



# Functional Analysis

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## Chapter 1 Prep work

We will start from the beginning and take baby steps. It's going to be okay.

An algebra is a vector space (with addition and scalar multiplication, usually over  $\mathbb{R}, \mathbb{C}$ ), with an extra multiplication operation such that it is associative, and distributive. Then a normed algebra is an algebra with a sub-multiplicative norm, such that for all  $a, b \in \mathcal{A}$ , we have

$$\|ab\| \leq \|a\|\|b\|$$

A Banach algebra is a normed algebra that is complete under the metric induced by the norm. And we can form a Banach algebra by starting with a normed algebra and form its completion and by uniform continuity of addition and multiplication extend to the completion of the algebra to form a Banach algebra.

We will begin with some important examples of Banach algebras. Let  $X$  be a compact topological space, and let  $C(X)$  be the space of continuous functions, equip it with  $\|\cdot\|_{L^\infty}$  norm, then  $(C(X), \|\cdot\|_{L^\infty})$  is a Banach algebra. Similarly, if  $X$  is only locally compact, then  $C_b(X)$ , the space of bounded continuous functions under the  $\|\cdot\|_{L^\infty}$  norm is also a Banach algebra.

### Proposition 1.1

*Multiplication is continuous in Banach algebras.*



**Proof** Multiplication  $\cdot : \mathcal{A} \times \mathcal{A} \rightarrow \mathcal{A}$ , hence if we have  $x_n, y_n$  such that  $x_n \rightarrow x, y_n \rightarrow y$ , then we have

$$\|x_n y_n - xy\| \leq \|x_n - x\| \|y_n\| + \|x\| \|y_n - y\| < \epsilon$$

Hence multiplication is continuous.

### Definition 1.1 (Unital Banach algebra and invertibility)

*A Banach algebra (let's repeat, a complete vector space with addition, scalar multiplication, and multiplication such that the norm is sub-multiplicative) is called unital if there exists a multiplicative inverse.*

*An element  $a \in \mathcal{A}$  is called invertible if there exists an element  $a^{-1} \in \mathcal{A}$  such that*

$$aa^{-1} = a^{-1}a = e$$



Another important example is that let  $X$  be a Banach space, and the space of all bounded/continuous operators on  $X$ , denoted by  $\mathcal{B}(X)$  is a Banach algebra with the operator norm. Any closed subalgebra of  $\mathcal{B}(X)$  is also Banach.

If  $X$  is a Hilbert space, then we also have the operation of taking adjoints, namely  $\|T\| = \|T^*\|$ .

### Definition 1.2

*A  $C^*$  algebra is a closed subalgebra of the space of bounded (equivalently) functions defined on a Hilbert space,  $\mathcal{B}(\mathcal{H})$ .*



**Remark** The space of continuous/bdd operators on a Hilbert space, under the operator norm, then closed under the norm topology and taking adjoints of the operators. On wikipedia,  $C^*$  algebra is defined to be a Banach algebra equipped with an involution that acts like an adjoint.

One of the goals of this course is to develop the following theorem.

### Theorem 1.1

*Let  $\mathcal{A}$  be a commutative  $C^*$ -algebra of  $\mathcal{B}(\mathcal{H})$ , then  $\mathcal{A}$  is isometrically and  $*$ -algebraically isomorphic to some  $C(X)$ , where  $X$  is some locally compact space.*



We will mostly follow the lecture and the previous lecture notes.

### Definition 1.3 (Algebra homomorphism)

An algebra homomorphism is a homomorphism between two algebras. For example, consider  $X$  a compact space, and  $C(X)$  the space of continuous functions, hence if we define the evaluation map as follows:

$$\varphi_x(f) = f(x)$$

This is an algebra homomorphism between  $C(X)$  and  $(\mathbb{C})$ . Namely, the homomorphism property is justified as: (under both addition and multiplication)

$$\varphi_x(f + g) = f + g(x) = f(x) + g(x) = \varphi_x(f) + \varphi_x(g)$$

$$\varphi_x(fg) = (fg)(x) = f(x)g(x) = \varphi_x(f)\varphi_x(g)$$

And of course, same thing follows for scalar multiplication.



**Remark** We need to check all three conditions to make sure such  $\varphi$  preserves the structures between the algebras.

An algebra homomorphism is called unital if it maps the (multiplicative identity) unity to unity. In the above example, a unital homomorphism would be  $\varphi(1) = 1$ , where the left 1 is the constant 1 function, and the right 1 is the number.

Now we will introduce the proposition that every multiplicative linear functional on  $C(X)$ . Note we can use algebra homomorphism and multiplicative linear functional synonymously on  $C(X)$ , hence they entail the same information.

### Proposition 1.2

Let  $\varphi$  be a multiplicative linear functional on  $C(X)$ , i.e. a nontrivial algebra homomorphism, then  $\varphi(f) = f(x_0)$  for some  $x_0 \in X$ . In other words, a multiplicative linear functional always takes this form.



**Proof** It suffices to show the following lemma:

### Lemma 1.1

There exists  $x_0$  such that if  $\varphi(f) = 0$ , then we have  $f(x_0) = 0$ .



We will first show how the lemma implies  $\varphi(f) = f(x_0)$ . Consider the function  $f - \varphi(f) \cdot 1$ , then we know

$$\varphi(f - \varphi(f) \cdot 1) = 0$$

Then there exists  $x_0$  such that  $f(x_0) - \varphi(f) = 0$ , this gives  $\varphi(f) = f(x_0)$ .

Now we prove the lemma.

**Proof** Our claim is that there exists  $x_0$  such that if  $\varphi(f) = 0$ , then we have  $f(x_0) = 0$ .

This is different. Suppose the contrary, i.e., if  $\varphi(f) = 0$ , then for all  $x$ , we have  $f(x) \neq 0$ . We shall use compactness of  $X$  to finish this proof. Denote open neighborhoods of  $x$  as  $O_x$ , then we can extract a finite subcover such that  $X = \bigcup_{n=1}^k O_{x_n}$ , and for