

# **Functional Analysis**

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# **Contents**

1 Lecture 1		ture 1	1
	1.1	Lecture 2	3
	1.2	Lecture 3	4
	1.3	Lecture 4	6
	1.4	Lecture 5	8
	1.5	Lecture 6	10
	1.6	Lecture 7	13
	1.7	Lecture 8	13
	1.8	Lecture 9	16
	1.9	Lecture 10	18
	1.10	Lecture 12	23
	1.11	Lecture 13	25
	1.12	Lecture 13	27
	1.13	Lecture 15	29
	1.14	Lecture 16	29
	1.15	Lecture 17	30
	1.16	Lecture 18	32
	1.17	Lecture 19	33
	1.18	Lecture 20	35
	1.19	Lecture 21	36
	1.20	Lecture 21	37
	1.21	Lecture 22	39
	1.22	Lecture 23	40
	1.23	Lecture 24	42
	1.24	Lecture 25	43
	1.25	Lecture 26	45
	1.26	Lecture 27	47
	1.27	Lecture 28	47

# **Chapter 1 Lecture 1**

Here we go.

# 1.0.1 Course Overview and Logistics

Some administrative things. OH are Monday, Fridays 1:45 to 2:45, Wednesdays 12:45-1:45 in Evans 811.

**Textbook**: an introduction to functional analysis by Conway. We will be talking about operators on Hilbert spaces, and more generally, Banach spaces, and Frechet spaces (defined by a countable numer of seminomrs).

**Remark** Let  $\mathcal{H}$  be a Hilbert space, then the dual space  $\mathcal{H}^*$  is itself.  $\mathcal{H} = \mathcal{H}^*$ . Hilbert spaces are the best spaces to work with. They are self-dual, and identified with themslyes.

Then in the next section, we will look at groups, motivated by their actions on Banach spaces, connected with Fourier transforms.

#### 1.0.2 Motivation

Let X be a compact Hausdorff space. Let  $C(X)=\{f:X\to\mathbb{R},f\text{ continuous}\}$  be the algebra of continuous functions on X mapping in to  $\mathbb{R}$  or  $\mathbb{C}$ . Define the norm as the sup norm  $\|\cdot\|_{L^{\infty}}$ .

We will develop the spectral theorem of operators on the Hilbert space, i..e self-adjoint operators can be diagonalized.

If T is a self-adjoint operator on a Hilbert space, then we take the product of T (polynomials of T), let  $C^*(T, I_{\mathcal{H}})$  be the sub-algebra of operators generated by T and I the identity operator, then take the closure, i.e. making it closed in the operator norm.

**Remark** The \* is to remind us, T is self-adjoint and when you take the adjoint and generate with it, it gets back into the same space.

#### Proposition 1.

We have the next two algebra isomorphic to each other.

$$C^*(T, I_{\mathcal{H}}) \cong C(X) \tag{1.1}$$

This is what we are aimining for. We can generalize this even further to finitely many self-adjoint operators, in some sense, we are diagonalizing finitely many operators at the same time. If  $T_1, ..., T_n$  is a collection of self-adjoint operators on  $\mathcal{H}$ , and such all commute with each other, then we also have

$$C^*(T_1, ..., T_n, I_{\mathcal{H}}) \cong C(X) \tag{1.2}$$

## **1.0.3 Groups**

Let G be a group, B be a Banach space, for example, groups of automorphisms. Let

$$Aut(B) = \{T : T \text{ is isometric, onto, invertible on } B\}$$

#### **Definition 1.1**

Suppose that  $\alpha$  is a group homomorphisms, and  $\alpha: G \to Aut(B)$ , is called a representation on B or an action of the group G on B.

Then we can consider the subalgebra  $\mathcal{L}(B)$ , consisting of the bounded linear operators on B, generated by

$$\{\alpha_x : x \in G\}$$

Remark The identity on G should be mapped into the identity operator on B, hence no need to include it.

Elements of the form  $\Sigma_{z_x} \alpha_x, z_x \in \mathbb{C}$ , (where  $\Sigma$  is a finite sum.)

Let's introduce,  $f \in C_c(G)$  are functions with compact support and in discrete groups, imply they are of finite support.

$$\sum_{x \in G} f(x)\alpha_x = \alpha_f$$

note for except finitely many x, f(x) = 0.

Let  $f, g \in C_c(G)$ , then for

$$\alpha_f \alpha_g = (\sum f(x)\alpha_x)(\sum g(y)\alpha_y) = \sum_{x,y} f(x)g(y)\alpha_x \alpha_y = \sum_{x,y} f(x)g(y)\alpha_{xy}$$

The last inequality follows from  $\alpha$  being a group homomorphism. And the sums are finite hence are able to exchange the orders. We further have,

$$\alpha_f \alpha_g = \sum_x \sum_y f(x)g(x^{-1}y)\alpha_y = \sum (f * g)(y)\alpha_y$$

where we define  $f * g(y) = \sum f(x)g(x^{-1}y)$  as the convolution operator.

We get

$$\alpha_f \alpha_g = \alpha_{f*g}$$

This is how we define convolution on  $C_c(G)$  Notice we have, by  $\|\alpha_x\|=1$ ,

$$\|\alpha_f\| = \|\sum f(x)\alpha_x\| \le \sum |f(x)|\|\alpha_x\| = \sum |f(x)| = l^1(f) = \|f\|_{l^1}$$

It is therefore, easy to check

$$||f * g||_{l^1} \le ||f||_{l^1} ||g||_{l^1}$$

We get  $l^1(G)$  is an algebra with ??

For G commutative, it is easily connected with the Fourier transform.

Consider  $l^2(G)$  with the counting measure on the group. For  $x \in G$ , let  $\xi \in l^2(G)$  define  $\alpha_x \xi(y) = \xi(x^{-1}y)$ ,  $\alpha_x$  being unitary.  $l^1(G)$  acts on operators in  $l^2(G)$  via  $\alpha$ .

If G is commutative, then we have

$$\overline{\alpha_{l^1(G)}} \cong C(X)$$

where X is some compact space. Note that  $C_c(G)$  operators on  $l^2(G)$ , and  $\|\alpha_f\| \leq \|f\|_{l^1}$ .

# 1.1 Lecture 2

Let's do some math.

Let X be a Hausdorff compact space, and let C(X) denote the space of continuous functions defined on X. This is an algebra. You can multiply them, associatively and commutatively. We equip it with a norm  $\|\cdot\|_{L^{\infty}}$ . Note X, by assumption, is a normal space, you could have continuous functions mapped to 1 on one subset, 0 to the other subset. Hence there are many elements from C(X).

#### **Definition 1.2 (Normed Algebra)**

Let A be an algebra on  $\mathbb{R}$  or  $\mathbb{C}$ , is a normed algebra if it has a norm  $\|\cdot\|$ , as a vector space, such that for for  $a, b \in A$ , we have

$$||ab|| \le ||a|| ||b||$$

The above is called submultiplicity.

### **Definition 1.3 (Banach Algebra)**

 $A\ Banach\ Algebra\ is\ a\ normed\ algebra\ that\ is\ complete\ in\ the\ metric\ space\ from\ the\ norm.$ 

Given  $x \in X$ , define  $\varphi_x : C(X) \to \mathbb{C}$  the evaluation map such that

$$\varphi_x(f) = f(x)$$

 $\varphi_x$  is an algebra homomorphisms between  $C(X) \to \mathbb{R}$  or  $C(X) \to \mathbb{C}$ . This simply implies

$$\varphi_x(f+g) = (f+g)(x) = f(x) + g(x), \varphi_x(fg) = (fg)(x) = f(x)g(x)$$

We now make the note that, C(X) has an identity element, which is the constant function 1, under multiplication. Hence C(X) is a unital algebra. Note that  $\varphi_x$  defined above is a unital homomorphism, meaning that it sends identity to identity.

Note  $\varphi_x$  is also a multiplicative linear functional, also unital.

#### **Proposition 1.2**

Every multiplicative linear functional on C(X) is of the form  $\varphi_x$  for some  $x \in X$ .

**Proof** Main Claim: given a multiplicative linear functional  $\varphi$ , there exists a point  $x_0$  and if we have some  $f \in C(X)$ , we have  $\varphi(f) = 0$ , then we have  $f(x_0) = 0$ . To prove this claim, we need compactness. Suppose the contrary of the claim. Suppose that for each  $x \in X$ , there is an  $f_x \in C(X)$  such that  $f(x) \neq 0$ , but  $\varphi(f) = 0$ .

Set  $g_x=\overline{f}_xf_x$ , then we have  $g_x(x)>0$ , but  $\varphi(g_x)=\varphi(f_x)\varphi(\overline{f}_x)=0$ , then there is an open set  $O_x$  such that  $x\in O_x$ , and  $g_x(y)>0$  for all  $y\in O_x$ . Now by compactness, there is  $x_1,...,x_n$  such that  $X=\bigcup_{j=1}^n O_{x_j}$ , let  $g=g_{x_1}+...g_{x_n}$ , then we have g(y)>0 for all  $y\in X$ , and  $\varphi(g)=0$ . Note that g is a continuous function, and g is invertible, and also  $re(\frac{1}{a})\in C(X)$ , but we also have

$$\varphi\left(g\cdot\frac{1}{g}\right) = 1$$

Hence we've reached a contradiction. Then there exists  $x_0 \in X$  such that if  $\varphi(f) = 0$ , this means  $f(x_0) = 0$ . For any f, consider  $f - \varphi(f) \cdot 1$ , apply  $\varphi$ , we have

$$\varphi(f-\varphi(f)\cdot 1)=0$$
, this implies there exists  $x_0$ , such that  $(f-\varphi(f)1)(x_0)=0$ 

This implies  $f(x_0) = \varphi(f)$  which implies  $\varphi(f) = \varphi_{x_0}(f)$ .

For any unital commutative algebra  $\mathcal{A}$  and let  $\widehat{\mathcal{A}}$  be the set of unital homomorphisms of  $\mathcal{A}$  into the field.

For 
$$\mathcal{A} = C(X)$$
, and  $\varphi \in \widehat{\mathcal{A}}$ .

#### **Definition 1.4**

For any unital commutative algebra A and let  $\widehat{A}$  be the set of unital homomorphisms of A into the field.

**Remark** We have  $|\varphi(f)| \le \|\varphi\| \|f\|_{L^{\infty}}$ , since  $\varphi$  is unital, we have  $\|\varphi\| = 1$ .

Thss is not always true for normed algebra, Let

$$\mathcal{A} := Poly \subset C([0,1])$$

We define  $\varphi(p)=p(2),$  p is a polynomial. This is not continuous, nor is the  $\|\varphi\|=1.$ 

#### Proposition 1.3

If A is a unital commutative Banach algebra, and if  $\phi \in \widehat{A}$ , then we have  $\|\varphi\| = 1$ .

The word "unital" is key here.

### Proposition 1.4

Let A be a unital Banach algebra (not necessarily commutative), then if  $a \in A$ , and ||a|| < 1, then we have

$$1_A - a$$
 is invertible in  $A$ 

**Proof** For this, we use completeness.  $\frac{1}{1-a} = ?\sum_{n=0}^{\infty} a^n, a^0 = 1_{\mathcal{A}}$  You could look at the partial sums.  $S_m = \sum_{n=0}^m a^n, a^n = \sum_{n=0}^m a^n$  you want to show that  $\{S_m\}$  is a Cauchy sequence, and use completeness of Banach algebras.  $\lim_{m\to\infty} S_m = \frac{1}{1-a}$ .

To prove this is a cauchy sequence:

$$||S_n - S_m|| = ||\sum_{j=m+1}^n a^j|| \le \sum_{m+1}^n ||a^j|| \le \sum_{m+1}^n ||a||^j$$

And the fact that ||a|| < 1, we have the sum bounded by  $\epsilon$ , hence  $\{S_n\}$  is a Cauchy sequence. Let  $b = \sum_{n=0}^{\infty} a^n$ , we want to show that b(1-a) = 1.

$$b(1-a) = \lim_{n \to \infty} S_n(1-a) = \lim_{n \to \infty} \left(\sum_{n=0}^{\infty} a^n\right) (1-a) = \lim_{n \to \infty} (1-a^{n+1}) = 1$$

The last inequality follows from  $||a^{n+1}|| \le ||a||^{n+1} \to 0$ .

#### 1.2 Lecture 3

We now begin.

Let  $\mathcal{A}$  be a unital Banach algebra, and if  $a \in \mathcal{A}$  and ||a|| < 1, then we have (1 - a) has an inverse and if  $\mathcal{A} = \mathcal{B}(B)$ , where B is some Banach space, then  $T \in \mathcal{A}$ , and ||T|| < 1, then we have

$$(1-T)^{-1} = \sum T^n$$

The above is called the Newmann series.

Now we have the following corollary.

#### Corollary 1.1

If  $a \in A$  and ||1 - a|| < 1, then a is invertible.

**Proof** a = 1 - (1 - a).

#### Proposition 1.5

The set of invertible elements of A is an open subset of A.

**Proof** The open ball about 1 consists of invertible elements. If d is any invertible element, then we define  $a \mapsto da$ . This map is continuous, i.e. it is the left representation  $L_b(a) = ab$  for all  $a \in \mathcal{A}$ . If d is invertible, then the inverse is also continuous, hence it is a homeomorphism of  $\mathcal{A}$  onto itself.

Denote the unit ball about 1 as  $B_1(1)$ , and let d be some invertible element, under  $L_d$ , homeomorphism,  $O \mapsto d \cdot O$ , this set is open, and consists of invertible elements. We take the union of all these elements, which give us an open set including every invertible elements.

#### **Proposition 1.6**

Let C(X) be the unital Banach algebra, and for  $f \in C(X)$ , we have  $\alpha \in Range(f)$  if and only if  $(f - \alpha \cdot 1)$  is not invertible.

**Proof** Let  $f \in C(X)$ , and if  $\alpha \in \text{range of } f$ , so  $\alpha = f(x_0)$  for some  $x_0$ . then

$$(f - \alpha \cdot 1)(x_0) = 0$$

Hence  $f(-\alpha \cdot 1)$  is not invertible. Conversely, if we have  $f - \alpha 1$  is not invertible, then there exists  $x_0 \in X$  such that

$$(f - \alpha \cdot 1)(x_0) = 0$$

Hence  $f(x_0) = \alpha$ , i.e.,  $\alpha \in \text{range of } f$ .

### **Definition 1.5 (spectrum of an element)**

For any unital algebra A over some field  $\mathbb{F}$ , for any  $a \in A$ , the set

$$\{\lambda \in \mathbb{F} : a - \lambda 1_{\mathcal{A}} \text{ is not invertible } \}$$

is called the spectrum of a, denoted as  $\sigma(a)$ .

Interpret this in our familiar linear map:  $\lambda$  is called an eigenvalue, i.e. is in the spectrum of T if we have  $T - \lambda I$  is not invertible.

#### **Proposition 1.7**

*Let* A *be a unital Banach algebra, and let*  $a \in A$ *, then if*  $\lambda \in \sigma(a)$ *, then* 

$$|\lambda| \le ||a||$$

**Proof** Suppose  $|\lambda| > ||a||$ , then  $\lambda \neq 0$ , then

$$a - \lambda \cdot 1 = -\lambda(1 - \frac{a}{\lambda})$$

And by assumption,  $||a/\lambda|| \le 1$ , hence  $(1 - a/\lambda)$  is invertible. Hence  $a - \lambda \cdot 1$  is invertible (product of two invertible elements), meaning  $\alpha \notin \sigma(a)$ .

#### Proposition 1.8

Let  $\varphi$  be a multiplicative linear functional on A, i.e.  $\varphi \in \widehat{A}$ , and then  $\varphi(a) \in \sigma(a)$ , and we have

$$|\varphi(a)| \le ||a||, ||\varphi|| = 1$$

**Proof**  $\varphi(a - \varphi(a) \cdot 1) = 0$ . Hence  $a - \varphi(a)1$  is not invertible.

#### Proposition 1.9

 $\sigma(a)$  is a closed subset of  $\mathbb{R}$ ,  $\mathbb{C}$ .

**Proof** Define the map  $\phi: \lambda \mapsto a - \lambda 1$ , the map  $\phi$  is continuous (multiplication and subtraction are both continuous). We know the set of invertible elements of  $\mathcal{A}$  is open, hence

$$\sigma(a) = \phi^{-1}(\text{ noninvertible}) = \phi^{-1}(\mathcal{A} \setminus \text{ invertible })$$

Or simply,

$$\sigma(a) = (\phi^{-1}(\text{ invertible }))^c$$

Hence the spectrum of an element is closed.

Let  $\varphi \in \widehat{\mathcal{A}}$  then  $\|\varphi\| = 1$ . So  $\widehat{\mathcal{A}}$  is a subset of the unit ball of  $\mathcal{A}'$ , which denotes the dual vector space of continuous linear transformations.

On  $\mathcal{A}'$ , we can equip the weak-\* topology, i.e. the weakest topology, making the map  $\psi \mapsto \psi(a)$  continuous.

 $\widehat{\mathcal{A}}$  is closed for the weak-\* topology.



**Proof** let  $\{\varphi_{\lambda}\}$  be a net of elemnts of  $\widehat{\mathcal{A}}$ , that converges to some  $\psi \in \mathcal{A}'$  in the weak-\* topology, i.e., for every  $a \in \mathcal{A}$ ,  $\varphi_{\lambda}(a) \to \psi(a)$  for all  $a \in \mathcal{A}$ .

Then  $\varphi(a,b) = \lim \varphi_{\lambda}(ab) = \lim \varphi_{\lambda}(a)\varphi_{\lambda}(b) = \varphi(a)\varphi(b)$ .  $\varphi(1) = \lim(\varphi_{\lambda}(1)) = \lim 1 = 1.$ 

For any normed vector space V, the closed unit ball of V' is compact in the weak-\* topology.



As an immediate corollary, we have the following.

A is compact with respect to the weak-\* toplogy.



**Proof**  $\widehat{\mathcal{A}}$  is a closed subset of a compact set, hence is also compact.

Let A = C(X), and  $\widehat{A}$ , we define  $x \mapsto \varphi_x$  is a bijection. The weak-\* topology in  $\widehat{A}$  makes  $\varphi_x \mapsto \varphi_x(f) = f(x)$ continuous. Such  $x \mapsto \varphi_x$  is a homomorphism of X onto A.

For  ${\mathcal A}$  unital Banach algebra, commutative, for any  $a\in {\mathcal A}$ , define

$$\widehat{a} \in C(\widehat{\mathcal{A}}), \widehat{a}(\varphi) = \varphi(a)$$

The map  $a \mapsto \hat{a}$  is a unital algebra homomorphism from A into C(A).



**Proof** we have

$$\widehat{ab}(\varphi) = \varphi(ab) = \varphi(a)\varphi(b) = \widehat{a}(\varphi)\widehat{b}(\varphi) = (\widehat{a}\widehat{b})(\varphi)$$

Hence

$$(\widehat{ab}) = \widehat{a}\widehat{b}, \widehat{(a+b)} = \widehat{a} + \widehat{b}, \widehat{1_a} = 1$$

# 1.3 Lecture 4

Today we talk about the structure of  $\widehat{l^1(S)}$ ,  $\widehat{l^1(G)}$ , where S, G are semigroups and groups, and how they naturally identify with the unit disk  $\mathbb{D}$ , and the unit circle  $\mathbb{T}$ .

Let S be a commutative discrete semigroups, for example  $\mathbb{N} \cup \{0\}$ , and  $f \in C_c(S)$ , then we can write f = 0 $\sum_{x \in S} f(x) \delta_x$ , where we define  $\delta_x \delta_y = \delta_{xy}$ . Note that  $C_c(S)$  is dense in  $l^1(S)$ .

Take any  $f, g \in C_c(S)$ , we consider the following:

$$\sum_{x \in S} f(x)\delta_x \sum_{x \in S} g(y)\delta_y = \sum_{x \cdot y} \delta_{xy} = \sum_{z \in S} \left( \sum_{xy=z} f(x)g(y) \right) \delta_z$$

where we define the convolution between two functions

$$f*g(z) = \sum_{x,y,xy=z} f(x)g(y)$$

And under this convolution operation, we have  $l^1(S)$ , \* as a Banach algebra.

Example 1.1 If we consider polynomials of the form  $f(x) = \sum_{n=0}^{\infty} f(n)x^n$ , and consider the operation between two polynomials

$$\left(\sum f(m)x^m\right)\left(\sum g(n)x^n\right) = \sum_p \left(\sum_{m+n=p} f(m)g(n)x^p\right) = \sum_p (f*g)(p)$$

And let  $f \in C_c(S)$ , where  $S = \mathbb{N}$ . we define  $||f||_{l^1} = \sum_{x \in S} |f(x)|$ .

It is easy to check we have

$$||f * g||_{l^1} \le ||f||_{l^1} ||g||_{l^1}$$

We let  $\mathcal{A}=l^1(S)$ , and  $\widehat{\mathcal{A}}$  denote the set of unital homomorphisms from  $\mathcal{A}$  to  $\mathbb{R}, \mathbb{C}$ . Note that  $\|\varphi\|=1, \varphi\in\widehat{\mathcal{A}}$ . Note that we know  $(l^1(S))'=l^\infty(S)$ , hence  $\widehat{\mathcal{A}}\subset\mathcal{A}'$ . Note that we have  $\|\varphi\|=1$ , hence if we  $\varphi\in l^\infty(S)$ , we have

$$\|\varphi\|_{l^{\infty}} = 1$$

Then for  $z \in S$ ,  $||z|| \le 1$ , we have  $|\varphi(z)| \le 1$ .

### **Proposition 1.12**

We naturally identify  $\widehat{l^1(S)}$  with  $Hom(S, \mathbb{D})$ , i.e.  $\{\varphi \in l^{\infty}(S) : \|\varphi\|_{l^{\infty}} = 1\}$ .

**Proof** Given  $f \in \widehat{l^1(S)}$ , we know it's multiplicative, unital, hence all these transfer when viewing  $\varphi \in l^{\infty}(S)$ . This implies

$$\varphi(\delta_x)\varphi(\delta_y) = \varphi(\delta_{xy}) \Rightarrow \varphi(x)\varphi(y) = \varphi(xy)$$

Note here xy denotes the operation on S between x,y, for example, could be x+y. Hence naturally, if  $\varphi \in \widehat{l^1(S)}$ ,  $\varphi$  can also be viewed as  $\varphi : S \to \mathbb{D}$ , and thus is in  $l^{\infty}$ , with  $|\varphi(s)| \leq 1$ .

Furthermore, we can identify elements in  $\widehat{l^1(S)}$  with the unit disk. Take  $S=\mathbb{N}$ .

#### **Proposition 1.13**

$$\widehat{l^1(\mathbb{N})} \cong \mathbb{D}$$

where  $\mathbb{D}$  denotes the unit disk in  $\mathbb{C}$ .

**Proof** We motivate this by noticing  $\mathbb{N}$  is generated by 1, and thus viewing  $\varphi \in \widehat{l^1(\mathbb{N})}$  as  $\varphi \in l^\infty(\mathbb{N})$ , we have  $\varphi$  is determined by  $\varphi(1)$ . And denote  $\varphi(1) = z_0$ , then we have

$$\varphi(n) = z_0^n$$

We thus define a map as follows, for  $z \in \mathbb{D}$ ,

$$z \mapsto \varphi(n) = z^n$$

The map is continuous, bijective, and thus a homeomorphism between compact and Hausdorff space.

#### **Proposition 1.14**

The standard topology on  $\mathbb D$  coincides with the weak-\* topology on  $\widehat{l^1(\mathbb N)}$ .

$$D_{std} \cong D_{weak-*}$$

•

**Proof** We just need to associate an element in  $\mathbb D$  with a function  $\varphi \in \widehat{l^1(\mathbb N)}$ . And we do this by

$$z\mapsto \sum_{n\in\mathbb{N}}f(n)x^n$$

Both maps are continuous, bijective, and between compact and Hausdorff space, hence is a homeomorphism.

# 1.3.1 On groups

We let G denote a discrete commutative group, and we see everything above follows, with one extra property.

### Proposition 1.15

We have the following:

$$\widehat{l^1(G)} \cong \mathbb{T}$$

where  $\mathbb{T}$  denotes the unit circle  $\{z \in \mathbb{C} : |z| = 1\}$ .

**Proof** For  $\varphi \in \widehat{l^1(G)}$ , we have

$$|\varphi(x \cdot x^{-1})| = |\varphi(e)| = 1$$

Because  $|\varphi(x)| \leq 1, \forall x$ , Hence we have

$$|\varphi(x)| = 1, \forall x$$

Hence we have  $\widehat{l^1(G)}$  naturally identifies with  $\mathbb{T}$ . Like what we described above, we have what is desired.

**Remark** Take  $G = \mathbb{Z}$ , if we denote  $z \in \mathbb{T}$  as  $z = e^{2\pi i t}$ , then we naturally identify with

$$\sum_{m \in \mathbb{Z}} f(m)e^{2\pi i m}$$

we denote this mapping as  $\hat{f}$ , i.e.

$$\widehat{f}(z) = \sum_{m \in \mathbb{Z}} f(m)e^{2\pi i nt}$$

This is the Fourier transform.

# 1.4 Lecture 5

Last time, we talked about if we denote  $\mathcal{A}=l^1(G)$ , equipped with  $\|\cdot\|_{l^1}$ , under convolution, we have  $\widehat{\mathcal{A}}$  "="  $Hom(G,\mathbb{T})$ 

If we take  $G=(\mathbb{Q},+)$ , one can ask the question if  $\widehat{\mathcal{A}}$  is big enough. And we will se later in the course, the answer is yes.

For pointwise multiplication,  $\widehat{G}$  forms a group, and in fact  $\widehat{G}$  is a compact topological group.

For any compact commutative group G, for exapmle  $\mathbb{R}^n$  under +. Define

 $\widehat{G} = \text{continuous homomorphisms into } \mathbb{T}$ 

**Remark** We now require continuous with this general G (previously was not required for discrete group G).

#### **Proposition 1.16**

Let G be a locally compact and commutative group, we have  $\widehat{G}$  as a locally compact, commutative group.

We define the pairing between G and  $\widehat{G}$  as follows:  $x \in G, \varphi \in \widehat{G}$ ,

$$\varphi(x) = \langle x, \varphi \rangle$$

And we have the following map is a homeomorphism.

$$G \mapsto \widehat{\widehat{G}}$$

Now let G,H denote locally compact groups, and  $\phi:G\to H$  bet a continuous homomorphism. Note we have the following diagram:

$$G \xrightarrow{\phi} H$$

$$\widehat{G} \xleftarrow{\phi} \widehat{H}$$

If we take an element  $\psi \in \widehat{H}$ , we consider  $\psi \circ \phi$ . We get  $\psi \circ \phi \in \widehat{G}$ .

### **Definition 1.7 (category, functor)**

A category is specified by

- 1. a set of objects
- 2. morphisms between objects
  - (a). X, Y, Z are objects, and if

$$X \xrightarrow{\Phi} Y \xrightarrow{\Psi} Z$$

(b). For each object X, there is an identity morphism  $1_X$ .

And a functor is defined to be such a morphism between categories.



Note that we have the following diagram, assuming they are vector spaces over the reals,

$$V \xrightarrow{T} W$$

$$V' \stackrel{T^t}{\longleftarrow} W'$$

$$V'' \xrightarrow{T^{tt}} W''$$

The map going in the same directions  $V \to W$ , and  $V'' \to W''$  is called covariant, whereas  $V' \leftarrow W'$  is called contravariant.

Example 1.3 For category of locally compact groups G, H, assigning the dual group is a functor:

$$G \to H$$

$$\widehat{G} \leftarrow \widehat{H}$$

$$\widehat{\widehat{G}} \to \widehat{\widehat{H}}$$

Example 1.4 Now let X be a compact space. Given  $\Phi$  continuous map between  $X \to Y$ .

$$X \xrightarrow{\Phi} Y$$

$$C(X) \leftarrow C(\Phi)C(Y)$$

For  $f \in C(Y)$ , we define

$$C(\Phi)(f) = f \circ \Phi$$

Similarly, we take

$$X \xrightarrow{\varphi} \xrightarrow{\phi} Z$$

$$C(X) \stackrel{C(\varphi)}{\longleftarrow} C(Y) \stackrel{C(\phi)}{\longleftarrow} C(Z)$$

where for  $f \in C(Y)$ ,  $C(\varphi)(f) = f \circ \varphi$ , and  $g \in C(Z)$ ,  $C(\phi) = g \circ \phi$ . This is a contravariant functor from the category of compact Hausdorff space into the category of unital commutative Banach algebra.

Now we build an important intuition that given a unital algebra homomorphism map between C(X) and C(Y), there eixsts a map from X to Y.

#### **Proposition 1.17**

Suppose X, Y are compact, there exists a unital algebra homomorphism

$$C(X) \xleftarrow{F} C(Y)$$

Then there exists a continuous homomorphism  $\check{F}:X\to Y$ .

**Proof** Define  $\varphi_x: C(X) \to \mathbb{C}$  as the evaluation map: take  $f \in C(X)$ ,

$$\varphi_x(f) = f(x)$$

Then  $\varphi_x \circ F \in \widehat{C(Y)}$ . And we know that any element in  $\widehat{C(Y)}$  is a point evaluation, i.e. there exists  $y \in Y$  such that

$$\varphi_y = \varphi_x \circ F$$

We thus define  $\check{F}(x) = y$  as such that it satisfies the above equation. We need to show  $\check{F}$  is continuous. Note that X, Y are compact Hausdorff spaces, and the topology on Y is the coarest topology making all functions  $g \in C(Y)$  continuous.

$$g \circ \check{F}(x) = g(\check{F}(x))$$

$$= g(y : \varphi_y = \varphi_x \circ F)$$

$$= \varphi_y(g : \varphi_y = \varphi_x \circ F)$$

$$= \varphi_x \circ F(g)$$

$$= F(g)(x)$$

Hence by F, g being continuous, we have  $\check{F}$  is also continuous.

There is a natural bijection between the continuous functions from X to Y, and the unital algebra homomorphism from C(X) to C(Y).

A quick reminder:

**Remark** For X compact, the weak-\* topology coincides with the standard topology.

#### 1.5 Lecture 6

Now we begin. From Aren "not talking to you is torture."

Let A be a unital Banach algebra.

We write  $GL_n(A)$  to denote the general linear group, the group formed by  $n \times n$  matrices with entries from A.

The less standard notation is  $GL_I(A)$  is the group of invertible elements in A. As we have shown previously, this is a closed subset of A. This is the notation that we will use.

Remark It is easy to see that the product is jointly continuous.

#### **Proposition 1.18**

The following map is continuous.

$$a \mapsto a^{-1}$$

**Proof** Given  $||a-b|| < \delta$ , we would like to show  $||a^{-1}-b^{-1}|| < \epsilon$ . We first rewrite

$$a^{-1} - b^{-1} = a^{-1}(b - a)b^{-1}$$

Hence we have

$$||a^{-1} - b^{-1}|| \le ||a^{-1}|| ||b - a|| ||b^{-1}||$$

Take  $\delta = \epsilon/\|a^{-1}\|\|b^{-1}\|$  would suffice.

#### **Proposition 1.19**

Fix  $a \in GL(A)$ , there exists a neighborhood O of a and a constant K such that for all  $y \in O$ , we have

$$||c^{-1}|| < K$$

**Proof** Let  $V = \{d \in \mathcal{A} : ||1 - d|| < 1/2\}$ , then d is invertible and

$$d^{-1} = \sum_{n=0}^{\infty} (1-d)^n$$

We thus have

$$\|d^{-1}\| \leq \frac{1}{1 - \|1 - d\|} \leq \frac{1}{1 - 1/2} = 2$$

We then identify what our O should be. Let O = aV, then we want to show that every ad has an inverse with bounded norm. Because a, d are both invertible, ad is also invertible.

$$||(ad^{-1})|| = ||d^{-1}a^{-1}|| \le ||d^{-1}|| ||a^{-1}|| \le 2||a^{-1}||$$

**Remark** For each invertible element, we can find a neighborhood of invertible elements around it, and using that (1-d) is bounded, then d is invertible, we can bound  $||d^{-1}||$ .

#### **Definition 1.8**

Fix  $a \in A$ , the resolvent set of A is the complement of spectrum of A, i.e. it is the set

$$\{\lambda \in \mathbb{F} : a - \lambda I \text{ is invertible }\}$$

Hence the resolvent set is an open, unbounded suset of  $\mathbb{C}$  or  $\mathbb{R}$ .

#### **Definition 1.9 (Resolvent function)**

On the resolvent set,  $\{\lambda \in \mathbb{F} : a - \lambda 1 \text{ is invertible } \}$  is as follows:

$$R(a,\lambda) = (\lambda 1_{\mathcal{A}} - a)^{-1}$$

note that a is fixed, and  $\lambda$  is the variable here.

Now we note that this  $R_a(\lambda)$  function is nicely behaved.

#### **Proposition 1.20**

The resolvent function  $R_a(z)$  is analytic on the resolvent set, and vanishes as  $z \to \infty$ .

**Proof** We first define the notation of analyticity on an open subset of  $\mathbb{R}$ ,  $\mathbb{C}$ : this means for every point in the open set O, we can find a power series expansion of the function such that its radius of convergence > 0.

Fix  $z_0$  in the resolvent set. We know  $z_0 1_A - a$  is invertible. We consider  $(z 1_A - a)$ , for z in the resolvent set. We will omit the  $1_A$  for simplicity.

$$z1_{\mathcal{A}} - a = (z_0 - a) - (z_0 - z) = (z_0 - a) \left( 1_{\mathcal{A}} - \frac{z_0 - z}{z_0 - a} \right)$$

We know the latter term is invertible if  $\left\| \frac{z_0 - z}{z_0 - a} \right\| < 1$  has norm, hence we have

$$(z-a)^{-1} = \sum_{n=0}^{\infty} \left(\frac{z_0 - z}{z_0 - a}^n\right) (z_0 - a)^{-1}$$

What happens when we let  $z \to \infty$ , we consider  $R_a(1/z)$ , and let  $z \to 0$ . Note that we have the following:

$$R_a\left(\frac{1}{z}\right) = \left(\frac{1}{z} - a\right)^{-1} = \left(\frac{1 - az}{z}\right)^{-1} = z(1 - az)^{-1}$$

Let  $z \to 0$  makes  $R_a(1/z)$  go to zero.

Now given that  $R_a(z)$  is analytic and bounded at  $\infty$ , we can state the following important theorem.

### **Theorem 1.2 (Nonemptyness of spectrum)**

*Let* A *be a unital Banach algebra over*  $\mathbb{C}$ *, then for any*  $a \in A$ *, we have*  $\sigma(a) \neq \emptyset$ *.* 

 $\bigcirc$ 

**Proof** Assume there exists  $a \in \mathcal{A}$ , such that  $\sigma(a) = \emptyset$ . If  $\mathcal{A} = \mathcal{C}$ , then we would have  $R_a(\lambda)$  be a bounded entire, complex-valued function defined on all of  $\mathbb{C}$ . By Liouville's theorem, we must have  $R_a(z)$  a constant function, but we know  $z \to \infty$ ,  $R_a \to 0$ , hence  $R_a(z)$  is constantly 0, but this cannot be true.

If our A is a more general Banach algebra, then we take a slight detour of creating an entire bounded function, via the following map

$$z \mapsto \phi(R_a(z))$$

where  $\phi$  is some nonzero element in  $\mathcal{A}'$ , guaranteed by Hahn-Banach theorem. Then we have the above map is complex-valued, entire, bounded at  $\infty$ . Again, the function is constantly 0.

With the nonemptyness of spectrum theorem, we now state the Gelfand-Mazur theorem.

#### Theorem 1.3 (Gelfand-Mazur)

Let A be a unital Banach algebra over  $\mathbb{C}$ , if any nonzero element of A is invertible, then A is isomorphic to  $\mathbb{C}$ .



**Proof** For any  $a \in \mathcal{A}$ , we know  $\sigma(a) \neq \emptyset$ , hence there exists  $\lambda$  such that  $\lambda 1_{\mathcal{A}} - a$  is invertible, i.e.  $a = \lambda 1_{\mathcal{A}}$ , hence establishing an isomorphism between  $\mathcal{A}$  and  $\mathbb{C}$ . In other words,  $\mathcal{A} = \mathbb{C}1_{\mathcal{A}}$ .

#### 1.5.1 Functional Calculus

#### Proposition 1.21

Let  $a \in \mathcal{A}$ , then if  $f(z) = \sum_{n=0}^{\infty} \alpha_n z^n$  converges for |z| < r, where r > ||a||, then  $\sum_{n=0}^{\infty} \alpha_n a^n$  converges as well.



We first start with proving the following statement.

#### Lemma 1.1

Let f be a polynomial, A is a unital Banach algebra over  $\mathbb{C}$ ,  $f = \sum_{n=0}^{k} a_n x^n$ , then for  $a \in A$ , we have

$$\sigma(f(a)) = f(\sigma(a))$$

This states the spectrum of a under f is exactly the spectrum of f evaluated at a.

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**Proof** ( $\Leftarrow$ ). We take  $\lambda \in \sigma(a)$ , and we would like to show  $f(\lambda)$  is in the spectrum of f(a). We note that if  $\lambda \in \sigma(a)$ , then  $a = \lambda 1_{\mathcal{A}}$ , and  $f(\lambda 1_{\mathcal{A}}) = f(a)$ , hence by definition,  $f(a) - f(\lambda) 1_{\mathcal{A}}$  is not invertible implying  $f(\lambda)$  is in the spectrum of f(a). Note that this also implies  $f(a) - f(\lambda) = (a - \lambda)Q(z)$  for some polynomial Q(z).

 $(\Rightarrow)$ . We take  $\lambda \in \sigma(f(a))$ , i.e.  $f(a) = \lambda 1_A$ . we would like to show  $\lambda = f(y)$ , where  $y \in \sigma(a)$ . If f is some polynomial, then we can rewrite as follows:

$$f(z) - \lambda = d(z - c_1)...(z - c_n)$$

Plugging in a we get

$$f(a) - \lambda = d(a - c_1 1_{\mathcal{A}})...(a - c_n 1_{\mathcal{A}})$$

If  $f(a) - \lambda$  is not invertible, then there exists j such that  $(a - c_j 1_A)$  is not invertible. This implies,

$$c_j \in \sigma(a)$$

Recall we would like to show  $\lambda = f(y)$ , where  $y \in \sigma(a)$ . In fact, we have  $\lambda = f(c_j)$  by knowing  $f(c_j) - \lambda = 0$ .

Now let  $f(z)=z^n$ , and if  $\lambda\in\sigma(a)$ , then  $\lambda^n\in\sigma(a^n)$  by the previous lemma. Then we know that

$$|\lambda^n| = |\lambda|^n \le ||a^n||$$

This implies

$$|\lambda| \le ||a^n||^{1/n}, \forall n$$

Hence we have

$$|\lambda| \le \liminf_n \{ \|a^n\|^{1/n} \}$$

#### **Definition 1.10 (spectral radius)**

Fix  $a \in A$ , we define the spectral radius of a, denoted by r(a),

$$r(a) = \sup_{\lambda} \{|\lambda| : \lambda \in \sigma(a)\}$$

Next we introduce an equivalent definition of the spectral radius which connects to the Gelfand transform.

#### **Proposition 1.22**

For A a Banach algebra, we have the following relationship:

$$r(a) = \sup\{|\lambda| : \lambda \in \sigma(a)\} = \|\Gamma(a)\|_{\infty}$$

**Example 1.5** Note it we have a self-adjoint operator T, then the spectral radius of T would be the absolute value of the largest eigenvalue,  $|\lambda|$ .

#### Corollary 1.3

$$r(a) \le \limsup_{n} \{ \|a^n\|^{1/n} \}$$

**Proof** From the previous remark that  $|\lambda| \leq ||a^n||^{1/n}$ , hence this follows.

# 1.6 Lecture 7

I have not typed up for this?

# 1.7 Lecture 8

Let  $\mathcal{A}$  be a unital Banach algebra. Then for  $a \in \mathcal{A}$ , and we look at the resolvent of a,  $R_a(\lambda)$ , we've noted that as  $\lambda \to \infty$ , we have

$$\lim_{\lambda \to \infty} R_a(\lambda) = \lim_{\lambda \to \infty} (\lambda 1_{\mathcal{A}} - a)^{-1} = \lim_{\lambda \to \infty} \lambda^{-1} \sum_{n=0}^{\infty} a^n \lambda^{-n}$$

And the above Laurent series converges for  $|\lambda| \ge ||a||$ .

Recall that we define the spectral raidus, r(a), as

$$r(a) = \sup\{|\lambda| : \lambda \in \sigma(a)\} \le ||a||$$

Now we would like to prove the following proposition.

#### Proposition 1.23 (Gelfand-Beurling

$$r(a) = \lim \|a^n\|^{1/n}$$

**Proof** 

If we let  $\lambda = 1/z$ , then

$$R(a,z) = z \sum_{n=0}^{\infty} a^n z^n$$

This converges for  $|z| \leq ||a||^{-1}$ , but maybe?? also for  $|z| < r(a)^{-1}$ ?

For r>r(a), i.e.  $|z|\leq r^{-1}$ , we know  $\sum_n a^n r^n$  converges for r>r(a). know  $z\sum a^n z^n$  converges absolutely. In particular,

$$a^n z^n \to 0$$

Hence there exists M such that for  $n \geq M$ , we have

$$||a^n r^{-n}|| \le 1$$

This implies that

$$||a^n|| \le r^n \Rightarrow ||a^n||^{1/n} \le r$$

for all  $n \geq M$ .

This implies that

$$\limsup \|a^n\|^{1/n} \le r$$

And note that r is arbitrary close to the spectral radius r(a). Hence we have

$$\limsup \|a^n\|^{1/n} \le r(a) \le \liminf \|a^n\|^{1/n}$$

We've derived the second inequality from last class. Hence all inequalities become equalities. This gives us

$$r(a) = \lim \|a^n\|^{1/n}$$

For each  $\varphi \in \mathcal{A}'$ , consider the map

$$\lambda \mapsto \lambda^{-1} \sum \varphi(a^n) \lambda^{-n}$$

This series converges for r>r(a). We can apply the same process, to argue that there exists  $M_{\varphi}$  such that

$$\|\varphi(a^n)r^{-n}\| \le M_{\varphi}$$

for all  $n \geq 0$ . Note that  $M_{\varphi}$  could be different for all  $\varphi$ .

Note that

$$\mathcal{A} o \mathcal{A}' o \mathcal{A}''$$

there is a natural injection of  $a \mapsto \hat{a} \in \mathcal{A}''$ .

For each n, definite  $F_n \in \mathcal{A}''$ , by  $F_n(\varphi) = |\varphi(a^n r^{-n})| \leq M_{\varphi}$ . Applying the UBP, we have

$$|F_n(\varphi)| \le M \Rightarrow |\varphi(a^n)r^{-n}| \le M$$

This implies that

$$|\varphi(a^n)| \le r^n M$$

Note that by Hahn-Banach, for any  $b \in A$ , we have

$$||b|| = \sup\{|\varphi(b)| : ||\varphi|| = 1\}$$

Taking n-th root of both sides, we gets

$$||a^n|| \le r^n M \Rightarrow ||a^n||^{1/n} \le rM^{1/n} \to r$$

Hence we again obtain the same result.

#### Recall UBP.

#### **Theorem 1.4 (Uniform Boudnedness Principle)**

Let X be Banach, and Y be normed, let  $T_n: X \to Y$  be a family of linear operators, and if for all  $x \in X$ , we have

$$||T_n(x)|| < \infty$$

Then for all n, we have

$$||T_n|| < \infty$$

 $\Diamond$ 

Note that if A is unital, and if  $A \subset B$  with some unit. For  $a \in A$ , if a is not invertible in A, then it might be invertible

in  $\mathcal{B}$ . Hence if we use  $\sigma_{\mathcal{A}}(a)$  to denote the spectrum of a in  $\mathcal{A}$ .

#### **Proposition 1.24**

$$\sigma_{\mathcal{B}}(a) \subset \sigma_{\mathcal{A}}(a)$$

**Example 1.6** Let  $\mathcal{B} = l^1(\mathbb{Z})$ , and let  $\mathcal{A} = l^1(\mathbb{N})$ , equipped with convolution.

Clearly  $A \subset \mathcal{B}$ . And note that the delta function at 1,  $\delta_1$  is not invertible in A but it has an inverse  $\delta_{-1}$  in B. Hence we see  $0 \in \sigma_A(a)$ , but  $0 \notin \sigma_B(a)$ .

### Proposition 1.25 (Spectral radius is preserved)

For  $A \subset B$ , we have

$$r_{\mathcal{A}}(a) = \lim \|a^n\|^{1/n} = r_{\mathcal{B}}(a)$$

This proposition tells us that the spectral radius of an element  $a \in \mathcal{A}$  is independent of the Banach algebra it is considered in, but rather only depends on itself.

#### Proposition 1.26

Let X be compact, and let A = C(X). Then for  $f \in C(X)$ , we have

$$||f^2||_{\infty} = ||f||_{\infty}^2$$

**Proof** Look at where f takes  $||f||_{\infty}$ , and square it, since when X is compact, you can actually obtain the point where  $|f(x)| = ||f||_{\infty}$ .

**Remark** The same property holds for f in any unital subalgebra of C(X), for example, if  $X \subset \mathbb{C}$ , and let A=functions that are holomorphic on an open subset of  $\mathbb{C}$  that are in X.

#### **Proposition 1.27**

Let A be a unital Banach algebra such that for  $a \in A$ , we have

$$||a^2|| = ||a||^2$$

Then we have

$$r(a) = ||a||$$

**Proof** If we have

$$||a^2|| = ||a||^2$$

This implies that

$$||a^4|| = ||a||^4$$

By induction, for any n, we have

$$||a^{2^n}|| = ||a||^{2^n}$$

Hence by taking  $1/2^n$ -root of both sides, we get that the spectral radius of r(a)

$$r(a) = ||a^{2^n}||^{1/2^n} = ||a||$$

Let  $\mathcal{H}$  be a Hilbert space, over  $\mathbb{C}$ , and let  $\mathcal{A} = B(H)$ , i.e. the bounded linear operators on  $\mathcal{H}$ , and equip with the operation of taking adjoint.  $T \mapsto T^*$ .

#### Proposition 1.28

For any  $T \in B(\mathcal{H})$ , we have

$$||T^*T|| = ||T||^2$$

**Proof** We know that  $||T^*|| = ||T||$ . And thus

$$||T^*T|| \le ||T^*|| ||T|| = ||T||^2$$

For the reverse direction, let  $\xi \in \mathcal{H}$ , then

$$||T(\xi)||^2 = \langle T\xi, T\xi \rangle = \langle \xi, T^*T\xi \rangle \le ||T^*T|| ||\xi||^2$$

where the last inequality follows form Cauchy-Schwartz. This implies that

$$||T(\xi)|| \le ||T^*T||^{1/2}||\xi||$$

which by definition (  $\|T\|$  is the smallest constant for the inequality), gives

$$||T|| \le ||T^*T||^{1/2}$$

Taking squares we get the desired result.



Note We used the inner product to justify  $||T||^2 \le ||T^*T||$ , which we cannot necessarily do in a non-Hilbert space.

#### Corollary 1.4

If  $T^* = T$ , then

$$||T^2|| = ||T||^2$$

And we have

$$r(T) = ||T||$$

where the spectral radius is determined by the algebra elements.

 $\Diamond$ 

Note that for general T, we have  $T^*T$  is always self-adjoint,

$$||T||^2 = ||T^*T|| = r(T^*T)$$

Then we have

$$||T|| = (r(T^*T))^{1/2}$$

where the spectral radius is determined by the \*-algebra structure.

# 1.8 Lecture 9

Let  $\mathcal{H}$  be a hilbert space over  $\mathbb{C}$ , and  $\mathcal{B}(\mathcal{H})$  with  $\|\cdot\|_{\infty}$ , and closed under taking involutions. If  $T \in \mathcal{B}(\mathcal{H})$ , then

$$||T^*T|| = ||T||^2$$

So if  $T^* = T$ , then we have

$$\sigma(T) = ||T||$$

#### Definition 1.11 (Concrete C\*-algebra)

A concrete  $C^*$ -algebra is a norm-closed sub-algebra  $\mathcal{A}$  of  $\mathcal{B}(\mathcal{H})$ , for some  $\mathcal{H}$  such that is **self-adjoint**, i.e., if  $T \in \mathcal{A}$ , then  $T^* \in \mathcal{A}$ . We call  $\mathcal{A}$  is unital if  $1_{\mathcal{H}} \in \mathcal{A}$ .

#### Corollary 1.5

If A is a  $C^*$  algebra, then for all  $a \in A$ ,

$$||a^*a|| = ||a||^2$$

If A is unital  $C^*$ -algebra, and if  $a^* = a$ , then r(a) = ||a||.

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This follows from our discussio above. Next we say a bit about the Gelfand transform.

#### **Definition 1.12**

Let A be a unital Banach algebra, and commutative, then we have the Gelfand transform  $\Gamma: A \to C(\widehat{A})$ :

$$\Gamma(a)(\varphi) = \varphi(a)$$

then he said something of homomor to the complex numbers or something



Note that if  $a \in \mathcal{A}$ , and  $\varphi \in \widehat{A}$ , then  $\varphi(a) \in \sigma(a)$ , then we have

$$|\varphi(a)| \le ||a|| = r(a)$$

then  $\|\widehat{a}\|_{\infty} \leq r(a)$ . Now we would like to show

$$\|\widehat{a}\|_{\infty} = r(a)$$

#### Theorem 1.5

r(a) is the spectral radius of a, which is defined as  $r(a) = \sup\{|\lambda| : \lambda \in \sigma(a)\}$ , and we have

$$r(a) = \|\widehat{a}\|_{\infty}$$



**Note** This gives us a correspondence between  $\varphi$  and non-invertible elements of A. This says if a is not invertible, then we can find some  $\varphi$  such that  $\varphi(a) = 0$ , i.e.  $a \varphi$  kills the non-invertible element.

We will now dedicate the next 50 minutes of our life to proving this theorem.

### Theorem 1.6 ( $\varphi$ and maximal ideal)

If  $\lambda \in \sigma(a)$ , then there exists  $\varphi \in \widehat{A}$  such that  $\varphi(a) = \lambda$ . This is equiavalent to saying: if  $(a - \lambda 1)$  is not invertible, then there is a  $\varphi \in \widehat{\mathcal{A}}$ , such that

$$\varphi(a - \lambda 1) = 0$$

This means if a is not invertible, then there exists  $\varphi \in \widehat{\mathcal{A}}$  such that  $\varphi(a) = 0$ .

 $\Diamond$ 

**Proof** Suppose  $a \in \mathcal{A}$ , and consider

$$a\mathcal{A} = \{ab : b \in \mathcal{A}\}$$

The set  $a\mathcal{A}$  does not contain the identity element i.e.  $1_{\mathcal{A}} \notin a\mathcal{A}$  (otherwise it would imply it has an inverse). And  $a\mathcal{A}$  is a two-sided proper ideal (by  $\mathcal{A}$  being commutative).

We now introduce a fact that we will use.

#### Definition 1.13

An ideal I is maximal if I is proper in R, and not contained in any bigger proper ideals.



#### Lemma 1.2

Let R be a unital ring commutative, every proper ideal is contained in a maximal ideal (by Zorn's lemma).

 $\Diamond$ 

# Lemma 1.3

For A unital commutative Banach algebra, if I is a proper ideal, then its closure is a proper ideal.

 $\Diamond$ 

**Proof** We have seen that GL(A), the set of invertible elements, is open. Hence its complement is closed. Any proper ideal does not contain any elements in GL(A), hence its closure is closed inside a closed set.

**Remark** Let X be locally compact, but compact, such as  $\mathbb{R}$ , then we have  $C_c(X) \subset C_\infty(X)$ . Note that  $C_c(X)$  is a proper ideal of  $C_\infty(X)$ , but it's dense in  $C_\infty(X)$ , hence its closure is the entire space, hence no longer proper. This tells us the closure of a proper ideal is not always proper, if A is not unital.

#### Theorem 1.7

Every maximal ideal of A is closed.

 $\Diamond$ 

**Proof** The closure of any proper ideal is closed in unital algebras, hence its closure is itself.

First let V be a normed vector space. Let W be a closed subspace, and form the quotient space V/W. There is a natrual way to equip V/W with a norm

$$\|\dot{v}\| = \inf\{\|v - w\| : w \in W\}$$

i.e. the distance between v to W. This is a norm. Further if V is complete, so is V/W.

Let A be a normed algebra, commutative, unital. Let I be a closed ideal.

#### **Proposition 1.29**

For  $a, b \in \mathcal{A}$ , we have

$$\|\dot{a}\dot{b}\| = \|\dot{a}b\| \le \|\dot{a}\|\|\dot{b}\|$$

so that A/I is a normed algebra.

**Proof** Let  $c, d \in I$ , and

$$(a-c)(b-d) = ab - (ad + cb - cd)$$

Note that  $(ad + cb - cd) \in I$ , hence

$$\|\dot{ab}\| \le \|ab - (ad + cb - cd)\| = \|(a - c)(b - d)\| \le \|(a - c)\|\|(b - d)\|$$

Taking infimum over all c, d, we get

$$\|(\dot{ab})\| \le \|\dot{a}\|\|\dot{b}\|$$

#### Proposition 1.30

If A is a Banach algebra and if I is a closed ideal, then A/I is a Banach algebra for the norm  $\|\dot{a}\|$  defined above.



Let  $\mathcal{A}$  be a unital commutative Banach algebra over  $\mathbb{C}$ , let I be a maximal ideal of  $\mathcal{A}$ , then  $\mathcal{A}/I$  is a Banach algebra, if  $\mathcal{A}/I$  has a proper ideal, then you can put this ideal back in  $\mathcal{A}$  such that it contains I. By I already being ideal, this implies that  $\mathcal{A}/I$  does not contain any proper ideals.

Now coming back. Let nonzero element in  $\mathcal{A}/I$  is invertible. The Gelfand Mazur theorem tells us

$$\mathcal{A}/I \cong \mathbb{C}$$

Moreover,  $\mathcal{A}/I=1_{\mathcal{A}/I}\mathbb{C}.$  Then the quotient map

$$\mathcal{A} \to \mathcal{A}/I \cong \mathbb{C}$$

is an element of A, i.e. an algebra homomorphism  $\varphi$ , with the property  $\varphi(I)=0$ .

If  $aA \subset I$ , then for  $y \in aA$ , we have

$$\varphi(y) = 0$$

And we are therefore finally done. We thus have

$$\|\widehat{a}\| = r(a)$$

#### Corollary 1.6

We have

$$\sigma(a) = Range(\widehat{a})$$

# **1.9** Lecture 10

Consider  $C_{\infty}(\mathbb{R}) \subset C_b(\mathbb{R})$ , and  $C_{\infty}(\mathbb{R})$  is an ideal of  $C_b(\mathbb{R})$ .

2

#### **Definition 1.14** (Abstract $C^*$ -algebra)

An abstract  $C^*$ -algebra is a Banach algebra with an involution, such that

$$||a^*a|| = ||a||^2$$

Remark Zorn's lemma states that  $C_{\infty}(\mathbb{R})$  is contained in a maximal ideal, in a commutative Banach algebras, maximal ideals give rise to bounded multiplicative linear functionals.

**Remark** There is ideals of  $C_b(\mathbb{R})$  that are bigger than  $C_{\infty}(\mathbb{R})$ .

There exists linear functionals that are 0 on  $C_{\infty}(\mathbb{R})$ , but nonzero on  $C_b(\mathbb{R})$ , but such functional is not "constructable."

We also have  $c_0 \subset l^{\infty}(\mathbb{N})$ , where  $c_0$  are sequences that converge to 0 at infinity. Again, nonzero linear functionals exist on  $l^{\infty}(\mathbb{N})$ , and is identically zero on  $c_0$ , but such is also not constructable.

#### **Definition 1.15**

 $\prod_{j=1}^{\infty} \mathbb{Z}_5$ = the sequences of elements of  $\mathbb{Z}_5$ .  $\bigoplus \mathbb{Z}_5$  all sequences that are 0 except for finitely many number of entries.

Note that  $\bigoplus \mathbb{Z}_5$  is an ideal of  $\prod^{\infty} \mathbb{Z}_5$ .

#### **Proposition 1.31**

 $l^{\infty}(\mathbb{N})$  is not separable,  $\prod^{\infty} \mathbb{Z}_5$  is not separable, nor is it finitely generated.

### Proposition 1.32

If A is a unital commutative Banach algebra over  $\mathbb{C}$ , which is separable, and if I is a closed ideal, then one can construct a maximal ideal containing I by countable what

**Proof** Let  $\{a_n\}$  be a countable subset of  $\mathcal{A}$ , whose linear span is dense.

# Lemma 1.4

Note that A/I contains noninvertible elements if and only if I is not maximal.

If I is not maximal, then you can find the first  $a_n$  such that  $a_n \in \mathbb{C}1_{\mathcal{A}}$  such that  $a_n \notin \mathcal{A}/I$ , then

$$\overline{a_n \mathcal{A}/I}$$
 is a proper ideal of  $\mathcal{A}$ 

hence it generates a proper ideal in A, we denote it as  $I_1$ . If  $I_1$  is not maximal, then repeat the process. By  $\{a_n\}$  being countable, and that they are dense, we have a countable addition, which gives a maximal ideal containing I by countable inclusions.

Remark  $C_{\infty}(\mathbb{R})$  is separable, and  $C_b(\mathbb{R})$  is not separable.

Let's look at  $L^{\infty}([0,1],m)$ , where m denotes the Lebesgue measure. This is a  $C^*$ -algebra, commutaitve, unital. Could you exhibit any linear functionals on  $L^{\infty}$ 

Note that  $L^2([0,1],m)$ , a Hilbert space, as an algebra on  $L^2,L^\infty$  is closed for the strong operator topology on  $\mathcal{B}(\mathcal{H})$  by the seminorm,

$$T \in \mathcal{B}(\mathcal{H}), T \to ||t\xi||, \text{ for } \xi \in \mathcal{H}$$

For example, figure 1. We say that  $L^{\infty}([0,1])$  is a von Neumann algebra. Every commutative von Neumann algebra looks like some  $L^2([0,1],\mu)$ . But note that noncommutative ones are quite interesting.

For a commutative Banach algebra over C, the Gelfand transform

$$a \mapsto \widehat{a}$$

We have

$$\|\widehat{a}\|_{\infty} = r(a)$$

1.0

#### Proposition 1.33 (Gelfand isometric condition)

If  $||a^2|| = ||a||^2$ , then the Gelfand transform is isometric. Thus we have

$$\|\widehat{a}\|_{\infty} = \|a\|$$

#### **Definition 1.16 (Involution \***

For an involution on an algebra over  $\mathbb{C}$ , is a map \* from  $A \to A$ , with the properties

1. 
$$(a^*)^* = a$$

2. 
$$(a+b)^* = a^* + b^*$$

3. 
$$(\alpha a)^* = \overline{\alpha} a^* \text{ for } \alpha \in \mathbb{C}$$
.

4. 
$$(ab)^* = b^*a^*$$

#### **Definition 1.17 (Banach \* algebra**

If A has a norm, then we say it is a \* normed if

$$||a^*|| = ||a||$$

If A is complete, then it is called a Banach \* algebra.

Let G be a discrete group, let  $\mathcal H$  be a Hilbert space, then  $Aut(\mathcal H)=U(\mathcal H)$  is the group of unitary operators on  $\mathcal H$  to itself. By a unitary representation of G on  $\mathcal H$ , we mean

#### **Definition 1.18 (Unitary representation)**

A unitary representation of G on  $\mathcal{H}$  is a homomorphism  $\pi: G \to U(\mathcal{H})$ .

We note that  $C_c(G) \subset l^1(G)$ , and

$$\pi_f = \sum_{x \in G} f(x)\pi_x, \pi_f \pi_g = \pi_{f*g}$$

Now we ask what is  $(\pi_f)^*$ ?

$$(\pi_f)^* = \sum \overline{f(x)} \pi_x^* = \sum_{x \in G} \overline{f(x)} \pi_{x^{-1}} = \sum \overline{f(x^{-1})} \pi_x$$

So we gets

$$(f^*)(x) = \overline{f(x^{-1})}$$

This defines an involution on  $l^1(G)$ . And it is easy to check that

$$||f^*||_1 = ||f||_1$$

Remark The same process would not work for semingroups without the presence of  $x^{-1}$  necessarily.

We would like to think of this involution as some sort of complex conjugation.

#### **Definition 1.19**

Let A be a Banach \*-algebra is symmetric if whenever  $a \in A$ , and  $a^* = a$ , then

$$\sigma(a) \subset \mathbb{R}$$

If one looks at  $l^1$  over noncommutative groups, some are symmetric, some are not.

**Example 1.7** Let  $\mathcal{A} = \mathbb{C} \bigoplus \mathbb{C}$ , with  $\|\cdot\|_{\infty}$ . We define an involution:

$$(\alpha, \beta)^* = (\overline{\beta}, \overline{a})$$

This is a well-defined involution. However, this is not symmetric under this involution.

#### **Proposition 1.34**

If G is commutative, then  $l^1(G)$  is symmetric.

# $\widehat{\mathcal{A}} = \{ \text{ set of homomorphisms } G \to \mathbb{T} \}$

This is symmetric.

### **Proposition 1.35**

Let A be an abstract, unital  $C^*$ -algebra, then A is symmetric.

This is quite strong! (Every  $C^*$ -algebra is symmetric).

# Lecture 11

Let A be a \*-Banach algebra.

### **Definition 1.20 (symmetric \*-algebra)**

A is symmetric if for any  $a \in A$ , we have

$$a^* = a$$

we have  $\sigma(a) \in \mathbb{R}$ .

Note that a  $C^*$  algebra is necessarily a \*-algebra. Hence we have

$$||a||^2 = ||a^*a|| \le ||a^*|| ||a||$$

And we apply it to  $a^*$ , hence we get

$$||a^*|| \le ||a||$$

Hence the involution property is satisfied.

#### Proposition 1.36 ( $C^*$ -algebras are symmetric

Let A be a unital  $C^*$ -algebra, i.e. we have

$$||a^*a|| = ||a||^2, \forall a \in \mathcal{A}$$

Then A is symmetric, i.e.  $a^* = a$ .

In the Gelfand Noimark paper (1943),

**Proof** [Arens Truck, 1946] Given  $a \in A$  with  $a^* = a$ , for any  $t \in \mathbb{R}$ , let b = a + it, we look at  $b^*b = (a - it)(a + it) = a^2 + t^2$ . So we have

$$||b^*b|| \le ||a^2|| + t^2$$

Now let  $\lambda \in \sigma(a)$ , with  $\lambda = r + is$ , we would like to show s = 0. Note we have  $\lambda + it \in \sigma(b)$ , this gives

$$\lambda + it = r + i(s+t) \in \sigma(b)$$

Then we have

$$|r + i(s+t)| \le ||b||$$

Hence

$$r^{2} + (s+t)^{2}|r+i(s+t)|^{2} \le ||b^{2}|| = ||b||^{2} = ||b^{*}b|| \le ||a^{2}|| + t^{2}$$

We thus have

$$r^2 + s^2 + 2st < ||a^2||$$
, for all t

This gives s = 0.

Let's step back. Let  $\mathcal{A}$  be a commutative symmetric Banach \*-algebra. Then if  $a \in \mathcal{A}$ , and if  $a^* = a$ , so  $\sigma(a) \subset \mathbb{R}$ . But  $\sigma(a) = Range(\widehat{a})$ , so  $\mathcal{A}$  is an  $\mathbb{R}$ -valued function on  $\mathcal{A}$ .

For any  $a \in \mathcal{A}$ , we have

$$a = \frac{a+a^*}{2} + i\frac{a-a^*}{2i} = a_r + ia_i$$

then  $\widehat{a} = \widehat{a_r} + i\widehat{a_i}$ , note  $a^* = a_r - ia_i$ , then we have

$$\widehat{a^*} = \widehat{a_r} - i\widehat{a_i} = \overline{\widehat{a}}$$

Thus, we have

$$\widehat{a^*} = \overline{\widehat{a}}$$

So  $a \mapsto \widehat{a}$  is a \*-algebra homomorphism of  $\mathcal{A}$  into  $C(\widehat{\mathcal{A}})$ .

### **Definition 1.21 (separation of points by functions)**

A collection of functions  $\{f\}_j$  defined on X is said to separate points if for all  $x, y \in X$ , such that  $x \neq y$ , there exists f such that we have

$$f(x) \neq f(y)$$

### **Proposition 1.37**

For any unital commutative Banach algebra A, then Gelfand transform  $a \mapsto \widehat{a}$ , separates the points of  $\widehat{A}$ .

**Proof** We prove the contrapositive, if we assume for all  $\widehat{a}$ , we have  $\widehat{a}(\varphi) = \widehat{a}(\psi)$ , then we would like to show  $\varphi = \psi$ . If  $\varphi, \psi \in \widehat{\mathcal{A}}$ , and  $\widehat{a}(\varphi) = \widehat{a}(\psi)$ , then

$$\varphi(a) = \psi(a)$$
, for all a

Hence  $\varphi = \psi$ .

#### **Proposition 1.38**

If A is a unital symmetric Banach \*-algebra, then the image of  $\Gamma$  is dense in  $C(\widehat{A})$ .

**Proof** [Key ingredient: Stone-Weierstrass]  $\{\Gamma(\varphi): \varphi \in \widehat{\mathcal{A}}\}$  is a unital subalgebra of  $C(\widehat{\mathcal{A}})$  that separates the points of  $\mathcal{A}$ , and is closed under taking complex conjugates, so Stone-Weierstrass theorem applies (a compact space and a unital subalgebra of continuous functions that separates the points of the space, and closed under complex conjugation, then this algebra is dense for the  $\|\cdot\|_{\infty}$  norm).

# **Theorem 1.8 (Little Galfand-Naimark theorem)**

Let  $\mathcal{A}$  be a unital commutative  $C^*$ -algebra (abstract, which doesn't have to include hilbert space), then the Gelfand transform

$$\widehat{a}(\varphi) = \varphi(a)$$

is an isometric \*-isomorphism of A into  $C(\widehat{A})$ , i.e.  $\|\widehat{a}\| = \|a\|$ .

**Proof** Since  $\mathcal{A}$  is symmetric, the range of the Gelfand transform is dense. We also saw that  $||a^{2^n}|| = ||a||^{2^n}$ , so the spectral radius of a,  $r(a) = ||a|| = ||\Gamma(a)||$ .

Therefore  $a \mapsto \Gamma(a)$  is isometric, and the range of  $\Gamma(a)$  is norm-closed.

**Remark** In a commutative Banach algebra, we have  $r(a) = \|\widehat{a}\|_{\infty}$ , and have  $r(ab) \leq r(a)r(b)$ , hence  $\|a^*a\| = \|a\|^2$ ,  $r(a^*a) \leq r(a)^2$ , we have

$$||a||^2 \le r(a)^2 \le ||a||^2$$

For  $T \in \mathcal{B}(\mathcal{H})$ , and  $T = T^*$ , then

$$C^*(T,I) = \cong C(\sigma(T))$$

4

#### **Proposition 1.39**

Let G be a commutative group, then  $l^1(G)$  with its \* is symmetric.

**Proof** Let  $\mathcal{A}=l^1(G)$ , then  $\widehat{\mathcal{A}}$  is isomorphic to the homomorphisms of G into T. If  $\varphi\in\widehat{\mathcal{A}}$ , then

$$\varphi(f) := \sum_{x \in G} f(x)\varphi(x), f \in l^1(G)$$

Then

$$\varphi(f^*) = \sum f^*(x)\varphi(x) = \sum \overline{f(x^{-1})}\varphi(x) = \sum \overline{f(x)}\varphi(x^{-1}) = \sum \overline{f(x)}\varphi(x) = \overline{\varphi(f)}$$

Note how we might have homomorphisms not mapping into  $\mathbb{T}$  if G is not commutative.

#### **Proposition 1.40**

For G commutative, the range of the Gelfand transform  $\Gamma$ , which is the Fourier transform (for  $f \in l^1(\mathbb{Z})$ , we have  $\widehat{f}(e^{i\theta}) = \sum f(n)e^{i\theta^n}$ , note  $\widehat{\mathbb{Z}} = \mathbb{T}$ ) in this setting, this is dense in  $C(\widehat{G})$ . However, this is not isometric, and the range is not norm-closed unless G is finite.

# 1.10 Lecture 12

Recall last time, we proved the Little-Galfand-Naimark theorem.

#### Theorem 1.9

Let A be a unital commutative  $C^*$ -algebra then we have

$$\mathcal{A} \cong C(\widehat{\mathcal{A}})$$

And  $\widehat{\mathcal{A}}$  is comapet.

#### Definition 1.22

Let C be a category, we think of objects as categories X,Y, with morphisms in between X,Y, the abstract dual of C, which is another category, has the same objects, but you reverse all the morphisms (arrows).

Next we introduce the important summary of the things we've been doing.

#### Theorem 1.10

The category of unitial commutative  $C^*$ -algebras, with unital \*-homomorphisms is a concrete realization of dual of the category of compact Hausdorff spaces.

$$X \to y$$

$$C(X) \leftarrow C(Y)$$

X is normal? if C(X) contains no proper projects, i.e. elemnets like P such that

$$P^2 = P = P^*$$

We now look at the following.

#### **Proposition 1.41**

Let A be a unital Banach algebra, and let  $a_0 \in A$ , suppose A is generated by  $a_0$ , i.e.e the norm closure of all the polynomials in  $a_0$ , with the identity  $1_A$ . Then the  $\widehat{A}$  is homeomorphic to  $\sigma(a_0)$ , via  $\varphi \in \widehat{A}$ ,

$$\varphi \mapsto \varphi(a_0) \in \sigma(a_0)$$

**Proof**  $\varphi$  is entirely determined by  $\varphi(a_0)$ , hence the map above is one-to-one. note that for every element in  $\lambda \in \sigma(a_0)$ , there exist  $\varphi$  such that  $\varphi(a_0) = \lambda$ . Hence the map is also surjective.

Let  $\mathcal{A}$  be a unital  $C^*$ -algebra, and let  $a \in \mathcal{A}$  and  $a^* = a$ , then  $C^*(a, 1_{\mathcal{A}})$  is commutative, and unital, and generated by a so that

$$\widehat{B} = \sigma_B(a)$$

such that  $\mathcal{B} = C(\widehat{\mathcal{B}}) = C(\sigma(a))$ , hence we have

$$C^*(a,1) \cong C(\sigma(a)), a \mapsto \widehat{a}$$

The continuous functional calculus (dealt with operators on a Hilbert space). Still we assume  $a^* = a$ .

Given  $f \in C(\sigma(a))$ , then there exists a  $b \in C^*(a, 1)$ , such that

$$\hat{b} = f$$

we denote b as f(a), then

$$f \mapsto f(a)$$

is a \*-homomorphism of  $C(\sigma(a))$  onto  $C^*(a,1)$ , and so into A.

#### Corollary 1.7

If  $T \in \mathcal{B}(\mathcal{H})$ , and if  $T^* = T$ , then for any function  $f \in C(\sigma(T))$ , we can form f(T). This is part of the spectral theorem.

#### Definition 1.23

In any unital  $C^*$ -algebra, and  $a \in A$ , we say that a is normal if  $a^* \in C^*(a, 1)$ , and  $a^*$  and a commute.

We introduce the spectral permanents)

#### Theorem 1.11

Let A be a unital  $C^*$ -algebra, and let B be a unital  $C^*$ -subalgebra of A. Then for any  $b \in B$ , we have

$$\sigma_{\mathcal{B}}(b) = \sigma_{\mathcal{A}}(b)$$

Note that we are not requiring commutativity for A or B.

**Proof** Note that we already have  $\sigma_{\mathcal{A}}(b) \subset \sigma_{\mathcal{B}}(b)$  (an element not invertible in  $\mathcal{B}$  may be invertible in  $\mathcal{A}$ ). Then to show the other inclusion. We will first assume that  $b^* = b$ . If b has an inverse in  $\mathcal{A}$ , then it has a inverse in  $\mathcal{B}$ . So suppose c is an inverse in  $\mathcal{A}$  for b, then

$$cb = 1_{\mathcal{A}} = bc$$

Now it suffices to consider  $(b-\lambda 1)^{-1}$ . Assume b is not invertible in  $\mathcal{B}$  Consider the  $C^*$  algebra generated by b, 1, then  $C^*(b, 1) := \mathcal{B}_1 \subset \mathcal{B}$ . If b is not invertible in  $\mathcal{B}$ , then b is not invertible in  $\mathcal{B}_1$ , hence  $\hat{b}$  has no inverse in  $C(\sigma_{\mathcal{B}}(\mathcal{H}))$ : which has a criterion that it takes nonzero elements to 0.

Thus  $\hat{b}$  takes value 0 at some  $\lambda \in \sigma_{\mathcal{B}}(b)$ , and note that  $\hat{b}$  is continuous, there is an open neighborhood of  $\lambda_0$  such that

$$|\widehat{b}(\lambda)| \le \frac{1}{2\|c\|}$$

So by Urysohn's lemma, there is a  $g \in C(\sigma(b))$  such that  $supp(g) \subset O$ , and g = 0 outside of O, with  $||g||_{\infty} = 1$ .

Then for d := g(b), so for the Gelfand transform,

$$\widehat{g(b)} = g$$

we have  $\|\widehat{b}g\|_{\infty} \leq \frac{1}{2\|c\|}$ , then

$$||db|| \le \frac{1}{||c||}$$

then

$$1 = ||g||_{\infty} = ||d|| = ||(cb)d|| \le ||c|| ||bd|| \le ||c|| \frac{1}{2||c||} = \frac{1}{2}$$

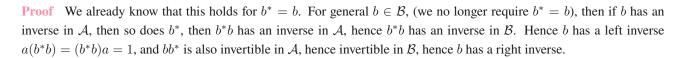
Hence we've reached a contradiction. Hence the self-adjoint case is done.

# 1.11 Lecture 13

Let  $\mathcal{A}$  be a unital  $C^*$ -algebra, and  $\mathcal{B}$  be a unital  $C^*$ -subalgebra (implying the same unit), and in previous lecture, we saw if  $b \in \mathcal{B}$ , and  $b^* = b$ , and if b has an inverse in  $\mathcal{A}$ , then it has an inverse in  $\mathcal{B}$ . This implies that

$$\sigma_{\mathcal{B}}(b) = \sigma_{\mathcal{A}}(b)$$

Let A be a  $C^*$ -algebra, and B subalgebra, if b is invertible in A, then b is invertible in B.



if we look at the shift operators on  $l^2(\mathbb{N})$ ,

$$Se_n = e_{n+1} \tag{1.3}$$

Then the adjoint of this would be

$$S^*(e_n) = \begin{cases} e_{n-1}, n \ge 2\\ 0, n = 1 \end{cases}$$

Then we have

$$S^*S = I_{\mathcal{H}}, SS^* = I - P_{e_1}$$

where  $P_{e_1}$  is the projection onto  $e_1$ .

Let 
$$\mathcal{A} = l^1(\mathbb{N}_{>0})$$
, and let  $\mathcal{D} = \{z \in \mathbb{C} : |z| \leq 1\}$ .

If  $f \in l^1(\mathbb{N})$ , and if  $\hat{f} \in C(D)$ , then nowhere takes value 0, then  $\hat{f}$  is invertible as a function in C(D), and  $\hat{f}$  has absolutely convergence power series, then  $\frac{1}{\hat{f}}$  also has an absolutely convergence power series.



Note If we take  $A = l^1(\mathbb{Z})$ , so  $\widehat{A} = \mathbb{T}$ , and if  $\widehat{f}$ , which is the Fourier series, nowhere takes value 0 on  $\mathbb{T}$ , then the function  $\frac{1}{\hat{\epsilon}}$  has absolutely convergent Fourier series. (This is much harder to prove).

Let G be a group and let  $(\mathcal{H}, U)$  be a unitary representation of G on  $\mathcal{H}$ , and let  $U: G \to U(\mathcal{H})$ , and  $l^1(G)$  with convolution, for  $f \in l^1(G)$ , and for

$$U_f = \sum f(x)U_x \in \mathcal{B}(\mathcal{H})$$

with  $||U_f|| \le ||f||_{L^1}$ . We then define

$$f^*(x) := \overline{f(x^{-1})}, U_f^* = (U^*)_f$$

We take  $G = SL(n, \mathbb{Z})$ , the  $n \times n$  matrices with  $det(T) = 1, T \in SL(n, \mathbb{Z})$ .

**Problem 1.1** What are the unitary representations of G on  $l^1(G)$ 

G acts on G by left translations  $\alpha$  (actions), and acts on G/H (sets of cosets) for H any subgroups. Note that G/Hare often called homogenous spaces. If G acts on a set M, consider  $l^p(M)$ , then G acts on isometries on  $l^p(M)$ , by

$$(\alpha)x\xi(y) = \xi(\alpha_x^{-1(y)})$$

$$X \to Y, C(X) \leftarrow C(Y)$$

In particular, this action on  $l^2(M)$  is unitary.

\*

### **Definition 1.24 (Left regular representation)**

The representation U of G on  $l^1(G)$  is called the left regular representation of G if we define, for  $x,y \in G$ ,  $\xi \in l^2(G)$ , we have

$$(U_x \xi)(y) = \xi(x^{-1}y)$$

We now, naturally define the integrated form of the representation of G on  $l^1(G)$ :

### **Definition 1.25 (integrated form of a representation)**

We define  $U_f \in End(l^1(G))$ , we define  $U_f$  naturally as follows: let  $\xi \in l^1(G)$ , and

$$U_f(\xi) = \sum_{g \in G} f(g)U_x(\xi)$$

i.e.  $U_f = \sum_{x \in G} f(x)U_x$ , where  $U_x \in End(V)$  by

$$x \xrightarrow{U} U_x$$

where U is the map  $: G \to End(l^1(G))$ .

#### **Definition 1.26 (Reduced** C\*-algebra

The operator norm closure of  $\{U_f : f \in l^1(G)\} \in \mathcal{B}(l^1(G))$  is called the reduced  $C^*$ -algebra of G, denoted as  $C_r^*(G)$ .

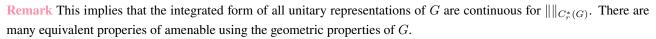


Note Again, the defining property of a  $C^*$ -algebra is  $||a^*a|| = ||a||^2$ 

In 1975, we see that  $C_r^*(F_2)$  is simple, and has no proper ideals, note  $F_2$  can be thought of the group generated by  $a, b, a^{-1}, b^{-1}$  with unit. Note that the trivial representation is not continuous for  $\|\cdot\|_{C_x^*}$ .

#### **Definition 1.27 (amenable groups)**

G is amenable if the integrated form of trivial representation if continuous for  $\|\cdot\|_{C^*_*}$ .





Note All commutative groups are amenable.

#### Definition 1.28 (Non-degenerate representation)

Let  $\pi$  be a representation of A on V,  $\pi: A \to V$ , then  $\pi$  is non-degenerate if

the linear span of  $\{\pi(a)v: a \in \mathcal{A}, v \in V\}$  is dense in V



(In short, it's a representation that is injective.) The left representation is faithful if whenever  $U_f = 0$ , then we have  $f = 0, f \in l^1(G)$ 

**Proof**  $l^1(G)$ , with convolution, with identity  $\delta_e$ . note that  $\delta_e \in l^2(G)$ . If we consider  $\delta_e \in l^2(G)$ , and we look at  $U_f \delta_e = f \in l^2(G)$ 

Because we have the embedding  $l^1 \subset l^2$ .

Now assume that G is commutative, then we consider  $l^1(G)$  acts on  $l^2(G)$ , and

$$C_r^*(G) = C^*(G) = C(\widehat{G})$$

note that we still have  $||f||_{C^*_{\infty}(G)} \leq ||f||_{L^1}$ .

# **1.12** Lecture 13

Let G be commutative, and  $(l^2(G), U)$  be the left regular representation. We have the interal form  $U_f, hf \in l^1(G)$ , and

$$U: l^1(G) \to \mathcal{B}(l^2(G))$$

and we let

$$C_r^*(G) = \{U_f : f \in l^1(G)\}^-$$

where we take the closure with respect to the operator norm.

For G commutative, we have  $C_r^*(G)$  is a commutative  $C^*$ -algebra hence

$$\mathcal{A} := C_r^*(G) \cong C(\widehat{\mathcal{A}})$$

We've shown last time, that U is injective from  $l^1(G)$  to  $C^*_r(G)$ . Each  $\varphi \in \widehat{\mathcal{A}}$  a multiplicative linear functional, we have

$$f \mapsto \varphi(\pi_f) \in (l^1(G))$$

For any  $f \in l^1(G)$ , and  $f \neq 0$ , we have  $U_f = 0$ , and the map is injective, hence  $\pi_f = 0$ , we have, there exists  $\varphi$  such that  $\varphi(\pi_f) \neq 0$ .

# Corollary 1.8 (largeness of the dual group)

 $\widehat{G}$  is big enough given that  $f,g\in l^1(G)$ , if  $f\neq g$ , then there exists  $\varphi\in \widehat{G}$  succh that

$$\widehat{f}(\varphi) \neq \widehat{g}(\varphi)$$

In other words  $\varphi(f) \neq \varphi(g)$ .

We now state the big Galfand-Naimark theorem.

#### Theorem 1.13 (Big Gelfand-Naimark)

Let A be an abstract  $C^*$ -algebra, e.g. a Banach \*-algebra such that

$$||a^*a|| = ||a||^2, \forall a \in \mathcal{A}$$

Then there exists a \*-representation  $\pi$  of A on a Hilbert space  $\mathcal{H}$  which is isometric, i.e.

$$\|\pi(a)\| = \|a\|$$

And

$$\mathcal{A} \cong \{\pi(a) : a \in \mathcal{A}\}$$



**Note** In Little Galfand-Naimark,  $C(\widehat{A})$  is explicitly determined, but for big, it is not determined.

For X a compact space, C(X) is a  $C^*$ -algebra.

Consider  $X_d$ , which is discrete, and take  $l^1(X_d)$  with the counting measure, is called the atomic representation.

Take any Borel measure  $\mu$  on X, we have

$$L^2(X,\mu)$$

If  $\mu$  has full support, then map of C(X) is isometric.

Now to introduce positive linear functionals on  $\mathcal{A}$ . Let  $\mathcal{A}$  be a \*-algebra, and  $\pi: \mathcal{A} \to \mathcal{B}(\mathcal{H})$  a \*-representation. Let's take any  $\xi \in \mathcal{H}$ , and  $\xi \neq 0$ , define

$$\varphi_{\xi}(\mathcal{A}) = \langle \pi(a)\xi, \xi \rangle$$

then we look at

$$\varphi(a^*a) = \langle \pi(a^*a)\xi, \xi \rangle = \langle \pi(a)\xi, \pi(a)\xi \rangle \ge 0$$



**Note** For  $f \in C(X)$ , we always have  $f^*f \geq 0$ .

#### **Definition 1.30 (Positive linear functionals)**

If  $\varphi$  is a linear functional on C(X), such that for all f,

$$\varphi(f^*f) \ge 0$$

**Remark** They are always continuous. And they give rise to a measure  $\mu_{\varphi}$  on X. You think of  $a^*a$  as being "positive."

### **Proposition 1.43**

If A is a  $C^*$ -algebra, if  $a, b \in A$ , there exists c such that

$$a^*a + b^*b = c^*c$$

#### **Definition 1.31 (positive linear functionals)**

It A is a \*-algebra, and if  $\varphi$  is a linear functional on A, if for all  $a \in A$ , we have

$$\varphi(a^*a) \ge 0$$

then we call  $\varphi$  **positive**.

### **Proposition 1.44**

If  $\varphi, \psi$  are positive, then  $r\varphi + s\psi$ , with  $r, s \in \mathbb{R}^+$ , then the positive linear functionals form a **cone**.

Let  $\mathcal{A}$  be a \*-algebra, and let  $\varphi$  be a positive linear functional on  $\mathcal{A}$ , then define a pre-inner product on  $\mathcal{A}$  by

$$\langle a, b \rangle_{\varphi} = \varphi(b^*a)$$

Then we have

$$\langle a, a \rangle_{\varphi} = \varphi(a^*a) \ge 0$$

#### Proposition 1.45

For  $\langle a,b\rangle_{\varphi}=\varphi(b^*a)$  defines a pre-inner product on  $\mathcal{A}$ . (A pre-inner product does not require  $\langle \xi,\xi\rangle=0$  implies  $\xi=0$ .)

**Proof** We have  $\overline{\langle a,b\rangle_{\varphi}} = \langle b,a\rangle_{\varphi}$ .

# 1.12.1 GNS construction

Now for a positive linear functional, we attempt to associate a cyclic representation with it: we will define a pair  $(\pi, \mathcal{H})$  such that it satisfies:

$$\varphi(a) = \langle \pi(a)\xi, \xi \rangle$$

Let  $\eta_{\varphi}=\{a\in\mathcal{A}:\langle a,a\rangle_{\varphi}=0\}$ , then if  $b\in\mathcal{A},a\in\eta_{\varphi}$ , then we have

$$|\langle ba, ba \rangle| = |\langle b^*ba, a \rangle| \le \langle a, a \rangle^{1/2} = 0$$

So we get that  $\eta_{\varphi}$  is an ideal of  $\mathcal{A}$ , so form  $\mathcal{A}/\eta_{\varphi}$ , then

$$\langle , \rangle_{\varphi}$$

drops to an inner product on  $\mathcal{A}/\eta_{\varphi}$ , denote its complement by  $L^{2}(a,\varphi)$ , if we are given  $c \in \mathcal{A}$ , let  $\pi$  be the left regular representation of  $\mathcal{A}$  on  $\mathcal{A}$  via

$$\pi_c a = ca$$

then we have

$$\langle \pi_c a, b \rangle_{\varphi} = \langle ca, b \rangle = \varphi(b^*ca) = \varphi((c^*b)^*a) = \langle a, c^*b \rangle = \langle a, \pi(c^*)b \rangle$$

Then the left regular representation "is" a \*-representation on  $\mathcal{A}$ , this drops to a \*-representation on  $\mathcal{A}/\eta_{\varphi}$ .

Next time: we show  $\pi_c$  is not continuous, and we will use polynomials. Now if you assume  $\mathcal{A}$  is Banach \*-algebra, then this  $\pi_c$  are always bounded. **GNS representation**, where S is Siegel.

# 1.13 Lecture 15

Recall the GNS construction. Given a \*-normed unital algebra A, and a positive linear functional  $\mu$  on A, we mean

$$\mu(a^*a) \ge 0, \forall a \in \mathcal{A}$$

Now we define a pre-innner product on A by

$$\langle a, b \rangle_{\mu} = \mu(b^*a)$$

And one could check this is indeed a pre-inner product.

- 1.  $\langle a, b \rangle_{\mu} = \langle b, a \rangle_{\mu}$
- 2.  $\langle a+b, a+b \rangle_{\mu} \geq 0$

If we let  $\eta_{\mu} = \{a \in \mathcal{A} : \langle a, a \rangle = 0\}$ , then by Cauchy-Schwarz inequality, we would show that  $\eta_{\mu}$  is a left ideal. Form  $\mathcal{A}/\eta_{\mu}$ , then  $\langle,\rangle$  becomes an inner product on  $\mathcal{A}/\eta_{\mu}$ .

One could complete  $\mathcal{A}/\eta_{\mu}$  to get a Hilbert space  $L^{2}(\mathcal{A},\mu)$ . Then we have

$$\langle f, g \rangle = \int f \overline{g} d\mu$$

#### **Definition 1.32 (left regular representation)**

For  $c \in \mathcal{A}$  , define the left regular representation  $\pi$  on  $\mathcal{A}$  and on  $\mathcal{A}/\eta_{\mu}$  via

$$\pi_c a = ca, a \in \mathcal{A}$$

Then we have

$$\langle \pi_c a, b \rangle_{\mu} = \langle ca, b \rangle_{\mu} = \langle a, \pi_c^* b \rangle$$

Hence  $\pi$  is a \*-representation.

Now let P be the algebra of polynomials with complex coefficients, viewed as functions on  $\mathbb{R}$ . Define  $\mu$  on P as follow:

 $\mu(p) = \int_{-\infty}^{\infty} p(t)e^{-t^2}dt$ 

Then our  $\mu$  is a positive linear functional. Then we identify this as  $L^2(\mathbb{R}, e^{-t^2}dt)$ .

#### **Proposition 1.46**

Let  $A, \mu$ , assume A is complete, let 1 denote the unit of the algebra. And  $\mu$  is continuous with  $\|\mu\| = \mu(1)$ .

Remark By completeness, you immediately get continuity in some cases.

**Proof** Now we introduce the main lemma.

unfinished

#### **1.14 Lecture 16**

We now discuss classical physics and quantum physics.

All possible "states" for the system. Then you have observables.  $\mathbb{R}$ -valued for the phase space P.

If the configuration space is  $\mathbb{R}$ , the velocities are given as  $\mathbb{R}$  numbers, then the phase space is the cartesian product  $\mathbb{R} \times \mathbb{R}$ , and the Poisson bracket is given by

$$\{f,g\} = \left(\frac{\partial f}{\partial x}\frac{\partial g}{\partial y} - \frac{\partial f}{\partial y} - \frac{\partial g}{\partial x}\right)(p)$$

In quantum physics, there is no configuration space that we work with. The phase space becomes a  $C^*$ -algebra, usually non-commutative. And the observables are the self-adjoint elements of  $\mathcal{A}$ , where  $\mathcal{A} = \mathcal{B}(\mathcal{H})$ , and such choice of Hilbert space is not unique. Possibles values for an observable  $a = a^*$  form  $\sigma(a)$ .

When you observe a system in a certain state, and you measure and observable a several times, you can get different values (all in  $\sigma(a)$ ).

For the system in a given state, there is a porobablity measure on  $\sigma(a)$  that gives you the probability of getting a particular value of a. As the system evolves, this probably changes.

The **state** (this is why we call them states, i.e. the set of linear transformations that have norm 1) space is the set of all positive linear transformations on  $\mathcal{A}$  of norm 1,  $\mu$ , then for any observable a, form

$$C^*(a,1) \cong C(\sigma(a))$$

Then the restriction of  $\mu$  to  $C^*(a, 1)$  give syou a probability measure on  $\sigma(a)$ .

Experiments show that for certain pairs of observables a, b, it is impossible to measure both simultaneously at high accuracy.

The way this is modeled is that it happens exactly if  $ab \neq ba$ , i.e. non-commutativity makes us impossible to measure them simultaneously.

#### **Definition 1.33 (Poisson bracket)**

For a, b observables such that they are noncommutative, we define the Poisson bracket as follows:

$$i[a,b] = ab - ba$$

For the evolution of a quantum system given by  $\mathbb{R} \mapsto Aut(\mathcal{A})$ , and  $t \mapsto \alpha_t$ , and states evolve by  $\mu \mapsto \mu \circ \alpha_t$ . If we let this Planck's constant h go to 0, then we have

$$ih[a,b] \rightarrow$$
 Poisson bracket

i.e. getting back from quantum back to the classical system.

Let  $\mathcal{A}$  be a \*-normed unital algebra, and  $\mu$  is a continuous positive linear functional, then  $L^2(\mathcal{A}, \mu)$  and  $\pi^{\mathcal{H}} : \mathcal{A} \to \mathcal{B}(L^2(\mathcal{A}, \mu))$ .

Let  $\xi_{\mu} = 1_{\mathcal{A}}$ , viewed as an element of  $L^{2}(\mathcal{A}, \mu)$ , and

$$\{\pi_{\mu}(a)\xi_{\mu}: a \in \mathcal{A}\} = \mathcal{A}/\pi_{\mu}$$

viewed in  $L^2(\mathcal{A}, \mu)$  is dense in  $L^2(\mathcal{A}, \mu)$ .

#### **Definition 1.34**

If we have A some representation  $(\mathcal{H}, \pi)$ , and a vector  $\xi \in \mathcal{H}$  is said to be a cyclic vector of  $\{\pi_{\mu}\xi : a \in A\}$  is dense in  $\mathcal{H}$ .

# 1.15 Lecture 17

If you look at  $\mathcal{A}$  a \*-normed unital algebra, and  $\mu$  a continuous linear functional, and the GHS representation:  $(\mathcal{H}_{\mu}, \pi_{\mu}, \xi_{\mu})$ , where  $\overline{\mathcal{A}/\eta_{\mu}}$ , where  $\xi_{\mu}$  is the unital element  $1_{\mathcal{A}}$  in the Hilbert space.

Given any \*-representation of A on K, and any vector  $\xi \in K$ , let

$$\mu_{\xi}(a) = \langle \pi(a)\xi, \xi \rangle$$

Now we ask what positive linear functional comes from the cyclic vector  $\xi_{\mu}$ , and we look at

$$\langle \pi_m u(a) \xi_\mu, \xi_\mu \rangle_\mu$$

where it is essentially

$$\langle a1_{\mathcal{A}}, 1 \rangle = \mu(a)$$

Every state is a vector state, for some representation, namely, the GNS representation.

Consider the set  $\{\pi(a): a \in A\}$ , or for a unitary representation U of a group G, consider the set

$$\{U_x : x \in G\}$$

More importantly, given a Hilbert space  $\mathcal{H}$ , let S be a subset of the bounded operators on  $\mathcal{H}$ ,  $\mathcal{B}(\mathcal{H})$  such that if  $a \in S$ , then  $a^* \in S$ , and  $I_H \in S$ .

#### **Definition 1.35**

A subspace  $K \subset H$  is said to be S-invariant (where  $S \subset \mathcal{B}(\mathcal{H})$ ), if whenever  $\xi \in K$ , and  $a \in S$ , and  $a\xi \in K$ . You could write  $aK \subset K$ .

#### Proposition 1.47

If K is S-invariant, then so is  $K^{\perp}$ .

**Proof** Let  $\xi \in K^{\perp}$ ,  $a \in S$ , want to show that  $a\xi \in K^{\perp}$ .

Let  $\eta \in K$ , then  $\langle a\xi, \eta \rangle = \langle \xi, a^*\eta \rangle = 0$ . This is true for all  $\eta$ , hence  $a\xi \in K^{\perp}$ .

Let  $\mathcal{A}$  be \*-normed unital algebra, and let  $(\mathcal{H}, \pi)$  be a \*-representation of  $\mathcal{A}$  on  $\mathcal{H}$ . Choose  $\xi \in \mathcal{H}$ , and let

$$K_{\xi} = \overline{\{\pi(a)\xi : a \in \mathcal{A}\}} \subset \mathcal{H}$$

This is a  $\{\pi(a): a \in \mathcal{A}\}$ -invariant subspace. If we look at  $K_{\mathcal{E}}^{\perp}$ , this is also  $\{\pi(a): a \in \mathcal{A}\}$ -invariant.

#### Definition 1.36

If  $(\mathcal{H}, \pi)$  a representation of  $\mathcal{A}$ , and  $\mathcal{H}$  contains no proper nonempty  $\pi$ -invariant closed subspaces, we say then  $(\mathcal{H}, \pi)$  is irreducible. (This gives the name "simple module.")

If  $K_\xi^\perp$  is not the zero subspace, then you can choose  $\xi_1 \in K_\xi^\perp, \xi_1 \neq 0$ .

Set  $K_{\xi_1}$  to be the cyclic subspace generated by  $\xi_1$ , and  $\pi$ -invariant, if  $K_{\xi_1}^{\perp}$  is  $\pi$ -invariant. And if it's not the zero subspace, then you could repeat this process.

If  $\mathcal{H}$  is finite-dimensional, then can what we said above to get

$$\mathcal{H} = K_1 \bigoplus K_2 \bigoplus \dots \bigoplus K_n$$

And for each  $K_j$ , we have  $\pi$  on these is irreducible. You could decompose any  $\mathcal{H}$  into a direct sum of irreducible representations.

What happens when the space is infinite-dimensional? Group G has a representation on  $l^2(G)$ . Given any  $(\mathcal{H}, \pi)$  with  $\mathcal{H}$  infinite-dimensional, you can apply the above process, and may not get any irreducible representations, but you could keep getting **cyclic representations**.

For any Hilbert space, pick unit vector, look at orthogonal subspace, pick a unit vector in that, etc... What happens when you keep on going. Note that **when you keep going, you use Zorn's lemma**.

Via Zorn's lemma, you get the following.

#### **Proposition 1.48**

Every representation is a possibly infinite direct sum of cyclic representations.

We need to define infinite sum of representations.

Let  $\{\mathcal{H}_{\lambda}\}_{\lambda\in\Lambda}$  be a collection of Hilbert spaces. Set the direct sum of these Hilbert spaces  $\bigoplus \mathcal{H}$ , and functions on these to be  $\xi:\Lambda\to\cup\mathcal{H}_{\lambda}:\xi(\lambda)\in\mathcal{H}_{\lambda}$  for all  $\lambda$  and  $\sum_{\lambda\in\Lambda}\|\xi_{\lambda}\|^2<\infty$ , hence we have  $\xi_{\lambda}=0$  except for a finite number of  $\lambda$ 's.

And define  $\langle \xi, \eta \rangle = \sum_{\lambda \in \Lambda} \langle \xi_{\lambda}, \eta_{\lambda} \rangle$ , such that the sum  $\sum \|\xi_n\|^2 < \infty$ , and  $\langle \xi, \eta \rangle = \sum \xi_{\lambda} \overline{\eta}_{\lambda}$ .

You know how to show  $\mathcal H$  is complete under  $\langle \xi, \eta \rangle = \sum \xi_\lambda \overline{\eta}_\lambda$ , then you know how to show  $\bigoplus \mathcal H_\lambda$  is complete under  $\langle \xi, \eta \rangle = \sum_{\lambda \in \Lambda} \langle \xi_\lambda, \eta_\lambda \rangle$ .

If  $\{T_{\lambda}: T_{\lambda} \in \mathcal{B}(\mathcal{H}_{\lambda})\}$ , and  $\bigoplus_{\lambda \in \Lambda} T_{\lambda}$ , and if we define

$$(\bigcap T_{\lambda})(\xi) := \{T_{\lambda}\xi_{\lambda}\}_{\lambda \in \Lambda}$$

and we would like to put these in  $\bigoplus \mathcal{H}_{\lambda}$ , there is a constant c such that  $||T_{\lambda}|| \leq c$  for all  $\lambda$ , i.e.  $T_{\lambda}$  is bounded in norm. Hence

$$||T_{\lambda}\xi_{\lambda}|| \le ||T_{\lambda}|| \cdot ||\xi_{\lambda}|| \le c||\xi_{\lambda}||$$

If  $\mathcal{A}$  is a \*-normed unital algebra, and  $(\mathcal{H}_{\lambda}, \pi_{\lambda})$  is a collection of \*-representation of  $\mathcal{A}$ , continuous, then for  $\bigoplus_{\lambda \in \Lambda} \mathcal{H}_{\lambda}$ , and for  $a \in \mathcal{A}$ , set

$$\bigoplus (\pi_{\lambda})(a)\xi = \{\pi_{\lambda}(a)\xi_{\lambda} : \|\pi_{\lambda}(a)\| \le \|a\|\}$$

and  $\{\xi_{\lambda}\}\in \bigoplus_{\lambda}\mathcal{H}_{\lambda}$ .

By Zorn's lemma, every Hilbert space is a direct sum of cyclic representations.

Next: spectral theorem for self-adjoint operators.

# **1.16 Lecture 18**

### **Definition 1.37 (Equivalent representations)**

Let A be a (group) a \*-algebra, and let  $(\mathcal{H}, \pi)$ , and  $(K, \rho)$  are two \*-representations of A, then these representations are equivalent, if there is a unitary operator  $U: H \to K$ ,  $(U^{-1}: K \to H)$ , such that

$$U\pi(a) = \rho(a)U$$

*U* is the representation that equivalates  $\pi$  and  $\rho$ . "*U* intertwines  $\pi$  and  $\rho$ "

# **Proposition 1.49**

Let A be a unital \*-normed algebra, and let  $(\mathcal{H}, \pi, \xi)$  and  $(K, \rho, \eta)$ , where  $\xi, \eta$  are cyclic vectors, and they each determine a positive linear functional on A.

Let  $\mu_{\xi}$ , and  $\mu_{\eta}$  be the corresponding positive linear functionals, and if  $\mu_{\xi} = \mu_{\eta}$ , then  $(\mathcal{H}, \pi)$  and  $(K, \rho)$  are unitarily equiavalent via a unitary operator such that  $U\xi = \eta$ .

**Proof** Since we want that  $U\xi = \eta$ , then we want

$$U(\pi(a)\xi) = U\pi(a)(\xi) = \rho(a)U\xi = \rho(a)\eta$$

Start by try and define U by

$$U(\pi(a)\xi) = \rho(a)\xi$$

However, this raises the question, is this well-defined? If  $\pi(a)\xi = \pi(b)\xi$ , for some a,b, then do we have  $\rho(a)\eta = \rho(b)\eta$ ? (This would imply U is well-defined).

**Remark** In linear situations like this, if  $\pi(a-b)\xi=0$ , is it true that  $\rho(a-b)\eta=0$ ?

In other words, it suffices to show that if  $\pi(\xi) = 0$ , we have  $\rho(c) = 0$ ?.

$$\|\rho(c)\eta\|^2 = \langle \rho(c)\eta, \rho(c)\eta \rangle = \langle \rho(c^*c)\eta, \eta \rangle = \mu_n(c^*c) = \mu_{\varepsilon}(c^*c) = \langle \pi(c)\xi, \pi(c)\xi \rangle = 0$$

We note that

$$\|\rho(c)\eta\|^2 = \|\pi(c)\xi\|^2$$

The operator preserves norm on a dense subspace, we further have U is unitary.

We now see that U intertwines  $\pi, \rho$ , we have

$$U\pi(a)(\pi(c)\xi) = U\pi(ac)\xi = \rho(ac)\eta = \rho(a)\rho(c)\eta = \rho(a)U(\pi(c)\xi)$$

From this we see

$$U\pi(a) = \rho(a)U$$

#### Corollary 1.9

There is a bijection between the positive linear functionals on A, and the pointed cyclic representations on A.

 $\bigcirc$ 

Let  $\mathcal{A}$  be a commutative unital \*-normed algebra and let  $(\mathcal{H}, \mu)$  be a cyclic \*-representation with cyclic vector  $\xi$ . Then let  $\mathcal{B} = \overline{\pi(a)}$  be the norm closure is a  $C^*$ -algebra. So  $\mathcal{B} = C(X)$ .

Then  $\mu_{\xi}$  is a positive linear functional on  $\mathcal{B}=C(X)$ . Hence  $\mu_{\xi}$  gives a finite regular Borel measure on X,  $\tilde{\mu}_{\xi}$ , so you can form the  $L^2(X,\tilde{\mu}_{\xi})$  with  $\mathcal{B}$  acting on  $L^2(X,\tilde{\mu}_{\xi})$  by pointwise multiplication. This is basically the GNS representation. Then you have the cyclic vector as the constant function 1. And find that  $\mu_1=\mu_{\xi}$ , so

$$(\mathcal{H}, \pi, \xi) \cong (L^2(X, \tilde{\mu}_{\xi}), 1)$$

via the unitary U such that  $U\xi = 1$ .

Given C(X) and any \*-representation of it,  $(H, \pi)$  and you decompose  $(\mathcal{H}, \pi)$  into direct sum of cyclic representations,  $\bigoplus (H_{\lambda}, \pi_{\lambda}, \xi_{\mu})$  where each  $\xi_{\mu}$  is cyclic.

Each one  $(H_{\lambda}, \pi_{\lambda}, \xi_{\mu})$  is isomorphic to some  $L^{2}(X, \mu_{\lambda}, \xi_{\lambda})$ , with C(X) acts pointwise on each  $L^{2}(X, \mu_{\lambda}, \xi_{\lambda})$ . **Example 1.8** Let X = [0, 1], and  $L^{2}(X, m)$ , where m is the Lebesgue measure. And let

$$\nu = \delta_{\frac{1}{4}} + \delta_{\frac{1}{2}} + \delta_{\frac{1}{5}}$$

All the measures above and mutually exclusive to m, and  $L^2(X, m + \nu)$ .

This gives the Borel functional calculus. For any bounded Borel function on X (Borel functions are those that are measurable with respect to all possible Borel measures), it acts on each  $L^2(X, \mu_{\lambda})$  by pointwise multiplication, hence acts on  $\mathcal{H}$ . If you have a given Hilbert space  $\mathcal{H}$ ,  $T \in \mathcal{B}(\mathcal{H})$ , and  $T^* = T$ , and

$$C^*(T, I_{\mathcal{H}}) = C(\sigma(T))$$

so  $C(\sigma(T))$  acts on  $\mathcal{H}$ , hence every Borel function acts on  $\mathcal{H}$ .

Again we have C(X) acting on  $\mathcal{H}$  and the bounded Borel functions acting on  $\mathcal{H}$ , let E be any Borel subset of X, so  $\chi_E$  acts on  $\mathcal{H}$  and is a projection. So we have  $E \mapsto \chi_E \in \mathcal{B}(\mathcal{H})$  via  $\nu$ , and this is a projection-valued measure on X.

If E, F are disjoint, then

$$\chi_E \chi_F = \chi_{E \cap F}, \chi_{E \bigoplus F} = \chi_E + \chi_F$$

Remark This is somewhat countably additive in a weak sense.

# 1.17 Lecture 19

Let  $\mathcal{A}$  be a unital commutative  $C^*$ -subalgebra of  $\mathcal{B}(\mathcal{H})$ , and let  $(\mathcal{H}, \pi, \xi)$  be a cyclic representation (note this is not unique at all, like choosing an orthonormal basis of  $\mathcal{H}$ ). And  $\mathcal{A} \cong C(X)$ , and we've said

$$\mathcal{H} \cong \bigoplus_{\lambda \in \Lambda} L^2(X, \mu_\lambda)$$

If f is a  $\mathbb{C}$ -valued bounded Borel function on X, where X is a compact space, then f determines an opeartor T on each  $L^2(X,\mu)$  by pointwise multiplication. with  $\|T\| \leq \|f\|_{\infty}$ . Then this gives a bounded operator on  $\mathcal{H}$ . Let  $\mathcal{B}$  be the  $C^*$ -algebra of bounded Borel functions, then you get a representations of  $\mathcal{B}$  on  $\mathcal{H}$ .

For each Borel subset E of X,  $\chi_E$  goes to a projection operator on  $\mathcal{H}$ .

#### Definition 1 38

Let  $\Sigma$  be a  $\sigma$ -algebra of subsets of a set X (don't quite need a topology), and let  $\mathcal{H}$  be a Hilbert space by a projection-valued measure on  $\Sigma$ , we mean an assignment

$$E \xrightarrow{\mu} \mu(E)$$

where  $\mu(E)$  is a projection operator on  $\Sigma$  that satisfies

1. 
$$\mu(\emptyset) = 0, \mu(X) = I_{\mathcal{H}}$$
 the identity operator

- 2. If  $E, F \in \Sigma$ , then  $\mu(E \cap F) = \mu(E)\mu(F)$ .
- 3. If  $\{E_j\}$  is a countable collection of disjoint elements in  $\Sigma$ , then  $\mu(\bigoplus_j E_j) = \sum_{j=1}^{\infty} \mu(E_j)$ , for either the strong or the weak operator topology.



Note Every here looks like a mesaure, and we have to be careful about how the sum is well-defined.

Let X be a compact set, and B(X) denote the set of Borel sets, let  $E_j \subset B(X)$  be disjoint. These viewed in  $L^2(X, \mu)$ , we have

$$\sum_{j=1}^{\infty} \chi_{E_j} = \lim_{n \to \infty} \sum_{j=1}^{n} \chi_{E_j} = \lim_{j=1}^{n} \chi_{\bigoplus_j E_j} = \chi_{\bigoplus_{j=1}^{\infty} E_j}$$

And note as  $j \to \infty$ , this is an increasing function, this is not converging to the uniform form. But these do converge, for the  $L^1$  or  $L^2$  norm by Dominated Converge theorem.

If  $\xi \in L^2(X, \mu)$ , and we look at

$$\chi_{\cup_{1}^{\infty}E_{i}}\xi \to \chi_{\cup_{1}^{\infty}}\xi$$
 for the  $L^{2}-norm$ 

If any  $\eta \in L^2(X, \mu)$ ,

$$\langle \chi_{\cup_1^n E_j} \xi, \eta \rangle = \int \chi_{\cup_1^\infty E_j} \xi \overline{\eta} \to \langle \chi_{\cup_1^\infty E_j} \xi, \eta \rangle$$

where the  $L^2$  case follows from that  $\xi \overline{\eta} \in L^2(X, \mu)$ .

#### **Definition 1.39**

The strong operator topology on  $\mathcal{B}(\mathcal{H})$  is the topology defined by the seminorms  $T \to ||T\xi||$  for all the ?  $\xi \in \mathcal{H}$  If  $\{T_{\lambda}\}$  is a bounded net of operators on  $\mathcal{B}(\mathcal{H})$ , then it converges to T in the strong operator norm if for all  $\xi$ ,

$$||T_{\lambda}\xi - T\xi||| \xrightarrow{\lambda} 0$$



Then the weak operator topology on  $\mathcal{B}(\mathcal{H})$  is defined by the seminorms, for all  $\xi, \eta$ 

$$T \mapsto |\langle T\xi, \eta \rangle|$$

If  $\{T_{\lambda}\}$  is a bounded net, then we say it converges to T if

$$|\langle T_{\lambda}\xi, \eta \rangle - \langle T\xi, \eta \rangle| \to 0$$

Remark Strong operator topology implies the weak operator topology, not the reverse.

Remark If you look at the group of unitary operators, then the strong and weak operator topologies are equivalent.

Let  $\mathcal{A}$  be a  $C^*$ -subalgebra of  $\mathcal{B}(\mathcal{H})$ , and  $\mathcal{H} = \bigoplus (X, \mu_{\lambda})$ , and for  $E \in Borel(X)$ ,  $\chi_E$  actives on each one of  $(X, \mu_{\lambda})$ , and

$$E \mapsto \chi_E$$

acting on  $\mathcal{H}$  is a projection-valued measure, and is called the spectral measure for action of  $\mathcal{A}$  on  $\mathcal{H}$ .

For  $T \in \mathcal{B}(\mathcal{H})$ ,  $T^* = T$ , we denote  $C^*(T, I) = \mathcal{A}$ , and  $\widehat{\mathcal{A}} = \sigma(\mathcal{A})$ , then  $C(\widehat{A}) = \mathcal{A}$ . So far each  $E \in Borel(\sigma(T))$ , you get an operator.

Let  $P_r$  be the projection  $\chi_{(-\infty,r)}$ , and for r > ||T||, we have  $P_r = I_H$ . These are called the "resolution of the identity" for T.

You could make sense of the operator T as follows:

$$T = \int_{-\infty}^{\infty} t dP_t$$

Let G be a locally compact group. And the product is jointly continuous  $G \times G \to G, (x, y) \to xy$ , and taking inverses is also continuous.

Example 1.9 
$$\mathbb{R}, \mathbb{R}^n, \mathbb{T}, \mathbb{T}^n, GL(n, \mathbb{R})$$

We know need is a projection measure on G that is invariant for left translations.

## 1.18 Lecture 20

We will discuss the Haar measure today.

Let G be a group, and M a topological space, and action of G on M is:

$$\alpha: G \to Hom(G)$$

And if f is a  $\mathbb{C}$ -valued function on M, then the set

$$\alpha_x(f)m) := f(L_x^{-1}(m))$$

So  $\alpha: G \to Aut(FunctionsonM)$ . If M is locally compact,  $f \in C_c(M)$ . For example,  $G = \mathbb{R}$ .

Now let G be a compact group, then G acts on itself by left translations:  $\lambda$ :

$$\lambda_x(y) = xy$$

We can get an action on functions:

$$\lambda_x(f)(y) = f(x^{-1}y)$$

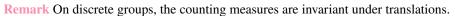
We then talk about a measure on a group, which is also a topological space in a sense, and would like to assign finite measure on comapct sets. The goal is to construct such a measure that is translation invariant with respect to the left translations.

### **Definition 1.40 (Translation-invariant measures)**

For M locally compact, a measure  $\mu$  on M is  $\alpha$ -invariant, if

$$\mu(\alpha_x(E)) = \mu(E)$$
, for all  $x \in G$ 

where E is a Borel subset of M.



In 1933, Haar proved that every locally compact, second countable group (i.e. a countable base for the topology) has a left-(translatioin)invariant (nonzero) Borel measure, which we call the Haar measure. This is unique up to positive scalar multiplication.



Note The Lebesgue measure in a Haar measure on the real numbers  $\mathbb{R}$ .

Weil: worked instead with positive linear functionals  $\varphi$  on  $C_c(G)$ , and he proved that we always hav ea  $\varphi$  that is left invariant, i.e.

$$\varphi(\lambda_x f) = \varphi(f), \forall x \in G$$

Sketch: choose  $f_0 \in C_c^+(G)$ , so that  $\varphi(f_0) = 1$ , and . For a small nbhd O of the identity element e, choose a function  $g_O = g$  supported in O, a smooth bump function.

Let  $(f:g_O) = \inf\{\sum_{j=1}^n c_j, c_j > 0 : \text{ there exists } x_1, ..., x_j \in G \text{ with } f \leq \sum_j c_j(\lambda_{x_j}g)\}$ , This expression here  $f(:g_O)$  is left translation-invariant, now we consider

$$\frac{(f:g_O)}{(f_0:g_O)}$$

You show that this converges to a linear functional on  $C_c(G)$ , which necessarily gives rise to a linear functional that is left translation-invariant.

If for  $f \in C_c(G)$ , define  $\tilde{f}(x) = f(x^{-1})$ , then the function

$$f \mapsto \varphi(\tilde{f})$$

is a right invariant positive linear functional on  $C_c(G)$ .

Sometimes  $\varphi(f) = \int_G f(x) dx$ , given  $x_0$ , if we look at

$$\int f(xx_0)dx = \int \rho_{x_0}(f)dx$$

This is also left-invariant, hence there exists  $\delta(x_0)$  such that

$$\int f(xx_0)dx = \Delta(x_0) \int f(x)dx$$

 $\Delta: G \to \mathbb{R}^+$ , a group under multiplication, is and a group homomorphism, and later we will show this is continuous. And this  $\Delta$  is called the "modular funtion" of G.

### **Definition 1.41**

G is said to be unimodular if  $\delta \equiv 1$ , i.e. the left Haar measure is right-invariant.

**Example 1.10** The discrete group, commutative groups, compact groups, and nilpotent Lie groups, semi-simple lie groups.

But many are lie groups are not unimodular.

$$\int f(x^{-1})\Delta(x^{-1})dx = \int f(x)dx$$

Let H be a closed subgroup of G, let G/H be the corresponding homogenous space, and G acts on G/H, we now ask if there is an invariant measure that satisfies  $\Delta_H = \Delta_G|_H$ .

### **Definition 1.42**

For a topological group G, and an action which we call a representation  $\pi: G \to Aut(V)$  of G on a Banach space V.

Example 1.11  $\mathbb{R}$  acts on  $L^2(\mathbb{R})$  by translations  $U_t$ . One could ask if this is continuous, i.e. as  $t \to 0$ , do we get

$$||U_t \to U_0|| \to 0$$

You could have a little bump around 0 as your  $L^2$  function, and when you translate it, the supports are disjoint and hence the  $L^2$  norm gets preserved.

But there eixsts a continuous one for the strong operator topology. (The rep via translation itself does not converge, but when you apply it to something, it is indeed convergent).

**Example 1.12** If  $f \in C_c(X)$ , and  $\alpha$ , if you have  $\alpha_x(f) \to f$ , and  $x \to e$ .

## 1.19 Lecture 21

Let G be a locally compact group, and let M be a locally compact space, and let  $\alpha$  be an action of G on M, and

$$\alpha: G \to Hom(M)$$

This is with  $\alpha$  jointly continuous, i.e.  $G \times M$  equipped with the product topology, and the mapping  $G \times M \to M$ 

$$(x,m)\mapsto \alpha_x(m)$$

is continuous.

One classic example is G acting by left translation on G.

### Theorem 1.14

The action of G on  $C_{\infty}(M)$  via

$$\alpha_x(f)(m) = f(\alpha_x^{-1}m)$$

is strongly continuous, for the strong operator topology.

### Proposition 1.51

If  $\alpha$  is an ction of a topology G, on a Banach space, by isometries, (i.e. each  $\alpha_x$  is an isometry on the Banach space), and if there is a dense subspace  $V_0 \subset V$ ,(or the vectors whose span is a dense subspace) such that on  $V_0$ ,

this action is strongly continuous, i.e. for all  $v \in V_0$ , then function

$$x \mapsto \alpha_x(v)$$
 is continuous

Then  $\alpha$  is strongly continuous on V.

**Proof** You do the standard approximation argument by  $\frac{\epsilon}{3}$ -argument.

Now back to the theorem, it suffices to prove this for  $C_c(M)$ , since it is a dense subset of  $C_{\infty}(M)$  with  $\|\cdot\|_{\infty}$  norm. And it suffices to prove continuity at the identity element at  $e_G$  (by uniformity).

$$\|\alpha_x(v) - \alpha_y(v)\| = \|\alpha_{y^{-1}}(\alpha_x(v) - \alpha_y(v))\| = \|\alpha_{y^{-1}x}(v) - v\|$$

**Proof** Let  $f \in C_c(G)$ , and want to show that the map

$$x \mapsto \alpha_x(f)$$
 is strongly continuous

suffices to show at  $x = e_G$ . Let  $\epsilon > 0$  be given, let K = supp(f), and choose a comapct neighborhood O of  $e_G$ . By joint continuity of  $G \times M \xrightarrow{\alpha} M$ , then we have

$$O \times K \mapsto \alpha_O(K) := OK \in G$$
 is compact

Now because f is continuous, for each  $m \in OK$ , there is an open neighborhood  $U_m$  of m such that if  $n \in U_m$ , then

$$||f(n) - f(m)|| < \epsilon/2$$

So there is an open neighborhood  $W_m$  of the identity element, such that  $O_mW_m \subset U_m$ , you can even required  $O_m \subset O$  (what we started with,  $(O_m$ 's are in G, and  $W_m$ 's are in M)). The  $W_m$ 's form an open cover of OK so there is a finite subcover  $W_{m_1}, ..., W_{m_n}$  and let  $O^* = \bigcap_{i=1}^n O_i$ ,

Now we claim that for  $x \in O^*$ , we have that

$$\|\alpha_x(f) - f\| < \epsilon$$

For every m, if  $\alpha_x(f)(m) - f(m) \neq 0$ , then

$$f(\alpha_r^{-1}m) - f(n) \neq 0$$

So each  $m \in K$ ,  $m \in O^*K$ , and have  $m \in O^*K \subset OK$ , so there exists j such that  $m \in W_{m_j}$ ,  $x \in O^* \subset O_j$ , and so

$$\alpha_x(m) \in U_{m_i}$$

This gives

$$||f(\alpha_x(m_i)) - f(m_i)|| < \epsilon/2, ||f(m) - f(m_i)|| < \epsilon/2$$

Let  $\alpha$  be an action of G on M, and suppose there is an  $\alpha$ -invariant Borel (or even Radon measure) measure on M. This gives an action of G on  $L^p(M, \nu)$ , and

$$\alpha_x(f)(m) = f(\alpha_x^{-1}m)$$

where  $\|\alpha_x(f)\|_{L^p} = \|f\|_{L^p}$  by  $\alpha$ -invariant.

### **Proposition 1.52**

This action is strongly continuous.

**Proof** Check this is true on  $C_c(M) \subset L^p(M, \nu)$ , where  $C_c(M)$  is a dense subspace.

## 1.20 Lecture 21

G locally compact group, and x locally compact space, and  $\alpha$  a jointly continuous action of G on X, then the corresponding action of G on  $C_{\infty}(X)$  is strongly continuous.

For any 
$$f \in C_{\alpha}(X)$$
, and

$$x \mapsto \alpha_x f$$
 is continuous for  $\|\cdot\|_{\infty}$ 

Assume that  $\mu$  is an  $\alpha$ -invariant measure on X, i.e.

$$\mu(\alpha_x f) = \mu(f)$$
, for all  $x, f$ 

We then can form  $L^p(X, \mu)$ , with the  $\|\cdot\|_{L^p}$  norm:

$$||f||_{L^p} = (\mu(|f|^p))^{\frac{1}{p}}$$

And  $L^p(X)$  contains  $C_c(X)$ , and what we want is the action  $\alpha$  on the  $L^p$  is again strongly continuous. (One could take f such that  $||f||_{\infty}$  is small, but the  $L^p$  norm is large.)

The action  $\alpha$  of G on  $C_c(X)$  is continuous for the "inductive limit topology." We shall it define it now.

## **Definition 1.43 (Inductive limit topology)**

If  $O \subset X$ , and  $\overline{O}$  is compact, then you can view  $C_{\infty}(O)$ , equipped with  $\|\cdot\|_{\infty}$ , as a subset of  $C_c(X)$  (simply by extending by 0), then the inductive limit topology is the strongest topology on  $C_c(X)$  making the inclusion continuous.

### Definition 1.44 (Convergence in ILT

If a net  $\{f_{\lambda}\}$  converges to f uniformly, and eventually, and there is a compact set K such that eventually all  $f_{\lambda}$  are supported in K, then this  $\{f_{\lambda}\}$  converges to f in the inductive limit topology.

If  $\mu$  is a Radon measure on  $C_c(X)$ , then the net  $\{f_{\lambda}\}$  converges to f for  $\|\cdot\|_{L^p}$  of  $L^p(X,\mu)$ . We can find  $f_{\lambda}$  converges uniformly to f in the  $\|\cdot\|_{\infty}$  sense.

$$(\|f - f_{\lambda}\|_{L^p})^p = \int_X |f - f_{\lambda}|^p d\mu \le \|f - f_{\lambda}\|_{\infty}^p \mu(K)$$

And  $\mu(K) < \infty$ , and  $||f - f_{\lambda}||_{\infty} < \epsilon$ . Everything is of finite measure, and uniform convergence gives you convergence in the  $L^p$  norm.

To show that  $x \mapsto \alpha_x(F)$  is continuous for  $\|\cdot\|_{L^p}$ , it suffices to show that it is continuous at e, let O be a compact neighborhood of E, and let K = supp(f), then for  $x \in O$ , then  $\alpha_x(f)$  is compactly supported in  $\alpha_O(K)$ , and  $\|f - \alpha_x(f)\|_{\infty} \to 0$ , hence is strongly continuous for the  $\|\cdot\|_{L^p}$  norm.

Let G be a locally compact and let  $\alpha$  be an action by isometries on a Banach space V, we define the integrated form of  $\alpha$ ,  $f \in C_c(G)$ , and  $v \in V$ :

$$\alpha_f(v) = \int_G f(x)\alpha_x(v)dx, \alpha_x(v) \in V$$

where dx is a choice of left-invariant Haar measure.

Given  $\varphi \in V^*$ ,

$$\int f(x)\langle \alpha_x(v), \varphi \rangle dx$$

the above form is called the "weak integral." and range(f) is a normed-closed compact subset of V.

Consider,  $C_c(X, \mathbb{R})$ , and V is over  $\mathbb{R}$ . and

$$(X,\mathbb{R}) \bigotimes V = \{ \sum_{i=1}^{n} f_i \bigotimes v_i, f_i \in C_c(X,\mathbb{R}), v \in V \}$$

and viewed as an element of  $C_c(X,V)$ , and  $(x)=\sum_i f_i(x)v_i\in V$ . Given a Radon measure  $\mu$ , we have

$$\int \sum_{j=1}^{n} f_j \bigotimes v_j d\mu = \sum \mu(f_j) v_j$$

The above is well-defined, and apply to  $V^*$ , if we denote  $F = \sum_{i=1}^n f_i \bigotimes v_i$ , then

$$\varphi(\int F(x)d\mu(x)) = \int \varphi(F(x))d\mu(x)$$

One should think of these F as simple functions  $f = \sum_{i} \chi_{E_{i}} v_{j}$ .

Note that

$$\left\{\sum_{j=1}^{n} f_{j} \bigotimes v_{j}\right\}$$
 is dense for the inductive limit topology

Given  $F \in C_c(X, V)$ , and some  $\epsilon > 0$ , let K = supp(F), and C be a compact neighborhood of K. Then, for each  $x \in C$ , there is an open neighborhood  $O_x$  with

$$||f(y) - f(x)|| < \epsilon \text{ if } g \in O_x$$

And by C being compact, there exists a finite subcover  $O_{x_1},...,O_{x_n}$ . Choose a partition of unity, subordinate to the  $\{O_{x_j}\}_{j=1}^n$ . This means  $F_j \in C_c(X,\mathbb{R})$ , and  $0 \le f_j \le 1$ , and  $\sup p(f_j) \subset O_j$ , such that  $\sum \varphi_j = 1$  on K. Then the set

$$F_{\epsilon} = \sum f_j \bigotimes F(x_j)$$

and  $||F - F_{\epsilon}||_{\infty} < \epsilon$ .

Let  $\alpha$  be an action on V, if we write  $\alpha_f(v) = \int_G f\alpha_x(v)dx$ , and  $\alpha_f\alpha_g = \alpha_{f*g}$ , then

$$(f * g)(x) = \int f(y)g(y^{-1}x)dy$$

Fubini's theorem for Radon measures, use  $C_c(X) \bigotimes C_c(Y)$ , dense in  $C_c(X \times Y)$ 

$$\sum_{j=1}^{n} f_j \bigotimes g_j$$

for the inductive limit topology. This is the Stone-Weierstrass theorem. Now we ask the question, what is  $(\pi_f)^*$ .

## **1.21 Lecture 22**

Let G be locally compact, and if  $(\mathcal{H}, \pi)$  is a unitary representation of G, with the integrated form:

$$f \mapsto \pi_f, f \in C_c(G) \subset L^1(G)$$

we have

$$(\pi_f)^* = \left(\int f(x)\pi_x dx\right)^* = \int \overline{f(x)}\pi_x^* dx = \int \overline{f(x)}\pi_{x^{-1}} dx = \int \overline{f(x^{-1})}\Delta(x)\pi_{x^{-1}} dx$$

### **Proposition 1.53**

If G is locally compact, but not discrete, then  $L^1(G)$  does not have an identity.

Example 1.13  $C_{\infty}(X)$ .



Note Many Banach algebras have an "approximate" identity elements.

Example 1.14 Let's look at  $C_{\infty}(\mathbb{R})$ . You could take a function that is a smooth characteristic function, whose support is contained in [-N, N], and pushing  $N \to \infty$ . If you take N large enough, you eventually approximate the function itself.

### **Definition 1.45 (Approximate identities)**

For a normed algebra A, let a be a left approximate identity, we mean a net  $e_{\lambda}$  such that for any  $a \in A$ , we have that  $e_{\lambda}a \to a$  in norm. A right approximate identity is one such that  $ae_{\lambda} \to a$  in norm. A two-sided approximate is one such that it satisfies both. And a bounded approximate identity is one such that  $||e_{\lambda}|| \le c$  for all  $\lambda$  and such that  $e_{\lambda}a \to a$  in norm. (There is therefore, left bounded approximate identity, and right, and two-sided). A norm 1 approximate identity is such that  $||e_{\lambda}|| = 1$ .

If A has a \* operation, then you can define a self-adjoint approximate identity, if

$$e_{\lambda}^* = e_{\lambda}$$
 for all  $\lambda$ 

If A is a  $C^*$ -algebra, a positive approximate identity is a net with  $e_{\lambda} > 0$  for all  $\lambda$ .

For any locally compact group,  $L^1(G)$  has a approixmate identity of norm 1.



Note The picture should look like a tall bump function around the identity  $e_G$ .

**Proof** Let  $\Gamma$  be a collection of comapct neighborhoods of  $e_G$ , and for each O, choose  $e_O \in C_c(G)$  with  $supp(e_O) \subset O$ , and  $\int e_O(x)dx = 1$ .

 $f*g(x)=\int f(y)g(y^{-1}x)dy$  with integrated form of the action of G on  $L^1(G)$  by translation  $g(x)\to g(y^{-1}x)$ . In fact, for any representation of a Banach space V of G by  $\pi_{e_\lambda}v\to v$  in norm. We would like the following to go to zero.

$$\| \int e_O(x) \pi_x v - v \| = \| \int e_O(x) \pi_x v - \int e_O(x) dx v \| = \| \int e_O(x) (\pi_x v - v) \|$$

For any  $\epsilon > 0$ , choose  $O_{\epsilon}$  and that for  $x \in O_{\epsilon}$ , we have  $\|\pi_x v - v\| < \epsilon$  (by SOT). For any neighborhood smaller than  $O_{\epsilon}$ , we still have this property,  $O \subset O_{\epsilon}$ . So for the above equation, we get that

$$\|\int e_O(x)\pi_x(v) - \int e_O(x)dxv\| \le \int Oe_O(x)\|\pi_x v - v\| \le \epsilon$$

**Proposition 1.55** 

For any  $c^*$ -algebra A has a positive approximate identity of norm 1.

**Proof** Let  $\Gamma$  be the collection of finite subsets of A, and for  $\lambda \in \Gamma$ , let the finite sum be as follows:

$$b_{\lambda} = \sum \{b^*b : b \in \lambda\}$$

let

$$e_{\lambda}=\frac{b_{\lambda}}{\frac{1}{n}+b_{\lambda}}<1, n=|\lambda|=\ \ \text{the number of elements in }\lambda$$

For any Banach normed algebra A, we can get an identity element by

$$\tilde{A} = \{(a, z) : a + z1_{\tilde{A}}\}$$

If  $\mathcal{A}$  has an approximate identity of norm 1, and if  $\mu$  is continuous positive  $\mu(a^*a) \geq 0$  linear functional, on  $\mathcal{A}$ , but no identity element, but does have a norm  $\|\mu\|$ . Now if you have an approximate identity, then  $\mu$  extends to a positive linear functional on  $\tilde{\mathcal{A}}$ , where  $\|\mu\| = 1$ . Then you could apply GNS to this  $\mu$ .



**Note** Any  $C^*$ -algebra  $\mathcal{A}$  has a positive approximate identity of norm 1. For example G,  $L^2(G)$ , and  $\pi$ 

$$\overline{\{\pi_f: f \in \mathcal{A}\}} = D_r^*(f)$$

# 1.22 Lecture 23

### **Proposition 1.56**

Let G be a locally compact group, the left-regular representation of  $L^1(G)$  on  $L^2(G)$  is faithful.

**Proof** let  $f \in L^1(G)$ , and  $f \neq 0$ , with  $L^1(G)$  has a norm-1 approximate identity of functions in  $C_c(G)$ . Then there exists  $g \in C_c(G)$  such that  $f * g \neq 0$ , and

$$f * g = \int_G f(x)\lambda_x g dx \in C_\infty(G) \cap L^1(G) \cap L^2(G)$$

so now g is an element of  $L^2(G)$ ,

$$\lambda_f(g) = f * g \in L^2(G)$$

we have that

$$||f * g||_{L^2}^2 = \int |f * g|^2 d\mu > 0$$

So  $\lambda_f \neq 0$ . For G commutative, the norm closure

$$\overline{\{\lambda_f : f \in L^1(G)\}} = C_r^*(G)$$

## **Proposition 1.57**

Let A be a  $C^*$ -algebra, without an identity element. Let  $\tilde{A}$  be A with 1 adjoint. (Side note:  $||a+\alpha 1|| = ||a|| + |\alpha|$ , and note such norm is not good for  $A = C_{\infty}(X)$ .) For the left regular representation on A, i.e. if  $b \in \tilde{A}$ , set  $||b|| := \sup\{||ba|| : ||a|| \le 1\}$ . With this norm ||b||, we have that  $\tilde{A}$  is a  $C^*$ -algebra.

This is to check that  $||b^*b|| = ||b||^2$ . We already have  $||b^*b|| < ||b||^2$ . And  $||ba||^2$ , we have that

$$||ba||^2 = ||(ba)^*(ba)|| \le ||a^*|| ||b^*ba|| \le ||b^*b|| ||a|| = ||a||^2 ||b^*b||$$

And it is easy to see that if A is complete, then  $\tilde{A}$  is also complete.

If  $\mathcal{A}$  is a commutative  $C^*$ -algebra without identity, and  $\tilde{\mathcal{A}} = C(X)$ , and this is the nonzero homomorphisms of  $\mathcal{A}$  onto  $\mathbb{C}$ , with  $\{\infty\}$ . So  $\tilde{\mathcal{A}} = X \setminus \{\infty\}$ , and  $\mathcal{A} \cong C_{\infty}(X \setminus \{\infty\})$ , where the space  $X \setminus \{\infty\}$  is locally compact.

Let G be a commutative, discrete group, where

$$C_r^*(G) \cong C_\infty(X)$$

where each point of X gives a nonzero homeomorphism of  $L^1(G)$  into  $\mathbb C$ . Then get for any  $f\in L^1(G)$ , there is a continuous \*-homomorphism of  $L^1(G)$ , then there exists a  $\varphi\in \widehat{L^1(G)}$  such that  $\varphi(f)\neq 0$ . We want to describe all  $\varphi\in \widehat{L^1(G)}$ , let  $f,g\in L^1(G),g\neq 0$ . We have that

$$\varphi(f)\varphi(g) = \varphi(f * g) = \varphi(\int f(x)\lambda_x g dx)$$

So

$$\varphi(f) = \int f(x)\varphi(\lambda_x g)/\varphi(g)dx$$

Define  $\omega \varphi(x) = \varphi(\lambda_x g)/\varphi(g)$ , is continuous. hence we have that

$$\varphi_f \varphi_g = f(x) \varphi(\lambda_x g) dx$$

We then have

$$\varphi(g)\omega_{\varphi}(x) = \varphi(\lambda_x g)$$

If we look at

$$\omega_{\varphi}(xy)\varphi(g) = \varphi(\lambda_{xy}g) = \varphi(\lambda_{x}(\lambda_{y}g)) = \varphi(\lambda_{y}g)\omega_{\varphi}(y)\omega_{\varphi}(x)$$

Because we have  $\varphi(g) \neq 0$ , we divide both sides, then we have

$$\omega_{\varphi}(xy) = \omega_{\varphi}(x)\omega_{\varphi}(y)$$

So  $\omega_{\varphi} \in \widehat{G}$  into T.

For  $f \in L^1(G)$ , define

$$\widehat{f}(\sigma) = \int f(x)\sigma(x)dx \in \widehat{G} \in C_{\infty}(\widehat{G})$$

where we equip  $\widehat{G}$  with the weak-\* topology, and  $\sigma \in \widehat{G}$ . And the above defines the Fourier transform.

### Corollary 1.10

The Fourier trasnform defined above is injective.

For any  $f \in L^1(G)$ , if  $f \neq 0$ , then  $\widehat{f} \neq 0$ . And we have

$$\widehat{f^*} = \overline{\widehat{f}}$$

Next we state a fact:

The weak-\* topology on  $\widehat{G}$  agrees with the topology of uniform convergence on compact subsets of G. Moreover, under this uniform topology on compact subsets of G, we can see that  $\widehat{G}$  is a topological group.

Next time: unbounded operators on Hilbert spaces! (And the spectral theorem for those).

## 1.23 Lecture 24

If V is a Banach space, and A is a bounded linear operator on V, then have the operator:

$$T_t = e^{tA} = \sum_{n=0}^{\infty} \frac{(tA)^n}{n!}$$

Then  $T_tT_s=T_{t+s}$ , and  $s,t\in\mathbb{R}$ , where  $T_0=I$ . For  $v\in V$ . For  $v\in V$ , and

 $t \mapsto T_t v$  is norm continuous

If 
$$V = C_{\infty}(\mathbb{R}^n, L^p(\mathbb{R}^n))$$
, and

 $Af = \Delta f$ , only defined for smooth f

And

$$\frac{dI}{dt}f = \Delta f$$

Let V be a Banach space, and let  $\{T_t\}$  be a semigroup of bounded operators on V, and  $T_sT_t=T_{s+t}$ , and  $T_0=I_V$ , with each strongly continuous, so

 $t \mapsto T_t v$  is norm continuous for each v

#### **Definition 1.46**

v is differentiable if

$$Av = \lim_{h \to 0} \frac{T_{t+h}v - T_tv}{h}$$

has a limit as  $h \to 0$ .

Let  $\mathcal{D} = \{v \in V : v \text{ is differentiable }\}$ 

### **Definition 1.47**

If  $v, w \in \mathcal{D}$ , then  $u + v \in \mathcal{D}$ , and  $\alpha v \in \mathcal{D}$  if  $\alpha \in \mathbb{C}$ . And A is a linear operator from  $\mathcal{D}$  to V.

For each  $\{T_tv: t \in [0,1]\}$  is compact, so bounded, so there exists  $k_v$  such that  $\|T_tv\| \le k_v$  for all  $t \in [0,1]$ . So by uniform boundedness principle, there is a k such that

$$||T_t|| \le k$$
, for  $t \in [0, 1]$ 

Then any  $t \ge 0$ , is of the norm n + s with  $s \in [0, 1]$ . So

$$T_t = T_n T_s = (T_1)^n T_s, ||T_t|| \le k^{n+1} = kk^n = ke^{n\ln(k)} \le ke^{t\beta}, \beta = \ln(k)$$

Let  $\tilde{T}_t = k^{-1}e^{-t\beta}T_t$ , then  $\|\tilde{T}_t\| \leq 1$ , this gives that  $t\|\tilde{T}_t\|$  is a strongly continuous operator.

$$\frac{T_{t+h} - T_t}{h} = T_t \frac{T_h - T_0}{h}$$

And we also get

$$T_t A v = A T_t$$

### **Proposition 1.59**

If  $v \in \mathcal{D}(A)$ , then so does  $T_t v$  for all t, and we have

$$T_t A v = A T_t$$

Given f continuous on  $[0, \infty)$ , and  $v \in V$ . We can define

$$\int_0^t f(s)T_s(v)ds$$

is a norm continuous function on  $\mathbb{R}^+$ . This integral is defined by the Riemann integral (because is norm-continuous!). One should think of this integrated form of T for  $f|_{[0,t]}$ .

### **Proposition 1.60**

We have

$$\int_0^t T_s v ds \in \mathcal{D}(A)$$

And this is to show

$$\int_0^t T_s v ds \in \mathcal{D}(A)$$

. We will prove this next time. And note that

$$\frac{1}{t} \int_0^t T_s v dt \to v$$
, as  $t \to 0$ 

And this converges to the approximation of norm 1.

## 1.24 Lecture 25

Let V be a Banach space, and  $\{T_t\}$  a strongly continuous semigroup.

### **Proposition 1.61**

For any t > 0, we have that

$$\int_0^t T_s v ds \in D(A)$$

where D(A) is the domain of A.

**Proof** 

$$\begin{split} \frac{T_h - T_0(I)}{h} \left( \int_0^t T_s v ds \right) &= \frac{1}{h} \left( T_h \left( int_0^t T_s v ds \right) - \int_0^t T_s v ds \right) \\ &= \frac{1}{h} \left( \int_0^t T_{s+h} v ds \right) - \frac{1}{h} \int_0^t T_s v ds \\ &= \frac{1}{h} \left( \int_h^{t+h} T_s v ds - \int_0^s T_s v ds \right) \\ &= \frac{1}{h} \left( \int_t^{t+h} T_s v ds - \int_0^t T_s v ds \right) = T_t A v - v \end{split}$$

In order words,

$$A\left(\int_0^t T_s v ds\right) = T_t A v - v$$

Hence, to reiterate, we have

$$\int_0^t T_s v ds \in D(A), \text{ so } \frac{1}{t} \int_0^t T_s v ds \in D(A)$$

And as

$$\lim_{t \to 0} \left( \frac{1}{t} \int_0^t \right) = v$$

Then

The domain of A, D(A) is dense in V.

For each operator S on a domain D, with  $D \subset V$ , the graph of S,

$$\Gamma(S) = \{(v, Sv) : v \in D(S)\} \subset V \times V$$

And there is no unique norm that you put on here, but there exists some equivalent norms to put on here.

Example 1.15 We could have the following norms on the graph of S,

$$\|(v,w)\| = \begin{cases} \|v\|_V \|w\|_{\infty} \\ \|v\| + \|w\| \\ (\|v\|^2 + \|w\|^2)^{\frac{1}{2}} \end{cases}$$

### Definition 1.48 (Closed and closable graph)

You call S is closed if  $\Gamma(S)$  is a closed subset of  $V \times V$ .

We call that S is closable, if we have the closure of  $\Gamma(S)$  is the graph of an operator.



Note The issue here is that if  $(v, w_1), (v, w_2)$  both belong to  $\overline{\Gamma(S)}$ . Then, you need  $w_1 = w_2$ . In other words, you need that if  $(0, w) \in \overline{\Gamma(S)}$ , then w = 0. Note that this is also a sufficient condition. If closable, then closure of S is the operator from  $\overline{\Gamma(S)}$ .

Remark This does not mean that the closure is the whole space.

From an unbounded operator, how to create a one-parameter semigroup, such that is closable.

## Proposition 1.63

This is the aim: for A from  $\{T_t\}$ , A is a closed operator.

$$A\left(\int_0^t T_s v dx\right) = T_t v - v$$



For  $v \in D(A)$ , we have

$$\int_0^t A(T_s v) ds = \int_0^t T_s(Av) = T_t v - v$$

**Proof** Let  $V^*$  be the dual vector space, and let  $\varphi \in V^*$ , and let

$$f(t) = \varphi\left(\int_0^t AT_s v ds\right) = \int_0^t \varphi(AT_s v) ds, g(t) = \varphi(T_t v - v)$$

We have f(0) = g(0) = 0. Then we consider the derivatives:

$$f'(t) = \varphi(AT_t v), g'(t) = \varphi(AT_t v)$$

Now by the unique solutions of ordinary differential equations, we get that

$$f(t) = g(t), \forall t$$

Now we prove the proposition that A on  $T_t$  is a closed operator.

**Proof** Suppose we have a sequence of  $\{v_n\} \subset D(A)$ , with  $v_n \to v \in V$ , and  $Av_n \to w \in V$ . We thus have

$$(v_n, Av_n) \to (v, w)$$

Then for any t, we have (given  $T_t$  is bounded)

$$\int_0^t AT_s v_n ds = T_t v_n - v_n \to T_t v - v$$

\*

Note that this also converges to

$$\int_0^t T_s(Av_n)ds \to \int_0^t T_swds$$

So we have that

$$\int_0^t T_s w ds = T_t v - v$$

$$\frac{1}{t} \int_0^t T_s w ds = \frac{T_t v - v}{t}$$

Let  $t \to 0$ , then we have (the LHS is approximate of identity)

$$w = Av$$

Hence we have that  $v \in D(A)$ , i.e. the pair

$$(v_n, Av_n) \to (v, w) = (v, Av) \in \Gamma(A)$$

A is closed.



## Note what semigroup/

Let  $\mathcal{H}$  be a Hilbert space, and let  $A \in \mathcal{B}(\mathcal{H})$ , and let

$$T_t = e^{tA}$$

We ask the question: when is  $T_t$  a group of **unitary** operators, such that  $T_t T_t^* = T_t T_T^{-1} = I$ 

# 1.25 Lecture 26

Let  $A \in \mathcal{B}(\mathcal{H})$ ,  $T_t = e^{tA}$ , unitary, and

$$T_t T_t^* = I$$

and

$$\frac{d}{dt}|_{t=0} = A + A^* = 0$$

And we have

$$e^{tA}e^{tA^*} = I$$

i.e.  $A^* = -A$ .

Let B = -iA, and B is self-adjoint, and

$$A = iB, e^{itB}$$
 is self-adjoint

We now take

$$U_t = e^{itA}$$

where A is unbounded, we ask if it is self-adjoint? For an unbounded operator A on  $\mathcal{H}$ , D(A), dense. Ho do we define  $A^*$ ?

$$\langle A\xi, eta \rangle = \langle \xi, A^*\eta \rangle, \xi \in D(A)$$

where

$$D(A^*) = \{ h \in \mathcal{H} : \xi \to A\xi \text{ is continuous } \}$$

$$\Gamma(A^*) = \{(\eta, \zeta) : \langle A\xi, \eta \rangle = \xi, \zeta, \forall \xi \in D(A)\}$$

In other words,

$$\langle A\xi, \eta \rangle - \langle \xi, \zeta \rangle = 0$$

which implies

$$\langle (A\xi - \xi), (\eta, \zeta) \rangle = 0$$

And we have

$$\langle V(\xi,A\xi),(\eta,\zeta)\rangle=0$$

$$\Gamma(A^*) = (V(\Gamma(A)))^{\perp} = V(\Gamma(A)^{\perp})$$

We have that  $\Gamma(A^*)$  is closed, and hence  $V(\overline{\Gamma(A)})$  is closed.

Hence if A is closed, then

$$\Gamma(A^*) = (V\Gamma(A))^{\perp} = V((\Gamma(A))^{\perp})$$

Then

$$\mathcal{H} \bigoplus \mathcal{H} = \Gamma(A^*) \bigoplus V\Gamma(A)$$

### Proposition 1.65

We have  $D(A^*)$  is dense.

**Proof** If  $D(A^*)$  is not dense, then there exists  $\xi \in \mathcal{H}$ , such that

$$\langle \xi, D(A^*) \rangle = 0$$

$$0 = \langle (0, \xi), (A^*\eta, -\eta) \rangle = \langle (0, \xi), V((\eta, A^*\eta)) \rangle$$

for  $\eta \in \Gamma(A^*)$ . So  $(0,\xi)$  is perpendicular to  $V(\Gamma(A^*))$ , so

$$(0,\xi) \in \Gamma(A), A0 = \xi \Rightarrow \xi = 0$$

 $\mathcal{H}\bigoplus\mathcal{H}=\Gamma(A^*)\bigoplus V\Gamma(A)=\Gamma(A)\bigoplus V(\Gamma(A^*))$ 

For an  $\xi \in \mathcal{H}$ , and

$$(\xi, 0) = (\eta, A\eta) + (A^*S, -S)$$

and

$$\zeta = \eta + A^*\zeta = \eta + A^*A\eta = (I + A^*A)\eta, 0 = A\eta - \zeta$$

and then

$$(I + A^*A) : D(A) \to \mathcal{H}$$

For any  $\zeta \in D(A)$ , note that we have

$$\langle (I+A^*A)\eta,\eta\rangle=\langle \eta,\eta\rangle+\langle A^*A\eta,\eta\rangle=\langle A\eta,A\eta\rangle=0, \text{ if } \eta\neq 0$$

### Corollary 1.11

 $(I + A^*A)$  is injective and onto  $\mathcal{H}$ .

If S, T are unbounded operators on  $\mathcal{H}$ , and D(S), D(T), then

$$D(ST) = \{\xi : \xi \in D(T), \text{ and } T\xi \in D(S)\}$$
 
$$D(S+T) = D(S) \cap D(T)$$

We have that

$$||(I + A^*A)\xi|| \ge ||\xi||$$

And again we have  $(I + A^*A)^{-1}$  exists on  $\mathcal{H}$  and mapping onto D(A). So

$$||(I + A^*A)^{-1}|| \le 1$$

## **1.26** Lecture 27

## **Proposition 1.66**

For A closed, we have  $I + A^*A$  is injective on  $D(A^*A)$ , and  $D(A^*A)$  is dense in  $\mathcal{H}$ .

**Proof** We would like to show for a given  $\xi \in \mathcal{H}$ , we have that

$$\langle \xi, \eta \rangle = \langle (I + A^*A)\eta, \eta \rangle = \langle \eta, \eta \rangle = \langle A\eta, A\eta \rangle$$

So that if the above is equal to 0, we get that  $\eta = 0$ , which we get  $\xi = 0$ , hence the density claim.

Let  $\eta \in D(A^*A)$ , and let  $\xi = (I + A^*A)\xi$ , if  $\xi = 0$ , then we must have our  $\eta = 0$ . So  $(I + A^*A) = I_{\mathcal{H}}$ . And we get that  $(I + A^*A)$  is one-to-one from  $D(A^*A)$  on  $\mathcal{H}$ , hence

$$Range(S) = D(A^*A)$$

For any  $\xi$ , we get

$$\langle S^*\xi, \xi \rangle - \langle S^*(I + A^*A)S\xi, \xi \rangle = \langle (I + A^*A)S\xi, S\xi \rangle = \langle S\xi, S\xi \rangle^{\perp} \langle AS\xi, AS\xi \rangle \ge 0$$

Being positive implies that  $S^* = S$ , and S is positive and adjoint. S is also one-to-one, and

$$Range(S) = D(A^*A)$$

This gives that  $(I+A^*A)S=I_{\mathcal{H}}$ , then

$$S = (I + A^*A)^{-1}$$

### Definition 1.49

For a closed operator A, we call (A, D(A)) is self-adjoint, if

$$(A, D(A)) = (A^*, D(A^*))$$

We will show that  $(I + A^*A, D(A^*A))$  is self-adjoint.

### **Definition 1.50**

For (A, D(A)) is symmetric if for all  $\xi \in D(A)$ , we have

$$\langle A\xi, \xi \rangle = \langle \xi, A\xi \rangle$$

We will show some operators are self-adjoint next time.

## **1.27** Lecture 28

For A closed on  $D(A) \subset \mathcal{H}$ , and

$$I + A^*A : D(A^*A) \to \mathcal{H}$$

And  $S: \mathcal{H} \to D(A^*A)$ , and

$$(I + A^*A)S = I_{\mathcal{H}}$$

And last time we saw that  $I + A^*A$  is injective. Then we also have that S is onto  $D(A^*A)$ , and then

$$S = (I + A^*A)^{-1}$$

from  $\mathcal{H}$  to  $D(A^*A)$ .



If  $T:D(T)\to D'$  is bijective, thus  $T^{-1}$  exists, then

$$\Gamma(T^{-1}) = W\Gamma(T), W((\xi, \eta)) = (\eta, \xi)$$

Hence  $\Gamma(T^{-1})$  is closed if and only if  $\Gamma(T)$  is closed.

\*

This implies that S is closed.

### **Proposition 1.67**

 $I + A^*A$  is closed.

**Proof** Above.

### **Definition 1.51**

(A, D(A)), let A be densely defined, is self-adjoint, if  $D(A^*) = D(A)$  ( $A^*$  is also densely defined), and on this domain,

$$A = A^*$$

## **Proposition 1.68**

If A, D(A) is closed, then  $D(A^*)$  is dense.

**Proof** If  $D(A^*)$  is not dense, then there exists a nonzero  $\xi$  such that  $\xi \perp D(A^*)$ , and we have

$$(0,\xi) \perp (A^*\eta, -\eta)$$

for all  $\eta \in D(A^*)$ .

$$(0,\xi) \perp V(\eta, A^*\eta)$$
, for all  $\eta$ 

This gives that

$$(0,\xi) \in \Gamma(A)$$

Hence  $\xi = 0$ .

### **Proposition 1.69**

If A is closed, then  $A = A^{**}$ .

**Proof** Note that we have

$$\Gamma(A^*) = (V\Gamma(A))^{\perp}$$

 $\Gamma(A^*A)=(V(\Gamma(A^*))^{\perp}),$  and note that  $V^2=-I,$  hence

$$\Gamma(A^{**}) = (V(\Gamma(A^*))^{\perp}) = V(\Gamma(A^*)^{\perp}) = V(V\Gamma(\overline{A}))$$

Thus,

$$\Gamma(A^{**}) = \Gamma(A)$$

### Proposition 1.70

If A is intertible and closed, and  $A:D(A)\to D'$ , then

$$\Gamma((A^{-1})^*) = \Gamma((A^*)^{-1})$$

**Proof** We have that

$$WV\Gamma(A^{-1})^* = W\Gamma(A^{-1})^{\perp} = (W\Gamma(A^{-1}))^{\perp} = \Gamma(A)^{\perp}$$

And note that we also have

$$VW\Gamma((A^*)^{-1}) = V(A^*) = \Gamma(A^{**})^{\perp} = \Gamma(A)^{\perp}$$

Note that we have

$$VW = -VW$$

$$(WV)(\xi, \eta) = W(\eta, -\xi) = (-\xi, \eta)$$

$$(VW(\xi,\eta)) = V(\eta,\xi) = (\xi,-\eta)$$



Note The negative sign does not matter when describing graphs.

### Theorem 1.15

 $I + A^*A$  is self-adjoint.

 $\Diamond$ 

**Proof** 

$$I + A^*A = S^{-1}, (I + A^*A)^{-1} = S$$

so

$$((I + A^*A)^{-1})^* = S^* = S = (I + A^*A)^{-1}$$

Hence  $(I + A^*A)^{-1}$  is self-adjoint, hence  $I + A^*A$  is also self-adjoint.

### Theorem 1.16

If A is self-adjoint, S, AS = R, then we have SR = RS.

If A is self-adjoint, S, AS = R, then we want SR = RS Let  $\xi \in D(A)$ , then

$$\xi = (I + A^*A)S\xi = S\xi + A^*AS\xi$$

We know that  $S\xi \in D(A^*A) \subset D(A)$ , so

$$A^*AS\xi \in D(A)$$

Then we apply A to everything

$$A\xi = AS\xi + AA^*AS\xi = (I + AA^*)AS\xi$$

Notice the \* is in the wrong place now.

$$(I + AA^*)^{-1}A\xi = AS\xi$$

we call

$$\tilde{S} = (I + AA^*)^{-1}$$



Note It looks like S, but just the \* is in the wrong place.

Note that

$$\tilde{S}A\xi = AS\xi$$

If A is self-adjoint, so  $A^* = A$ ,

### **Definition 1.52 (Normal operators)**

We say A is normal if

$$A^*A = AA^*, D(A^*A) = D(AA^*)$$

2

If you have A as normal, then you could say  $S = \tilde{S}$ .

So if A self-adjoint, then for  $\xi \in D(A)$ ,

$$SA\xi = AS\xi$$

For any  $\eta \in \mathcal{H}$ , let  $\xi = S\eta \in D(A^*A) \subset D(A)$ ,

$$S(AS)\xi = (AS)(S\xi)$$

Hence for A self-adjoint,

$$SR\xi = RS\xi$$
, for all  $\xi \in \mathcal{H}$