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## Introduction

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**Note** All vector spaces are real and finite dimensional unless otherwise stated.

**Definition 0.1** A bilinear form  $\Omega : V \times V \rightarrow \mathbb{R}$  on a vector space  $V$  is a *linear symplectic form* if it is

- (a) skew-symmetric, i.e.  $\Omega(v, w) = -\Omega(w, v) \quad \forall v, w \in V$ ;
- (b) non-degenerate, i.e.  $\Omega(v, w) = 0 \quad \forall v \in V \implies w = 0$ .

**Example 0.2**

- (1) Consider<sup>1</sup>  $V = \mathbb{R}^2, B = (e_1, e_2)$ . Then<sup>2</sup>  $[\Omega]_B^B = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  represents a linear symplectic form on  $\mathbb{R}^2$ .
- (2) Consider  $V = \mathbb{R}^{2n}, B = (e_1, \dots, e_n, f_1, \dots, f_n)$ . Then

$$[\Omega]_B^B = \left( \begin{array}{c|c} 0 & I_n \\ \hline -I_n & 0 \end{array} \right)$$

represents a linear symplectic form on  $\mathbb{R}^{2n}$ . Moreover, we have that

$$\begin{aligned} \Omega(e_1, e_j) &= 0, \\ \Omega(f_i, f_j) &= 0, \\ \Omega(e_i, f_j) &= \delta_{ij} = -\Omega(f_j, e_i). \end{aligned} \tag{0.1}$$

**Definition 0.3** The pair  $(V, \Omega)$  is a (*real*) *symplectic vector space*. If it has a basis  $B = (e_1, \dots, e_n, f_1, \dots, f_n)$  satisfying the relations given by the equations in (0.1), we say that  $B$  is a *symplectic basis* of  $(V, \Omega)$ .

**Remark 0.4** If  $B$  is an ordered basis of  $V$ , then  $[\Omega]_B^B$  is antisymmetric and invertible.

<sup>1</sup>Whenever order is needed for a basis, I write them as ordered bases (i.e. between parenthesis) instead of unordered sets, and this is important to me!

<sup>2</sup>The notation  $[\Gamma]_\alpha^\beta$  indicates the matrix representation of the bilinear form  $\Gamma$  which takes column vectors  $[w]_\alpha$  from the right represented, which are with an ordered basis  $\alpha$ , and row vectors  $([v]_\beta)^T$  from the left, which are represented with an ordered basis  $\beta$  and transposed, and computes  $\Gamma(v, w)$ .

**Example 0.5** Let  $W$  be a vector space with dual  $W^*$  and  $V = W \oplus W^*$ . Note there is an isomorphism of vector spaces  $W \oplus W^* \xrightarrow{\sim} W^* \oplus W$ ,  $(w, f) \mapsto (f, -w)$ . Then,

$$\begin{aligned}\Omega : V \times V &\rightarrow R, \\ ((w_1, f_1), (w_2, f_2)) &\mapsto f_2(w_1) - f_1(w_2)\end{aligned}$$

is a linear symplectic form on  $V$ .

**Lemma 0.6** If  $(V, \Omega)$  is a symplectic vector space, then  $\dim(V) \equiv 0 \pmod{2}$ .

*Proof.* If  $A$  represents  $\Omega$ , then

$$\begin{aligned}\det(A) &= \det(-A^T) \\ &= \det(-A) \\ &= (-1)^{\dim(V)} \det(A).\end{aligned}$$

□

**Definition 0.7** Let  $(V, \Omega)$  be a symplectic vector space. A linear subspace  $U \subseteq V$  is

- (a) *symplectic* if  $\Omega|_U$  is a linear symplectic form on  $U$  (non-degeneracy is sufficient);
- (b) *isotropic* if  $\Omega|_U = 0$ ;
- (c) *coisotropic* if  $\Omega(u, v) = 0 \quad \forall u \in U \implies v \in U$ ;
- (d) *Lagrangian* if it is both isotropic and coisotropic.

**Example 0.8** Let  $V = \mathbb{R}^{2n}$ ,  $B = (e_1, \dots, e_n, f_1, \dots, f_n)$ .

- (1)  $\langle \{e_1, f_1\} \rangle$  is a symplectic subspace which is neither isotropic nor coisotropic (thus neither Lagrangian).
- (2)  $\langle \{e_1, \dots, e_n\} \rangle$  is a Lagrangian subspace which is not symplectic.
- (3)  $\{0\}$  and  $\langle \{e_1, \dots, \hat{e}_k, \dots, e_n\} \rangle$ , where  $\hat{e}_k$  indicates the exclusion of the  $k$ -th vector of the canonical ordered basis from the set, are isotropic subspaces which are not coisotropic, where the first one is trivially symplectic.
- (4) If  $I, J \subseteq \{1, \dots, n\}$  and  $\langle \{e_i\}_{i \in I} \cup \{f_j\}_{j \in J} \rangle$  is
  - symplectic if, and only if,  $I = J$ ;
  - isotropic if, and only if,  $I \cap J = \emptyset$ ;
  - coisotropic if, and only if,  $I \cup J = \{1, \dots, n\}$ <sup>3</sup>;
  - Lagrangian if, and only if,  $I = J^c$ .

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<sup>3</sup>I'm pretty sure of this characterization. But keep this note until we get any kind of confirmation.

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**Remark 0.9** Let  $V$  be a real finite dimensional vector space with dual  $V^*$  and  $\Omega : V \times V \rightarrow \mathbb{R}$  a bilinear form. Then, we have the linear isomorphism<sup>4</sup>

$$\begin{aligned}\Omega^\# &= \tilde{\Omega} : V \rightarrow V^*, \\ v &\mapsto \Omega(v, \cdot).\end{aligned}$$

$\Omega$  is symplectic if and only if

- (1)  $\Omega^\#$  is antiselfdual, i.e.  $(\Omega^\#)^* = -\Omega^\#$ , and
- (2)  $\Omega^\#$  is injective (or, equivalently, an isomorphism).

**Definition 0.10** Let  $(V, \Omega)$  be a symplectic vector space and  $U \subseteq V$  a linear subspace. The *symplectic orthogonal* or *symplectic annihilator* of  $U$  is

$$U^\Omega := \{v \in V \mid \Omega(u, v) = 0 \quad \forall u \in U\}.$$

**Proposition 0.11** Let  $(V, \Omega)$  be a symplectic vector space and  $U \subseteq V$  a linear subspace. Then,

$$\dim(U) + \dim(U^\Omega) = \dim(V) \quad \text{and} \quad (U^\Omega)^\Omega = U.$$

*Proof.* The first part follows from the fact that  $\Omega^\#$  is an isomorphism. Moreover, the inclusion  $U \subseteq (U^\Omega)^\Omega$  follows from Definition 0.10 and the equality follows by noticing their dimensions are equal.  $\square$

**Remark 0.12** Let  $U$  be a linear subspace of a symplectic vector space  $(V, \Omega)$ . Then  $U$  is

- symplectic if, and only if  $V = U \oplus U^\Omega$ ;
- isotropic if, and only if,  $U \subseteq U^\Omega$ ;
- coisotropic if, and only if,  $U \supseteq U^\Omega$ ;
- Lagrangian if, and only if,  $U = U^\Omega$ .

**Exercise 0.13** Prove that

- (1)  $U$  is symplectic if, and only if,  $U^\Omega$  is symplectic;
- (2)  $U$  is isotropic if, and only if,  $U^\Omega$  is coisotropic;
- (3)  $(U \cap W)^\Omega = U^\Omega + W^\Omega$ .

**Proposition 0.14** A symplectic vector space  $(V, \Omega)$  with  $\dim(V) = 2n$  has a symplectic basis.

*Proof.* We will prove this by induction, taking as basis  $\dim(V) = 2$ . Let  $e_1 \neq 0$ . Then there exists  $v \in V$  such that  $\Omega(e_1, v) \neq 0$ . By the Gram-Schmidt orthonormalization process, it follows that  $\{e_1, \frac{v}{\Omega(e_1, v)}\}$  is a symplectic basis.

Assume the Proposition holds for  $\dim(V) = 2n$ . Let  $v, v' \in V$  be such that  $\Omega(v, v') \neq 0$ . Then  $(S := \langle v, v' \rangle, \Omega|_S)$  is a symplectic space of dimension 2. Note that  $(S^\Omega, \Omega|_{S^\Omega})$  is a symplectic vector space of dimension  $2n$ . Since  $V = S \oplus S^\Omega$ , where both subspaces have symplectic bases due to the induction hypothesis, it follows that  $(V, \Omega)$  has a symplectic basis.  $\square$

<sup>4</sup>I believe we need to ask for  $v \neq 0$ , although the professor didn't mention it.

**Remark 0.15** If  $L$  is a Lagrangian subspace of a symplectic vector space  $(V, \Omega)$ , then  $\dim(L) = \frac{\dim(V)}{2}$ .

**Proposition 0.16** (Lagrangian split) Let  $(V, \Omega)$  be a symplectic vector space. Then, there exist Lagrangian subspaces  $L, L'$  such that  $V = L \oplus L'$ .

*Proof.*

**Exercise 0.17** Write the proof; the idea is to show that you can find a maximal (with respect to dimension) isotropic subspace. □

**Remark 0.18** Recall that linear maps  $\varphi : V \rightarrow V$  induce a map between bilinear forms via

$$\varphi^*(\Omega(v, v')) = \Omega(\varphi(v), \varphi(v')).$$

The Lagrangian split  $V = L \oplus L'$  of Proposition 0.16 is canonical in the sense that there exists a canonical isomorphism  $\varphi : V \rightarrow L \oplus L'$  such that  $(\varphi^{-1})^*\Omega$  is the canonical symplectic form on  $L \oplus L'$ , i.e.

$$\Omega(v_1 + v'_1, v_2 + v'_2) = \Omega(v_1, v'_2) - \Omega(v_2, v'_1).$$

**Definition 0.19**  $M$  is a *topological manifold* if it is a topological space such that

- $\forall p \in M$ , there exists a neighborhood  $V$  of  $p$  that is homeomorphic to an open set in  $\mathbb{R}^n$ ;
- it is Hausdorff, i.e. for any two points we can find a neighbourhood for each such that they are disjoint;
- it satisfies the second countability axiom, i.e. there exists a countable basis.

**Example 0.20**

- (1) The torus  $T^2 = S^1 \times S^1$ , obtained via the identification

Add identification!

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- (2) The Klein bottle  $K^2$ , obtained via the identification  $(x, y) \sim (x + 1, y) \sim (1 - x, y + 1)$  in  $[0, 1] \times [0, 1] \subseteq \mathbb{R}^2$ .
- (3) The projective plane, obtained via the identification  $(x, y) \sim (x + 1, 1 - y) \sim (1 - x, y + 1)$  in  $[0, 1] \times [0, 1] \subseteq \mathbb{R}^2$ .

**Remark 0.21** Recall that  $M$  is a differential manifold if it is a topological manifold of dimension  $n$  with an atlas, which is a collection of charts  $\{U_\alpha, \varphi_\alpha\}_{\alpha \in A}$  such that

- (1)  $\bigcup_{\alpha \in A} \varphi_\alpha(U_\alpha) = M$ ,
- (2)  $W = \varphi_\beta(U_\beta) \cap \varphi_\alpha(U_\alpha) \neq \emptyset$ , where  $\varphi_\beta^{-1}\varphi_\alpha$  and  $\varphi_\alpha\varphi_\beta^{-1}$  are of class  $C^\infty$ ,
- (3)  $\bigcup_{\alpha \in A} \varphi_\alpha(U_\alpha)$  is maximal.

**Example 0.22**

Add examples and complete notes for the last half hour of the class.

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**Remark 0.23** For every symplectic vector space  $(V, \Omega)$  we already saw that we can build a symplectic basis (0.14). Taking each time two elements of that basis and doing the orthogonal we get the following split of  $V$ :

$$V = W_1 \oplus \cdots \oplus W_n,$$

where  $W_i$  are symplectic vector spaces with dimension 2.

**Definition 0.24** Given two symplectic vector spaces  $(V, \Omega)$  and  $(V', \Omega')$  we call a *symplectomorphism* a linear isomorphism  $\phi : V \rightarrow V'$  such that  $\phi^* \Omega' = \Omega$ , where  $\phi^*$  is the pullback.

We say that  $V$  and  $V'$  are symplectomorphic.

**Proposition 0.25** The only global invariant is the dimension.

**Example 0.26**

- (1)  $\mathbb{R}^2$  and  $\mathbb{R}^4$  can not be symplectomorphic because a symplectomorphism is always a linear isomorphism over the vector space,
- (2) Every symplectic vector space  $(V, \Omega)$  of dimension  $2n$  is symplectomorphic to  $\mathbb{R}^{2n}$  with the canonical basis. In fact we can take the symplectic basis of  $V$  and then take the isomorphism that sends this basis to the canonical basis of  $\mathbb{R}^{2n}$ .

**Remark 0.27** Being symplectomorphic is an equivalence relation. It is interesting to see and study the acting group that preserves the structure.

**Definition 0.28** We call  $Sp(V, \Omega) = Sp(2n, \mathbb{R})$  the group of symplectic automorphisms of  $(V, \Omega)$  of dimension  $2n$ . It is given by the following subset of  $\text{Mat}_{2n \times 2n}$ ,  $\left\{ A : A^T \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix} A = \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix} \right\}$ .

In fact if  $\phi$  is a symplectic automorphism of  $\mathbb{R}^{2n}$  with the canonical form and  $A$  is the associated matrix we get that:

$$\begin{aligned} v^T \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix} u &= \Omega_0(u, v) \\ &= \phi^* \Omega_0(u, v) \\ &= \Omega_0(\phi(u), \phi(v)) \\ &= (Av)^T \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix} (Au) \\ &= v^T A^T \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix} Au, \end{aligned}$$

but this must be true for each  $u$  and each  $v$ , so  $A$  respects the condition that we have imposed.

**Proposition 0.29**  $Sp(2n, \mathbb{R})$  is a group with standard multiplication and inverse or quadratic matrices. Also the determinant of  $A$  is always 1.

**Remark 0.30** Both  $GL$  and  $SO$  are groups of automorphisms, the difference is that  $SO$  preserves both the length of the vectors and the areas.

**Definition 0.31** Given a differential manifold  $M$  we say that a 2-form  $\omega$  is symplectic if it is

- (a) closed, i.e.  $d\omega = 0$ ;

(b) non-degenerate, i.e.  $\omega(u, v) = 0 \quad \forall v \in M \implies u = 0$ .

(c) for each  $p$  we have that  $\omega_p$  is a symplectic form on  $T_p M$ .

We call the couple  $(M, \omega)$  symplectic manifold.

**Proposition 0.32** A symplectic manifold always has even dimension.

**Example 0.33**  $(\mathbb{R}^{2n}, \omega_{std})$  with coordinates  $x_1, \dots, x_n, y_1, \dots, y_n$ .  $\omega = \sum_i dx_i \wedge dy_i$ .

For each  $p$ ,  $\omega_p$  is given by:  $\left( \left( \frac{\partial}{\partial x_1} \right)_p, \dots, \left( \frac{\partial}{\partial x_n} \right)_p, \left( \frac{\partial}{\partial y_1} \right)_p, \dots, \left( \frac{\partial}{\partial y_n} \right)_p \right)$ . It is symplectic on  $T_p M$ .

We can do the same considering  $\mathbb{C}^n$  with  $\omega = \frac{1}{2} \sum_i dz_i \wedge d\bar{z}_i$ .

**Example 0.34** Take now the sphere  $S^2$  as a subset of  $\mathbb{R}^3$ . We know that  $T_p S^2$  is given by  $\{p\}^\perp$ .

Now we define the symplectic form  $\omega$  in the following way  $\omega_p(u, v) = \langle p; v \times u \rangle$ . In fact it is closed is because it is a 2-form in a dimension 2 manifold and it is non degenerate because  $v \times u$  always has the direction of  $p$  as they are in  $T_p S^2$ .

This  $\omega$  is a volume form for  $S^2$ .

**Exercise 0.35** The above definition is an implicit one; we can define the same form in coordinates taking the coordinates  $\theta, z$ . In this case the form can be expressed as  $\omega = d\theta \wedge dz$ .

Also how will the form change if we take the sphere of radius  $R$ ?

**Definition 0.36** Given two symplectic manifolds  $(M_1, \omega_1)$  and  $(M_2, \omega_2)$  we say that a *symplectomorphism* is a diffeomorphism  $g$  such that  $g^* \omega_2 = \omega_1$ .

As before, the dimension is the only invariant, but now only in a local scope.

Add all the cotangent bundles!

**Proposition 0.37**  $S^2$  is the only sphere that can be symplectic, all the others have a trivial second order cohomology group, so they cannot have a symplectic form.

**Proposition 0.38** All the symplectomorphisms preserve the areas but the converse is not true nor a banal proof. It was worthy of a Field's medal.

## 19/03/2024

Last class we considered a manifold  $X$  of dimension  $n$  and saw that

$$M = T^* := \{(x, \xi^*), x \in X, \xi^* \in T_x^* X\}$$

is a symplectic manifold of dimension  $2n$ . If  $(x_1, \dots, x_n)$  is a coordinate system on  $X$ , then  $\{(dx_1)_x, \dots, (dx_n)_x\}$  is a basis for  $T_x^* X$ . Also,  $\xi^* = \sum_{i=1}^n y_i (dx_i)_x$  and  $(x_1, \dots, x_n, y_1, \dots, y_n)$  are coordinates on  $T^* X$ , with

$$\begin{aligned} \omega_{\text{can}} &= \sum_{i=1}^n dx_i \wedge dy_i, \\ &= \lambda_{\text{can}} = \sum_{i=1}^n y_i dx_i, \\ &= -d\lambda_{\text{can}}. \end{aligned}$$

Part of the reminder is missing (she erased it too fast).

Let  $X_1, X_2$  be differentiable manifolds of dimension  $n$ ,

$$\begin{aligned} M_1 &= (T^*X_1, -d\lambda_1), \\ M_2 &= (T^*X_2, -d\lambda_2), \end{aligned}$$

where  $\lambda_i$  is the canonical Liouville term on  $X_i$  for  $i \in \{1, 2\}$ . Let  $f : X_1 \rightarrow X_2$  be a diffeomorphism. Then

$$\begin{aligned} f_{\mathbb{X}} : M_1 &\rightarrow M_2, \\ p_1 &\mapsto p_2, \end{aligned}$$

where  $p_1 = (x_1, \xi_1^*)$  and  $p_2 = (f(x_1), \xi_2^*)$ , where  $\xi_2^* \in T_{f(x_1)}^*X_2$ . We have that

$$\begin{aligned} df : TX_1 &\rightarrow TX_2 \\ df_{x_1} : T_{x_1}X_1 &\rightarrow T_{f(x_1)}X_2, \\ (df_{x_1})^* : T_{f(x_1)}^*X_2 &\rightarrow T_{x_1}^*X_1. \end{aligned}$$

Since  $f$  is in particular a bijection then, defining  $x_2 := f(x_1)$ , we have that

$$\begin{aligned} df_{x_1}^{-1} : T_{x_2}X_2 &\rightarrow T_{x_1}X_1, \\ (df_{x_1}^{-1})^* : T_{x_1}^*X_1 &\rightarrow T_{x_2}^*X_2, \\ \xi_1^* &\mapsto (df_{x_2}^{-1})^*(\xi_1^*) =: \xi_2^*. \end{aligned}$$

Moreover, we have the following commutative diagram

$$\begin{array}{ccc} M_1 & \xrightarrow{f_{\mathbb{X}}} & M_2 \\ \pi_1 \downarrow & & \downarrow \pi_2 \\ X_1 & \xrightarrow{f} & X_2. \end{array} \tag{0.2}$$

**Proposition 0.39** Let  $f_{\mathbb{X}} : M_1 \rightarrow M_2$  be a diffeomorphism be such that  $f_{\mathbb{X}}^*(\lambda_2) = \lambda_1$ . Then  $f_{\mathbb{X}}^*(-d\lambda_2) = -d\lambda_1$ .

*Proof.* Let  $p_1 = (x_1, \xi_1^*) \in T^*X_1$  and  $p_2 = f_{\mathbb{X}}(p_1) = (f(x_1), \xi_2^*)$ .

$$\begin{aligned} (f_{\mathbb{X}})^*((\lambda_2)_{p_2}) &= (f_{\mathbb{X}}^*)_{p_1}((d\pi_2)^*_{p_2}\xi_2^*) \\ &= d(f\pi_1)_{p_1}^*\xi_2^* \\ &= (d\pi_1)_{p_1}^*f_{\mathbb{X}}^*(\xi_2^*) \\ &= (d\pi_1)_{p_1}^*\xi_1^* \\ &= (\lambda_1)_{p_1}. \end{aligned}$$

□

**Corollary 0.40** If  $X_1$  and  $X_2$  are diffeomorphic, then  $T^*X_1$  and  $T^*X_2$  are symplectomorphic.

**Example 0.41** Let  $(M_1, \omega_1)$  and  $(M_2, \omega_2)$  be symplectic manifolds. Then we have a product  $M_1 \times M_2$  with projections

$$\begin{array}{ccc} & M_1 \times M_2 & \\ p_1 \swarrow & & \searrow p_2 \\ M_1 & & M_2. \end{array}$$

Then,  $\omega_{a,b} := ap_1^*\omega_1 + bp_2^*\omega_2$  is a symplectic form on  $M_1 \times M_2$  for all  $a, b \in \mathbb{R}$ . In fact,

$$\begin{aligned} d\omega_{a,b} &= d(ap_1^*\omega_1 + bp_2^*\omega_2) \\ &= ad(p_1^*\omega_1) + bd(p_2^*\omega_2) \\ &= ap_1^*d\omega_1 + bp_2^*d\omega_2 \\ &= 0, \end{aligned}$$

i.e. the closeness of  $\omega_{a,b}$  is induced from that of  $\omega_1$  and  $\omega_2$ . Similarly, the other properties of induced.

**Example 0.42**  $\mathbb{R}^{2n}, \mathbb{C}^n, S^2$ . Consider  $S^2 \times \mathbb{R}^2$  with the form  $\omega = 2p_1^*\omega_1 - p_2^*\omega_2$ . On  $S^2$ ,  $\omega_p(u, v) = \langle p, u \times v \rangle$ , where  $p = (x_1, x_2, x_3) \in S^2 \subseteq \mathbb{R}^3$  is such that  $\sum_{i=1}^3 x_i^2 = 1$ , and  $u = (u_1, u_2, u_3), v = (v_1, v_2, v_3) \in T_p S^2 \simeq T_p^* S^2$ . Explicitely,

$$\omega_p(u, v) = x_1(u_2v_3 - v_2u_3) + x_2(u_3v_1 - u_1v_3) + x_3(u_1v_2 - v_1u_2).$$

On the other hand, we know that  $\omega_p = \sum_{i,j} a_{ij} dx_i \wedge dx_j$ , where

$$a_{ij} = \left( \frac{\partial}{\partial x_i}, \frac{\partial}{\partial x_j} \right).$$

In particular,  $a_{ii} = 0$  for all  $i$ . We can thus calculate

$$\omega_p = x_3 dx_1 \wedge dx_2 - x_2 dx_1 \wedge dx_3 + x_1 dx_2 \wedge dx_3$$