

Algebraic Curves

David Wiedemann

Table des matières

1	Affine algebraic sets	2
1.1	Recollection on commutative algebra	2
1.2	Polynomial rings	4
1.3	Affine spaces and algebraic sets	4
1.4	Ideals of a set of points and the nullstellensatz	5

List of Theorems

1	Lemme	2
2	Lemme	2
3	Lemme	2
4	Theorème	3
5	Theorème	3
6	Theorème (Gauss Lemma)	3
7	Theorème (Euler's theorem)	4
1	Definition	4
8	Lemme	5
9	Corollaire	5
10	Lemme	6
11	Lemme	6
12	Theorème (Hilbert's Nullstellensatz)	6
13	Theorème (Weak Nullstellensatz)	6
14	Corollaire	6

Lecture 1: Introduction

Fri 25 Feb

Let K be a field, given a set of polynomials $S = \{f_1, \dots\}$, we can consider $V(S) = \{(x_1, \dots) \in K^n \mid f_i(x_1, \dots) = 0 \forall i\}$.

Notice that if $a_1, \dots \in K[x_1, \dots]$ then also $\sum_i a_i(x) f_i(x) = 0$ only depends on the ideal generated by S .

If $I(S)$ happens to be prime, we call V an algebraic variety.

1 Affine algebraic sets

1.1 Recollection on commutative algebra

All rings are commutative and with unit.

Let R be a ring.

- R is an integral domain, or just domain if there are no zero divisors, ie, $\forall a, b \in R$ s.t.

$$a \cdot b = 0 \implies a = 0 \text{ or } b = 0$$

- Any domain can be embedded into its quotient ring.

- A proper ideal I is maximal if it's not contained in any other proper ideal
- A proper ideal I is prime if

$$\forall a, b \in R, ab \in I \implies a \in I \text{ or } b \in I$$

- A proper ideal I is radical if

$$a^n \in I \implies a \in I$$

- For any ideal $I \subset R$, the radical \sqrt{I} is the smallest radical ideal containing I

Lemme 1

$I \subset R$ is maximal $\iff R/I$ is a field

Lemme 2

$I \subset R$ is prime $\iff R/I$ is a domain

Lemme 3

radical $\iff R/I$ has no nilpotent elements.

Given a subset $S \subset R$ we can consider the ideal generated by S

$$I(S) = \left\{ \sum_i a_i s_i \right\}$$

I is finitely generated if $I = I(S)$ with S finite.

- We say that R is Noetherian \iff \nexists a chain of strictly increasing ideals. Equivalently, every ideal is finitely generated.

Theorème 4

- *In fact, hilbert's basis theorem says that, if R is Noetherian, then $R[x]$ is noetherian.*

In particular $K[x_1, \dots, x_n]$ is Noetherian

- I is in principal if it is generated by one element.
- A domain is called a principal ideal domain (PID) if every ideal is principal.
- $a \in R$ is irreducible if a is not a unit, nor zero and if

$$a = b.c$$

then either b or c are units.

- A pid $(a) \subset R$ is prime $\iff a$ is irreducible.
- R is a UFD if R is a domain and elements in R can be factored uniquely up to units and reordering into irreducible elements.

Theorème 5

R is a UFD $\implies R[x]$ is a UFD

And, if R is a PID, then R is a UFD

Theorème 6 (Gauss Lemmma)

- *R is a UFD and $a \in R[X]$ irreducible, then also $a \in Q(R)[X]$ is irreducible.*

- Localization

Let R be a domain, if $S \subset R$ is a multiplicative subset, then the localization of R at S is defined as

$$S^{-1}R = \left\{ x \in Q(R) \mid x = \frac{a}{b}, b \in S \right\}$$

If M is an R -module, we have similarly

$$S^{-1}M = \left\{ \frac{m}{s} \mid m \in M, s \in S \right\} / \left\{ \frac{m}{s} = \frac{m'}{s'} \iff ms' = sm' \right\}$$

If $p \subset R$ is a prime ideal, then it's complement is a multiplicative subset and we define

$$R_p = (R \setminus p)^{-1}R$$

- There is a 1-1 correspondence between $p \subset R$ prime and ideals of R_p , furthermore R_p is a local ring
- Localization is exact, in particular, given $I \subset p$ the short exact sequence

$$0 \rightarrow I \rightarrow R \rightarrow R/I \rightarrow 0$$

gets sent to

$$0 \rightarrow I_p \rightarrow R_p \rightarrow (R/I)_p \rightarrow 0$$

ie. localization commutes with taking quotients.

1.2 Polynomial rings

For $a \in \mathbb{N}^n$, we set

$$X^a = X_1^{a_1} \dots \in k[X_1, \dots]$$

Thus for any $F \in k[X_1, \dots, X_n]$, we can write it as

$$F = \sum_{a \in \mathbb{N}^n} \lambda_a X^a$$

F is homogeneous or a form of degree d if the coefficients $\lambda_a = 0$ unless $a_1 + \dots + a_n = d$.

Any F can be written uniquely as $F = F_0 + \dots + F_d$ where F_i is a form of degree i .

The derivative of $F = \sum_{a \in \mathbb{N}^n} \lambda_a X^a$ with respect to X_i is $F_{X_i} = \frac{\partial F}{\partial X_i}$.

If F is a form of degree d we have

Theorème 7 (Euler's theorem)

$$\sum_{i=1}^n \frac{\partial F}{\partial X_i} X_i = dF$$

Lecture 2: Affine space and algebraic sets

Wed 02 Mar

1.3 Affine spaces and algebraic sets

Let k be a field.

Definition 1

For every $n \geq 0$ the affine n -space \mathbb{A}_k^n the set k^n .

In particular \mathbb{A}^0 is a point, \mathbb{A}^1 is a line, \mathbb{A}^2 the affine plane.

Given a subset $S \subset k[X_1, \dots, X_n]$ of polynomials, we set

$$V(S) = \{x = (x_1, \dots, x_n) \in \mathbb{A}^n \mid f(x_1, \dots, x_n) = 0 \forall f \in S\}$$

If S is finite, we write $V(f_1, \dots, f_k)$ for $V(S)$.

If the set S is a singleton, then we call $V(S)$ a hyperplane.

Any subset of \mathbb{A}^n is algebraic if $V = V(S)$ for some subset of polynomials.

Lemme 8

- Let $S \subset k[X_1, \dots, X_n]$ and I the ideal generated by S , then $V(S) = V(I)$.
- Let $\{I_\alpha\}$ be a collection of ideals, then

$$V\left(\bigcup_{\alpha} I_{\alpha}\right) = \bigcap_{\alpha} V(I_{\alpha})$$

- If $I \subset J$ then $V(J) \subset V(I)$
- For polynomials $f, g \in k[x_1, \dots, x_n]$, then $V(f) \cup V(g) = V(f \cdot g)$
For ideals I, J ideals, then $V(I) \cup V(J) = V(I \cdot J)$ where $IJ = \{fg | f \in I, g \in J\}$
- For $a = (a_1, \dots, a_n) \in \mathbb{A}^n$, $v(\{x_1 - a_1, \dots\}) = \{a\}$

Preuve

1. Let $h \in \sum_i f_i g_i \subset I$ with $f_i \in S$ and $x \in V(S)$, then $f_i(x) = 0 \forall i$ hence $h(x) = 0 \implies x \in V(I) \implies V(S) \subset V(I)$.
Furthermore, if $x \in V(I)$, then in particular $f(x) = 0 \forall f \in S \subset I$, hence $x \in V(S)$ and $V(S) \supset V(I)$
2. Let $x \in V(\cup I_{\alpha})$, then for any α and $f \in I_{\alpha}$, we must have $f(x) = 0$, hence $x \in V(I_{\alpha}) \implies x \in \bigcap_{\alpha} V(I_{\alpha})$.
Conversely, if $x \in \bigcap_{\alpha} V(I_{\alpha})$ and $f \in \bigcup_{\alpha} I_{\alpha}$, then $f \in I_{\alpha}$ for some α , then $f(x) = 0$ hence $x \in V(\bigcup_{\alpha} I_{\alpha})$ \square

By Hilbert's basis theorem $k[x_1, \dots, x_n]$ is Noetherian hence every ideal is finitely generated.

Corollaire 9

Every algebraic set $V \subset \mathbb{A}^n$ is of the form

$$V = V(f_1, \dots, f_k) = V(f_1) \cap \dots \cap V(f_k)$$

1.4 Ideals of a set of points and the nullstellensatz

Using the previous section, we have a map

$$V : \{ \text{Ideals in } k[X_1, \dots, X_N] \} \mapsto \{ \text{algebraic sets in } \mathbb{A}^n \}$$

Conversely, for any subset $X \subset \mathbb{A}^n$ we define

$$I(X) := \{f \in k[X_1, \dots, X_N] | f(x) = 0 \forall x \in X\} \subset k[X_1, \dots, X_N]$$

Lemme 10

1. If $X \subset Y$ then $I(X) \supset I(Y)$
2. For $J \subset k[X_1, \dots, X_N]$ an ideal $I(V(J)) \supset J$
3. For $W \subset \mathbb{A}^n$ algebraic, $V(I(W)) = W$

Preuve

1. Let $f \in I(Y)$, then f vanishes on X and hence $f \in I(X)$
2. $I(V(J)) = \{f \in k[x_1, \dots, x_n] \mid f(x) = 0 \forall x \in V(J)\} \supset J$
3. By definition $V(I(X)) \supset X$ for any X .
If in addition, if $X = V(J)$ algebraic, then $V(I(X)) = V((I(V(J)))) \subset V(J) = X$ \square

There are essentially two reasons why $I(V(J)) \supsetneq J$ in general

1. $J = (x^n) \subset k[x] \implies V(x^n) = \{0\}$ and $I(\{0\}) = (x)$
2. $(x^2 + 1) \subset \mathbb{R}[x]$ and $I(\emptyset) = \mathbb{R}[x]$

Lemme 11

For any $X \subset \mathbb{A}^n$, $I(X)$ is a radical ideal

Preuve

If $f^n \in I(X)$ for some n , then $f(x)^n = 0$ and hence $f(x) = 0$ \square

So the first phenomenon is related to the fact that J is not radical, the second is related to the fact that \mathbb{R} is not algebraically closed.

Theorème 12 (Hilbert's Nullstellensatz)

Let K be algebraically closed, $J \subset k[X_1, \dots, X_n]$, then

$$I(V(J)) = \sqrt{J}$$

Using this, there is a one to one correspondence

$$\{ \text{radical ideals in } k[X_1, \dots, X_n] \} \leftrightarrow \{ \text{algebraic subsets of } \mathbb{A}^n \}$$

Theorème 13 (Weak Nullstellensatz)

Let K be algebraically closed, every maximal ideal $I \subset K[X_1, \dots, X_n]$ is of the form $I = \{x_1 - a_1, \dots, x_n - a_n\}$ with $a = (a_i) \in \mathbb{A}^n$

Corollaire 14

Let $I \subset K[X_1, \dots, X_n]$ be any ideal, then $V(I)$ is a finite set $\iff k[X_1, \dots, X_n]/I$ is a finite dimensional K - vector space.

In this case

$$|V(I)| \leq \dim_k k[X_1, \dots, X_n]/I$$

Preuve

Let $I \subset k[X_1, \dots, X_n]$ be any ideal and $P_1, \dots, P_n \subset V(I)$ distinct.

We can choose (Exercise) $F_1, \dots, F_r \in K[X_1, \dots, X_n]$ s.t. $F_i(P_j) = \delta_{ij}$, then we write f_1, \dots, f_r for the residues of F_1, \dots, F_r in $K[X_1, \dots, X_n]/I$.

We claim f_1, \dots, f_r are linearly independent.

Indeed suppose $\sum_i \lambda_i f_i = 0$, this implies $\sum_i \lambda_i F_i \in I$ hence $0 = \sum \lambda_i F_i(P_j)$ which implies $\lambda_j = 0$, hence the f_i are linearly independent.

It follows that $\dim_k K[X_1, \dots, X_n]/I < \infty \implies |V(I)| < \infty$ and in this case $\dim_k K[X_1, \dots, X_n]/I \geq |V(I)|$.

Now assume $V(I)$ is a finite set $\{P_1, \dots, P_r\} \subset \mathbb{A}^n$ and write $P_i = (a_{i1}, \dots, a_{in})$ and define $F_j = \prod_{i=1}^r (X_j - a_{ij})$.

By construction $F_j \in I(V(I)) = \sqrt{I}$

$\exists N > 0$ such that $F_j^N \in I$.

Hence $f_j^N = 0$ in $K[X_1, \dots, X_n]/I$, but $f_j^N = (x_j^{Nr}) + \text{lower order terms}$.

This means that X_j^{Nr} is a K -linear combination of $\{1, \dots, X_j^{Nr-1}\}$.

This means that X_j^s is a linear combination for any $s > 0$.

Hence taking products for different j 's, we see that the set $\{x_1^{m_1}, \dots, x_n^{m_n}\}$ generates $K[X_1, \dots, X_n]/I$ \square

Due to these theorems, we'll always suppose K is algebraically closed.