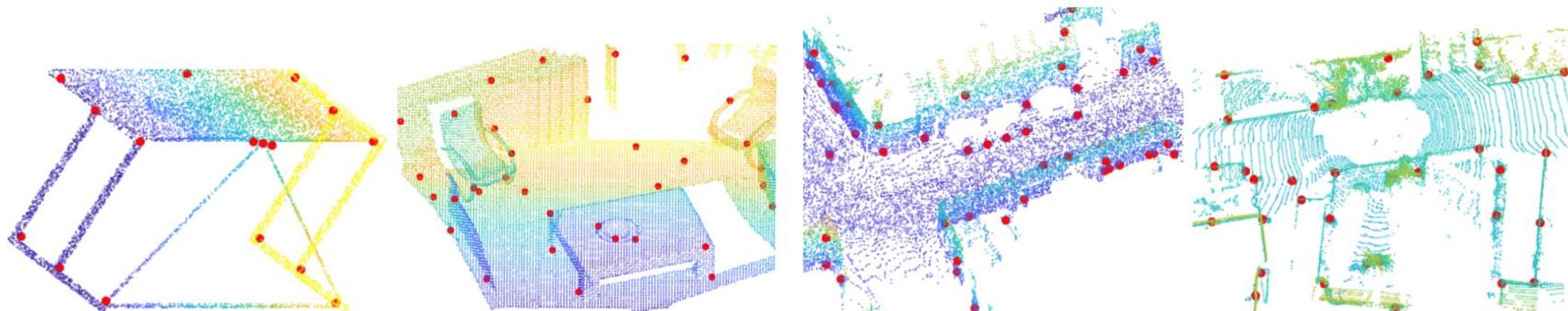


ISS





- Very few deep learning method for feature detection in point cloud
  - Definition of “feature” is unclear.
  - No annotation/dataset available.
  - Other difficulties of point cloud processing
    - Rotation equivariance.
    - Sparsity
    - ... ...
- Probably there are only 2 methods before 2020.
  - USIP: **Unsupervised** Stable Interest Point Detection from 3D Point Clouds
  - 3DFeat-Net: **Weakly Supervised** Local 3D Features for Point Cloud Registration

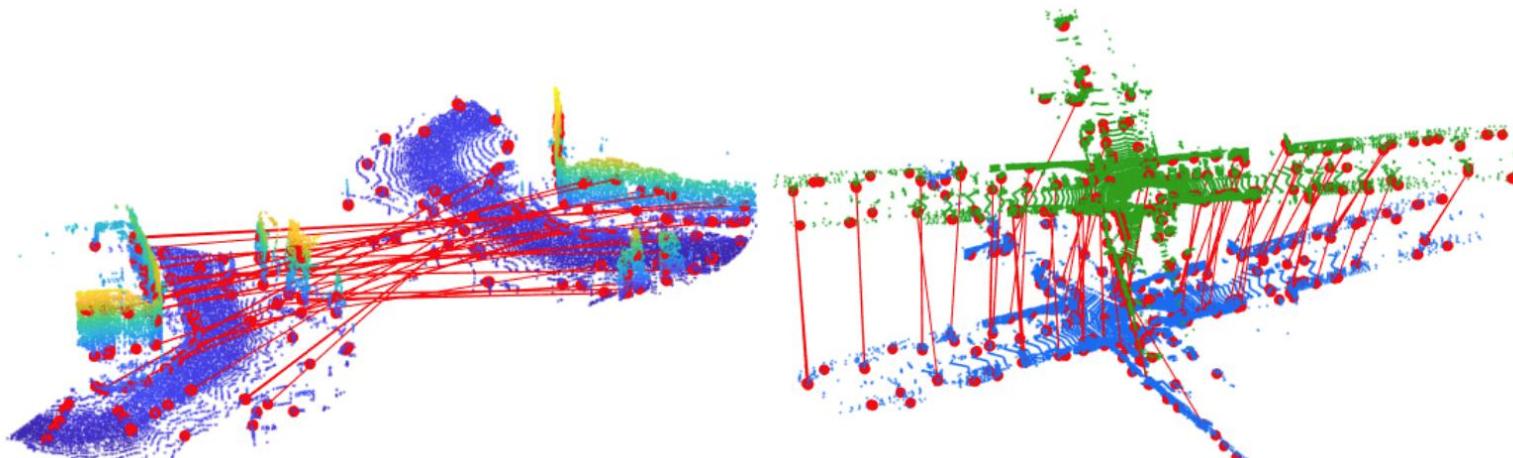


CAD Model

RGBD

Oxford Robotcar

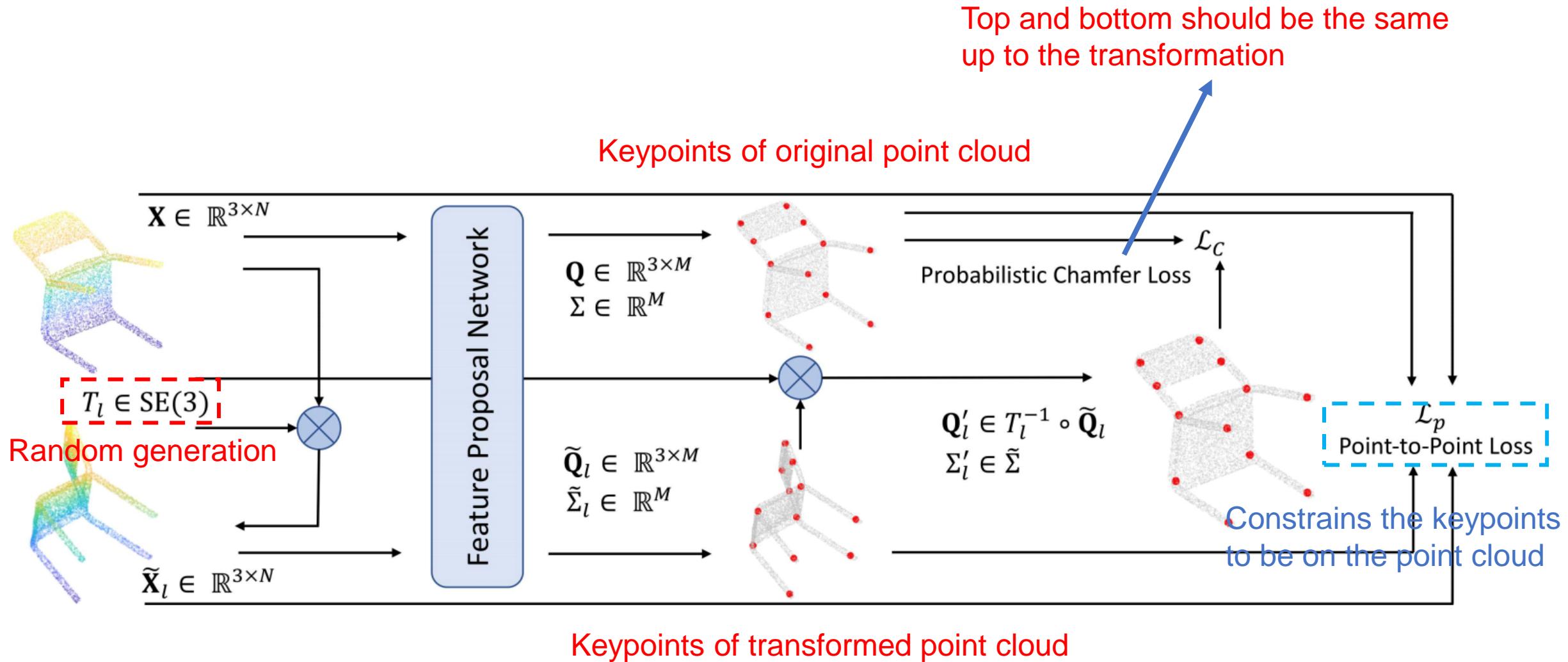
KITTI



Description and Matching



- Unsupervised – Let the network decide what is a keypoint
- Intuition
  - A keypoint is still a keypoint no matter how we transform the object.
  - The concept “keypoint” depends on scale / level-of-detail
    - The center of an object is a keypoint, if we look at a large scale
    - The textures of the tire are keypoints if we look at the surface of the tire, not keypoints if we are at the scale of the vehicle





- Notations

- Point cloud  $X = [X_1, \dots, X_N] \in \mathbb{R}^{3 \times N}$
- Transformation matrix  $T \in SE(3)$ , i.e.,  $T = \begin{bmatrix} R & t \\ 0 & 1 \end{bmatrix} \in \mathbb{R}^{4 \times 4}$

- Input

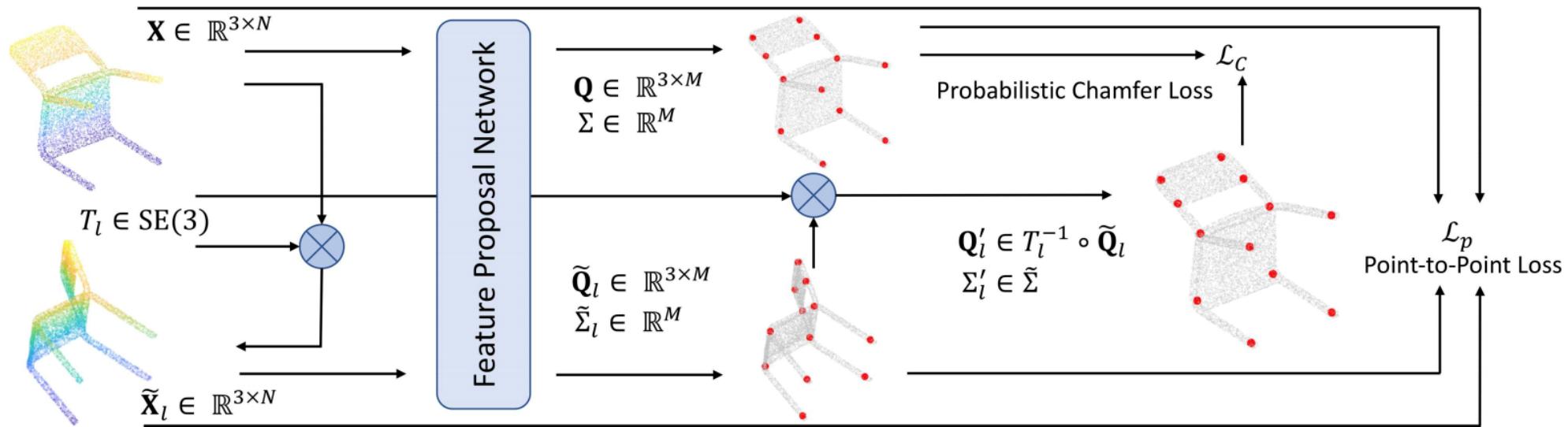
- A point cloud:  $X$

- Output

- M Keypoints  $Q = \{Q_1, \dots, Q_M\}, Q_i \in \mathbb{R}^{3 \times M}$
  - The uncertainties  $\Sigma = \{\sigma_1, \dots, \sigma_M\}, \sigma_i \in \mathbb{R}^+$



1. Feed  $X$  into FPN (Feature Proposal Network), get  $Q, \Sigma$
2. Randomly generate  $T_l \in SE(3)$
3. Transform  $X$  into  $\tilde{X}_l = T_l \circ X$ , where  $\tilde{X}_l = T_l \circ X = RX + t$
4. Feed  $\tilde{X}_l$  into FPN, get  $\tilde{Q}_l, \tilde{\Sigma}_l$
5. Transform  $\tilde{Q}_l, \tilde{\Sigma}_l$  back into  $Q'_l = T_l^{-1} \circ \tilde{Q}_l, \Sigma'_l = \tilde{\Sigma}_l$
6. Loss function: make  $\{Q, \Sigma\} = \{Q'_l, \Sigma'_l\}$



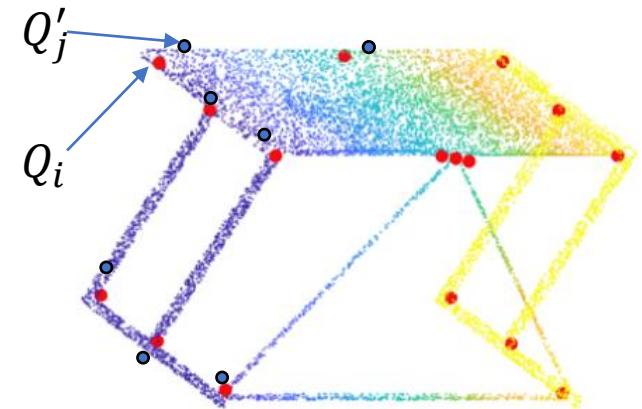


## Standard Chamfer Loss

- Standard Chamfer Loss

$$\sum_{i=1}^M \min_{Q'_j \in \mathbf{Q}'} \|Q_i - Q'_j\|_2 + \sum_{j=1}^M \min_{Q_i \in \mathbf{Q}} \|Q_i - Q'_j\|_2$$

- Problems:
  - Not all keypoints are equal.
  - The **receptive field (explained later)** of the FPN is limited
    - Some keypoint  $Q_i$  locates on smooth surface
    - These highly uncertain keypoints hurts the loss function
- Solution → **Probabilistic Chamfer Loss**
  - Add weighting according the the predicted uncertainty  $\Sigma$





- How to convert the uncertainty  $\sigma_i \in \mathbb{R}^+$  into probability?

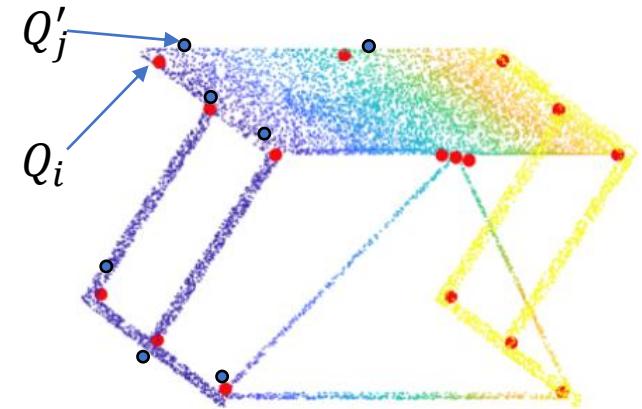
- Consider a pair of keypoints  $\{Q_i, \sigma_i\}$  and  $\{Q'_j, \sigma'_j\}$

- Distance  $d_{ij} = \|Q_i - Q'_j\|_2$

- Model the probability of  $Q_i, Q'_j$  being the same keypoint (they are matched) as,

$$p(d_{ij} \mid \sigma_{ij}) = \frac{1}{\sigma_{ij}} \exp\left(-\frac{d_{ij}}{\sigma_{ij}}\right), \quad \sigma_{ij} = \frac{\sigma_i + \sigma'_j}{2} > 0, \quad d_{ij} = \min_{Q'_j \in \mathbf{Q}'} \|Q_i - Q'_j\|_2 \geq 0.$$

- This is an exponential distribution
    - $d_{ij}$  is the random variable
    - $\sigma_{ij}$  is the parameter of the distribution, which is given by the FPN





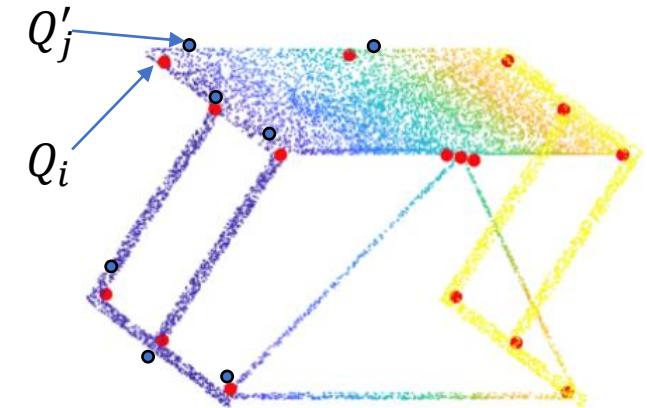
- For  $Q_i$ , how do I know which  $\{Q'_j, \sigma'_j\}$  is the matched one?
  - Same as standard Chamfer loss
  - For each  $Q_i$ , find the nearest keypoint in  $Q'$  as the matched
  - For each  $Q'_j$ , find the nearest keypoint in  $Q$  as the matched
- Now, for the blue / green part, we have

$$p(D_{ij} \mid \Sigma_{ij}) = \prod_{i=1}^M p(d_{ij} \mid \sigma_{ij})$$

$$\sigma_{ij} = \frac{\sigma_i + \sigma'_j}{2} > 0, \quad d_{ij} = \min_{Q'_j \in Q'} \|Q_i - Q'_j\|_2 \geq 0$$

$$p(D_{ji} \mid \Sigma_{ji}) = \prod_{j=1}^M p(d_{ji} \mid \sigma_{ji})$$

$$\sigma_{ji} = \frac{\sigma'_j + \sigma_i}{2} > 0, \quad d_{ji} = \min_{Q_i \in Q} \|Q_i - Q'_j\|_2 \geq 0$$

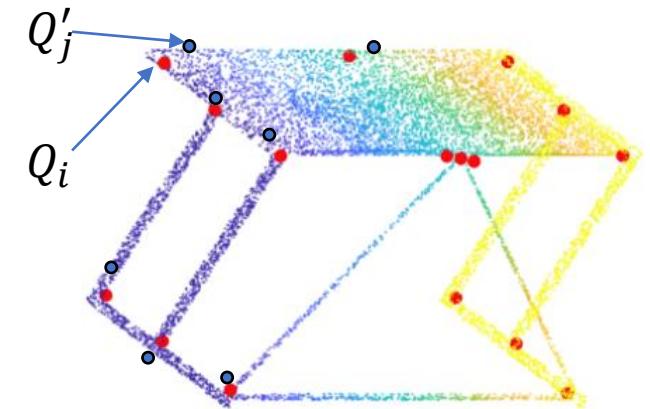




- Final loss, maximize the joint likelihood  $\rightarrow$  minimize the log likelihood

$$\mathcal{L}_c = -\ln p(D_{ji} | \Sigma_{ji}) - \ln p(D_{ij} | \Sigma_{ji})$$

$$\begin{aligned}\mathcal{L}_c &= \sum_{i=1}^M -\ln p(d_{ij} | \sigma_{ij}) + \sum_{j=1}^M -\ln p(d_{ji} | \sigma_{ji}) \\ &= \sum_{i=1}^M \left( \ln \sigma_{ij} + \frac{d_{ij}}{\sigma_{ij}} \right) + \sum_{j=1}^M \left( \ln \sigma_{ji} + \frac{d_{ji}}{\sigma_{ji}} \right)\end{aligned}$$



- In fact, according to our Probabilistic Chamfer Loss, uncertainty  $\sigma$  has physical meanings!



## Probabilistic Chamfer Loss

- Look at the basic element of the loss function

$$p(d_{ij} \mid \sigma_{ij}) = \frac{1}{\sigma_{ij}} \exp\left(-\frac{d_{ij}}{\sigma_{ij}}\right)$$

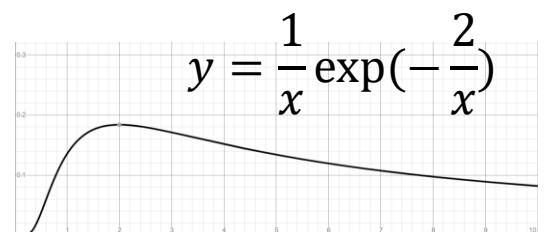
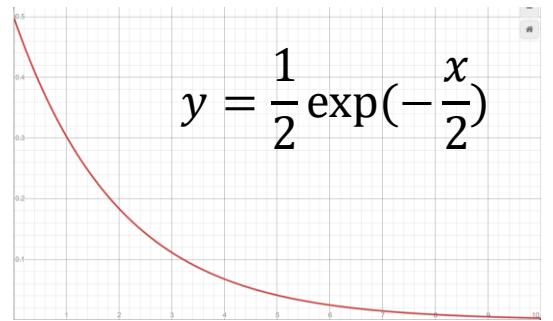
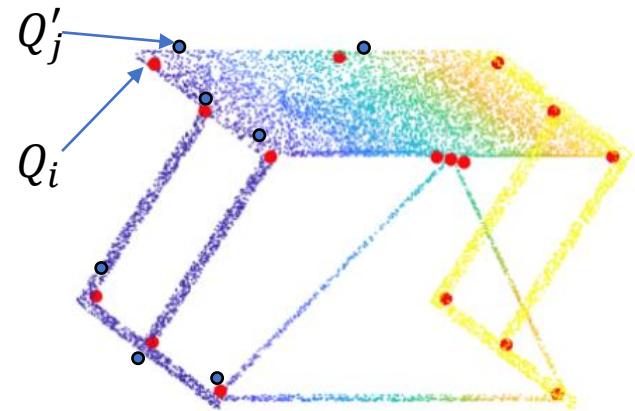
- Compute its first derivative over  $\sigma_{ij}$

$$\frac{\partial p(d_{ij} \mid \sigma_{ij})}{\partial \sigma_{ij}} = \frac{d_{ij} \exp(-d_{ij}/\sigma_{ij})}{\sigma_{ij}^3} - \frac{\exp(-d_{ij}/\sigma_{ij})}{\sigma_{ij}^2}$$

- Solve for the stationary point:

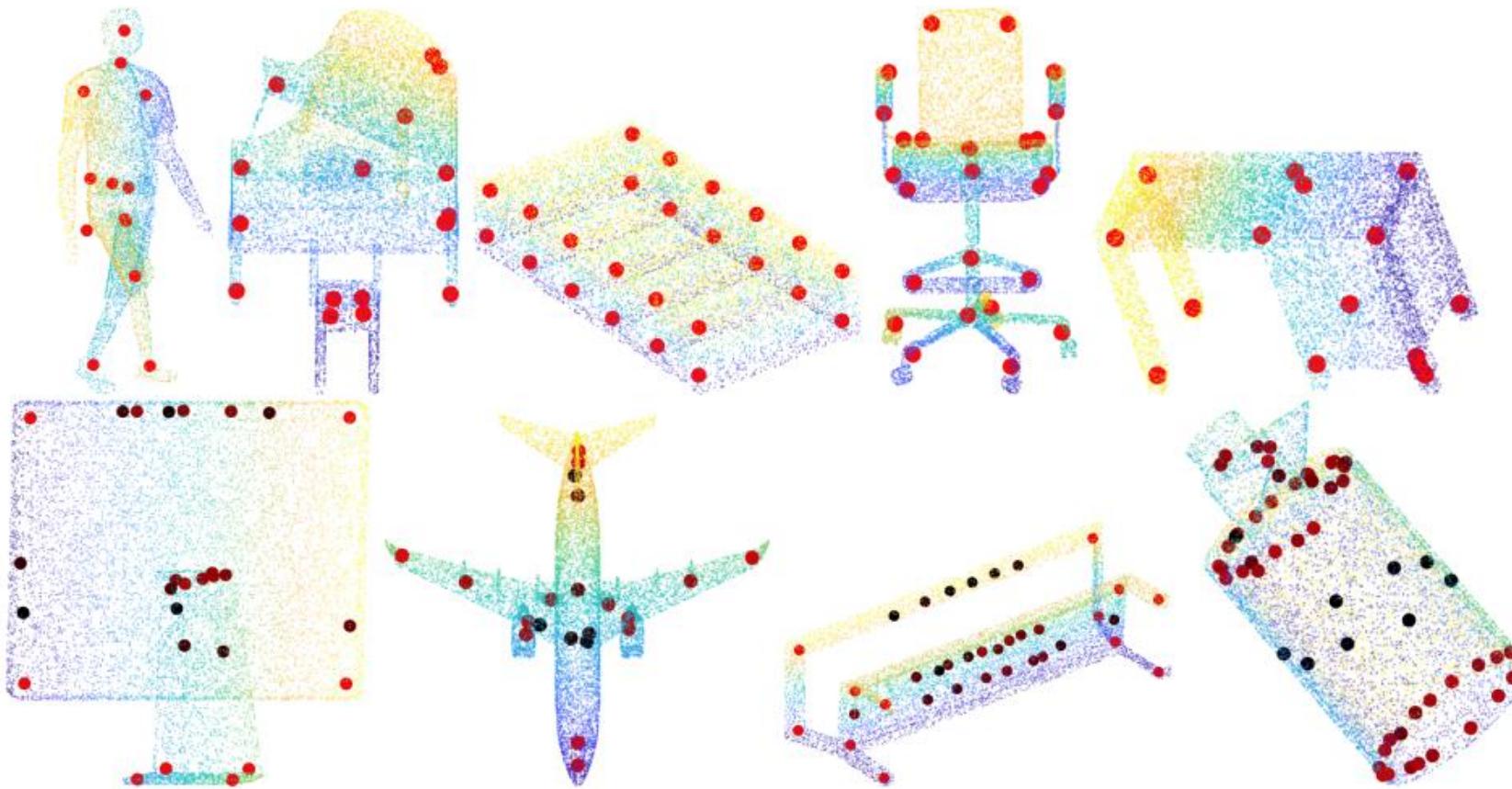
$$\frac{\partial p(d_{ij} \mid \sigma_{ij})}{\partial \sigma_{ij}} = 0 \Rightarrow \sigma_{ij} = d_{ij}$$

- It means the best  $\sigma_{ij}$  equals to  $d_{ij}$ . Network is predicting the matching distance!



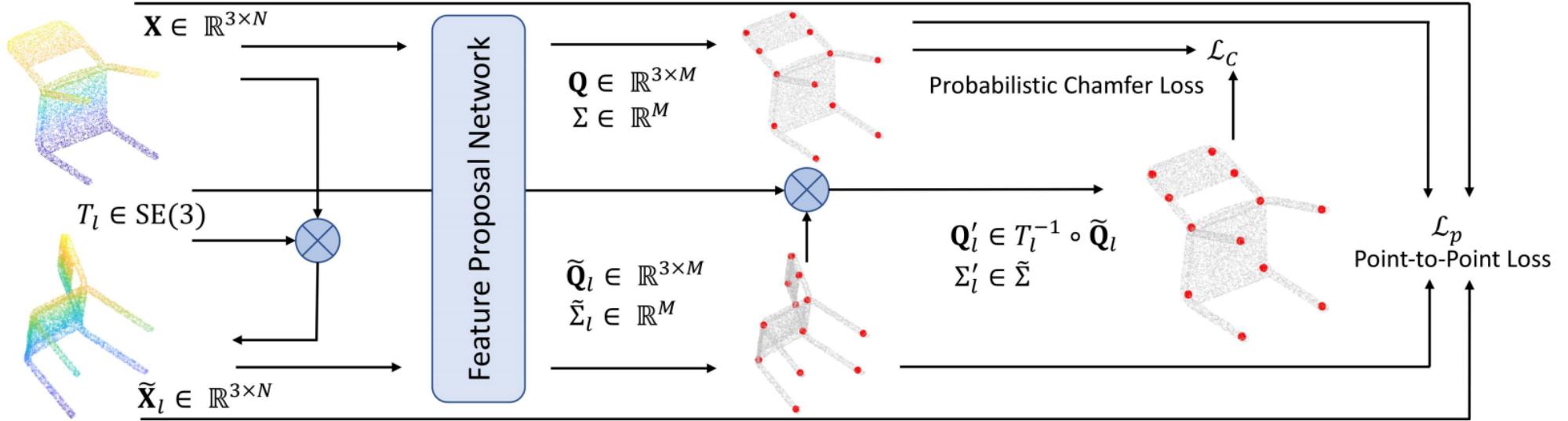


## Probabilistic Chamfer Loss



USIP keypoints on ModelNet40.

**Red** -  $\sigma$  is small, low uncertainty. **Black** -  $\sigma$  is large, high uncertainty



- What if the network predicts the object center as the keypoint?
  - $Q_1 = Q_2 = \dots = Q_M = \text{object center} = \tilde{Q}_i = Q'_i$
  - $L_c = 0$ , perfect!
  - But this is not what we want!

**Lemma 1.**  $f(\mathbf{Y}') \equiv Rf(\mathbf{Y}) \oplus t$  when  $f(\cdot)$  outputs the **centroid** of the input point cloud, i.e.,  $f(\mathbf{Y}) = \frac{1}{N} \sum_n Y_n$  and  $f(\mathbf{Y}') = \frac{1}{N} \sum_n Y'_n$ .



- Further more, the loss  $L_c$  will be small if
  - Network outputs points on the principle axis
- **Intuition:**
  - principle axis doesn't change no matter what is the transform  $T_l$
  - Similar to object center
- Mathematically, it is Lemma 2
- How to proof?
  - Similar to the proof of PCA
  - Here we just need to understand the intuition

**Lemma 2.**  $f(\mathbf{Y}') \equiv Rf(\mathbf{Y}) \oplus t$  when  $f(\cdot)$  is translational equivariant, i.e.,  $f(\cdot) \oplus t = f(\cdot \oplus t)$ , and outputs points that are in the linear subspace of any **principal axis** from the input point cloud denoted as  $\mathbf{U} = [U_1, U_2, U_3] \in \mathbb{R}^{3 \times 3}$ , i.e.,  $f(\mathbf{Y}) = [c_1 U_i^T, \dots, c_M U_i^T]^T$  and

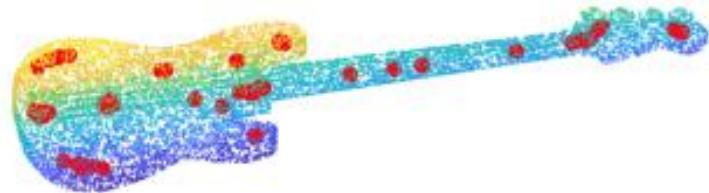
$$\begin{aligned} f(\mathbf{Y}') &= f(R\mathbf{Y} \oplus t) \\ &= f(R\mathbf{Y}) \oplus t \quad (\text{translation equivariance}) \quad (10) \\ &= [c_1 U'_i^T, \dots, c_M U'_i^T]^T \oplus t, \end{aligned}$$

where  $U_i$  can be any principal axis in  $\mathbf{U}$  and  $c_1, \dots, c_M$  are scalar coefficients in  $\mathbb{R}$ .

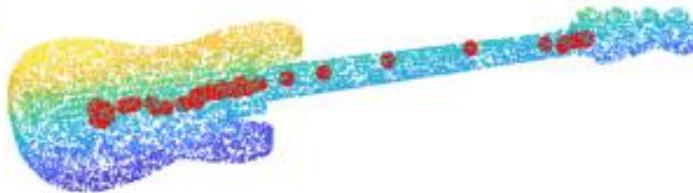


## Degeneracy and Receptive Field

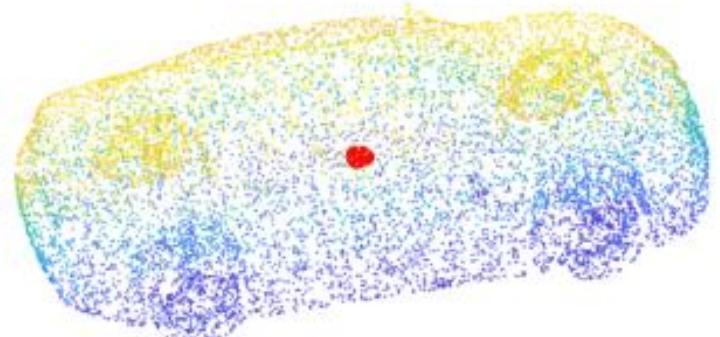
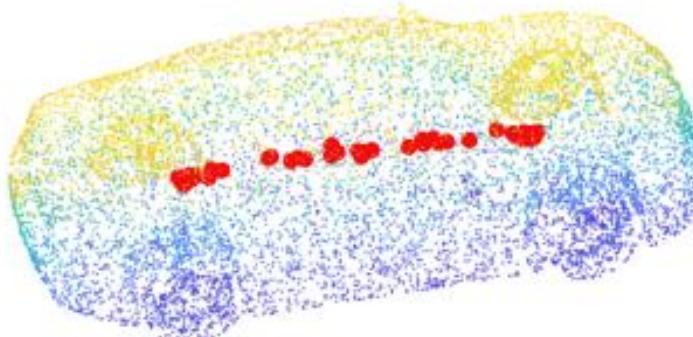
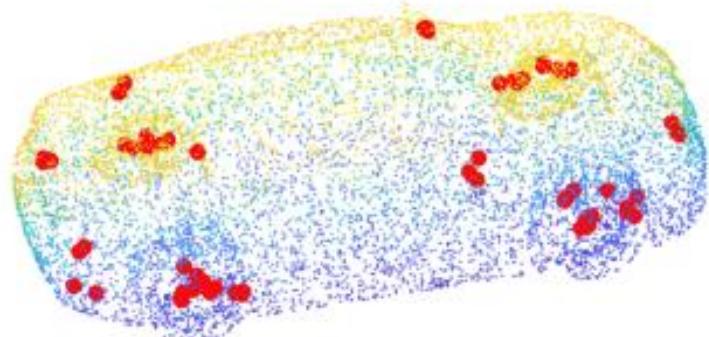
No Degeneracy



Degenerate into principle axis



Degenerate into center



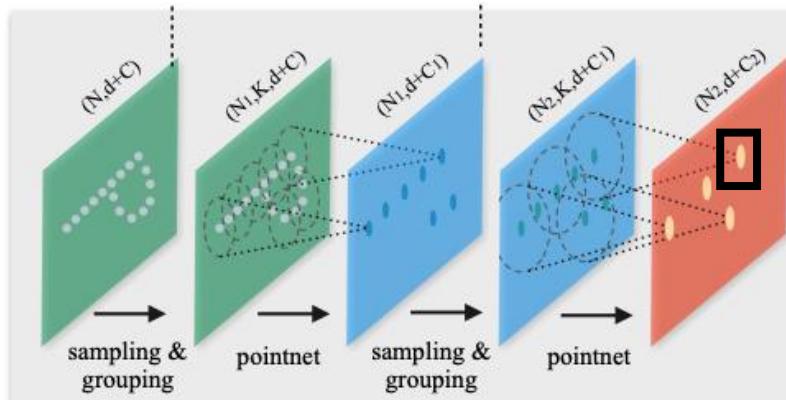
- When does these two “degeneracy” happen?
  - The receptive field is large enough
  - The network can predict where is the center / principle



- There are two degeneracy cases:
  - Object center
  - Principle axis
- How to prevent them?
  - Design the receptive field of the FPN carefully.
- A keypoint's **Receptive Field**
  - What are the points that are visible to the FPN when predicting this keypoint?
  - If the FPN only sees a small part of the object, it can't predict where is the center / principle axis



- FPN can be any network, with one requirement
  - The receptive field of each keypoint is controllable.
- For example, we can use PointNet++ as the FPN.



Each point has limited receptive field.

- In fact, USIP uses SO-Net as FPN
  - SO-Net: Self-Organizing Network for Point Cloud Analysis
  - Better performance, adaptive to various point density



- The Feature Proposal Network (FPN) doesn't ensure keypoints on the object
  - Simply add a constraint by standard Chamfer Loss

$$\mathcal{L}_{point} = \sum_{i=1}^M \min_{X_j \in \mathbf{X}} \|Q_i - X_j\|_2^2 + \sum_{i=1}^M \min_{\tilde{X}_j \in \tilde{\mathbf{X}}} \|\tilde{Q}_i - \tilde{X}_j\|_2^2$$

- Final Loss function

$$L = L_c + \lambda L_{point}$$

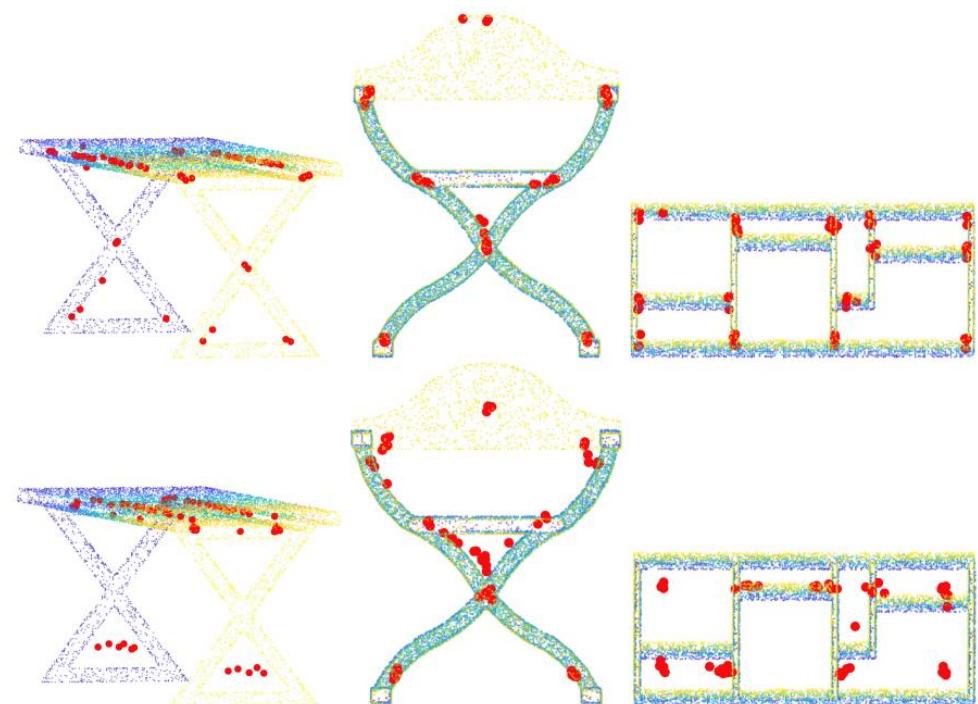
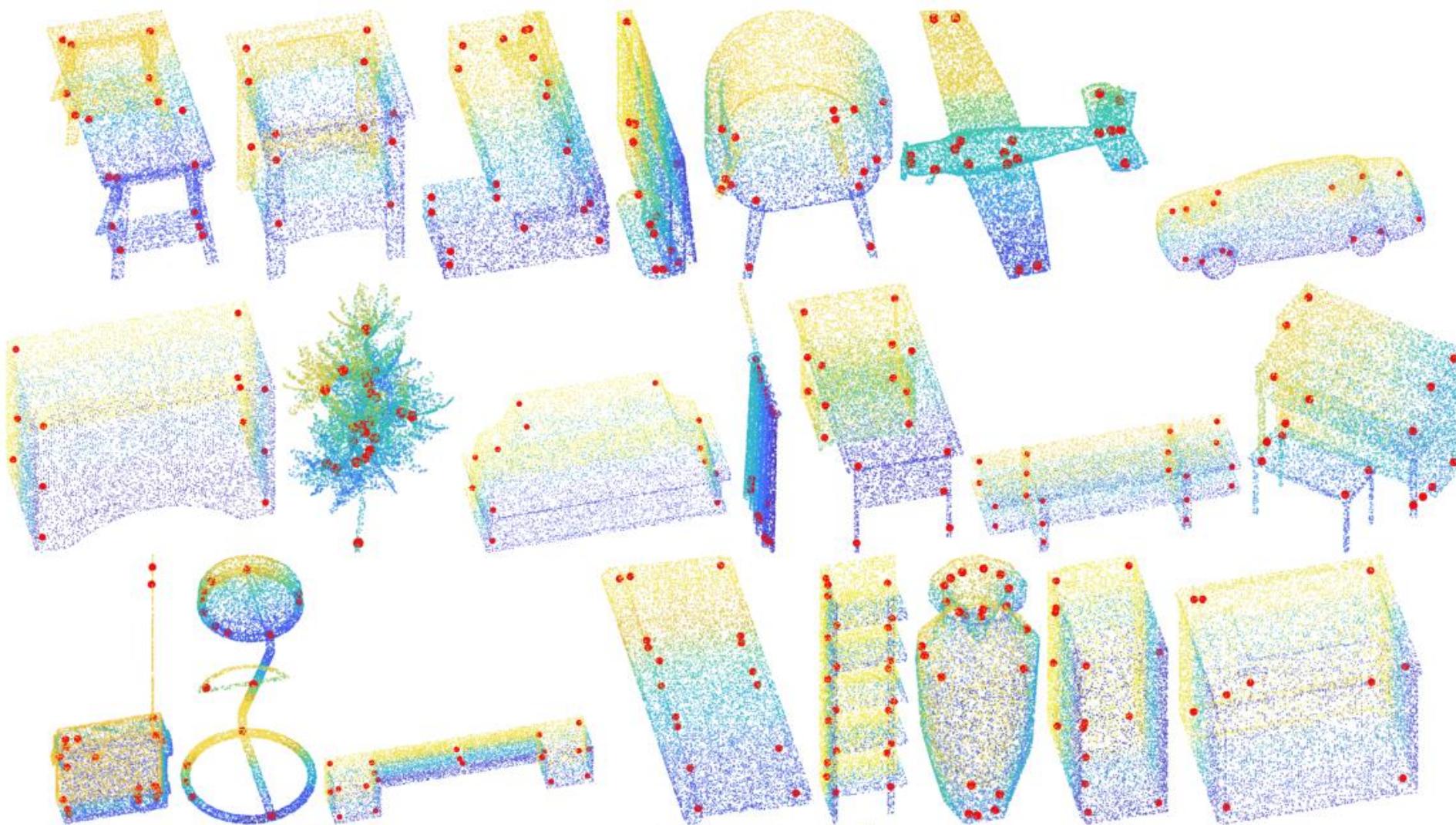


Figure 9. Visualization of USIP keypoints with different  $\lambda$  in Point-to-Point loss. First row  $\lambda = 6$ , second row  $\lambda = 0$ .

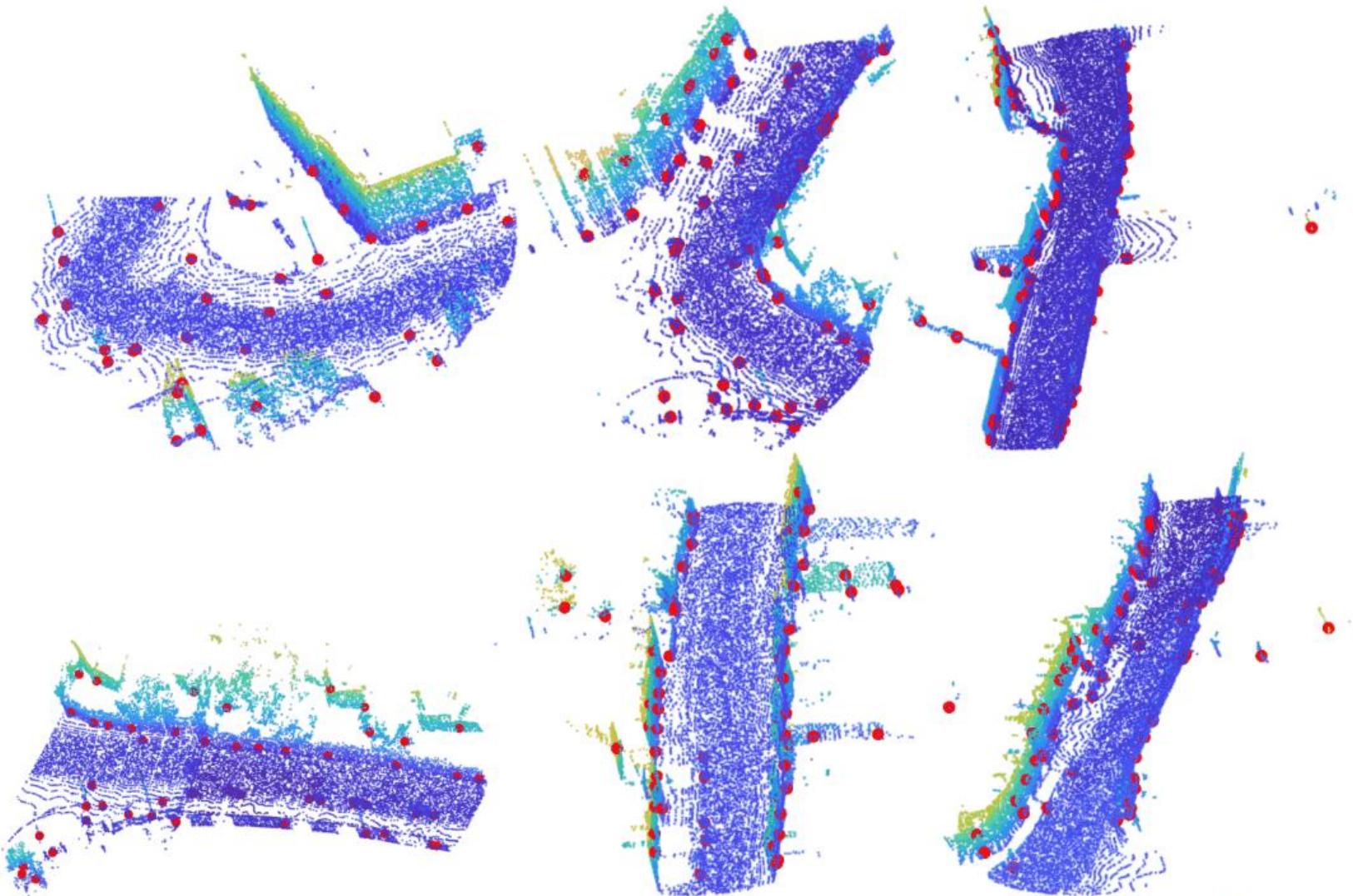


## USIP Results – ModelNet40



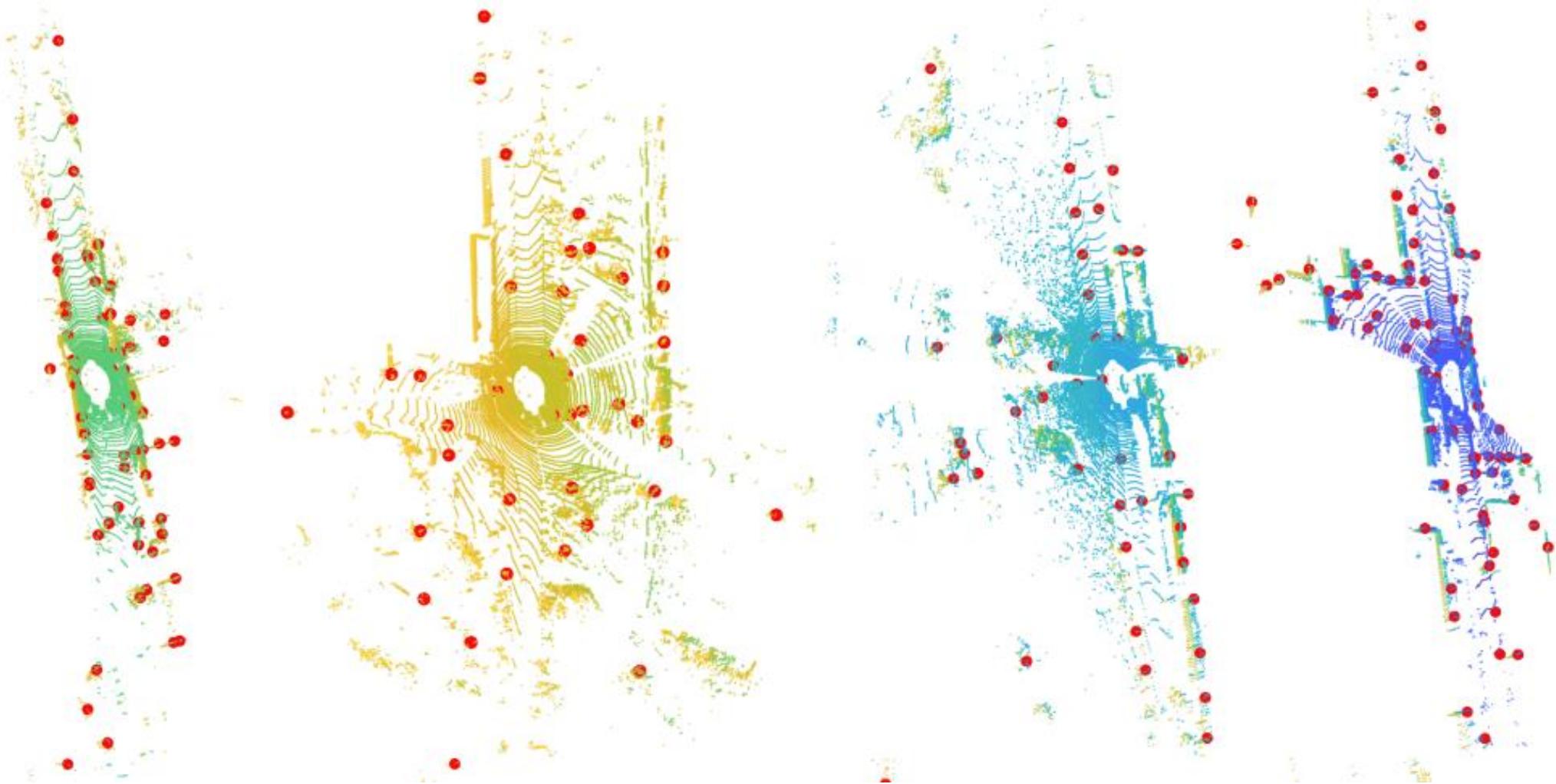


## USIP Results – Oxford RobotCar





## USIP Results – KITTI





- Ideas
  - Predicts keypoint locations and uncertainties
  - Unsupervised training by Probability Chamfer Loss
  - Controllable receptive field to prevent degeneracy
- Advantages
  - Data driven
  - Robust to noise / sparsity
  - Very stable & repeatable



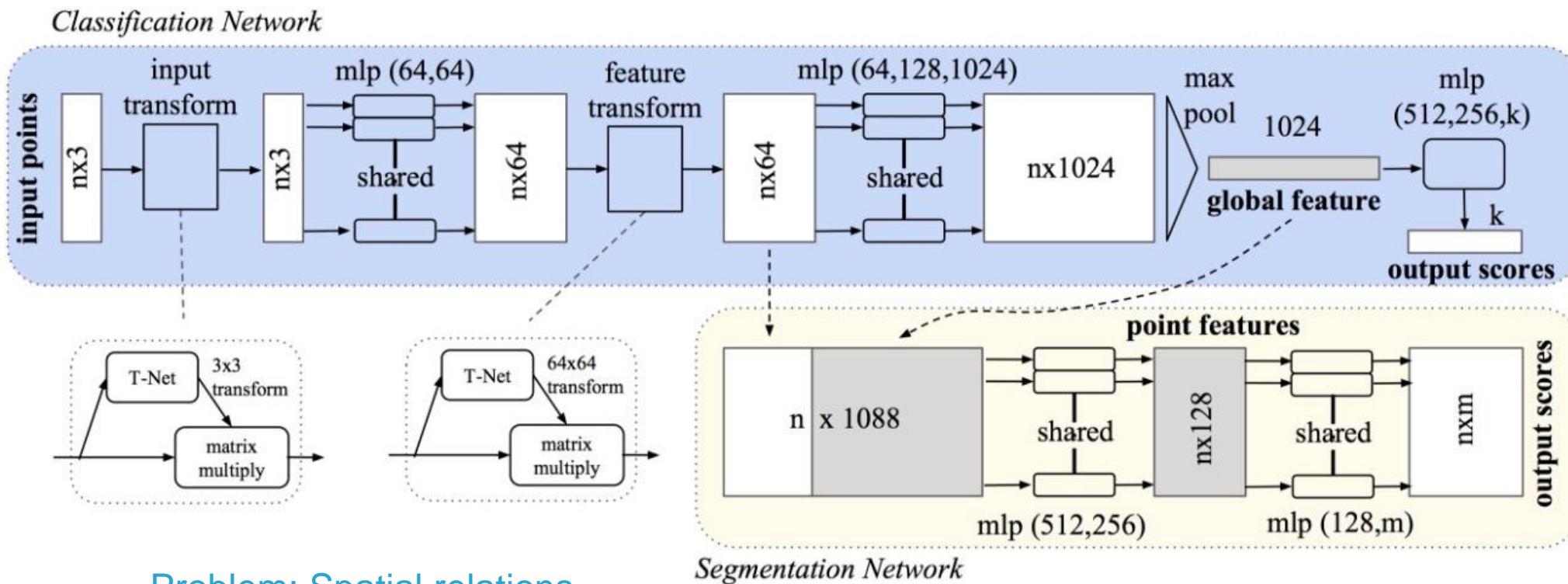
- Handcrafted – Not stable, sensitive to noise. But doesn't need training.
  - Harris family
    - Harris 3D with/without intensity
    - Harris 6D with intensity
  - ISS
- Deep learning – Stable and robust. But needs training (unsupervised).
  - USIP



- Implement your own ISS keypoint detection.
  - Apply your own ISS on ModelNet40 (choose 3 objects from different categories)
  - Visualize the object and the keypoints together.
  - Submit your code and the visualize screenshots
- Implement feature descriptors.
  - Homework of Lecture 8.
- Register two point clouds by feature detection and description
  - Homework of Lecture 9.



## SO-Net – Prior Works

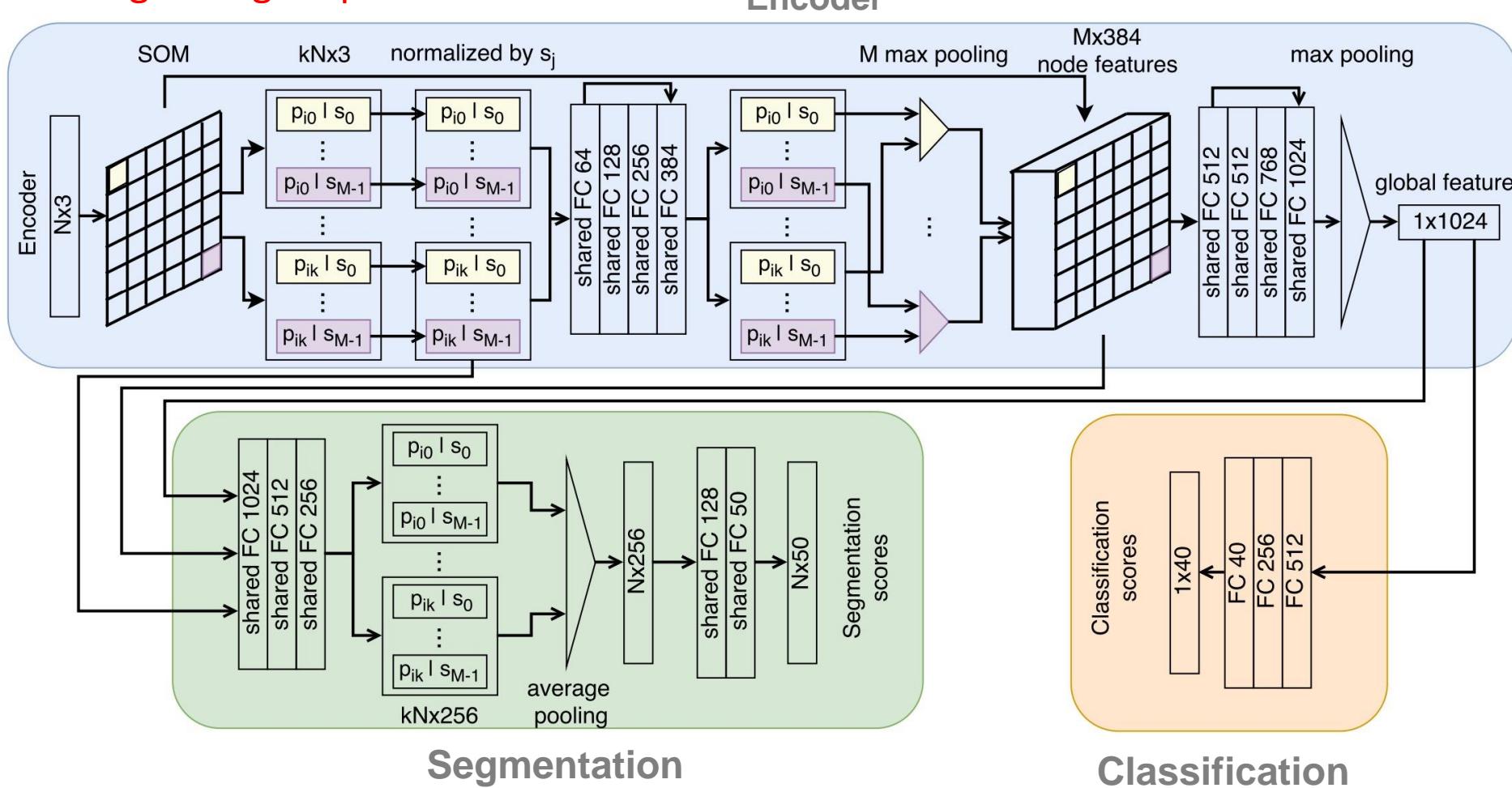


Problem: Spatial relations  
between points are ignored!



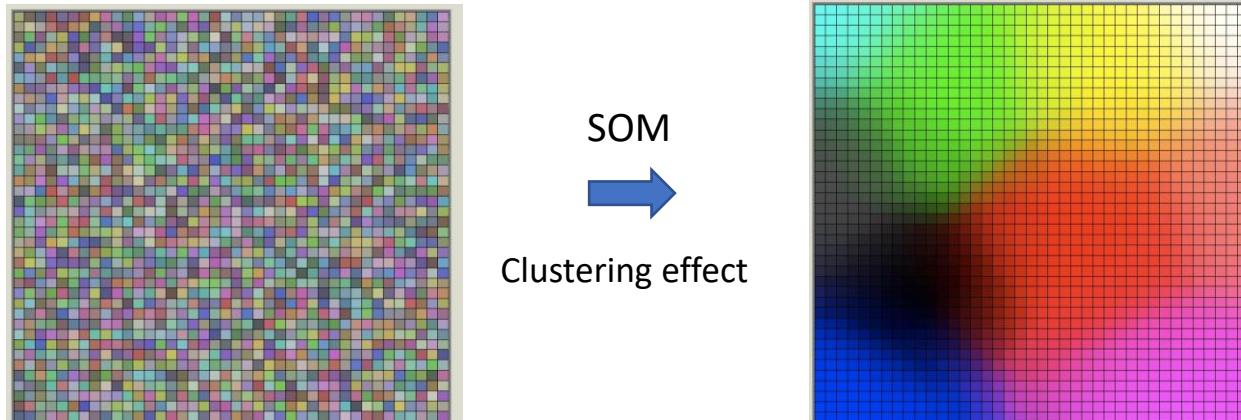
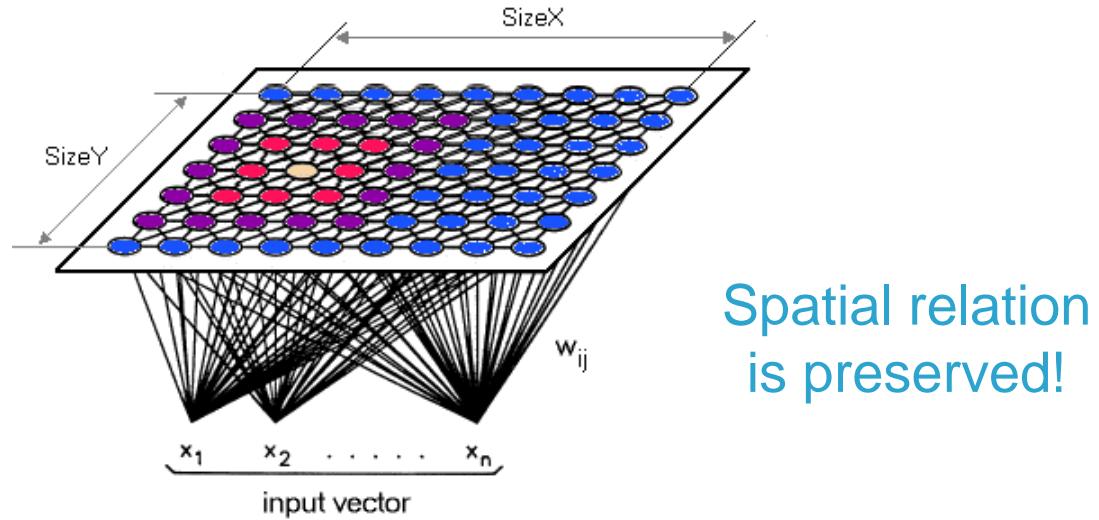
## SO-Net – Model the Space with SOM

### Self Organizing Map





## Self-Organizing Map





## Self-Organizing Map

$$P = \{p_i \in \mathbb{R}^3, i = 1, \dots, N\}$$
$$S_M = \{s_j \in \mathbb{R}^3, j = 1, \dots, M\}$$

### Competition

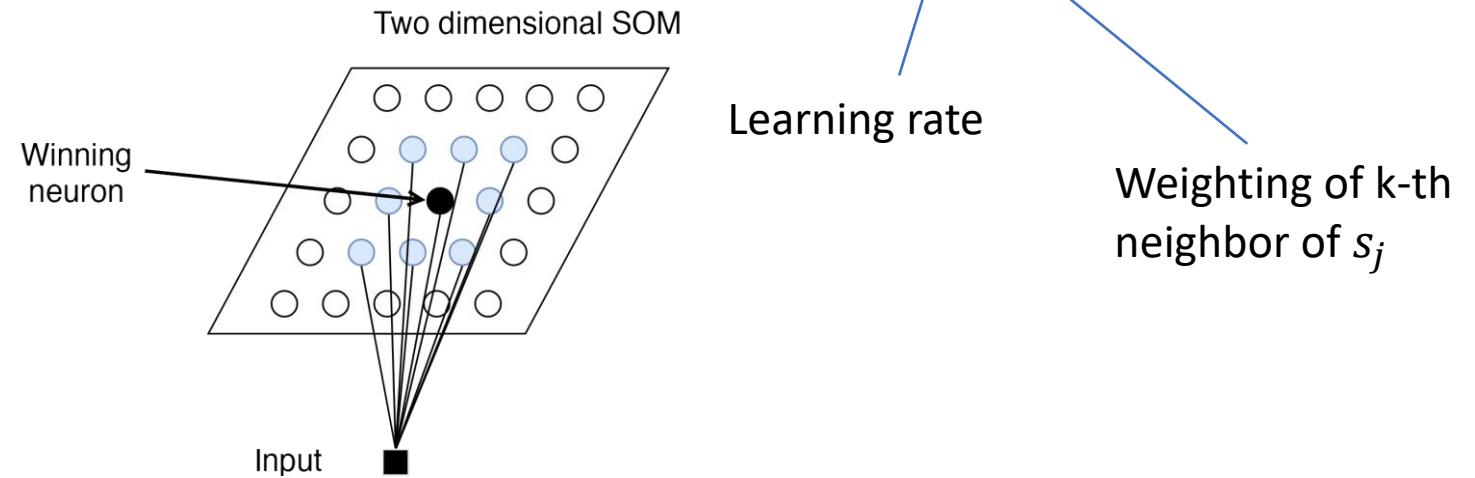
Given  $p_i$ , find the winning node  $s_j$

### Cooperation

Find the topological neighborhood around node  $s_j$

### Adaptation

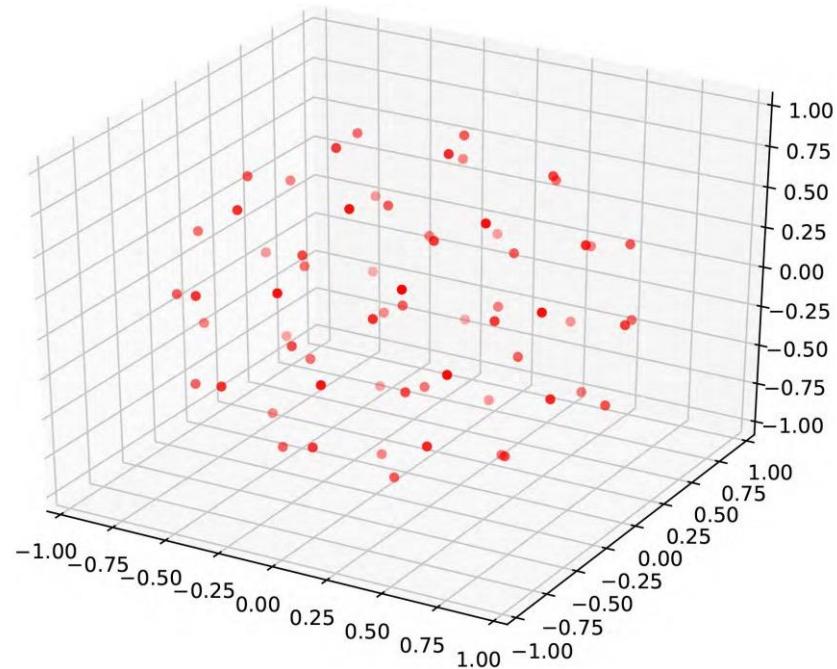
Update node  $s_j$  and its neighbors

$$s_{j_k} = s_{j_k} + \lambda w_k (\text{input} - s_{j_k})$$


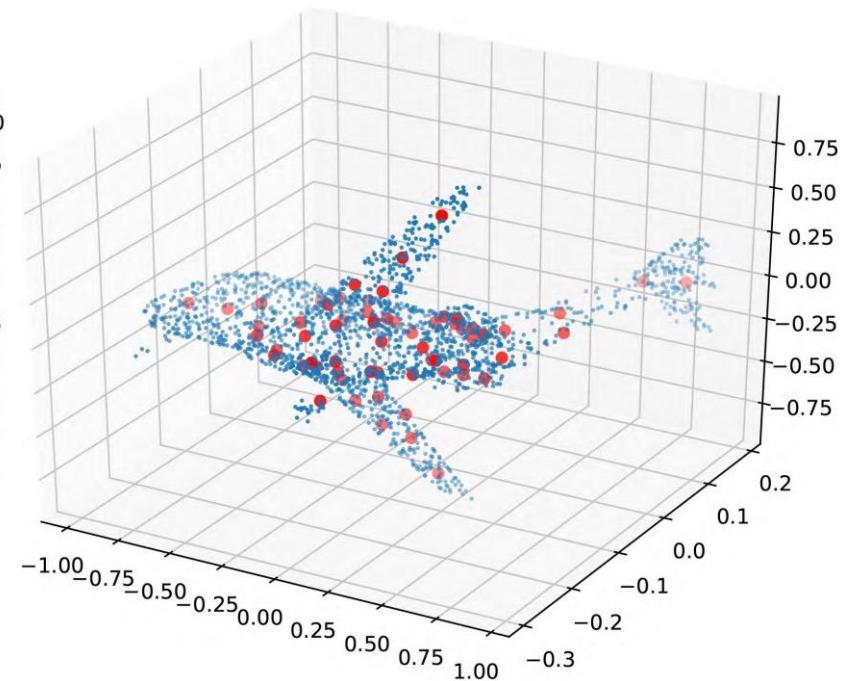


## Self-Organizing Map

Initial nodes in a unit ball

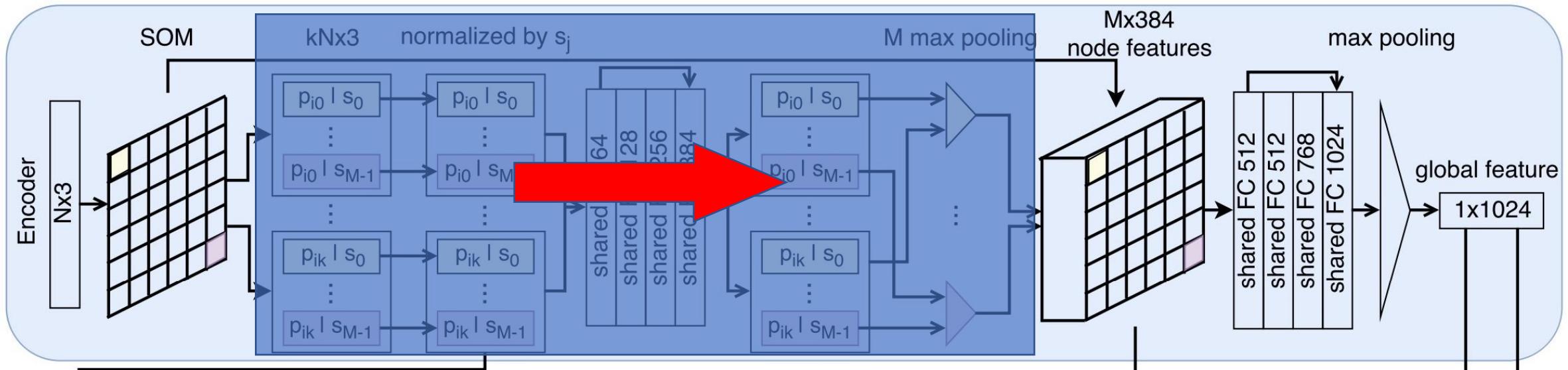


Weights after SOM



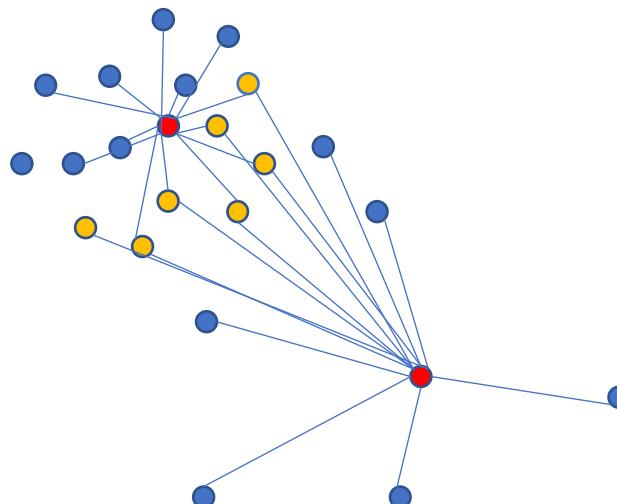


- Assume we have the SOM now
- How to convert SOM into “SOM Feature Map”



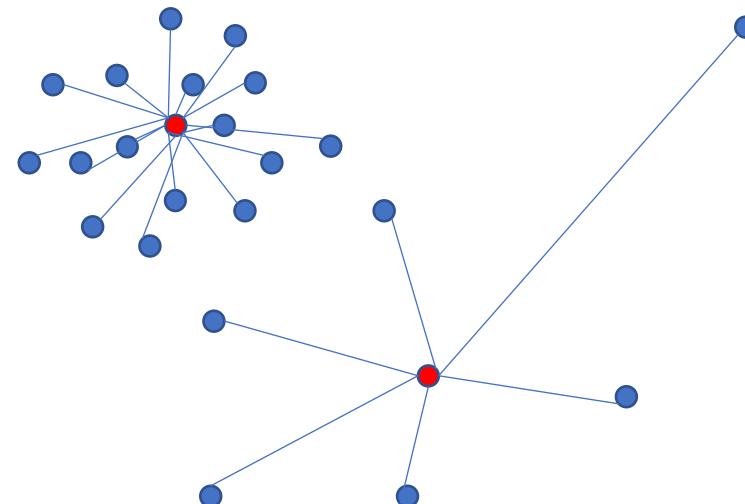


- Method 1, Node-to-Point (PointNet++ style)
  - Not adaptive to scale and density
  - Difficult to control receptive field
    - Some points will never be connected
    - Some points will be connected multiple times.



Node-to-Point kNN, k=13

- Method 1, Point-to-Node (SO-Net)
  - Adaptive to scale and density
  - Precise control of receptive field
    - Each point is connected k times.



Point-to-Node kNN, k=1



## Point-to-Node Grouping      PointNet      Maxpool      SOM Feature Map

