

# Convolutional neural networks

## Lecture 7

Changho Suh

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# **Convolutional neural networks (CNNs) and history**

# Recap: Data organization & evaluation

$m$  is a deciding factor for the data split **ratio**:

4 regimes: Small, middle, large and ultra-large

val set dist.  $\sim$  test set dist.  $\sim$  target dist.

Cross validation for evaluation:

Choose a hyperparameter that minimizes the average validation loss:

$$\text{val loss} = \frac{\text{val}_1 + \text{val}_2 + \text{val}_3 + \text{val}_4}{4}$$

# Recap: Techniques for DNNs

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Weight initialization: He's

Training stability: Adam optimizer  
learning rate decaying, BN

Hyperparameter search:

#  $L$  of layers, #  $n^{[\ell]}$  of hidden neurons, activation

# Recap: DNNs

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Model complexity is very high.

This often leads to the **overfitting** problem.

This motivates the use of generalization techniques:

Regularization; data augmentation;  
early stopping; drop out

# Recap: Tensorflow coding

## Train-val-test data split:

```
from sklearn.model_selection import train_test_split

X_,X_test,y_,y_test = train_test_split(X,y,test_size=1/10,stratify=y)

X_train,X_val,y_train,y_val = train_test_split(X_,y_,test_size=1/9,stratify=y_)
```

## Generalization techniques:

```
from tensorflow.keras.regularizers import l2

from tensorflow.keras.callbacks import EarlyStopping

from tensorflow.keras.layers import Dropout
```

# Recap: Tensorflow coding

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Weight initialization:

```
from tensorflow.keras.initializers import HeNormal
```

Batch normalization:

```
from tensorflow.keras.layers import BatchNormalization
```

Learning rate decaying:

```
from tensorflow.keras.callbacks import LearningRateScheduler
```

Cross validation:

```
from sklearn.model_selection import KFold
```

# What is next?

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One important question:

Can DNNs be specialized?

*CNNs*: Image data

*RNNs*: Text/audio data (language) and  
any sequential data



# Outline of today's lectures

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Focus on CNNs.

Specifically we will:

1. Investigate how CNNs were developed;
2. Study the two key building blocks:
  - Conv layer
  - Pooling layer
3. Discuss two popular CNN architectures.

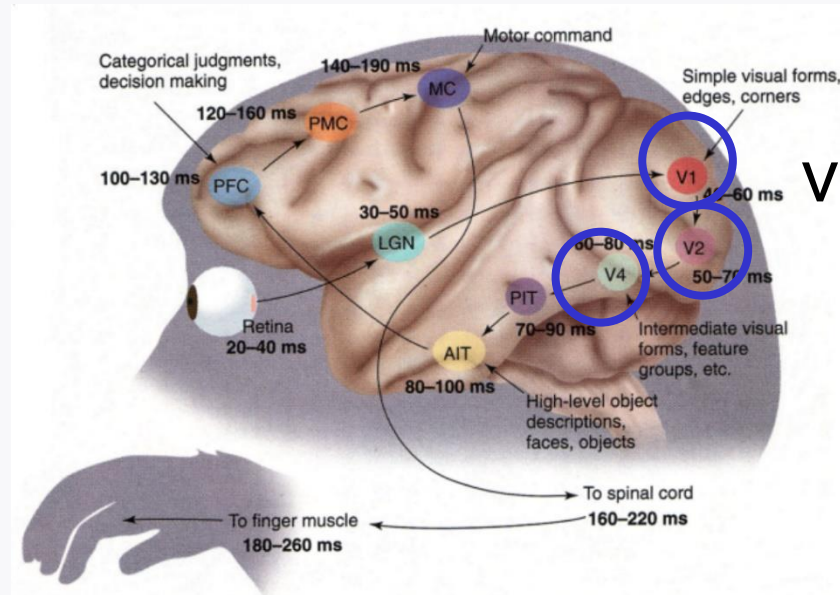
# Focus of Lecture 7

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Conv layer  
Pooling layer
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# Visual cortex (시각피질)



**Hubel & Wiesel '58/'59 observed:**

1. React only to a limited region (*receptive field*)
2. Exhibit hierarchy:  $V1 \rightarrow V2 \rightarrow V3 \rightarrow V4 \rightarrow \dots$

\*Won them the **Nobel Prize** in Physiology or Medicine in '81.

# Led to the birth of CNNs

Developed the first CNN in 1980:  
**Neocognitron**



Kunihiro  
Fukushima  
**1980**

Developed another CNN in 1988:  
**LeNet-5**

Commercialization: Handwritten  
check numbers recognition



Yann LeCun  
**1988**

# Two building blocks of CNNs

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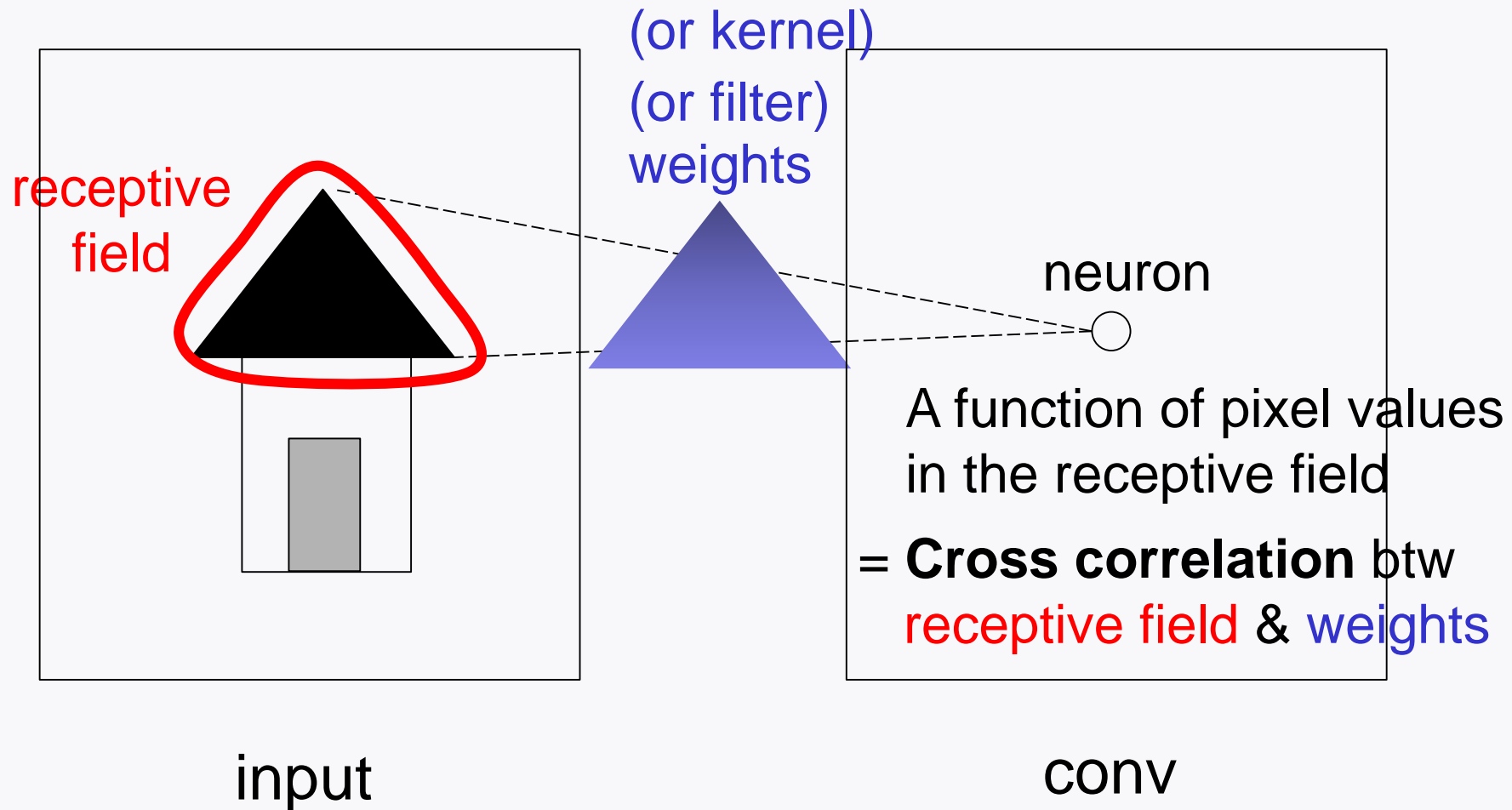
## 1. Convolutional layer (Conv layer)

**Role:** Mimick neurons' behaviors:  
Reacting only to receptive fields.

## 2. Pooling layer

**Role:** Downsample to reduce complexity  
(# parameters & memory size).

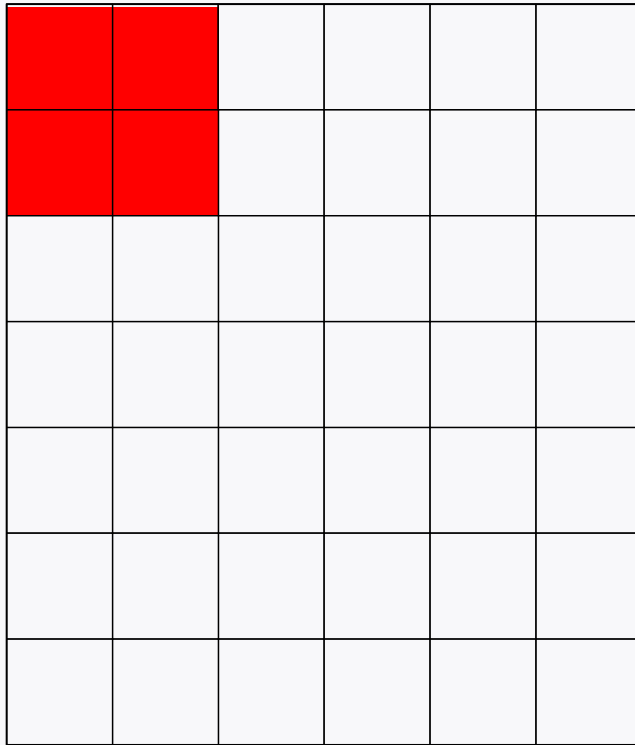
# Conv layer



\*Convolution operation is very similar to cross correlation.

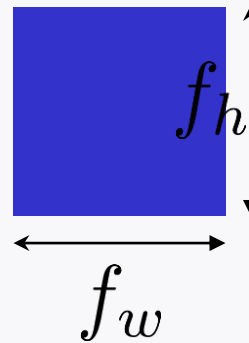
# Abstraction via grids

receptive field

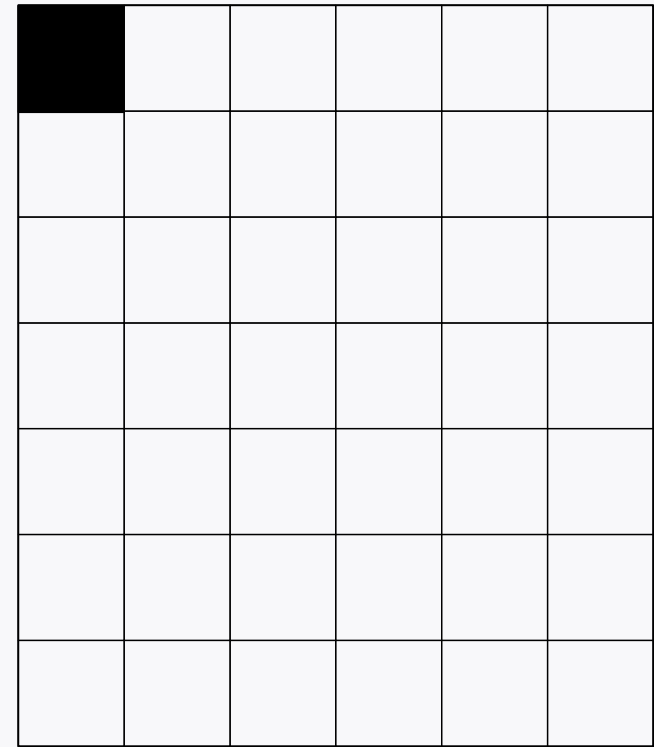


input

filter

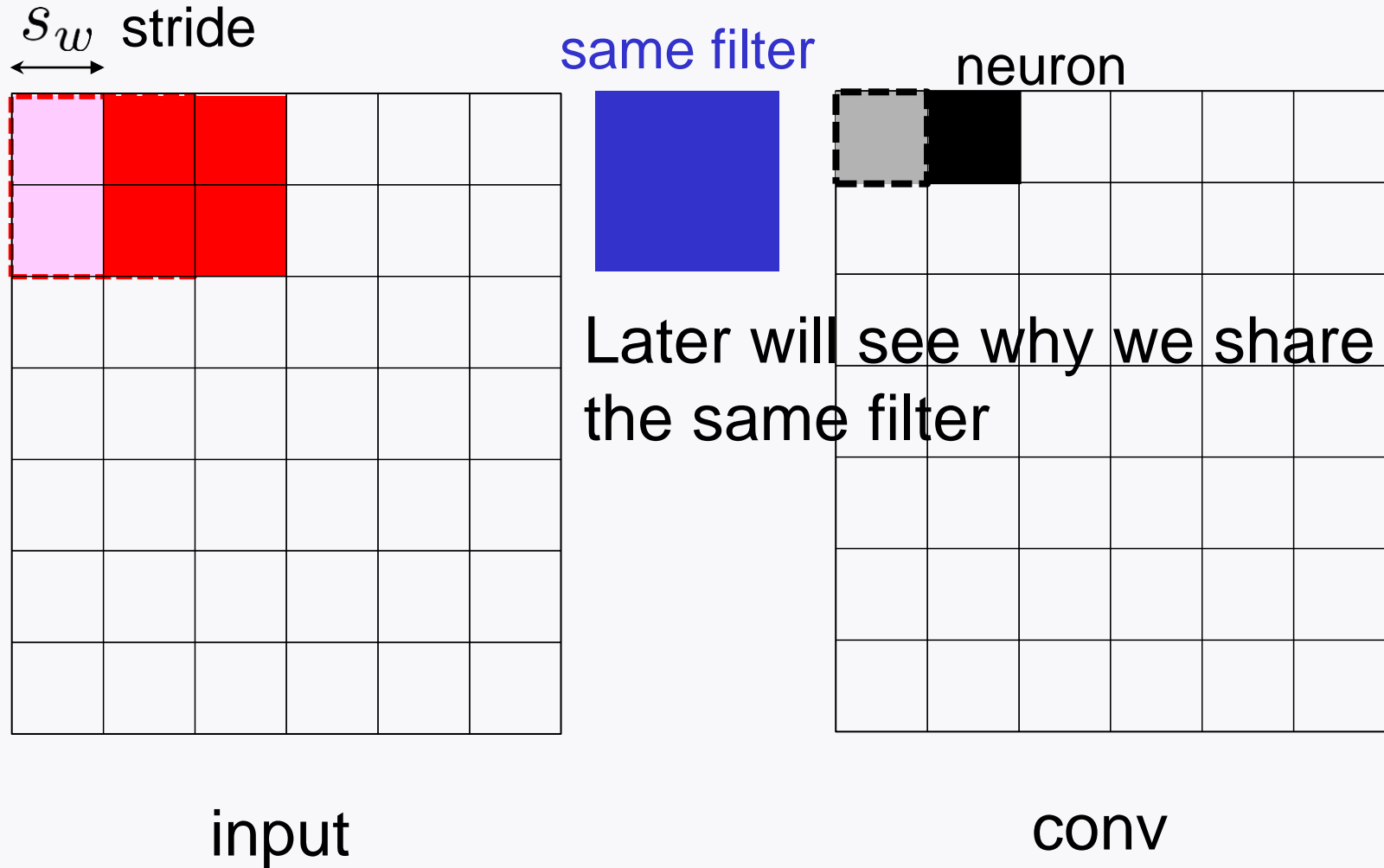


neuron



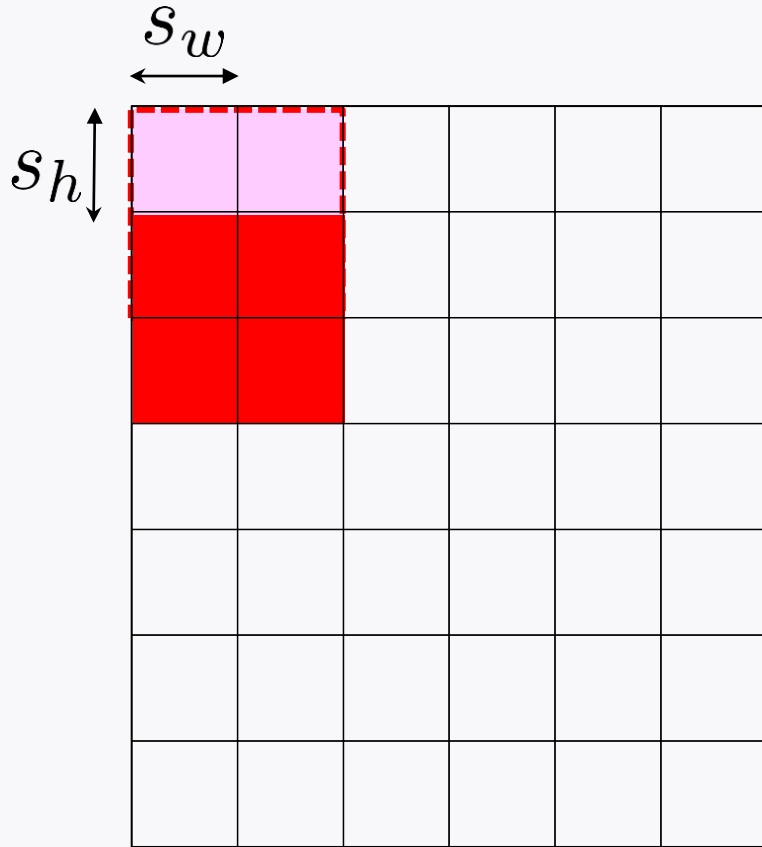
conv

# What about for next *right* neuron?

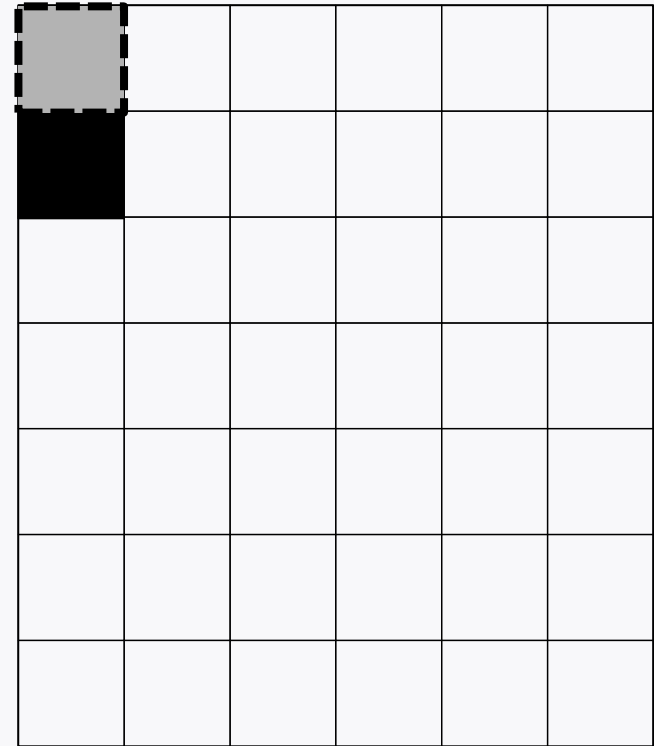




# What about for next *below* neuron?

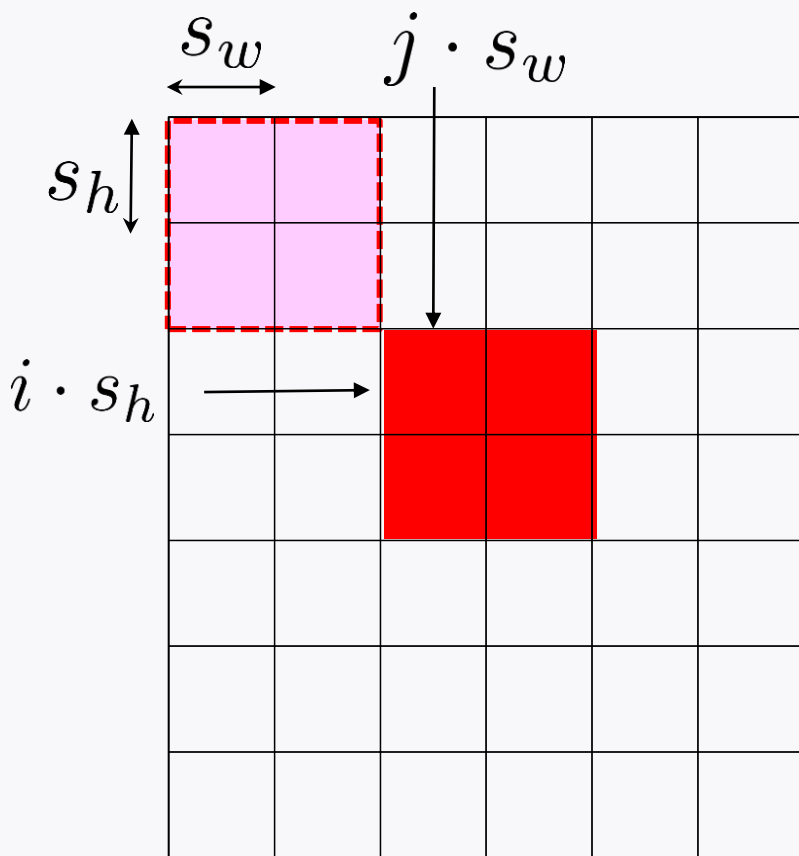


input

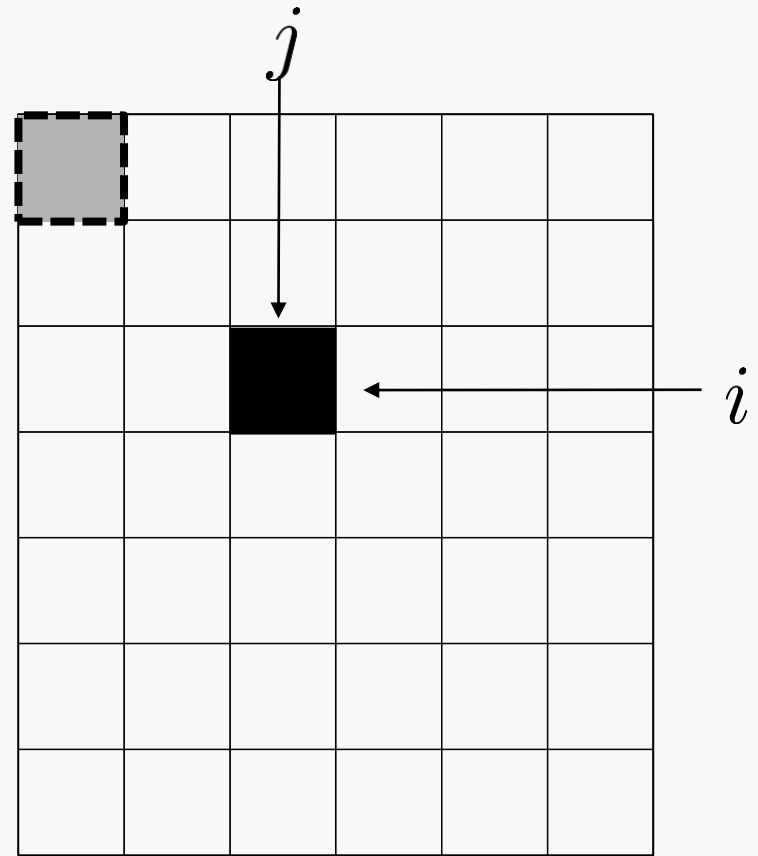


conv

# In general ...

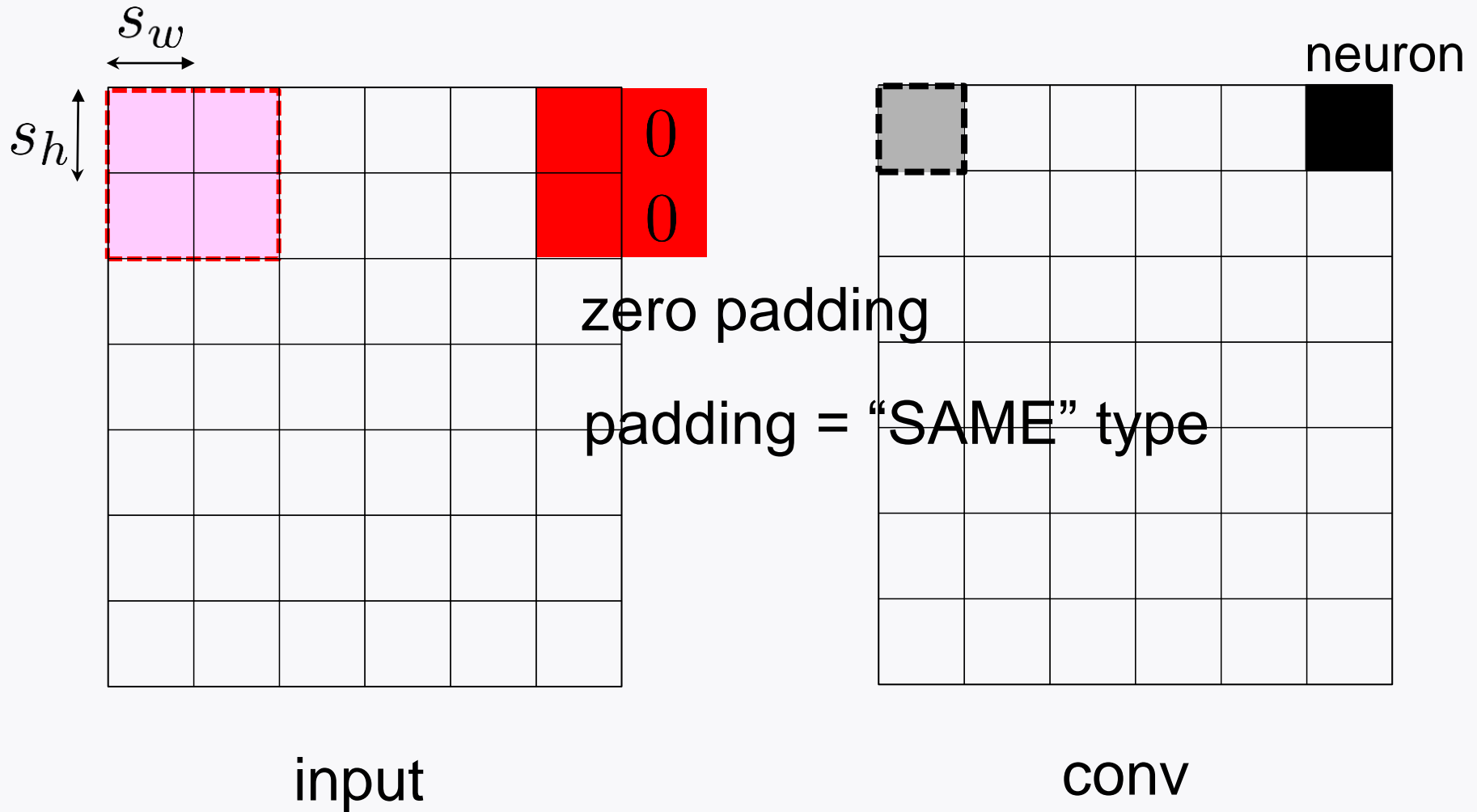


input

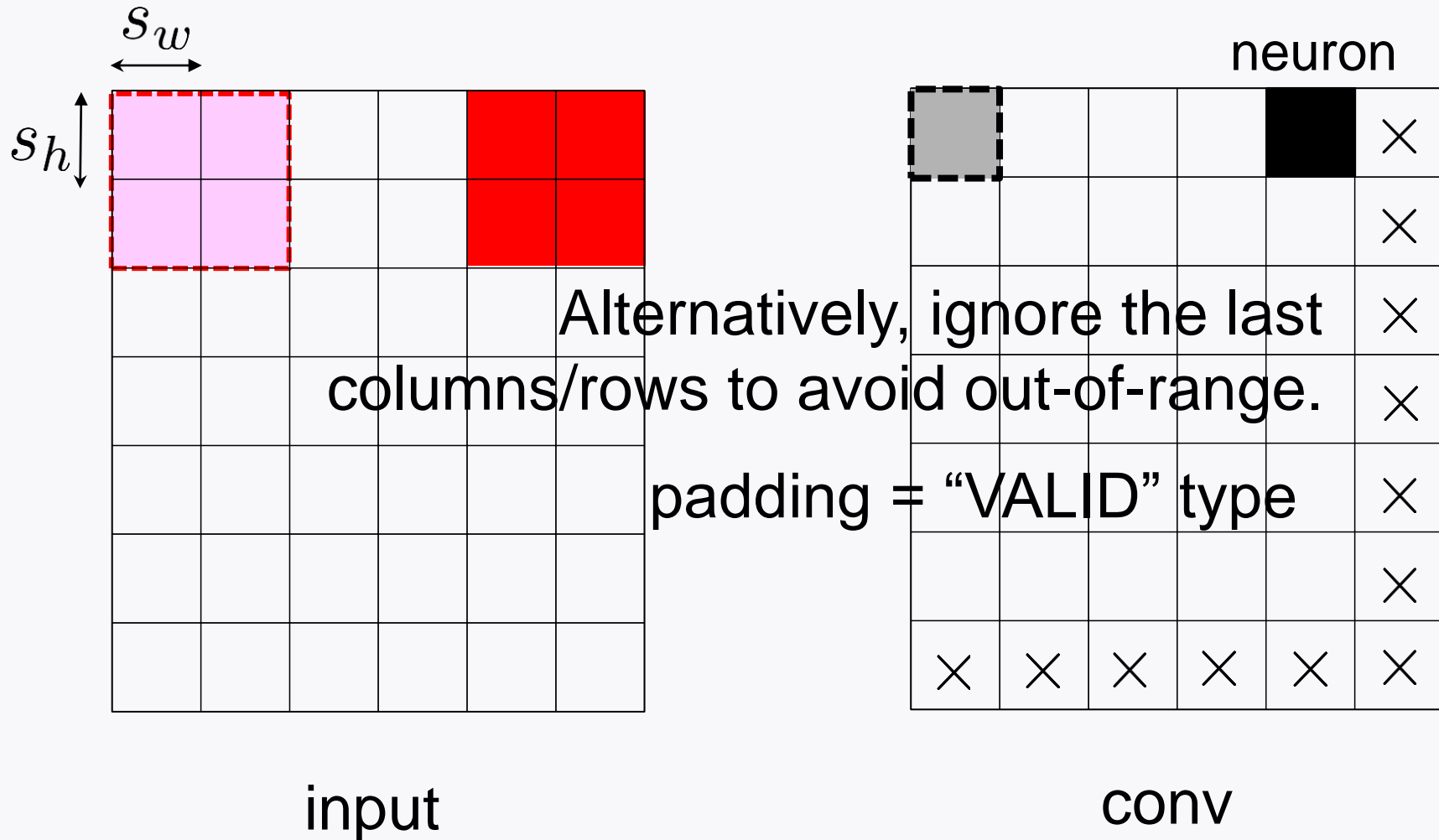


conv

# What if a receptive field is out of range?



# What if a receptive field is out of range?



# Feature map

**Role:** Detect a certain pattern (feature).

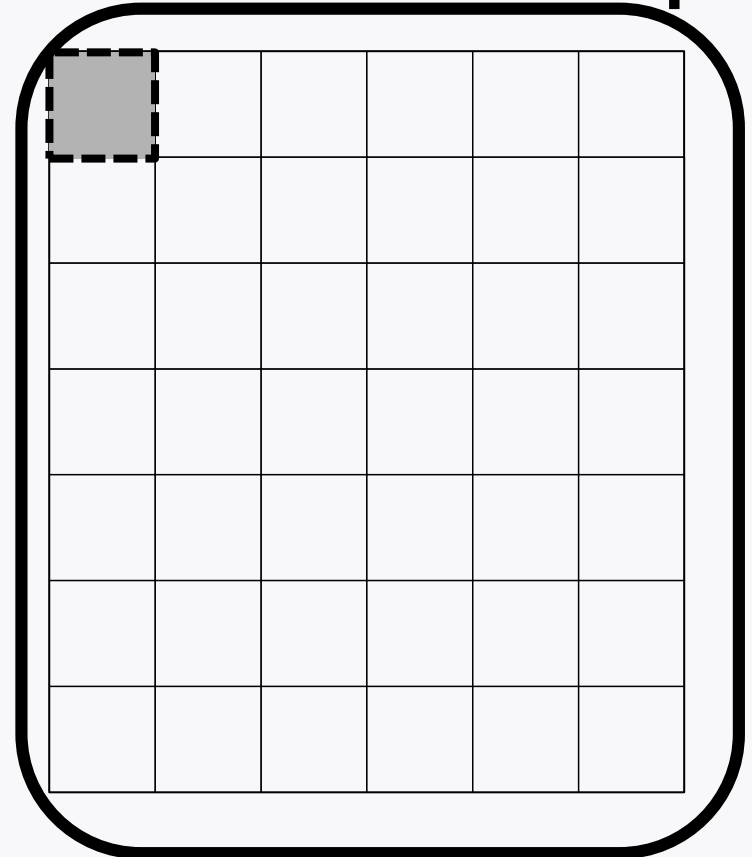
filter



**Note:** Filter depends on a target pattern that we wish to detect.

To detect one target pattern, use one filter.

Called: **feature map**



This is why we *use the same filter for a feature map*.

# Look ahead

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1. Will study further on “feature map”.
2. Will study 2<sup>nd</sup> building block: **Pooling** layer