Algebraic Effect Handlers for WASM

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Algebraic effect handlers are a powerful abstraction mechanism that can express many complex control-flow mechanisms.

updates:

v1, 2018-04-03: Initial version.

1. INTRODUCTION

Algebraic effects [10] and their extension with handlers [11, 12], are a novel way to describe many control-flow mechanisms in programming languages. In general any free monad can be expressed as an effect handler and they have been used to describe complex control structures such as iterators, async-await, concurrency, parsers, state, exceptions, etc. (without needing to extend the compiler or language) [3–6, 14].

Recently, there are various implementations of algebraic effects, either embedded in other languages like Haskell [5, 14], Scala [2], or C [7], or built into a language, like Eff [1], Links [4], Frank [9], Koka [8], and Multi-core OCaml [3, 13].

2. FORMALIZATION

Syntax

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reference types.
rt ::= \dots
  |(exn \tau^*)|
  |(cont \varphi)|
q ::= exn \mid eff
    instructions.
e ::= \dots
  throw x
  rethrow
  resume
  abort
  |\operatorname{try}_q \varphi e^* \operatorname{catch} e^* \operatorname{end}
  | \text{handle}_q \varphi x e^* \text{ else } e^* \text{ end} |
   administrative instructions.
e ::= \ldots
  |\operatorname{catch}_n^q e^* e^* end
  | throw a
  swallow a
  | \exp_n a v^* a^?
  | cont_n a |
    exception definitions.
  | ex^* exception_q \varphi
  \mid ex^* \; exception_q \; \varphi \; im
```

2.1. Typing

contexts.

 $C ::= \ldots, exn(q\varphi)^*$

$$\frac{C_{exn}(x) = \varphi}{C \vdash \text{throw } x : \varphi}$$

 $C \vdash \text{rethrow} : (exn \tau^*) \to \tau^*$

$$C \vdash \text{resume} : \tau_1^* (cont \ \tau_1^* \to \tau_2^*) \to \tau_2^*$$

$$\overline{C \vdash \text{abort} : (cont \, \tau_1^* \to \tau_2^*) \to \tau_2^*}$$

$$\begin{array}{ll} \varphi &=& \tau_1^* \longrightarrow \tau_2^* \\ C^q, label \ \tau_2^* &\vdash e_1^* \ : \ \varphi \\ C^q, label \ \tau_2^* &\vdash e_2^* \ : \ (exn \ \tau_2^*) \longrightarrow \tau_2^* \\ \hline C &\vdash \operatorname{try}_q \varphi \ e_1^* \operatorname{catch} \ e_2^* \operatorname{end} \ : \ \varphi \\ \operatorname{where} \\ C^{exn} &=& C \end{array}$$

$$C^{exn} = C$$

 $C^{eff} = C$ with $label = .$

$$\begin{array}{l} \varphi \ = \ \tau_{1}^{*} \to \tau_{2}^{*} \\ C_{exn}(x) \ = \ q \ (\tau_{3}^{*} \to \tau_{4}^{*}) \\ q \ = \ exn \ \land \ \tau'? \ = \ . \ \lor \ q \ = \ eff \ \land \\ \tau'? \ = \ (cont \ \tau_{2}^{*} \ \tau^{*}) \ C, \ label \ \tau_{2}^{*} \ \vdash \ e_{1}^{*} \ : \ \tau_{1}^{*} \ \tau_{3}^{*} \\ \hline \tau'? \to \tau_{2}^{*} \ C, \ label \ \tau_{2}^{*} \ \vdash \ e_{2}^{*} \ : \ \tau_{1}^{*} \ (exn \ \tau^{*}) \to \tau_{2}^{*} \\ \hline C \ \vdash \ \ \text{handle}_{q} \ \varphi \ x \ e_{1}^{*} \ else \ e_{2}^{*} \ \text{end} \ : \ \tau_{1}^{*} \ (exn \ \tau^{*}) \to \tau_{2}^{*} \end{array}$$

$$\begin{array}{l} \mathbf{S}; \ C^q, label \ \tau_2^* \ \vdash \ e_1^* \ : \ \tau_1^* \to \tau_2^* \\ \mathbf{S}; \ C^q, label \ \tau_2^* \ \vdash \ e_2^* \ : \ (exn \ \tau_2^*) \to \tau_2^* \\ \mathbf{S}; \ C \ \vdash \ \mathsf{catch}_n^q e_2^* \ e_1^* \ \mathsf{end} \ : \ \tau_1^* \to \tau_2^* \end{array}$$

$$\frac{S_{exn}(a) = q \varphi}{S; C + \text{throw } a : \varphi}$$

$$S_{exn}(a) = q \varphi$$

$$S; C \vdash \text{swallow } a : (exn \, \tau^*) \rightarrow .$$

$$\begin{aligned} &S_{exn}(a) = exn\left(\tau_1^* \to .\right) \\ &\frac{(C \vdash v : \tau_1)^*}{\mathsf{S}; \ C \vdash exn_n \ a \ v^* : exn \ \tau^*} \end{aligned}$$

$$\begin{split} &S_{exn}(a_1) = eff \ (\tau_1^* \to \tau_2^*) \\ &(C \vdash \nu \ : \ \tau_1)^* \\ &S \ . \vdash S_{cont}(a_2) \ : \ (. \to \tau_2^*) \ \Rightarrow \ (. \to \tau^*) \\ \hline &S \ C \vdash exn_n \ a_1 \ v^* \ a_2 \ : \ exn \ \tau^* \end{split}$$

$$\begin{split} & S_{cont}(a) = E_{eff} \\ & \underline{S}; \ . \vdash E_{eff} : (. \rightarrow \tau_1^*) \Rightarrow (. \rightarrow \tau_2^*) \\ & \underline{S}; \ C \vdash cont_n \ a : cont \ (\tau_1^* \rightarrow \tau_2^*) \end{split}$$

2.2. Reduction

module instance.

$$M ::= \ldots, exn a^*$$

store.

$$S ::= \ldots, exn(q \varphi)^*, cont(E^?)^*$$

lookup.

$$F_{exn}(x) := (F_{mod})_{exn}(x)$$

 $B^0 ::= v^* _ e^*$

$$B^0 ::= v^* - e^*$$

$$B^{(i+1)} := label_n e^* B^i \text{ end } | \operatorname{catch}_m^q e^* B^{(i+1)} \text{ end}$$

throw contexts.

$$E_q ::= v^* - e^* \mid label_n e^* E_q \text{ end } \mid \text{catch}_m^{(q)} e^* E_q \text{ end } \mid frame_n F E_q \text{ end}$$

F; throw $x \longrightarrow F$; throw a

$$F_{exn}(x) = a$$

$$\begin{array}{ll} \mathbf{v}^n \ (\mathsf{try}_q \ \varphi \ e_1^* \ \mathsf{catch} \ e_2^* \ \mathsf{end}) \ \longrightarrow \ \mathsf{catch}_m^q e_2^* \ (label_m. \ \mathbf{v}^n \ e_1^* \ \mathsf{end}) \ \mathsf{end} \\ \varphi \ = \ \tau_1^n \to \tau_2^m \end{array}$$

$$\operatorname{catch}_{m}^{q} e^{*} v^{*} \operatorname{end} \longrightarrow v^{*}$$

S; F; catch_m^{exn}
$$e^* \to E_{exn}[v^n \text{ (throw } a_1)] \text{ end } \longrightarrow \text{S}'; \text{ F}; label_m. (exn_m a_1 v^n) e^* \text{ end } S_{exn}(a_1) = exn (\tau_1^n \to \tau_2^m)$$

S; F; catch
$$_m^{eff}$$
 e^* E $_{eff}[v^n \text{ (throw } a_1)]$ end \longrightarrow S'; F; $label_m$. $(exn_m \ a_1 \ v^n \ a_2) \ e^*$ end S $_{exn}(a_1) = eff \ (\tau_1^n \to \tau_2^m)$

$$a_2 = |S_{cont}|$$

 $S' = S \text{ with cont} + = E'$

$$E' = \operatorname{catch}_{m}^{eff} e^* E_{eff}$$
 end

$$(exn_m \ a_1 \ v^n \ a_2^2)$$
 rethrow $\longrightarrow v^n$ (throw a_1) ($(cont_m \ a_2)$ resume)?

F;
$$v_1^n (exn_m \ a_1 \ v^* \ a_2^?)$$
 handle $_q \ \varphi \ x \ e_1^* \ else \ e_2^*$ end \longrightarrow F; $label_k. \ v_1^n \ v^* \ (cont_m \ a_2)^? \ e_1^*$ end $F_{exn}(x) = a_1$ $\varphi = \tau_1^n \to \tau_2^k$

F;
$$v_1^n (exn_m \ a_1 \ v^* \ a_2^?)$$
 handle $q \ \varphi \ x \ e_1^* \ else \ e_2^* \ end \longrightarrow$ F; $label_k. \ v_1^n (exn_m \ a_1 \ v^* \ a_2^?) \ e_2^* \ end$ Fexn $(x) = /= a_1$ $\varphi = \tau_1^n \to \tau_2^k$

S;
$$v^n (cont_n a)$$
 resume \longrightarrow S'; $E_{eff}[v^n]$
 $S_{cont}(a) = E_{eff}$
S' = S with $cont(a) = .$

S;
$$v^n(cont_n a)$$
 resume \longrightarrow S; $trap$
S_{cont}(a) = .

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S; (cont_n \ a) abort \longrightarrow S'; catch_n^{exn}swallow a \ E_{eff}[(throw \ a')] end S_{cont}(a) = E_{eff} a' = |S_{exn}| S' = S with exn + = exn \ (. \to .) with cont(a) = . S; (cont_n \ a) abort \longrightarrow S; trap S_{cont}(a) = . (exn_n \ a_1 \ v^* \ a_2^2) (swallow a_1) \longrightarrow . (exn_n \ a_1 \ v^* \ a_2^2) (swallow a_3) \longrightarrow (exn_n \ a_1 \ v^* \ a_2^2) rethrow a_1 = /= a_3
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3. CONCLUSION

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