



# Geometric measure theory

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# Chapter 1 Introduction

We will first introduce three questions in incidence geometry: the projection problem, the distance set problem, and the discrete Kakeya problem in  $\mathbb{R}^2$ . Let  $P$  be a discrete subset of  $\mathbb{R}^2$ .

**Problem 1.1 (Projection)** Let  $e \in S^1$ , and  $\pi_e$  be the projection onto the line  $l_e$ . We ask the upper bound on the number of  $e$  such that  $\pi_e(P) \leq \frac{n}{8}$ , given that  $P$  is a discrete set with  $|P| = n$ .

**Problem 1.2 (Distance set)** What is the lower bound the distance set  $\Delta(P)$

$$\Delta(P) = \{|p - p'| : p, p' \in P\}$$

**Problem 1.3 (Discrete Kakeya/Joints problem)** Given a set of  $m$  lines  $\mathcal{L}$ , such that each line  $l \in \mathcal{L}$  is  $m$ -rich, i.e.

$$|P \cap l| \geq m \text{ for each } l$$

Can we put a lower bound on the size of  $P$ .

We remind ourselves of a sharp bound regarding how the lines and points intersect. Let  $I(P, \mathcal{L}) = \{(p, l) \in P \times \mathcal{L} : p \in l\}$

## Theorem 1.1 (Szemerédi-Trotter theorem)

For any  $P \subset \mathbb{R}^2$ , and a finite set of lines, then we have

$$|I(P, \mathcal{L})| \lesssim (|P||\mathcal{L}|)^{\frac{2}{3}} + |\mathcal{L}| + |P|$$



We will prove a weaker result for some intuition, and gain some insight into the projection problem and the discrete Kakeya problem.

## Proposition 1.1 (Weaker S-T)

In  $\mathbb{R}^2$ , we have that

$$|I(P, \mathcal{L})| \lesssim 4 \min\{|P|^{\frac{1}{2}}|\mathcal{L}| + |P|, |\mathcal{L}|^{\frac{1}{2}}|P| + |\mathcal{L}|\} \quad (1.1)$$



Using Proposition 1.1, we get the following lower bound on the discrete Kakeya problem in  $\mathbb{R}^2$ .

## Corollary 1.1

we get that for a set of  $m$  lines such that each line intersects the point set  $P$  at least  $m$  times, we get that

$$|P| \gtrsim m^2$$



**Note** The distance set problem can be realized as intersections between points and circles, instead of points and lines.

We make a similar conjecture in  $\mathbb{R}^n$ , for  $m^{n-1}$  lines such that each line intersects the point set  $P$  at least  $m$  times, then we should have

$$|P| \gtrsim m^n$$

This statement fails for  $\mathbb{R}^3$ . Yet we could enforce some assumption to push to a nicer result.

## Theorem 1.2 (G-N, Joints Problem)

For a set of  $m^2$  lines such that no more than  $m$  lines lie in the same plane, and each line intersects the point set  $P$  at at least  $m$  points, then we have

$$|P| \gtrsim m^3$$

(This is in fact a conjecture by Bourgain and a corollary to the Joints problem in  $\mathbb{R}^3$ ).




We now prove Proposition 1.1. **unfinished here**

We now give some general bounds on the size of  $\Delta(P)$  given that  $|P| = n$ .

**Exercise 1.1** For a given  $n \in \mathbb{N}$ , there exists a set  $P$  such that  $|\Delta(P)| \lesssim n$ , for example, the set of  $n$  points arranged on a straight line.

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 **Exercise 1.2** We now get some general lower bound on  $\Delta(P)$ . We can show  $|\Delta(P)| \gtrsim n^{\frac{1}{2}}$ . Consider two distinct points  $p_1, p_2$ , if we show that either

$$|\{|p_1 - p| : p \in P\}| \gtrsim n^{\frac{1}{2}} \text{ or } |\{|p_2 - q| : q \in P\}| \gtrsim n^{\frac{1}{2}}$$

WLOG, assume  $p_1$  has that

$$|\{|p_1 - q| : q \in P\}| \lesssim n^{\frac{1}{2}} \tag{1.2}$$

Then we would like to show that

$$|\{|p_2 - q| : q \in P\}| \gtrsim n^{\frac{1}{2}}$$

If the equation 1.2 is true, then there exists a distance  $r$  such that

$$|Q| = |\{q \in P : |p_1 - q| = r\}| \gtrsim n^{\frac{1}{2}}$$


And for  $p_1 \neq p_2$ , we have

$$|\{|p_2 - q| : q \in Q\}| \gtrsim n^{\frac{1}{2}}$$

## Chapter 2 Dimensions

We now discuss some ways of measuring size of fractal sets.

### Definition 2.1

Given a bounded set  $E$ , we define its  $\delta$ -covering number  $|E|_\delta$  as the smallest number of  $\delta$ -balls needed to cover  $E$ . 

We note that as  $\delta \rightarrow 0$ ,  $|E|_\delta \rightarrow \infty$ , so does  $\frac{1}{\delta}$ , hence comparing the rate of increase between the two gives us the Minkowski dimension (box counting dimension).

**Example 2.1** Let  $f : (X, d) \rightarrow (Y, d')$  is biLipschitz, if there exists a constant  $C$  such that

$$C^{-1}d'(f(x), f(y)) \leq d(x, y) \leq Cd'(f(x), f(y))$$


Let  $f : [0, 1]^n \rightarrow \mathbb{R}^n$  be biLipschitz, where  $E = f([0, 1]^n)$ , then we have

$$C^{-1}E \leq |[0, 1]^n| \leq CE$$

Hence  $[0, 1] \sim E$ , and  $|E|_\delta \sim \delta^{-n}$ .

### Definition 2.2 (Upper and Lower Minkowski's dimension)

Let  $E$  be a bounded set in  $\mathbb{R}^n$ , and  $|E|_\delta$  be the  $\delta$ -covering number, then we define the upper and lower Minkowski dimension as follows:

$$\overline{\dim}_B(E) = \limsup_{\delta \rightarrow 0} \frac{\log(|E|_\delta)}{\log(1/\delta)}, \underline{\dim}_B(E) = \liminf_{\delta \rightarrow 0} \frac{\log(|E|_\delta)}{\log(1/\delta)}$$


**Example 2.2** The countable set  $E = \mathbb{Q} \cap [0, 1]$ , has Lebesgue measure 0, and has Minkowski dimension:

$$\dim_B(E) = \lim_{\delta \rightarrow 0} \frac{\log(\delta^{-1})}{\log(\delta^{-1})} = 1$$

**Example 2.3** The set  $E = \{\frac{1}{n} : n \in \mathbb{N}\}$  has Minkowski dimension: for every  $\frac{1}{n}$ , it could be covered by a  $\delta = n^{-2}$ -length disjoint interval, hence

$$\dim_B(E) = \lim_{\delta \rightarrow 0} \frac{\log(n)}{\log(n^2)} = \frac{1}{2}$$


**Example 2.4** The set  $E = \{\frac{1}{2^n} : n \in \mathbb{N}\}$  is “too sparse” of a fractal so its box counting dimension is the same as the topological dimension.


$$\dim_B(E) = \lim_{\delta \rightarrow 0} \frac{\log(n)}{\log(2^n)} = \lim_{n \rightarrow \infty} \frac{\log(n)}{n \log(2)} = 0$$

One could generalize this to get any set  $E = \{a^{-n} : n \in \mathbb{N}\}$  has Minkowski dimension 0.

**Example 2.5** The Cantor set, splits into  $2^n$  intervals of length  $\frac{1}{3^n}$ .

$$\dim_B(E) = \lim_{\delta \rightarrow 0} \frac{\log(2^n)}{\log(3^n)} = \frac{\log(2)}{\log(3)}$$

 **Note** Minkowski dimension does not always exist if the upper or lower Minkowski dimensions don't agree, and it does not work with unbounded sets  $E$ .

 **Note** The example 2.2 has Minkowski dimension 1, but it is a countable set, hence we would like to assign it measure 0.

$$\dim \cup_i E_i = \sup_i \dim E_i$$

To address the above two concerns, we introduce the Hausdorff dimension. We do it in three steps: introduce an up-to- $\delta$ -cover  $\{U_j\}$ , construct Hausdorff  $\delta$ -measure, and letting  $\delta \rightarrow 0$ .

## 2.0.1 Hausdorff measure

### Definition 2.3 ( $s$ -dim Hausdorff measure)

Fix  $s \geq 0$ , and  $\delta \in (0, \infty]$ , given a set  $E \subset \mathbb{R}^n$ , an “up-to- $\delta$ ”-cover of  $E$  is a **countable** family of sets  $\{U_j\}_{j \in \mathbb{N}}$  such that

$$E \subset \cup_j U_j, \text{diam}(U_j) \leq \delta, \text{ for all } j$$

And an  $s$ -dimensional Hausdorff  $\delta$ -measure of the set  $E$  is

$$H_\delta^s(E) = \inf \left\{ \sum_j \text{diam}(U_j)^s, \{U_j\}_j \text{ is an up-to-}\delta\text{-cover of } E \right\}$$

Finally, the  $s$ -dimensional Hausdorff measure of  $E$  is

$$H^s(E) = \lim_{\delta \rightarrow 0} H_\delta^s(E)$$



**Remark** The limit is well justified since as  $\delta \rightarrow 0$ ,  $H_\delta^s(E)$  is an increasing function.

There are many nice properties regarding the Hausdorff measure, for example,  $n$ -dim Hausdorff measure agrees with the  $n$ -dim Lebesgue measure, and there is a unique number such that the Hausdorff measure stops being  $\infty$ , and equivalently drops to zero. Hence based on this observation, we introduce the Hausdorff dimension of a set  $E$ .

### Definition 2.4 (Hausdorff dimension)

For a set  $E \subset \mathbb{R}^n$ , we have

$$\dim_H(E) = \sup\{s : H^s(E) = \infty\} = \inf\{s : H^s(E) = 0\}$$



Before anything, we first check that the  $s$ -dimensional Hausdorff measure defined above is indeed a measure.

### Proposition 2.1

For  $s \geq 0$ , the  $s$ -dimensional measure is indeed a measure.



**Proof** We have that  $\mu(\emptyset) = 0$ , and  $\mu(E) \geq 0$  for all  $E$ . Finally we check the measure is countably additive. For  $\{E_j\}_{j \in \mathbb{N}}$  disjoint sets, we consider  $E = \cup_j E_j$ , as  $\delta \rightarrow 0$ , (or for  $\delta$  sufficiently small, given  $E_j$ 's are disjoint), all the up-to- $\delta$ -covers are disjoint, hence

$$H_\delta^s(\cup_j E_j) = \sum_j H_\delta^s(E_j)$$

And letting  $\delta \rightarrow 0$ , we get

$$H^s(\cup_j E_j) = \sum_j H^s(E_j)$$

□

### Proposition 2.2

The following are basic facts about the Hausdorff measure:

1. for  $n \in \mathbb{N}$ , let  $m$  be the  $n$ -dim Lebesgue measure, there exists a constant  $C$  such that

$$C^{-1}H^n(E) \leq m(E) \leq CH^n(E)$$

2.  $H^s(E)$  is a nonincreasing function of  $s$ .

3. For  $0 \leq s_1 < s_2 < \infty$

$$\text{either } H^{s_1}(E) = \infty \text{ or } H^{s_2}(E) = 0$$

4. For  $s > n$ , and  $E \subset \mathbb{R}^n$ , we have that

$$H^s(E) = 0$$



5. For  $E \subset \mathbb{R}^n$ , and  $s \geq 0$ , we have that

$$H^s(E) = 0 \iff H_\infty^s(E) = 0$$

**Example 2.6** For a set  $E \subset \mathbb{R}^n$ , we have that the  $n$ -dimensional Hausdorff measure should agree with the standard Lebesgue measure on  $\mathbb{R}^n$ . For if  $E$  is unbounded, then  $m(E) = \infty$ , and

**Exercise 2.1** We have that for  $f : A \rightarrow \mathbb{R}^m$ ,  $A \subset \mathbb{R}^n$ , for a fixed  $s \geq 0$ , and  $f$  is Lipschitz with Lipschitz constant  $L$ , we have that

$$H^s(f(A)) \lesssim_L H^s(A)$$

This can be shown that

**Proposition 2.3**

The Hausdorff measure is monotone: for  $E_1 \subset E_2$ , we have that

$$H^s(E_1) \leq H^s(E_2)$$

**Proof** For  $E_1 \subset E_2$ , for each  $\delta$ , an up-to- $\delta$ -cover of  $E_2$  is also an up-to- $\delta$  cover of  $E_1$ , and hence taking the infimum, we get that  $H^s(E_1) \leq H^s(E_2)$ . □

**Proposition 2.4**

The Hausdorff dimension satisfies that the dimension is a local property:

$$\dim(\cup_j E_j) = \sup_j \dim(E_j)$$

**Proof** We would like to show that  $H^s(\cup_j E_j) = \infty$  if and only if  $\sup_j H^s(E_j) = \infty$ , and similarly,  $H^s(\cup_j E_j) = 0$  if and only if  $\sup_j H^s(E_j) = 0$ .

This is a total of 4 directions. By monotonicity, two directions are shown:

$$\sup_j H^s(E_j) = \infty \Rightarrow H^s(\cup_j E_j) = \infty$$

Moreover,

$$H^s(\cup_j E_j) = 0 \Rightarrow \sup_j H^s(E_j) = 0$$

Moreover, by  $H^s$  being a measure, if we have  $\sup_j H^s(E_j) = 0$ , then all  $H^s(E_j) = 0$  for all  $j$ , thus

$$H^s(\cup_j E_j) \leq \sum_j H^s(E_j) = 0$$

Now it remains to show that **what**

Now we justify the usage of  $H^s$ , instead of just working  $H_\delta^s$ .

**Exercise 2.2** For  $0 \leq s \leq 1, n \geq 2$ , we have

$$H_2^s(B_1) = H_2^s(\overline{B_1}) = H_2^s(\partial(B_1))$$

We see that

$$H_2^s(B) = H_2^s(\overline{B}) = 2$$

Then  $H_2^s(\partial B) = 0$  if  $\overline{B}$  was indeed measurable. But for  $0 \leq s \leq 1$ , it is more reasonable to cover  $\overline{\partial B}$  with bigger covers.

Hence we work with  $H^s$  to get a Borel regular measure. Recall the following definitions.

**Definition 2.5**

A measure  $\mu$  is a Borel measure if all Borel sets are  $\mu$ -measurable. Moreover,  $\mu$  is called Borel regular if for any Borel set  $A$ , there exists another Borel set  $B$  such that  $B \subset A$ , and  $\mu(A) = \mu(B)$ .

With our construction, we claim that the Hausdorff measure  $H^s$  for any  $s > 0$  is a Borel regular measure.

### Proposition 2.5

$H^s_\delta$  is a Borel regular measure.



**Proof** We first accept the fact that every Borel set is  $H^s$ -measurable. We show that  $H^s$  is Borel-regular. For a Borel set  $A$ , we would like to approximate it by “fattening up” the covers. For each  $n$ , let  $B_n := \cup_j E_{n,j}$  be a cover of  $A$ , and such that  $\sum_j (\text{diam}(E_{n,j}))^s \leq H^s_{\frac{1}{n}}(A) + \frac{1}{n}$ . Then if we take  $B = \cap_n B_n$ , we have that  $A \subset B$ , and  $H^s(A) = \cap_n H^s_{\frac{1}{n}}(A) \geq \sum_j (\text{diam}(E_{n,j}))^s - \frac{1}{n} \geq \cap_n H^s_{\frac{1}{n}}(B_n) - \frac{1}{n}$ , which by our construction, is  $H^s(B)$ . Then by monotonicity of  $H^s$ , we have that

$$H^s(A) = H^s(B)$$



**Note** The countably additivity of  $H^s$  comes from the fact that all Borel sets are  $H^s$ -measurable, and any measure is countably additive on its measurable sets.

This is page 12 on weak convergence of measures.

### Definition 2.6 (Weak convergence of measures)

Let  $\{\mu_j\}$  be a sequence of locally finite measures (they automatically assign finite measures to all compact sets), and we say  $\{\mu_j\}$  converges to  $\mu$  weakly if for all  $\varphi \in C_c(X)$ , we have

$$\lim_{j \rightarrow \infty} \int \varphi d\mu_j = \int \varphi d\mu$$



Our goal for tonight is to understand the proof of the Frostman Lemma.

### Lemma 2.1 (Frostman Lemma)

Assume  $E \subset \mathbb{R}^n$  is a compact set with  $H^s(E) > 0$ , then there exists a compactly supported Borel measure  $\mu$  with  $\text{supp}(\mu) \subset E$  and  $\mu(E) \gtrsim H^s_\infty(E)$ , and such that for all  $x \in \mathbb{R}^n, r > 0$ , we have

$$\mu(B(x, r)) \leq r^s$$

