

## 8 Recurrence Relations

S/ The number of bacteria in a colony doubles every hour. If a colony starts with 5 bacteria, how many will be present in  $n$  hours?

model:  $a_n = 2a_{n-1}$  and  $a_0 = 5$

solution: how to find explicit formula?

Defn 8 A recurrence relation for the sequence  $\{a_n\}$  is an equation that expresses  $a_n$  in terms of one or more of the previous terms of the sequence, namely  $a_0, a_1, \dots, a_{n-1}$  for all integers  $n$  with  $n \geq n_0$  where  $n_0$  is a non-negative integer.

Ex/ Determine whether the sequence  $\{a_n\}$ , where  $a_n = 3n$  for every non-negative integer  $n$ , is a solution of the recurrence relation  $a_n = 2a_{n-1} - a_{n-2}$  for  $n = 2, 3, 4, \dots$  (True / False)

Soln: Suppose that  $a_n = 3n$  So  $a_n = 2a_{n-1} - a_{n-2}$

$$\begin{aligned} &= 2(3(n-1)) - 3(n-2) \\ &= 3n \quad \checkmark \end{aligned}$$

Ex/ Some question  $a_n = 5$  is a solution of the rec. rel.  
 $a_n = 2a_{n-1} - a_{n-2}$  (True / False)

Soln: Suppose that  $a_n = 5$  So  $a_n = 2a_{n-1} - a_{n-2}$

$$\begin{aligned} &= 2(5) - (5) = 5 \quad \checkmark \end{aligned}$$

Ex/ Some question  $a_n = 2^n$  is a solution of the rec. rel.  
 $a_n = 2a_{n-1} - a_{n-2}$  (True / False)

Soln: Suppose that  $a_n = 2^n$  So  $a_n = 2a_{n-1} - a_{n-2}$

$$\begin{aligned} &= 2 \cdot 2^{n-1} - 2^{n-2} = 3 \cdot 2^{n-2} \quad \text{not true.} \\ a_0 = 1, a_1 = 2, a_2 = 4 \neq 3 \end{aligned}$$

The initial conditions for a seq. specify the terms 90 that precede the first term where the rec. rel. takes effect. The rec. rel. and initial conditions uniquely determine ~~the~~ a seq.

### (\*) Modeling with Rec. Rel.

Ex/ Suppose that a person deposits 1000 USD in a saving account at a bank yielding 11% per year with interest compounded annually. How much will be in the account after 30 years?

Solu:  $P_n$ : denote the amount in the account after  $n$  years

$$P_n = P_{n-1} + 0.11 \cdot P_{n-1} = 1.11 \cdot P_{n-1}$$

$$\underline{P_0 = 1000 \text{ (USD)}}$$

$$P_1 = 1.11 \cdot P_0$$

$$P_2 = 1.11 \cdot P_1 = (1.11)^2 \cdot P_0$$

$$P_3 = 1.11 \cdot P_2 = (1.11)^3 \cdot P_0$$

⋮ ⋮

$$P_n = (1.11)^n \cdot P_0$$

By Math. Ind. you can show validity of the formulae

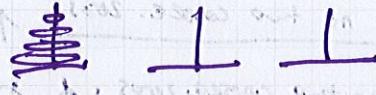
$$\text{So } P_n = (1.11)^n \cdot 1000$$

$$P_{30} = (1.11)^{30} \cdot 1000 = 22892.297$$

Ex/ A young pair of rabbits (one of each sex) is placed on an island. A pair of rabbits does not breed until they are 2 months old. After they are 2 months old, each pair of rabbits produces another pair each month. Find a recurrence relation for the number of pairs of rabbits on the island after  $n$  months, (assuming that no rabbits ever die) 31

Soln: To find the number of pairs after  $n$  months add the number of the prev. month  $f_{n-1}$  and the " " newborn pairs, which is  $f_{n-2}$   
 So  $f_n = f_{n-1} + f_{n-2}$  for  $n \geq 3$   
 $f_1 = 1$   
 $f_2 = 1$

Ex/ Tower of Hanoi:



Initially these disks are placed on the 1<sup>st</sup> peg in order of size with the largest on the bottom. The rules of the puzzle allow disks to be moved one at a time from one peg to another as long as a disk is never placed on top of a smaller disk. The goal of the puzzle is to have all the disks on the second peg in order of size, with largest on the bottom.

Let  $H_n$  denote the number of moves needed to solve the Tower of Hanoi problem with  $n$  disks. Set up a rec. rel. for  $\{H_n\}$ .

Soln: Transfer  $n-1$  disks to peg 3 ( $H_{n-1}$  moves)

Put largest disk to peg 2

Transfer  $n-1$  disks to peg 2 ( $H_{n-1}$  moves)

So

$$H_n = 2H_{n-1} + 1$$

initial cond  $\rightarrow H_1 = 1$



1 disk directly to peg 2

We can use an iterative approach to solve rec. rel.

$$\begin{aligned}
 H_n &= 2H_{n-1} + 1 \\
 &= 2(\underbrace{2H_{n-2} + 1}_{2^2 H_{n-2} + 2 + 1}) + 1 = 2^2 H_{n-2} + 2 + 1 + 1 \\
 &= 2^2 (\underbrace{2H_{n-3} + 1}_{2^3 H_{n-3} + 2 + 2 + 1}) + 2 + 1 = 2^3 H_{n-3} + 2^2 + 2 + 1 \\
 &\vdots \\
 &= 2^{n-1} H_1 + 2^{n-2} + 2^{n-3} + \dots + 2 + 1 \\
 &= \underbrace{2^{n-1} + 2^{n-2} + \dots + 2 + 1}_x \quad 2 \times - X = 2^n - 1 \\
 &= 2^n - 1
 \end{aligned}$$

Ex/ Find a recur. rel. and give initial cond. for the number of bit strings of length  $n$  that do not have two consecutive 0s.

Soln: ~~These~~ These bit strings may end with 1 OR 0

$$\begin{array}{ll}
 \text{Ending with 1 : } & \boxed{\text{no two conse. zeros}} \ 1 \quad a_{n+1} \\
 \text{ending with 0 : } & \boxed{\text{no two conse. zeros}} \ 0 \quad a_{n-2}
 \end{array}$$

$$\text{Total} \rightarrow a_n = a_{n-1} + a_{n-2}$$

model

$$\begin{array}{ll}
 a_1 = 2 & \{0, 1\} \\
 a_2 = 3 & \{01, 10, 11\}
 \end{array}
 \left. \begin{array}{l} \\ \end{array} \right\} \text{initial conditions}$$

### Solving Linear Rec. Rel.<sup>5</sup>

Defn: A linear homogeneous rec. rel. of degree  $k$  with constant coefficients is a rec. rel. of the form.

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$$

where  $c_1, c_2, \dots, c_k$  are real numbers and  $c_k \neq 0$

The rec. rel. in the defn. is ~~non-homogeneous~~ because no terms occur that are not multiples of the a<sub>n</sub>s (93)

The degree is k because a<sub>n</sub> is expressed in terms of the previous k terms of the seqn.

Ex/  $P_n = (1, 1) P_{n-1}$  linear homogeneous rec. rel. degree=1

$f_n = f_{n-1} + f_{n-2}$  linear " " " " deg = 2

$a_n = a_{n-5}$  linear " " " " deg = 5

$a_n = a_{n-1} + a_{n-2}^2$  not linear

$H_n = 2 H_{n-1} + 1$  not homogeneous

$B_n = n B_{n-1}$  does not have constant coeff.

\* Linear homogeneous rec. rels are studied since they often appear in modeling problems AND they can be solved systematically. \*

Basic Approach for solving lin. homogeneous rec. rels  
look for a solution of the form  $a_n = r^n$  where r is const.

$$\text{So } a_n = r^n = \underbrace{c_1 r^{n-1} + c_2 r^{n-2} + \dots + c_k r^{n-k}}_{r^{n-k}}$$

$$\text{So } r^k - c_1 r^{k-1} - c_2 r^{k-2} - \dots - c_k = 0 \text{ char. eqn}$$

We find the characteristic roots of char. eqn

Let's focus on degree = 2 //

Thm: Let  $c_1, c_2$  real numbers. Suppose  $r^2 - c_1 r - c_2 = 0$  has two distinct roots  $r_1$  and  $r_2$ . Then  $\{a_n\}$  is a soln. of the rec. rel.  $a_n = c_1 a_{n-1} + c_2 a_{n-2}$  iff.

$$a_n = \alpha_1 r_1^n + \alpha_2 r_2^n \text{ where } \alpha_1 \text{ and } \alpha_2 \text{ are constants}$$

Ex: what is the solution of the rec. rel.  $a_n = a_{n-1} + 2a_{n-2}$  with  $a_0 = 2$  and  $a_1 = 7$ ?

Soln: Char. eqn:  $r^2 - r - 2 = 0$

$$\text{Roots } r_1 = 2 \text{ and } r_2 = -1$$

$$\text{Hence } a_n = \alpha_1 2^n + \alpha_2 (-1)^n$$

Find  $\alpha_1, \alpha_2$  with given init. cond's.

$$a_0 = 2 = \alpha_1 + \alpha_2$$

$$a_1 = 7 = 2\alpha_1 - \alpha_2$$

$$9 = 3\alpha_1 \quad \alpha_1 = 3$$

$$\text{so } \alpha_2 = -1$$

$$\text{So } \boxed{a_n = 3 \cdot 2^n - (-1)^n} //$$

Ex/ Find an explicit formula for the Fib. Nums  $f_n = f_{n-1} + f_{n-2}$

$$f_0 = 0 \quad f_1 = 1$$

Soln: Char. eqn:  $r^2 - r - 1 = 0$

$$\text{char. roots: } r_1 = \frac{1+\sqrt{5}}{2} \quad r_2 = \frac{1-\sqrt{5}}{2}$$

$$\text{Hence } a_n = \alpha_1 \left( \frac{1+\sqrt{5}}{2} \right)^n + \alpha_2 \left( \frac{1-\sqrt{5}}{2} \right)^n$$

$$f_0 = 0 = \alpha_1 + \alpha_2$$

$$f_1 = 1 = \alpha_1 \left( \frac{1+\sqrt{5}}{2} \right) + \alpha_2 \left( \frac{1-\sqrt{5}}{2} \right) \quad \left. \begin{array}{l} \alpha_1 = \frac{1}{\sqrt{5}} \\ \alpha_2 = -\frac{1}{\sqrt{5}} \end{array} \right\}$$

$$\text{So } f_n = \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left( \frac{1-\sqrt{5}}{2} \right)^n //$$

Thm-2: Let  $c_1, c_2$  be real num. with  $c_2 \neq 0$ . Suppose (95)  
 $r^2 - c_1 r + c_2 = 0$  has only one root  $r_0$ . A seqe  $\{a_n\}$  is  
a solution of the rec. rel.  $a_n = c_1 a_{n-1} + c_2 a_{n-2}$  iff  
 $a_n = \alpha_1 r_0^n + \alpha_2 n r_0^n$  where  $\alpha_1, \alpha_2$  are constants.

Ex/ what is the soln. of rec. rel.  $a_n = 6a_{n-1} - 9a_{n-2}$   $\frac{a_0=1}{a_1=6}$

Soln: char. eqn:  $r^2 - 6r + 9 = 0$  root  $r = 3$

Soln. format:  $a_n = \alpha_1 3^n + \alpha_2 n 3^n$

$$\begin{aligned} a_0 &= 1 = \alpha_1 + 0 \\ a_1 &= 6 = \alpha_1 3 + 3\alpha_2 \cdot 3 \end{aligned} \quad \left. \begin{array}{l} \alpha_1 = 1 \\ \alpha_2 = 1 \end{array} \right\}$$

So  $a_n = 3^n + n 3^n$

Thm-3: Let  $c_1, c_2, \dots, c_k$  be real num. Suppose that

$r^k - c_1 r^{k-1} - \dots - c_k = 0$  has  $k$  distinct roots  $r_1, r_2, \dots, r_k$ .

Then a seqe  $\{a_n\}$  is a soln. of the rec. rel.

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} \text{ iff.}$$

$$a_n = \alpha_1 r_1^n + \alpha_2 r_2^n + \dots + \alpha_k r_k^n \text{ where } \alpha_1, \dots, \alpha_k \text{ are constants}$$

Ex/ Find soln of  $a_n = 6a_{n-1} - 11a_{n-2} + 6a_{n-3}$   $a_0=2$   $a_1=5$   $a_2=15$

Soln: char eqn:  $r^3 - 6r^2 + 11r - 6 = 0$

roots:  $r_1 = 1$   $r_2 = 2$   $r_3 = 3$

Soln format:  $a_n = \alpha_1 1^n + \alpha_2 2^n + \alpha_3 3^n$

$$\begin{aligned} a_0 &= 2 = \alpha_1 + \alpha_2 + \alpha_3 \\ a_1 &= 5 = \alpha_1 + 2\alpha_2 + 3\alpha_3 \\ a_2 &= 15 = \alpha_1 + 4\alpha_2 + 9\alpha_3 \end{aligned} \quad \left. \begin{array}{l} \alpha_1 = 1 \\ \alpha_2 = -1 \\ \alpha_3 = 2 \end{array} \right\}$$

So  $a_n = 1 - 2 + 2 \cdot 3^n //$

Thm-4: Let  $c_1, c_2, \dots, c_k$  be real numbers. Suppose that (96) the char. eqn.  $r^k - c_1 r^{k-1} - \dots - c_k = 0$  has  $t$  distinct roots  $r_1, r_2, \dots, r_t$  with multiplicities  $m_1, m_2, \dots, m_t$  resp. so that  $m_1 + m_2 + \dots + m_t = k$ . Then a seqce  $\{a_n\}$  is a soln. of the rec. rel  $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$  iff.

$$a_n = (\alpha_{1,0} + \alpha_{1,1} n + \dots + \alpha_{1,m_1-1} n^{m_1-1}) r_1^n + \\ (\alpha_{2,0} + \alpha_{2,1} n + \dots + \alpha_{2,m_2-1} n^{m_2-1}) r_2^n + \dots + \\ (\alpha_{t,0} + \alpha_{t,1} n + \dots + \alpha_{t,m_t-1} n^{m_t-1}) r_t^n$$

where  $\alpha_{ij}$  are constants for  $1 \leq i \leq t$  and  $0 \leq j \leq m_i - 1$

Ex/ Suppose that the roots of the char. eqn. of a lin. homogeneous rec. rel are 2, 2, 2, 5, 5, and 9. what is the form of the gen. soln.

Soln:  $(\alpha_{1,0} + \alpha_{1,1} n + \alpha_{1,2} n^2) 2^n + (\alpha_{2,0} + \alpha_{2,1} n) 5^n + \alpha_{3,0} 9^n$

Ex/ Find the soln. of the rec. rel.  $a_n = -3a_{n-1} - 3a_{n-2} - a_{n-3}$

with  $a_0 = 1$   $a_1 = -2$   $a_2 = -1$

Soln: char. eqn.:  $r^3 + 3r^2 + 3r + 1 = 0 = (r+1)^3$  so  $r = -1$

$$a_n = \underline{\alpha_{1,0} (-1)^n} + \underline{\alpha_{1,1} n (-1)^n} + \underline{\alpha_{1,2} n^2 (-1)^n}$$

$$\left. \begin{array}{l} a_0 = 1 = \alpha_{1,0} + 0 + 0 \\ a_1 = -2 = -\alpha_{1,0} - \alpha_{1,1} - \alpha_{1,2} \\ a_2 = -1 = \alpha_{1,0} + 2\alpha_{1,1} + 4\alpha_{1,2} \end{array} \right\} \begin{array}{l} \alpha_{1,0} = 1 \\ \alpha_{1,1} = 3 \\ \alpha_{1,2} = -2 \end{array}$$

So  $a_n = (-1)^n (1 + 3n - 2n^2)$

# (\*) Linear Non-homogeneous Rec. Rel. with Const. Coeff's

(97)

Rec.  
Rel. Form:  $a_n = C_1 a_{n-1} + C_2 a_{n-2} + \dots + C_k a_{n-k} + F(n)$

↳ associated homogeneous rec. rel. ↳  
 $a_n = C_1 a_{n-1} + C_2 a_{n-2} + \dots + C_k a_{n-k}$

Thm-5: If  $\{a_n^{(P)}\}$  is a particular soln. of the Non-homogeneous lin. rec. rel. with constant coeffs.  $a_n = C_1 a_{n-1} + \dots + C_k a_{n-k} + F(n)$  then every soln. is of the form  $\{a_n^{(P)} + a_n^{(H)}\}$  where  $\{a_n^{(H)}\}$  is a soln. of the associated homogeneous rec. rel.

Thm-6: Suppose that  $\{a_n\}$  satisfies the lin. non-homogeneous rec. rel  
 $a_n = C_1 a_{n-1} + \dots + C_k a_{n-k} + F(n)$  where  $C_1, \dots, C_k$  are real numbers and  $F(n) = (b_t n^t + b_{t-1} n^{t-1} + \dots + b_1 n + b_0) S^n$  where  $b_0, \dots, b_t$  and  $s$  are real numbers

case 1: (when  $s$  is not a root of char. egn)

$a_n^{(P)}$  is a particular soln. of the form  $(p_t n^t + p_{t-1} n^{t-1} + \dots + p_1 n + p_0) S^n$

case 2: (when  $s$  is a root of <sup>assoc.</sup> char. egn with multiplicity  $m$ )

$a_n^{(P)}$  is a particular soln. of the form  $\underline{n^m} (p_t n^t + \dots + p_1 n + p_0) S^n$

Ex/ What form does a particular soln. of lin. non-homogeneous rec. rel.

$$a_n = 6a_{n-1} - 9a_{n-2} + F(n)$$

a)  $F(n) = 3^n$       b)  $F(n) = n 3^n$       c)  $F(n) = n^2 2^n$       d)  $F(n) = (n^2 + 1) 3^n$

Soln: char Egn:  $r^2 - 6r + 9 = 0 = (r-3)^2$        $r=3$  with multiplicity two

a)  $a_n^{(P)}$  format:  $p_0 n^2 3^n$       b)  $a_n^{(P)}$  format:  $n^2 (p_1 n + p_0) 3^n$

c)  $a_n^{(P)}$  format:  $(p_2 n^2 + p_1 n + p_0) 2^n$       d)  $a_n^{(P)}$  format:  $n^2 (p_2 n^2 + p_1 n + p_0) 3^n$

! Care must be taken when  $s=1$  ! Think about it

Ex/  $a_n = \sum_{k=1}^n k = a_{n-1} + n$   $a_1 = 1$   
 linear non-homogeneous rec. rel.

Soln: Assoc. lin. homogeneous rec. rel.  $a_n = a_{n-1}$

$$r - 1 = 0 \Rightarrow r = 1 \quad a_n^{(h)} = C \cdot (1)^n = C //$$

By Thm-6  $F(n) = n = n \cdot (1)^n$

particular soln. form  $a_n^{(p)} = n \cdot (p_1 n + p_0) = p_1 n^2 + p_0 n$

Insert into rec. rel.

$$p_1 n^2 + p_0 n = p_1 (n-1)^2 + p_0 (n-1) + n$$

$$\text{So } n(2p_1 - 1) + (p_0 - p_1) = 0$$

$$\text{So } 2p_1 - 1 = 0 \quad \text{and} \quad p_0 - p_1 = 0$$

$$\text{So } p_0 = p_1 = \frac{1}{2}$$

$$\text{So } a_n^{(p)} = \frac{n^2}{2} + \frac{n}{2} = \frac{n(n+1)}{2}$$

$$\text{So General Soln} = a_n^{(h)} + a_n^{(p)} = C + \underbrace{\frac{n(n+1)}{2}}_{\text{AND } a_1 = 1}$$

$$\text{So } C = 0$$

$$\text{So General Soln} = \frac{n(n+1)}{2} //$$

### (\*) Divide and Conquer Algorithms and Rec. Rel's

- Binary Search
- Merge Sort

Divide the problem into smaller size and solve smaller parts and merge the smaller solved instances to get the original size prob. soln.

Rec. Rel. Form :  $f(n) = a f(\lceil \frac{n}{b} \rceil) + g(n)$

Ex/ Binary Search search seqe of size  $n$  (sorted) (99)  
 Problem size reduces to half at each iteration

$$f(n) = f\left(\frac{n}{2}\right) + g(n)$$

Ex/ Merge Sort divide into two and sort them and merge

$$M(n) = 2 M\left(\frac{n}{2}\right) + n$$

Thm-1b: Let  $f$  be an increasing func. that satisfies the rec. rel.

$$f(n) = a f\left(\frac{n}{b}\right) + c \quad b \in \mathbb{N}, b > 1, c \text{ pos. real num}$$

$$\text{Then } f(n) \text{ is } \begin{cases} O(n^{\log_b a}) & \text{if } a > 1 \\ O(\log n) & \text{if } a = 1 \end{cases}$$

$$\text{Furthermore, when } n = b^k \text{ pos. integer} \quad f(n) = C_1 n^{\log_b a} + C_2$$

$$\text{where } C_1 = f(1) + c/a-1 \quad C_2 = -c/a-1$$

Ex/ let  $f(n) = 5 f\left(\frac{n}{2}\right) + 3 \quad f(1) = 7 \quad \text{Find. } f(2^k)$

$$\text{Soh: } f(n) = \left(f(1) + \frac{3}{4}\right) \cdot n^{\log_2 5} + \left(-\frac{3}{4}\right)$$

$$f(2^k) = \left(\frac{31}{4}\right) (2^k)^{\log_2 5} + \left(-\frac{3}{4}\right)$$

$$= \frac{31}{4} \cdot 5^k + \frac{3}{4}$$

Thm-2b (Master theorem): Let  $f$  be an increasing func. that satisfies the recurrence relation  $f(n) = a f\left(\frac{n}{b}\right) + c n^d$ , whenever  $n = b^k$  where  $k$  is a pos. integer,  $a \geq 1$ ,  $b$  is an integer  $b > 1$  and  $c, d \in \mathbb{R}$ ,  $c$  positive and  $-d$  nonnegative. Then

$$f(n) \text{ is } \begin{cases} O(n^d) & \text{if } a < b^d \\ O(n^d \log n) & \text{if } a = b^d \\ O(n^{\log_b a}) & \text{if } a > b^d \end{cases}$$

Defn-1 8 The generating function for the sequence  $a_0, a_1, \dots, a_k, \dots$

of real numbers is the infinite series

$$G(x) = a_0 + a_1 x + a_2 x^2 + \dots = \sum_{k=0}^{\infty} a_k x^k$$

Ex/ The generating function for the seqe  $\{a_k\}$  with ~~ex~~ is

- a)  $a_k = 3$       b)  $a_k = k+1$       c)  $a_k = 2^k$

Soh:

a)  $\sum_{k=0}^{\infty} 3 x^k$       b)  $\sum_{k=0}^{\infty} (k+1) x^k$       c)  $\sum_{k=0}^{\infty} 2^k x^k$

Ex/ The generating function of 1, 1, 1, ... is  $f(x) = \frac{1}{(1-x)}$

since  $\frac{1}{1-x} = 1+x+x^2+\dots$  for  $|x| < 1$

Ex/ The gen. func. of 1, a,  $a^2$ ,  $a^3$  ... is  $f(x) = \frac{1}{(1-ax)}$

since  $\frac{1}{1-ax} = 1+ax+a^2x^2+a^3x^3+\dots$  for  $|ax| < 1$

Thm-1c: Let  $f(x) = \sum_{k=0}^{\infty} a_k x^k$        $g(x) = \sum_{k=0}^{\infty} b_k x^k$  Then

$$f(x)+g(x) = \sum_{k=0}^{\infty} (a_k+b_k) x^k \quad \text{and} \quad f(x)g(x) = \sum_{k=0}^{\infty} \left( \sum_{j=0}^k a_j b_{k-j} \right) x^k$$

(Thm-1c is valid only for power series that converge in an interval)

Thm-2c: Let  $x$  be a real number with  $|x| < 1$  and let  $u$  be a real num.

Then  $(1+x)^u = \sum_{k=0}^{\infty} \binom{u}{k} x^k$

Ex/ Solve the rec. rel.  $\boxed{a_k = 3a_{k-1}}$  for  $k=1, 2, 3$  and  $a_0 = 2$ .

Soh:  $G(x) = \sum_{k=0}^{\infty} a_k x^k = a_0 + a_1 x + a_2 x^2 + \dots$

$\cancel{x} G(x) = \sum_{k=0}^{\infty} a_k x^{k+1} = \sum_{k=1}^{\infty} a_{k-1} x^k$

$$G(x) - 3 \cancel{x} G(x) = \sum_{k=0}^{\infty} a_k x^k - 3 \sum_{k=1}^{\infty} a_{k-1} x^k = a_0 + \sum_{k=1}^{\infty} (a_k - 3a_{k-1}) x^k$$

$$\text{So } G(x) - 3x \cdot G(x) = (1-3x) G(x) = a_0 = 2$$

$$\text{So } G(x) = \frac{2}{1-3x}$$

Remember the Identity  $\frac{1}{1-ax} = \sum_{k=0}^{\infty} a^k x^k$

$$\text{So } G(x) = 2 \cdot \frac{1}{1-3x} = 2 \sum_{k=0}^{\infty} 3^k x^k = \sum_{k=0}^{\infty} \frac{2 \cdot 3^k}{a_k} x^k$$

$$\text{So } a_k = 2 \cdot 3^k //$$

$$\text{Let's check: } a_0 = 2 \quad a_1 = 3 \cdot a_0 = 6 \quad a_2 = 3 \cdot a_1 = 18$$

$$\text{Ex/ Solve the rec. rel. } a_n = 8a_{n-1} + 10^{n-1} \quad a_1 = 9, \quad a_0 = 1$$

Soh: Consider  $a_n x^n = 8a_{n-1} x^n + 10^{n-1} x^n$

$$\text{Consider the Gen. func. } G(x) = \sum_{n=0}^{\infty} a_n x^n = \underbrace{a_0}_1 + \underbrace{\sum_{n=1}^{\infty} a_n x^n}_{F(x)}$$

$$\begin{aligned} \text{So } G(x) - 1 &= \sum_{n=1}^{\infty} a_n x^n \\ &= \sum_{n=1}^{\infty} 8a_{n-1} x^n + 10^{n-1} x^n \\ &= 8 \times \sum_{n=1}^{\infty} a_{n-1} x^{n-1} + x \sum_{n=1}^{\infty} 10^{n-1} x^{n-1} \\ &= 8 \times \sum_{n=0}^{\infty} a_n x^n + x \sum_{n=0}^{\infty} 10^n x^n \\ &= 8 \times G(x) + x \frac{1}{1-10x} \end{aligned}$$

$$\text{So } G(x) - 1 = 8 \times G(x) + x \frac{1}{1-10x} \Rightarrow G(x) = \frac{1-9x}{(1-8x)(1-10x)}$$

$$\text{So } G(x) = \frac{1}{2} \left( \frac{1}{1-8x} + \frac{1}{1-10x} \right)$$

$$\text{Hence } a_n = \frac{1}{2} (8^n + 10^n)$$

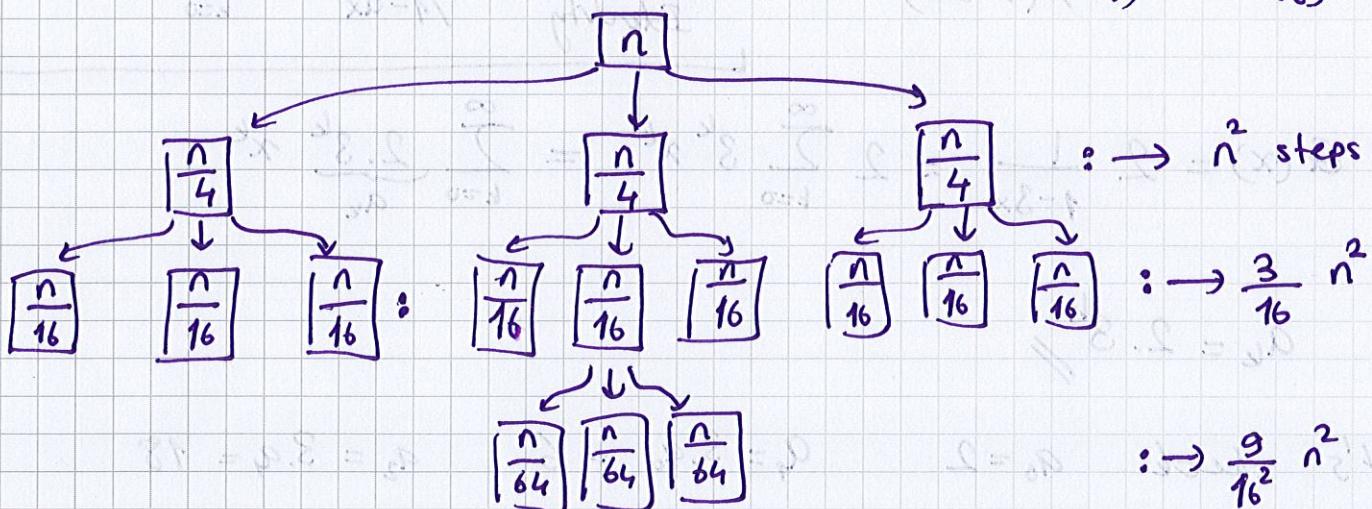
$$\begin{aligned} &= \frac{1}{2} \left( \sum_{n=0}^{\infty} 8^n x^n + \sum_{n=0}^{\infty} 10^n x^n \right) \\ &= \sum_{n=0}^{\infty} \underbrace{\frac{1}{2} (8^n + 10^n)}_{a_n} x^n \end{aligned}$$

## \* Substitution Method Recursion Tree

Consider

$$T(n) = 3 T\left(\frac{n}{4}\right) + n^2$$

$$T\left(\frac{n}{4}\right) = 3 T\left(\frac{n}{16}\right) + \frac{n^2}{16}$$



$$\underbrace{n^2 + \frac{3}{16} n^2 + \frac{9}{16^2} n^2 + \left(\frac{3}{16}\right)^3 n^2 + \dots}_{\text{Geometric Series}}$$

$$\begin{aligned} T(n) &= n^2 \left( 1 + \frac{3}{16} + \left(\frac{3}{16}\right)^2 + \left(\frac{3}{16}\right)^3 + \dots \right) \\ &= n^2 \left( \frac{1}{1 - \frac{3}{16}} \right) = n^2 \left( \frac{16}{13} \right) // \end{aligned}$$

$$\frac{3}{16} < 1 \quad \checkmark$$

## \* Substitution Method

$$\text{Solve } T(n) = 2 T\left(\frac{n}{2}\right) + 4n$$

$$T(1) = 4$$

$$\begin{aligned} T(2) &= 2 T\left(\frac{n}{2}\right) + 4n \\ &= 2 [2 T\left(\frac{n}{2^2}\right) + 4 \cdot \frac{n}{2}] + 4n \\ &= 2^2 T\left(\frac{n}{2^2}\right) + 4n + 4n \\ &= 2^2 [2 T\left(\frac{n}{2^3}\right) + 4 \cdot \frac{n}{2^2}] + 4n + 4n \\ &= 2^3 T\left(\frac{n}{2^3}\right) + 4n + 4n + 4n \\ &= 2^3 [2 T\left(\frac{n}{2^4}\right) + 4 \cdot \frac{n}{2^3}] + 4n + 4n + 4n \end{aligned}$$

$$\text{general} = 2^i T\left(\frac{n}{2^i}\right) + i(4n)$$

how many trees  
to get  $T(1)$

$$\frac{n}{2^i} = 1$$

$$\text{so } i = \log_2 n$$

so

$$T(n) = 2^{\log_2 n} T(1) + \log_2 n (4n) = 4n + 4n \log_2 n$$