

# Chapter 4: Network Layer

Three Types of Communications

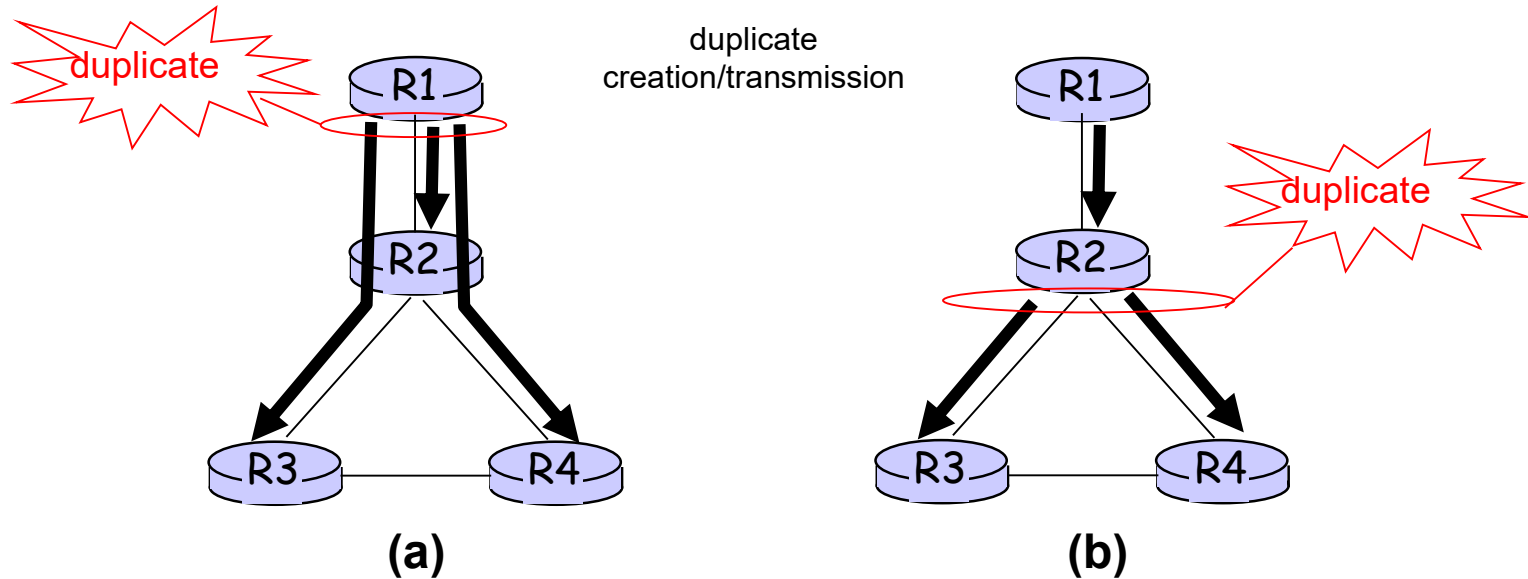
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2025

# Three types of communications

- ❑ Unicast (one-to-one) 单播
  - Single source, single receiver
- ❑ Broadcast (one-to-all) 广播
  - Send same packet to all receivers
  - "all" is limited in some way such as in a LAN, subnet, or in a organization.
- ❑ Multicast (one-to-several) 多播
  - Send some packet to multiple receivers
  - Applications: bulk data transfer (e.g., software upgrade from the developer to users needing the upgrade), shared data applications (e.g., whiteboard), remote education (the transfer of the audio, video, and text of a live lecture to a set of distributed students) , teleconference, etc.

# Multicast/Broadcast Routing



Source-duplication versus in-network duplication.  
(a) source duplication, (b) in-network duplication

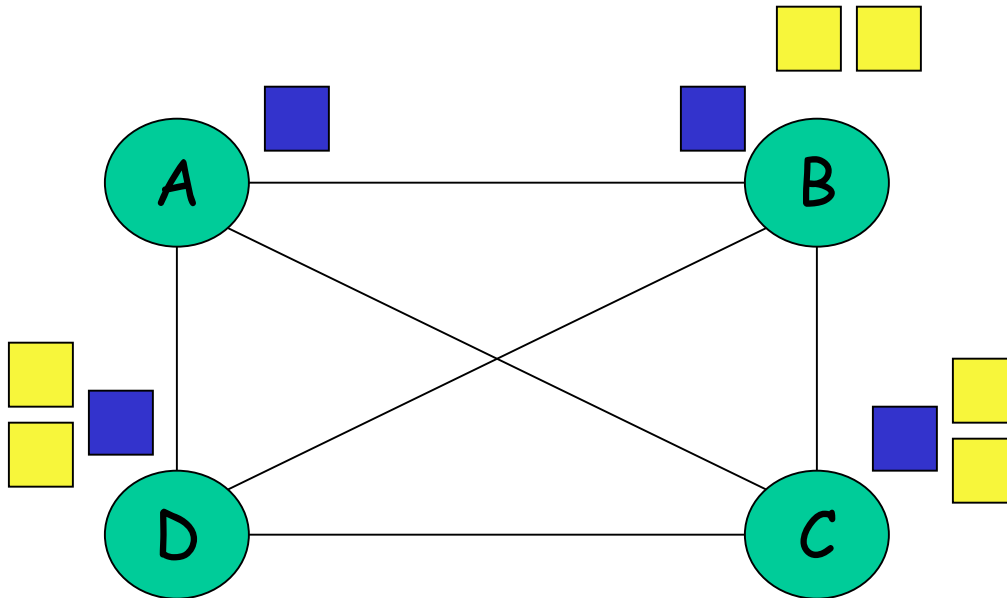
## ❑ Source duplication (N-way unicast)

- Simple (advantage)
- Inefficient (disadvantage)
- Source may not know the addresses of all receivers (disadvantage)

## ❑ In-network duplication: make the copy at the network node<sub>3</sub>

# Multicast/Broadcast Routing

- Uncontrolled flooding: when node receives a broadcast packet, it sends copy to all neighbors except the neighbor from which it received the packet
  - Problems: cycles & broadcast storm



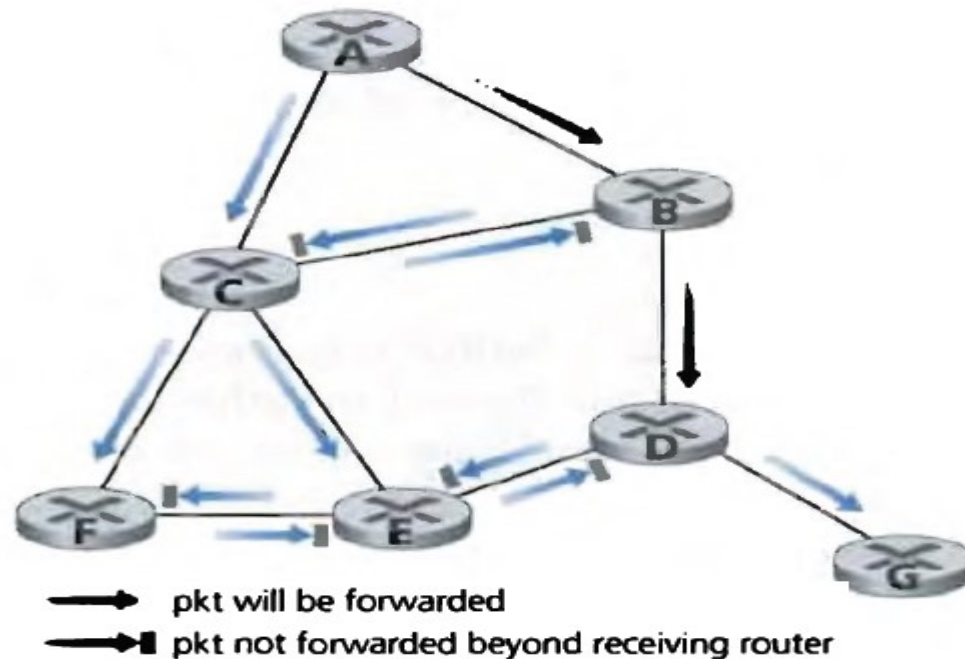
# Multicast/Broadcast Routing

- ❑ Controlled flooding: node only broadcasts packets if it hasn't broadcast the same packet before
  - Sequence-number-controlled flooding
    - Source assigns increasing seq. no. to broadcast packets
    - Nodes keep track of packet id (source address and sequence number) already broadcasted
    - Discard packets with old seq. no.

# Multicast/Broadcast Routing

- Reverse path forwarding (RPF):

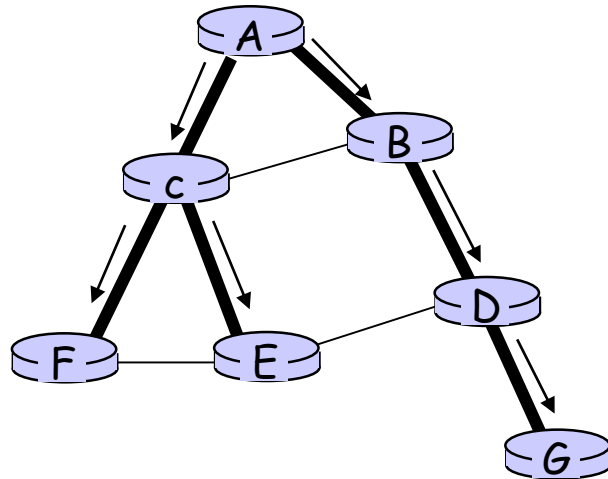
- A router forwards packet only if it arrives through the shortest path between this router and source.
- Use unicast routing information: RPF need only know the neighbor on its unicast shortest path to the sender
- Cannot avoid the reception of redundant broadcast packets

**Figure 4.44** ♦ Reverse path forwarding

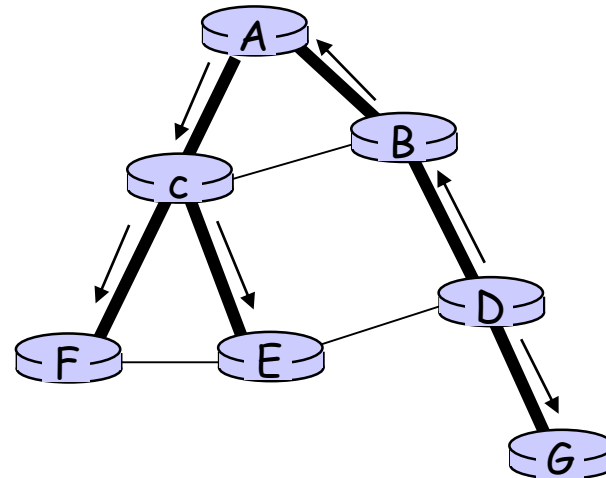
# Spanning Tree

## □ Spanning tree approach

- Objective: Each node exactly receive one copy. No redundant packets received by any node



(a) Broadcast initiated at A

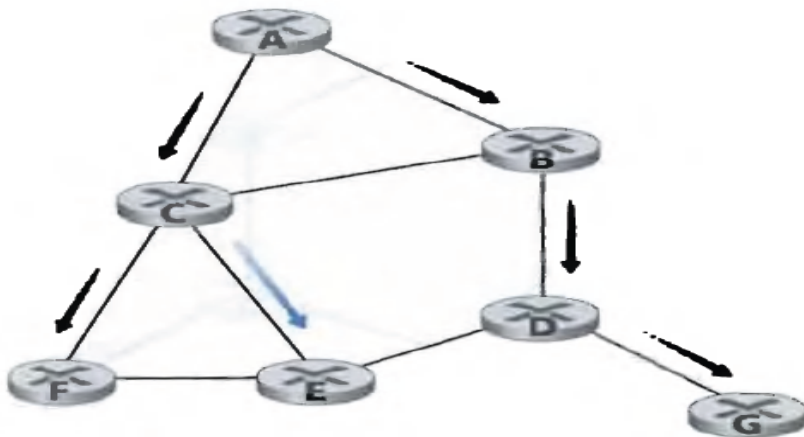


(b) Broadcast initiated at D

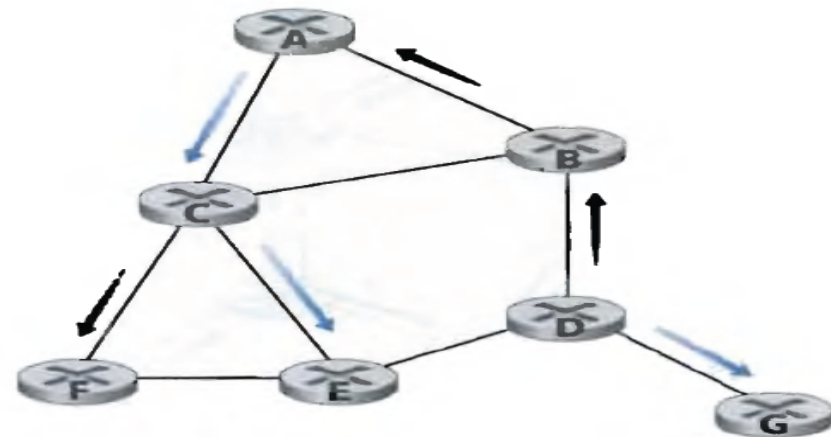
# Spanning Tree

## □ Terms:

- **Tree**: in the graph theory, a tree is an undirected graph in which any two vertices are connected by exactly one simple path. Any connected graph without cycles is a tree.
- **A spanning tree** of a graph is a tree consisting all nodes in a graph.
- **The cost of a tree**: if each link has an associated cost, the cost of a tree is the sum of the link costs in this tree.
- **A minimum spanning tree**: a spanning tree whose cost is the minimum of all of the graph's spanning trees.



a. Broadcast initiated at A



b. Broadcast initiated at D

**Figure 4.45** ♦ Broadcast along a spanning tree



# Prim's Algorithm

- It is an algorithm used to find the minimum spanning tree.

# Prim's Algorithm

1 **Initialization:**

2  $T = \{u\}$

3 for all nodes  $v$

4 if  $v$  is neighbor to  $u$

5 then  $D(v) = c(u, v)$

6 else  $D(v) = \infty$

7 **Loop**

8 find a node  $w$  without the set  $T$  and with the minimum edge weight  $D(w)$

9 add  $w$  to  $T$

10 update  $D(v)$  for each node  $v$  which is the neighboring nodes of  $w$  and is not in the Set  $T$  using the following rule:

11  $D(v) = \min\{ D(v), c(w, v) \}$

/\* new edge weight to  $v$  is either old edge weight to  $v$  or known shortest edge weight to  $v$  \*/

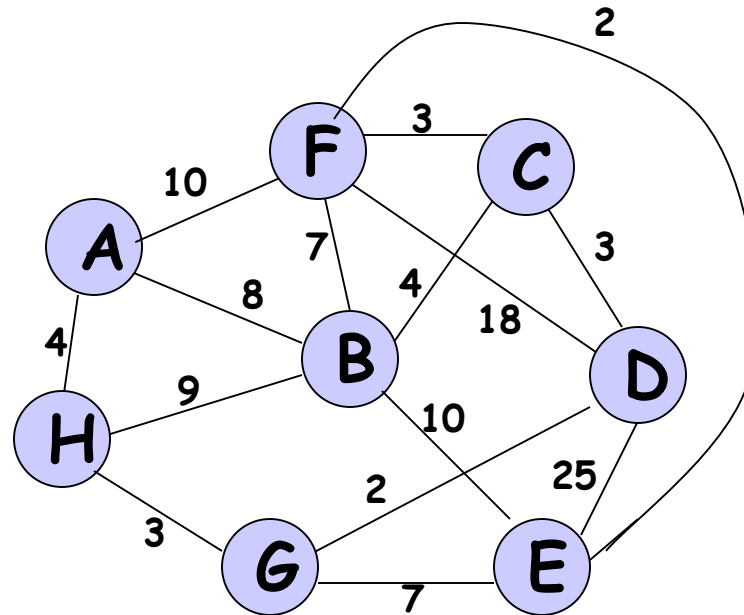
12 For nodes that are not adjacent to  $w$ , we don't need to do any update

12 **until**  $|T|=N$  /\*all nodes are in  $T$ \*/

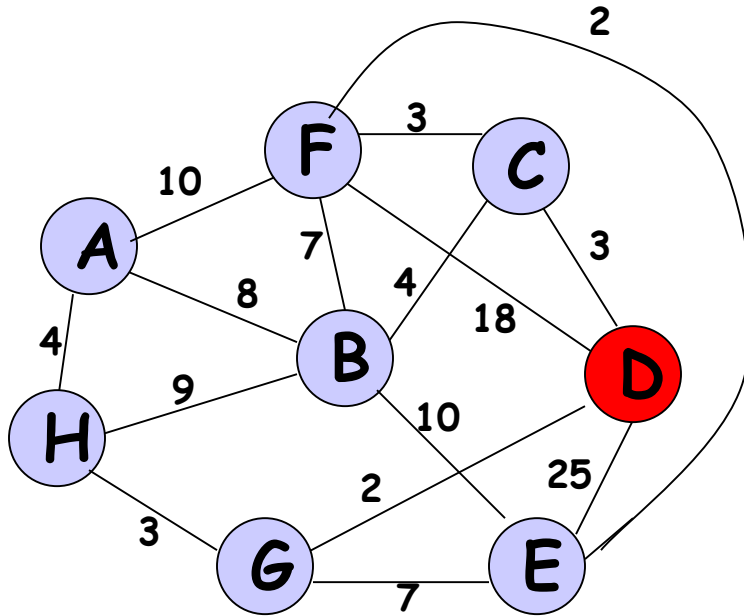
□ Iterative process: after  $n$  iterations, a minimum spanning tree is formed.

# Prim's Algorithm

- Problem: Given a connected, undirected, weighted graph  $G$  with  $n$  vertices, Please find a spanning tree of  $G$  starting from root vertex  $D$  using Prim's algorithm.



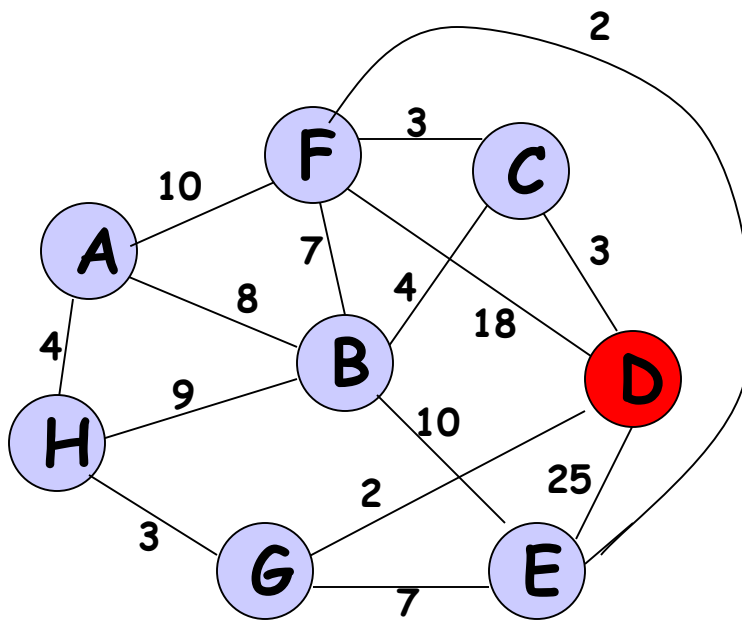
# Prim's Algorithm: 1<sup>st</sup> iteration-initialization



Start with any node, say D

	<i>T</i>	<i>D</i> (v)	<i>Path</i> (v)
A		$\infty$	—
B		$\infty$	—
C		$\infty$	—
D	<b>{D}</b>	<b>0</b>	—
E		$\infty$	—
F		$\infty$	—
G		$\infty$	—
H		$\infty$	—

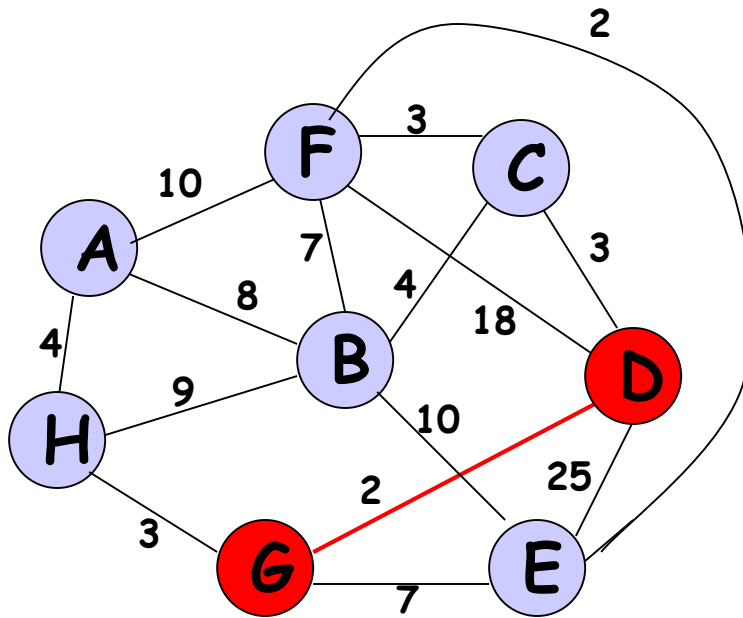
# Prim's Algorithm: 1<sup>st</sup> iteration- initialization



Update distances of adjacent,  
unselected nodes

	$T$	$D(v)$	$Path(v)$
A		$\infty$	—
B		$\infty$	—
C		3	D-C
D	{D}	0	—
E		25	D-E
F		18	D-F
G		2	D-G
H		$\infty$	—

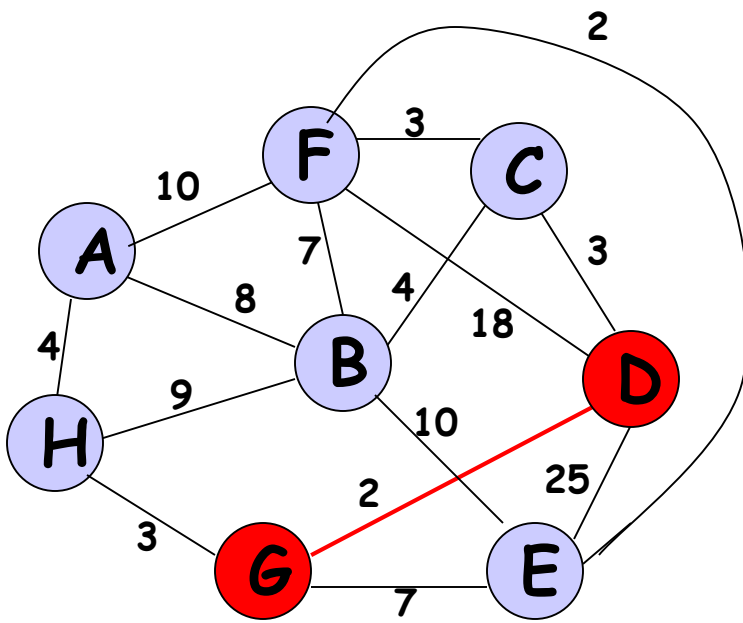
# Prim's Algorithm: 2<sup>nd</sup> iteration



Select node with minimum distance

	<i>T</i>	<i>D</i> (v)	<i>Path</i> (v)
A		$\infty$	—
B		$\infty$	—
C		3	D-C
D	{D}	0	—
E		25	D-E
F		18	D-F
G	{D, G}	2	D-G
H		$\infty$	—

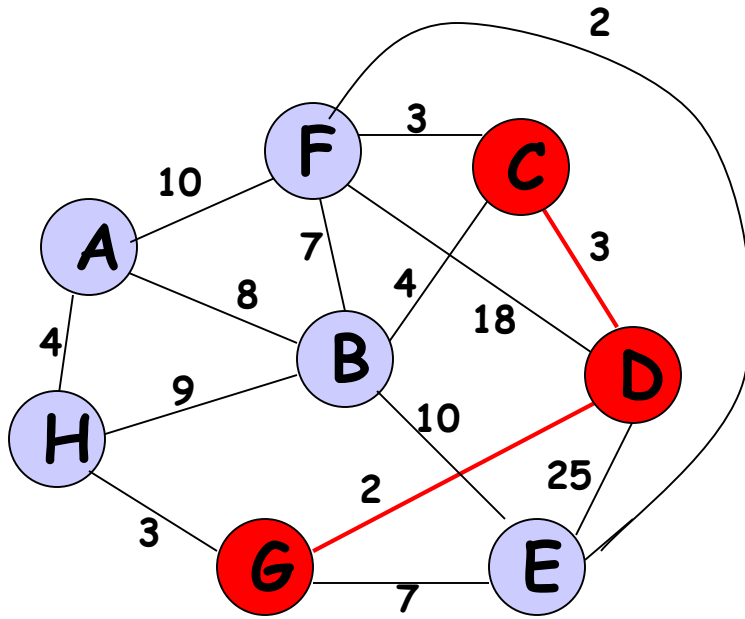
# Prim's Algorithm: 2<sup>nd</sup> iteration



Update distances of adjacent, unselected nodes

	<i>T</i>	<i>D</i> (v)	<i>Path</i> (v)
A		$\infty$	—
B		$\infty$	—
C		3	D-C
D	{D}	0	—
E		7	G-E
F		18	D-F
G	{D, G}	2	D-G
H		3	G-H

# Prim's Algorithm: 3<sup>rd</sup> iteration

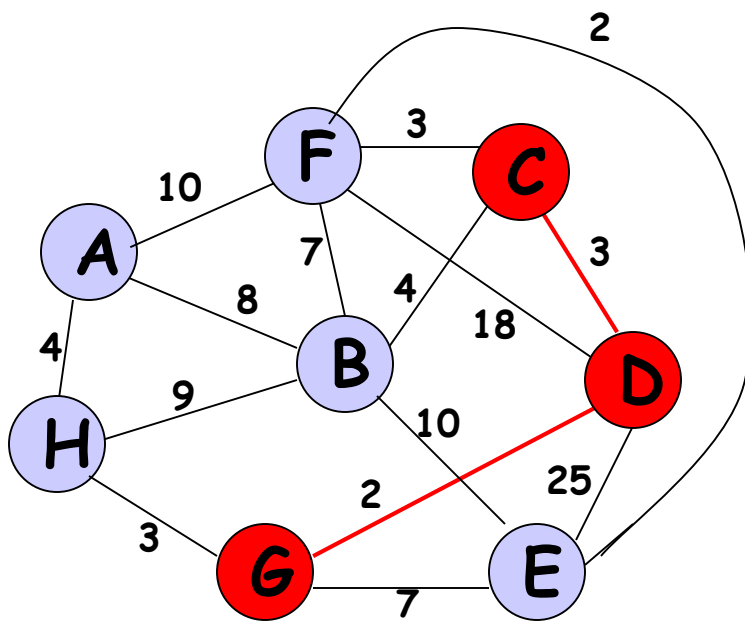


Select node with minimum distance

	<i>T</i>	<i>D</i> (v)	<i>Path</i> (v)
A		$\infty$	—
B		$\infty$	—
C	{D, G, C}	3	D-C
D	{D}	0	—
E		7	G-E
F		18	D-F
G	{D, G}	2	D-G
H		3	G-H



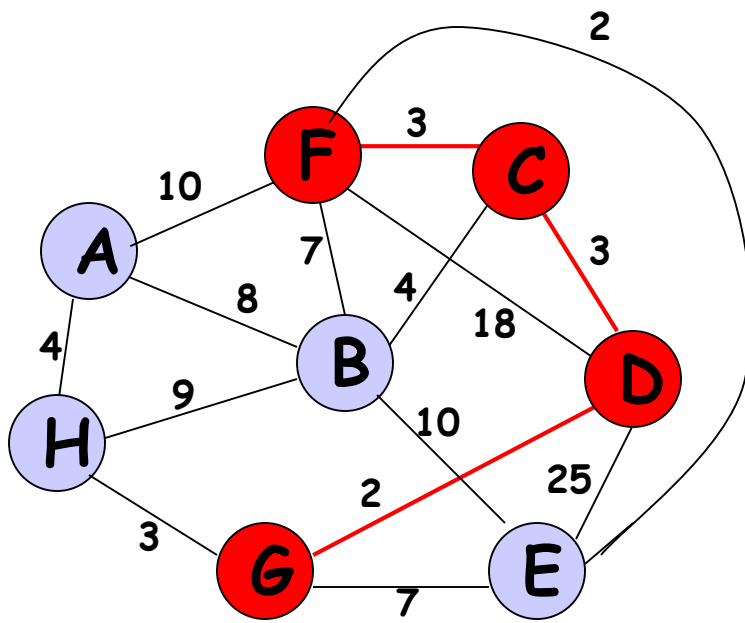
# Prim's Algorithm: 3<sup>rd</sup> iteration



Update distances of adjacent, unselected nodes

	$T$	$D(v)$	$Path(v)$
A		$\infty$	—
B		4	C-B
C	{D, G, C}	3	D-C
D	{D}	0	—
E		7	G-E
F		3	C-F
G	{D, G}	2	D-G
H		3	G-H

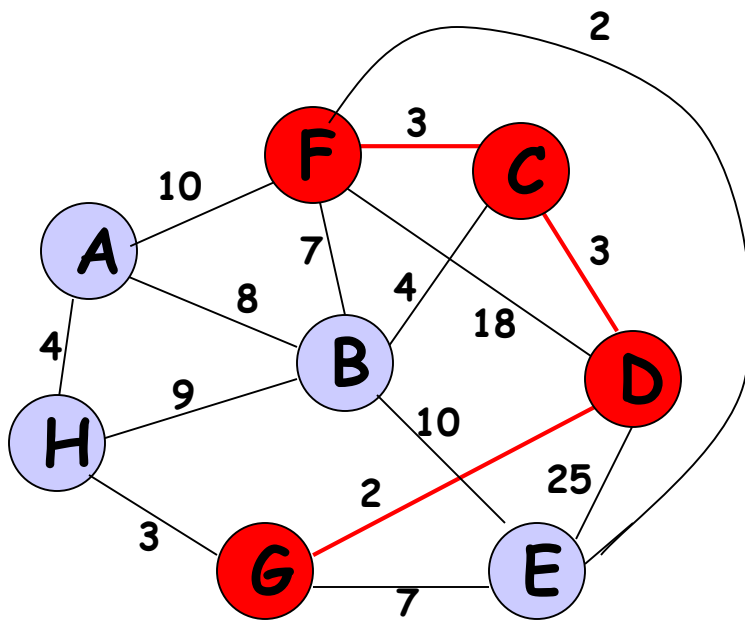
# Prim's Algorithm: 4<sup>th</sup> iteration



Select node with  
minimum distance

	<i>T</i>	<i>D (v)</i>	<i>Path (v)</i>
A		$\infty$	—
B		4	C-B
C	{D, G, C}	3	D-C
D	{D}	0	—
E		7	G-E
F	{D, G, C, F}	3	C-F
G	{D, G}	2	D-G
H		3	G-H

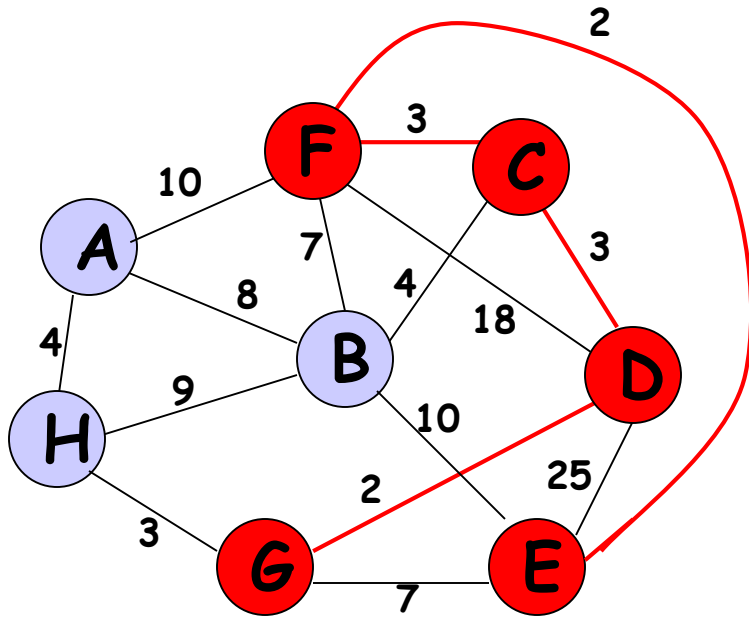
# Prim's Algorithm: 4<sup>th</sup> iteration



Update distances of adjacent, unselected nodes

	<i>T</i>	<i>D</i> (v)	<i>Path</i> (v)
A		10	F-A
B		4	C-B
C	{D, G, C}	3	D-C
D	{D}	0	—
E		2	F-E
F	{D, G, C, F}	3	C-F
G	{D, G}	2	D-G
H		3	G-H

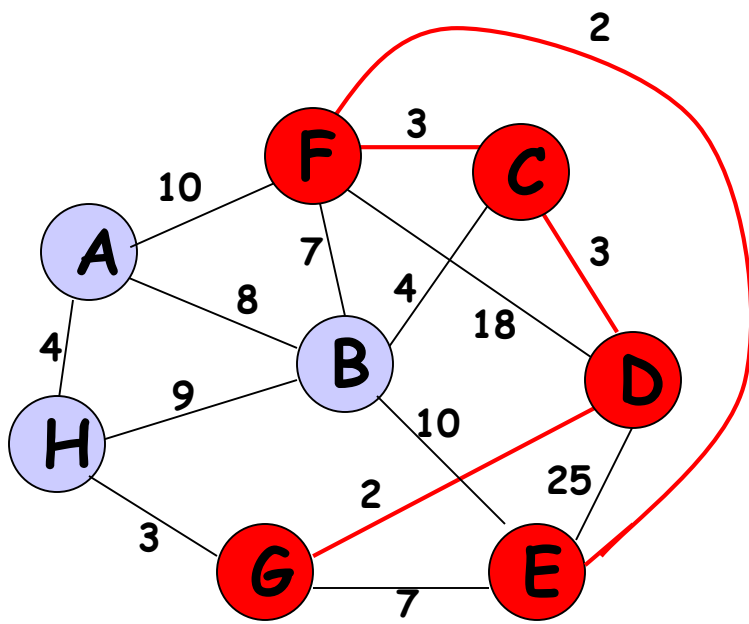
# Prim's Algorithm: 5<sup>th</sup> iteration



Select node with minimum distance

	<i>T</i>	<i>D (v)</i>	<i>Path (v)</i>
A		10	F-A
B		4	C-B
C	{D, G, C}	3	D-C
D	{D}	0	—
E	{D, G, C, F, E}	2	F-E
F	{D, G, C, F}	3	C-F
G	{D, G}	2	D-G
H		3	G-H

# Prim's Algorithm: 5<sup>th</sup> iteration

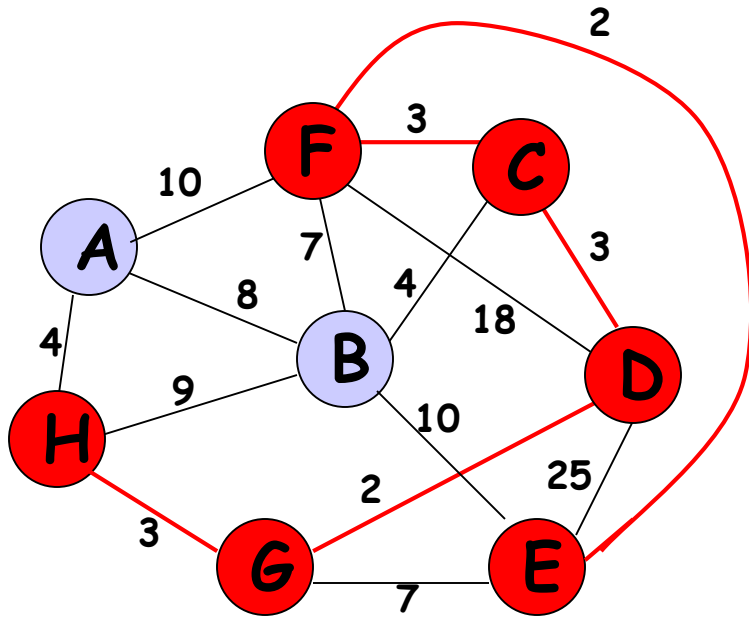


Update distances of adjacent, unselected nodes

	<i>T</i>	<i>D (v)</i>	<i>Path (v)</i>
A		10	F-A
B		4	C-B
C	{D, G, C}	3	D-C
D	{D}	0	—
E	{D, G, C, F, E}	2	F-E
F	{D, G, C, F}	3	C-F
G	{D, G}	2	D-G
H		3	G-H

Table entries unchanged

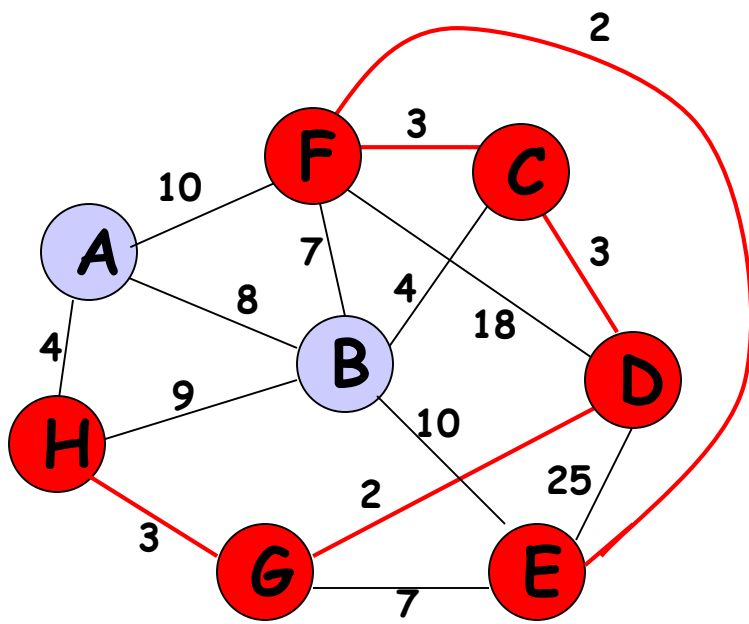
# Prim's Algorithm: 6<sup>th</sup> iteration



Select node with minimum distance

	<i>T</i>	<i>D (v)</i>	<i>Path (v)</i>
A		10	F-A
B		4	C-B
C	{D, G, C}	3	D-C
D	{D}	0	–
E	{D, G, C, F, E}	2	F-E
F	{D, G, C, F}	3	C-F
G	{D, G}	2	D-G
H	{D, G, C, F, E, H}	3	G-H

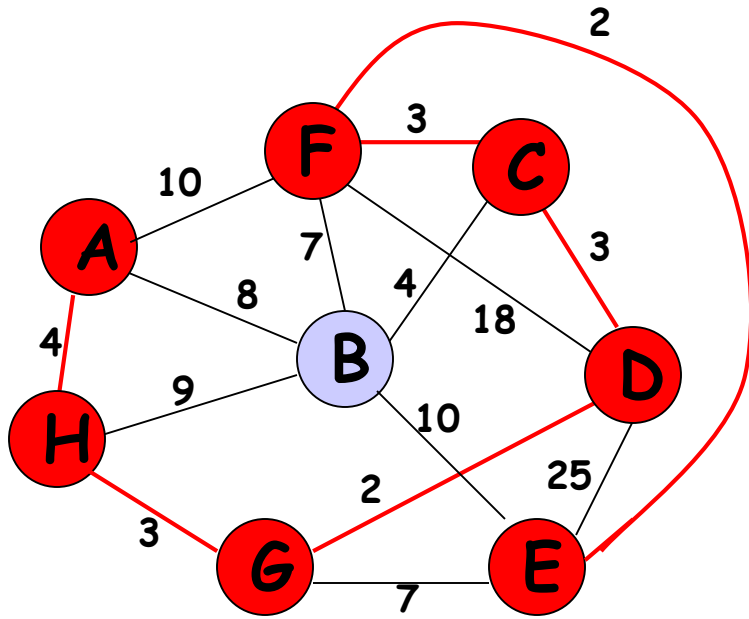
# Prim's Algorithm: 6<sup>th</sup> iteration



Update distances of adjacent, unselected nodes

	<i>T</i>	<i>D (v)</i>	<i>Path (v)</i>
A		4	H-A
B		4	C-B
C	{D, G, C}	3	D-C
D	{D}	0	—
E	{D, G, C, F, E}	2	F-E
F	{D, G, C, F}	3	C-F
G	{D, G}	2	D-G
H	{D, G, C, F, E, H}	3	G-H

# Prim's Algorithm: 7<sup>th</sup> iteration

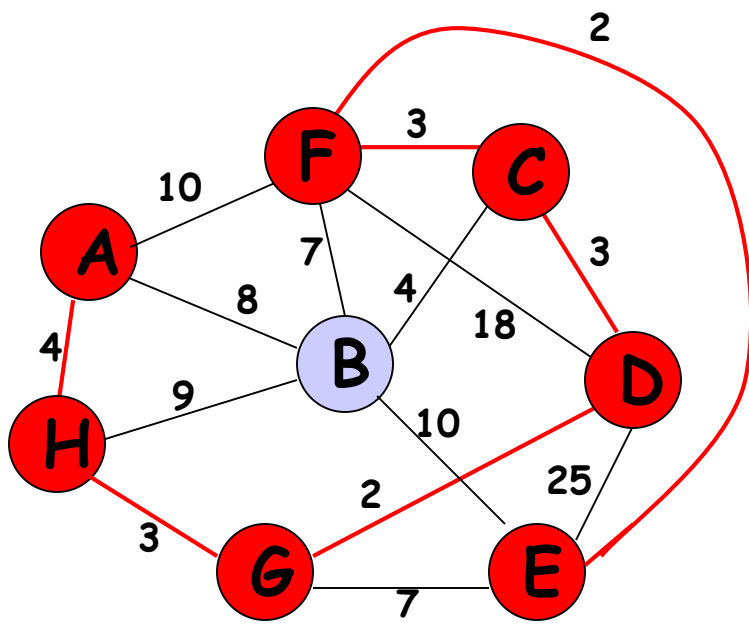


Select node with minimum distance

	<i>T</i>	<i>D (v)</i>	<i>Path (v)</i>
A	{D,G,C,F E,H,A}	4	H-A
B		4	C-B
C	{D,G,C}	3	D-C
D	{D}	0	—
E	{D,G,C,F E}	2	F-E
F	{D,G,C,F}	3	C-F
G	{D,G}	2	D-G
H	{D,G,C,F E,H}	3	G-H



# Prim's Algorithm: 7<sup>th</sup> iteration

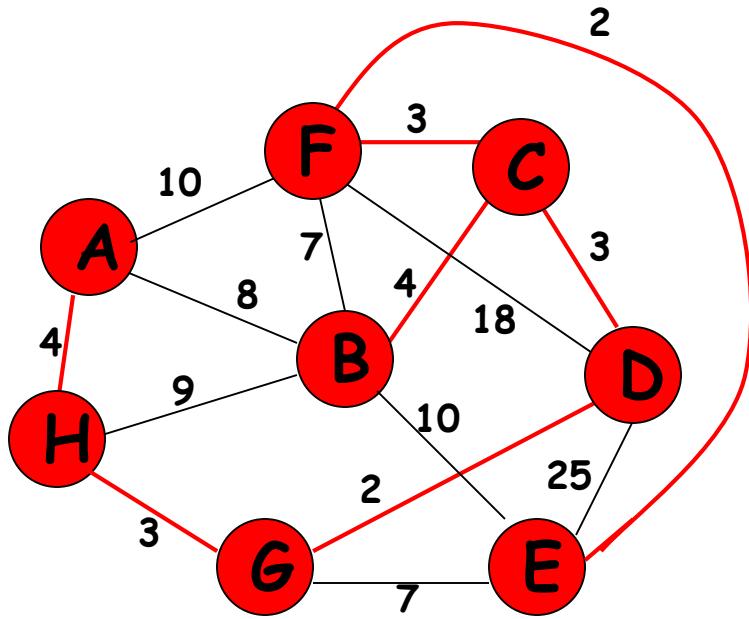


Update distances of adjacent, unselected nodes

	<i>T</i>	<i>D (v)</i>	<i>Path (v)</i>
A	{D,G,C,F ,E,H,A}	4	H-A
B	{D,G,C,F ,E,H,A, B}	4	C-B
C	{D,G,C}	3	D-C
D	{D}	0	—
E	{D,G,C,F ,E}	2	F-E
F	{D,G,C,F}	3	C-F
G	{D,G}	2	D-G
H	{D,G,C,F ,E,H}	3	G-H

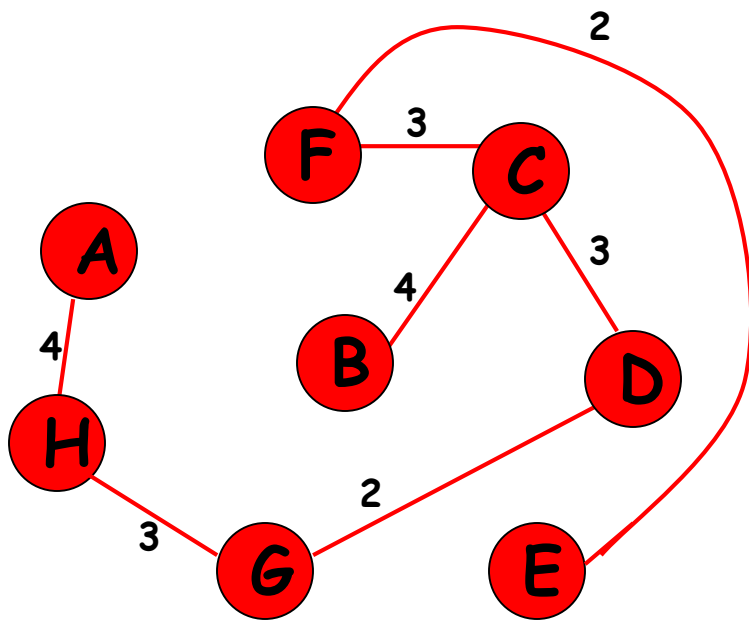
Table entries unchanged

# Prim's Algorithm: 8<sup>th</sup> iteration



Select node with minimum distance

	<i>T</i>	<i>D (v)</i>	<i>Path (v)</i>
A	{D, G, C, F, E, H, A}	4	H-A
B	{D, G, C, F, E, H, A, B}	4	C-B
C	{D, G, C}	3	D-C
D	{D}	0	—
E	{D, G, C, F, E}	2	F-E
F	{D, G, C, F}	3	C-F
G	{D, G}	2	D-G
H	{D, G, C, F, E, H}	3	G-H

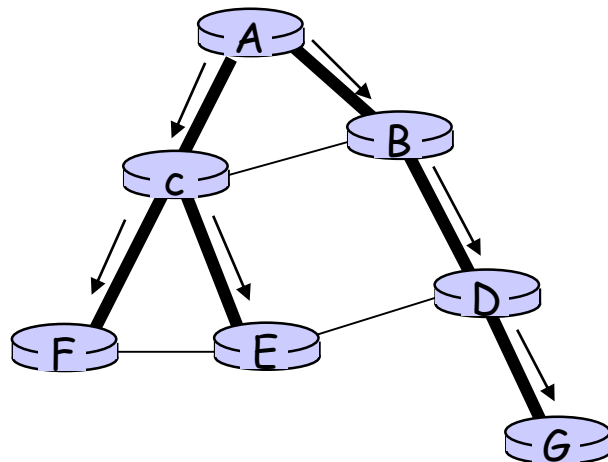


Cost of Minimum  
Spanning Tree =  $\sum d_v = 21$

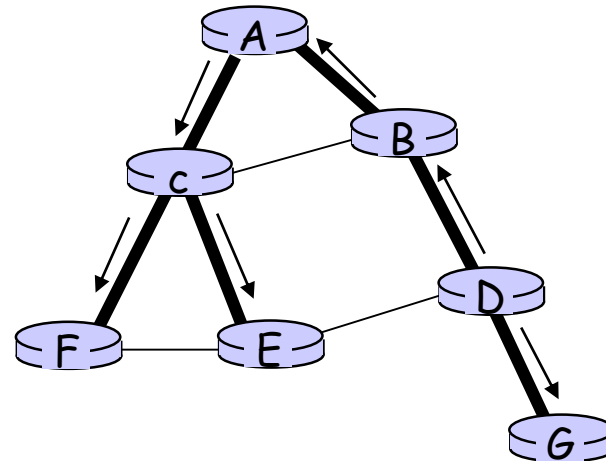
	<i>T</i>	<i>D (v)</i>	<i>Path (v)</i>
A	{D,G,C,F ,E,H,A}	4	H-A
B	{D,G,C,F ,E,H,A,B}	4	C-B
C	{D,G,C}	3	D-C
D	{D}	0	—
E	{D,G,C,F ,E}	2	F-E
F	{D,G,C,F}	3	C-F
G	{D,G}	2	D-G
H	{D,G,C,F ,E,H}	3	G-H

# Spanning Tree

- ❑ Construct a spanning tree
- ❑ Nodes forward copies only along spanning tree
- ❑ Spanning tree approach
  - Avoid the redundant broadcast packets
  - The spanning tree can be used by any node to begin a broadcast



(a) Broadcast initiated at A



(b) Broadcast initiated at D

# Prim's Algorithm: Exercise

- ❑ Problem: Given a connected, undirected, weighted graph  $G$  with  $n$  vertices, find a spanning tree of  $G$  using Prim's algorithm.
- ❑ Start with any node, say node  $a$

