

# Recurrent neural networks

## Lecture 10

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# **Recurrent neural networks and motivation**

# Recap: DNNs

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Work well with **enough data**.

Otherwise, we may face the **overfitting** problem.

This motivates **simplifying DNNs**, being tailored for tasks of interest.

# Recap: CNNs

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A model specialized for **image** data

Two key building blocks:

1. **Conv** layer (*mimicking* neurons in *visual cortex*)
2. **Pooling** layer (*mainly for reducing complexity*)

Design principles: As a network gets deeper:

1. Feature map **size** gets **smaller**;
2. **#** of feature maps gets **bigger**.

# Recap: Tensorflow coding

```
from tensorflow.keras.datasets import mnist
from tensorflow.keras.models import Sequential
from tensorflow.keras.layers import Conv2D, MaxPool2D, Flatten, Dense

(X_train, y_train), (X_test, y_test) = mnist.load_data()
X_train, X_test = X_train/255.0, X_test/255.0

model_lenet = Sequential()

#1st layer: Stack 1 ([Conv]+[ReLU]+[Pool])
model_lenet.add(Conv2D(input_shape=(28,28,1), kernel_size=(5,5), strides=(1,1), filters=32,
padding='same', activation='relu'))
model_lenet.add(MaxPool2D(pool_size=(2,2), strides=(2,2), padding='valid'))

#2nd layer: Stack 2 ([Conv]+[ReLU]+[Pool])
model_lenet.add(Conv2D(kernel_size=(5,5), strides=(1,1), filters=48,
padding='same', activation='relu'))
model_lenet.add(MaxPool2D(pool_size=(2,2), strides=(2,2), padding='valid'))

#3rd layer (Fully-connected)
model_lenet.add(Flatten())
model_lenet.add(Dense(256, activation='relu'))

#4th layer (Fully-connected)
model_lenet.add(Dense(84, activation='relu'))

#5th layer (output layer)
model_lenet.add(Dense(10, activation='softmax'))
```

# Applications of CNNs

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Image recognition

Image inpainting

Object detection

Coloring

Defect detection

Style transfer

Medical diagnosis  
(e.g., cancer detection)

Super-resolution image  
synthesis

Any **decision** or **manipulation** w.r.t. **image** data

# Limitations

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Not well applicable to **time series** data.

This is where recurrent neural networks (RNNs) kick in.

# Outline of today's lectures

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1. Talk about RNN's applications and history.
2. Study two key building blocks of RNNs:  
**Recurrent neurons**  
**A memory cell**
3. Investigate basic RNNs.
4. Study LSTM (Long Short-Term Memory) cells.



# Focus of Lecture 10

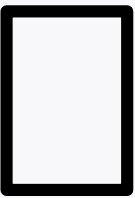
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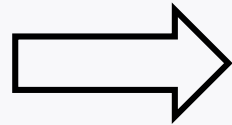
**Recurrent** neurons

**A memory cell**

3. Investigate basic RNNs.
4. Study LSTM (Long Short-Term Memory) cells.

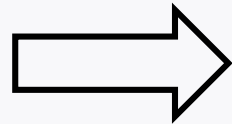
# Applications

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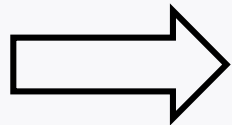
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삼성반도체

Don't worry

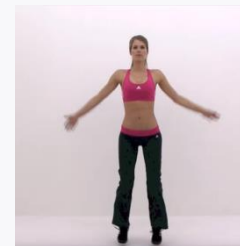
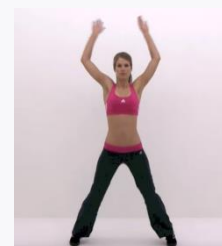
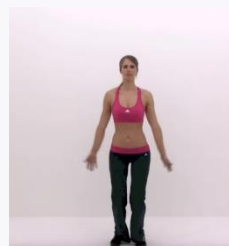
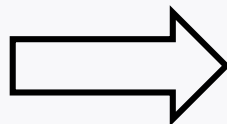
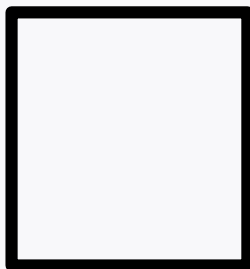


(감정) + 감정

# Applications

(한국어)

I like machine learning → 나는 머신러닝을 좋아해



# A common feature in such applications

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## Memory!

DNNs & CNNs: Layers *do not preserve states*.

**Hence:** They do not capture such memory-feature.

This motivated the invention of RNNs.

# Birth of RNNs

Pondered on the *thought process*:  
Series of many thoughts & logics

Led him to conjecture existence of  
neurons preserving memory

→ Invented the first RNN.

The first RNN was popularized by John  
Hopfield, hence called:

**The Hopfield network**



William Little 1974



John Hopfield 1982

# Another RNN in 1986 (Nature)



David Rumelhart



Geoffrey Hinton



Ronald Williams

Developed another RNN which looks very similar to nowadays RNNs.

# Two building blocks of RNNs

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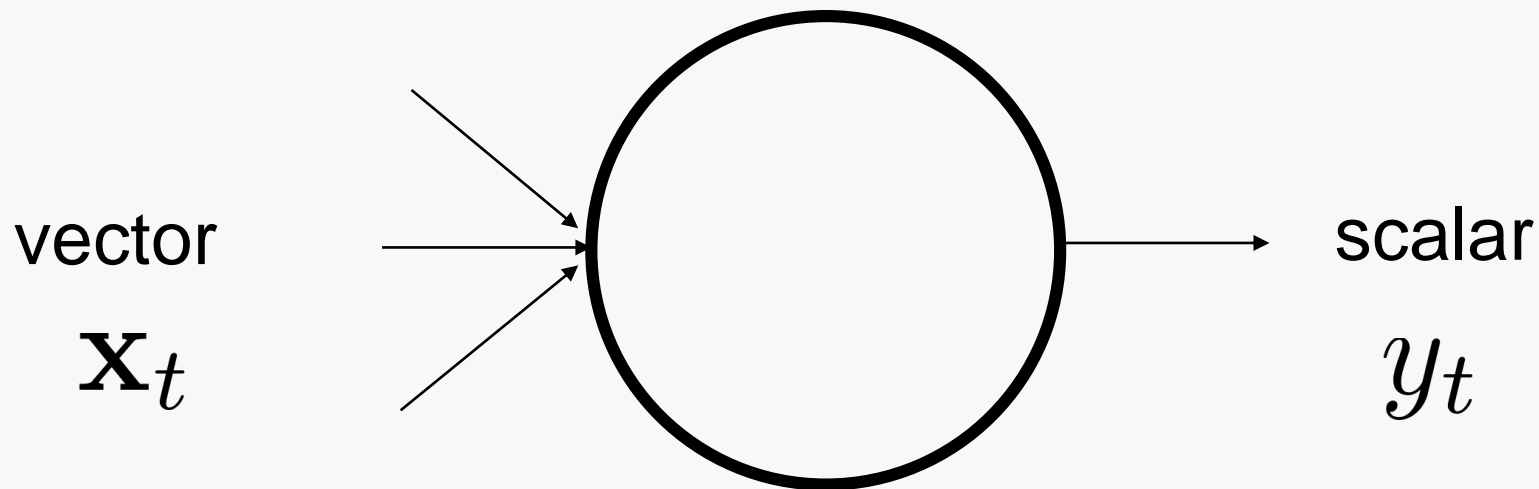
## 1. Recurrent neurons

**Role:** Mimick conjectured neurons' behavior:  
*having a loop.*

## 2. A memory cell

**Role:** *Preserve some state (memory).*

# Revisit: A conventional neuron



$$y_t = \phi \left( \mathbf{w}^T \mathbf{x}_t + b \right)$$

activation

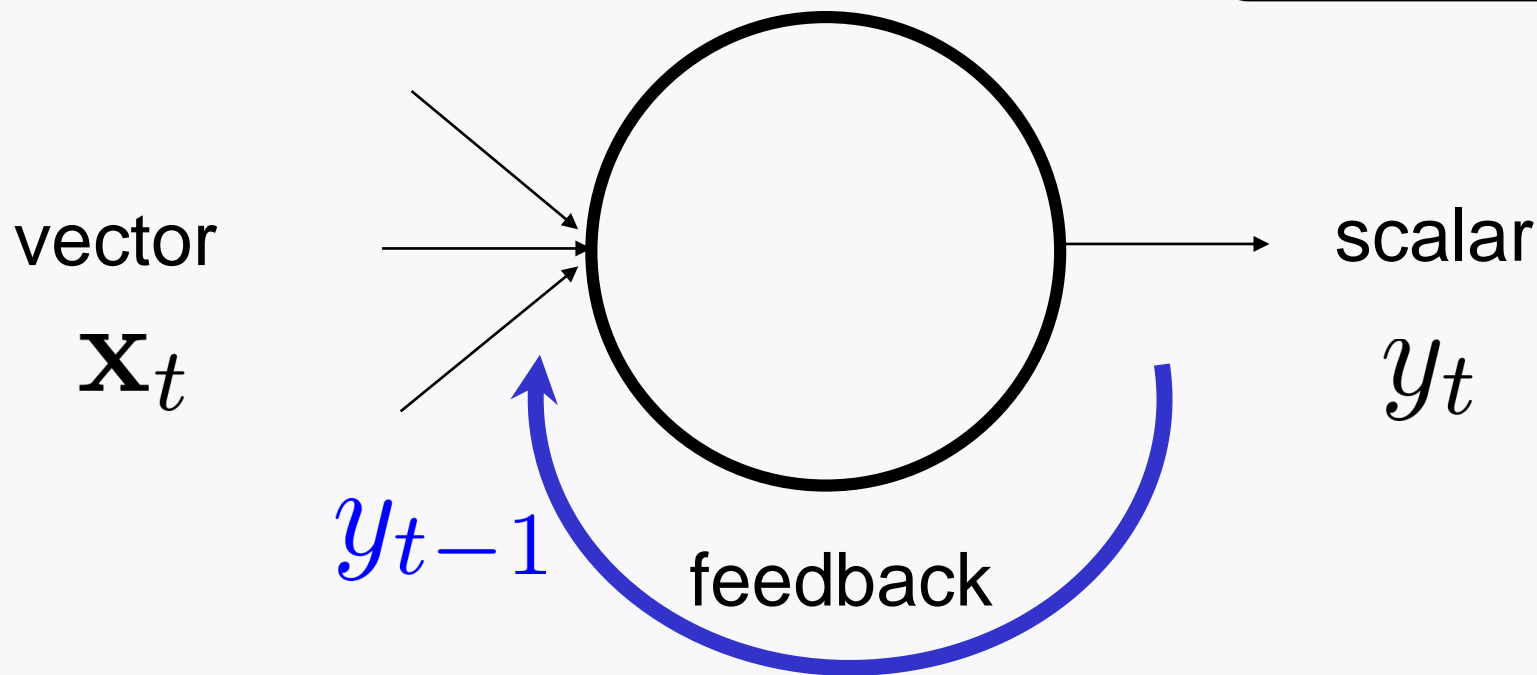
An arrow points from the word "activation" to the  $\phi$  symbol in the equation above.



# A recurrent neuron

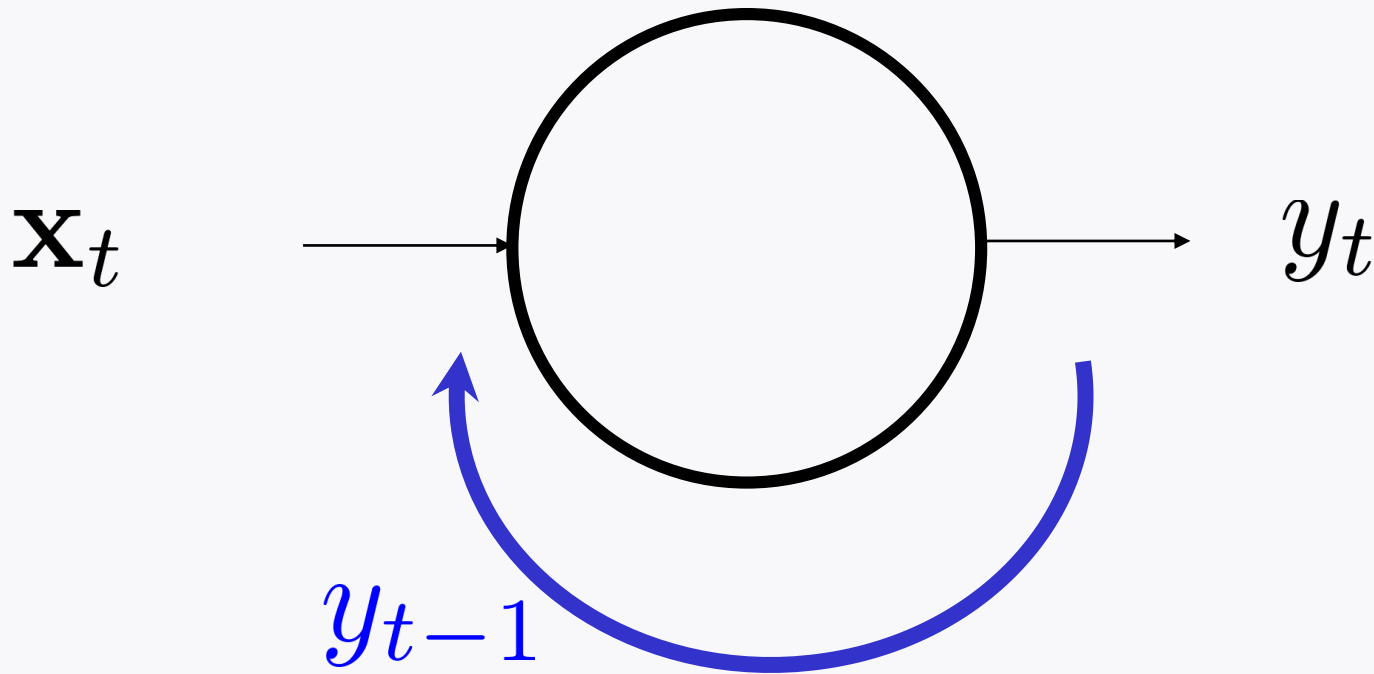
A conventional neuron:

$$y_t = \phi(\mathbf{w}^T \mathbf{x}_t + b)$$



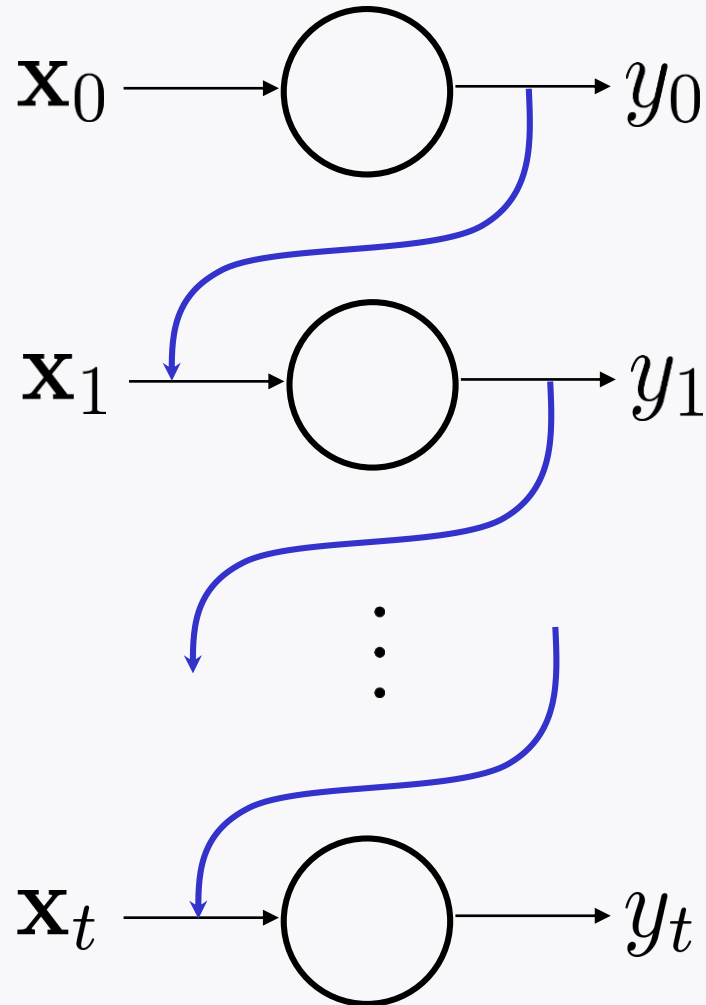
$$y_t = \phi^{(\tanh)}(\mathbf{w}_x^T \mathbf{x}_t + w_y y_{t-1} + b)$$

# Simplified description

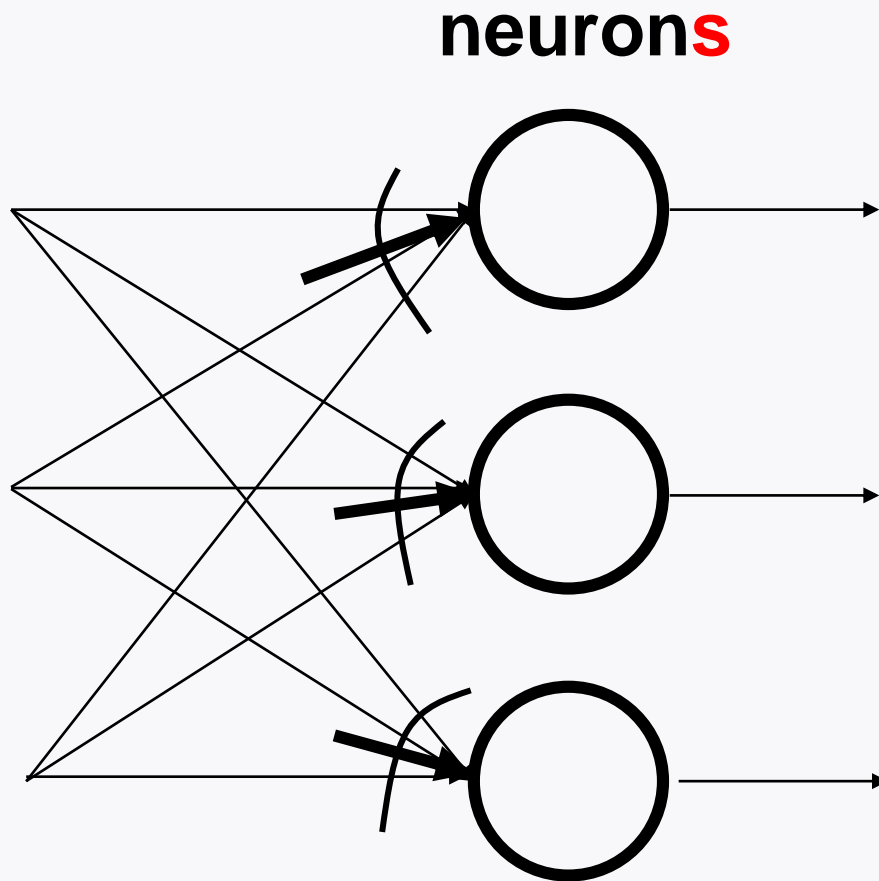


Let **a single arrow** represent the **vector signal flow**!

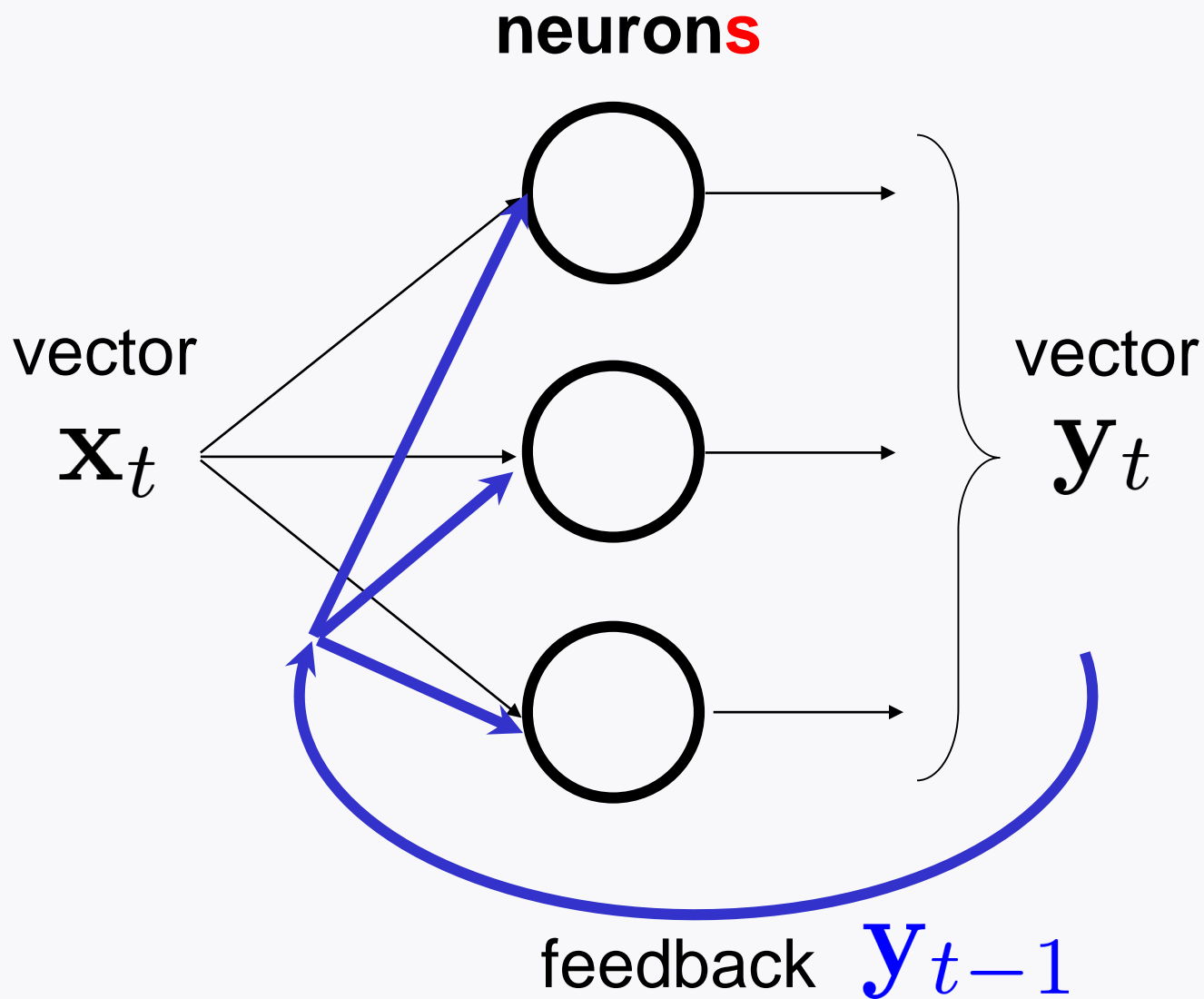
# A recurrent neuron: *Unrolled* version



# Recurrent neurons

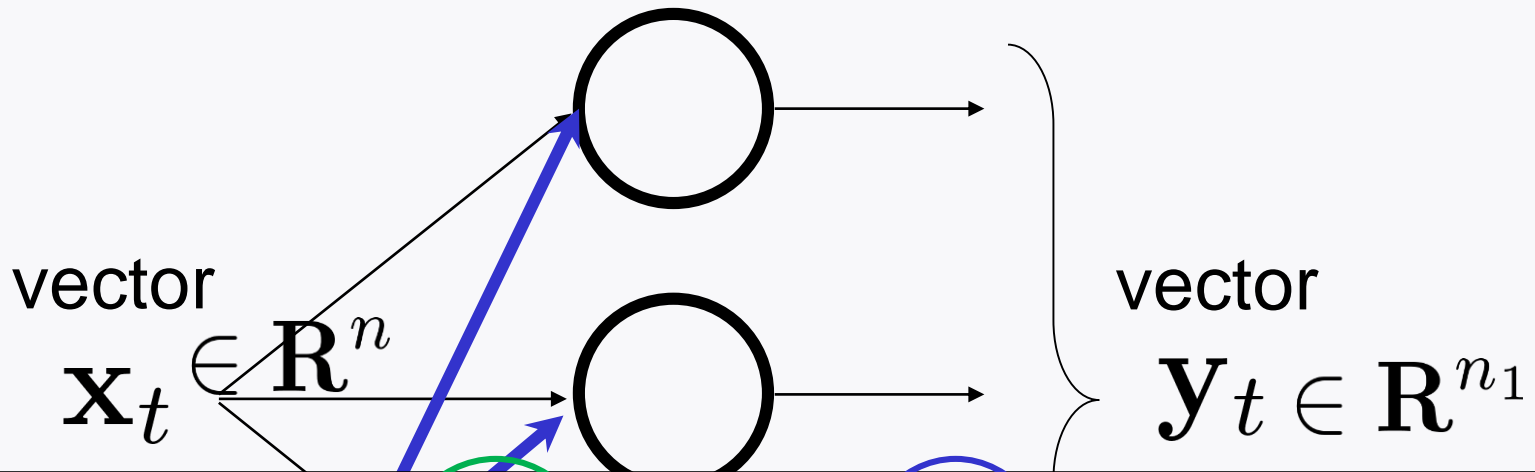


# Recurrent neurons



# Recurrent neurons

neurons

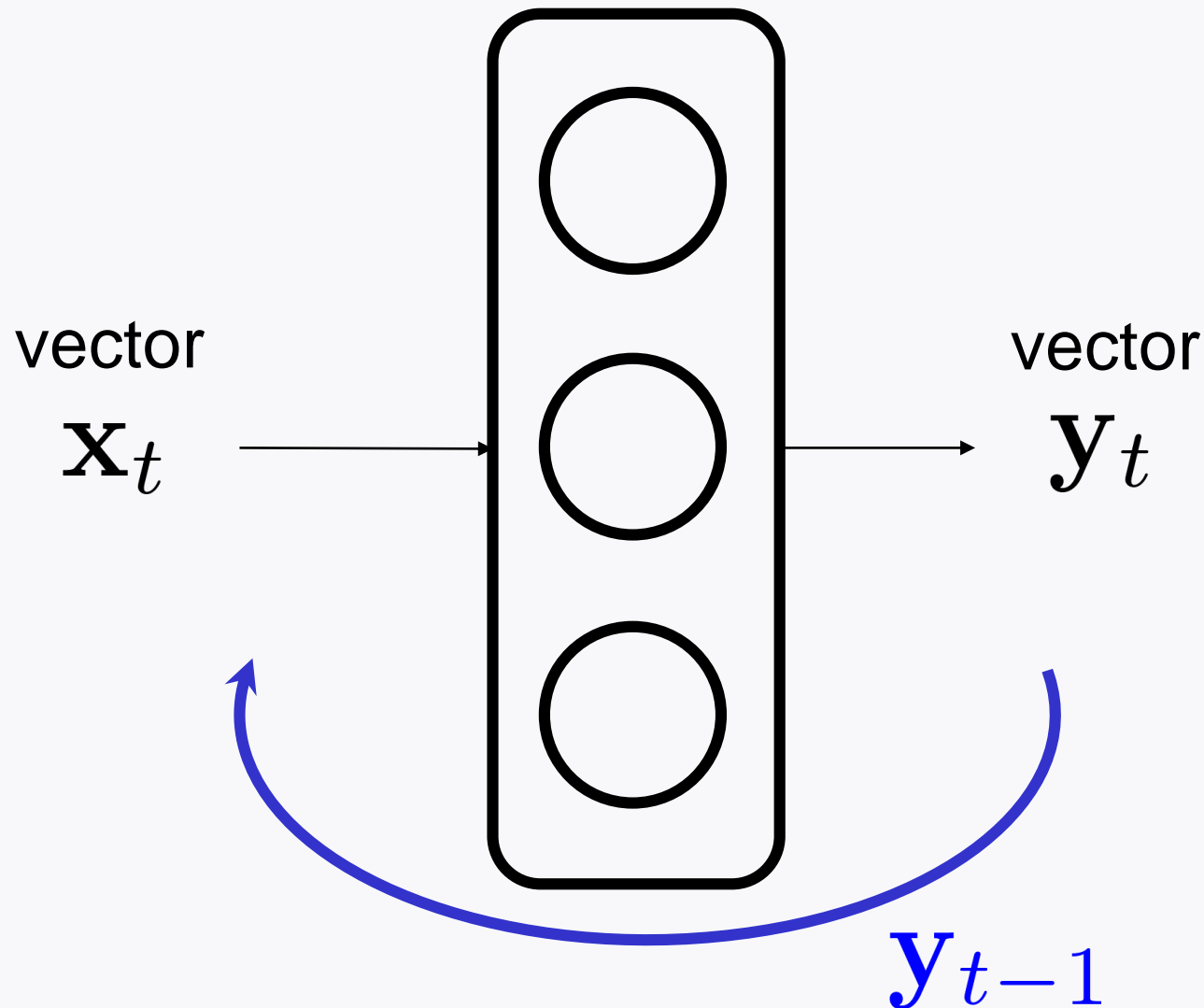


$$\mathbf{y}_t = \phi \left( \mathbf{W}_x \mathbf{x}_t + \mathbf{W}_y \mathbf{y}_{t-1} + \mathbf{b} \right)$$

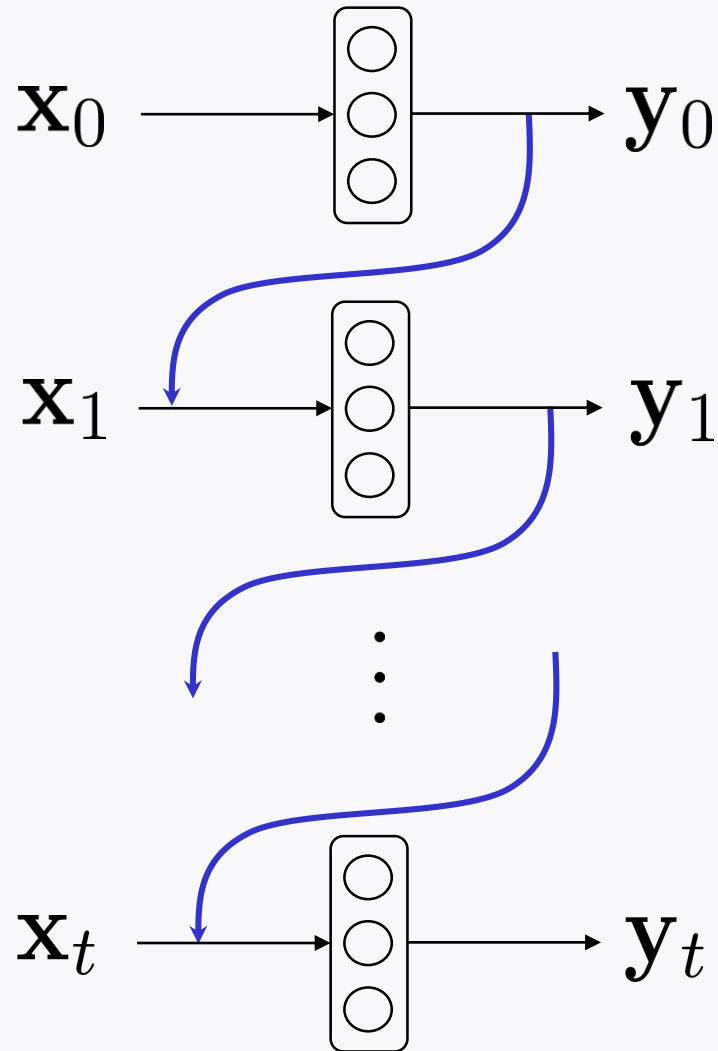
$\mathbf{W}_x \in \mathbf{R}^{n_1 \times n}$        $\mathbf{W}_y \in \mathbf{R}^{n_1 \times n_1}$

feedback  $\mathbf{y}_{t-1}$

# Recurrent neurons: *Simplified* description

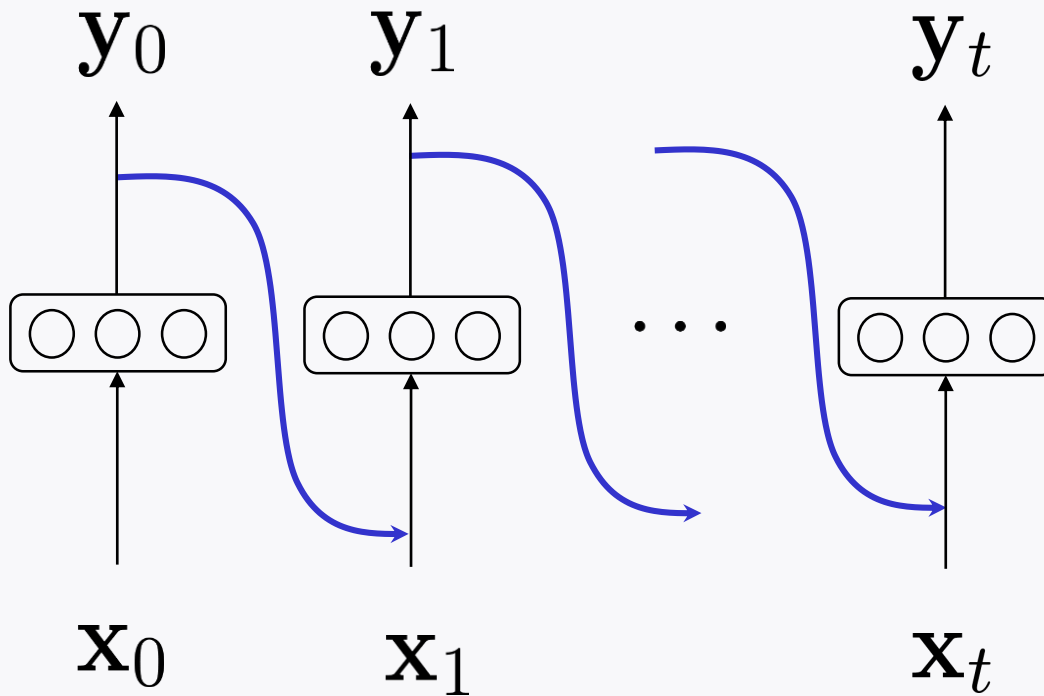


# Recurrent neurons: *Unrolled* version





# Another representation



# A memory cell

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An entity that preserves some state  $\mathbf{h}_t$  (memory).

Simply called a cell.

**A basic cell:** A cell such that **state = output**

$$\mathbf{h}_t = \mathbf{y}_t$$

**Basic RNNs:** RNNs with basic cells.

# Look ahead

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**Next lecture:** Will explore details on basic RNNs.