

# Algebraic Geometry I

David Wiedemann

## Table des matières

<b>1</b>	<b>Presheaves and Sheaves</b>	<b>4</b>
1.1	Presheaves . . . . .	4
1.2	Sheaves . . . . .	5
1.3	Stalks . . . . .	7
1.4	Kernels, cokernels, exactness . . . . .	12
1.5	Direct and inverse image, ringed spaces . . . . .	15
<b>2</b>	<b>Properties of schemes</b>	<b>22</b>
2.1	Topological properties . . . . .	22
2.2	Scheme-Theoretic Properties . . . . .	23
<b>3</b>	<b>Open and closed subschemes and immersions</b>	<b>27</b>
3.1	Fiber Products . . . . .	31
<b>4</b>	<b>Properties of Morphisms</b>	<b>35</b>
4.1	Properties of properties of morphisms . . . . .	35
4.2	Topological properties . . . . .	37
<b>5</b>	<b>Valuative Criteria</b>	<b>38</b>

## List of Theorems

1	Definition (Presheaf) . . . . .	4
2	Definition (Morphism of presheaves) . . . . .	5
3	Definition (Sheaf) . . . . .	5
4	Definition (Morphisms of sheaves) . . . . .	6
5	Definition (Natural sheaf on Spec A) . . . . .	7
6	Definition . . . . .	7
7	Definition . . . . .	8
8	Definition (direct limit) . . . . .	8
9	Definition . . . . .	9
10	Definition (Sheafification) . . . . .	9

20	Proposition . . . . .	10
22	Proposition . . . . .	10
23	Corollary . . . . .	11
11	Definition (Subsheaf) . . . . .	12
12	Definition (Kernel, cokernel of presheaves) . . . . .	12
24	Lemma . . . . .	12
13	Definition (Cokernel/image of morphisms of sheaves) . . . . .	12
26	Lemma (cokernels are cokernels) . . . . .	13
27	Proposition . . . . .	13
28	Proposition . . . . .	13
30	Corollary . . . . .	14
14	Definition (Exact Sequence of sheaves) . . . . .	14
31	Corollary . . . . .	15
32	Corollary . . . . .	15
33	Corollary . . . . .	15
34	Corollary . . . . .	15
15	Definition . . . . .	15
16	Definition (inverse image) . . . . .	15
35	Lemma . . . . .	16
36	Proposition . . . . .	16
37	Corollary . . . . .	16
38	Corollary . . . . .	17
17	Definition (Ringed space) . . . . .	17
18	Definition (Morphism of local rings) . . . . .	17
19	Definition (Locally ringed space) . . . . .	18
20	Definition (Affine Scheme) . . . . .	18
21	Definition (Scheme) . . . . .	18
46	Lemma . . . . .	19
47	Proposition . . . . .	19
48	Corollary . . . . .	19
49	Corollary . . . . .	19
50	Corollary . . . . .	19
22	Definition (Scheme over another scheme) . . . . .	21
23	Definition . . . . .	22
56	Lemma . . . . .	22
24	Definition (Open Subscheme) . . . . .	23
58	Lemma . . . . .	23
25	Definition (Reduced Scheme) . . . . .	23
26	Definition . . . . .	23
62	Lemma . . . . .	24
63	Lemma . . . . .	24

64	Lemma	25
27	Definition	25
28	Definition (Noetherian scheme)	25
29	Definition (Integral scheme)	26
65	Lemma	26
30	Definition (Open Subscheme)	27
66	Lemma	27
31	Definition (Open immersion)	27
32	Definition (Ideal sheaves)	28
33	Definition (Closed Subsceme)	28
34	Definition (Closed immersion)	29
74	Proposition	29
75	Corollary	30
35	Definition (Fiber product)	31
79	Lemma	31
80	Corollary	31
82	Theorem (Fiber products of schemes exist)	32
36	Definition (Fibers)	32
83	Proposition	33
84	Proposition	33
86	Corollary	34
87	Proposition	34
37	Definition	36
38	Definition	36
90	Lemma	36
39	Definition	36
91	Lemma	36
92	Lemma	37
93	Theorem (Cancellation Theorem)	37
40	Definition	37
41	Definition (Specializations)	38
42	Definition (Relative specialization)	38

## Quick Motivation

We study schemes.

These are objects that "look locally" like  $(\text{Spec } A, A)$ .

Examples include

- $A$  itself
- Varieties in affine or Projective

## 1 Presheaves and Sheaves

### 1.1 Presheaves

Let  $X$  be a topological space.

#### Definition 1 (Presheaf)

Let  $C$  be a category. A presheaf  $\mathcal{F}$  of  $C$  on  $X$  consists of

- $\forall U \subset X$  open, an object in  $C$   $\mathcal{F}(U)$
- $\forall V \subset U \subset X$  open, a morphism  $\rho_{U,V} : \mathcal{F}(U) \rightarrow \mathcal{F}(V)$

such that

- $\forall U$  open,  $\rho_{U,U}$  is the identity on  $\mathcal{F}(U)$
- Restriction maps are compatible

$$\forall W \subset V \subset U \subset X$$

open, we have  $\rho_{U,V} \circ \rho_{V,W} = \rho_{U,W}$

#### Remark

Usually,  $C = \text{Set}, \text{Ab}, \text{Ring}, \text{etc.}$

In particular, we usually assume the objects in  $C$  have elements.

#### Remark

- Elements of  $\mathcal{F}(U)$  are called sections of  $\mathcal{F}$  over  $U$ .
- $\mathcal{F}(U)$  is called the space of sections of  $\mathcal{F}$  over  $U$
- Elements of  $\mathcal{F}(X)$  are called global sections.
- There are alternative notations for  $\mathcal{F}(U) : \Gamma(U, \mathcal{F})$  or  $H_0(U, \mathcal{F})$
- The  $\rho_{U,V}$  are called restriction maps, for  $s \in \mathcal{F}(U)$ , we write  $s|_V := \rho_{U,V}(s)$  and is called restriction of  $s$  to  $V$ .

#### Example

- For any object  $A$  in  $C$ , we define the constant presheaf  $\underline{A}$  defined by  $\underline{A}(U) = A$  and with restriction maps the identity.

- The presheaf of continuous functions :  $C^0$ .  
We define  $C^0(U) := \{f : U \rightarrow \mathbb{R} \mid f \text{ continuous}\}$  and the restriction maps are the natural restrictions.
- More generally, if  $\pi : Y \rightarrow X$  is continuous, we can look at the presheaf of continuous sections of  $\pi$ , here

$$\mathcal{F}_\pi(U) := \{s : U \rightarrow Y \mid s \text{ continuous } \pi \circ s = \text{Id}\}$$

This example is universal in a certain sense

### Remark

Define the category  $\text{Ouv}_X$  with

- objects  $U \subset X$  open subsets
- morphisms  $U \rightarrow V$  are either empty or the inclusion  $U \rightarrow V$  if  $U \subset V$

Then a presheaf of  $C$  on  $X$  is just a contravariant functor  $\text{Ouv}_X^{\text{op}} \rightarrow C$

### Definition 2 (Morphism of presheaves)

A morphism  $\phi : \mathcal{F}_1 \rightarrow \mathcal{F}_2$  of presheaves on  $X$  consists of a collection of morphisms  $\rho(U) : \mathcal{F}_1(U) \rightarrow \mathcal{F}_2(U)$  which are natural.

$$\begin{array}{ccc} \mathcal{F}_1(U) & \xrightarrow{\rho(U)} & \mathcal{F}_2(U) \\ \downarrow & & \downarrow \\ \mathcal{F}_1(V) & \xrightarrow{\rho(V)} & \mathcal{F}_2(V) \end{array}$$

### Example

- Every morphism of objects  $A \rightarrow B$  in  $C$  yields a morphism  $\underline{A} \rightarrow \underline{B}$
- If  $X = \mathbb{R}^n$ , let  $C^\infty$  be the presheaf of smooth functions, then for every open  $U$ , there is an inclusion  $C^\infty(U) \rightarrow C^0(U)$  and these inclusions induce a morphism of sheaves  $C^\infty \rightarrow C^0$
- If  $Y_2 \xrightarrow{\pi_2} Y_1 \xrightarrow{\pi_1} X$  are continuous, we get  $\rho : \mathcal{F}_{\pi_1 \circ \pi_2} \rightarrow \mathcal{F}_{\pi_1}$  by mapping a section  $s \in \mathcal{F}_{\pi_1 \circ \pi_2}(U) \rightarrow \pi_2 \circ s$

### Remark

There is an equivalence of categories

$$\text{Presheaves of } C \text{ on } X \simeq \text{Fun}(\text{Ouv}_X^{\text{op}}, C)$$

## 1.2 Sheaves

### Definition 3 (Sheaf)

Let  $C = \text{Set}, \text{Ab}, \text{Ring}$ .

A sheaf  $\mathcal{F}$  of  $C$  on  $X$  is a presheaf such that  $\forall U \subset X$  open and all open covers  $U = \bigcup_{i \in I} U_i$

- $\forall s, t \in \mathcal{F}(U)$  , if  $s|_{U_i} = t|_{U_i} \forall i \in I$  then  $s = t$
- $\forall \{s_i\}$  with  $s_i \in \mathcal{F}(U_i)$  and  $s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j} \forall i, j \in I$ , then  $\exists s \in \mathcal{F}(U)$  such that  $s|_{U_i} = s_i$

Condition 1 is called locality and condition 2 is the gluing condition.

**Remark**

- The section  $s$  of the gluing condition is unique by the locality condition.
- If  $C$  has products, then a presheaf is called a sheaf if

$$\mathcal{F}(U) \rightarrow \prod_{i \in I} \mathcal{F}(U_i) \rightrightarrows \prod_{i, j \in I} \mathcal{F}(U_i \cap U_j)$$

is an equalizer diagram Here the first map is induced by the maps  $s_i : \mathcal{F}(U) \rightarrow \mathcal{F}(U_i)$ , the two second maps are induced by, for each pair  $i, j \in I$  the restrictions  $\rho_{U_i, U_i \cap U_j}$  resp.  $\rho_{U_j, U_i \cap U_j}$

**Example**

1. If  $\mathcal{F}$  is a sheaf, let  $U \cap \emptyset \subset X$  and  $I = \emptyset$ , then  $\mathcal{F}(\emptyset)$  contains at most one element
2.  $C^0$  ( and  $C^\infty$  if  $X = \mathbb{R}^n$  ) are sheaves since  $\forall U \subset X$  open
  - Two continuous functions  $f, g : U \rightarrow \mathbb{R}$  that coincide on an open cover are equal
  - Given an open cover  $U = \bigcup_{i \in I} U_i$  and  $f_i : U_i \rightarrow \mathbb{R}$ , the function  $f : U \rightarrow \mathbb{R}$  defined in the obvious way is continuous ( resp. smooth ) because continuity ( resp. smoothness ) is local.

**Definition 4 (Morphisms of sheaves)**

A morphism of sheaves  $\rho : \mathcal{F}_1 \rightarrow \mathcal{F}_2$  is a morphism of the underlying presheaves.

**Remark**

- $PSh_C(X)$  is the category of presheaves of  $C$  on  $X$
  - $Sh_C(X)$  is the category of sheaves of  $C$  on  $X$
- If  $C = Ab$ , we drop the index.

**Remark**

There is a forgetful functor  $Sh_C(X) \rightarrow PSh_C(X)$ .

By definition, this functor is fully faithful

**Recall**

Let  $A$  be a commutative ring ( with 1 ), then  $\text{Spec } A$  is the set of prime ideals of  $A$ .

The closed subsets of the Zariski topology on  $\text{Spec } A$  are of the form  $V(M) = \{p \in \text{Spec } A \mid M \subset p\}$ .

A basis of this topology is given by  $D(a) = \{p \in \text{Spec } A \mid a \notin p\}$ , here  $a \in A$

**Definition 5 (Natural sheaf on Spec A)**

Let  $A$  be a ring and  $X = \text{Spec } A$ , then the structure sheaf  $\mathcal{O}_X$  on  $X$  is defined by

$$\mathcal{O}_X(U) = \left\{ s : U \rightarrow \prod_{p \in \text{Spec } A} A_p \mid s \text{ satisfies } i \text{ and } ii \right\}$$

where

1.  $\forall p \in U, s(p) \in A_p$
2.  $\forall p \in U, \exists a, b \in A$  and  $V \subset U$  open with  $p \in V \subset D(b)$  with  $s(q) = \frac{a}{b} \in A_q \forall q \in V$

and  $\rho_{UV}$  are simply the (pointwise) restrictions.

**Remark**

$\mathcal{O}_X$  is a sheaf of rings :

- $\mathcal{O}_X(U)$  is a ring with pointwise multiplication and addition

## Lecture 2: Stalks

Fri 14 Oct

### 1.3 Stalks

Let  $X$  be a topological space.

**Definition 6**

Let  $(I, \leq)$  be a pair where  $I$  is a set and  $\leq$  is a binary relation.

$(I, \leq)$  is called a preorder if  $\leq$  is reflexive and transitive.

$(I, \leq)$  is called a poset if it is preordered and  $\leq$  is antisymmetric

$(I, \leq)$  is called a directed set if it is preordered and  $\forall i, j \in I \exists k \in I$  such that  $i, j \leq k$

**Example**

1. Let  $I = \{U \subset X \mid U \text{ open}\}$  and  $U \leq V \iff V \subset U$ .

Then  $I$  is a directed poset.

2. For  $x \in X$ , let

$$I_x = \{U \subset X \mid U \text{ open } x \in U\}$$

This is a directed poset.

**Definition 7**

Let  $(I, \leq)$  be a directed set and  $C$  a category.

A direct system in  $C$  indexed by  $I$  is a pair  $(\{A_i\}, \{\rho_{ij}\}_{i,j \in I, i \leq j})$ .

Where the  $A_i$  are objects in  $C$ , the  $\rho_{ij} : A_i \rightarrow A_j$  are morphisms in  $C$  such that

1.  $\rho_{ii} = \text{Id}_{A_i}$
2.  $\rho_{ik} = \rho_{jk} \circ \rho_{ij}$

**Example**

If  $\mathcal{F}$  is a presheaf of  $C$  on  $X$  and  $I_X$  as in the second example above, then

$$(\{\mathcal{F}(U_i)_{U_i \in I_X}\}, \{\rho_{U_i, U_j}\})$$

is a direct system.

**Definition 8 (direct limit)**

Let  $(I, \leq)$  be a directed set,  $C$  a category.

Let  $(\{A_i\}_{i \in I}, \{\rho_{ij}\}_{i,j \in I})$  be a directed system, then the direct limit is a pair  $(\lim_{i \in I} A_i, \{\rho_i\}_{i \in I})$  where  $\lim_{i \in I} A_i$  is in  $C$  and  $\rho_i : A_i \rightarrow \lim_{i \in I} A_i$  such that

1.  $\rho_j \circ \rho_{ij} = \rho_i$
2. For all objects  $A$  in  $C$  and morphisms  $f_i : A_i \rightarrow A$  such that

$$f_j \circ \rho_{ij} = f_i \forall i, j \in I, i \leq j$$

$$\exists! f : \lim_{i \in I} A_i \rightarrow A \text{ such that } f \circ \rho_i = f_i$$

**Remark**

The direct limit is unique up to unique isomorphism.

**Example**

Write  $(*) = (\{A_i\}_{i \in I}, \{\rho_{ij}\}_{i,j \in I, i \leq j})$ .

Let  $*$  be a direct system in  $\text{Set}$ .

Let  $\lim_{i \in I} A_i := A_i / \sim$  where  $a_i \simeq a_j \iff \exists k \in I, i, j \leq k$  such that  $\rho_{ik}(a_i) = \rho_{jk}(a_j)$ .

This is the direct limit of  $*$ .

If  $*$  is a system in  $\text{Ab}$ , let  $\lim_{i \in I} A_i := \bigoplus A_i / N$  with  $N = \langle a_i - \rho_{ij}(a_i) \rangle$ .

The natural map  $\bigcup A_i / \sim \rightarrow \bigoplus A_i / N$  is a bijection

**Remark**

Taking the direct limits in  $(\text{Ab})$  is exact in the following sense :

$\forall$  directed sets  $I$ ,  $\forall$  direct systems  $\{M_i\}, \{N_i\}, \{P_i\}$  indexed by  $I$  and for all



collections of commutative diagrams, we get

$$0 \rightarrow \lim M_i \rightarrow \lim N_i \rightarrow \lim P_i \rightarrow 0$$

### Definition 9

Let  $C$  be a category with direct limits. Let  $x \in X$  be a point,  $\mathcal{F}$  a presheaf of  $C$  on  $X$ .

Then the stalk of  $\mathcal{F}$  at  $x$  is

$$\mathcal{F}_x = \lim \mathcal{F}(U)$$

where  $U$  runs over all open neighbourhoods of  $x$ .

For  $s \in \mathcal{F}(U)$ , we write  $s_x$  for the image of  $s$  in  $\mathcal{F}_x$  and call it the germ of  $s$  at  $x$ .

### Remark

A morphism of sheaves  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  induces  $\phi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x \forall x \in X$

### Remark

Let  $x \in X, \mathcal{F}$  a presheaf of  $\text{Set}, \text{Ab}$

1.  $\forall U \subset X$  open,  $x \in U, s, t \in \mathcal{F}(U)$

$$s_x = t_x \iff \exists V \subset U \text{ open such that } s|_V = t|_V$$

2.  $\forall s \in \mathcal{F}_x, \exists x \in U$  open and  $t \in \mathcal{F}(U)$  such that  $t_x = s$ .

### Definition 10 (Sheafification)

Let  $\mathcal{F}$  be a presheaf of sets ( ... ) on  $X$ .

The sheafification of  $\mathcal{F}$  is the sheaf  $\mathcal{F}^+$  defined by

$$\mathcal{F}^+(U) = \left\{ s : U \rightarrow \prod_{x \in U} \mathcal{F}_x \mid s \text{ satisfies properties 1 and 2} \right\}$$

1.  $\forall x \in U, s(x) \in \mathcal{F}_x$
2.  $\forall x \in U, \exists V \subset U$  open and  $t \in \mathcal{F}(V)$  such that  $t_x = s(y) \forall y \in V$

### Remark

1.  $\mathcal{F}^+$  is a sheaf
2. Sheafification is functorial.  
For  $\rho : \mathcal{F} \rightarrow \mathcal{G}$  a morphism of presheaves, the collection  $\rho^+(U) : \mathcal{F}^+(U) \rightarrow \mathcal{G}^+(U)$  sending  $s \rightarrow (\prod_{x \in U} \rho_x) \circ s$
3.  $\exists$  a natural morphism  $\iota_{\mathcal{F}} : \mathcal{F} \rightarrow \mathcal{F}^+$  defined by  $\iota_{\mathcal{F}}(U)(s) : x \rightarrow s_x$
4.  $\forall s \in \mathcal{F}^+(U)$  there is an open cover  $U = \bigcup_{i \in I} U_i$  and  $s_i \in \mathcal{F}(U_i)$  such that  $s|_{U_i} = \iota_{\mathcal{F}}(U_i)(s_i)$

5.  $\forall x \in X$ , the map  $\iota_{\mathcal{F},x} : \mathcal{F}_x \rightarrow \mathcal{F}_x^+$  is an isomorphism.

**Proposition 20**

$\forall$  morphisms  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  such that  $\mathcal{G}$  is a sheaf, there exists a unique morphism  $\phi^+ : \mathcal{F}^+ \rightarrow \mathcal{G}$  such that  $\phi = \phi^+ \circ \iota_{\mathcal{F}}$

**Proof**

Let  $U \subset X$  open, let  $s \in \mathcal{F}^+(U) \ni$  an open cover  $U = \bigcup_{i \in I} U_i$  and  $s_i \in \mathcal{F}(U_i)$  such that  $\iota_{\mathcal{F}}(U_i)(s_i) = s|_{U_i}$ .

Since we want  $\phi = \phi^+ \circ \iota_{\mathcal{F}}$ , we have to set

$$\phi^+(U_i)(s|_{U_i}) = \phi(U_i)(s_i)$$

Since  $\mathcal{G}$  is a sheaf and

$$\phi(U_i)(s_i)|_{U_i \cap U_j} = \phi(U_i \cap U_j)(s_i|_{U_i \cap U_j}) = \phi(U_j)(s_i)|_{U_i \cap U_j}$$

there exists a unique  $t \in \mathcal{G}(U)$  with  $t|_{U_i} = \phi(U_i)(s_i)$ .

For  $\phi^+$  to be a morphism, we have to set  $\phi^+(U)(s) = t$ .

We still have to check that  $\phi^+$  is compatible with restriction maps.  $\square$

**Remark**

The proposition above shows that  $\text{hom}_{Sh(X)}(\mathcal{F}^+, \mathcal{G}) \xrightarrow{\sim} \text{hom}_{Psh(X)}(\mathcal{F}, \mathcal{G})$  naturally in the presheaf and the sheaf  $\mathcal{G}$ .

Hence  $(-)^+$  is left-adjoint to the forgetful functor  $Sh(X) \rightarrow Psh(X)$

**Proposition 22**

$X = \text{Spec } A \forall a \in A$  there exist isomorphisms  $\phi_a : A_a \rightarrow \mathcal{O}_X(D(a))$  such that  $\forall b \in A$  with  $D(b) \subset D(a)$

$$\begin{array}{ccc} A_a & \xrightarrow{\sim} & \mathcal{O}_X(D(a)) \\ \downarrow & & \downarrow \\ A_b & \xrightarrow{\sim} & \mathcal{O}_X(D(b)) \end{array}$$

**Proof**

Define  $\phi_a : A_a \rightarrow \mathcal{O}_X(D(a))$  by sending  $\frac{s}{a^n} \mapsto (p \rightarrow \frac{s}{a^n} \in A_p)$ .

Clearly, these make the diagram commute.

This map is injective, indeed, suppose  $\phi_a(\frac{s}{a^n}) = 0$ .

Let  $I = \text{Ann}(s) = \{r \in A | rs = 0\}$ .

Since  $\frac{s}{a^n} = 0 \forall p \in D(a)$ , we have  $I \not\subset p$ , hence  $V(I) \subset V(a) \implies a \in \sqrt{I}$ .

Thus there exists  $m \geq 1$  such that  $a^m s = 0$ , hence  $\frac{s}{a^n} = 0$ .

To show surjectivity, let  $s \in \mathcal{O}_X(D(a))$ , by definition of  $\mathcal{O}_X$  and because  $D(h_i)$  form a basis, we find  $a_i, g_i, h_i \in A$  such that

$$D(a) = \bigcup D(h_i), D(h_i) \subset D(g_i)$$

and  $s(q) = \frac{a_i}{g_i}$  for all  $q \in D(h_i)$ .

1. Claim 1 : Can choose  $g_i = h_i$
2. Claim 2 : Can choose  $I$  finite
3. Claim 3 : Can choose  $a_i, h_i$  such that  $h_j a_i = h_i a_j$ .

Using these claims, since  $D(a) = \bigcup D(h_i)$ , we find  $n > 0, b_j \in A$  such that  $a^n = \sum b_j h_j$ .

Write  $c = \sum a_i b_i$ .

Then  $h_j = \sum_i a_i b_i h_j = \sum a_j b_i h_i = a^n a_j$ .

Thus  $\frac{c}{a^n} = \frac{a_j}{h_j} \in A_{h_j} \implies \phi_a(\frac{c}{a^n}) = s$ .

We now prove the claims

1. We have  $D(h_i) \subset D(g_i)$  thus  $V(g_i) \subset V(h_i)$  and thus  $h_i \in \sqrt{(g_i)}$ .

So there exists  $c_i \in A$  and  $n > 1$  such that  $h_i^n = c_i g_i$ .

Now, we replace  $h_i$  by  $h_i^n$  and  $a_i$  by  $a_i c_i$ . Then

$$\frac{a_i c_i}{h_i^n} = \frac{a_i}{g_i}$$

2. We have  $D(a) \subset \bigcup D(h_i) \iff V(\sum h_i) = \bigcap V(h_i) \subset V(a)$ .

This is equivalent to saying that  $a \in \sqrt{\sum (h_i)}$ .

Thus there exists  $n \geq 1$  such that  $a^n \in \sum_i (h_i)$ .

So there exist finitely many  $b_i \in A$  such that  $a^n = \sum b_j h_j$

3. On  $D(h_i) \cap D(h_j) = D(h_i h_j)$ , we have

$$\phi_{h_i h_j}(\frac{a_i}{h_i}) = s|_{D(h_i h_j)} = \phi_{h_i h_j}(\frac{a_j}{h_j})$$

Thus

$$\frac{a_i}{h_i} = \frac{a_j}{h_j} \in A_{h_i h_j}$$

Thus, there exists  $N_j \geq 1$  such that  $(h_i h_j)^{N_j} (h_j a_i - h_i a_j) = 0$ .

From claim 2,  $I$  is finite, so we can choose  $N$  big enough such that  $N$  works for all  $D(h_i)$ .

Now, we replace  $h_i$  by  $h_i^{N+1}$  and  $a_i$  by  $h_i^N a_i$  and we get  $h_j a_i - h_i a_j = 0 \in A$ .  $\square$

### Corollary 23

Take  $X = \text{Spec } A$ , then  $\forall p \in \text{Spec } A \exists$  isomorphisms  $\phi_p : A_p \rightarrow \mathcal{O}_{X,p}$  such that the appropriate diagram commutes.

### Proof

1. Observe  $\lim_{a \in A \setminus p} = A_a \simeq A_p$  (check universal property)
2. Observe that  $\lim_{p \in D(a)} \mathcal{O}_X(D(a)) \simeq \mathcal{O}_{X,p}$

## Lecture 3: Kernels/cokernels of sheaves

Mon 17 Oct

### 1.4 Kernels, cokernels, exactness

In this chapter, every (pre)-sheaf is a (pre)sheaf of Abelian groups.

#### Definition 11 (Subsheaf)

Let  $\mathcal{F}$  be a (pre)sheaf on  $X$ .

Then a sub(pre)sheaf of  $\mathcal{F}$  is a (pre)sheaf  $\mathcal{G}$  such that  $\mathcal{G}(U) \subset \mathcal{F}(U)$  for every open and the restriction maps are induced by  $\mathcal{F}$ .

#### Definition 12 (Kernel, cokernel of presheaves)

Let  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves

1. The presheaf kernel of  $\phi$  is the presheaf  $\ker^{pre}(\phi)$  defined by  $\ker^{pre}(\phi)(U) = \ker(\phi(U))$
2. The presheaf image is defined as  $\text{Im}^{pre}(\phi)(U) = \text{Im}(\phi(U))$
3. The presheaf cokernel is  $\text{coker}^{pre}(\phi)(U) = \text{coker}(\phi(U))$ .

In each case, the restriction maps are induced by those in  $\mathcal{F}$  or  $\mathcal{G}$ .

#### Lemma 24

If  $\mathcal{F}$  and  $\mathcal{G}$  are sheaves, then the presheaf kernel is a sheaf.

#### Proof

Let  $U \subset X$  open and  $U = \bigcup U_i$  an open cover,  $s_i \in \ker^{pre}(\phi)(U_i)$  such that  $s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j}$ .

Since  $\mathcal{F}$  is a sheaf,  $\exists s \in \mathcal{F}(U)$  such that  $s|_{U_i} = s_i$ .

Since  $\ker^{pre}(\phi)(U_i) = \ker(\phi(U_i))$ , we have  $\phi(U_i)(s_i) = 0$ .

Thus

$$\phi(U)(s)|_{U_i} = \phi(U_i)(s|_{U_i}) = 0$$

Since  $\mathcal{G}$  is a sheaf,  $\phi(U)(s) = 0 \implies s \in \ker^{pre}(\phi)(U)$ .  $\square$

#### Example

By an exercise, the image presheaf and cokernel presheaf are, in general, no sheaves, even if  $\mathcal{F}$  and  $\mathcal{G}$  are.

#### Definition 13 (Cokernel/image of morphisms of sheaves)

Let  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves

1. sheaf kernel :  $\ker^{pre}(\phi)$

2. sheaf image  $(\text{Im}^{pre}(\phi))^+$
3. sheaf cokernel  $(\text{coker}^{pre}(\phi))^+$

**Lemma 26 (cokernels are cokernels)**

Let  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves

1.  $\ker \phi \rightarrow \mathcal{F}$  is a categorical kernel in  $Sh(X)$
2.  $\mathcal{G} \rightarrow \text{coker } \phi$  is a categorical cokernel in  $Sh(X)$ .

**Proof**

1. This means that for each commutative diagram with solid arrows, the dotted arrow is unique  
*"Insert cokernel/kernel diagram here"*  
This holds for every open  $U$  and so the kernel is a sheaf.
2. The appropriate diagram commutes and we use the universal property of sheafification.  $\square$

**Proposition 27**

Let  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves of abelian groups, then the following are equivalent

1.  $\phi$  is a monomorphism in  $Sh(X)$
2.  $\ker(\phi) = 0$
3.  $\ker(\phi(U)) = 0$
4.  $\ker(\phi_x) = 0$

**Proof**

Recall  $\phi$  is a monomorphism if for every  $\psi : \mathcal{F}' \rightarrow \mathcal{F}$ ,  $\phi \circ \psi = 0 \implies \psi = 0$ .  
The implication  $1 \implies 2$  follows by applying the monomorphism property to  $\ker \phi \rightarrow \mathcal{F}$ .  
 $2 \implies 1$  If  $\phi \circ \psi = 0$ , then  $\psi$  factors through the kernel  $\ker \phi \rightarrow \mathcal{F}$  and so  $\psi = 0$ .  
 $2 \iff 3$  Since  $\ker(\phi)(U) = \ker(\phi(U))$   
 $3 \implies 4$  Follows because taking direct limits is exact.  
 $4 \implies 3$  Let  $s \in \mathcal{F}(U)$  with  $\phi(U)(s) = 0$ , then  $\phi_x(s_x) = (\phi(U)(s))_x = 0$ .  
So  $s_x = 0 \forall x \in U$  and so  $s = 0$   $\square$

**Proposition 28**

Let  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves of abelian groups, then the following are equivalent

1.  $\phi$  is an epimorphism in  $Sh(X)$
2.  $\text{coker}(\phi) = 0$
3.  $\text{coker}(\phi_x) = 0$

**Proof**

Recall that  $\phi$  is an epimorphism if for every  $\psi : \mathcal{G} \rightarrow \mathcal{G}'$ ,  $\psi \circ \phi = 0 \implies \psi = 0$

1  $\implies$  2 Apply epimorphism property to  $\mathcal{G} \rightarrow \text{coker}(\phi)$

2  $\implies$  3 We have

$$\begin{aligned} 0 &= (\text{coker } \phi)_x \\ &= (\text{coker}^{pre} \phi)_x = \text{coker}(\phi_x) \end{aligned} \quad \square$$

3  $\implies$  1

Let  $\psi : \mathcal{G} \rightarrow \mathcal{G}'$  such that  $\psi \circ \phi = 0$ , this implies that  $0 = (\psi \circ \phi)_x = \psi_x \circ \phi_x$ .

Since  $\phi_x$  is an epimorphism of abelian groups, we get  $\psi_x = 0$ .

As the hom sheaf is a sheaf, we get that  $\psi = 0$

**Remark**

If  $\text{coker}(\phi(U)) = 0 \forall U \subset X \implies \text{coker}(\phi) = 0$  but the converse is not true.

**Corollary 30**

If  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  is a morphism of sheaves, then the following are equivalent

1.  $\phi$  is an isomorphism
2.  $\phi(U)$  is an isomorphism  $\forall U \subset X$  open
3.  $\phi_x$  is an isomorphism  $\forall x \in X$

**Proof**

1  $\implies$  2 since taking sections is a functor

2  $\implies$  3 since taking limits is functorial

2  $\implies$  1 because  $(\phi(U))^{-1}$  defines a morphism of sheaves

3  $\implies$  2 Need to show surjectivity of  $\phi(U)$ .

Let  $t \in \mathcal{G}(U)$ , since  $\phi_x$  is an isomorphism  $\forall x \in U$ , we find  $s_x \in \mathcal{F}_x$  such that  $\phi_x(s_x) = t_x$ .

There exists an open neighbourhood and  $s_{V_x} \subset \mathcal{F}(V_x)$  such that  $(s_{V_x})_x = s_x$   
Since

$$(\phi(V_x)(s_{V_x}))_x = t_x$$

we can choose  $V + x$  sufficiently small such that  $\phi(V_x)(s_{V_x}) = t|_{V_x}$ .

Since  $\phi(V_x \cap V_y)$  is injective and  $\phi(V_x \cap V_y)(s_{V_x}|_{V_x \cap V_y}) = t|_{V_x \cap V_y} = \phi(V_x \cap V_y)(s_{V_y}|_{V_x \cap V_y})$ , we have  $s_{V_x}|_{V_x \cap V_y} = s_{V_y}|_{V_x \cap V_y}$ .

Thus there exists  $s \in \mathcal{F}(U)$  such that  $s|_{V_x} = s_{V_x}$  and  $\phi(U)(s)|_{V_x} = t|_{V_x}$  and thus  $\phi(U)(s) = t$ .  $\square$

**Definition 14 (Exact Sequence of sheaves)**

A sequence of sheaves  $\mathcal{F}_1 \xrightarrow{\phi_1} \mathcal{F}_2 \xrightarrow{\phi_2} \mathcal{F}_3$  is called exact if  $\ker \phi_2 = \text{Im } \phi_1$

**Corollary 31**

A sequence of sheaves is exact iff the associated sequence on stalks is exact for all points.

**Lecture 4: locally ringed spaces, (affine) Schemes (!)**

Fri 21 Oct

**Corollary 32**

A sequence of sheaves is exact if and only if it is exact on all stalks.

**Proof**

If  $\ker(\phi_{2,x}) = \text{Im}(\phi_{1,x}) \forall x \in X$ , thus  $(\phi_{2,x} \circ \phi_{1,x}) = (\phi_2 \circ \phi_1)_x$ .

Thus  $\phi_2 \circ \phi_1 = 0$  because the hom sheaf is a sheaf.

Thus  $\phi_1$  factors as  $\mathcal{F}_1 \rightarrow \text{Im } \phi_1 \rightarrow \ker \phi_2 \rightarrow \mathcal{F}_2$  as  $\psi_x$  is an isomorphism,  $\psi$  is an isomorphism.  $\square$

**Corollary 33**

Let  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves, then  $\text{Im } \phi = \ker(\mathcal{G} \text{ to coker } \phi)$

**Corollary 34**

$Sh(X)$  is an abelian category.

**1.5 Direct and inverse image, ringed spaces****Definition 15**

Let  $f : X \rightarrow Y$  be a continuous map.

We define the direct image of  $\mathcal{F}$  by  $f$  on  $Y$  defined by

$$f_*\mathcal{F}(U) = \mathcal{F}(f^{-1}(U))$$

We can check that  $f_*\mathcal{F}$  is a sheaf with restriction maps induced by  $\mathcal{F}$ .

If  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  is a morphism of sheaves on  $X$ , then the  $(f_*\phi)(X) = \phi(f^{-1}(V))\mathcal{F}(f^{-1}(V)) \rightarrow \mathcal{G}(f^{-1}(V))$  define a morphism of sheaves.

Thus we get a functor  $f_* : Sh(X) \rightarrow Sh(Y)$ .

**Definition 16 (inverse image)**

Let  $f : X \rightarrow Y$  be a continuous map and let  $\mathcal{G}$  be a sheaf on  $Y$ .

The inverse image of  $\mathcal{G}$  along  $f$  is the sheafification of the presheaf

$$f^{-1,pre}(\mathcal{G})$$

defined by

$$f^{-1,pre}(\mathcal{G})(U) = \varprojlim_{f(U) \subset V} \mathcal{G}(V)$$

We can again check that if  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  is a morphism of sheaves on  $Y$ , we define  $f^{-1}\phi : \varprojlim \mathcal{F}(V) \rightarrow \varprojlim \mathcal{G}(V)$  using the maps induced by  $\phi$ . Thus we get a functor  $Sh(Y) \rightarrow Sh(X)$ .

### Lemma 35

Let  $f : X \rightarrow Y$  be a continuous map,  $\mathcal{F}$  a sheaf on  $X$  and  $\mathcal{G}$  a sheaf on  $Y$ .

1.  $\forall y \in Y$  there is a natural isomorphism

$$(f_*\mathcal{F})_y \simeq \varprojlim_{y \in V \subset Y} \mathcal{F}(f^{-1}(V))$$

In particular for all  $x \in X$  there is a natural map  $(f_*\mathcal{F})_{f(x)} \rightarrow \mathcal{F}_x$

2.  $\forall x \in X$  there is a natural isomorphism  $(f^{-1}\mathcal{G})_x \simeq \mathcal{G}_{f(x)}$

### Proof

The isomorphisms are immediate from the definition.

The morphism  $(f_*\mathcal{F})_{f(x)} \rightarrow \mathcal{F}_x$  is given by

$$(f_*\mathcal{F})_{f(x)} = \varprojlim \mathcal{F}(f^{-1}(V)) = \varprojlim_{x \in f^{-1}(V)} \mathcal{F}(f^{-1}(V)) \rightarrow \varprojlim_{x \in U} \mathcal{F}(U) = \mathcal{F}_x \quad \square$$

### Proposition 36

If  $f : X \rightarrow Y$  is a continuous map, then  $f_* : Sh(X) \rightarrow Sh(Y)$  is right-adjoint to  $f^{-1} : Sh(Y) \rightarrow Sh(X)$

### Corollary 37

$f^{-1} : Sh(Y) \rightarrow Sh(X)$  is exact

### Proof

Let  $0 \rightarrow \mathcal{G}_1 \rightarrow \mathcal{G}_2 \rightarrow \mathcal{G}_3 \rightarrow 0$  be exact in  $Sh(Y)$ .

Thus  $\forall y \in Y, 0 \rightarrow \mathcal{G}_{1,y} \rightarrow \mathcal{G}_{2,y} \rightarrow \mathcal{G}_{3,y} \rightarrow 0$  is exact.

In particular it is exact at  $f(x) \forall x \in X$  and thus the associated inverse image



sequence is exact.  $\square$

### Corollary 38

$f_* : Sh(X) \rightarrow Sh(Y)$  is left-exact.

### Proof

Let  $0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_3 \rightarrow 0$  be exact in  $Sh(X)$ .

Recall that the section functor is left-exact, thus  $0 \rightarrow \mathcal{F}_1(U) \rightarrow \mathcal{F}_2(U) \rightarrow \mathcal{F}_3(U)$  is exact  $\forall U \subset X$ .

Thus  $0 \rightarrow (f_*\mathcal{F}_1)_y \rightarrow (f_*\mathcal{F}_2)_y \rightarrow (f_*\mathcal{F}_3)_y$  is exact  $\forall y \in Y$  and thus  $0 \rightarrow f_*\mathcal{F}_1 \rightarrow f_*\mathcal{F}_2 \rightarrow f_*\mathcal{F}_3$  is exact.  $\square$

### Example

$f_*$  is usually not right-exact.

Eg, if  $f : X \rightarrow \{*\}$  and  $\mathcal{F}$  is a sheaf on  $X$ , then  $(f_*\mathcal{F})(\emptyset) = 0$  and  $(f_*\mathcal{F})(\{*\}) = \mathcal{F}(X)$  and taking sections is not exact.

### Definition 17 (Ringed space)

A ringed space is a pair  $(X, \mathcal{O}_X)$  where  $X$  is a topological space and  $\mathcal{O}_X$  is a sheaf of rings on  $X$ .

A morphism of ringed spaces  $(X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  is a pair  $(f, f^\#)$  where  $f : X \rightarrow Y$  is a continuous map and  $f^\#$  is a morphism  $\mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$ .

### Remark

Ringed spaces form a category, if  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$ ,  $(g, g^\#) : (Y, \mathcal{O}_Y) \rightarrow (Z, \mathcal{O}_Z)$  define their composition to be  $(g \circ f, g_* (f^\# \circ g^\#))$

### Example

1. For every ring  $A$ ,  $(\text{Spec } A, \mathcal{O}_{\text{Spec } A})$  is a ringed space.
2. For any field  $K$  and any topological space  $X$ , define a sheaf  $\text{Fun}_{X,K}(U) = \{s : U \rightarrow K\}$ .  
There is a functor  $\top \rightarrow (\text{Ringed spaces})$  sending  $X \mapsto (X, \text{Fun}_{X,K})$  where for  $f : X \rightarrow Y$   $f^\#$  is the pullback (precomposition).
3.  $(X, C_X^0)$  is a ringed space

Observe that for many of these examples of ringed spaces, the stalks  $\mathcal{O}_{X,x}$  are local rings.

### Definition 18 (Morphism of local rings)

A morphism of local rings  $\phi : A \rightarrow B$  with maximal ideals  $m_A$  and  $m_B$  is called local if  $m_A = \phi^{-1}(m_B)$

### Example

1. For all ring homomorphism  $\phi : A \rightarrow B$  and all  $q \in \text{Spec } B$  the induced map  $A_{\phi^{-1}(q)} \rightarrow B_q$  is local.
2. A ring homomorphism  $\phi : A \rightarrow K$  from a local ring  $A$  to a field iff  $m_A = \ker \phi$

### Definition 19 (Locally ringed space)

A locally ringed space is a ringed space  $(X, \mathcal{O}_X)$  such that  $\mathcal{O}_{X,x}$  is local  $\forall x \in X$ .

A morphism of locally ringed spaces  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  is a morphism of ringed spaces such that

$$f_x^\# : \mathcal{O}_{Y, f(x)} \xrightarrow{f_x^\#} (f_* \mathcal{O}_X)_{f(x)} \rightarrow \mathcal{O}_{X,x}$$

is local.

### Remark

The category of locally ringed spaces is a subcategory of the category of ringed spaces

### Definition 20 (Affine Scheme)

An affine scheme is a locally ringed space  $(X, \mathcal{O}_X)$  such that  $X = \text{Spec } A$  and  $\mathcal{O}_X$  is the structure sheaf.

### Definition 21 (Scheme)

A scheme is a locally ringed space  $(X, \mathcal{O}_X)$  such that there exists an open cover  $X = \bigcup_{i \in I} U_i$  such that each  $(U_i, \mathcal{O}_X|_{U_i})$  is an affine scheme.

A morphism of schemes is a morphism of the underlying ringed spaces.

### Example

1. If  $(X, \mathcal{O}_X)$  is a scheme and  $U \subset X$  is open, then  $(U, \mathcal{O}_X|_U)$  is not necessarily a scheme (even if  $X$  is affine).
2. If  $(X, \mathcal{O}_X)$  is a scheme and  $X = \{*\}$ , then  $X$  is affine.  
Then  $\text{Spec } A = \{*\}$  iff every  $a \in A$  is either a unit or nilpotent.

## Lecture 5: Schemes

### Remark

By abuse of notation, we write  $X$  is a scheme with  $\mathcal{O}_X$  implicit.

Mon 24 Oct

**Lemma 46**

Let  $X$  be a topological space with basis for the topology  $\{v_i\}_{i \in I}$ .

Let  $\mathcal{F}$  and  $\mathcal{G}$  be sheaves on  $X$ .

For any collection of morphisms  $\phi_i : \mathcal{F}(V_i) \rightarrow \mathcal{G}(V_i)$  such that  $\rho_{ij} \circ \phi_i = \phi_j$ , then  $\exists! \phi : \mathcal{F} \rightarrow \mathcal{G}$  which restricts to  $\phi_i$  on the  $V_i$ .

**Proposition 47**

Let  $(X, \mathcal{O}_X)$  be a locally ringed space and  $A$  a ring, then the map  $\text{hom}((X, \mathcal{O}_X), (\text{Spec } A, \mathcal{O}_{\text{Spec } A})) \rightarrow \text{hom}(A, \mathcal{O}_X(X))$  which maps  $(f, f^\#) \rightarrow f^\#(\text{Spec } A)$  is a natural bijection.

In particular, for all locally ringed spaces  $(X, \mathcal{O}_X)$ , there is a natural affinization morphism  $\text{aff}_X : X \rightarrow \text{Spec } \mathcal{O}_X(X)$

**Corollary 48**

Every morphism of locally ringed spaces  $(X, \mathcal{O}_X) \rightarrow \text{Spec } A$  factors uniquely through  $\text{aff}_X$ .

**Corollary 49**

A locally ringed space is an affine scheme iff the affinization is an isomorphism.

**Corollary 50**

The functor

$$(\text{affSch}) \rightarrow (\text{Ring})^{\text{op}}$$

mapping  $(X, \mathcal{O}_X) \rightarrow \mathcal{O}_X(X)$  is an equivalence of categories.

**Proof**

Fully faithful is the proposition above.

Essential surjectiveness is immediate as for any ring, we can look at  $(\text{Spec } A, \mathcal{O}_{\text{Spec } A})$  as  $\mathcal{O}_{\text{Spec } A}(\text{Spec } A) = A$ .  $\square$

We now prove the statement

**Proof**

We use that there exists a natural isomorphism  $\mathcal{O}_{\text{Spec } A}(D(a)) \simeq A_a$ .

Naturality follows from functoriality of  $f^\#(-)$ .

We have to construct an inverse, let  $\phi : A \rightarrow \mathcal{O}_X(X)$  be a ring homomorphism, we need to define  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (\text{Spec } A, \mathcal{O}_{\text{Spec } A})$ .

We map  $x \mapsto \ker(A \xrightarrow{\phi} \mathcal{O}_X(X) \rightarrow \mathcal{O}_{X,x}/m_x)$ .

We claim that  $f$  is continuous.

It suffices to show that  $X_{\phi(a)} = f^{-1}(D(a)) = \{x \in X \mid \phi(a)_x \notin m_x\} \subset X$  is open.

Take  $x \in X_{\phi(a)}$ , then  $\phi(a)_x \notin m_x \implies \phi(a)_x \in \mathcal{O}_{X,x}^\times$ .

Thus  $\exists x \in V \subset X$  and  $b \in \mathcal{O}_X(V)$  such that  $\phi(a)|_V b = 1 \in \mathcal{O}_X(V)$ .

Thus  $\phi(a)_y b_y = 1 \forall y \in V \implies \phi(a)_y \notin m_y \implies V \subset X_{\phi(a)} \implies X_{\phi(a)}$  is open.

To define  $f^\sharp$ , observe that  $\forall a \in A, \phi(a)|_{X_{\phi(a)}} \in \mathcal{O}_X(X_{\phi(a)})$  is a unit in every stalk, hence a unit.

Thus there is a unique morphism such that  $A \xrightarrow{\phi} \mathcal{O}_X(X) \rightarrow \mathcal{O}_X(X_{\phi(a)}) = A \rightarrow A_a \xrightarrow{\exists! f^\sharp(D(a))} \mathcal{O}_X(X_{\phi(a)})$  so we get a morphism  $f^\sharp : \mathcal{O}_{\text{Spec } A} \rightarrow f_* \mathcal{O}_X$ .

We still have to show that this map is a morphism of locally ringed spaces.

We claim that  $\forall x \in X$ , the map  $f_x^\sharp : A_{f(x)} \rightarrow \mathcal{O}_{X,x}$  is a local homomorphism.

The diagram induces a commutative diagram

$$A \xrightarrow{\phi} \mathcal{O}_X(X) \xrightarrow{\pi_2} \mathcal{O}_{X,x} = A \xrightarrow{\pi_1} A_{f(x)} \xrightarrow{f_x^\sharp} \mathcal{O}_{X,x}$$

Note that  $p_1^{-1}(f_x^{\sharp,-1}(m_x)) = \pi_1^{-1} \circ \pi_2^{-1}(m_x) = f(x)$  by definition.

Thus  $f_x^{\sharp,-1}(m_x) = f(x)A_{f(x)}$ .

Now, we need to show that this construction is in fact an inverse.

By construction, if  $(f, f^\sharp)$  comes from  $\phi$ , then  $\phi = f^\sharp(\text{Spec } A)$ .

Conversely, let  $(f, f^\sharp) : X \rightarrow \text{Spec } A$  be a morphism and let  $(f', f'^\sharp) : X \rightarrow \text{Spec } A$  be associated to  $f^\sharp(\text{Spec } A)$ .

We need to show that  $(f, f^\sharp) = (f', f'^\sharp)$ .

$\forall x \in X, \exists$  a commutative diagram

$$A \xrightarrow{f^\sharp(\text{Spec } A)} \mathcal{O}_X(X) \rightarrow \mathcal{O}_{X,x} = A \rightarrow A_{f(x)} \rightarrow \mathcal{O}_{X,x}$$

As  $f_x^\sharp$  and  $f'_x{}^\sharp$  are local,  $f(x) = f'(x)$ . Now,  $\forall a \in A$ , there is a commutative diagram

$$A \xrightarrow{f^\sharp(\text{Spec } A)} \mathcal{O}_X(X) \rightarrow \mathcal{O}_X(X_{f^\sharp(\text{Spec } A)}) = A \rightarrow A_a \xrightarrow{\exists! f^\sharp(D(a))} \mathcal{O}_X(X_{f^\sharp(\text{Spec } A), a})$$

□

### Example

For every locally ringed space  $(X, \mathcal{O}_X)$ , there exists a unique morphism  $(X, \mathcal{O}_X) \rightarrow (\text{Spec } \mathbb{Z}, \mathcal{O}_{\text{Spec } \mathbb{Z}})$  because  $\exists! \mathbb{Z} \rightarrow \mathcal{O}_X(X)$ .

If  $(X, \mathcal{O}_X)$  is a locally ringed space such that each  $\mathcal{O}_X(U)$  has characteristic  $p > 0$ , then  $\exists!$  morphism  $(X, \mathcal{O}_X) \rightarrow (\text{Spec } \mathbb{F}_p, \mathcal{O}_{\text{Spec } \mathbb{F}_p})$ .

**Definition 22 (Scheme over another scheme)**

Let  $S$  be a sscheme. The category of schemes over  $S$ ,  $Sch/S$  is the category whose objects are morphisms  $X \rightarrow S$  and morphisms are commutative triangles.

**Example**

Let  $K$  be a field.

The affine  $n$ -space over  $k$  is denoted  $\mathbb{A}_k^n$  is  $\text{Spec } k[x_1, \dots, x_n]$ .

If  $k$  is algebraically closed, then

$$k^n \simeq \text{Spec}_{max} k[x_1, \dots, x_n] \simeq \mathbb{A}_k^n \simeq \text{hom}_{k\text{-alg}}(k[x_1, \dots, x_n], k)$$

If  $\phi : A \rightarrow B$  is a surjective ring homomorphism, then the induced map on spectra  $\text{Spec } B \rightarrow \text{Spec } A$  is a homeomorphism onto  $V(I)$  where  $I = \ker \phi$ .

In particular, if  $I \subset K[x_1, \dots, x_n]$ ,  $k = \bar{k}$  is an ideal, then  $V(I) = \{(a_1, \dots, a_n) \in k^n \mid f(a_1, \dots, a_n) = 0 \forall f \in I\}$  is the image of  $\text{Spec}_{max} k[x_1, \dots, x_n] / I \rightarrow \text{Spec}_{max} k[x_1, \dots, x_n] \simeq k^n$ .

**Example (glueing two schemes)**

If  $X_1, X_2$  are two schemes and  $U_i \subset X_i$  are open subsets,

$$(\phi, \phi^\#) : (U_1, \mathcal{O}_X|_{U_1}) \simeq (U_2, \mathcal{O}_X|_{U_2})$$

is an isomorphism.

We define the scheme  $(X, \mathcal{O}_X)$  by glueing  $X_1$  and  $X_2$  over  $U_1$  as follows.

As a set,  $X = X_1 \amalg X_2 / \sim$  where  $x_1 \sim \phi(x_1)$ .

Note, there are natural maps  $\pi_i : X_i \rightarrow X$ .

We say that a subset  $U \subset X$  is open  $\iff \pi_i^{-1}(U) \subset X_i$  open for  $i = 1, 2$ .

We define the structure sheaf as  $\mathcal{O}_X(U) = \ker(\mathcal{O}_{X_1}(\pi_1^{-1}(U)) \oplus \mathcal{O}_{X_2}(\pi_2^{-1}(U)) \rightarrow \mathcal{O}_{X_1}(\pi_1^{-1}(U) \cap U_1))$ .

Then  $X$  is a scheme.

**Example (Explicit example of glueing)**

Take  $X_1 = X_2 = \mathbb{A}_K^1$  and  $U_1 = U_2 = \mathbb{A}_K^1 \setminus 0$ .

Notice that  $U \simeq \text{Spec } k[x, x^{-1}]$ .

1. Taking the glueing map  $\phi = \text{Id}$ , we get a line with two origins.

2. Taking  $\phi^\#(U_2) : x \mapsto \frac{1}{x}$ , we get the projective line  $\mathbb{P}_k^1$ .

The  $k$ -rational points of this scheme are in correspondence with lines in  $k^2$ , namely

$$P_k^1(k) \simeq k^2 \setminus \{0\} /_{k^\times}.$$

## 2 Properties of schemes

### 2.1 Topological properties

#### Definition 23

A scheme  $(X, \mathcal{O}_X)$  is called

1. *connected* if  $X$  is
2. *irreducible* if  $\forall U_1, U_2$  open non empty their intersection is non-empty.
3. *quasi-compact* if  $X$  is.<sup>a</sup>
4. *quasi-separated* if  $X$  is, ie.  $\forall U_1, U_2$  open and quasi-compact, their intersection is quasi-compact.

a. All affine schemes are quasi-compact, but  $\mathbb{A}_k^\infty \setminus 0$  is not quasi-compact

### Lecture 6: Topological properties

Fri 28 Oct

#### Remark

$\text{Spec } R \times S = \text{Spec } R \amalg \text{Spec } S$  but  $\text{Spec } \prod_i R_i \not\cong \amalg_i \text{Spec } R_i$  for infinite products

#### Lemma 56

*Affine schemes are quasi-compact and quasi-separated.*

#### Proof

Let  $X = \text{Spec } A$  be an affine scheme.

Quasi-compactness has already been proven.

If  $U \subset X$  is open and qc., then  $U = \bigcup_{i \in I_U} D(a_i)$ ,  $a_i \in A$  and  $I_U$  finite.

For  $U_1, U_2 \subset X$  qc. open, then

$$U_1 \cap U_2 = \bigcup_{i \in I_{U_1}, j \in I_{U_2}} D(a_i) \cap D(a_j) = \bigcup D(a_i a_j)$$

Check that a finite union of qc spaces is qc

□

#### Remark

Let  $X$  be a topological space, then  $\forall$  subsets  $V \subset X$  and  $U \subset X$ , then

$$U \cap V \neq \emptyset \iff U \cap \overline{V} \neq \emptyset$$

Thus  $V$  is irreducible iff its closure is.

If  $X$  is irreducible, then every non-empty open is dense.

## 2.2 Scheme-Theoretic Properties

### Definition 24 (Open Subscheme)

An open subscheme of a scheme  $(X, \mathcal{O}_X)$  is a pair  $(U, \mathcal{O}_U)$  with  $U$  open in  $X$  and  $\mathcal{O}_U := \mathcal{O}_X|_U$

If  $P$  is a property of rings, when do we say that  $(X, \mathcal{O}_X)$  satisfies  $P$ ?

1.  $\forall U \subset X, \mathcal{O}_X(U)$  satisfies  $P$  (usually too strong)
2.  $\forall U \subset X$  open and affine,  $\mathcal{O}_X(U)$  satisfies  $P$
3.  $\exists$  an open affine cover  $U = \bigcup U_i$  such that each  $\mathcal{O}_X(U_i)$  satisfies  $P$
4.  $\forall x \in X \exists x \in U$  open affine such that  $\mathcal{O}_X(U)$  satisfies  $P$ .
5.  $\forall x \in X, \mathcal{O}_{X,x}$  satisfies  $P$ .

Observe that  $1 \implies 2 \implies 3 \iff 4$ .

### Lemma 58

For  $P = \text{"reduced ring"}$ , then all 5 are equivalent.

### Proof

From commutative algebra, we know that a ring  $A$  is reduced  $\iff A_p$  is reduced  $\forall p \in \text{Spec } A$ .

This implies that  $2 \iff 3 \iff 4 \iff 5$ .

Let's show  $2 \implies 1$ .

Let  $U \subset X$  open and  $s \in \mathcal{O}_X(U)$  such that  $s^n = 0$ , then  $s^n|_V = 0 \forall V \subset U$  affine.

Thus,  $s|_V = 0 \forall V \subset U$  open affine and as  $\mathcal{O}_X$  is a sheaf  $s = 0$ .  $\square$

### Definition 25 (Reduced Scheme)

A scheme  $(X, \mathcal{O}_X)$  is called reduced if  $\mathcal{O}_X(U)$  is reduced  $\forall U \subset X$  open.

### Definition 26

Let  $P$  be a property of rings or of open affines  $\text{Spec } A \hookrightarrow X$  of a scheme  $X$

- $P$  is called affine-local if  $\forall a_1, \dots, a_n \in A$  such  $(a_1, \dots, a_n) = A$ .  
 $A$  satisfies  $P$  every  $A_{a_i}$  satisfies  $P$
- $P$  is called stalk-local if  $A$  satisfies  $P \iff A_p$  satisfies  $P \forall p \in \text{Spec } A$ .

**Remark**

Being stalk-local is stronger than being affine local.

This is because  $A \rightarrow A_a$  induces  $(A_a)_{pA_a} \simeq A_p \forall p \in D(a)$

**Example**

1. Reduced is stalk-local
2. Normal
3. regular
4. Cohen-Macaulay

**Example**

1. Integrality is not affine-local (consider  $A = k \times k$ )
2. Factorial is not affine-local
3. Noetherian is not stalk-local (consider  $A = \prod_i \mathbb{F}_2$ )

**Lemma 62**

Being Noetherian is affine-local.

**Why do we care?**

For affine-local properties, 2 and 4 of our list are equivalent.

**Proof**

If  $A$  is noetherian, then any quotient and any localization is.

Assume  $(a_1, \dots, a_n) = A$  and  $A_{a_i}$  are Noetherian.

Let  $\phi_i : A \rightarrow A_{a_i}$  be the localization maps.

Claim :  $\forall$  ideals  $I \subset A$ ,  $I = \cap \phi_i^{-1}(\phi_i(I)A_{a_i})$ .

One inclusion is clear.

Let  $b \in \cap \phi_i^{-1}(\phi_i(I)A_{a_i})$ , thus there exists  $N > 0$  and  $b_i \in I$  such that  $b = \frac{b_i}{a_i^N} \in A_{a_i}$ .

Thus there exists an  $M > 0$  such that  $a_i^M(a_i^N b - b_i) = 0$  in  $A$ .

Set  $k = M + N$ , note that  $1 = (a_1^k, \dots, a_n^k)$ .

We can write  $1 = \sum_{i=1}^n c_i a_i^k$  for some  $c_i \in A$ .

Thus  $b = \sum c_i a_i^k b = \sum c_i a_i^M b_i \in I$ .

Let  $I_1 \subset \dots \subset I_n \subset A$  be an ascending chain of ideals in  $A$ , then we get an ascending chain of ideals  $\phi_1(I_1)A_{a_1} \subset \dots \subset \phi_n(I_n)A_{a_n}$ .

This becomes constant because  $A_{a_i}$  is noetherian and  $\exists N > 0$  such that  $\phi_i(I_k)A_{a_i} = \phi_i(I_N)A_{a_i} \forall k \geq N$   $\square$

**Lemma 63**

Let  $P$  be an affine-local property of rings. Let  $(X, \mathcal{O}_X)$  be a scheme, then the following are equivalent.



1. Every open affine  $\text{Spec } A \hookrightarrow X$  satisfies  $P$
2.  $\exists$  an open affine cover  $X = \cup \text{Spec } A_i$  such that each  $\text{Spec } A_i \hookrightarrow X$  satisfies  $P$ .

**Proof**

1  $\implies$  2 is clear.

2  $\implies$  1.

Let  $\text{Spec } A \hookrightarrow X$  open and affine.

Write  $\text{Spec } A = \cup \text{Spec } A_{a_i}$  with  $a_i \in A$  such that  $A_{a_i} \simeq (A_i)_{b_i}$  for some  $b_i \in A_i$ .

$\text{Spec } A_i \hookrightarrow X$  satisfies  $P$ , implies  $(\text{Spec } (A_i)_{b_i}) \hookrightarrow X$  satisfies  $P$  implies  $\text{Spec } A_{a_i} \hookrightarrow X$  satisfies  $P$  implies  $\text{Spec } A \hookrightarrow X$  satisfies  $P$   $\square$

**Lemma 64**

Let  $\text{Spec } A, \text{Spec } B \subset X$  be open affines, then for every point  $x \in \text{Spec } A \cap \text{Spec } B$  there exist  $a \in A$  and  $b \in B$  such that  $A_a \simeq B_b$  such that  $x \in D(a) \subset \text{Spec } A$  and  $x \in D(b) \subset \text{Spec } B$  and the isomorphism  $\text{Spec } A_a \simeq \text{Spec } B_b$  commutes with the inclusions to  $X$ .

**Proof**

$\text{Spec } A \cap \text{Spec } B \subset \text{Spec } A$  is open.

Thus, there exists  $a \in A$  with  $x \in D(a) \subset \text{Spec } A \cap \text{Spec } B$ .

We can assume wlog that  $\text{Spec } A \rightarrow X$  factors through  $\text{Spec } B$ .

Write  $\phi : B \rightarrow A$  for the induced map of rings.

Since  $\text{Spec } A \subset \text{Spec } B$  is open  $\exists b \in B$  and  $B \rightarrow A \rightarrow B_b$  is just localization of  $B$  at  $b$ .

Then  $A \rightarrow B_b$  satisfies the universal property of  $A \rightarrow A_{\phi(b)}$ .

So we get a commutative square  $B \rightarrow A \rightarrow A_{\phi(b)}$  and  $B \rightarrow B_b \rightarrow A_{\phi(b)}$  and we get an isomorphism  $B_b \simeq A_{\phi(b)}$ .  $\square$

**Definition 27**

Let  $P$  be an affine-local property of rings.

A scheme  $(X, \mathcal{O}_X)$  is called locally  $P$  if  $\mathcal{O}_X(U)$  satisfies  $P \forall U \subset X$  open affine.

**Definition 28 (Noetherian scheme)**

A scheme  $(X, \mathcal{O}_X)$  is called Noetherian if it is locally Noetherian and qc.

**Definition 29 (Integral scheme)**

A scheme  $(X, \mathcal{O}_X)$  is called integral if  $\mathcal{O}_X(U)$  is an integral domain  $\forall U \subset X$  open and non-empty.

**Lemma 65**

For a scheme  $(X, \mathcal{O}_X)$ , the following are equivalent.

1.  $X$  is integral
2.  $X$  is reduced and irreducible.
3.  $\forall U \subset X$  open affine,  $\mathcal{O}_X(U)$  is integral.

**Proof**

1  $\implies$  3 is clear.

3  $\implies$  2.

Reduced is clear.

Let  $U_1, U_2 \subset X$  open with  $U_1 \cap U_2 = \emptyset$ .

Wlog, the  $U_i$  are affine.

Then  $\mathcal{O}_X(U_1 \cup U_2) = \mathcal{O}_X(U_1) \times \mathcal{O}_X(U_2)$ .

Thus  $\mathcal{O}_X(U_1) = 0$  or  $\mathcal{O}_X(U_2) = 0$  which implies  $U_1$  or  $U_2 = \emptyset$ .

2  $\implies$  1

Let  $U \subset X$  be open.

Assume  $\exists a, b \in \mathcal{O}_X(U)$  such that  $ab = 0$ .

Let  $U_a = \{x \in U \mid a_x \notin m_x\}$  and similarly  $U_b$ .

Note that  $U_a \cap U_b = \emptyset$  since  $\forall x \in U_a \cap U_b, a_x$  and  $b_x$  are units.

Thus  $U_a = \emptyset$  or  $U_b = \emptyset$ .

If  $U_a = \emptyset \forall \text{Spec } A \subset U \forall p \in \text{Spec } A$

$$(a|_{\text{Spec } A})_p \in pA_p$$

thus  $a|_{\text{Spec } A} \in p \forall p \in \text{Spec } A$ .

Thus  $a|_{\text{Spec } A}$  is nilpotent.

But since  $X$  is reduced,  $a|_{\text{Spec } A} = 0$ .

Covering  $U$  by affines,  $a = 0$  (as  $A$  was arbitrary). □

### 3 Open and closed subschemes and immersions

**Definition 30 (Open Subscheme)**

An open subscheme of a scheme  $(X, \mathcal{O}_X)$  is a pair  $(U, \mathcal{O}_U)$ , with  $U \subset X$  open and  $\mathcal{O}_U = \mathcal{O}_X|_U$ .

**Lemma 66**

If  $A$  is a ring and  $a \in A$ , then there is an isomorphism of locally ringed spaces  $(\text{Spec } A_a, \mathcal{O}_{\text{Spec } A_a}) \simeq (D(a), \mathcal{O}_{\text{Spec } A}|_{D(a)})$ .

In particular, open subschemes of schemes are schemes.

**Proof**

From commutative algebra, localization  $A \rightarrow A_a$  induces a homeomorphism  $\text{Spec } A_a \rightarrow D(a) \subset \text{Spec } A$ .

On sheaves, we want to give morphisms  $\mathcal{O}_{\text{Spec } A}|_{D(a)}(U) \rightarrow \mathcal{O}_{\text{Spec } A_a}(f^{-1}(U))$ .

If  $s : U \rightarrow \coprod_{p \in U} A_p \rightarrow (f^{-1}(U) \rightarrow U \xrightarrow{s} \coprod_{p \in U} A_p \rightarrow \coprod_{p \in U} (A_a)_p A_a)$ , using  $A_p \simeq (A_a)_p A_a$ .  $\square$

Note that, if  $i : U \rightarrow X$  is the inclusion of an open, then  $(i, i^\#) : (U, \mathcal{O}_U) \rightarrow (X, \mathcal{O}_X)$  with

$$i^\#(V) : \mathcal{O}_X(V) \xrightarrow{\rho_{V, V \cap U}} \mathcal{O}_X(V \cap U) = i_* \mathcal{O}_U(V)$$

is a morphism of schemes.

**Remark**

If  $i : U \rightarrow X$  is an inclusion of an open, then there are in general many sheaves of rings  $\mathcal{F}$  on  $U$  such that  $\exists i^\#$  such that  $(i, i^\#) : (U, \mathcal{F}) \rightarrow (X, \mathcal{O}_X)$  is a morphism of schemes.

For example, if  $X = \text{Spec } k$ ,  $U = \text{Spec } k[x]_{(x)}$  then  $k \subset k[x]_{(x)}$  induces a morphism  $(f, f^\#) : U \rightarrow X$  such that  $f = \text{Id}_X$ .

**Definition 31 (Open immersion)**

An open immersion (or open embedding) is a morphism of schemes  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  such that  $f$  is a homeomorphism onto an open subset  $U \subset Y$   $\mathcal{O}_Y|_U \simeq (f_* \mathcal{O}_X)|_U$ .

**Example**

Let  $k$  be a field and let  $\iota : \text{Spec } k \rightarrow X = \mathbb{A}^n$  be the closed point corresponding to

0.

Then

$$\begin{aligned} (\mathcal{O}_X)|_{\mathrm{Spec} k}(\mathrm{Spec} k) &= (i^{-1}\mathcal{O}_X)(\mathrm{Spec} k) \\ &= \varprojlim_{0 \in U \subset \mathbb{A}^n} \mathcal{O}_X(U) = \mathcal{O}_{X,0} = k[x_1, \dots, x_n]_{(x_1, \dots, x_n)} \end{aligned}$$

But  $\mathrm{Spec} k[x_1, \dots, x_n]$  has more than one point.

Thus,  $(\mathrm{Spec} k, (\mathcal{O}_X)|_{\mathrm{Spec} k})$  is not a scheme.

Observe : If  $Z \subset \mathrm{Spec} A$  is a closed subset, then  $Z = V(I)$  for some ideal  $I$ .

Then the map  $\mathrm{Spec} A/I \rightarrow \mathrm{Spec} A$  induced by the quotient map is a homeomorphism onto  $V(I)$  and this gives a scheme structure on  $Z$  (which depends on  $I$ !).

### Definition 32 (Ideal sheaves)

Let  $(X, \mathcal{O}_X)$  be a scheme, then

1. An ideal sheaf on  $(X, \mathcal{O}_X)$  is a subsheaf  $\mathcal{I} \subset \mathcal{O}_X$  such that  $\mathcal{I}(U) \subset \mathcal{O}_X(U)$  is an ideal for all  $U \subset X$  is open.
2. For an ideal sheaf  $\mathcal{I} \subset \mathcal{O}_X$ , the quotient sheaf  $\mathcal{O}_X/\mathcal{I}$  is the cokernel sheaf of the inclusion, namely, the sheafification of the sheaf  $U \mapsto \mathcal{O}_X(U)/\mathcal{I}(U)$ .

### Definition 33 (Closed Subscheme)

Let  $(X, \mathcal{O}_X)$  be a scheme, then a closed subscheme of  $(X, \mathcal{O}_X)$  consists of a subset  $Z \subset X$  and an ideal sheaf  $\mathcal{I} \subset \mathcal{O}_X$  such that

1.  $Z = \{x \in X \mid (\mathcal{O}_X/\mathcal{I})_x \neq 0\}$
2.  $(Z, (\mathcal{O}_X/\mathcal{I})|_Z)$  is a scheme

### Remark

By 1,  $Z$  is closed, indeed, for  $1 \in (\mathcal{O}_X/\mathcal{I}(X))$ , we have

$$\{x \in X \mid (\mathcal{O}_X/\mathcal{I})_x \neq 0\} = \mathrm{Supp} 1$$

### Remark

The morphism  $\mathcal{O}_X/\mathcal{I} \rightarrow i_*((\mathcal{O}_X/\mathcal{I})|_Z)$  is an isomorphism.

If  $Z \subset X$  is a closed subscheme determined by  $\mathcal{I}$ , then  $(i, i^\#) : (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$  where  $i : Z \rightarrow X$  is the inclusion and  $i^\# : \mathcal{O}_X \rightarrow \mathcal{O}_X/\mathcal{I} = i_*\mathcal{O}_Z$  is a morphism of schemes.

**Example**

Condition 2 in the definition of closed subscheme is not automatic, even if  $X$  is affine.

**Definition 34 (Closed immersion)**

A closed immersion (or closed embedding) is a morphism of schemes  $(f, f^\#) : X \rightarrow Y$  such that  $f$  is a homeomorphism onto a closed subset and  $f^\# : \mathcal{O}_Y \rightarrow f_*(\mathcal{O}_X)$  is surjective on stalks.

**Remark**

The morphism  $(i, i^\#)$  of the inclusion of closed subscheme is a closed immersion.

**Example**

If  $A$  is a ring and  $I \subset A$  is an ideal, then the morphism  $\text{Spec } A/I \rightarrow \text{Spec } A$  is a closed immersion.

Indeed, by CA, this is a homeomorphism onto  $V(I)$ .

The map  $f^\# : \mathcal{O}_{\text{Spec } A} \rightarrow \mathcal{O}_{\text{Spec } A/I}$  is surjective because  $f^\#_p : A_p \rightarrow (A/I)_p$  is the localization of a surjective map, which is the localization of a surjective map.

From now on,  $V(I) \subset \text{Spec } A$  for the closed subscheme determined by  $I$ .

**Proposition 74**

If  $X = \text{Spec } A$  is affine, then the map  $I \rightarrow V(I)$  is a bijection between ideals of  $A$  and closed subschemes.

**Proof**

Let  $Z \subset X$  be a closed subscheme determined by  $\mathcal{I}$ .

Let  $I_Z = \ker(\mathcal{O}_X(X) \rightarrow \mathcal{O}_Z(Z))$ .

Note, if  $Z = V(I)$  for some ideal, then  $I = I_Z$ .

So we have to show that  $Z = V(I_Z)$ .

The morphism  $\phi : \mathcal{O}_X(X) \rightarrow \mathcal{O}_Z(Z)$  factors through  $\mathcal{O}_X(X)/I_Z = A/I_Z$  so  $\iota : Z \rightarrow X$  factors through  $V(I_Z)$ .

Replace  $A$  by  $A/I_Z$ , we may assume  $\phi$  is injective and we have to show that  $\phi$  is an isomorphism.

**Claim 1**

$\forall U \subset Z$  open affine and  $s \in A$ , we have  $D(s) \cap U = D(\phi(s)|_U) \subset U$  and  $V(S) \cap U = V(\phi(s)|_U) \subset U$ .

It suffices to prove the first equality.

$\forall p \in Z$ , the following diagram commutes

$$A \xrightarrow{\phi} \mathcal{O}_Z(Z) \rightarrow \mathcal{O}_{Z,p}$$

and  $A \rightarrow A_p \xrightarrow{i_p^\#} \mathcal{O}_{Z,p}$  and  $i_p^\#$  is local.

Now

$$\begin{aligned} D(\phi(s)|_U) &= \{p \in U \mid \phi(s)_p \notin m_p \subset \mathcal{O}_{Z,p}\} = \{p \in U \mid i_p^\#(s_p) \notin m_p \subset \mathcal{O}_{Z,p}\} \\ &= \{p \in U \mid s_p \notin m_p \subset A_p\} = D(s) \cap U \square \end{aligned}$$

### Claim 2

We show  $Z \rightarrow X$  is surjective. Since  $Z$  is closed in  $X$ , it suffices to show that  $\forall s \in A$  such that  $Z \subset V(s)$ , we have  $V(s) = X$ .

Choose such an  $s \in A$ .

As closed subspaces of qc. spaces are qc.

We can cover  $Z$  by finitely many open affines  $U_i$ .

By claim 1,  $U_i \subset V(\phi(s)|_{U_i}) \subset U_i$ .

Thus  $\phi(s)|_{U_i} \in p \forall p \in \text{Spec } \mathcal{O}_Z(U_i)$ , thus  $\phi(s)|_{U_i}$  is nilpotent.

Thus, there exists  $n_i > 1$  such that  $(\phi(s)|_{U_i})^{n_i} = 0$ .

And there exists  $N$  such that  $\phi(s)^N = \phi(s^N) = 0$  in  $\mathcal{O}_Z(Z)$ .

Thus  $s^N = 0$  as  $\phi$  is injective by hypothesis, thus  $V(s) = X$ .

### Claim 3.

$i^\#$  is an isomorphism.

Since  $Z \rightarrow X$  is a closed subscheme,  $i^\#$  is surjective on stalks and thus surjective.

To show injectivity, it suffices to show that  $\forall a \in A$  such that  $i_p^\#(\frac{a}{1}) = 0 \implies \frac{a}{1} = 0 \in A_p$ .

Since  $i_p^\#(\frac{a}{1}) = 0$ ,  $\exists p \in U \subset Z$  open affine such that  $\phi(a)|_U = 0$ .

Choose a finite open affine cover,  $Z = U \cup \bigcup_{i=1}^n U_i$ .

Choose  $s \in A$  such that  $p \in D(s) \subset U \subset X$ .

Then  $\phi(sa)|_U = 0$ , thus  $\phi(sa)|_{D(s) \cap U_i} = 0 = \phi(sa)|_{D(\phi(s)|_{U_i})}$ .

Thus, there exists  $N > 0$  such that  $\phi(sa)|_{U_i} \cdot (\phi(s)|_{U_i})^N = 0 \in \mathcal{O}_Z(U_i)$ .

Thus  $\phi(s^{N+1}a)|_{U_i} = 0$ , thus  $\phi(s^{N+1}a) = 0 \implies s^{N+1}a = 0 \in A$  which implies  $\frac{a}{1} = 0$  in  $A_p$ .

## Lecture 8: Fiber Products

Fri 04 Nov

### Corollary 75

Closed subschemes of affine schemes are affine.

Moreover, if  $\phi : A \rightarrow B$  is a morphism of rings, then  $\phi$  is surjective iff  $\text{Spec } \phi$  is a closed immersion.

**Remark**

For all closed subsets  $Z \subset X$  of a scheme  $X$ , there is an ideal sheaf  $\mathcal{I}$  on  $X$  making  $Z$  into a closed subscheme.

To prove this, if  $U \subset X$  is affine, then  $Z \cap U$  is closed in  $U$  hence  $Z \cap U = V(I)$  for some ideal in  $\mathcal{O}_X(U)$ .

Then you take radicals and glue them together on a cover of  $Z$ .

This structure is called the reduced induced scheme structure on  $Z$ .

**3.1 Fiber Products****Definition 35 (Fiber product)**

Let  $C$  be a category, given two morphism  $\pi_X : X \rightarrow S$  and  $\pi_Y : Y \rightarrow S$ , the fiber product  $X \times_S Y$  of  $X$  and  $Y$  over  $S$  is an object together with morphisms  $p_x$  to  $X$  and  $p_y$  to  $Y$  which is universal.

**Remark**

Alternatives names sometimes are fibre product, fibered product or pullback.

Fiber products are unique up to unique isomorphism.

If  $S$  is terminal in  $C$ , then the fiber product is just the product.

**Remark**

If a square is a fiber product, we call the diagram cartesian.

**Lemma 79**

Assume all fiber products exist.

Let "commutative thingy".

Then

$$(X_1 \times_{S_1} Y_1) \times_{X_0 \times_{S_0} Y_0} (X_2 \times_{S_2} Y_2) = (X_1 \times_{X_0} X_2) \times_{S_1 \times_{S_0} S_2} (Y_1 \times_{Y_0} Y_2)$$

**Corollary 80**

- If  $C$  admits fiber products, then  $X \times_S Y = Y \times_S X$
- A composition of two pullback squares is a pullback
- For a zigzag  $X \rightarrow S, Y \rightarrow S, Y \rightarrow T, Y \rightarrow Z$ ,

$$(X \times_S Y) \times_T Z = X \times_S (Y \times_T Z)$$

- For maps  $X \rightarrow S \rightarrow T$  and  $Y \rightarrow S$

$$X \times_S Y \rightarrow X \times_T Y \rightarrow S \times_T S$$

and

$$X \times_S Y \rightarrow S \rightarrow S \times_T S$$

is a pullback.

### Example

1. If  $\pi_X : X \rightarrow S, \pi_Y : Y \rightarrow S$  are in  $(Set)$ , then  $X \times_S Y = \{(x, y) | \pi_X(x) = \pi_Y(y)\} \subset X \times Y$  together with the two projections.
2. If  $X$  and  $Y$  are groups (or rings) and  $\pi_X, \pi_Y$  are homomorphisms as above, then  $X \times_S Y$  is, as a set, the fiber product of the underlying sets, with the obvious groups (resp. ring) structures.

### Goal for today

#### Theorem 82 (Fiber products of schemes exist)

Fiber products exist in  $(Sch)$  and also in  $(Sch/S)$

### Why do we care?

Allows us to talk about fibers, graphs, diagonals...

Recall that every point  $y \in Y$  of a scheme  $Y$  has a natural scheme structure given by the residue field  $\mathcal{O}_{Y,y}/\mathfrak{m}_y = k(y)$

#### Definition 36 (Fibers)

Let  $f : X \rightarrow Y$  be a morphism of schemes over  $S$ .

1. For any  $y \in Y$ , let  $k(y)$  be the residue field, then the fiber of  $f$  over  $y$

$$f^{-1}(y) = X_y = X \times_Y \text{Spec } k(y)$$

2. The geometric fiber of  $f$  over  $Y$  is

$$X_{\overline{y}} = X \times_Y \text{Spec } \overline{k(y)}$$

3. a closed fiber is a fiber over a closed point
4. For all integral schemes  $Y$ , there is a unique point  $\eta \in Y$  such that  $\overline{\{\eta\}} = Y$

This is called the generic point of  $Y$ .

The fiber over the generic point is called the generic fiber of  $f$ .

5. The morphism

$$\Gamma_f := (\text{Id}, f) : X \rightarrow X \times_S Y$$

is called the graph of  $f$ .



6. The morphism

$$\Delta_{X/Y} = \Gamma_{\text{Id}_X} : X \rightarrow X \times_Y X$$

is called the diagonal of  $X$  over  $Y$ .

**Proposition 83**

If  $X = \text{Spec } A, Y = \text{Spec } B, S = \text{Spec } C$  and  $\pi_X : X \rightarrow S, \pi_Y : Y \rightarrow S$  are morphisms of schemes then  $X \times_S Y$  exists in  $(\text{Sch})$  and is given by  $\text{Spec}(A \otimes_C B)$  together with the maps induced by the natural maps  $A \rightarrow A \otimes_C B, B \rightarrow A \otimes_C B$

**Proposition 84**

We use the universal property of  $A \otimes_C B$  and the equivalence of categories to show that it is a pullback in the category of affine schemes.

For  $Z$  a scheme, there is a map from the affinization of  $Z$  to  $\text{Spec } B$  and  $\text{Spec } A$  which then induce a map  $\text{aff } Z \rightarrow \text{Spec } A \otimes_C B$ .

**Example**

1. If  $X = Y = \mathbb{A}_k^1$ , the fiber product over  $\text{Spec } k$ , then  $X \times_{\text{Spec } K} Y = \mathbb{A}_k^2$ .
2. If  $X = Y = \text{Spec } \mathbb{C}$  and  $S = \text{Spec } \mathbb{R}$ , then

$$X \times_S Y = \text{Spec } \mathbb{C} \otimes_{\mathbb{R}} \mathbb{C} = \text{Spec } \mathbb{C}[x]/(x^2 + 1) = \text{Spec}(\mathbb{C} \times \mathbb{C})$$

Note that  $X \times_S Y$  has two points but  $X, Y, S$  each have only one.

3. Take  $X = \text{Spec } k[x, y, z]/(z^2 - x), Y = \text{Spec } k[z]$ , let  $f : X \rightarrow Y$  induced by mapping  $z \rightarrow z$ .

Let  $\lambda \in Y$  be the point corresponding to  $(z - \lambda)$ , then

$$\begin{aligned} f^{-1}(\lambda) &= \text{Spec } k[x, y, z]/(z^2 - xy) \otimes_{k[z]} k[z]/(z - \lambda) = \text{Spec } k[x, y]/(\lambda^2 - xy) \\ &= \begin{cases} \mathbb{A}^1 \setminus 0 & \text{if } \lambda \neq 0 \\ V(xy) \subset \mathbb{A}^2 & \text{if } \lambda = 0 \end{cases} \end{aligned}$$

4. If we take a non-rational point in the above example, say  $\lambda = (z^2 + 1)$ , then

$$f^{-1}(y) = \text{Spec } \mathbb{R}[x, y, z]/(-1 - xy, z^2 + 1) = \text{Spec } \mathbb{C}[x, y]/(-1 - xy)$$

We now start the proof that fiber products exist.

**Proof**

**Claim 1**

If  $X \times_S Y$  exists and  $U \subset X$  open, then the open subscheme  $p_X^{-1}(U) \subset X \times_S Y$  is a fiber product of  $U$  and  $Y$  over  $S$ .

For  $Z$  a scheme and two commuting maps  $Z \rightarrow X \times_S Y$  and  $Z \rightarrow U$ , there

is a map on the topological level  $Z \rightarrow p_X^{-1}(U)$  and it also exists on the level of schemes.

### Claim 2

If  $X = \bigcup U_i$  is an open cover such that  $U_i \times_S Y$  exists  $\forall i \in I$ , then  $X \times_S Y$  exists.

We postpone the proof of this until monday.

Now, if  $S$  is affine, consider the open affine covers  $X = \bigcup_i U_i, Y = \bigcup V_i$ , then  $U_i \times_S V_j$  exists  $\forall i, j$ , thus  $U_i \times_S Y$  exists  $\forall i$  and thus  $X \times_S Y$  exists.

If  $S$  is not affine, let  $S = \bigcup_i W_i$  be an open affine cover.

Set  $U_i = \pi_X^{-1}(W_i), V_i = \pi_Y^{-1}(W_i)$ .

Now,  $U_i \times_{W_i} V_i$  exists and now  $U_i \times_{W_i} V_i = U_i \times_S Y$  by one of the identities.  $\square$

## Lecture 9: fiber products exist

Mon 07 Nov

We finish the proof by showing that if  $X = \bigcup_{i \in I} U_i$  is an open cover such that each  $U_i \times_S Y$  exists, then  $X \times_S Y$  exists.

### Proof

We know that  $p_{U_i}^{-1}(U_i \cap U_j) \simeq (U_i \cap U_j) \times_S Y \simeq p_{U_j}^{-1}(U_i \cap U_j)$  via unique isomorphisms compatible with the projections.

There is a unique scheme  $T$  with maps to  $Y$  and  $X$  such that  $p_X^{-1}(U_i) \simeq U_i \times_S Y$ .

We claim that  $T$  is  $X \times_S Y$ .

Let  $Z$  be a scheme with morphisms  $Z \xrightarrow{f_X} X$  and  $Z \xrightarrow{f_Y} Y$  which commutes with projections to  $S$ .

Let  $V_i = f_X^{-1}(U_i)$ , we get unique morphisms  $V_i \rightarrow U_i \times_S Y \rightarrow T$  which is unique if  $p_X \circ f_i = p_Y \circ f_i$ .

By claim 1,  $f_i$  and  $f_j$  coincide on  $U_i \cap U_j$  thus they glue to a unique morphism  $f : Z \rightarrow T$   $\square$

### Corollary 86

Let  $\pi_X : X \rightarrow S, \pi_Y : Y \rightarrow S$  be a diagram of schemes, let  $S = \bigcup_i W_i, U_i = \pi_X^{-1}(W_i), V_i = \pi_Y^{-1}(W_i)$  and  $U_i = \bigcup_j U_{ij}, V_i = \bigcup_j V_{ij}$  be open covers.

Then  $X \times_S Y = \bigcup_{i \in I} \bigcup_{j,k} U_{ij} \times_{W_i} V_{ik}$  is an open cover.

### Proposition 87

Let  $f : X \rightarrow Y$  be a morphism of schemes.

Then for every  $y \in Y$ , the map  $g : f^{-1}(y) \rightarrow X$  is a homeomorphism onto the

set-theoretic fiber  $f_{\text{set}}^{-1}(y)$ .

**Proof**

Without loss of generality,  $Y$  is affine.

We can also assume that  $X$  is affine, because if  $X = \bigcup_i U_i$  is an open cover and each  $g|_{g^{-1}(U_i)} : g^{-1}(U_i) \rightarrow U_i$  is a homeomorphism onto  $f_{\text{set}}^{-1}(y) \cap U_i$ , then  $g$  is a homeomorphism onto  $f_{\text{set}}^{-1}(y)$ .

So let  $X = \text{Spec } B, Y = \text{Spec } B, y = p \in \text{Spec } A$ , then we claim that  $B \otimes_A k(y) \simeq S^{-1}B / pS^{-1}B$ .

Furthermore, the isomorphism is compatible with the maps from  $B$  and  $k(y) = A_p / pA_p$  where  $S = \text{Im}(A \setminus p \rightarrow B)$ .

To prove this, we check that  $S^{-1}B / pS^{-1}B$  satisfies the universal property of  $B \otimes_A k(y)$ .

Let  $C$  be a ring with morphisms  $A_p / pA_p \xrightarrow{f_A} C$  and  $B \xrightarrow{f_B} C$  compatible with the morphisms from  $A$   $\pi_A, \pi_B$ .

Notice that  $\pi_A(A \setminus p) \subset (A_p / pA_p)^\times$  and sends  $\pi_A(p) = 0$ .

Thus  $f_B(S) \subset C^\times, f_B(pB) = 0$ .

Thus there exists a unique  $f : S^{-1}B / pS^{-1}B \rightarrow C$  such that  $f \circ p_B = f_B$ .

Thus

$$f \circ \pi_A = f_B \circ \pi_B = f_A \circ \pi_A$$

As  $\pi_A$  is an epimorphism,  $f \circ p_A = f_A$ .

We now have to check  $\text{Spec } S^{-1}B / pS^{-1}B \rightarrow \text{Spec } B$  is a homeomorphism onto  $f_{\text{set}}^{-1}(y)$ .

We know it's a homeomorphism onto its image by general commutative algebra.

The image is  $\{q \in \text{Spec } B \mid S \cap q = \emptyset, pS^{-1}B \subset qS^{-1}B\}$ .

But this is just the set-theoretic fiber. □

## 4 Properties of Morphisms

### 4.1 Properties of properties of morphisms

**Remark**

If  $f : X \rightarrow Y$  and  $g : Y' \rightarrow Y$  are morphisms of schemes, let  $X_{Y'} = X \times_Y Y'$ .

Then we call  $f_{Y'} : X_{Y'} \rightarrow Y'$  the base change of  $f$  along  $g$ .

**Remark**

In the following, whenever we say  $P$  is a property of morphisms of schemes, we assume that  $P$  is satisfied by isomorphisms.

**Definition 37**

Let  $P$  be a property of morphisms of schemes, we say that  $P$  satisfies

1. (COMP) :  $P$  is stable under composition
2. (CANC) : if  $g \circ f$  satisfies  $P$ , then  $f$  does
3. (BC) : if it is stable under base change that is  $\forall f : X \rightarrow Y, g : Y' \rightarrow Y$  such that  $f$  satisfies  $P$ , also  $f_{Y'}$  satisfies  $P$ .
4. (LOCT) : If it local on the target, ie. if  $\forall f : X \rightarrow Y$  and  $\forall$  open covers  $Y = \bigcup V_i$   $f$  satisfies  $P \iff f|_{V_i}$  satisfies  $P \forall i \in I$
5. (LOCS) : If it is local on the source ie. if  $\forall f : X \rightarrow Y$  and  $\forall$  open covers  $X = \bigcup U_i$   $f$  satisfies  $P \iff f|_{U_i}$  satisfies  $P$ .

**Definition 38**

Let  $P$  be a property of morphisms of schemes, then a morphism  $f : X \rightarrow Y$  is called universally  $P$  if  $\forall Y' \rightarrow Y, f_{Y'}$  satisfies  $P$ .

**Lemma 90**

Let  $f : X \rightarrow Y$  be a morphism of schemes over  $S$ , then the diagram  $X \xrightarrow{\Gamma_f} X \times_S Y$  over  $Y \rightarrow Y \times_S Y$  is cartesian.

**Proof**

This is a special case of the "magic square" with the isomorphism  $X \rightarrow X \times_Y Y$  □

**Definition 39**

Let  $P$  be a property of morphisms of schemes, then we say that  $f : X \rightarrow Y$  satisfies  $\Delta_P$  if  $\Delta_{X/Y}$  satisfies  $P$ .

**Lemma 91**

The following hold

1. If  $P$  satisfies (BC), then  $\Delta_P$  satisfies (BC)
2. If  $P$  satisfies (BC) and (COMP), then  $\Delta_P$  satisfies (COMP).
3. If  $P$  satisfies (LOCT), then  $\Delta_P$  satisfies (LOCT)
4. If  $P$  satisfies (BC), (COMP) and  $f, g : X \rightarrow Z$  satisfy  $P$  as morphisms of schemes over  $S$  and  $X \rightarrow S, Z \rightarrow S$  satisfy  $\Delta_P$ , then  $(f, g) : X \rightarrow Y \times_S Z$  satisfy  $P$

**Lemma 92**

Let  $P$  be a property of morphisms of schemes.

Assume that  $P$  satisfies stability under base change and composition.

Let  $f : X \rightarrow Y, g : X' \rightarrow Y'$  be morphisms of schemes over  $S$  satisfying  $P$ , then the product  $f \times_S g$  satisfies  $P$ .

**Proof**

There are maps

$$X \times_S X' \xrightarrow{\text{Id} \times_S g} X \times_S Y' \xrightarrow{f \times_S \text{Id}} Y \times_S Y'$$

which compose to  $f \times_S g$ .

But both maps are base changes and thus  $f \times_S g$  satisfy  $P$ .  $\square$

**Theorem 93 (Cancellation Theorem)**

Let  $P$  be a property of morphisms of schemes and  $P$  satisfies stability under composition and base change.

Let  $f : X \rightarrow Y, g : Y \rightarrow Z$  two morphisms such that  $g \circ f$  satisfies  $P$  and  $\Delta_{Y/Z}$  satisfies  $P$  then  $f$  satisfies  $P$ .

**Proof**

Write  $f$  as the composition

$$X \xrightarrow{(\text{Id}, f)} X \times_Z Y \rightarrow Y$$

But  $(\text{Id}, f)$  is a base change of the diagonal and  $p_Y$  is a base change of  $g \circ f$ .  $\square$

**4.2 Topological properties****Definition 40**

A morphism  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  of schemes

1. is injective, surjective, bijective if  $f$  is.
2. is open, resp. closed if  $f$  is.
3. quasi-compact if  $f^{-1}(V)$  is quasi-compact for all open quasi-compact  $V \subset Y$ .
4. quasi-separated if  $\Delta_{X/Y}$  is quasi-compact.
5. has finite fibers if  $f^{-1}(y)$  is finite as a set.

## Lecture 10: geometric meaning of separated and proper morphisms

Mon 14 Nov

### 5 Valuative Criteria

#### Definition 41 (Specializations)

Let  $X$  be a topological space

1.  $x, x' \in X$ . If  $x' \in \overline{\{x\}}$  we say that  $x$  specializes to  $x'$  ( or  $x'$  is a specialization ) or  $x'$  generalizes to  $x$ .
2. A subset  $V \subset X$  is called closed under specialization if it contains all the specializations of all its points.

#### Remark

Closed subsets are closed under specialization (the converse is not true in general)

#### Definition 42 (Relative specialization)

Let  $f : X \rightarrow Y$  be a continuous map.

We say that specializations lift along  $f$  if  $\forall x \in X$  and any specialization  $y$  of  $f(x)$  in  $Y$ , there exists  $x' \in X$  mapping to the specialization such that  $x'$  specializes to  $x$ .