

# Class Field Theory

David Wiedemann

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# 1 Motivation

Let  $f(x) \in \mathbb{Z}[x]$  be a monic irreducible polynomial and a  $p$  a prime.  
 Look at  $f_p(x) \in \mathbb{F}_p[x]$ , in general,  $f_p$  is not irreducible so we can study its factorizations.

## Definition 1

We say  $f$  splits completely mod  $p$  if  $f_p$  factors into distinct linear factors.

We write  $Spl(f) = \{p \mid f_p = \prod (x - \alpha_i) \alpha_i \neq \alpha_j \forall i \neq j\}$

## Problem

Given  $f$ , describe the factorisations behaviour of  $f_p$  as a function of  $p$ .  
 Or at least give a rule determining  $Spl(f)$ .

An answer to this illposed problem is a **Reciprocity Law**.

## Example

Let  $f(x) = x^2 - q$   $q > 2$  prime.

Observe that

1.  $f_p(x) = (x - \alpha_p)^2$ , but this happens iff  $p = 2, q$
2.  $f_p(x) = (x - \alpha_p)(x + \alpha_p)$  iff  $p \in Spl(f)$  iff  $\left(\frac{q}{p}\right) = 1$
3.  $f_p(x)$  is irreducible iff  $\left(\frac{q}{p}\right) = -1$

To get a rule, we need to compute  $\left(\frac{q}{p}\right)$ , to do so, we use quadratic reciprocity.  
 For us, quadratic reciprocity translates to

## Corollary 2

$$\left(\frac{q}{p}\right) = \begin{cases} \left(\frac{p}{q}\right) & \text{if } p \equiv 1 \pmod{4} \\ -\left(\frac{p}{q}\right) & \text{if } p \equiv 3 \pmod{4} \end{cases}$$

So  $Spl(X^2 - q)$  is determined by congruence conditions modula  $4q$ .

## Example

Let  $\Phi_n$  be the  $n$ th cyclotomic polynomial, then

$$Spl(\Phi_n) = \{p \mid p \equiv 1 \pmod{n}\}$$

What about general polynomials?

Over  $\mathbb{C}$ , we can always factor polynomials and so we write  $K_f = \mathbb{Q}(\alpha_1, \dots, \alpha_n)$

for the splitting field of  $K_f$  over  $\mathbb{Q}$ .

$K_f \supset \mathbb{Q}$  is a Galois extension and  $\mathcal{O} = \mathcal{O}_{K_f}$  is it's ring of integers.

As  $\mathcal{O}$  is a dedekind domain, we have

$$p\mathcal{O} = \prod_{i=1}^n \beta_i^e, \mathcal{O}/\beta_i \supset \mathbb{Z}/(p) \text{ a finite extension of } \mathbb{Z}/p$$

We understand finite extensions of  $\mathbb{F}_p$ , there Galois group is generated by the Frobenius automorphism.

If  $p$  does not ramify (  $e_p = 1 \iff p \nmid D_{K_f}$  ) then we define the Artin-Symbol  $\sigma_{\beta_i} \in \text{Gal}(K_f|\mathbb{Q})$  by

$$\sigma_{\beta_i}(\alpha) \equiv \alpha^p \pmod{\beta_i} \forall \alpha \in \mathcal{O}$$

Fact :

If  $\beta_i \neq \beta_j$ , then there is  $\zeta \in \text{Gal}(K_f|\mathbb{Q})$  such that  $\zeta(\beta_i) = \beta_j$ , then  $\sigma_{\beta_j} = \zeta \sigma_{\beta_i} \zeta^{-1}$ .

The Artin symbol of  $p$  is  $\sigma_p = C_{\text{Gal}}(\sigma_{\beta_i})$ .

For now we suppose  $\text{Gal}(K_f|\mathbb{Q})$  is an abelian group, in this case, we can turn the Artin Symbols into a map

$$\mathbb{Q}^* \supset \Gamma_{D_{K_f}} = \langle p \nmid D_{K_f} \rangle \rightarrow \text{Gal}(K_f|\mathbb{Q})$$

by sending  $p \rightarrow \sigma_p$

#### Lemma 4

If  $\text{Gal}(K_f|\mathbb{Q})$  is abelian, then, up to finitely many extensions,

$$p \in \text{Spl}(f) \iff \sigma_p = 1$$

#### Theorem 5 (Artin Reciprocity)

For  $K_f/\mathbb{Q}$  abelian, the Artin map  $\sigma : \Gamma_{D_{K_f}} \rightarrow \text{Gal}(K_f|\mathbb{Q})$  is surjective and it's kernel contains the "ray class group".

Here the ray class group is

$$\Gamma_a^{(ray)} = \left\{ r \in \mathbb{Q}^* \mid r = \frac{c}{d} (ca, d) = 1, c \equiv d \pmod{a} \right\}$$

For a suitable  $a$  tant consists of ramified primes.

Define  $\tilde{\text{Spl}}(f) = \text{Spl}(f) \setminus \{p|a\} \cup \{p \equiv 1 \pmod{a}\}$ .

#### Theorem 6 (Abelian polynomial theorem)

If  $f$  is abelian, then  $\tilde{\text{Spl}}(f)$  can be described by congruence conditions wrt a modulus depending only on  $f$ .

Conversely, if  $\tilde{Spl}(f)$  is described by congruence conditions, then  $\text{Gal}(K_f|\mathbb{Q})$  is abelian.

### Theorem 7

Let  $f, g$  be polynomials (monic irreducible), then

$$K_f \subset K_g \iff Spl(g) \subset^* Spl(f)$$

This enters in the proof of the converse part of the abelian polynomial theorem.

## 2 Interlude : Inverse Limits

Let  $I$  be a directed ordered set (  $i, j \in I \implies \exists k$  such that  $i \leq k, j \leq k$  )

### Definition 2 (Inverse System)

A inverse system consists of data

$$\{X_i, f_{i,j} | i, j \in I, i \leq j\}$$

$X_i$  are objects ( topological spaces, groups, etc) and the  $f_{i,j} : X_j \rightarrow X_i$  such that  $f_{i,i} = \text{Id}$  and  $f_{j,k} \circ f_{k,i} = f_{j,i}$

### Example

Take  $X_i = \mathbb{Z}/p^i\mathbb{Z} \rightarrow \mathbb{Z}/p^j\mathbb{Z}, i \leq j$ .

Then, the inverse limit is defined by

$$X = \varprojlim_{i \in I} X_i = \left\{ (x_i) \in \prod X_i | f_{ij}(x_j) = x_i \forall i \leq j \right\} \subset \prod_{i \in I} X_i$$

## Lecture 2: Infinite galois theory

Thu 13 Oct

## 3 Galois Theory and profinite groups

### Example

$$\mathbb{F}_p \subset \mathbb{F}_{p^n} \subset \overline{\mathbb{F}_p}.$$

Though the extension is infinite, we can look at  $\text{Gal}(\overline{\mathbb{F}_p}|\mathbb{F}_p)$  and it still contains the frobenius  $\phi(x) = x^p$ .

$$\text{Let } H = \{\phi^n | n \in \mathbb{Z}\} = \langle \phi \rangle \subset \text{Gal}(\overline{\mathbb{F}_p}|\mathbb{F}_p).$$

Note that  $\overline{\mathbb{F}_p}^H = \mathbb{F}_p$  BUT  $H \subsetneq \text{Gal}(\overline{\mathbb{F}_p}|\mathbb{F}_p)$

**Lemma 10**

Let  $T$  be a Hausdorff topological space.

The following are equivalent

- $T$  is an inverse limit of finite discrete spaces
- $T$  is compact and every point in  $T$  has a basis of neighborhoods of subsets that are clopen
- $T$  is compact and totally disconnected

**Proof (Sketch)**

1  $\implies$  2 follows from construction (exercise)

2  $\implies$  3 Take  $x \in T$  and let  $C_x$  be the connected component of  $x$ .

Then

$$C_x = \bigcap_{U \text{ clopen}, x \in U} U = \{x\}$$

because  $X$  is Hausdorff.

3  $\implies$  1 Let  $I = \left\{ \text{equivalence relation } R \subset T \times T \mid T/R \text{ is finite discrete} \right\}$ .

Then, consider  $\phi : T \rightarrow \varprojlim T/R$ , one then checks this is a homeomorphism. (exercise again)  $\square$

**Definition 3 (Profinite space)**

A profinite space is a totally disconnected, compact and Hausdorff space.

**Lemma 11**

Let  $G$  be a Hausdorff topological group.

Then the following are equivalent

- $G$  is the inverse limit of discrete finite groups
- $G$  is compact and the identity in  $G$  has a basis of neighborhoods consisting of normal clopen subgroups.
- $G$  is compact and totally disconnected.

**Proof**

1  $\implies$  3 see course notes

2  $\implies$  1 We want to show that  $\phi : G \rightarrow \varprojlim G/U$  where the limit is taken over all normal clopen subgroups.

3  $\implies$  2 We take a basis for  $e$  as in the lemma above.

We take a basis of clopen neighborhoods  $U$  and then define

$$V = \{v \in U \mid Uv \subset U\} \text{ and } H = \{h \in V \mid h^{-1} \in V\}$$

and one can show that  $H$  is a normal finite subgroup of finite index.  $\square$

**Definition 4 (Profinite group)**

A totally disconnected compact Hausdorff topological group is called a profinite group.

**Example**

- $\mathbb{Z}_p = \varprojlim \mathbb{Z}/p^n\mathbb{Z}$
- $\hat{\mathbb{Z}} = \varprojlim_{n \in \mathbb{N}} \mathbb{Z}/N\mathbb{Z}$  where the inverse system is given by divisibility

Now we try to fix the fundamental theorem of Galois theory.

Let  $F$  be a field with algebraic closure  $\bar{F}$ .

Write  $G_E = \text{Gal}(\bar{F}|E)$  for a field extension  $F \subset E \subset \bar{F}$ .

In particular,  $G_F$  is just the absolute Galois group of  $F$

**Definition 5 (Krull Topology)**

For some element  $\sigma \in G_F$ , define a basis of (open) neighborhoods to be

$$\{\sigma G_E | F \subset E \text{ finite normal}\}$$

**Proposition 13**

$G_F$  equipped with the Krull topology is a profinite group. We have

$$G_F = \varprojlim \text{Gal}(E/F)$$

where  $E$  runs over finite Galois extensions of  $F$

**Corollary 14**

$$G_{\mathbb{F}_p} \simeq \varprojlim_n \text{Gal}(\mathbb{F}_{p^n}/\mathbb{F}_p) \simeq \hat{\mathbb{Z}}$$

**Theorem 15 (Fundamental Theorem of Galois Theory (Cool version))**

The assignment

$$K \rightarrow \text{Gal}(\bar{F}|K)$$

is a one-to-one correspondence between extensions  $F \subset K \subset \bar{F}$  and closed subgroups of  $G_F$ .

The open subgroups of  $G_F$  correspond to finite extensions of  $F$ .

**Proof**

1. First, notice that an open subgroup of  $G_F$  is closed.
2. Finite extensions correspond to open subgroup (essentially by definition, one needs to take the normal closure)

3. Now, for an arbitrary field extension

$$\text{Gal}(\overline{F}|K) = \bigcap_i \text{Gal}(\overline{F}|K_i)$$

as  $K_i$  varies over all finite subextensions of  $K$

4. This assignment is injective as  $K$  is the fixed field of  $\text{Gal}(\overline{F}|K)$

5. This assignment is surjective :

Take  $H \subset G_F$  a closed subgroup and let  $K = \overline{F}^H$ , so that  $H \subset \text{Gal}(\overline{F}|K)$ .

To see that this is in fact an equality, we take  $\sigma \in \text{Gal}(\overline{F}|K)$  and we show that  $\sigma \in \overline{H} = H$ .

Take some finite extension  $K \subset L \subset \overline{F}$  so that  $\sigma \text{Gal}(\overline{F}|L)$  is a neighborhood of  $\sigma$ .

We need to show that

$$H \cap \sigma \text{Gal}(\overline{F}|L) \neq \emptyset$$

To do this, we have to show  $\tau \in H$  such that  $\tau|_L = \sigma|_L$ .

$$p : G_K \rightarrow \text{Gal}(L/K) \quad \square$$

is surjective and  $p(H) \subset \text{Gal}(L/K)$ .

Since  $K$  is the fixed field of  $H$ ,  $L^{p(H)} = K$ , we have  $p|_H : H \rightarrow \text{Gal}(L/K)$  is surjective.

## 4 Local Fields

### Example

$\mathbb{R}$  and  $\mathbb{C}$  are local fields for us

#### Definition 6 (Local Field)

A local field is a topological field which is locally compact but not discrete.

#### Definition 7

Let  $F$  be a field. An absolute value on  $F$  is a map  $|\cdot| : F \rightarrow \mathbb{R}$  such that

$$1. |x| \geq 0 \text{ and } |x| = 0 \text{ and } |x| = 0 \iff x = 0$$

$$2. |xy| = |x||y|$$

$$3. |x + y| \leq |x| + |y|$$

### Example

—  $\mathbb{R}$  and  $\mathbb{C}$  with euclidean norm



- If  $\mathcal{O}$  is a DVR,  $F = \frac{\mathcal{O}}{\mathcal{O}}$ , then  $|x| = c^{-\nu(x)}$  with  $c > 1$  defines an absolute value.
- 

## Lecture 3: Local Fields

Mon 17 Oct

### Remark

1. On a local field, we get a metric  $d(x, y) = |x - y|$  which induces a topology on our field  $F$
2. We could define the discrete metric which induces the discrete topology, but we always exclude it

### Definition 8 (Equivalent metrics)

1. We call  $|\cdot|_1$  and  $|\cdot|_2$  equivalent if they induce the same topology.
2. If  $|x + y| \leq \max(|x|, |y|) \leq |x| + |y|$  holds, then we call  $|\cdot|$  non-archimedean.

Observe that, if  $|\cdot|_1$  and  $|\cdot|_2$  are equivalent absolute values, then

$$|x|_1 < 1 \implies x^n \rightarrow 0 \text{ in } |\cdot|_1 \implies x^n \rightarrow 0 \text{ in } |\cdot|_2 \implies |x|_2 < 1.$$

### Proposition 19

Two absolute values  $|\cdot|_1, |\cdot|_2$  are equivalent iff there is  $s > 0$  such that

$$|\cdot|_1 = |\cdot|_2^s$$

### Proof

The implication from right to left is easy.

Fix  $y \in F^\times$  with  $|y|_1 > 1$ .

For any  $x \in F^\times$  there is  $\alpha \in \mathbb{R}$  such that

$$|x|_1 = |y|_1^\alpha$$

Take a rational approximation from above  $\frac{m_i}{n_i} \rightarrow \alpha$ , we get  $|\frac{x^{n_1}}{y^{m_1}}|_1 < 1 \implies |\frac{x^{n_1}}{y^{m_1}}|_2 < 1$

Thus  $|x|_2 \leq |y|_2^{\frac{m_i}{n_i}} \implies |x|_2 \leq |y|_2^\alpha$ .

Doing the same with an approximation of  $\alpha$  from below we get  $|x|_2 = |y|_2^\alpha$ .

Then

$$0 < s = \frac{\log |y|_1}{\log |y|_2} = \frac{\log |x|_1}{\log |x|_2}$$

□

**Theorem 20 (Approximation Theorem)**

Let  $|\cdot|_1, \dots, |\cdot|_n$  be pairwise inequivalent absolute values.

For all  $a_1, \dots, a_n \in F$  and every  $\epsilon > 0$ , there is  $x \in F$  such that

$$|x - a_i|_i < \epsilon$$

**Remark**

Taking  $F = \mathbb{Q}$  and  $p, q$  primes.

There are valuations  $v_p, v_q$  which induce absolute values  $|\cdot|_p = p^{-v_p(\cdot)}$  which are non-archimedean and inequivalent.

A special case of the theorem above says that for each  $a_1, a_2 \in \mathbb{Z}$  and all  $\epsilon > 0$  there is  $x \in \mathbb{Q}$  such that  $|a_1 - x|_p < \epsilon$  and  $|a_2 - x|_q < \epsilon$

**Proof**

We claim : There is  $z \in F$  such that  $|z|_1 > 1$  and  $|z|_j < 1$  for  $j = 2, \dots, n$ .

First, take  $\alpha, \beta \in F$  such that

$$|\alpha|_1 < 1 \leq |\alpha|_n \text{ and } |\beta|_1 \geq 1 > |\beta|_n$$

Put  $y = \frac{\beta}{\alpha}$ .

The case  $n = 2$  follows from this (with  $z = y$ ).

By induction, for  $n > 2$  we argue by induction. Say  $z'$  satisfies the claim for  $n - 1$ .

If  $|z'|_n \leq 1$ , take  $z = (z')^m y$  for  $m$  large enough.

If  $|z'|_n > 1$ , look at

$$t_m = \frac{(z')^m}{1 + z'^m}$$

$t_m$  will converge to 1 for  $j = 1, n$  and 0 if not.

Take  $z = t_m y$  for  $m$  large enough.

By the same argument we find  $z_i \in F$  such that  $|z_i|_i > 1$  and  $|z_i|_j < 1$  for  $j \neq i$ .

Put  $x = a_1 z_1^{m_1} + \dots + a_n z_n^{m_n}$  for  $m_1, \dots, m_n \in \mathbb{N}$  large enough. Look at script here :

$$|x - a_1|_1 \leq |a_1|_1 \quad \square$$

**Proposition 22**

An absolute value  $|\cdot|$  on a field  $F$  is non-archimedean iff  $(|n|)_{n \in \mathbb{N}}$  is bounded.

**Proof**

" $\implies$ "  $|n| = |1 + \dots + 1| \leq \max(|1|, \dots) = 1$

" $\impliedby$ " Say  $|n| \leq N$ , look at  $|x + y|^l \leq \sum_{v=0}^l \binom{l}{v} |x|^v |y|^{l-v}$ .

$$\leq \max(|x|, |y|)^l$$

Taking  $l$ -th roots, we get  $|x + y| \leq N^{\frac{1}{l}} (1 + l)^{\frac{N}{l}} \max(|x|, |y|)$   $\square$

**Definition 9 (Complete Field)**

We call  $(F, |\cdot|)$  complete if every Cauchy sequence has a limit in  $F$ .

Any valued field has a completion  $(\hat{F}, |\cdot|)$ .

**Example**

$$(\mathbb{Q}, |\cdot|) \xrightarrow{\text{completion}} (\mathbb{R}, |\cdot|_\infty).$$

We can do the same for the  $p$ -adic absolute values  $(\mathbb{Q}, |\cdot|_p) \xrightarrow{\text{completion}} (\mathbb{Q}_p, |\cdot|_p)$ .

**Theorem 24 (Ostrowski)**

Let  $F$  be a complete valued field such that  $|\cdot|$  is archimedean.

Then there is an isomorphism  $\sigma : F \rightarrow \mathbb{R}$  or  $\mathbb{C}$  such that  $|x| = |\sigma(x)|_\infty^s \forall x \in F$

**Proof**

As  $|\cdot|$  is archimedean, the sequence  $(n)$  is unbounded and hence  $\text{char}(F) = 0$ .

Hence  $\mathbb{Q} \rightarrow \hat{\mathbb{Q}} \rightarrow F$  and thus  $\mathbb{R} \subset F$ .

Take  $a \in F$ , we want to find a quadratic polynomial in  $\mathbb{R}[x]$  that  $a$  satisfies.

Define  $f(z) = |a^2 - \text{Tr}_{\mathbb{C}|\mathbb{R}}(z)a + \text{Nr}_{\mathbb{C}|\mathbb{R}}(z)|$  for  $z \in \mathbb{C}$ .

Note that  $f : \mathbb{C} \rightarrow [0, \infty)$  and  $f(z) \rightarrow \infty$  as  $|z| \rightarrow \infty$ .

So  $m = \min_{z \in \mathbb{C}} f(z)$  is attained in  $S = \{z \in \mathbb{C} | f(z) = m\}$ .

We claim  $m = 0$ .

Take  $z_0 \in S$  and suppose  $m = f(z_0) > 0$ , consider

$$g(x) = x^2 - \text{Tr}_{\mathbb{C}|\mathbb{R}}(z_0)x + \text{Nr}_{\mathbb{C}|\mathbb{R}}(z_0) + \epsilon \in \mathbb{R}[x]$$

Let  $z_1, z'_1$  be complex roots of  $g$ , we must have

$$z_1 z'_1 = \text{Nr}_{\mathbb{C}|\mathbb{R}}(z_0) + \epsilon$$

and in particular  $|z_1| > |z_0|$ .

Consider  $G(x) = [g(x) - \epsilon]^n - (-\epsilon)^n = \prod_{i=1}^n (x - \alpha_i)$  and assume  $\alpha_1 = z_1$

$$|G(a)|^2 = \prod_{i=1}^{2n} f(\alpha_i) \geq f(z_1) |m|^{2n-1}$$

and

$$|G(a)| \leq f(z_0)^n + \epsilon^n = m^n + \epsilon^n$$

Rearranging

$$\frac{f(z_1)}{m} \leq (1 + (\frac{\epsilon}{m})^n)^2 \rightarrow 1$$

as  $n \rightarrow \infty$ .

Rearranging  $f(z_1) \leq m = f(z_0)$

□

**Definition 10**

The fields  $\mathbb{R}$  and  $\mathbb{C}$  are called archimedean local fields.

Let  $|\cdot|$  be non-archimedean

**Definition 11**

Let  $\mathcal{O} = \{x \in F \mid |x| \leq 1\}$  be the “ valuation ring ”.

Then

$$\mathfrak{p} = \{x \in F \mid |x| < 1\}$$

is the unique maximal ideal of  $\mathcal{O}$ .

Then  $\mathcal{O}^\times = \{x \in F \mid |x| = 1\}$  are the units and  $k = \mathcal{O}/\mathfrak{p}$  is the residue field.

**Definition 12 (Non-archimedean local field)**

A non-archimedean local field is a complete valued field such that  $|\cdot|$  is non-archimedean and  $k$  is finite.

**Definition 13**

The valuation  $v$  defined by  $v(x) = -\log(|x|)$  is called discrete if there is a  $s > 0$  such that  $v(F^\times) \subset s\mathbb{Z}$ .

We say  $v$  is normalized if  $v(F^\times) = \mathbb{Z}$

**Proposition 25**

Let  $(F, |\cdot|)$  be a non-archimedean valued field with completion  $(\hat{F}, |\cdot|)$ , then

$$\hat{\mathcal{O}}/\hat{\mathfrak{p}} \simeq \mathcal{O}/\mathfrak{p}$$

Further, if  $|\cdot|$  has discrete valuation then

$$\hat{\mathcal{O}}/\hat{\mathfrak{p}}^n \simeq \mathcal{O}/\mathfrak{p}^n \text{ and } \hat{\mathcal{O}} = \varprojlim \mathcal{O}/\mathfrak{p}^n$$

Similarly

$$\hat{\mathcal{O}}^\times = \varprojlim \mathcal{O}^\times/U^n$$

for  $U^n = 1 + \mathfrak{p}^n$

## Lecture 4: Local fields

Thu 20 Oct

### Lemma 26 (Hensel)

Let  $(F, |\cdot|)$  be a non-archimedean complete valued field.

Let  $f \in \mathcal{O}[x]$  and assume  $f = \bar{g}\bar{h} \pmod{p}$  with  $\bar{g}$  and  $\bar{h}$  coprime over  $\mathcal{O}/p[x]$ , then this factorization lifts to  $\mathcal{O}$  and  $\exists g, h \in \mathcal{O}[x]$  such that  $g \pmod{p} = \bar{g}$ ,  $h \pmod{p} = \bar{h}$   $\deg g = \deg \bar{g}$

### Proof

Let  $d = \deg f, m = \deg \bar{g}$ .

Define  $g_0$  to be a lift of  $\bar{g}$  to  $\mathcal{O}[x]$  and  $h_0$  a lift of  $h$  with same degree.

Look at  $f - g_0 h_0$ , take  $a, b \in \mathcal{O}[x]$  such that  $ag - +bh_0 \equiv 1 \pmod{p\mathcal{O}[x]}$  and look at  $ag_0 + bh_0 - 1$ .

Define  $\omega$  to be any element of  $p$  that divides  $f - g_0 h_0, ag_0 + bh_0 - 1$ .

We will construct  $(g_n, h_n)$  such that  $\deg g_n = m$ ,  $\omega^n | g_n - g_{n-1}$  and  $\omega^n | h_n - h_{n-1}$  such that  $\omega^{n+1} | f - g_n h_n$ .

Suppose we've constructed  $g_{n-1}, h_{n-1}$  we want to find  $g_n = g_{n-1} + \omega^n p_m$  and  $h_n = h_{n-1} + \omega^n q_m$ . We'll be able to take  $\deg p_m < m$ .

Write

$$\begin{aligned} f - g_n h_n &\equiv (f - g_{n-1} h_{n-1}) - \omega^n (p_n h_{n-1} + q_n g_{n-1}) \pmod{\omega^{n+1}} \\ &\equiv \omega^n \left( \frac{f - g_{n-1} h_{n-1}}{\omega^n} - p_n h_{n-1} - q_n g_{n-1} \right) \end{aligned}$$

We work with  $\omega$  now, so we want

$$p_n h_0 + q_n g_0 \equiv \underbrace{\frac{f - g_{n-1} h_{n-1}}{\omega^n}}_{=f_n} \pmod{\omega}$$

We have  $bh_0 + ag_0 \equiv 1 \pmod{\omega}$  and thus

$$(bf_n)h_0 + (af_n)g_0 \equiv f_n \pmod{\omega} \quad \square$$

Write  $bf_n = qg_0 + p_n$  with  $\deg p_n < m$ .

Letting  $q_n := af_n + ph_0$ , all the conditions hold and we get our  $g_n, h_n$ .

The factors of the respective sequences converge in  $\mathcal{O}[x]$  because the coefficients are Cauchy and  $\mathcal{O}$  is complete.

### Example

1. If  $f \in \mathcal{O}[x]$  and  $\bar{a} \in \mathcal{O}/p$  such that  $f(a) \equiv 0 \pmod{p}, f'(a) \in \mathcal{O}^\times$  then  $\exists a \in \mathcal{O}, a \equiv \bar{a} \pmod{p}$  such that  $f(a) = 0$
2.  $f \in K[x]$  such that  $f$  is irreducible  $f(0) \in \mathcal{O}$  then  $f \in \mathcal{O}[x]$

**Theorem 28 (Classification of non-archimedean local fields)**

The non-archimedean local fields are the finite extensions of  $\mathbb{Q}_p$  and  $\mathbb{F}_p((t))$

**Theorem 29**

Let  $(F, |\cdot|)$  be complete valued, then  $|\cdot|$  has a unique extension to  $\overline{F}$ .

If  $E/F < \infty$ , then  $|\cdot|$  is given by

$$|\alpha|_E = |N_{E/F}(\alpha)|_F^{\frac{1}{[E:F]}}$$

and  $E$  is again complete for  $|\cdot|$ .

**Proof**

We can assume that  $F$  is non-archimedean.

It suffices to show  $\exists!$  extension to  $E$  (a finite extension).

1. Does  $|N_{E/F}(\alpha)|_F^{\frac{1}{[E:F]}}$  define an absolute value?

Multiplicativity and  $\alpha = 0 \iff |\alpha| = 0$  is clear.

We want to show that  $|\alpha| \leq 1 \implies |\alpha + 1| \leq 1$ .

Fix such an  $\alpha$  and look at the minimal polynomial of  $\alpha$ , say  $f$ .

Then  $(f(0))^{\frac{1}{[E:F]}} = N_{E/F}(\alpha)$ , thus  $|f(0)|_F \leq 1, f(0) \in \mathcal{O}_F \implies f \in \mathcal{O}_F[x]$  thus  $f \in \mathcal{O}_F[x]$ .

Hence  $f(x-1) \in \mathcal{O}_F[x]$  which is just the minimal polynomial of  $\alpha+1$ , thus  $N(\alpha+1) \in \mathcal{O}_F \implies |\alpha+1|_E \leq 1$

2. We show uniqueness.

Suppose  $|\cdot|'$  is another absolute value on  $E$  extending  $F$ .

We'll show that  $\mathcal{O}_E := \{\alpha \in E : N_{E/F}(\alpha) \in \mathcal{O}_F\} \subset \mathcal{O}'_E$ .

Suppose not, take  $\alpha \in \mathcal{O}_E \setminus \mathcal{O}'_E$ , thus  $\alpha^{-1} \in \mathcal{O}'_E$ .

Let  $f$  be the minimal polynomial of  $\alpha$ ,  $f = x^d + a_{d-1}x^{d-1} + \dots$ ,  $f(\alpha) = 0 \implies 1 + a_{d-1}\alpha^{-1} + \dots + a_0\alpha^{-d} = 0 \in 1 + \mathcal{O}_F\mathcal{O}'_E = 1 + \mathcal{O}'_E \not\equiv 0$ .

Thus  $\mathcal{O}_E \subset \mathcal{O}'_E$ .

Thus  $|\alpha|_E \leq 1 \implies |\alpha|'_E \leq 1$ .

Hence, if both norms were inequivalent, there would exist  $\alpha \in E$  with  $|\alpha| \leq \frac{1}{100}, |\alpha|' \geq 100$ , which is impossible.

It now suffices to show that  $E$  is a complete valued field.

Fact : If  $F$  is a complete valued field,  $V$  is a finite dimensional vector space over  $F$ , then any two norms on  $V$  are equivalent.

We use this with  $|\cdot|_E$  and a norm coming from a linear isomorphism with  $F^{[E:F]}$  □

We now prove the classification of local fields

**Proof**

*Fact : On  $\mathbb{Q}$ , the non-archimedean absolute values are  $|\cdot|_p$  (up to equivalence)*

*Take  $F$  a non-archimedean local field and suppose  $\mathbb{Q} \subset F$ .*

*We know  $|\cdot|_{\mathbb{Q}} = |\cdot|_p$  for some  $p$  and thus  $\mathbb{Q}_p \subset F$ .*

*Local compactness implies that  $F/\mathbb{Q}_p < \infty$ .*

*Assume  $\text{char} F = p > 0$ , thus  $\mathbb{F}_p \subset F$ , take  $t \in F$  with  $|t| < 1$ .*

*We claim that  $t$  is transcendental, if not  $\exists N$  such that  $t^N = 1 \implies |t| = 1$ .*

*Thus  $\mathbb{F}_p((t)) \subset F \implies F/\mathbb{F}_p((t)) < \infty$ .  $\square$*

**Theorem 30**

*Let  $F$  be a non-archimedean local field and  $\omega \in F^\times$  a uniformizer for  $\mathcal{O}$ .*

*Then  $\mathcal{O}^\times \times \omega^\mathbb{Z} \rightarrow F^\times$  is an isomorphism.*

*Consider  $1 \rightarrow \mathcal{O}^\times \rightarrow F^\times \rightarrow \mathbb{Z} \rightarrow 0$ , this ses splits with  $s : \mathbb{Z} \rightarrow F^\times$  sending  $n$  to  $\omega^n$ .*

**Theorem 31**

*Let  $F$  be a non-archimedean local field, then  $\mathcal{O}^\times \subset F^\times$  is compact open and  $F^\times$  is locally compact.*

**Proof**

*Look at  $F^\times \rightarrow \{(a, b) : ab = 1\} \subset F^2$  sending  $a \rightarrow (a, \frac{1}{a})$ .*

*We get everything just by topological considerations.  $\square$*

Recall  $U^n = 0$  if  $n = 0$  and  $1 + p^n$  if  $n \geq 1$ .

Then  $\mathcal{O}^\times = \bigcup_{a \bmod p \neq 0} a + p$ .

All these  $p$  are open compact and thus  $\mathcal{O}^\times$  is too.

Take  $\alpha \in F^\times$ , then  $\alpha\mathcal{O}^\times$  is a compact open neighborhood of  $\alpha$ .

**Lemma 32**

*Let  $F$  be a non-archimedean local field.*

*The maps  $x \rightarrow x^m$  with  $m$  an integers sends  $U^m \rightarrow U^{n+v(m)}$  and induces an isomorphism for  $m$  large enough ( depending on  $m$ )*

**Proof**

*Take  $a \in U^n$ ,  $a = 1 + \omega^n b$ , then  $a^m = 1 + m\omega^n b + \omega^{2n} c$  for some  $c \in \mathcal{O}$ .*

$$= 1 + \omega^{v(m)} \omega^n b + \omega^{2n} c \in 1 + \omega^{v(m)+m} \mathcal{O}$$

*for  $n \geq v(M)$ .*

*We show injectivity.*

*There exist finitely many  $n$ -th roots of unity in  $F$ .*

*For  $n \gg 1$ ,  $U^n \ni$  an  $m$ -th root of unity  $\neq 1$*

To show surjectivity, take  $a \in \mathcal{O}^\times$ , we want to find  $x \in \mathcal{O}$  such that

$$(1 + x\omega^n)^m = 1 + a\omega^{n+v(m)}$$

Thus  $1 + b\omega^{v(m)}x\omega^n + \omega^{2n}f(x) = 1 + a\omega^{n+v(m)}$  where  $m = b\omega^{v(m)}$ .  
 $x + \omega^{n-v(m)}f(x) = a$  when  $n > v(m)$ .

Modulo  $\omega$ , this becomes  $x = a$ .

By Hensel, this lifts to a solution  $x \in \mathcal{O}$  because  $(x - a)' = 1 \neq 0$ .  $\square$

### Corollary 33

Let  $F$  be non-archimedean local, then  $(F^\times)^m \subset F^\times$  is an open subgroup.

$$\bigcap_m (F^\times)^m = \{1\}$$

### Proof

It suffices to show  $1 \in (F^\times)^m$  has an open neighborhood, indeed, take  $U^m$  a large enough  $n$ .

For the second part, take  $a \in \bigcap_m (F^\times)^m$ ,  $v(a) \in m\mathbb{Z} \forall m \implies v(a) = 0$  and we know that  $a \in U^n$  for all  $n$ .

Thus  $a - 1 \in \bigcap_i p^i = 0$   $\square$