

## Assignment 3: Naihe Xiao, Chan Yu

### Part 2: Functional Dependencies, Decompositions, Normal Forms

1. Relation  $R$  with attributes  $LMNOPQRS$  and FDs  $S = \{L \rightarrow NO, M \rightarrow P, N \rightarrow MQR, O \rightarrow S\}$

(a) **FDs that Violate BCNF**

Check LHS of each FDs whether it is a superkey or not.

- ✓  $L^+ = LMNOPQRS$ , so  $L$  is a superkey and  $L \rightarrow NO$  does not violate BCNF.
- ✗  $M^+ = MP$ , so  $M \rightarrow P$  violates BCNF.
- ✗  $N^+ = MNPQR$ , so  $N \rightarrow MQR$  violates BCNF.
- ✗  $O^+ = OS$ , so  $O \rightarrow S$  also violates BCNF.

(b) **Employ BCNF Decomposition**

- Decompose  $R$  using FD  $N \rightarrow MQR$ .  $N^+ = MNPQR$ , so this yields two relations:  $R_1 = MNPQR$  and  $R_2 = LNOS$ .

- Project the FDs onto  $R_1 = MNPQR$

M	N	P	Q	R	Closure	FDs
✓					$M^+ = MP$	$M \rightarrow P$ : violates BCNF

- Hence we decompose  $R_1$  further using FD  $M \rightarrow P$ , this yields two relations:  $R_{11} = MP$  and  $R_{12} = MNQR$ .

- Project FDs onto  $R_{11} = MP$

M	P	Closure	FDs
✓		$M^+ = MP$	$M \rightarrow P$ : $M$ is a superkey of $R_{11}$
	✓	$P^+ = P$	nothing

This relation satisfies BCNF.

- Project FDs on  $R_{12} = MNQR$ .

M	N	Q	R	Closure	FDs
✓				$M^+ = MP$	nothing
	✓			$N^+ = MNPQR$	$N \rightarrow MQR$ : $N$ is superkey of $R_{12}$
		✓		$Q^+ = Q$	nothing
			✓	$R^+ = R$	nothing
supersets of N				irrelevant	can only generate weaker FDs
✓		✓		$MQ^+ = MPQ$	nothing
✓			✓	$MR^+ = MPR$	nothing
		✓	✓	$QR^+ = QR$	nothing
✓		✓	✓	$MQR^+ = MQRP$	nothing

This relation satisfies BCNF.

- BCNF decomposition on  $R_1$  get  $R_{11} = MP$  and  $R_{12} = MNQR$ . Return to  $R_2 = LNOS$  and project FDs on it.

L	N	O	S	Closure	FDs
✓				$L^+ = LMNOPQRS$	$L \rightarrow NOS$ : $N$ is superkey of $R_2$
	✓			$N^+ = MNPQR$	nothing
		✓		$O^+ = OS$	$O \rightarrow S$ : violates BCNF

- Decompose  $R_2$  further using FD  $O \rightarrow S$  which yields two relations:  
 $R_{21} = OS$  and  $R_{22} = LNO$ .

- Project FDs on  $R_{21} = OS$

O	S	Closure	FDs
✓		$O^+ = OS$	$O \rightarrow S$ : $O$ is a superkey of $R_{21}$
	✓	$S^+ = S$	nothing

This relation satisfies BCNF.

- Project FDs on  $R_{22} = LNO$ .

L	N	O	Closure	FDs
✓			$L^+ = LMNOPQRS$	$L \rightarrow NO$ : $L$ is superkey of $R_{22}$
	✓		$N^+ = MNPQR$	nothing
		✓	$O^+ = OS$	nothing
supersets of L			irrelevant	can only generate weaker FDs
	✓	✓	$NO^+ = MNOPQRS$	nothing

This relation satisfies BCNF.

- Hence the final decomposition is:

(a)  $R_{22} = LNO$  with FD  $L \rightarrow NO$ .

(b)  $R_{12} = MNQR$  with FD  $N \rightarrow MQR$ .

(c)  $R_{11} = MP$  with FD  $M \rightarrow P$ .

(d)  $R_{21} = OS$  with FD  $O \rightarrow S$ .

(c) **Dependency Preservation**

Yes, the schema preserves dependencies. For each of the original FDs in set  $S$ , there is a relation that includes all of the attributes. This ensures that they are preserved.

(d) **Chase Test to show Lossless-join**

The Chase Test demonstrates that it is a lossless-joint decomposition.

We start with:

L	M	N	O	P	Q	R	S
0	m	1	2	p	3	4	5
6	m	n	7	8	q	r	9
10	11	12	o	13	14	15	s
1	16	n	o	17	18	19	20

Then because of FDs  $M \rightarrow P, N \rightarrow MQR, O \rightarrow S$ , we make changes:

L	M	N	O	P	Q	R	S
0	m	1	2	p	3	4	5
6	m	n	7	<del>8</del> p	q	r	9
10	11	12	o	13	14	15	s
1	<del>16</del> m	n	o	<del>17</del> p	<del>18</del> q	<del>19</del> r	<del>20</del> s

We observe the tuple  $\langle l, m, n, o, p, q, r, s \rangle$  does occur. The Chase Test has succeeded.

2. Relation  $A$  with attributes  $ABCDEFGH$  and FDs  $B = \{ACD \rightarrow E, B \rightarrow CD, BE \rightarrow ACF, D \rightarrow AB, E \rightarrow AC\}$

(a) **Minimal Basis**

Step1: simplify FDs to singleton right-hand sides.

- 1  $ACD \rightarrow E$
- 2  $B \rightarrow C$
- 3  $B \rightarrow D$
- 4  $BE \rightarrow A$
- 5  $BE \rightarrow C$
- 6  $BE \rightarrow F$
- 7  $D \rightarrow A$
- 8  $D \rightarrow B$
- 9  $E \rightarrow A$
- 10  $E \rightarrow C$

Step2: try reducing the LHS of FDs with multiple attributes on the LHS. Thus we only need to consider FDs 1, 4, 5, 6.

- 1 The closure of  $A$  is  $A^+ = A$ , the closure of  $C$  is  $C^+ = C$ , the closure of  $D$  is  $D^+ = DABCEF$ , hence we reduce the LHS to  $D$ .
- 4 The closure of  $B$  is  $B^+ = BCDAEF = ABCDEF$ , hence we reduce the LHS to  $B$ .
- 5 The closure of  $B$  is  $B^+ = BCDAEF = ABCDEF$ , hence we reduce the LHS to  $B$ .
- 6 The closure of  $B$  is  $B^+ = BCDAEF = ABCDEF$ , hence we reduce the LHS to  $B$ .

The reduced FDs are:

- 1  $D \rightarrow E$
- 2  $B \rightarrow C$
- 3  $B \rightarrow D$
- 4  $B \rightarrow A$
- 5  $B \rightarrow C$
- 6  $B \rightarrow F$
- 7  $D \rightarrow A$
- 8  $D \rightarrow B$
- 9  $E \rightarrow A$
- 10  $E \rightarrow C$

Step3: eliminate redundant FDs.

Eliminate FDs			
FD	Exclusions	Closure	Decision
1	1	There's no way to get E without this FD	keep
2	2	Duplicated FD to (5)	discard
3	2, 3	There's no way to get D without this FD	keep
4	2, 4	$B^+ = BDCAFE = ABCDEF$	discard
5	2, 4, 5	$B^+ = BDAFEC = ABCDEF$	discard
6	2, 4, 5, 6	There's no way to get F without this FD	keep
7	2, 4, 5, 7	$D^+ = DBFEAC = ABCDEF$	discard
8	2, 4, 5, 7, 8	There's no way to get B without this FD	keep
9	2, 4, 5, 7, 9	There's no way to get A without this FD	keep
10	2, 4, 5, 7, 10	There's no way to get C without this FD	keep

So the following set is a minimal basis

- 1  $B \rightarrow D$
- 2  $B \rightarrow F$
- 3  $D \rightarrow B$
- 4  $D \rightarrow E$
- 5  $E \rightarrow A$
- 6  $E \rightarrow C$

(b) **All Keys**

Notice that  $GH$  does not appear anywhere in the FDs, hence they are in every key of the relation. Notice that  $ACF$  appears only on the RHS of the FDs, hence they are not in any keys of the relation. Now we only need to check all combinations of  $BDE$ , and for each we add  $GH$ .

- The closure of  $BGH$  is  $GHBDFFEAC = ABCDEFGH$ , hence  $BGH$  is a key for the relation.
- The closure of  $DGH$  is  $GHDBFFEAC = ABCDEFGH$ , hence  $DGH$  is a key for the relation.
- The closure of  $EGH$  is  $GHEAC = ACEGH$ , hence  $EGH$  is not a key for the relation.
- All other possibilities include  $BGH$  or  $DGH$ .

Hence  $BGH, DGH$  are all the keys for the relation  $A$ .

(c) **Employ 3NF Synthesis**

I first combine the right hand sides to obtain the following FDs:

- 1  $B \rightarrow DF$
- 2  $D \rightarrow BE$
- 3  $E \rightarrow AC$

So the 3NF synthesis algorithm generates the following relations:

$$R1(B, D, E), \quad R2(B, D, F), \quad R3(A, C, E)$$

However, notice that no relation above is a superkey, so we need to add a new relation that is a key. From part(b), I got  $BGH$  is a key, hence the final set of relations are

$$R1(B, D, E), \quad R2(B, D, F), \quad R3(A, C, E), \quad R4(B, G, H)$$

(d) **Redundancy**

Project to BDE  $B^+ = ABCDEF$  with FD  $B \rightarrow DE$ ,  $D^+ = ABCDEF$  with FD  $D \rightarrow BE$ ,  $E^+ = ACE$  without FD. Notice  $B$  and  $D$  are superkeys for this relation, hence we do not need to check their supersets, so we do not need to check any other sets. Since the FDs are  $B \rightarrow DE$  and  $D \rightarrow BE$  and both LHS are superkeys, this relation satisfies BCNF.

Project to BDF  $B^+ = ABCDEF$  with FD  $B \rightarrow DF$ ,  $D^+ = ABCDEF$  with FD  $D \rightarrow BF$ ,  $F^+ = F$  without FD. Notice  $B$  and  $D$  are superkeys for this relation, hence we do not need to check their supersets, so we do not need to check any other sets. Since the FDs are  $B \rightarrow DF$  and  $D \rightarrow BF$  and both LHS are superkeys, this relation satisfies BCNF.

Project to ACE  $A^+ = A$  without FDs,  $C^+ = C$  without FDs,  $E^+ = ACE$  with FD  $E \rightarrow AC$ . Notice  $E$  is a superkey for this relation hence we do not need to check its supersets.  $AC^+ = AC$  without FDs. Since the FD is  $E \rightarrow AC$  and its LHS is a superkey, this relation satisfies BCNF.

Project to BGH  $B^+ = ABCDEF$  without FD,  $G^+ = G$  without FD,  $H^+ = H$  without FD, hence this relation satisfies BCNF.

Since all relations satisfy BCNF, we know our schema does not allow redundancies.