### **Policy Process Elements**

Lecture 2

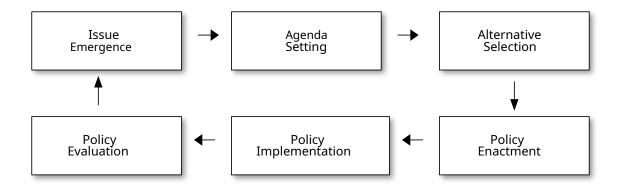
Week 2

POSC 315: Introduction to Public Policy

#### Overview

- 1. Policy Process Models
- 2. The Public Policy Environment

# The Stages Model



## The Stages Model

- Issue Emergence: A problem is identified and brought to the attention of government officials and the public.
- Agenda Setting: The problem is placed on the government agenda for consideration.
- Alternative Selection: The government considers various policy options to address the problem.
- Policy Implementation: The government implements the policy.
- Policy Evaluation: The government evaluates the policy to determine its effectiveness.
  - Policy Maintenance, Succession, or Termination: The government decides to maintain, modify, or terminate the policy.
- Issue Emergence: What new issues emerge as the policy is maintained, modified, or terminated?

### Stages Model Strengths

- Intuitive: The stages model is easy to understand and explain.
- **Descriptive**: The stages model describes the policy process in a way that is consistent with how people think about the policy process.
- Flexible: The stages model can be adapted to different policy areas and levels of government.

#### Stages Model Weaknesses

- Linear: The stages model assumes that the policy process is linear and sequential.
- Oversimplified: The stages model oversimplifies the policy process by ignoring the complexity of the policy process.

• **Separate**: The stages model separates the policy process into distinct stages, but in reality, the stages overlap and interact with each other.

# **Systems Thinking**

- A way of thinking about natural or social phenomena as a system with various inputs that are processed and intermingle to create a discernible set of outputs.
- A way of thinking about the world that emphasizes the relationships among the parts of a system and how the parts interact with each other and the system as a whole.

### The Systems Model

- Public policy is viewed as the response of the political system to forces brought to bear on it from the
  outside environment.
- A policy environment surrounds the political system.
  - Forces enter the political system from the environment either as demands or as support

## The Systems Model

Image of Systems Process Model

Figure 1: Image of Systems Process Model

### The Systems Model

- **Policy Environment**: The political, economic, and social context in which the policy is developed and implemented.
- Inputs: The demands and expectations of the public, interest groups, and government officials.
- **Throughputs**: The policy process is the interaction between the policy environment and the political system. This is the "black box" of the policy process.

### The Systems Model

- Outputs: The policy outputs are the laws, regulations, and decisions that are created by the policy process.
- Feedback: The feedback is the response to the policy outputs.
- Policy Outcomes: The policy outcomes are the effects of the policy outputs and feedback.

#### Systems Model Strengths

- **Holistic**: The systems model is holistic because it considers the policy environment, the political system, and the policy process.
- **Dynamic**: The systems model is dynamic because it considers the interaction between the policy environment and the political system.
- Flexible: The systems model can be adapted to different policy areas and levels of government.

### Systems Model Weaknesses

- Complex: The systems model is complex because it considers the policy environment, the political system, and the policy process.
- **Abstract**: The systems model is abstract because it does not provide a clear explanation of the policy process.

# The Policy Environment

### The Structural Environment

- **Political System**: The political system is the set of formal and informal political institutions that make and implement collective decisions.
  - The basic features of American government are the separation of powers, federalism, and checks and balances.

#### The Social Environment

- **Political Culture**: The political culture is the set of shared beliefs, values, and norms that influence the political system.
  - The basic features of American political culture are liberty, equality, democracy, civic duty, and individual responsibility.

#### The Social Environment

- Changing Demographics
  - The population of the United States is becoming
    - \* more diverse, older, more urban, more educated, more mobile.
- The government must respond to these changing needs

#### The Economic Environment

- Economic Conditions: The economic conditions are the state of the economy.
  - The basic features of the economy are the business cycle, inflation, unemployment, and economic growth.
  - Measured by GDP, size of industrial sector, unemployment rate, inflation rate, etc.

#### The Political Environment

- Often measured by the **National Mood**.
  - The national mood is the public's general attitude toward government and politics.
  - Measured by the **Direction of the Country** question in public opinion polls.
  - Measured by the **Presidential Approval Rating** question in public opinion polls.

#### The Political Environment

The Most Important Problem Facing the Country Today

NYT in 2016: The Most Important Problem Facing America?

#### The Political Environment

- Political Ads try to capture the national mood
  - It's Morning Again in America
  - Daisy
  - Willie Horton
  - Swift Boat Veterans for Truth
  - Go From There
  - Brighter Future

#### The International Environment

• Globalization: The increasing interdependence of countries on each other.

 The basic features of globalization are the international economy, international organizations, and international law.

# The Overall Policy Environment

- The overall policy environment is the combination of the structural, social, economic, political, and international environments.
- The overall policy environment is the context in which the policy process takes place.
- The overall policy environment influences the policy process.

## The Overall Policy Environment

- Structural
- Social
- Economic
- Political
- International

### **Key Takeaways**

- Policy process models help us understand how policies are made
- The stages model provides a linear framework but oversimplifies reality
- Systems thinking emphasizes relationships and feedback loops
- Policy environment shapes and constrains policy decisions
- Multiple environmental factors influence policy: structural, social, economic, political, international

# That's it for Today!

Remember to read Chapter three for next time!