

Structural Graph theory

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1 Treewidth

Definition 1.1 (Tree-decomposition). *The tree-decomposition \mathcal{T} of a graph G is defined as a tree T with associated bags $\{B_x : x \in V(T)\}$ such that:*

- *for all $v \in V(G)$, the subset of vertices $\{x \in V(T) : v \in B_x\}$ in $V(T)$ induces a nonempty connected subtree in $V(T)$.*
- *For all edges $vw \in E(G)$, there exists a bag B_x such that both v and w are in the bag B_x .*

We refer to the vertices of the tree T as *nodes*. The *width* of the tree decomposition \mathcal{T} is defined as $\max\{|B_x| - 1 : x \in V(T)\}$. We define the *treewidth* of a graph G as such:

Definition 1.2. *The treewidth of a graph G , denoted as $tw(G)$, is defined to be the smallest width for all tree decompositions of the graph G .*

The reason why the -1 appears in the definition of the width of a tree decomposition is because the definition wanted the treewidth of a forest to be 1. However, this causes some notational confusion.

Example 1.3. $tw(G) = 1$ if and only if G is a forest.

Lemma 1.4. *If G is a forest, then $tw(G) = 1$.*

Proof. Suppose G is a tree. Root the graph G at the vertex r . Then let $T = G$ and $B_x := \{x, p\}$ where p is the parent of x . The bag B_r will just contain r . Then all edges vw will be between parent v and child w , so it will be in bag B_w . Finally, the subgraph induced by vertex x in T will be x and the children of x , which is a connected subtree.

If G is a forest, then we perform this operation on every connected component of G and connect the roots to form a new tree. Then this tree is a tree-decomposition. This forms a tree-decomposition of width at most 1. \square

Lemma 1.5. *If $tw(G) = 1$, then G has no cycles.*

Proof. If G has a cycle C , then the treewidth cannot be 1. This is because if there is a tree decomposition \mathcal{T} where the size of each bag is at most 2, then as the graph must have every edge, then every edge in C is in separate bags. However, we have that for any vertex v in C to have an induced connected subgraph in T , then it follows that the cycle C is also in T . Thus T is not a tree, and this is not a valid tree-decomposition. \square

Lemma 1.6 (Helly Property). *Let T_1, \dots, T_k be subtrees of a tree T such that for every pair of trees, there is a vertex in common. Then there exists a vertex which is common to all trees.*

Helly property. If T_1, T_2 and T_3 are subtrees of T such that the vertex sets are pairwise nonempty, then there is a common vertex in all three subtrees. If this is not the case, denote v_1 as a vertex in the intersection of T_1 and T_2 , v_2 as the vertex in $T_1 \cap T_3$, and v_3 as the vertex in T_2 and T_3 . Then there exists a unique path P in T_1 from v_1 to v_2 . Choose two vertices x and y on P such that they are disjoint.... \square

Theorem 1.7 (Clique theorem). *In any tree-decomposition of G , for every clique C in G , there exists a node $x \in V(T)$ such that $C \subseteq B_x$.*

Proof. Let \mathcal{T} be a tree-decomposition. Every vertex v induces a connected subtree in T , call it T_v . Then for any two vertices x, y in C , we have that T_x and T_y must intersect as the edge xy is inside a bag B_z corresponding to a node z . Then by the Helly property, there exists a node v such that $C \subseteq B_v$. \square

Corollary 1.8. $tw(K_n)$ is $n - 1$.

Theorem 1.9. If H is a minor of G , then $tw(H) \leq tw(G)$.

Proof of minor. Suppose we have a tree-decomposition \mathcal{T} of G . If we delete an edge in G , then \mathcal{T} remains a valid tree-decomposition. If we delete a vertex v , then \mathcal{T} where we remove v from every bag in \mathcal{T} is also a valid tree-decomposition. If we contract an edge vw , creating a new vertex u , then relabeling v and w in all bags to u is a valid tree-decomposition as the induced subtree of u is the union of the induced subtrees of v and w , and every neighbor of v or w is a neighbor of u . But the edges in the neighborhood do not change. Thus this is a valid tree-decomposition, with width at most the width of \mathcal{T} . \square

Example 1.10. The treewidth of an outerplanar graph is at most 2.

Proof of outerplanar treewidth. Let G be the outerplanar graph, and let G' be the triangulation of G . As G is a minor of G' , $tw(G) \leq tw(G')$. We look at the *weak dual* of G' . This is a tree T , where every node v_f in T corresponds to a face f in G' . Then let B_{v_f} be the bag of the tree-decomposition, where B_{v_f} is the set of vertices on the boundary of the face f . Then the tree T with bags B_{v_f} is a valid tree-decomposition of G' , where every bag has at most 3 vertices. Thus, $tw(G) \leq 2$. \square

1.1 Different characterisations of treewidth

1.1.1 k -trees

We define a k -tree inductively. We have that the complete graph K_k is a k -tree, and if G is a k -tree, then we add a new vertex to G that is adjacent to k vertices that form a clique of size k in G results in a k -tree. A k -tree is a maximal graph with treewidth k . $tw(G) \leq k$ if and only if G is a subgraph of a k -tree.

2 Separators

A subset X of $V(G)$ is a *balanced separator* of G if each component of $G - X$ has at most $|V(G)|/2$ vertices. This implies that we can partition the vertices of G into sets A and B such that there are no AB -edges and the size of A and B is at most $2/3|V(G)|$. This is because we can order the components from smallest to largest and partition them into sets A and B where the sizes are at most $2/3|V(G)|$.

Theorem 2.1. For all graphs G , there exists a balanced separator of size $tw(G) + 1$.

Proof of balanced separator. We take a tree-decomposition \mathcal{T} of treewidth $tw(G) - 1$. For any edge xy in T , denote the largest subtree containing x that does not contain y as $T_{x,y}$, and similarly denote $T_{y,x}$ as the same thing. If the size of the union of the corresponding bags of the nodes of $T_{x,y}$ is larger than the size of the union of bags in $T_{y,x}$, orient the edge xy to point from y to x , otherwise orient it the other way. Do this for every edge. Then let x be the node where all arrows are pointing inwards, and let B_x be the corresponding bag. Then B_x is a separator of G as we have that at most $|V(G)|/2$ vertices are in any component of T by definition. Thus B_x is a balanced separator of G . \square

2.1 Subset theorems

Theorem 2.2. For all graphs G , and all subsets S of $V(G)$, there exists an X where $|X| \leq tw(G) + 1$ and each component of $G - X$ has $\leq |S|/2$ vertices in S .

Proof. Do the steps above but instead of weighing each vertex the same, you weigh a vertex v to be 1 if it is in S and 0 if it is not. \square

Theorem 2.3. For all graphs G , and all subsets S of $V(G)$, there exists two subgraphs G_1 and G_2 such that $G = G_1 \cup G_2$ and for all $i \in \{1, 2\}$, $|S \cap V(G_i)| \leq 2/3|S|$.

Proof. Use the theorem above. Then we can form G_1 and G_2 to have at most $2/3|S|$ the number of vertices in $|S|$, by sorting the subsets by the number of vertices in S . \square

2.2 Bounds on treewidth.

Theorem 2.4. *Let G be a graph such that for all subsets $S \subseteq V(G)$ there exists another subset $x \subseteq V(G)$ such that $|X| \leq k$ and each component of $G - X$ has at most $|S|/2$ vertices in S . Then $tw(G) \leq 3k$.*

Lemma 2.5. *Let G be a graph such that for all subsets $S \subseteq V(G)$ of size $2k + 1$ there exists an $X \subseteq V(G)$ such that $|X| \leq k$ and each component of $G - X$ has at most k vertices in S . Then For all $S \subseteq V(G)$ where $|S| \leq 2k + 1$ there exists a tree-decomposition of G with width at most $3k$ and there exists a bag containing S .*

Proof. Suppose $|V(G)| \leq 3k + 1$. Then place all of the vertices in a single bag. Then this is a valid tree-decomposition with width at most $3k$ containing all S . Now assume $|V(G)| \geq 3k + 2$ and $|S| = 2k + 1$. If $S \leq 2k + 1$, add arbitrary vertices to S . Then there exists a subset $X \subseteq V(G)$ such that $|X| \leq k$ and each component of $G - X$ has at most k vertices in S . Let the components of $G - X$ be G_1, G_2, \dots, G_p . Then we can do induction on $(G_i, S_i \cup X)$ to have a tree-decomposition of G_i with width at most $3k + 1$. Then for each of the tree-decompositions rooted at the node with bag containing $S_i \cup X$, we add on a parent vertex to all of those tree-decompositions of $X \cup S$ with width at most $3k + 1$. This is a tree-decomposition of G of width at most $3k + 1$ with the root vertex containing S by definition. \square

3 Tree-partitions

For a graph G , a *tree-partition* of G is a tree T with associated partition of the vertices of G into bags $\{B_x : x \in V(T)\}$ such that if vw is an edge in G , then v and w are in the same bag, or the edge xy is in $E(T)$, where vertices x and y have corresponding bags B_x and B_y containing v and w respectively. The *width* of the tree-partition is defined as the largest bag in the tree-partition. The tree-partition width of a graph G , denoted as $tpw(G)$, is the smallest width of all tree-partitions.

Theorem 3.1 (Distel + Wood). *For all graphs G , $tpw(G) \leq 18(tw(G) + 1)\Delta(G)$.*

Lemma 3.2. *Fix k and d . Let G be a graph where $tw(G) \leq k - 1$ and $\Delta(G) \leq d$. Then for any set $S \subseteq V(G)$ and $4k \leq |S| \leq 12kd$, there exists a tree-partition $(B_x : x \in V(T))$ with width at most $18kd$ and bag B_z where $deg_T(z) \leq \frac{|S|}{2k} - 1$ and $|B_z| \leq 3/2|S| - 2k$.*

Proof. Case 0: $|V(G)| < 4k$: We place all the vertices in the same bag. Size of bag is $< 4k$, so the bag is definitely less than $18kd$.

Case 1: $|V(G) - S| \leq 18kd$. Let T be the tree on two vertices x, z , where $B_x = V(G) - S$ and $B_z = S$. Then we have that $\delta(T) = 1$ and $deg_T(z) = 1$, which satisfies the requirements above. We have that $|B_z| \leq 3/2|S| - 2k$ and $deg_T(z) \leq |S|/2k - 1$.

Case 2: S small case. $4k \leq |S| \leq 12k$. Let $S' := \bigcup\{N_G(v) - S : v \in S\}$. Then $|S'| \leq d|S| \leq 12kd$. If $|S'| < 4k$, then add arbitrary vertices to S' from $G - S - S'$ such that $|S'| = 4k$. Now $4k \leq |S'| \leq 12kd$. By the induction hypothesis, there exists a tree-partition of $G - S$ with width $\leq 18kd$ and S' in one bag. Then we add the bag $B_z = S$ to the tree that is connected only to S' . We have that as $4k \leq |S|$, it implies that $|S| \geq 3/2|S| - 2k$, so $|B_z| \leq 3/2|S| - 2k$ and $|S'| \leq 12k$. Finally, $deg_T(B_z) = 1 \leq |S|/2k - 1$.

Case 3: S large case. $12k + 1 \leq |S| \leq 12kd$. There exists induced subgraphs G_1, G_2 of G where $G_1 \cup G_2 = G$ and $|G_1 \cap G_2| \leq k$, where $|S \cap V(G_i)| \leq 2/3|S|$ for each i in $\{1, 2\}$. Then let $S_i = (S \cap V(G_i)) \cup (G_1 \cap G_2)$ for each i in $\{1, 2\}$. We have that $|S_2| \geq |S - V(G_1)| \geq 1/3|S| \geq 4k$. By symmetry, $|S_1| \geq 4k$. For an upper bound, $S_i \leq 2/3|S| + k \leq 8kd + k \leq 12kd$. Therefore, $4k \leq |S_i| \leq 12kd$ for each i in $\{1, 2\}$. Thus by induction, there exists a tree-partition of G_i with width at most $18kd$, such that $\delta(T_i) \leq 6d$ and there is a z_i such that $S_i \in B_{z_i}$, $|B_{z_i}| \leq 3/2|S_i| - 2k$, $deg_{T_i}(z_i) \leq |S_i|/2k - 1$. Then form the tree of G by merging z_1 and z_2 together to form z , and let $B_z = B_{z_1} \cup B_{z_2}$. Then this is a tree-partition of G . By construction, $S \subseteq B_z$ and $|B_z| \leq |B_{z_1}| + |B_{z_2}| - |G_1 \cap G_2|$. Using the induction hypothesis, this is less than $18kd$, and the degree of z is $|S|/2k - 1 < 6d$. Thus shown. \square

4 $O(\sqrt{n})$ -bounded treewidth

A family of graphs \mathcal{G} has $O(\sqrt{n})$ bounded treewidth if, as the number of vertices increases in \mathcal{G} , the treewidth is bounded above by a constant times \sqrt{n} . We shall show that all planar graphs have bounded treewidth, and can extend this definition to graph families of bounded genus and crossings. [Dujmovic, Morin, Wood]

4.1 Examples of graph families with $O(\sqrt{n})$ bounded treewidth

- Planar graphs
- Bounded genus graphs
- H -minor free where H is a fixed graph
- k -planar graphs
- (g, k) -planar graphs

4.2 Layered treewidth

A *layering* of a graph G is a partition of the vertex set of G into sorted sets V_1, V_2, \dots, V_k such that for all edges $vw \in E(G)$, if $v \in V_i$ and $w \in V_j$ then $|i - j| \leq 1$. The *layered treewidth number* $ltw(G)$ is defined as the smallest k such that there exists a layering V_1, V_2, \dots of $V(G)$ and there is a tree-decomposition $(B_x : x \in V(T))$ and $|V_i \cap B_x| \leq k$ for all i and all x .

Theorem 4.1. *Planar graphs have ltw at most 3.*

Proof. If G is a planar triangulation, and T is a bfs spanning tree, then the vertices ordered by distance from the root r of T is a layering of G . Then consider the dual graph G^* . Then there exists a spanning tree of G^* , T^* , such that no edge in T^* crosses over an edge in T . Call this spanning tree the cotree of G . Then let $(B_x : x \in V(T^*))$ be bags. For a face α , the set of vertices v_1, v_2, v_3 on the border of α and the vertices on path in T from v_i to r for all i in $\{1, 2, 3\}$ is B_α . Every edge is on the border of some face, so every edge is in T^* . If x is a vertex, then the subtree containing x goes to all the faces incident with the descendants of x , which is connected. Thus this is a tree-decomposition. Finally, the intersection between V_i and B_α is at most 3 as at most 3 vertices can be on the same layer by the construction. Thus $ltw(G) \leq 3$. \square

4.3 Upper bound on treewidth

If G has n vertices with layered treewidth k , then G has treewidth $2\sqrt{kn} - 1$.

Proof. Let V_1, V_2, \dots, V_t be the layering of G with layered treewidth k . Then define $p = \lceil \sqrt{nk} \rceil$. For $j \in \{1, \dots, p\}$, let $W_j = V_j \cup V_{p+j} \cup V_{2p+j}$, such that W_j separates out the layers. As W_1, \dots, W_p is a partition of G , as there is a partition W_j with the size at most the average such that $|W_j| \leq n/p \leq \sqrt{kn}$, then we can cut out the layers of W_j to just have connected components with $p - 1$ consecutive layers. Each connected component of $G - W_j$ can be subdivided with the tree-decomposition into bags of size at most $k(p - 1)$, thus the treewidth of each connected component is $k(p - 1) - 1 = \sqrt{kn} - 1$. Putting each connected component together, we add W_j to every bag in the decomposition and add W_j as another bag to turn the forest into a tree. This will give us a tree-decomposition of G with width at most $\sqrt{kn} - 1 + |W_j| \leq 2\sqrt{kn} - 1$. \square

Example 4.2. If G is a $n \times n$ grid, then $tw(G) = n$, but $ltw(G) = 2$.

Theorem 4.3. *If G is a triangulation of a surface with Euler genus g , then G has layered treewidth at most $2g + 3$.*

Proof. We have that $|E(G)| = 3n + 3g - 6$ and $|F(G)| = 2n + 2g - 4$. If T is a BFS spanning on G , and G^* is its dual, then we define a new graph D such that $V(D) = V(G^*) = F(G)$ and xy is an edge in D if and only if xy does not cross an edge of T . Note that D is not a tree if $g > 0$. Finally, the number of edges in D is equal to $|E(G)| - |E(T)| = 3n + 3g - 6 - (n - 1) = 2n + 3g - 5$. By definition, D is

connected, so let T^* be any spanning tree of G , rooted at r . $|E(T^*)| = 2n + 2g - 5$, so $|E(D)| - |E(T)| = g$. Denote the g edges as $v_1w_1, v_2w_2, \dots, v_gw_g$. As D has g edges not in T^* , for every face $f = xyz$ of G , let $B_f := P_x \cup P_y \cup P_z \cup \bigcup_{i=1}^g P_{v_i} \cup P_{w_i}$, where P_x is the unique path in T from x to r . Thus $\text{ltw}(G) \leq 2g + 3$. \square

Corollary 4.4. *The treewidth of a graph with genus g is at most $2\sqrt{(2g+3)n} - 1$.*

4.4 Bounded genus and crossings

A graph is (g, k) -planar if there exists an embedding of G on a genus g surface with at most k crossings per edge. If G is (g, k) -planar, we shall show that G has treewidth of at most $2\sqrt{(4g+6)(k+1)n}$. For fixed g and k , this admits a treewidth of G which is $O(\sqrt{n})$.

Theorem 4.5. https://link.springer.com/chapter/10.1007/978-3-319-27261-0_8 Every (g, k) -planar graph G has layered treewidth at most $(4g+6)(k+1)$.

Proof. Draw G on the plane with an arbitrary orientation of the edges. Then replace every crossing with a new dummy variable to form G' . From above $\text{ltw}(G') \leq 2g + 3$. Let T' be the tree decomposition of G' , and let V'_0, V'_1, \dots be the layering of G' . For each dummy vertex x which crosses the arcs vw and ab , where w and b are the tail of the arcs respectively, replace x in T' with w and b . Then this is tree-decomposition with layered treewidth of $2(k+1)(2g+3)$. Thus shown. \square

4.5 K_t -minor-free graphs

Refer to MTH3000 report for definitions.

Theorem 4.6. <https://arxiv.org/abs/2104.06627> If G is K_t -minor-free, then $\text{tw}(G) \leq t^{3/2}n^{1/2}$.

Lemma 4.7. <https://www.ams.org/journals/jams/1990-03-04/S0894-0347-1990-1065053-0/> Let G be a graph and A_1, \dots, A_r be nonempty subsets of $V(G)$. Let $x \in \mathbb{R}$, $x \geq 1$. Then either:

1. There exists a tree T in G where $|V(T)| \leq x$ and $V(T) \cap A_i$ is nonempty for all i , or,
2. There exists a set Y in $V(G)$ of size at most $(r-1)n/x$ such that no component of $G - Y$ intersects all of A_1, \dots, A_r . To rephrase, if C is a component of $G - Y$, then we have there exists a set A_i such that C and A_i are disjoint.

Proof. We form a path in G of $A_1 \rightarrow A_2 \rightarrow \dots \rightarrow A_r$ such that there exists a path from A_1 to A_r passing through all of A_i . We then form a new graph J by taking $r-1$ copies of G and between copies G_i and G_{i+1} , there is an edge between the copied vertices of A_{i+1} in G_i and A_{i+1} in G_{i+1} . Denote the sets X and Y to be A_1 in G_1 and A_r in G_{r-1} respectively.

Then if $\text{dist}_J(X, Y) \leq x$, then the path $|P|$ is less than X , so we project P back to G and eliminate all loops to form a path, and therefore a tree, of length at most x .

If $\text{dist}_J(X, Y) > x$, then there is a bfs layering in J where $L_i = \{v \in V(J) : \text{dist}_J(X, v) = i\}$. By construction, there exists a j such that $|L_j| \leq (r-1)n/x$ and in the projection, L_j is a separator. \square

Theorem 4.8 (Illingsworth, Scott, Wood). For all $t \geq 5$, if G is K_t -minor-free, then $G \subseteq H \boxtimes K_{\lfloor m \rfloor}$, where $\text{tw}(H) \leq t-2$ and $m = \sqrt{(t-4)n}$.

Proof. We shall prove something stronger. For all $t \geq 5$ and K_t -minor-free graphs G , for all $r \leq t-1$, and all K_r -models (U_1, \dots, U_r) in G with $1 \geq |U_i| \geq m$ for all i , there exists a H -partition of G with width m and $U_1, \dots, U_r \in V(H)$, and $\text{tw}(H) \leq t-2$, where the width of a partition is the size of the largest bag.

Base case Let $U = \bigcup_i U_i$. Then suppose if $|V(G)| = U$, then (U_1, \dots, U_r) is the desired H -partition where $H = K_r$ has treewidth $r-1 \leq t-2$.

Inductive case Let $A_i = N_G(U_i) \setminus U$ for each i . If some A_i is empty, then we use the induction hypothesis on $G - U_i$. There is a partition H' of treewidth at most $t-2$ which has $(U_1, \dots, U_{i-1}, U_{i+1}, \dots, U_r)$ as a set, with width at most m . Then the neighbourhood of U_i is a clique on $r-1$ vertices, so $\text{tw}(H) = \max(\text{tw}(H'), r-1) \leq t-2$.

Disconnected $G - U$ Suppose $G - U$ is disconnected. Then we can partition $V(G - U)$ into two sets C and D such that there is no edge from C to D . Let $G_1 = G[C \cup U]$ and $G_2 = G[D \cup U]$. Then by induction, there is a partition H_1, H_2 of G_1 and G_2 respectively of width at most $t - 1$ and treewidth at most s that contains (U_1, \dots, U_r) as a bag. Then we let H be the model where we identify the two H_1 and H_2 models on the bag. Then $\text{tw}(H) = \max(\text{tw}(H_1), \text{tw}(H_2)) \leq s$, with width of H being at most $t - 1$.

Connected $G - U$ Use the lemma above and set A_1, \dots, A_r to be (A_1, \dots, A_r) and set $x = m$. Then there exists a tree in U which intersects with A_i for all i , or there exists a set Y such that C separates out C and D . We can show that the first scenario cannot happen as we have that Y would be too large, so there must be a Y such that this holds. Then $Y \leq (r - 1)n/m \leq (tn)/\sqrt{tn} = \sqrt{tn} = m$, so this would be the required set Y . \square

5 Algorithms on bounded treewidth

5.1 Monadic Second-order logic

Theorem 5.1. *Courcelle's theorem* If a family of graphs \mathcal{G} has bounded treewidth, then any graph property of any graph $G \in \mathcal{G}$ that can be expressed in Monadic Second-order logic can be decided in linear time.

6 Characterisations of K_t -minor free graphs

What is the structure of K_t -minor free graphs? We shall show that we can roughly characterise all K_t -minor free graphs as graphs that are products of a series of operations that preserve the treewidth.

6.1 K_t -minor free minor-closed families

We define $\text{had}(G)$ to be the largest t such that G has a K_t minor.

6.1.1 Planar graphs

Theorem 6.1. *If G is a planar graph, then G is K_5 -minor-free.*

Proof. If G is planar with n vertices and m edges, then we have that $m \leq 3n - 6$. However, we have that K_5 has 5 vertices and 10 edges, but we have that $10 > 3 \times 5 - 6$, so K_5 is not planar. As the family of planar graphs is minor-closed, then if G is planar, then K_5 is minor-free. \square

We can use a different argument to show that $K_{3,3}$ is not embeddable on the plane, by using the fact that $K_{3,3}$ is triangle-free. Suppose $K_{3,3}$ is embeddable on the plane. Then no face is a triangle as $K_{3,3}$ is triangle-free. But this means that the number of faces that $K_{3,3}$ has in total is at least $3 \times 4/2 = 6$ faces. But $6 - 9 + f = 2$, so $f = 5$. Therefore $K_{3,3}$ is nonplanar.

6.1.2 Genus-g graphs

We define the genus g of a surface to be 2 times the number of handles + the number of crosscaps. From topology, we have that we can add a handle to crosscaps to form 3 crosscaps. Therefore, the Euler characteristic $\chi = 2 - g$ for both orientable and non-orientable surfaces. Note that the genus is defined slightly differently from topology. We do this to allow non-orientable and orientable surfaces to coincide in definition.

We can show that if G has genus g , then if G has n vertices and m edges, then $n - m + f = \chi = 2 - g$, then as each face has at most 3 vertices and each edge is incident to two faces, we have that $f \leq 2m/3$. Therefore, $m \leq 3(n + g - 2)$, and if K_t is embeddable on a genus g graph, then $\binom{t}{2} \leq 3(t + g - 2)$. Thus $t \leq \sqrt{6g} + 4$. So if a graph has genus g , then it is K_t -minor-free, where $t > \sqrt{6g} + 4$.

6.1.3 Bounded treewidth graphs

Theorem 6.2. *If $tw(G) \leq k$, then G is K_{k+2} -minor-free.*

Proof. We shall prove the contrapositive: If K_t is a minor of G , then $tw(G) \geq t - 1$. If K_t is a minor of G , and $tw(G) \leq k$, then we have that $tw(K_t) \leq tw(G) \leq k$, but $tw(K_t) = t - 1 \leq k$, so $t \leq k + 1$. Thus shown a family of minor-closed which are K_t -minor free. \square

6.1.4 Apex vertices

An apex vertex v is added to a graph G such that it has arbitrary edges. As such, it can simply dominate all other vertices in G . Then if G is K_t -minor free, G with the apex vertex v is K_{t+1} -minor free.

6.1.5 Clique-sums

The k -clique-sum of two graphs G and H , denoted as $G \# H$, is the graph obtained by performing a series of operation on the cliques of G and H . We find cliques in G and H , C_G and C_H respectively, such that C_G and C_H have size k . Then we identify the vertices in C_G and C_H so that G and H are connected to each other on this clique.

Lemma 6.3. *If $G = G_1 \# G_2$, then $had(G) = \max(had(G_1), had(G_2))$ and $tw(G) = \max(tw(G_1), tw(G_2))$.*

Example 6.4. If G is the clique-sum of Euler genus g graphs, then G is $K_{\sqrt{6g}+5}$ -minor-free, but has unbounded genus.

Theorem 6.5 (Wagner's theorem). *If G is K_5 -minor-free, then G can be obtained from ≤ 3 -clique-sums of planar graphs and the Wagner graph W_8 .*

6.2 Torsos and adhesion

Given a graph G and a tree-decomposition \mathcal{T} , the *torso* of a bag B_x of T is the graph $G\langle B_x \rangle$, obtained from $G[B_x]$ where vw is a vertex in $G\langle B_x \rangle$ if and only if $v, w \in B_x \cap B_y$, where y is a neighbour of x in T . So the set $B_x \cap B_y$ for all neighbours y of x in T is a clique in $G\langle B_x \rangle$. The *adhesion* of a tree is defined as $\max(|B_x \cap B_y|)$ where xy is an edge in T .

6.2.1 Vortices

Let G be embedded on a surface Σ , and let F be a face on G . Let D be a disc in Σ such that D only intersects G only on vertices on the boundary of F . We denote these discs as G -clean.

Then let $\Lambda = (x_1, x_2, \dots, x_b)$ be a tuple of vertices on the boundary of F such that they intersect D . Then we define a new graph H such that $V(G) \cap V(H) = \Lambda$, and there is a path-decomposition of H of bags B_1, B_2, \dots, B_b such that $x_i \in B_i$ for all i . H is denoted as a D -vortex of G . The width of a D -vortex is the width of the path above, or $\max_i(|B_i| - 1)$.

Vortices were created to solve the problem of grid-like graphs with large treewidth, torsos and adhesion, yet are all K_t -free for bounded t .

6.3 Robertson-Seymour theorem

Given $g, p, a \geq 0$, $k \geq 1$, a graph G is (g, p, k, a) -almost embeddable if there exists an $A \subseteq V(G)$ with $|A| \leq a$, and there exists subgraphs $G_0, G_1, \dots, G_{p'}$ of G such that:

- $G - A = G_0 \cup G_1 \cup G_2 \dots G_{p'}$
- $p' \leq p$
- There is an embedding of G_0 onto a surface Σ of genus $\leq g$
- There exists pairwise disjoint G_0 -clean discs $D_1, D_2, \dots, D_{p'}$ in Σ

- G_i is a D_i -vortex of width at most k .

Theorem 6.6 (Robertson-Seymour graph structure theorem). *For all t , there exists $g, p, a \geq 0$, $k\ell \geq 1$, such that every K_t -minor-free graph has a tree-decomposition of adhesion $\leq \ell$ and each torso is (g, p, k, a) -embeddable.*

In fact, there exists a function $t(g, p, k, a)$ such that if a graph has a tree-decomposition of adhesion $\leq \ell$ and each torso is (g, p, k, a) -almost embeddable, then G has no K_t minor. One possible function is $t(g, p, k, a) = a + ck\sqrt{g + p}$.

7 Path-width

We define the path-decomposition of a graph G to be a sequence of bags B_i such that the subsequence of bags containing a vertex v induces a subpath and each edge vw is in a bag B_i . Then we define the width of a path-decomposition as $\max_i \{|B_i| - 1\}$, same as treewidth. The pathwidth of a graph G is the minimum treewidth.

Example 7.1.

Theorem 7.2 (Caterpillars). *Graphs have pathwidth at most 11 if and only if every connected component is a caterpillar (graphs where removing every leaf yields a path).*

Caterpillars. Suppose G is a caterpillar graph and p_1, p_2, \dots, p_n is the central path, and the leaves of vertex p_i are denoted as $v_{i,1}, v_{i,2}, \dots, v_{i,k}$. Then have the bags $(v_{1,1}, v_1), (v_{1,2}, v_1) \dots (v_{1,j}, v_1), (v_1, v_2), (v_{2,1}, v_2), (v_{2,2}, v_2), \dots$. We can see that each leaf appears once and each vertex on the central path is on a subpath of the path. Therefore, the pathwidth of G is 1. If G has pathwidth 1, then for each connected component, we choose a vertex v in B_1 and a vertex w in B_n , the final bag, and look at a path from v to w . This path must go through every bag, thus the non-path vertices must have neighbour only of the other one in the bag and thus the graph is a caterpillar. \square

Example 7.3. If a graph F is a forest, then the pathwidth of F is the largest pathwidth over all connected components.

Example 7.4. The pathwidth of a single vertex is 0.

Example 7.5. The pathwidth of a tree T is $\min_{P \subset T} 1 + \text{pw}(T - V(P))$ where P is a path.

Proof of inductive path-width. To show $\text{pw}(T) \leq 1 + \text{pw}(T - V(P))$, we have that if P is a path in T with vertices v_1, v_2, \dots , then consider the subtrees hanging off v_i for all i . $T - V(P)$ will have a path-width and we can order each connected component such that they appear in the order of the trees. Then we have that adding v_i to the bags of subtrees connected to v_i , and the bag (v_i, v_{i+1}) between the subtrees v_i and v_{i+1} will yield a path-decomposition of width $1 + \text{pw}(T - V(P))$.

Other direction To show there exists a path P such that $\text{pw}(T) \geq 1 + \text{pw}(T - V(P))$, we proceed by induction. Let B_1, \dots, B_n be a path-decomposition of T . Let x live in bag B_1 and y live in bag B_n , the final bag. Then let P be the unique path from x to y . Then P traverses through every bag in the path-decomposition. Then $\text{tw}(T) \geq 1 + \text{tw}(T - P)$ by induction. \square

We define a ternary tree to be a tree where every vertex has degree 1 or 4, except for the root r , which has degree 3. We define the complete ternary tree of edge-height h to be the unique complete ternary tree where the distance from the root r to any other vertex is at most h . Note that the treewidth of any tree is 1.

Lemma 7.6. *Let T_h be the complete ternary tree of edge-height h . Then $\text{pw}(T_h) = h$.*

Proof. We shall show for any path P , $T_h - V(P)$ has a copy of T_{h-1} . Let P be a path, and suppose that it goes the root r . Then as P cannot go through all three subtrees of T_h hanging off r , there must be a subtree which P does not go through. $T - V(P)$ will contain this subtree, thus $\text{pw}(T_h) \geq 1 + \text{pw}(T - V(P)) \geq 1 + (h-1) = h$.

Lower bound There exists a path-decomposition of T_h such that the size of each bag is at most $h + 1$. Order the leaves of the balanced ternary trees in the standard way, left to right when drawn with no crossings. Then let B_i be vertices on the path from r to the leaf ℓ_i . Then this is a tree-decomposition with at most $h + 1$ vertices, thus $\text{tw}(T_h) \leq h$. \square

For complete binary trees of edge-height h , it is easy to show there is a path-decomposition of width $\lceil h/2 \rceil$. (Consider doing the same operation, but with half the vertices.)

7.1 Treedepth

We define the *closure* of a rooted tree T to be the graph G where $V(G) = V(T)$ and vw is an edge in G if and only if v is an ancestor of w in T .

Definition 7.7. The *treedepth* of a graph G , denoted as $\text{td}(G)$, is defined to be the minimum vertex-height of a rooted forest T such that $G \subseteq \text{closure of } T$.

We have that this defines a path-decomposition of G by enumerating through all the vertices of T in the natural order with the bag B_i is the path from leaf ℓ_i to r . The size of the bag is the vertex-height, thus $\text{pw}(G) \leq \text{td}(G) - 1$. As every path-decomposition is a valid tree-decomposition, $\text{tw}(G) \leq \text{pw}(G) \leq \text{td}(G) - 1$. However, these parameters are not *tied*. We say two parameters $p(G), q(G)$ of a graph are tied if there is a function f such that $p(G) \leq f(q(G))$ and $q(G) \leq f(p(G))$ for all graphs G . Let \mathcal{G} be the graph family T_1, T_2, \dots where T_h is the complete ternary tree of height h . Then $\text{tw}(G) = 1$ for all $G \in \mathcal{G}$, but $\text{pw}(T_h) = h$, thus the pathwidth may be unbounded while the treewidth is constant. Let \mathcal{G} be the set of paths P_i for $i \in \mathbb{N}$. Then $\text{pw}(P_i) = 1$, but $\text{td}(P_i) = \lceil i/2 \rceil$. Therefore, the treedepth of graphs is not tied to the pathwidth, or to the treewidth.

7.1.1 Bounds on treedepth

Lemma 7.8. If T is a tree, then $\text{td}(T) \leq \log n$, where we take $\log = \log_2$.

Proof of above lemma. For all trees T , there exists a balanced separator with a single vertex v , from above. Therefore, $T - v$ has components of size at most $n/2$. Then we find the separators w_1, \dots, w_m of the other components of $T - v$ and we add an edge from v to w , with tree-depth at most $\log(n/2) = \log(n) - 1$. Then T has treedepth at most $\log(n) - 1 + 1 = \log n$. Thus shown. \square

Corollary 7.9. The tree-depth of a graph G with treewidth h is $O(h \log n)$.

The proof of this corollary is by calculating the tree-depth of the tree-decomposition of G , which is $O(\log n)$ (we may fudge numbers and bound the number of vertices in the tree-decomposition by the number of edges in G to get the desired result).

8 Classification of graph families

As before, we could classify graph families in this way:

1. $\text{tw}(G) \leq O(1)$ for all $G \in \mathcal{G}$. These graph families will include trees and paths, so the pathwidth and treedepth is unbounded, but from the lemma above, $\text{tw}(G) \leq O(\log n)$ for all graphs in the class, where we hide the treewidth. Examples of classes are:
 - Forests
 - Outerplanar graphs
 - Circumference $\leq k$
 - H -minor-free (H planar)
2. $\text{tw}(G) \leq O(n^{1/2})$ for all $G \in \mathcal{G}$. Examples are:
 - Planar graphs

- Bounded genus graphs
 - H -minor free where H is a fixed graph
 - k -planar graphs
 - (g, k) -planar graphs
3. $\text{tw}(G) \leq O(n^{1-\varepsilon})$ fixed $\varepsilon > 0$, for all $G \in \mathcal{G}$. Examples are:
- d -dim grids, $\varepsilon = 1/d$
 - touching graphs of d -dim spheres
 - k -ply d -system
4. $\text{tw}(G) \geq \Omega(n)$ for all $G \in \mathcal{G}$. Examples are:
- random graphs
 - Expander graphs
 - random d -regular graphs

We shall prove a statement relating the path-width and tree-depth of a graph G for families of graphs of the form $\text{tw}(G) \leq O(n^{1-\varepsilon})$.

Theorem 8.1. *If \mathcal{G} is a graph family such that $\text{tw}(G) \leq O(n^{1-\varepsilon})$ for all $G \in \mathcal{G}$, then $\text{pw}(G) \leq O(n^{1-\varepsilon})$ and $\text{td}(G) \leq O(n^{1-\varepsilon})$ for all $G \in \mathcal{G}$.*

We shall prove this using the following lemma. We define a *hereditary class* \mathcal{G} to be a class closed under vertex deletion. This is a weaker condition than minor-closure.

Lemma 8.2. *Fix $c > 0$ and ε in $(0, 1)$. Then suppose for all n -vertex graphs $G \in \mathcal{G}$, G has a balanced separator of order at most $cn^{1-\varepsilon}$. Then every n -vertex graph $G \in \mathcal{G}$ has $\text{pw}(G) \leq \text{td}(G) \leq c'n^{1-\varepsilon}$.*

Proof. We use the balanced separator concept from earlier, and use induction on the number of vertices. Consider the balanced separator S where $|S| \leq cn^{1-\varepsilon}$ and consider the connected components of $G - V(S)$. As G is minor closed, then each connected component of $G - V(S)$ will have treedepth at most $c'(n/2)^{1-\varepsilon}$, where $c' := \frac{c}{1-1/(2^{1-\varepsilon})}$. Then suppose we form a complete graph on S and add edges from S to all the vertices in $G - V(S)$ to form the closure of a tree of height at most $cn^{1-\varepsilon} + c'(n/2)^{1-\varepsilon}$. However, we have that:

$$\begin{aligned}
cn^{1-\varepsilon} + c'(n/2)^{1-\varepsilon} &= cn^{1-\varepsilon} + \frac{c}{1-1/(2^{1-\varepsilon})}(n/2)^{1-\varepsilon} \\
&= \frac{1}{1-1/2^{1-\varepsilon}}(cn^{1-\varepsilon}(1-1/(2^{1-\varepsilon})) + c(n/2)^{1-\varepsilon}) \\
&= c'n^{1-\varepsilon}
\end{aligned}$$

□

thus the treewidth is bounded by $c'n^{1-\varepsilon}$.

8.1 H-minor-free families

Let $\mathcal{G}_H :=$ the class of all H -minor free graphs. We have that $(\text{tw}(\mathcal{G}_H), \text{pw}(\mathcal{G}_H), \text{td}(\mathcal{G}_H))$ are $O(n^{1/2})$ constants, where n is the number of vertices.

Theorem 8.3 (Robertson + Seymour + Illingsworth). *The statement: "There exists a constant c such that for all $G \in \mathcal{G}_H$, $\text{pw}(G) \leq c$ " is equivalent to the statement: " H is a forest".*

Proof. Suppose H is not a forest. Then H has a cycle. However, the set of complete ternary trees has no H -minor and has unbounded path-width. The other direction is proven in <https://www.sciencedirect.com/science/article/pii/009589569190068U>. □

Therefore, a minor-closed class \mathcal{G} has bounded path-width if and only if \mathcal{G} excludes some forest. The equivalent statement for treewidth is that: "a minor-closed class \mathcal{G} has bounded treewidth if and only if \mathcal{G} excludes some planar graph". Proof by Robertson + Seymour, graph minors 1.

Lemma 8.4. *For all n -vertex planar graphs G , G is a minor of the grid on $2n \times 2n$ vertices.*

We also introduce the grid minor theorem as well.

Theorem 8.5 (Grid minor theorem). *There exists a function f such that every $P_k \square P_k$ -minor free graph has $tw \leq f(k)$.*

Robertson + Seymour, GM 1. Suppose \mathcal{G} excludes a non-planar H . Then the family of $n \times n$ grids is H -minor free with unbounded treewidth. Suppose H is planar of k verses. Then H is a minor of the $P_{2k} \square P_{2k}$ grid. Then G is $P_{2k} \square P_{2k}$ -minor-free. Therefore, $tw(G) \leq f(2k)$ by the grid minor theorem. \square

The grid minor theorem states that treewidth measures how close a graph is to being a tree and how far away a graph is from being a large grid.

8.2 Alternative characterisations of treewidth and path-width

We can show the following: For all G , the $pw(G) = \min k$ such that G is a spanning subgraph of an interval graph with no K_{k+2} , so the intervals cross at most $K + 1$ times.

We can show a similar result for treewidth as well: For all G , $tw(G) = \min k$ such that G is a spanning subgraph of a *chordal graph* with no K_{k+2} subgraph. A chordal graph is a graph with no induced cycle with more than 4 vertices. This is equivalent to saying that G has $tw \leq k$ if and only if G is a spanning subgraph of the intersection graph of a tree T with max clique size $k + 1$. G is chordal if all minimal separators are a clique.

9 Proof of Path-Width theorem

Theorem 9.1. *For every forest F , if a graph G is F -minor-free, then $pw(G) \leq |F| - 2$.*

The contrapositive is:

Theorem 9.2. *If a graph G has path-width at least w , and F is a forest where $|F| \leq w + 2$, then G contains F as a minor.*

Note that a complete graph on $|F| - 1$ vertices has pathwidth $|F| - 2$. We say that a separation is a pair (A, B) of $V(G)$ where $A \cup B = V(G)$, there are no edges between $A - B$ and $B - A$, and the order of the separation is $|A \cap B|$. We can consider A as being on the left of some separator, and B on the right of some separator. We say that $(A, B) \leq (A', B')$ if:

- $A \subseteq A'$
- $B' \subseteq B$

So (A', B') is to the "right" of (A, B) . For each $w \geq 0$, we say that (A, B) is w -good, if we can decompose A into a path of width at most w and the last bag is $A \cap B$, the separator.

9.1 Proof

Lemma 9.3. *If (A, B) and (P, Q) are separations of G , (A, B) is w -good, $(P, Q) \leq (A, B)$, and there are $|P \cap Q|$ vertex-disjoint paths of G between P and B' , then (P, Q) is w -good.*

Proof. Let R_1, \dots, R_t be disjoint paths between P and B' , where $t = |P \cap Q|$. Then we have that each path must pass through $P \cap Q$ as this is a separator, thus there are at least t elements in the separator. Additionally, each path must belong inside A as $A \cap B$ is also a separator. Therefore, $t \leq |A \cap B|$. Let $H = G[P] \cup \{R_1, \dots, R_t\}$. As H is in A , then there exists a path-decomposition of width at most w where the last bag is precisely $A \cap B$, or the endpoints of R_1, \dots, R_t . But contracting R_1, \dots, R_t to a single point yields a path-decomposition where the last bag is $P \cap Q$, as contracting $A \cap B$ along R_1, \dots, R_t yields $P \cap Q$. \square

We say that if (A, B) and (A', B') are separations of G , the second *extends* the first if $(A, B) \leq (A', B')$ and the order of (A', B') is at most the order of (A, B) . We say that a w -good separation of G is maximal if no other w -good separation extends it.

Let T be a tree, (A, B) a separation. We say (A, B) is (w, T) spanning if:

- $|A \cap B| = |T|$
- There exists a model φ of T in $G[A]$ such that each block in the model contains a vertex in $A \cap B$
- If $|T| \leq w + 1$ then (A, B) is maximal w -good.

Lemma 9.4. *Let $w \geq 0$ be an integer. Let G be a graph with path-width at least w , and let T be a tree, with $|T| \leq w + 2$. Then there exists a (w, T) spanning separation of G .*

Proof. Fix w . Then if $|T| = 0$, let $A = \emptyset$, $B = V(G)$. Then (A, B) is a w -good separation which is (w, T) -spanning.

If $|T| = 1$, then let $v = V(T)$. Then we choose an arbitrary $v \in B$ such that $A = \{v\}$, $B = V(G)$, and extend by maximality. If $2 \leq |T| \leq w + 1$, then let v be a leaf of T . We have that there is a $(w, T - v)$ -spanning separation (A, B) of G which is maximal w -good. Then let u be the neighbour of v in T and let u' be the intersection of the block of u in G and B . Then u' has a vertex v' in $B - A$, otherwise $(A, B - \{u'\})$ is w -good and extends (A, B) , contradicting the maximality of (A, B) .

We assume that $(A \cup \{v'\}, B)$ is w -good. Therefore, there exists a maximal w -good separation (A', B') that extends $(A \cup \{v'\}, B)$. Then (A', B') has order exactly $|T|$ as it did not extend (A, B) . Now we wish to show that (A', B') is (w, T) -spanning.

Contradiction argument Suppose not. Then there exists less than $|T|$ paths between $A \cup \{v'\}$ and B' . By Menger's theorem, then that implies that there exists a separator between $A \cup \{v'\}$ and B' of order $< T$. Call this separator (P, Q) with minimum order.

We have that $(A \cup \{v'\}, B) \leq (P, Q) \leq (A', B')$. By Menger's theorem, there exists $|P \cap Q|$ vertex-disjoint paths from P to B' . Therefore, (P, Q) is w -good, by the above lemma. But (P, Q) must extend (A, B) , since $|P \cap Q| \leq |A \cap B|$, and $(P, Q) \neq (A, B)$. However, this contradicts the maximality of (A, B) . Therefore, there are $|T|$ disjoint paths between $A \cup \{v'\}$ and B' . Therefore, (A', B') is (w, T) -spanning.

Conclusion Therefore, there is a (w, T) -spanning path separator (A, B) where $|T| = w + 2$. Therefore, we have that $G[A]$ contains a model of T , therefore G contains a model of T . Thus shown. \square

10 Chordal partitions

Let G, H be graphs. We let a H -partition of G be defined as a function $f : V(G) \rightarrow V(H)$ such that for all edges $vw \in E(G)$, we have that either:

- $f(v) = f(w)$, or
- $f(v)f(w) \in E(H)$.

We also impose that $f^{-1}(x)$ is connected for all $x \in V(H)$.

We define the width of a H -partition is $\text{width}(f) := \max(|f^{-1}(x)| : x \in V(H))$. Therefore if the width is k , then we have that $G \subseteq H \boxtimes K_k$, or that G is a subgraph of the k -regular inflation of H .

Recall that a chordal graph is one where every induced cycle is a triangle. Alternatively, we say that H is chordal if it has a simplicial vertex v such that $H - v$ is chordal, where a simplicial vertex is one where all its neighbours form a clique. Another definition is if and only if $\text{tw}(H) = \omega(H) - 1 = \chi(H) - 1 = \text{had}(H) - 1$.

Theorem 10.1. *If G has no K_t -minor, f is a chordal H -partition of G , then H is a minor of G and H has no K_t -minor.*

This would imply that $\text{tw}(H) + 1 = \text{had}(H) \leq t - 1$.

We shall prove the stronger result below.

Theorem 10.2. *Every K_t -minor-free graph G has a chordal H -partition such that each part of the partition has:*

- $\Delta \leq 3t - 10$
- $pw \leq 2t - 7$
- $bw \leq 2t - 7$

Proof. We say a subgraph $X \subset G$ is "processed" if X has the properties listed above.

Take a chordal H -partition of G such that:

1. each unprocessed part is simplicial in H
2. the number of vertices of G in a processed part is maximal.

Let A be an unprocessed part on a simplicial vertex of H . Then we have that the neighbours of A in H can be written as X_1, \dots, X_k where $k \leq t - 2$. Then we look at the vertex r in A with a neighbour in X_1 and label paths $P_1 \dots P_{k-1}$ where P_{i+1} is the shortest path from r to a vertex v_i where v_i has a neighbour in X_i . Then we have that this is a depth-first subtree, therefore we partition the graph into level sets L_1, \dots, L_m where L_i are all the vertices on P_1, \dots, P_{k-1} of distance exactly i from r . Then we let the new processed part, A' , be the union of all P_1, \dots, P_{k-1} . Then we have that A' has the property that every vertex has Δ at most $3t - 10$ from the fact that edges can only go between level sets i , $i - 1$ and $i + 1$ and there are at most $k - 1$ level sets. We also have that the path-decomposition is the concatenations of levels i and $i + 1$ meaning that each bag is at most $2t - 7$ vertices. Finally, the maximum distance between any two sets is $2t - 7$, thus $bw \leq 2t - 7$. \square

10.1 Hadwiger's conjecture and simple partial result

Theorem 10.3. *For all graphs G which are K_t -minor free, there exists a $H \subseteq G$ such that $|V(H)| \geq \frac{1}{2}|V(G)|$ and $\chi(H) \leq t - 1$.*

We will use a simpler lemma.

Lemma 10.4. *For all connected graphs X , there exists an independent set I and a dominating set D such that $|D| = 2|I| - 1$ for $I \subset D$.*

Proof. Take I and D to be maximal such that $|D| = 2|I| - 1$ and I independent, $I \subseteq D$. We have that for any single vertex v , $\{v\} = I = D$, satisfying the equation.

Now suppose D does not dominate X . We find a vertex not dominated by D and find a vertex x on the shortest path such that x is of distance 2 from D . Now we take y , the neighbour of D . We add y to D and x to I , which satisfies the induction hypothesis. \square

Now to prove the theorem above.

Proof. Let G be a connected K_t -minor free graph and let I_0 and D_0 be the dominating set and independent set as described above. Now let $G_1 = G - D_0$ and repeat on the connected components of G_1 for the sets G_1, \dots, G_k , and then repeat on the connected components and so on. After exhausting all connected components, we have that (I, D) forms a tree-like structure, and in fact the sets form a closed tree. We have that the depth of this tree is at most $t - 1$ as each path from a leaf to the root is a clique. Therefore, we have that the closed tree is chordal when we contract each D , and in fact we can contract each D to the corresponding I to yield a minor H such that $V(H) = \cup I \geq \frac{1}{2}|V(G)|$ and we colour (by colour we mean colouring each vertex in I the same colour) each level set of I the same colour, to yield that H requires at most $t - 1$ colours. \square

11 Brambles and treewidth

We define a bramble B to be a set $B_i \subseteq V(G)$ such that for all B_i, B_j , we have that either $B_i \cap B_j \neq \emptyset$ or there exists an edge between B_i and B_j and $G[B_i]$ is connected for all i . We define the hitting set of a bramble B to be the set of vertices $X \subset V$ such that $X \cap B_i \neq \emptyset$ for all i . We define the order of a bramble B to be the smallest $|X|$ where X is a hitting set. Then we define $\text{bn}(G)$ to be the maximum order over every bramble.

For $n \times n$ grids, we take a cross to be the union of a column and a row. Then we have that the set of all crosses forms a bramble, and the hitting set is of size at least n , as we must intersect all rows and columns. Therefore, we have that $\text{bn}(n \times n \text{ grid}) \geq n$.

Theorem 11.1 (Seymour and Thomas).

$$\text{bn}(G) = \text{tw}(G) + 1. \quad (11.1)$$

$\text{bn}(G) \leq \text{tw}(G) + 1$. We use the same trick that we did previously. We take a tree-decomposition of G $(X_i)_i$ and we use the fact that the intersection set between two neighbouring bags is a separator of G . So we have that $X_v \cap X_w$ is a separator for G . Let $T_{v:w}$ be the subtree on the end of X_v not including elements in X_w . Then we cannot have a bramble with one set in $T_{v:w}$ and $T_{w:v}$, as that would mean that the bags would not touch as they do not include vertices in the separator. Therefore, we have that all brambles either have a vertex in $X_v \cap X_w$, or they all live in either $T_{v:w}$ or $T_{w:v}$. Now orient the edge vw to point towards the side which contains brambles in $T_{v:w}$ or $T_{w:v}$. Then we have a bag B_x such that all arrows point towards B_x . Then B_x is a hitting set. Therefore for any bramble, the hitting set is at most $\text{tw}(G) + 1$, therefore we have that $\text{bn}(G) \leq \text{tw}(G) + 1$. \square

To prove the reverse direction, we wish to construct a bramble such that the smallest hitting set is at least $\text{tw}(G) + 1$. As we are taking this over maximal hitting sets, then proving an upper bound. Proof by Mazoit.

Theorem 11.2. *If G has $\text{tw}(G) = k + 1$, then G has a bramble of size k . Thus, $\text{bn}(G) \geq \text{tw}(G) + 1$.*

To prove this statement, let us define some terms. Let G be a graph. Fix k as an integer and (B, \mathcal{T}) be a tree-decomposition of G . We say a bag B_x is *small* if $|B_x| \leq k$ and *big* if $|B_x| \geq k + 1$. Suppose B_x is a big leaf bag with neighbour B_y . Then define $B_x - B_y$ as a k -flap of \mathcal{T} . We say a tree-decomposition is k -partial if every non-leaf bag is small, and ≥ 1 bag is small.

We shall use a technical lemma to build new tree-decompositions in a “correct” way.

Lemma 11.3. *Let G be a graph, k integer and fixed. Then suppose we have a k -partite tree-decomposition \mathcal{T}_X of G and a k -partite \mathcal{T}_Y of G . Let X be a k -flap of \mathcal{T}_X and Y be a k -flap of \mathcal{T}_Y . Then there is a tree-decomposition \mathcal{T} such that for all k -flaps Z in \mathcal{T} , we have that there is a k -flap Z' in \mathcal{T}_X or \mathcal{T}_Y such that $Z' \neq X$ and $Z' \neq Y$ and $Z \subseteq Z'$.*

We shall put off the proof of lemma until later. For now, we shall assume the lemma as given and prove the theorem.

Proof. Set $k = \text{tw}(G)$. Let β_0 be the set of all k -flaps over all k -partial tree-decompositions of G . We say a set β is *upwards-closed* with respect to k -flaps if $C \in \beta$, D is a k -flap, and $C \subseteq D$, then D is a k -flap. Obviously, β_0 is minor closed.

Then let β be a set of k -flaps in G such that:

1. β contains ≥ 1 k -flap from every k -partite tree-decomposition of G
2. For all $X \in \beta$, $\beta - \langle X \rangle$ violates the condition above. This is referred to as inclusion-wise minimal.

We have that β is nonempty as we remove elements from β_0 until the conditions above hold.

Lemma 11.4. *For all $X, Y \in \beta$, X and Y touch.*

Proof. Suppose not. Then we take a minimal X, Y contained in the original such that $\beta - X$ and $\beta - Y$ does not violate (2). Then $\beta - X$ and $\beta - Y$ fails (1), so there exists \mathcal{T}_X and \mathcal{T}_Y such that the only k -flap in β is X and Y respectively. Then we use the lemma above to create a tree-decomposition \mathcal{T} . \mathcal{T} is k -partial, meaning that none of its internal nodes have at least k elements. But this implies from treewidth that \mathcal{T} has a leaf node with at least $k + 1$ elements, which is big. But none of these leaf nodes are in β . They cannot be a subset of another k -flap, because there are no others apart from X and Y . But they cannot be a subset of X or Y as X and Y are minimal in β . Thus shown. \square

Now let us take β' to be the set of connected subsets in β . Now β' is a bramble. Let S be a hitting set in β' , and suppose $|S| \leq k$. Then we think about the connected components of $G - S$, call them $C_1 \dots C_p$. For each i , define $N_i = C_i \cup N(C_i)$. Then $N_1 \dots N_p \cup C$ is a tree-decomposition, with C as a star. But this is a k -partial decomposition, as C is small. Furthermore, as $\text{tw}(G) \geq k + 1$, then there is an N_i which is big. But this implies that C_i is a connected k -flap. Therefore, $C_i \in \beta'$. But C_i is not hit by S , thus S is not a hitting set.

Therefore, all hitting sets of this bramble are of size $\geq k + 1$, thus $\text{bn}(G) = \text{tw}(G) + 1$. \square

Now to prove the technical lemma.

Proof. Suppose G is a graph. Let k be a fixed integer. Then define \mathcal{T}_X and \mathcal{T}_Y as above, define X and Y as above.

As X and Y are not touching, suppose we have a minimal separator Z . Let U_X , the bag containing X , neighbour U_q . Then U_q is a separator of X from the rest of G , therefore U_q is also a separator of X from Y . As $|U_q| \leq k$ as U_q is either an internal node or a leaf of K_t , then X has a separator of size at most k .

Let S be a minimal separator of A and B . By Menger's theorem, there exists $|S|$ disjoint paths from A to S . Let $A = S \cup$ all connected components that lie on the path from S to X and let $B = G - A + S$.

We claim that there exists a k -partial tree-decomposition \mathcal{T}_B of $G[B]$ with S a leaf bag and for all k -flaps Z of \mathcal{T}_B , there exists a k -flap Z' in \mathcal{T}_A such that $Z \subseteq Z'$ and $Z' \neq X$.

For all $s \in S$, let w_s be a node of the tree of \mathcal{T}_X such that s is in the bag U_{w_s} . For all v in the tree of \mathcal{T}_X , let $D'_v = (D_v \cap B) \cup \{s \in S : v \text{ is in the } w_s x\text{-path in the tree-decomposition } \mathcal{T}_X\}$.

Then $|D'_v| \leq |D_v|$ as when we add elements back to D'_v we removed them already from B , so the size does not increase. Furthermore, we have that S is its own bag and since it is a subset of U_q then it is a leaf of \mathcal{T}_A . Furthermore, S is also small.

We then do the same procedure with the set B . We then have \mathcal{T}_B as the tree, with S being a small bag. Then we attach \mathcal{T}_A and \mathcal{T}_B by taking the disjoint union and adding an edge between both bags containing S . This is a tree-decomposition which satisfies the above properties. \square

12 A bird-eye's view

Consider the world of graph families that are not minor-closed. This is a much stranger world than the ones that we deal with, though we do understand it rather well.

The most interesting graph families are graphs where the number of edges is sparse in some way. We will define the notion of sparsity further.

12.1 1-planar graphs

Consider the family of 1-planar graphs \mathcal{F} . G is 1-planar if there exists an embedding such that each edge of G has at most one crossing.

Lemma 12.1. \mathcal{F} is not minor closed.

\mathcal{F} contains graphs K'_t such that K_t is a minor of K'_t . Consider K_t drawn on the plane with straight edges. For each edge, subdivide it such that the edge crosses over once. Then K_t is a minor of this graph as we can unsubdivide.

Note that every 1-planar graph is almost planar! We can construct every 1-planar graph from a 2-connected planar graph (with faces of size 3 or 4) and adding 2 crossing edges to each face of size 4.

12.2 Shallow minors

We say that H is an r -shallow minor if H is isomorphic to a graph obtained from G by contracting disjoint subgraphs of radius $\leq r$, deleting vertices or edges. Another way to state this is that H is a model of G where each preimage of the model has radius $\leq r$.

We say $\nabla_r(G) :=$ the maximum average degree of an r -shallow minor of G . We define $\nabla(G)$ to be the maximum average degree of any minor of G .

Theorem 12.2. *Let \mathcal{G} be a class such that there exists a c such that for all $G \in \mathcal{G}$, for all $r \in \mathbb{N}$, $\nabla_r(G) \leq c$. Then \mathcal{G} is contained in a proper minor-closed class.*

This is because there exists a $t = c + 2$ such that for all $G \in \mathcal{G}$, G is K_t -minor free. Then $\nabla_r(G) \leq c$, as $\nabla(G) \leq c$. Thus \mathcal{G} is inside a proper minor-closed class.

12.3 Linear expansion

We say a graph family \mathcal{F} has linear expansion if $\nabla_r(G) \leq cr$ for all $G \in \mathcal{F}$ and for all r .

Alternatively, $\nabla_r(G) = O(r)$. This set of families include all those contained in proper minor-closed classes, from the lemma above.

We claim that 1-planar graphs have $\nabla_r(G) \leq cr$.

12.4 Proof of linear expansion of 1-planar graphs

We will use a small lemma to prove this statement. Recall that the ltw of G is the minimum k such that there exists a layering (L_1, \dots, L_k) and a tree-decomposition $(B_x)_{x \in V(T)}$ such that for all $i, 1 \leq i \leq k$ and $j \in V(T)$, $|L_i \cap B_x| \leq k$.

Lemma 12.3. *If H is an r -shallow minor of G , then $\text{ltw}(H) \leq 2r\text{ltw}(G)$.*

Let H be a r -shallow minor of G . Let $(B_x)_x$ be a tree-decomposition of G . Then contracting every r -radius subgraph in G to form H means replacing all of the vertices in the r -radius subgraph in $(B_x)_x$ with a new vertex. Furthermore, let a and b be two distinct subgraphs in G which are contracted to form H . Then if a and b are adjacent in H , then the distance between the centre of a and the centre of b is at most $2r + 1$. Therefore if we look at the layering (L_1, \dots, L_k) of G , then combining (L_1, \dots, L_{2r}) , $(L_{2r+1}, \dots, L_{4r})$ and so on will be a layering of H . Then we have that $\text{ltw}(H) \leq 2r\text{ltw}(G)$ as the size of each bag remains the same but the size of each layer grows by at most $2r$.

Lemma 12.4. *For all graphs G , the minimum degree $\delta(G)$ is at most $3\text{ltw}(G)$.*

Let G be a graph with layering $(L_k)_k$ and book-embedding $(B_x)_x$ such that the intersections is at most $\text{ltw}(G)$. Then let B_s be a leaf-bag. If B_s is a subset of its neighbouring bag, then combine B_s and repeat. Then there exists a leaf bag B_s such that B_s contains a vertex q not in the neighbouring bag. But q lives in a layer L_i , and all of its neighbours must be in B_s . But this means that q must only have neighbours that are in B_s and in either L_i , L_{i-1} or L_{i+1} . But this means that q has at most $3\text{ltw}(G)$ neighbours. Thus shown.

By removing q and repeating this operation, we can iterate through the entire graph. Therefore, the number of edges is at most $3n\text{ltw}(G)$. But this implies that $2m/n = 6\text{ltw}(G)$, so the average degree is $\leq 6\text{ltw}(G)$.

Corollary 12.5. *For all G , $\nabla_r(G) \leq 12r\text{ltw}(G)$.*

Let H be an r -shallow minor of G . We have that the average degree of H is $\leq 6\text{ltw}(H)$, and $\text{ltw}(H)$ is $\leq 2r\text{ltw}(G)$. Therefore, $\nabla_r(G) \leq 12r\text{ltw}(G)$.

Recall that if G is planar, then $\text{ltw}(G) \leq 3$. We claim that if G is 1-planar, then $\text{ltw}(G)$ is at most 24.

Proof. Place a vertex at every crossing of G where G crosses once to form the planar graph G' . Then G' has layering $(L_i)_i$ and book-embedding $(B_x)_x$ with $\text{ltw}(G) \leq 3$. Then we replace each crossing vertex with the four vertices on either endpoints of G in the book-embedding and merge every two layer in the layering. Then $\text{ltw}(G) \leq 3 \times 4 \times 2 = 24$ so $\nabla_r(G) \leq 288r$. \square

As a corollary, For k -planar graphs, where each edge is allowed to cross at most k times, we have that $\nabla_r(G) \leq 144(k+1)r$.

Therefore, the class of 1-planar graphs has linear expansion.

12.5 Bounded expansion

We say a class \mathcal{G} has bounded expansion if there exists a factor f such that for all r and for all G in \mathcal{G} $\nabla_r(G) \leq f(r)$.

12.5.1 1-subdivisions of complete graphs

1-subdivisions of K_n have $n(n-1)$ edges and $n + \frac{n(n-1)}{2}$ vertices. The average degree is at most 4 but is a 1-shallow minor of K_n . So $\nabla_1(K'_n) \geq n+1$.

If G is a graph of max degree 3, then $\nabla_r(G) \leq 2^{r+2}$.

Graphs of bounded maximum degree have bounded expansion of roughly $(\Delta-1)^{r+2}$. But these graphs are exponential expansion, and there exist graphs with exponential expansion in r .

12.6 Polynomial expansion

We say that \mathcal{G} has degree d polynomial expansion if there exists a c such that for all r and for all $G \in \mathcal{G}$ if $\nabla_r(G) \leq cr^d$.

This encompasses k -planar graphs, but also quadratic expansions, and so on.

Why is polynomial expansion interesting?

Theorem 12.6. *Let G is a graph. Then it holds that for all r we have that $\nabla_r(G) \leq cr^d$ if and only if every n -vertex subgraph of G has a balanced separator of order $\leq c'n^{1-\varepsilon}$ where $\varepsilon = \varepsilon(d) > 0$ and is roughly $1/d$. Therefore, $tw(G) \leq O(n^{1-\varepsilon})$ and we say that $tw(G)$ has a strongly sublinear separator.*

Proven in the forwards direction by Plotkin, Rao and Smith. Proven in the opposite direction by Dvorak and Norin.

13 A brief introduction into graph product structure theory

In the GMST, we have the result that every K_t -minor free graph can be constructed out of building blocks:

- graphs of bounded genus
- clique-sums
- vortices
- apex vertices

However, this tells us nothing about the structure of graphs of bounded genus, or even planar graphs. Graph product structure theory tells us a way to build graph families which are more complex than K_t -minor free graphs.

The product that we are dealing with is the strong product. Let A and B be graphs. Then $A \boxtimes B$ where \boxtimes is the strong product is defined as: $V(A \boxtimes B) = V(A) \times V(B)$ and $(u, v)(a, b)$ is an edge in $A \boxtimes B$ if and only if $u = a$ and v is adjacent to b in B , or if $b = v$ and u is adjacent to a , or if u and v are adjacent to a and b respectively.

In fact, \boxtimes is the largest product that maintains projection, meaning that projecting an edge e from $E(A \boxtimes B)$ to A will send e to $E(A)$ or $V(A)$, likewise for B .

We say a graph G is contained in a graph H if G is isomorphic to a subgraph of H .

As a reformulation of the tree-partition-width, we have that $tpw(G)$ is exactly the inflation of a tree T . If $tpw(G) \leq k$, then G is contained in $T \boxtimes K_k$. Therefore from Distel + Wood, for all graphs G of $tw(G) \leq k$ and $\Delta(G) \leq \Delta$, G contained in $T \boxtimes K_{18k\Delta}$. In fact, we showed that $\delta(T) \leq 6\Delta$ and $|V(T)| \leq \max\{|V(G)|/2k, 1\}$.

13.1 Planar graphs

One paper which revitalised the interest of GPST is the paper by Vida Dujmović, Gwenaël Joret, Piotr Micek, Pat Morin, Torsten Ueckerdt and David R. Wood, which states that

Theorem 13.1. *Every planar graph G is contained in:*

1. $H \boxtimes P$ where $\text{tw}(H) \leq 8$ and P is a path,
2. $H \boxtimes P \boxtimes K_3$, $\text{tw}(H) \leq 3$.

We can think of the first statement as a layering of H according to P , and we can think of the second statement as a 3d layering with triangles.

Followup by Ueckerdt, Wood and Yi proved that $\text{tw}(H) \leq 6$. This comes from improvements at the start and end of the induction steps.

This finding was motivated by finding a bound on the queue-number of planar graphs. We know from Yannakakis that the pagewidth of planar graphs is at most 4, but Heath, Leighton and Rosenberg conjectured that the queue-number of planar graphs is bounded. In fact, we have that the queue-number of $H \boxtimes P \boxtimes K_\ell$ where H has tw at most k is at most

$$3\ell(2^k - 1) + 3/2\ell. \quad (13.1)$$

For $\ell = 3$ and $\text{tw}(H) = 2$, then this gives the upper bound of 49.

Full proof was given by Nikolai.

13.2 Graphs of Euler genus g

We can extend this to graphs on arbitrary surfaces. For all graphs G , we have that:

1. $G \subseteq H \boxtimes P$ where $\text{tw}(H) \leq 2g + 6$
2. $G \subseteq H \boxtimes P \boxtimes K_{\max\{2g, 3\}}$ where $\text{tw}(H) \leq 3$.

Therefore, $qn(G) \leq O(g)$.

13.3 Other graph families

Define the row-treewidth of a graph G to be $rtw(G) := \min k$ such that $G \subseteq H \boxtimes P$ and $\text{tw}(H) \leq k$. We have examples of families of graphs with bounded rtw . What about families of graphs with high rtw ? Let A_n to be the $n \times n$ grid with an apex vertex. We have that A_n is K_6 -minor free. Now suppose $A_n \subseteq H \boxtimes P$. But consider a layering of A_n . Consider where the apex vertex a sits in the layering- all its neighbours (which is A_n itself) must be sitting on either layer i , $i - 1$ or $i + 1$, where layer i is the layer which a sits in. But this implies that $\text{tw}(H) \geq n^2/3$. meaning that if A_n is a minor of \mathcal{G} for all n , then \mathcal{G} has unbounded rtw . In fact, the converse holds.

Theorem 13.2. *For all minor-closed classes \mathcal{G} , \mathcal{G} has bounded rtw if and only if some apex graph A is not in \mathcal{G} .*

Recall that a graph A is apex if there exists a vertex a such that $A - a$ is planar.

As a corollary, Euler genus g graphs have no $K_{3,2g+3}$ which is apex.

Proof uses Graph Minor Structure Theorem and is based on a strengthening by Dvorak and Thomas.

Theorem 13.3. *For all apex-minor-free graphs G , we can construct them with*

1. *Surfaces*
2. *Vortices*
3. *Weak apex vertices*

4. Clique-sums

Weak apex vertices are vertices that are adjacent to vortices and themselves. Strong apex vertices are apex vertices which are not weak.

Finally, if X is k -apex (with k apex vertices) Then G is X -minor-free if and only if G can be constructed out of

- surfaces
- vortices
- weak apex vertices
- $\leq k - 1$ strong apex vertices
- Clique-sums

As an addendum which are X -minor free are $k + 4$ -colourable with clustering $\leq f(X)$. Dujmovic + Wood, 2021

13.4 Past minor-closed families

We have that $H \boxtimes P$ deals with minor-closed classes. But what about non-minor-closed classes?

Consider k -planar graphs. We define a (k, d) -shortcut system \mathcal{P} on G_0 to be a set of paths in G_0 such that the length of each path is $\leq k$ and each vertex is internal to $\leq d$ paths of \mathcal{P} .

Then the shortcut system $G_0^{\mathcal{P}}$ is the graph where $V(G_0^{\mathcal{P}}) = V(G_0)$ and $vw \in E(G_0^{\mathcal{P}})$ if and only if there is a vw path in \mathcal{P} . We claim that for all k -planar graphs G , there is a planar graph G_0 and $G = G_0^{\mathcal{P}}$ for some $(k + 2, 2)$ -shortcut system.

Place a dummy vertex on each intersection of G , call the graph G_0 . Then for every edge vw , add the path $vx_1, x_2, \dots, x_k w$ to \mathcal{P} . Then $G \subseteq G_0^{\mathcal{P}}$.

Lemma 13.4. *Dujmovic, Morin, Wood For all $G_0 \subseteq H \boxtimes P$, $tw(H) \leq k$, for all (k, d) shortcut systems \mathcal{P} of G_0 , if $G = G_0^{\mathcal{P}}$, then $G \subseteq H' \boxtimes P'$, where $tw(H) \leq f(t, d, tw(H))$.*

Therefore, k -planar graphs also have the product structure.

Lemma 13.5. *Hickingbotham, Wood If $G_0 \subseteq H \boxtimes P$, and G is an r -shallow minor of $G_0 \boxtimes K_L$, then $G \subseteq H' \boxtimes P$ where $tw(H) \leq f(tw(H), r, c)$.*

As an example, if G is k -planar, then G is a $\approx k/2$ -shallow minor of $G_0 \boxtimes K_2$ where G_0 is planar.

14 Erdos-Posa property

Theorem 14.1 (Erdos-Posa). *Every graph G has either k disjoint cycles or a vertex set $S \subseteq V(G)$ where $|S| \leq ck \log k$ where $G - S$ has no cycles.*

We prove some small lemmas that build to the Erdos-Posa theorem.

Lemma 14.2. *Every graph G with $\delta(G) \geq 3k$ has k cycles.*

Proof. We shall prove this by induction. For $k = 1$, this is trivial. Now suppose $k \geq 2$. Let C be the smallest cycle in G . Then every vertex has at most three neighbours on C . If v has four neighbours on C , then there exists a smaller cycle that goes through v . Then $G - C$ has smallest degree $\geq 3(k - 1)$. By induction, $G - C$ has $k - 1$ cycles. Add C back on for the k -th cycle. \square

Lemma 14.3. *Every graph of minimum degree at least q and girth at least $c \log q$ contains K_q as a minor.*

Now is the proof of the Erdos-Posa theorem.

Proof. We will do induction on $k + |V(G)|$. Suppose G has a cycle C of length $\leq c \log k$. Then by induction, $G - C$ will either have $k - 1$ disjoint cycles or a vertex set S of size at most $c(k - 1) \log(k - 1) \leq ck \log k - c \log k$ such that $G - C$ has no cycles. In the first case, add C as a cycle for k total cycles, or let $S' = C \cup S$ to have a set of size at most $ck \log k$ where $G - S$ has no cycles.

Then suppose there is a vertex v of degree 2 in G , and G has girth ≥ 4 . Then let x and y be the edges of v and contract v to y . Then if $G \setminus vy$ has k cycles, then any cycle including x and y can be uncontracted to include v . Any cycle missing either x or y are untouched by the contraction operation. Therefore, G has k cycles. Otherwise, suppose $G \setminus vy$ has $ck \log k$ sized feedback set S . Then this hitting set is the same in G . Any cycle that goes through v must go through x and y .

Finally, let $r \geq \lfloor c/8 \log k \rfloor$ and G has girth $\geq 8r$ and min degree of $G \geq 3$. Then let X be a maximal set of vertices in G at distance $\geq 2r + 1$. Let $B_x = \{v : d(v, x) \leq r\}$. These are disjoint balls by definition. Let B'_x be connected subgraphs obtained by greedily adding vertices to B_x of distance $\leq 2r$. There exists a cover with sets of radius r . Then there are $\geq 32^r$ edges with exact 1 endpoint in B'_x and one endpoint in B_x . Furthermore, B'_x and B'_y only have a single edge between, otherwise the girth is less than $8r$. Therefore, at most 1 edge joins B'_x to B'_y . Contract B'_x to a vertex G' . No edges are lost when contracting balls to vertices, and G' has big degree- $\delta(G') \geq 3 \times 2^{r-1}$. By the above lemma, $\delta(G')$ has 2^{r-1} disjoint cycles which is roughly k disjoint cycles. \square

Recall that the Erdos-Posa theorem states that for any graph G , there exists k disjoint cycles or there exists a vertex set $|S|$ where $|S| \leq f(k) \in \Theta(k \log k)$ vertices in G such that $G - S$ has no cycles.

A graph H has the *Erdos-Posa property* if for any graph G , there exists k disjoint subgraphs of G each containing a H -minor or there exists a vertex set $|S|$ where $|S| \leq f_H(k)$ vertices in G such that $G - S$ is H -minor-free.

There is a paper by Raymond et al where $f_H(k) = C_H k \log k$ where C_H is some constant.

Theorem 14.4. *If H is planar, then H has the Erdos-Posa property.*

Proof. Suppose H is planar and connected. Then $K = 1$ is trivial for any graph H . Now suppose $K \geq 2$. Let $H' = H \sqcup \dots \sqcup H$ k times. H' is planar. From GMT 3, For all planar graphs H , if G has no H minor, then $\text{tw}(G) \leq C_H$ for some H . Now consider a tree-decomposition of G , $(B_x, x \in T)$. The size of each bag is $\leq C_{H'} + 1$. Consider all models of H in G and see what subtree each model spans. Let \mathcal{F} be the family of subtrees whose subgraph contains a minor of H . As none of k subtrees are disjoint, then every k subtrees in \mathcal{F} must intersect at some bag. By the Helly property, there exists a set S of bags of size $k - 1$ bags such that every subtree in T intersects with S . Then remove $S' = \cup_{x \in S} B_x$ from G . Then there is no minor of H in this induced subgraph. \square

$|S'| \leq |S|(\text{tw}(G) + 1) = |S|(c(H, k) + 1)$. But $C(H, k) = O(k^9 |V(H)|^9)$, so $|S'| = O(K^{10} |V(H)|^9)$.

Theorem 14.5. *Let \mathcal{F} be a family of subtrees trees on a tree T . Let k be an integer ≥ 1 . Then if every k -subset of \mathcal{F} are not disjoint, then there exists a vertex set $S \subseteq V(T)$, $|S| \leq k - 1$ such that every tree in \mathcal{F} intersects with S .*

Proof. Use induction on $|\mathcal{F}| + k$. If $|V(T)| = 1$, then this is trivial. Let v be a leaf in T . If $\{v\} \in \mathcal{F}$, then delete v from T and remove v from all trees in \mathcal{F} . Then use the induction hypothesis. If $\{v\}$ is not a tree, then if two bags intersect on v , they must intersect on its neighbour w . Delete v from T and from \mathcal{F} . \square

Theorem 14.6. *Suppose H is a nonplanar graph, and an integer $f_H(2) \geq 1$. Then there exists a graph G where G has no two disjoint copies of H and there exists no set $C \subseteq V(G)$, $|C| \leq f_H(2)$ such that $G - C$ has no H minor.*

Proof. Suppose H has oriented genus g . Then $H' = H \sqcup H$ has oriented genus $2g$. Embed $H'H$ onto a g -torus. Each component is vertex disjoint but edges can cross. At most 2 edges are at each crossing. Let G' be the embedding but with a vertex on each crossing of the embedding. Suppose EP holds for H . Then for G' , G' has a minor of H' or has a set of bounded size of no H minor. The genus of H' is $2g$ which is bigger than g . Therefore, G' has no H' minor. Suppose there exists a set S . Then $|S| \leq f_H(2)$. S hits $2|S|$ copies which is $< \ell$. Therefore, $G - S$ contains a H minor. \square

Why $k \log k$? There exists infinitely many integers n such that there is an n -vertex graph with treewidth $\Theta(n)$ and girth $g = \Theta(\log n)$. These are Ramanujan graphs.

Take $k = \lfloor n/g \rfloor + 1$. Then $k = \lfloor n/g \rfloor + 2$. G has $\leq n/g < k - 1$ disjoint cycles. Therefore it fails property 1. Suppose $S \subseteq V(G)$ has no cycles. As $\Omega(g) \leq \text{tw}(G) \leq |S| + 1$, then $|S| \in \Omega(n)$. Then as $n \approx kg$, $n \approx k \log n$, so $n \approx k \log k$ with some bootstrap. Therefore, $|S| \geq \omega(n) = \Theta(k \log k)$.

15 List colouring

Let G be a graph. A list assignment L is a function $V(G) \rightarrow 2^c$ where c is a set of colours. A function $f : V(G) \rightarrow c$ is an L -colouring if $f(v)$ is in $L(v)$ for all $v \in V(G)$. G is k -list colourable (or k -choosable) if G is L -colourable for every list-assignment L with $|L(v)| \geq k$ for all $v \in V(G)$.

$\chi_\ell(G)$ is defined to be the list-colouring number of G . The list colouring number of G is defined to be the smallest k so that G is k -colourable.

15.1 Bounds on list colouring

Recall the degeneracy of a graph G is $\min_{H \subseteq G} \delta(H)$. Let $\text{degen}(G)$ be the degeneracy of G .

- $\chi(G) \leq \chi_\ell(G)$
- $\chi_\ell(G) \leq \text{degen}(G) + 1$.

If G is k -list-colourable, then as the k -list colourable includes the list-assignment $f(v) = \{1, \dots, k\}$, then G is k -colourable. This is the first inequality. The second inequality comes from greedily colouring G .

Proof. We prove using induction on $|V(G)|$. For the base case, $|V(G)| = 1$. Then $\chi_\ell(G) = 1 \leq \text{degen}(G) + 1$.

Now suppose $|V(G)| > 1$, and L is a $(\text{degen}(G) + 1)$ -list assignment of G . Let v be a vertex of minimum degree, and consider $G - v$. This subgraph has an L -colouring by the induction hypothesis. Now consider $N_G(v)$ and the colours assigned. Since v is given $\text{degen}(G) + 1$ colours from $L(v)$, and $|N_G(v)| \leq \text{degen}(G)$, there is a colour in $L(v)$ not assigned to any of the neighbours of v . Therefore, we can assign to v a colour that is not any of its neighbours, so G is L -colourable. \square

Lemma 15.1. *For all k there exists a triangle-free graph G so that $k = \chi(G) = \chi_\ell(G) = \text{degen}(G) + 1$.*

Proof. Let $G_1 = K_1$. Then given G_{k-1} , let G_k be $k - 1$ copies of G_{k-1} , and for every choice $\{v_1, v_2, \dots, v_{k-1}\}$ of $k - 1$ vertices (with replacement) in G_{k-1} , add a vertex adjacent to the first copy of v_1 , the second copy of v_2 , and so on. In total, there are $|V(G_{k-1})|^{k-1}$ new vertices added. We claim that G_k is not $(k - 1)$ -colourable.

Suppose G_k is $(k - 1)$ -colourable, and this colouring is best possible. Let v_i be a vertex in the i -th copy of G_{k-1} with colouring i . But this means that the vertex adjacent to $(1, v_1), (2, v_2), \dots, (k - 1, v_{k-1})$ has all neighbours assigned different colours. Then $\chi(G_k) = k$. Finally, G_k is triangle-free and has degeneracy $k - 1$. \square

If G is triangle-free, then the maximum chromatic number is $\Theta(\sqrt{n/\log n})$. This is by induction. If $\Delta(G) \leq o(\sqrt{n})$, then we can colour G with $\sqrt{n/\log n}$ colours. Otherwise, there is a vertex v of high degree, so we delete v and $N_G(v)$ and colour $N_G(v)$ colour 2 and v colour 1. Then $G - v - N_G(v)$ has $n - O(\sqrt{n})$ vertices, so we can use induction.

A lower bound is by Kim(1995). He showed that $R(3, t)$ has asymptotic order of magnitude $t^2/\log t$. This means that there exists an n -vertex triangle-free G with independence number $\leq O(\sqrt{n} \log n)$.

15.2 Bipartite graphs

We will show that there exist bipartite graphs with unbounded list chromatic number. This shows that chromatic number does not bound list chromatic number from above.

Theorem 15.2. *For every integer $n \geq 4$, $\chi_\ell(K_{n,n}) \geq \log_4(n)$.*

Proof. Fix an integer $k \geq 0$. Let $n = \binom{2k-1}{k}$. Let A, B be the bipartition of $K_{n,n}$, so $|A| = \binom{2k-1}{k} = |B|$. Now let $c = \lfloor 2k - 1 \rfloor$. For every vertex in A , choose a unique set of k vertices from C , and the same for B , so no two vertices in A are assigned the same list from c .

Now suppose $K_{n,n}$ is L -colourable. In A , at least k colours are used, because if k colours are unused, then there is a vertex in A which is assigned no colours. Similarly for set B . Then there is a colour in A and B which is assigned the same colour. Therefore, there is no list assignment for B . So $n = \binom{2k-1}{k} \approx \frac{4^k}{\sqrt{k}}$. Then $k \approx \log_4(n)$. \square

Alon showed that for all graphs G with average degree d , $\chi_\ell(G) \geq c \log d$ for some c . Let $L(v)$ assign k regular elements of c . Then the probability that G is not L -colourable is nonzero, so there is a choice that bounds the degeneracy. Therefore, $\log((G)) \leq \chi_\ell(G) \leq \text{degen}(G) + 1$.

15.3 Planar graphs

Erdos, Rubin and Taylor conjectured that every planar graph G is 5-choosable. They further conjectured that this bound is tight, meaning there exists planar graphs which are not 5-choosable. There are multiple proofs of the second statement. In fact, Maryam Mirzakhani (aged 19!) constructed such a graph.

Theorem 15.3 (Thomassen). *Every planar graph G is 5-choosable.*

Proof. Let G be a near-triangulation of the plane, meaning all faces but the outerface of G is a triangle. Let B be the outerface and let C be the boundary of B . C is a subgraph of G and is a cycle $v_1 v_2 \dots v_p v_1$. Now let L be a list assignment such that $L(v_1) = 1$, $L(v_2) = 2$, $|L(v)| \geq 3$ if $v \in C - \{v_1, v_2\}$, and $|L(v)| \geq 5$ if $v \in G - C$. Then G is L -colourable.

If $p = 3$, then G is obviously 5-choosable. Now we assume $V(G) \geq 3$, and we induct over $|V(G)|$. If there is a chord $v_i v_j$ in $G[V(C)]$, then we apply the induction hypothesis to the cycle $v_1 v_2 \dots v_i v_j v_{j+1} \dots v_1$ and its interior, call this graph G_1 . Then to $v_i v_j v_{j-1} \dots v_{i+1} v_i$ and its interior, call this subgraph G_2 . $v_i v_j$ become the start of the new cycle in G_2 and is coloured by the choice of colour in G_1 . So we assume that the subgraph induced by C in G is chordless.

If this is the case, let $v_1, u_1, u_2, \dots, u_k v_{p-1}$ be the neighbours of p in the clockwise order around v_p . As C is triangulated, G contains the path $P = v_1, u_1, u_2, \dots, u_k v_{p-1}$. As C is chordless, $P \cup (C - v_p)$ is a cycle C' . Let x, y be two distinct colours in $L(v_p) - L(v_1)$. Define $L'(u_i) = L(u_i) - \{x, y\}$, and $L'(v) = L(v)$ otherwise. Every colour on the boundary has 3 colours and v_1, v_2 are given a single colour. Every vertex on the interior is given 5 colours. Then apply the induction hypothesis. Finally, we colour v_p the colour of x or y so that v_p is given a different colour to v_{p-1} . \square

15.4 Graphs on surfaces

Every graph on a surface of Euler genus g has average degree $d(G) \leq \lfloor \frac{1}{2}(7 + \sqrt{1 + 24g}) \rfloor$. This implies that $\chi(G) \leq \chi_\ell(G) \leq \lfloor \frac{1}{2}(7 + \sqrt{1 + 24g}) \rfloor$. This is tight for every surface except the sphere and the Klein bottle. K_7 does not embed on the Klein bottle. There is a conjecture that a toroidal graph is 5-choosable iff it is also 5-colourable.

16 Hadwiger's conjecture

Is it true that $\chi_\ell(G) \leq \text{had}(G) + 1$? No. (Joret + Wood): There exists a G such that $\chi_\ell(G) \geq \frac{4}{3} \text{had}(G) + c$.

For all t there exists a K_{3t+1} -minor free G that is not $4t$ -choosable.

Proof. Let $H = K_{4t+2} - M_{2t+1}$ where M_{2t+1} is a perfect matching on $2t + 1$ edges. Assign each matched vertex to sets V and W . K_{3t+1} is the largest complete graph minor on H , so H is K_{3t+2} -minor-free.

For any $c_1, \dots, c_{2t+1} \in \{1, \dots, 4t\}$, let this be a copy of H , $H(c_1, \dots, c_{2t+1})$ where $v_1, \dots, v_i \in V$ is assigned lists $L(v_i) = \{1, \dots, 4t\}$ and $L(w_i)$ where $w_i \in W$ is assigned $\{1, \dots, 4t + 1\} \setminus \{c_i\}$. Let G be the graph constructed from the union of $H(c_1, \dots, c_{2t+1})$ over all possible choices of c_1, \dots, c_{2t+1} , and identify all v_1 in every copy to a single vertex, v_2 in every copy to a single vertex, and so on. As we are gluing on a clique sum of $2t + 1$ vertices, G is K_{3t+2} -minor-free. The total number of colours used is $4t + 1$. Suppose G is

L -colourable with function f . $|V(H)| = 4t + 2$ so there exists an i in every copy of H where there is a vertex pair $v_i w_i$ such that $f(v_i) = f(w_i)$, from the pidgeonhole principle.

In $H(c_1, c_{2t+1})$ there exists a v with $f(v) \neq c_i$ for every c_i . Since we this happens $2t + 1$ times, look at $H(c_1, \dots, c_{2t+1})$. Then v_1, v_2 are given colours that are not c_i but this contradicts the above statement that in every H there is a vertex pair $f(v_i) = f(w_i)$. \square

16.1 K_5 -minor-free graphs

Theorem 16.1 (Linusson and Wood). *Every K_5 -minor-free graph G is 5-choosable.*

Let G be a graph that is K_t -minor free. Let $B \subseteq V(G)$ be a subset of vertices of G . Let $G^{B+\alpha}$ be the graph with vertex set $V(G) \cup \{\alpha\}$ for a new vertex α and edge set $E(G) \cup_{b \in B} \alpha b$. $B \subseteq V(G)$ is a boundary of G if $G^{B+\alpha}$ is also K_t -minor-free.

Lemma 16.2. *Let \mathcal{G} be the set of K_t -minor-free graphs for some fixed t and let $G \in \mathcal{G}$. Let B be a boundary of G . Let $v \in B$. Then $C := (B \setminus \{v\}) \cup N_G(v)$ is an M -boundary of $H = G - v$.*

Proof. Observe that $H^{C+\alpha}$ is isomorphic to $G^{B+\alpha}$ with edge va contracted. Since $G^{B+\alpha}$ is in \mathcal{G} and \mathcal{G} is minor-closed, $H^{C+\alpha}$ is in \mathcal{G} . Then C is a boundary of H . \square

Lemma 16.3. *Let x, y, z be distinct vertices in a graph G . Then there is a rooted K_3 minor in x, y, z if and only if there is no vertex u such that $G - u$ places $\{x, y, z\} - u$ in different components.*

Proof. Given K_5 -minor-free graph G , boundary $B \subseteq V(G)$, clique $A \subseteq B$, list-assignment L , where $|L(a)| = 1$ for all $a \in A$, $L(a) \cap L(b) = \emptyset$ for all $a, b \in A$ distinct, $|L(x)| \geq 3$ for all $x \in B \setminus A$, $|L(x)| \geq 5$ for all $x \in V(G) \setminus B$, G is L -colourable.

Base case: Single vertex. Always 5-colourable. Induction on $(V(G), |A|)$. Case 1: If $B = \emptyset$, add a single vertex v to A, B , and colour v from its list arbitrarily.

Case 2: $A = \emptyset$. Pick $v \in B$, place in A . Colour v arbitrarily.

Case 3: G is disconnected. Induct on components of G .

Case 4: G has a cut vertex $v \in B$. A is in connected component G_1 , rest is in G_2 . Induct on $G_1, A, B \cap G_1$. Then $G_2, \{v\}, B \cap G_2$.

Case 5: G has cut vertex v , $v \neq B$, v separates B . Then G contains a separation G_1, G_2 where $G_1 \cap G_2 = \{v\}$ where B is in both G_1 and G_2 . Since A is a clique, $A \subseteq V(G)$ wlog. Then $B \cap V(G_1)$ is a boundary of G_1 . Similarly, $B \cap V(G_2)$ is a boundary of G_2 , and adding $v \in A$, and letting $v \in V(G_2)$ be coloured so it matches its colour in G_1 holds.

Case 6: G contains a cutset v, w separating two vertices of B , $v \in B$. Suppose vw is not an edge. Then adding the edge vw does not add a K_5 minor. Let G' be the graph obtained from $G^{B+\alpha}$ by adding vw . Then since α, v, w is a separator of G' into G_1, G_2 then all we have to show is that $G_1 + vw$ is K_5 -minor-free. This is done by contracting G_2 to the edge vw . Then this graph is also not K_5 -minor-free. Similarly for G_2 , $G_2 + vw$ is K_5 -minor-free. Then since we glue on K_3 , G' is K_5 -minor-free so $G + vw$ is also K_5 -minor-free. Now assume A is a clique and $A \subseteq V(G_1)$. Let B_1 be an M -boundary. G_1 can be given colours which determine the colours of G_2 with boundary $B \cup \{w\} \cap V(G_2)$ and $A = \{v, w\}$.

Case 7: Vertex $v \in B$ has degree ≥ 3 . Let x, y, z be neighbours of v in B . Then if $G - v$ has a K_3 minor rooted at x, y, z , then adding v, α produces a K_5 copy in $G^{B+\alpha}$. So $G - v$ contains no K_3 minor rooted at x, y, z . By lemma 5, for some $w \in G - v$, all the vertices in $\{x, y, z\} \setminus w$ are in distinct components for $G - \{v, w\}$. Then $\{v, w\}$ is a cutset so we go to case 5 or 6.

Case 8: $G[B]$ has max degree ≤ 2 . $G[A]$ is a component of $G[B]$. Let $v \in A$, let $G' = G - v$, $A' = A \setminus B$, $B' = (B \setminus \{v\}) \cup N_G(v)$. B' is a boundary of G' . Let $L'(u) = L(u) \setminus L(v)$ for every $u \in N_G(v) \setminus B$. Since $|L(v)| = 1$ and v has no neighbour in $B \setminus A$, then $|L'(x)| \geq 3$ for every $x \in B'$. Let $L'(x) = L(x)$ for every other vertex. Assign v the colour in $L(v)$ this colour is not in $L'(u)$ for every $u \in N_G(v)$.

Case 9: $G[A]$ is not a component of $G[B]$, $G[B]$ has max degree 2. Choose $v \in B \setminus A$ adjacent to $p \in A$. Since $G[B]$ has max degree at most 2, v has one other neighbour in B . Let w be the neighbour. Let $G' = G - v$, $B' = B \setminus \{v\} \cup N_G(v)$. Then B' is a boundary of G' . Let c, d be distinct colours in $L(v) \setminus L(p)$. Let $L'(u) = L(u) \setminus \{c, d\}$ for every $u \in N_G(v)$. Let $L'(x) = L(x)$ for every other vertex x . Then (G', A, B', L') is not a counterexample. Assign v colour c or d different to w . Then G is L -colourable. \square