# Algebra Qualifying Exam

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## Chapter 1

# **Group Theory**

### 1.1 Sylow Theorems

We first talk bout semidirect products. Let G be any group, and N, H be subgroups of G.

**Definition 1.1.** For  $\varphi: H \to \operatorname{Aut}(N)$ , define  $N \times H$  by

- (1)  $N \rtimes_{\varphi} H = N \times H$  as a set.
- (b) Equipped with the group structure

$$(n_1, h_1) \cdot (n_2, h_2) = (n_1 \varphi(h_1) n_2, h_1 h_2)$$

The structure  $(N \rtimes_{\varphi} H, \cdot)$  forms a group.

**Example 1.1.** If *N* is a normal subgroup of *G*, and  $N \cap H = \{e\}$ , and  $\varphi : H \to \operatorname{Aut}(N)$  where

$$\varphi: h \mapsto (n \mapsto hnh^{-1})$$

(acting by conjugation), and G = NH. Then

$$N \rtimes_{\varphi} H \to G$$

where

$$(n,h) \mapsto nh$$

is a bijective homomorphism homomorphism. Hence

$$G \cong N \rtimes_{\omega} H$$

Next we present some divisibility results.

Proposition 1.1 (Lagrange, Orbit-Stabilizer). We have the following divisibility results:

• Let H be a subgroup of G, let [G:H] denote the number of cosets of H in G, then

$$|G| = |H|[G:H]$$

• Let G be a finite group acting transitively on a finite set A, then for any  $a \in A$ , we have

$$|\operatorname{Stab}_G(a)| \cdot |O_G(a)| = |G|$$

The class formula is when *G* acts on itself by conjugation:

**Proposition 1.2** (class formula). Let G act on a finite set S, and let Z denote fixed points of this action, then

$$|S| = |Z| + \sum_{a \in A} |O_G(a)|$$

where A includes exactly one element from each nontrivial orbit.

If *G* acts on itself by conjugation, then

$$|G| = |Z(G)| + \sum_{g} |[g]| = |Z(G)| + \sum_{g} \frac{|G|}{|C_G(g)|}$$

where [g] denote the conjugacy class of g, and the sum includes exactly one from each nontrivial conjugacy class in G.

**Problem 1.1** (F2019-Q2). 2. Let p, q be two prime numbers such that  $p \mid q - 1$ . Prove that

- (a) there exists an integer  $r \neq 1 \mod q$  such that  $r^p \equiv 1 \mod q$ ;
- (b) there exists (up to an isomorphism) only one noncommutative group of order pq.

*Proof.* (a) We want to show that there exists an element  $r \in (\mathbb{Z}/q\mathbb{Z})^{\times}$  such that

$$r^p \equiv 1 \mod q$$

We can do this because  $(\mathbb{Z}/q\mathbb{Z})^{\times}$  has order (q-1) and p|(q-1). Therefore by Cauchy's theorem, there exists an element of order p in  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ .

(b) Let  $n_p, n_q$  denote the number of p, q-Sylow subgroups. We see that  $n_q|p$  and  $n_q\equiv 1\mod q$ , since p< q, we must have  $n_q=1$ . Now  $n_p=1$  or q by the same reasoning. Suppose  $n_q=1$ , let P,Q denote the normal subgroups of order p,q, then

$$G \cong P \times Q$$

by a standard argument (included in the lemma below). Then G is commutative. Since G is noncommutative, we have  $n_p = q$ . Choose any p-Sylow subgroup P, we know that

$$G \cong Q \rtimes_{\theta} P$$

where Q is the normal subgroup of order q and  $\theta: P \to \operatorname{Aut}(Q) = (\mathbb{Z}/q\mathbb{Z})^{\times}$ . We know either  $\theta: 1 \mapsto 1$ , is the trivial map which produces a commutative group; or  $\theta: 1 \mapsto r$ , where  $r \in (\mathbb{Z}/q\mathbb{Z})^{\times}$  is some element of order p.



Warning 1.1. For completeness, we show that

**Lemma 1.1.** Let p,q be two primes such that  $q \nmid (p-1)$ , and N, H has order p,q respectively, suppose that N is normal in G, and  $N \cap H = \{e\}$ , then

$$G \cong N \times H$$

*Proof.* We consider the map

$$\psi: N \times H \to G$$

such that

$$(n,h) \mapsto nh$$

We want to show that  $\psi$  is a homomorphism and  $\psi$  is injective (hence bijective by size argument). It is clearly injective:

$$nh = e \Rightarrow n, h \in N \cap H = \{e\}$$

It suffices to show that  $\psi$  is a homomorphism. We see that this implies

$$n_1 n_2 h_1 h_2 = n_1 h_1 n_2 h_2$$

Therefore it suffices to for any  $n \in N, h \in H$ , one has

$$nh = hn$$

Consider the conjugation action

$$\varphi: H \to \operatorname{Aut}(N)$$

where

$$h \mapsto (n \mapsto hnh^{-1})$$

Then we claim that  $\varphi$  is trivial. This is because  $\ker(\varphi)$  has size either 1 or q. If it has size q, then the map is trivial; if it has size 1, then H embeds in  $\operatorname{Aut}(N)$ , however, |H|=q,  $\operatorname{Aut}(N)=p-1$ , and  $q\nmid (p-1)$ , hence impossible. This shows that the map is trivial, i.e., for  $n\in N, h\in H$ ,

$$hn = nh$$

as desired.  $\Box$ 

Problem 1.2 (F2015-Q1). Prove every group of order 15 is cyclic.

*Proof.* We will show that any group G of order 15 is isomorphic to

$$G\cong\frac{\mathbb{Z}}{3\mathbb{Z}}\times\frac{\mathbb{Z}}{5\mathbb{Z}}$$

For this, using the above lemma, it suffices to show that there is one normal subgroup of order 3 and one normal subgroup of order 5. We repeat the argument above,  $n_5 \mid 3$  and  $n_5 \equiv 1 \mod 5$ , hence  $n_5 = 1$ . Moreover,  $n_3 \mid 5$  and  $n_3 \equiv 1 \mod 3$ , hence  $n_3 = 1$  as well. By the lemma above, we know that

$$G\cong \frac{\mathbb{Z}}{3\mathbb{Z}}\times \frac{\mathbb{Z}}{5\mathbb{Z}}$$

hence cyclic as desired.

**Problem 1.3** (S2013-Q2). Let p and q be primes with p < q. Let G be a group of order pq. Prove the following statements:

- (a) If p does not divide q 1 (i.e.,  $p \nmid q 1$ ), then G is cyclic.
- (b) If p divides q 1 (i.e.,  $p \mid q 1$ ), then G is either cyclic or isomorphic to a non-abelian group on two generators. Give the presentation of this non-abelian group.

*Proof.* This question is exactly the same as F19-Q2, we will only outline here.

(a) We have  $n_q=1$ , and  $n_p\mid q$ , hence  $n_p=1$  or q, moreover  $n_p\equiv 1\mod p$ . If  $n_p=q$ , this implies that  $p\mid (q-1)$ , hence  $n_p=1$ . Therefore by the above argument

$$G\cong \frac{\mathbb{Z}}{p\mathbb{Z}}\times \frac{\mathbb{Z}}{q\mathbb{Z}}$$

(b) If  $p \mid (q-1)$ , then  $n_p = 1$  or q. Hence G is either of the form above or isomorphic to the non-abelian group

$$G = Q \rtimes_{\theta} P$$

We know from F2019-Q2, the trivial  $\theta$  defines the abelian, hence cyclic group  $G = \frac{\mathbb{Z}}{p\mathbb{Z}} \times \frac{\mathbb{Z}}{q\mathbb{Z}}$ . And  $\theta: 1 \mapsto r$ , for some  $r \in (\mathbb{Z}/q\mathbb{Z})^{\times}$  of order p defines a non-abelian group. So we have

$$G = \langle g, h : g^q = h^p = e, hgh^{-1} = g^r \rangle$$

Problem 1.4 (F2007-Q1). Prove that no group of order 148 is simple.

*Proof.* We note the prime factorization of 148 is

$$148 = 2^2 \cdot 37$$

We see that  $n_{37} \mid 4$  and  $n_{37} \equiv 1 \mod 37$ , therefore  $n_{37} = 1$ . This shows that there exists a normal subgroup of order 37, i.e., the group is not simple.

**Problem 1.5** (F2017-Q1). Show that there is no simple group of order 30.

*Proof.* This is slightly more complicated, and we will use a counting argument. Same reasoning as the above. The prime factorization of 30 is as below:

$$30 = 2 \cdot 3 \cdot 5$$

We see  $n_5 \mid 6$ , and  $n_5 \equiv 1 \mod 5$ . Unfortunately,  $n_5$  could either be 1 or 6. Now  $n_3 \mid 10$ , and  $n_3 \equiv 1 \mod 3$ , unfortunately again  $n_3$  could be 10. However, we argue that  $n_3 = 10$  and  $n_5 = 6$  cannot happen at the same time. Suppose this is the case, then there are 20 elements of order 2 and 24 elements of order 5, but this is too many! Hence either  $n_3 = 1$  or  $n_5 = 1$ , as desired.

#### Problem 1.6 (F2011-Q1).

- (a) Let G be a group of order 5046. Show that G cannot be a simple group. You may not appeal to the classification of finite simple groups.
- (b) Let p and q be prime numbers. Show that any group of order  $p^2q$  is solvable.

*Proof.* The proof is very similar like above.

(a) The prime factorization of 5049 is as follows:

$$5049 = 2 \cdot 3 \cdot 29^2$$

Hence we see  $n_{29} = 1$ , i.e., there is a normal subgroup of order 29, therefore not simple.

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- (b) We will do discussion by cases.
  - (1) p > q. Then  $n_p = 1$  or q and  $n_p \equiv 1 \mod p$ , therefore  $n_p = 1$ . Let P be the normal subgroup of G of order  $p^2$ , we thus have

$$\{e\} \subset P \subset G$$

It is clear that |G/P| = q, thus abelian, and  $|P| = p^2$  also abelian as well (by the lemma below). This shows that G is solvable.

(2) p < q. Then  $n_p = 1$  or q, and  $n_q = 1$  or  $p^2$ . Suppose that  $n_q = 1$ , let Q denote the normal subgroup of order q, then

$$\{e\} \subset Q \subset G$$

It is clear that Q and G/Q are both abelian. Suppose that  $n_q=p^2$  instead, then there are only  $p^2q-p^2(q-1)=p^2$  elements of order  $\neq q$ . Since any p-Sylow subgroup has  $p^2$  elements with order  $\neq q$ , we must have  $n_p=1$ . Hence we are in case (1) again. This shows that G is solvable in either case  $n_q=1,p^2$ .

**Lemma 1.2** ( $p^2$  abelian). Fix prime p, any group of order  $p^2$  is abelian.

*Proof.* For any nontrivial p group, by the class formula, the center Z(G) is nontrivial, thus the center has order either p or  $p^2$ . If it has order  $p^2$ , then the group is abelian. If it has order p, then

$$|G/Z(G)| = p$$

is also cyclic, therefore G is abelian (strictly speaking is a contradiction that |Z(G)|=p). In either case, we see that G is abelian.

**Problem 1.7.** Any p-group is solvable, for any prime p.

*Proof.* Suppose  $|G| = p^r$  for some  $r \ge 0$ , we will use induction on r. If r = 0, then the trivial group is trivally solvable.

- Base case: if r = 1, |G| = p, then G is cyclic, hence solvable.
- Induction step: suppose that G is solvable for all  $|G| = p^k$ , where  $0 \le k \le r 1$ . Now we want to show that G of order  $p^r$  is solvable. We know G has a nontrivial center, suppose that  $|Z(G)| = p^k$ , where  $1 \le k \le r$ , then

$$|G/Z(G)| = p^{r-k}, 0 \le r - k \le r - 1$$

We know any group G is solvable if and only if there exists a sequence of subgroups  $G_0, \ldots, G_k$ 

$$\{e\} = G_0 \subset \cdots \subset G_k = G$$

such that  $G_{i-1}$  is normal in  $G_i$  and  $G_i/G_{i-1}$  is solvable. Therefore we see when  $|G|=p^r$ ,

$$\{e\} \subset Z(G) \subset G$$

has Z(G) solvable, and G/Z(G) also solvable by the induction hypothesis, so we close the induction.

Problem 1.8 (S2016-Q1). Classify all groups of order 66, up to isomorphism.

*Proof.* By  $66 = 2 \cdot 3 \cdot 11$ , we know  $n_{11} = 1$ . We claim that there is a normal subgroup isomorphic to  $\mathbb{Z}/33\mathbb{Z}$ .

1. First we show that there is a subgroup of order 33. Let  $P_{11}$  denote the normal subgroup of order 11 and let  $P_3$  denote a 3-Sylow subgroup of G. Then we claim that the following

$$H = \{gh : g \in P_{11}, h \in P_3\}$$

forms a subgroup and is isomorphic to  $\mathbb{Z}/33\mathbb{Z}$ . By the Lemma 1.1, we see that

$$H \cong \frac{\mathbb{Z}}{11\mathbb{Z}} \times \frac{\mathbb{Z}}{3\mathbb{Z}} = \frac{\mathbb{Z}}{33\mathbb{Z}}$$

2. Now we show that it is normal. This follows from the following general lemma:

**Lemma 1.3.** Let p be the smallest prime factor of |G|, and let H be a subgroup with index p, then H is normal.

*Proof.* We will only prove in the case that H is a subgroup of index 2, i.e.,  $G = H \sqcup (G \setminus H)$ . We see for all  $g \in G$ ,

$$gH = Hg$$

since if  $g \in H$ , then the equality holds; if  $g \notin H$ , then  $gH = G \setminus H$ , so is Hg.

Now since there is a subgroup of order 2, we can write G as a semidirect product

$$G = \frac{\mathbb{Z}}{33\mathbb{Z}} \rtimes_{\theta} \frac{\mathbb{Z}}{2\mathbb{Z}}$$

The number of nonisomorphic groups will depend on the choice of  $\theta$ . There are four different choices for  $\theta: H \to \operatorname{Aut}\left(\frac{\mathbb{Z}}{11\mathbb{Z}} \times \frac{\mathbb{Z}}{3\mathbb{Z}}\right) = \frac{\mathbb{Z}}{10\mathbb{Z}} \times \frac{\mathbb{Z}}{2\mathbb{Z}}$ 

$$\begin{cases} \theta_1 : 1 \mapsto (0,0) \\ \theta_2 : 1 \mapsto (0,1) \\ \theta_3 : 1 \mapsto (5,0) \\ \theta_4 : 1 \mapsto (5,1) \end{cases}$$

There are 4 different groups and one can write them in cyclic notation using the  $\theta$  above.

Problem 1.9 (S2007-Q2). Prove that no group of order 224 is simple.

*Proof.* The prime factorization is

$$224 = 2^5 \cdot 7$$

If  $n_2=1$  or  $n_7=1$ , then we are done; assume that  $n_2=7$  instead, then we recall G has a nontrivial transitive action on the set of 2-Sylow subgroups, i.e., there is a homomorphism  $\varphi:G\to S_7$ . We know  $\ker(\varphi)$  is a normal subgroup of G. Since the action is nontrivial transitive, we know  $\ker(\varphi)\neq G$ . If  $\ker(\varphi)=\{e\}$ , then  $\varphi$  produces an embedding of G into  $S_7$ . However,  $|G|=224\nmid |S_7|$ . This shows that  $\ker(\varphi)$  is a nontrivial proper normal subgroup of G, concluding that G is not simple.

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Problem 1.10 (F2008-Q1). Show that no group of order 36 is simple.

Proof.

$$36 = 2^2 \cdot 3^3$$

We know  $n_2 \mid 9, n_2 \equiv 1 \mod 2$ , and  $n_3 \mid 4, n_3 \equiv 1 \mod 3$ . We know  $n_3 = 1$  or 4, suppose that  $n_3 = 4$ , then there is a nontrivial action of G on the set of 3-Sylow subgroups, i.e.,

$$\varphi:G\to S_4$$

Suppose that G is simple, we know  $\ker(\varphi) \neq G$  since the action is nontrivial, by assumption  $\ker(\varphi) = \{e\}$ , which implies that  $\varphi$  is an embedding, but  $|G| = 32 \nmid |S_4|$ , which is a contradiction. This implies that G is not simple.

**Problem 1.11** (S2014-Q2). All groups of order less than 60 are solvable, i.e., there exists a sequence of subgroups of  $G, G_0, \ldots, G_k$  such that  $G_i$  is normal in  $G_{i+1}$  and  $G_{i+1}/G_i$  is abelian, and

$$1 = G_0 \subset \cdots \subset G_k = G$$

*Proof.* Groups of order  $p, pq, p^2, p^2q$  are solvable.

$$\left\{1, 2, 3, 4, 5, 6, 7, 9, 10, 11, 12, 13, 14, 15, 17, 19, 20, 21, 22, 23, 25, 26, 28, 29, 30, 31, 33, 34, 35, 37, 38, 39, 41, 43, 44, 45, 46, 47, 49, 50, 51, 52, 53, 55, 57, 58, 59\right\}$$

And any *p*-group is also solvable.

$$\{8, 16, 27, 32\}$$

The remaining groups are

24: If  $n_2 = 1$  or  $n_3 = 1$ , then we are done. We see  $n_2 = 1$  or 3, consider the action  $\varphi : G \to S_3$ . We see  $\ker(\varphi)$  is a proper normal subgroup of G, this implies that

$$\{e\} \subset \ker(\varphi) \subset G$$

where  $|\ker(\varphi)|$  is a known solvable group, hence we are done.

- 36: Exactly same as above, we assume  $n_3 \neq 1$ , therefore  $n_3 = 4$ , the action  $\varphi : G \to S_4$  is not injective, hence  $\ker(\varphi)$  is again a proper normal subgroup of G that is solvable.
- 40: We see  $n_5 = 1$ , therefore

$$\{e\} \subset \mathbb{Z}/5\mathbb{Z} \subset G$$

- 42: We see  $n_7 = 1$ .
- 48: We see  $n_2=1$  or 3, the the action  $\varphi:G\to S_3$  is not injective, hence  $\ker(\varphi)$  is a proper normal subgroup of G that is solvable.
- 54: We see  $n_3 = 1$ .
- 56: We know  $n_7 = 1$  or 8 and  $n_2 = 1$  or 7. The group action argument does not work. We assume  $n_7 = 8$ , then there can be at most 56 8(7 1) = 8 elements of order  $\neq 7$ . This shows that  $n_2 = 1$ . Hence

$$\{e\} \subset P_2 \subset G$$

**Problem 1.12** (S2012-Q1). Let G be a group of order  $p^3q^2$ , where p and q are prime integers. Show that for p sufficiently large and q fixed, G contains a normal subgroup other than  $\{1\}$  and G.

*Proof.* We want to show that there exists a normal group of size  $p^3$ , i.e.,  $n_p = 1$ . We know  $n_p \mid q^2, n_p \equiv 1 \mod p$ . Let p be large enough such that  $p > (q^2 - 1)$ , then the forces  $n_p = 1$ , as desired.

### Problem 1.13 (F2014-Q4).

- (a) Let G be a group of order  $p^2q^2$ , where p and q are distinct odd primes, with p > q. Show that G has a normal subgroup of order  $p^2$ .
- (b) Can a solvable group contain a non-solvable subgroup? Explain.

*Proof.* (a) We know  $n_p=1$  or q or  $q^2$ , and  $n_p\equiv 1 \mod p$ . Since p>q, we know  $n_p\neq q$ . It suffices to show that  $n_p\neq q^2$ : suppose that  $n_p=q^2$ , then

$$p \mid (q^2 - 1) = (q + 1)(q - 1)$$

Since p is prime,  $p \mid (q+1)$  or  $p \mid (q-1)$ . The latter impossible since q < p.  $p \mid (q+1)$  is also impossible because this implies that q = p + 1, which implies that q is even, a contradiction.

(b) It is not possible. Suppose G is a solvable group, let H be a subgroup of G, then we know there exists sequence

$$\{e\} = G_0 \subset \cdots \subset G_k = G$$

such that  $G_i$  is normal in  $G_{i+1}$  and  $\frac{G_{i+1}}{G_i}$  is abelian. We define  $H_i = G_i \cap H$ , then we see H is solvable with sequence  $H_0 \subset \dots H_k$ .

**Problem 1.14** (F2018-Q2). Let G be a group of order 24. Assume that no Sylow subgroup of G is normal in G. Show that G is isomorphic to the symmetric group  $S_4$ .

*Proof.* By Sylow, we have  $n_3=4, n_2=3$ . Denote  $\mathrm{Syw}_3(G)=\{P_1,P_2,P_3,P_4\}$  and consider the transitive action by of G by conjugation on this set, which embeds in  $S_4$ , i.e.,  $\varphi:G\to S_4$ . By a size argument, it suffices to show that  $\varphi$  is injective. We see that

$$\ker(\varphi) = \{g \in G : gP_ig^{-1} = P_i \text{ for each } i\} = \bigcap_{i=1}^4 N_G(P_i)$$

By the orbit-stabilizer theorem,  $|N_G(P_i)|=6$  for all i. However, for any  $i\neq j$ , 3 does not divide  $|N_G(P_i)\cap N_G(P_j)|$ : if not, the intersection would include a 3-Sylow subgroup but  $P_i$  is the only 3-Sylow subgroup in  $N_G(P_i)$ , thus this is impossible. It remains to see that  $|\ker(\varphi)|\neq 2$ . Suppose that it is, then  $\operatorname{im}(\varphi)$  is an index 2 subgroup of  $S_4$ , hence

$$\frac{G}{\ker \varphi} \cong A_4$$

and  $K = \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$  is normal in  $A_4$ , hence so is  $\varphi^{-1}(K)$  (it has size 8) in G. This is a contradiction because this implies there is a normal 2-Sylow subgroup.

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**Problem 1.15** (F2001-Q1). Let G be a finite group and let N be a normal subgroup of G such that N and G/N have relatively prime orders.

- 1. Assume that there exists a subgroup H of G having the same order as G/N. Show that G = HN. (Here HN denotes the set  $\{xy \mid x \in H, y \in N\}$ .)
- 2. Show that  $\phi(N) = N$ , for all automorphisms  $\phi$  of G.

*Proof.* 1. Since N, H have relatively prime orders,  $N \cap H = \{e\}$ , thus we can write

$$G = N \rtimes_{\theta} H$$

where  $\theta(h)n = hnh^{-1}$ . One can show that the map  $\varphi: N \rtimes_{\theta} H \to G$  as

$$\varphi:(n,h)\mapsto nh$$

It is clear that  $\varphi$  is a homomorphism and injective, thus by a size argument we have  $\varphi$  is an isomorphism. This shows G=NH and similarly G=HN.

2. Any automorphism  $\phi$  of G permutes the p-Sylow subgroups. Suppose that  $|G|=p_1^{i_1}\dots p_k^{i_k}$ , then after rearranging,

$$|N| = p_1^{i_1} \dots p_i^{i_j}$$

because N and G/N have relatively prime orders. Hence N contains all the Sylow  $p_i$ -subgroups, hence  $\phi(N) = N$  for all automorphisms  $\phi$  of G.

**Problem 1.16** (S2001-Q1). Let G be a finite group and p the smallest prime number dividing the order |G| of G. Let H be a subgroup of G of index p in G. Show that H is necessarily a normal subgroup of G.

*Proof.* G has an action on G/H by left multiplication:  $\varphi: G \to \operatorname{Aut}(G/H)$  such that

$$\varphi(q)(\bar{q}H) = q\bar{q}H$$

We will show that  $H = \ker(\varphi)$ . First we see that  $\ker(\varphi) \subset H$ :

$$\ker(\varphi) = \{ g \in G : g\bar{g}H = \bar{g}H : \text{ for all } \bar{g} \in G \}$$

letting  $\bar{g} \in H$  we see  $g \in \ker(\varphi)$  implies  $g \in H$ , i.e.,  $\ker(\varphi) \subset H$ .

Now we use a size argument to show  $|H| \le |\ker \varphi|$ . We note that  $\operatorname{im}(\varphi)$  is a subgroup of  $\operatorname{Aut}(G/H) = S_p$ , thus

$$\frac{|G|}{|\ker(\varphi)|}$$
 divides  $p!$ 

because  $\frac{|G|}{|\ker(\varphi)|}$  also divides |G| and p is the smallest prime that divides p, we must have

$$\frac{|G|}{|\ker(\varphi)|}$$
 divides  $p$ 

Note that  $\frac{|G|}{|H|} = p$ , this gives

$$|H| \leq |\ker(\varphi)|$$

which shows  $H \subset \ker(\varphi)$ , hence  $H = \ker(\varphi)$ .

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### 1.2 Class Formula, Classification of p-groups

**Definition 1.2** (nilpotent group). Let G be a group. Define inductively an increasing sequence  $\{e\} = Z_0 \subseteq Z_1 \subseteq Z_2 \subseteq \cdots$  of subgroups of G as follows: for  $i \ge 1$ ,  $Z_i$  is the subgroup of G corresponding to the center of  $G/Z_{i-1}$ . One can show that  $Z_i$  is normal in G. A group is *nilpotent* if  $Z_m = G$  for some m.

### Example 1.2.

- *p*-groups are nilpotent.
- Nilpotent groups are solvable.

**Proposition 1.3.** We have the following classification of groups of order  $p, p^2, p^3$ , for prime p.

- |G| = p implies  $G \cong \mathbb{Z}/p\mathbb{Z}$ .
- $|G| = p^2$  implies

$$G\congrac{\mathbb{Z}}{p^2\mathbb{Z}} \quad ext{ or } \quad G\congrac{\mathbb{Z}}{p\mathbb{Z}}\oplusrac{\mathbb{Z}}{p\mathbb{Z}}$$

•  $|G| = p^3$  implies that

$$G\cong rac{\mathbb{Z}}{p^3\mathbb{Z}} \quad ext{ or } \quad G/Z(G)\cong rac{\mathbb{Z}}{p\mathbb{Z}}\oplus rac{\mathbb{Z}}{p\mathbb{Z}} \quad ext{ or } \quad [G,G]=Z(G)$$

**Problem 1.17** (S2010-Q1). Let G be a non-abelian group of order  $p^3$ , where p is prime. Determine the number of distinct conjugacy classes in G.

*Proof.* We know G has a nontrivial center, and if  $|Z(G)| = p^2$  or  $p^3$ , then G is abelian, this shows that |Z(G)| = p, now let  $g \in G \setminus Z(G)$ , then

$$Z(G) \subsetneq Z_q(G) \subsetneq G$$

where  $Z(G) \subsetneq Z_g(G)$  because  $g \in Z_g(G)$ , and  $Z_g(G) \subsetneq G$  since  $g \notin Z(G)$ . This shows that  $Z_g(G)$  is a subgroup of order  $p^2$ , in other words, the size of the conjugacy class of any  $g \in G \setminus Z(G)$  is

$$|[g]| = \left| \frac{G}{Z_a(G)} \right| = p$$

By the class formula,

$$|G| = |Z(G)| + \sum_{a \in A} |[a]|$$

where A contains one a from each nontrivial conjugacy class [a]. Thus we have

$$p^3 = p + Np \Rightarrow N = p^2 - 1$$

Every element in Z(G) is its own conjugacy class, thus the total number of conjugacy classes is

$$p^2 + p - 1$$

**Problem 1.18** (F2013-Q1). Let p > 2 be a prime. Classify groups of order  $p^3$  up to isomorphism. The two nonabelian groups of order  $p^3$  (for  $p \neq 2$ ), up to isomorphism, are:

$$\operatorname{Heis}(\mathbb{Z}/(p)) = \left\{ \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} \middle| a, b, c \in \mathbb{Z}/(p) \right\}$$

and

$$G_p = \left\{ \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} \middle| a, b \in \mathbb{Z}/(p^2), a \equiv 1 \bmod p \right\}$$
$$= \left\{ \begin{pmatrix} 1 + pm & b \\ 0 & 1 \end{pmatrix} \middle| m, b \in \mathbb{Z}/(p^2) \right\}$$

#### Problem 1.19 (F2014-Q5).

- (a) Prove that every group of order  $p^2$  (with p prime) is abelian. Then classify such groups up to isomorphism.
- (b) Give an example of a non-abelian group of order  $p^3$  for p=3. Suggestion: Represent the group as a group of matrices.

*Proof.* (a) See Lemma 1.2. There are two abeliean groups:  $\frac{\mathbb{Z}}{p^2\mathbb{Z}}, \frac{\mathbb{Z}}{p\mathbb{Z}} \times \frac{\mathbb{Z}}{p\mathbb{Z}}$ 

(b) See Problem 1.18.

**Problem 1.20** (F2019-Q4, S2015-Q3). Find all irreducible representations of a finite p-group over a field of characteristic p.

*Proof.* Let G any finite p-group. Let V be an irreducible representation over  $\mathbb{F}_p$ , which is a  $[\mathbb{F}_p G]$ -module. Thus  $|V| = p^d$ , since it is a finite-dimensional vector space over  $\mathbb{F}_p$ , i.e.,

$$|V| = p^d$$

for some  $d \ge 1$ . We consider the action of G on V, all the orbits of this action either has size 1 or is a power of p, since G is a p-group, by the class formula, let N be the number of nontrivial orbits of size 1,

$$|W| \equiv 1 + N \mod p \Rightarrow 1 + N \equiv 0 \mod p$$

Hence there exists at least one nontrivial orbit  $\{v\}$  of size 1. We consider the vector space W generated by v over  $\mathbb{F}_p$ : it is one-dimensional vector space contained in V, invariant under G, since V is irreducible, we must have V = W. Thus all irreducible representations of a finite p-group over  $\mathbb{F}_p$  are trivial.

### 1.3 Random Problems

**Problem 1.21** (F2010-Q1). Let G be a group. Let H be a subset of G that is closed under group multiplication. Assume that  $g^2 \in H$  for all  $g \in G$ . Show that:

- *H* is a normal subgroup of *G*
- G/H is abelian

*Proof.* • We first show that H is subgroup. It remains to show that if  $h \in H$ , then  $h^{-1} \in H$ , we know  $(h^{-1})^2 \in H$ , thus

$$h(h^{-1})^2 = h^{-1} \in H$$

as desired. Now we show that H is normal: for any  $h \in H$ ,  $g \in G$ , we want to show  $ghg^{-1} \in H$ .

$$\begin{split} ghg^{-1} &= (gh)^2 (gh)^{-1}hg^{-1} \\ &= (gh)^2 h^{-1}g^{-1}hg^{-1} \\ &= (gh)^2 h^{-1}(g^{-1}h)^2 (g^{-1}h)^{-1}g^{-1} \\ &= (gh)^2 h^{-1}(g^{-1}h)^2 h^{-1} \in H \end{split}$$

as desired.

• It suffices to show that for any  $g_1, g_2 \in G$ , we have

$$g_1g_2H \subset g_2g_1H$$

Take any  $h \in H$ , we want to show  $(g_2g_1)^{-1}g_1g_2h \in H$ ,

$$(g_2g_1)^{-1}g_1g_2h = (g_2g_1)^{-2}g_2g_1^2g_2h$$
  
=  $(g_2g_1)^{-2}(g_2g_1^2)^2(g_2g_1^2)^{-1}g_2h$   
=  $(g_2g_1)^{-2}(g_2g_1^2)^2g_1^{-2}h \in H$ 

as desired.

**Problem 1.22** (S2014-Q1). Find the number of colorings of the faces of a cube using 3 colors, where two colorings are considered equal if they can be transformed into each other by a rotation of the cube. [*Hint*: Use Burnside's formula:

$$|X/G| = \frac{1}{|G|} \sum_{g \in G} |X^g|,$$

where a group G acts on a set X, X/G is the set of orbits, and for every  $g \in G$ ,  $X^g$  is the fixed subset of g in X.]

*Proof.* Let X be the set of all possible colorings of the cube (equal cubes allowed), we have  $|X| = 3^6$ . We notice two things:

- 1. The group of rotations of a cube is  $S_4$ .
- 2. For  $\sigma_1, \sigma_2 \in S_4$  that are conjugates of each other,  $|X^{\sigma_1}| = |X^{\sigma_2}|$ . Therefore for the Burnside's formula becomes

$$|X/S_4| = \frac{1}{|S_4|} \sum_{[\sigma] \text{ conj classes}} |[\sigma]| \cdot |X^{\sigma}|$$

Now we analyze for each conjugacy class  $[\sigma]$ , what is  $|X^{\sigma}|$ .

- (1+1+1+1), |[e]| = 1 and  $|X^e| = 3^6$ .
- (1+1+2),  $|[\sigma_1]| = 6$  and  $|X^{\sigma_1}| = 3^3$ .
- (1+3),  $|[\sigma_2]| = 8$ , and  $|X^{\sigma_2}| = 3^2$ .
- (2+2),  $|[\sigma_3]| = 6$ , and  $|X^{\sigma_3}| = 3^4$ .

• (4),  $|[\sigma_4]| = 6$ , and and  $|X^{\sigma_4}| = 3^3$ .

Thus combining we get

$$|X/S_4| = \frac{1}{24} (3^6 + 6 \cdot 3^3 + 8 \cdot 3^2 + 6 \cdot 3^4 + 6 \cdot 3^3) = 57$$

**Problem 1.23** (S2019-Q4). Let f be a polynomial with n variables and define

$$Sym(f) = \{ \sigma \in S_n \mid f(x_{\sigma(1)}, x_{\sigma(2)}, \dots, x_{\sigma(n)}) = f(x_1, x_2, \dots, x_n) \}.$$

- 1. Prove that Sym(f) is a subgroup of  $S_n$ .
- 2. Prove that the dihedral group  $D_4$  (the group of symmetries of the square) is isomorphic to  $\operatorname{Sym}(x_1x_2+x_3x_4)$ .
- *Proof.* 1. The group  $S_n$  acts on the polynomial ring  $k[x_1, \ldots, x_n]$ , by permuting the  $x_i$  to  $x_{\sigma(i)}$ , and we see that  $\operatorname{Sym}(f)$  is the centralizer of a fixed element  $f \in k[x_1, \ldots, x_n]$ , hence is a subgroup.
  - 2. We have a total of 8 elements in Sym $(x_1x_2 + x_3x_4)$ :

$${e, (12), (34), (12)(34), (13)(24), (14)(23), (1324), (1423)}$$

and we can by drawing a square that his corresponds to the group  $D_4$ .

#### Problem 1.24 (S2011-Q1, F2004-Q1).

- (a) Let H be a proper nontrivial subgroup of a finite group G (i.e.,  $H \neq \{1\}$  and  $H \neq G$ ). Prove that G is not the union of all conjugates of H in G.
- (b) Give an example of an infinite group G for which the assertion in part (a) fails.
- *Proof.* (a) If H is normal, then all conjugations of H is equal to H, but  $H \subsetneq G$ , this G is not not the union of all conjugates of H in G. Now suppose the contrary that G is the union of all conjugates of H, then the number of distinct conjugates of H is  $[G:N_G(H)]$ , hence

$$|G| = [G:N_G(H)] \cdot |H| \iff [G:H] = [G:N_G(H)] \iff [N_G(H):H] = 1$$

this is a contradiction since H is not normal. Thus G not the union of all conjugates of H in G.

(b) Consider the upper triangular matrices over  $\mathbb{C}$ :

$$B = \left\{ \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \right\} \subset GL_2(\mathbb{C})$$

It is clear that conjugation of matrices in B do not give matrices with nonzero left bottom entry.

**Problem 1.25** (S2009-Q1). Let H and K be two solvable subgroups of a group G such that G = HK.

- 1. Show that if either H or K is normal in G, then G is solvable.
- 2. Give an example where G may not be solvable without the assumption in (a).

*Proof.* 1. WLOG suppose that *H* is normal, then the composite map  $\varphi = \pi \circ \iota$ :

$$K \xrightarrow{\iota} G \xrightarrow{\pi} G/H$$

is surjective, therefore

$$\{e\} \subset H \subset G$$

 $G/H \cong K/\ker(\varphi)$  is solvable, hence G is solvable.

2. The smallest nonsolvable group is  $A_5$ , we have

$$A_5 = HK$$

where  $H = \langle (12345) \rangle$ ,  $K = A_4 = \{ \sigma \in A_5 : \sigma(5) = 5 \}$ . Now H, K are both solvable, but G is not.

**Problem 1.26** (F2003-Q1). In a group G, let 1 denote the identity element and let  $[x, y] = xyx^{-1}y^{-1}$  denote the commutator of elements  $x, y \in G$ .

- 1. Express [z, xy]x in terms of x, [z, x], and [z, y].
- 2. Prove that if the identity [[x, y], z] = 1 holds in G, then the following identities hold in G:

$$[x, yz] = [x, y][x, z]$$
 and  $[xy, z] = [x, z][y, z]$ .

*Proof.* 1. We have

$$\begin{split} [z,xy]x &= zxyz^{-1}y^{-1}x^{-1}x \\ &= zxz^{-1}x^{-1}xzyz^{-1}y^{-1} \\ &= [z,x]x[z,y] \end{split}$$

2. The identity [[x, y], z] = 1 implies

$$[x, y]z = z[x, y]$$

Therefore using the identity in 1, we have

$$[x, yz] = [x, y]y[x, z]y^{-1}$$
  
=  $[x, y]yy^{-1}[x, z]$   
=  $[x, y][x, z]$ 

Similarly

$$\begin{split} [xy,z] &= xyzy^{-1}x^{-1}z^{-1} \\ &= xyzy^{-1}z^{-1}zx^{-1}z^{-1} \\ &= x[y,z]x^{-1}[x,z] \\ &= [y,z][x,z] \\ &= [x,z][y,z] \end{split}$$

**Problem 1.27** (S2005-Q1). Let k be a field. Let  $G = GL_n(k)$  be the general linear group, where n > 0. Let D be the subgroup of diagonal matrices, and let  $N = N_G(D)$  be the normalizer of D in G. Determine the quotient group N/D.

*Proof.* Consider the normalizers:

$$N = \{ g \in G : gDg^{-1} = D \}$$

g basically permutes the n eigenvectors, i.e.,

$$N/D \cong S_n$$

**Problem 1.28** (F2009-Q1). Let G be a finite group, and let  $\operatorname{Aut}(G)$  be its automorphism group. Consider the group action  $\phi \colon \operatorname{Aut}(G) \times G \to G$  defined by  $\phi(\sigma,g) = \sigma(g)$ . Assume G has exactly two orbits under this action.

- 1. Determine all such groups G up to isomorphism.
- 2. For each case from (a), determine when Aut(G) is solvable.

**Problem 1.29** (F2016-Q1). Determine  $Aut(S_3)$ .

*Proof.* Every element  $\sigma \in \text{Aut}(S_3)$  must send order 2 elements  $\{(12), (23), (13)\}$  to one another, and order 3 elements  $\{(123), (132)\}$  to each other. However,  $\sigma$  is determined by how it permutes

$$\{(12), (23), (13)\}$$

Thus every  $\sigma$  is an inner automorphism of the form  $\sigma_g(h)=ghg^{-1}$  for  $g,h\in S_3$  and g is some transposition. Hence

$$\operatorname{Aut}(S_3) \cong S_3$$

## **Chapter 2**

## **Representation Theory**

**Proposition 2.1.** One should probably know the character table for  $S_3$ ,  $S_4$ ,  $A_5$ ,  $S_5$ .

Theorem 2.1 (Compilation of theorems). Schur's lemma:

1. If  $\varphi: V \to W$  is a *G*-invariant map, i.e.,

$$\varphi(\rho(g)(v)) = \rho(g)\varphi(v)$$

where V,W are irreducible representations, then  $\varphi=0$  or an isomorphism. This is true for any field k that V,W are over.

2. If  $\varphi: V \to V$  and everything as above, then

$$\varphi(v) = \lambda v$$

for some  $\lambda \in k^{\times}$ . This is only true when k is algebraically clsoed.

- 3.  $\operatorname{Hom}_G(V,W)$   $\begin{cases} k \text{ if } V \cong W \\ 0 \text{ if not} \end{cases}$  , where V,W are irreducible. This is true for k algebraically closed.
- 4. Mascheke's theorem: any finite dimensional representation V of a finite group G can be decomposed into a direct sum of irreducible representations.

$$V = V_1^{r_1} \oplus \cdots \oplus V_k^{r_k}$$

where  $V_i$ 's are irreducible. This is true when the characteristic k does not divide |G|, notably this always holds for characteristic 0 fields.

5. Do not mix them up.

**Proposition 2.2.** G is abelian if and only if every irreducible representation  $\rho$  is one-dimensional.

*Proof.* If G is abelian, take any irreducible representation  $\rho$ ,

$$\{\rho(g):g\in G\}$$

can be simultaneously diagonalized (minimal polynomial has no repeated factor), i.e., there exists an eigenbasis  $\{e_1,\ldots,e_n\}$  such that  $\rho(g)$  is a diagonal matrix for all g. This implies that the vector space generated by  $\{e_i\}$  for each i is a  $\rho$ -invariant subspace, since  $\rho$  is irreducible,  $\rho$  must be one-dimensional.

2.1. PROBLEMS

Conversely, let |G|=n, if every irreducible  $\rho$  is one-dimensional, then there are n irreducible representations, i.e., n conjugacy classes, i.e., G is abelian.

### 2.1 Problems

**Problem 2.1** (S2008-Q4). Let  $V \cong \mathbb{C}^n$  be an n-dimensional complex vector space with standard basis  $e_1, \ldots, e_n$ . Consider the permutation action  $S_n \times V \to V$  defined by:

$$\sigma \cdot e_i = e_{\sigma(i)}$$
 for  $\sigma \in S_n$ 

Decompose V into irreducible  $\mathbb{C}[S_n]$ -modules.

Proof. We claim that

$$V = \operatorname{Span}\{e_1 + \dots + e_n\} \bigoplus \operatorname{Std}$$

where Std stands for the standard representation

$$Std = Span\{e_1, \dots, e_n : e_1 + \dots + e_n = 0\}$$

We verify these are the only irreducible components. Denote the given character as  $\chi_v$ , we see that

$$\langle \chi_v, \chi_v \rangle = 2$$

Hence it is a sum of 2 irreducible representations, and because

$$\langle \chi_v, \chi_{\rm triv} \rangle = 1$$

The computation is as follows:

$$\begin{split} \langle \chi_v, \chi_v \rangle &= \frac{1}{n!} \sum_{\sigma \in S_n} (\text{ number of fixed points of } \sigma) \\ &= \frac{1}{n!} \sum_{\sigma \in S_n} \text{ number of } \{(i,j) : \sigma \text{ fixes } i,j\} \\ &= \frac{1}{n!} \sum_{1 \leq i,j \leq n} \text{ number of } \{\sigma : \sigma \text{ fixes } i,j\} \\ &= 2 \end{split}$$

and similarly for  $\langle \chi_v, \chi_{\text{triv}} \rangle = 1$ . Thus,

$$\chi_v = \chi_{\text{triv}} \oplus \chi_{\text{std}}$$

where

$$\chi_{\text{std}} = \left\{ (v_1, \dots, v_n) : \sum_{i=1}^n v_i = 0 \right\}$$

Proof.

Class	[e]	[(12)]	[(12)(34)]	[(123)]	[(1234)]
Size	1	6	3	8	6
$\chi_{ m triv}$	1	1	1	1	1
$\chi_{ m sgn}$	1	-1	1	1	-1
$\chi_2$	2	0	2	-1	0
$\chi_{ m perm} - \chi_{ m triv}$	3	1	-1	0	-1
$\chi_3 \otimes \chi_{sgn}$	3	-1	-1	0	1

**Problem 2.3** (F2016-Q6). Find a table of characters for the alternating group  $A_5$ .

**Problem 2.4** (F2015-Q3). Let  $G = S_4$  (the symmetric group on four letters).

- (a) Prove that G has two non-equivalent irreducible complex representations of dimension 3; call them  $\rho_1$  and  $\rho_2$ .
- (b) Decompose the tensor product representation  $\rho_1 \otimes \rho_2$  into a direct sum of irreducible representations of G.

*Proof.* (a) We do this by the following formula: let  $d_i$  be the dimension of each irreducible representation of  $S_4$ , then

$$|S_4| = 25 = \sum_{i=1}^5 d_i^2$$

We notice that  $d_i \leq 3$  and there are two  $d_i = d_j = 3$ . We can write down the character table of  $S_4$ , and their character does not agree on all  $\sigma \in S_4$ , hence non-equivalent.

(b) We have

$$\chi_1 \otimes \chi_2(g) = \begin{cases} 9, g = e \\ -1, g = (12) \\ 0, g = (123) \\ -1, g = (1234) \\ 1, g = (12)(34) \end{cases}$$

Hence we see

$$\rho_1 \otimes \rho_2 = \rho_{\operatorname{sgn}} \oplus \rho_{\operatorname{perm-triv}} \oplus \chi_{3 \otimes \operatorname{sgn}} \oplus \chi_2$$

In other words, it is a direct sum of four irreducible representations of  $S_4$  except for the trivial one.

**Problem 2.5** (F2011-Q4). Let  $\rho: S_3 \to \mathrm{GL}(2,\mathbb{C})$  be a two-dimensional irreducible representation of the symmetric group  $S_3$ .

- 1. Decompose the tensor square  $\rho^{\otimes 2}$  into irreducible representations of  $S_3$ .
- 2. Decompose the tensor cube  $\rho^{\otimes 3}$  into irreducible representations of  $S_3$ .

*Proof.* Using the character table of  $S_3$ :

Class Size	[e]	[(12)]	[(123)]
Size	1	3	2
$\chi^{(1)}$	1	1	1
$\chi^{(2)}$	1	-1	1
$\chi^{(3)}$	2	0	-1

(a) Let  $\chi \otimes \chi$  denote the corresponding character, we have

$$\chi \otimes \chi(g) = \begin{cases} 4, g = e \\ 0, g = (12) \\ 1, g = (123) \end{cases}$$

Thus we see

$$\rho \otimes \rho = \rho_{\mathsf{triv}} \oplus \rho_{\mathsf{sgn}} \oplus \rho$$

(b) Similarly, we see

$$\chi^{\otimes 3}(g) = \begin{cases} 8, g = e \\ 0, g = (12) \\ -1, g = (123) \end{cases}$$

Thus

$$\rho^{\otimes 3} = \rho_{\mathsf{triv}} \oplus \rho_{\mathsf{sgn}} \oplus \rho \oplus \rho \oplus \rho$$

**Problem 2.6** (F2014-Q3). Let  $G = S_3$  be the symmetric group on three elements.

- (a) Prove that G has an irreducible complex representation of dimension 2 (call it  $\rho$ ), but none of higher dimension.
- (b) Decompose the triple tensor product  $\rho \otimes \rho \otimes \rho$  into a direct sum of irreducible representations of G.

(a) Notice that  $|S_3| = 6 = d_1^2 + d_2^2 + d_3^2$ . Proof.

(b) Same as the above question.

**Problem 2.7** (S2006-Q6). Let  $S_4$  be the symmetric group on four elements.

- (a) Give an example of a non-trivial 8-dimensional complex representation of  $S_4$ .
- (b) Show that every 8-dimensional complex representation of  $S_4$  contains a 2-dimensional invariant subspace.

*Proof.* (a) There exists a nontrivial 2-dimensional irreducible representation of  $S_4$ , if we denote it as  $\rho$ , then

$$\rho \otimes \rho \otimes \rho$$

is an 8-dimensional representation of  $S_4$ .

(b) We notice that it is impossible to write 8 has the sum of a multiple of 3 and 1, thus it must contain another 1 or 2 in the sum, proving there exists a 2-dimensional invaraint subspace. Warning: this subspace is not necessarily irreducible.

**Problem 2.8** (F2007-Q5). Prove that every 5-dimensional complex representation of the alternating group  $A_4$  (the alternating group of degree 4) contains a 1-dimensional invariant subspace.

*Proof.* The character table is as follows:

Class Size	e	[(123)]	[(12)(34)]	[(132)]
Size	1	4	3	4
$\chi^{(1)}$	1	1	1	1
$\chi^{(2)}$	1	$\omega$	1	$\omega^2$
$\chi^{(3)}$	1	$\omega^2$	1	$\omega$
$\chi^{(4)}$	3	0	-1	0

where  $\omega = e^{\frac{2\pi i}{3}}$ . Since 5 cannot be written as a multiple of 3, it must contain a 1-dimensional invariant subspace (also 2, 3, 4).

**Problem 2.9** (S2004-Q6). Consider complex representations of a finite group G. Let  $\sigma_1, \ldots, \sigma_s$  be representatives of the conjugacy classes of G, and let  $\chi_1, \ldots, \chi_s$  be the irreducible characters of G.

- (a) Define an inner product on the  $\mathbb{C}$ -vector space of class functions on G such that  $\{\chi_1, \dots, \chi_s\}$  forms an orthonormal basis.
- (b) Let  $A = (a_{ij})$  be the character table matrix of G, where  $a_{ij} = \chi_i(\sigma_j)$  for  $1 \le i, j \le s$ . Prove that A is invertible.

*Proof.* (a) As expected, take two class functions  $f_1$ ,  $f_2$ , we define

$$\langle f_1, f_2 \rangle = \frac{1}{|G|} \sum_{g \in G} f_1(g) \overline{f_2(g)}$$

(b) It suffices to see the rows of this matrix are all nonzero and orthogonal to each other, hence linearly independent, i.e., the square matrix is invertible.

**Problem 2.10** (S2018-Q4, S2007-Q5). Is  $S_4$  isomorphic to a subgroup of  $GL_2(\mathbb{C})$ ?

*Proof.* There is no injective homomorphism  $\varphi: S_4 \to \operatorname{GL}_2(\mathbb{C})$ . Note any such  $\varphi$  is called a 2-dim representation, it is either irreducible or not, we know that the 2-dimensional irreducible representation is not injective, and a direct sum of 1-dimensional representations is also not injective.

**Problem 2.11** (S2010-Q6). Let G be a group of order 24. Using representation theory, prove that  $G \neq [G, G]$ , where [G, G] denotes the commutator subgroup of G.

*Proof.* Suppose G = [G, G], then we claim the only 1-dimensional representation  $\rho: G \to \mathbb{C}^{\times}$  is the trivial one. This is because if  $\rho$  is one-dim, then

$$[G,G] \subset \ker(\rho)$$

i.e.,  $\rho$  is trivial. However, there is no way to write

$$|G| = 24 = 1 + d_1^2 + \dots + d_k^2$$

where  $d_i \geq 2$ . Thus  $G \neq [G, G]$ .

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**Problem 2.12** (F2017-Q6). Let G be a finite group with center Z(G). Show that if G admits a faithful irreducible representation  $\rho \colon G \to \operatorname{GL}_n(k)$  for some positive integer  $n \in \mathbb{Z}^+$  and some field k, then the center Z(G) is cyclic.

*Proof.* (We will only do the case where k is algebraically closed). For any  $z \in Z(G)$ ,  $\rho(z) : V \to V$  is a G-map, i.e.,

$$\rho(z)(\rho(g)v) = \rho(g)(\rho(z)v)$$

We know by Schur's lemma that  $\rho(z)$  is a scalar multiplication:

$$\rho(z) \in k^{\times}$$

Because  $\rho$  is faithful, Z embeds into  $k^{\times}$  via  $\rho$ .

**Lemma 2.1** (Fact). Any finite subgroup of  $k^{\times}$  for field k is cyclic.

Hence Z is cyclic.

**Problem 2.13** (S2005-Q6). Let V be a finite-dimensional vector space over a field k, and let G be a finite group with an irreducible representation  $\varphi \colon G \to \operatorname{GL}(V)$ . Suppose H is a finite abelian subgroup of  $\operatorname{GL}(V)$  contained in the centralizer of  $\varphi(G)$ . Prove that H must be cyclic.

*Proof.* Just like above, we embed H into  $k^{\times}$ . Let any  $h \in H$ , we note that h is a G-map, i.e., for any  $g \in G$ ,

$$h(\varphi(g)v) = \varphi(g)hv$$

this is because h is contained in the centralizer of  $\varphi(G)$ , i.e., commutes with all  $\varphi(g)$ . By Schur's Lemma, we have

$$h = \lambda I$$
, where  $\lambda \in k^{\times}$ 

One can define a homomorphism  $\psi: H \to k^{\times}$  such that

$$\psi(\lambda I) = \lambda$$

This map embeds H into  $k^{\times}$ , and we are done by again observing any finite subgroup of  $k^{\times}$  is cyclic,  $\Box$ 

**Problem 2.14** (F2010-Q6). Let G be a non-abelian group of order  $p^3$ , where p is prime.

- 1. Determine the number of isomorphism classes of irreducible complex representations of G, and find their dimensions.
- 2. Which of these irreducible complex representations are faithful? Justify your answer.

*Proof.* 1. In S2010-Q1, we showed there are  $p^2-1+p$  conjugacy classes in a non-abelian group G of order  $p^3$ . There are  $p^2$  one-dimensional irreducible representations because one dimensional representations of G are equivalent to one-dimensional representations of G/[G,G] which has size  $p^2$ , thus abelian and all irreducible representations are one-dimensional.

**Lemma 2.2** (Fact). Let V be an irreducible representation, then  $\dim V$  divides |G|. (This is true when k is algebraically closed and characteristic 0).

Thus it is clear that there are p-1 representations of dimension p. (Sanity check:  $|G|=p^3=p^2+(p-1)p^2$ ).

2. We claim that all the one-dimensional representations are not faithful and all the p-dimensional representations are. Recall  $\rho$  is irreducible if and only if  $\ker(\rho) = \{g : \rho(g)v = v \text{ for all } v\} = \{e\}$ .

**Lemma 2.3** (Fact). Let  $\rho: G \to \mathbb{C}^{\times}$  be a one-dimensional irreducible representation, then

$$[G,G] \subset \ker(\rho)$$

Thus if  $\rho$  is one-dimensional, then  $\rho$  is not faithful. Now for the higher dimensional case:

**Lemma 2.4** (Fact). If  $\rho: G \to GL_p(\mathbb{C})$  is an irreducible representation, then  $\bar{\rho}: \frac{G}{\ker \rho} \to GL_p(\mathbb{C})$  is also irreducible.

If  $\ker \rho$  is nontrivial, then it must divide the size of |G|, hence  $\frac{G}{\ker \rho}$  is abelian, i.e., all irreducible representations are one-dimensional. This is a contradiction since  $\rho$  is p-dimensional, thus  $\ker(\rho) = \{e\}$ , as desired.

**Problem 2.15** (S2011-Q5). Let K be a field, and let  $\Phi: G \to GL_n(K)$  be an n-dimensional matrix representation of a group G. Define a G-action on the matrix ring  $M_n(K)$  by:

$$(g, A) \mapsto \Phi(g) \cdot A$$
 (matrix multiplication)

for  $g \in G$  and  $A \in M_n(K)$ . This action induces a group homomorphism  $\Psi \colon G \to GL(M_n(K))$ . Express the character  $\chi_{\Psi}$  of  $\Psi$  in terms of  $\chi_{\Phi}$  (the character of  $\Phi$ ).

*Proof.* We compute the trace of the multiplication map by  $\Phi(g)$ , we consider a basis of  $M_n(K)$ 

$${M_{ij}: 1 \le i, j \le n}$$

where  $M_{ij}$  is the matrix with only nonzero entry 1 at the ijth position. Then we see

$$\Phi(g)M_{ij} = (\Phi(g))_{ii}$$

Thus

$$\chi_{\Psi} = n \sum_{i=1}^{n} (\Phi(g))_{ii} = n \operatorname{tr}(\Phi(g))$$

**Problem 2.16** (S2015-Q5). Prove that a tensor product of irreducible representations over an algebraically closed field is irreducible.

*Proof.* Let  $\rho_1$  be irreducible of  $G_1$ ,  $\rho_2$  of  $G_2$ , then over an algebraically closed field, we know  $\rho_1 \otimes \rho_2$  is an irreducible representation of  $G_1 \times G_2$ , and we define

$$\rho_1 \otimes \rho_2(g_1, g_2) = \rho_1(g_1) \otimes \rho_2(g_2)$$

where  $g_1 \in G_1, g_2 \in G_2$ . And define  $\chi_1 \otimes \chi_2$  similarly, we have

$$\chi_1 \otimes \chi_2(g_1, g_2) = \chi_1(g_1)\chi_2(g_2)$$

One can use this to show that the tensor product  $\rho_1 \otimes \rho_2$  of two irreducible representations  $\rho_1$  and  $\rho_2$  is irreducible on  $G_1 \times G_2$ .

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Problem 2.17 (S2001-Q3). Calculate the complete character table for  $\mathbb{Z}/3\mathbb{Z} \times S_3$ , where  $S_3$  is the symmetric group in 3 letters.

*Proof.* Using the question above, it suffices to find all the irreducible characters of  $\mathbb{Z}/3\mathbb{Z}$  and  $S_3$ . There are 3 irreducible representations for each, hence there are 9 irreducible characters on  $\mathbb{Z}/3\mathbb{Z} \times S_3$  in total. We will skip the character table here but the exact filling of the table should follow the above

$$\chi_1 \otimes \chi_2(g_1, g_2) = \chi_1(g_1)\chi_2(g_2)$$

One thing to note is that let  $\rho$  be an irreducible representation of  $\mathbb{Z}/3\mathbb{Z}$ , then

$$\rho(g)^3 = 1$$

hence  $\rho(g)=e^{\frac{2\pi i}{3}}$  , where i=0,1,2.



**Warning 2.2.** For one-dimensional irreducible representation,  $\rho: G \to GL_n(\mathbb{C})$ , they are equivalent to

 $\rho: G^{ab} = \frac{G}{[G,G]} \to \mathrm{GL}_n(\mathbb{C}).$  Moreover, let  $\rho: G \to \mathrm{GL}_n(\mathbb{C})$  be an irreducible representation, then  $\bar{\rho}: \frac{G}{\ker \rho} \to \mathrm{GL}_n(\mathbb{C})$  is also

**Problem 2.18.** Every irreducible representation of a finite cyclic group G over  $\mathbb{R}$  has dimension  $\leq 2$ .

*Proof.* Consider  $\rho(g): \mathbb{R}^n \to \mathbb{R}^n$ , then

$$\mathbb{R}^n \cong \bigoplus_{i=1}^d \frac{\mathbb{R}[x]}{(f_i(x))}$$

where  $f_1 \mid f_2 \mid \cdots \mid f_d$ , because  $\rho$  is irreducible, there can only be one summand. Namely, f needs to be irreducible and

$$\mathbb{R}^n \cong \frac{\mathbb{R}[x]}{(f(x))}$$

since f has degree at most 2, we know  $n \leq 2$ .



Warning 2.3. The above problem is linear algebra and rep theeory!

**Proposition 2.3.** Let  $\rho: G \to GL_n(\bar{k})$ , if  $\rho = \sigma(\rho)$  for all  $\sigma \in Gal(\bar{k}/k)$ , then  $\rho: G \to GL_n(k)$ . In other words, it is a representation over k.

For example, if  $\rho$  is a complex representation, and  $\rho = \bar{\rho}$ , then  $\rho$  is a real representation.

Now we give an alternative proof of the above problem:

*Proof.*  $\rho: G \to GL_n(\mathbb{R})$  can be viewed as a representation over  $\mathbb{C}$ , then

$$\rho = \rho_1 \oplus \cdots \oplus \rho_k$$

If  $\rho_i$  is real for any i, then we are done; if not, we note that

$$\rho = \bar{\rho} = \bar{\rho_1} \oplus \cdots \oplus \bar{\rho_k}$$

then  $\rho_i = \bar{\rho}_1$  for some i, then we can consider

$$\rho' = \rho_1 \oplus \bar{\rho}_1$$

This is a real representation because  $\rho' = \bar{\rho}'$ , by Galois descent,  $\rho'$  is a real representation, i.e.,  $\rho$  is at most two-dimensional.

### 2.2 Induced representations, Frobenius Reciprocity

**Problem 2.19** (S2009-Q6). Let  $G = S_4$  and consider the subgroup  $H = \langle (12), (34) \rangle$ .

- (a) Determine the number of irreducible complex characters of H.
- (b) Choose a non-trivial irreducible character  $\psi$  of H over  $\mathbb{C}$  satisfying  $\psi((1\,2)(3\,4)) = -1$ . Compute the values of the induced character  $\operatorname{ind}_H^G(\psi)$  on all conjugacy classes of G, and express it as a sum of irreducible characters of G.

**Problem 2.20** (S2017-Q6). Let G be a finite group and H an abelian subgroup. Show that every irreducible representation of G over  $\mathbb{C}$  has dimension  $\leq [G:H]$ .

*Proof.* We know that if *A* is commutative, then all the irreducible representations  $\rho$  of *A* are one-dimensional. Now we induce  $\rho$  to a representation on *G*:

$$\bar{\rho}:G\to \mathrm{GL}(\mathbb{C})$$

We have

$$\operatorname{Ind}_A^G = \bigoplus_{i=1}^n g_i V$$

where  $g_i$  is the representative for each coset G/A, and n = [G : A]. Therefore all representations of G has dimension [G : A]. Since not all induced representations are irreducible, any irreducible representation of G has dimension  $\leq [G : A]$ , as desired.

**Problem 2.21** (S2008-Q6). Give an example of non-isomorphic finite groups with same character table. Construct the character table in detail.

*Proof.*  $D_8$  and  $Q_8$ . They both have the trivial representation; subgroup  $\mathbb{Z}/2\mathbb{Z}$  gives  $D_8/\mathbb{Z}/2\mathbb{Z}$  a Klein 4 group, thus

Problem 2.22. Decompose the permutation representation of  $S_n$  into irreducible representations.

*Proof.* Recall that  $S_n$  acts on an n-dimensional vector space by permuting the basis elements  $\{e_1, \ldots, e_n\}$ . We claim that

$$V = V_{\rm triv} + V_{\rm std}$$

where

$$V_{\text{triv}} = \text{Span}\{e_1 + e_2 + \dots + e_n\}, \quad V_{\text{std}} = \left\{\sum_i a_i e_i : \sum_i a_i = 0\right\}$$

**Problem 2.23** (S2012-Q4). Let *Q* be the quaternion group with presentation:

$$Q = \langle t, s_i, s_i, s_k \mid t^2 = 1, \ s_i^2 = s_i^2 = s_k^2 = s_i s_i s_k = t \rangle.$$

- (a) Find four non-isomorphic 1-dimensional real representations of Q.
- (b) Prove that the natural embedding  $\rho \colon Q \to \mathbb{H}$  given by:

$$\rho(t) = -1$$
,  $\rho(s_i) = i$ ,  $\rho(s_i) = j$ ,  $\rho(s_k) = k$ 

defines an irreducible 4-dimensional real representation of Q, where  $\mathbb{H}$  is the algebra of real quaternions.

(c) Classify all irreducible complex representations of Q up to isomorphism.

Proof.  $\Box$ 

**Problem 2.24** (F2004-Q6). Let  $D_8$  be the dihedral group of order 8, with presentation:

$$D_8 = \langle r, s \mid r^4 = 1 = s^2, \ rs = sr^{-1} \rangle.$$

- 1. Determine all conjugacy classes of  $D_8$ .
- 2. Find the commutator subgroup  $D_8'$  of  $D_8$  and determine the number of distinct degree-1 (linear) characters of  $D_8$ .
- 3. Construct the complete complex character table of  $D_8$ .

*Proof.*  $D_4$  has  $\frac{4+6}{2}=5$  conjugacy classes. The commutator subgroup  $[D_4,D_4]=\{e,r^2\}$ , thus  $D_4^{ab}$  gives 4 one-dimensional representations of  $D_4$ .

Problem 2.25 (F2000-Q7). Let  $D_{10}$  be the dihedral group of order 10, with presentation:

$$D_{10} = \langle r, s \mid r^5 = 1 = s^2, \ rs = sr^{-1} \rangle.$$

- 1. Determine all conjugacy classes of  $D_{10}$ .
- 2. Compute the commutator subgroup  $D'_{10}$  of  $D_{10}$ .
- 3. Prove that  $D_{10}/D'_{10} \cong \mathbb{Z}/2\mathbb{Z}$  and deduce that  $D_{10}$  has exactly two distinct degree-1 characters.
- 4. Construct the complete complex character table of  $D_{10}$ .

**Proposition 2.4.** The character table for  $D_n$ , when n = odd. There are  $\frac{n+3}{2}$  conjugacy classes, for example,  $D_5$  has 4 conjugacy classes:

$$e, \{r, r^4\}, \{r^2, r^3\}, s$$

And there are two one-dimensional irreducible representations: trivial and sign: sending reflection s to -1, and rotations to 1. The rest are two-dimensional irreducible representations: one example is

$$r \mapsto 2\cos(2\pi i/n), s \mapsto 0$$

The character table for  $D_n$ , when n =even. There are  $\frac{n+6}{2}$  conjugacy classes: for example,  $D_4$  has 5 conjugacy classes. And the character is more complicated, know that of  $D_4$ . Remember that it has at most dimension 2 irreducible representations.

## **Chapter 3**

# Semisimple Algebra

Definition 3.1 (Division ring). Any nonzero element in a unit.

**Proposition 3.1.** Let A be a semisimple finite-dimensional algebra over F, then A can be decomposed into a direct sum of matrix algebras over a division ring:

$$A = M_{n_1}(D_1) \oplus \cdots \oplus M_{n_k}(D_k)$$

where  $D_i$ 's are division rings,  $M_{n_i}$  is the algebra of  $n_i \times n_i$  matrices with entries in  $D_i$ . This decomposition is unique up to permutation.

For example, let G be a finite, group, then the group algebra  $\mathbb{C}(G)$  can be decomposed to

$$\mathbb{C}(G) = M_{n_1}(\mathbb{C}) \oplus \cdots \oplus M_{n_k}(\mathbb{C})$$

where  $|G| = \sum_i d_i^2$ , and k is the number of conjugacy classes of G. Hence it suffices to compute the irreducible representations of G.

**Proposition 3.2.** Any semisimple ring R can be decomposed into a finite direct sum of simple ideals  $J_i$ :

$$R = \bigoplus_{i=1}^{n} J_i.$$

**Problem 3.1** (F2019-Q5). Determine the number of two-sided ideals in the group algebra  $\mathbb{C}[S_3]$ , where  $S_3$  is the symmetric group of permutations of  $\{1, 2, 3\}$ .

Proof. Using the Proposition above, we know that

$$\mathbb{C}(S_3) = M_1(\mathbb{C}) + M_1(\mathbb{C}) + M_2(\mathbb{C}) = \mathbb{C} \oplus \mathbb{C} \oplus M_2(\mathbb{C})$$

**Problem 3.2** (F2009-Q6, F2001-Q5). Let  $\rho: G \to GL_n(\mathbb{C})$  be an irreducible complex representation of a finite group G, with character  $\chi$ , and let C be the center of G.

- 1. Prove that for every  $s \in C$ , the matrix  $\rho(s)$  is a scalar multiple of the identity matrix  $I_n$ .
- 2. Using part (a), show that  $|\chi(s)| = n$  for all  $s \in C$ .
- 3. Establish the inequality  $n^2 \leq [G:C]$ , where [G:C] is the index of C in G.
- 4. Prove that if  $\rho$  is faithful (i.e., injective), then C must be cyclic.

*Proof.* 1. C is algebraically closed therefore Schur's lemma applies (see F2017-Q6)

2. We know that

$$\rho(z) = \begin{pmatrix} \lambda & 0 & \dots & 0 \\ 0 & \lambda & \dots & 0 \\ \dots & & & \\ 0 & \dots & 0 & \lambda \end{pmatrix}$$

We also know that C is finite and  $\rho(z^r) = I$ , which implies |r| = 1. This gives  $|\chi(s)| = n$  for all  $s \in C$ .

3. We know that  $\rho$  is irreducible, hence the corresponding character  $\chi$  satisfies

$$\frac{1}{|G|} \sum_{g \in G} |\chi(g)|^2 = \frac{|C|}{|G|} n^2 + \frac{1}{|G|} \sum_{g \notin C} |\chi(g)|^2 = 1$$

This implies that

$$\frac{|C|}{|G|}n^2 \le 1 \Rightarrow n^2 \le [G:C]$$

4. If  $\rho$  is faithful, then C embeds into  $k^{\times}$ , and any finite subgroup of  $k^{\times}$  is cyclic.

**Problem 3.3** (S2017-Q5). Prove directly from the definition of (left) semisimple ring that every such ring is (left) Noetherian and Artinian. (You may freely use facts about semisimple, Noetherian, and Artinian modules.)

*Proof.* Any semisimple ring R can be decomposed into a finite direct sum of simple ideals  $I_i$ :

$$R = \bigoplus_{i=1}^{n} I_i$$

This directly implies that the ascending and descending chain condition: there aren't infinitely sequence of ideals of strict inclusions.  $\Box$ 

**Problem 3.4** (S2005-Q4). Let R be a ring and L a minimal left ideal of R (i.e., L contains no non-zero proper left ideals of R). Assuming  $L^2 \neq 0$ , prove that L = Re for some non-zero idempotent element  $e \in R$ .

*Proof.* We recall that a ring element  $e \in R$  is an idempotent if and only if

$$e^2 = e$$

It suffices to show that there exists a nonzero idempotent element  $e \in L$  since Re is an ideal contained in L, thus Re = L. Take any  $x \neq 0$  in L, such that there exists  $g \in L$  such that  $gx \neq 0$  (this is guaranteed by  $L^2 \neq 0$ ). The ideal Lx is contained in L, since L is simple, we must have

$$L = Lx$$

Hence  $x \in L$  can be written as

$$x = ex$$

for some  $e \in L$ , multiplying both sides by e and moving terms, we get

$$(e^2 - e)x = 0$$

It suffices to show that

$$\{q \in L : qx = 0\} = \{0\}$$

This is because  $\{g \in L : gx = 0\}$  is again an ideal contained in L, since we assumed that there exists some  $g \in L$  such that  $gx \neq 0$ ,

$$\{g \in L : gx = 0\} = \{0\}$$

and we are done!

**Problem 3.5** (S2016-Q6, F2006-Q6, F2008-Q6). Let A be a finite-dimensional semisimple algebra over  $\mathbb{C}$ , and let V be an A-module that decomposes as  $V \cong S \oplus S$ , where S is a simple A-module. Determine the automorphism group  $\operatorname{Aut}_A(V)$  of V as an A-module.

*Proof.* By Schur's lemma, since *S* is a simple *A*-module, we know

$$\operatorname{End}_A(S) \cong \mathbb{C}$$

Thus

$$\operatorname{End}(V) \cong M_2(\mathbb{C})$$

hence

$$\operatorname{Aut}_A(V) \cong \operatorname{GL}_2(\mathbb{C})$$

**Problem 3.6** (S2010-Q5). Classify all non-commutative semi-simple rings with 512 elements. (You can use the fact that finite division rings are fields.)

*Proof.* By Artin-Wedderburn, we know that this finite semisimple ring can be decomposed into a finite direct sum of matrix rings:

$$R \cong M_{n_1}(F_1) \oplus \cdots \oplus M_{n_k}(F_k)$$

where  $F_i$  are finite fields. Further more we can assume  $n_1 \ge n_2 \ge \cdots \ge n_k$ . The total number of elements is

$$F_1^{n_1^2} \dots F_k^{n_k^2} = 512 = 2^9$$

Thus we see all the components are powers of 2. Since R is noncommutative, we may assume that  $n_1 \ge 2$ . If  $n_1 = 3$ , then  $F_1 = \mathbb{F}_2$ , we have

$$R \cong M_3(\mathbb{F}_2)$$

If  $n_1 = 2$ , we can have  $n_2 = 2$ , then

$$R \cong M_2(\mathbb{F}_2) \oplus M_2(\mathbb{F}_2) \oplus \mathbb{F}_2$$

or  $n_2 = n_3 = \cdots = n_k = 1$ , then we have (different ways of adding to 5):

$$R \cong M_2(\mathbb{F}_2) \oplus \begin{cases} \mathbb{F}_2 \oplus \mathbb{F}_2 \oplus \mathbb{F}_2 \oplus \mathbb{F}_2 \oplus F_2 \\ \mathbb{F}_4 \oplus \mathbb{F}_2 \oplus \mathbb{F}_2 \oplus F_2 \\ \mathbb{F}_8 \oplus \mathbb{F}_2 \oplus \mathbb{F}_2 \\ \mathbb{F}_{16} \oplus \mathbb{F}_2 \\ \mathbb{F}_8 \oplus \mathbb{F}_4 \\ \mathbb{F}_{16} \oplus F_2 \\ \mathbb{F}_{32} \end{cases}$$

**Problem 3.7** (F2011-Q5). Let A be a finite-dimensional semisimple algebra over  $\mathbb{C}$ , and let V be a finitely-generated A-module. Prove that V has only finitely many A-submodules if and only if V decomposes into a direct sum of pairwise irreducible non-isomorphic (i.e., simple) A-modules.

*Proof.* Suppose that V is a direct sum of distinct irreducible A-modules, then

$$V = S_1 \oplus \cdots \oplus S_n$$

where  $S_i$ 's are nonisomorphic and simple. Hence the only submodules of  $S_i$  is  $\{0\}$  and  $S_i$ , i.e., there are only finitely many submodules of V.

Conversely, we suppose that there are finitely many A-submodules of V, because V is semisimple, we know

$$V = \bigoplus_{i=1}^{n} S_i^{n_i}$$

where  $S_i$ 's are semisimple. It suffices to show that  $n_i = 1$  for all i. Suppose that

$$V = S_i \oplus S_i$$

By Schur's lemma, we have

$$\operatorname{Hom}(S_i, S_i) \cong \mathbb{C}$$

there are infinitely many distinct  $\phi: S_i \to S_i$ , and we note that

$$\{(s,\phi(s)):\phi\in\operatorname{Hom}(S_i,S_i)\}$$

is a submodule of V, thus there are infinitely many submodules, which is a contradiction.

## **Chapter 4**

# Linear Algebra I

Topics: finitely generated modules/PID, triangularization, diagonalization, Jordan canonical form.

**Proposition 4.1.** Assume that characteristic a linear operator  $T:V\to V$  factors completely over k, then T is diagonalizable if and only if the minimal polynomial splits into distinct linear factors (has no repeated roots).

**Problem 4.1** (F2018-Q1). Let V be an n-dimensional vector space over a field k and let  $\alpha: V \to V$  be a linear endomorphism. Prove that the minimal and characteristic polynomials of  $\alpha$  coincide if and only if there is a vector  $v \in V$  so that:

$$\{v, \alpha(v), \dots, \alpha^{n-1}(v)\}$$

is a basis for V.

Proof.

#### Problem 4.2 (F2018-Q3).

- (a) Fix a positive integer n and classify all finite modules over the ring  $\mathbb{Z}/n\mathbb{Z}$ .
- (b) Prove, either using (a) or from first principles, for a fixed prime p that all finite modules over  $\mathbb{Z}/p\mathbb{Z}$  are free.

*Proof.* By the classification of finite abelian groups

(a)  $G\cong\bigoplus_{i,j}\frac{\mathbb{Z}}{p_i^{ij}\mathbb{Z}}$ , but G cannot be a  $\mathbb{Z}/n\mathbb{Z}$ -module unless  $p_i^{ij}$  divides n for all i:

reasoning

Thus for a fixed n, let  $n=p_1^{a_1}\dots p_k^{a_k}$  be its prime factorization, then

$$G \cong \bigoplus_{p|n} \bigoplus_{i} \frac{\mathbb{Z}}{p^{i}\mathbb{Z}}$$

where  $i \leq a_i$  for each i.

(b) By (a).

**Problem 4.3** (F2017-Q2). Let  $\Lambda$  be a free abelian group of finite rank n, and let  $\Lambda' \subset \Lambda$  be a subgroup of the same rank. Let  $x_1, \ldots, x_n$  be a  $\mathbb{Z}$ -basis for  $\Lambda$ , and let  $x'_1, \ldots, x'_n$  be a  $\mathbb{Z}$ -basis for  $\Lambda'$ . For each i, write  $x'_i = \sum_{j=1}^n a_{ij}x_j$ , and let  $A := (a_{ij}) \in \operatorname{Mat}_{n \times n}(\mathbb{Z})$ . Show that the index  $[\Lambda : \Lambda']$  equals  $|\det A|$ .

Proof. Up to some basis change, we can write

$$\Gamma' = d_1 \mathbb{Z} \oplus \cdots \oplus d_k \mathbb{Z}$$

given  $\Gamma = \mathbb{Z} \oplus \cdots \oplus \mathbb{Z}$ . Then Since we are taking the determinant, it is invariant under change of basis. One can compute the matrix using the standard basis for  $\Gamma$  and  $\Gamma'$ , and it is clear that  $[\Gamma : \Gamma'] = \prod_{i=1}^k d_i = |\det(A)|$ .

### Problem 4.4 (S2001-Q5).

- (a) Prove that an  $n \times n$  matrix A with entries in the field  $\mathbb{C}$  of complex numbers, satisfying  $A^3 = A$ , can be diagonalized over  $\mathbb{C}$ .
- (b) Does the statement in (a) remain true if one replaces  $\mathbb{C}$  by an arbitrary algebraically closed field F? Why or why not?
- *Proof.* (a) A is diagonalizable if and only if the minimal polynomial splits into distinct linear factors. The characteristic polynomial is p(t) = t(t+1)(t-1) and the minimal polynomial  $p_m \mid p$  thus A is diagonalizable.
  - (b) This is not true. Take k to be a field of characteristic 2, then

$$p(t) = t(t^2 - 1) = t(t - 1)^2$$

Thus the minimal polynomial could be  $(t-1)^2$ , i.e., A is not necessarily diagonalizable.

**Problem 4.5** (F2001-Q3). Let A be an  $n \times n$  complex matrix with  $A^m = 0$  for some integer m > 0.

- 1. Show that if  $\lambda$  is an eigenvalue of A, then  $\lambda = 0$ .
- 2. Determine the characteristic polynomial of A.
- 3. Prove that  $A^n = 0$ .
- 4. Construct a  $5 \times 5$  matrix B satisfying  $B^3 = 0$  but  $B^2 \neq 0$ .
- 5. For any  $5 \times 5$  complex matrix M with  $M^3 = 0$  and  $M^2 \neq 0$ , is M necessarily similar to your matrix B from part (d)? Justify your answer.
- 1. Suppose  $\lambda$  is an eigenvalue, then there exists  $v \neq 0$ , such that

$$A^m v = \lambda^m v = 0 \Rightarrow \lambda = 0$$

- 2. The characteristic polynomial is  $p(t) = t^n$ .
- 3. Cayley-Hamilton theorem.

4. Can have

The important is that the top left  $3 \times 3$  matrix A satisfies  $A^3 = 0, A^3 \neq 0$ . This is constructed by building B using the Jordan form.

5. No, the lower  $2 \times 2$  matrix could be

$$\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$$
 or  $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ 

**Problem 4.6** (F2018-Q4). In this question all modules are left modules.

Let k be a field of characteristic different from 2 and let  $G = \{e, g\}$  be the multiplicative group with two elements. Consider the group ring A = k[G].

- (a) Show that the *A*-module *A* is a direct sum of two ideals of *A*.
  - List all proper ideals of *A*.
  - Is A a principal ideal domain?
- (b) Show that every *A*-module decomposes into a direct sum of simple *A*-modules.
- (c) Assume now that the characteristic of k is 2. Give an example of an A-module that cannot be decomposed into a direct sum of two simple A-modules.

Proof. not finished

**Problem 4.7** (S2003-Q3). Prove that if a linear operator on a complex vector space is diagonal in some basis, then its restriction to any invariant subspace L is also diagonal in some basis of L.

*Proof.* The linear operator T is diagonalizable if and only if the minimal polynomial has no repeated factors, i.e.,

$$f_m(x) = (x - \lambda_1) \dots (x - \lambda_k)$$

And  $T|_L$  has minimal polynomial dividing  $f_m$ , hence it also has no repeated factors, thus  $T|_L$  is also diagonalizable.

**Problem 4.8** (S2017-Q4). Let M be an invertible  $n \times n$  matrix with entries in an algebraically closed field k of characteristic not 2. Show that M has a square root, i.e. there exists  $N \in \operatorname{Mat}_{n \times n}(k)$  such that  $N^2 = M$ .

*Proof.* It suffices to show that every Jordan block

$$J_n(\lambda) = \begin{bmatrix} \lambda & 1 & 0 & \cdots & 0 \\ 0 & \lambda & 1 & \cdots & 0 \\ 0 & 0 & \lambda & \ddots & \vdots \\ \vdots & \vdots & \ddots & \ddots & 1 \\ 0 & 0 & \cdots & 0 & \lambda \end{bmatrix}$$

where  $\lambda \neq 0$  is a square. We will proceed using induction. When n=2, the square root of

$$\begin{bmatrix} \lambda & 1 \\ 0 & \lambda \end{bmatrix} = \begin{bmatrix} \lambda^{\frac{1}{2}} & \frac{1}{2}\lambda^{-\frac{1}{2}} \\ 0 & \lambda^{\frac{1}{2}} \end{bmatrix}^2$$

Now assume that  $J_k$  is a square up to k = n - 1, we want to show  $J_n$  also has a square root. We claim  $J_n$  has the following square

$$J_n = \begin{bmatrix} B^2 & x \\ 0 & \lambda \end{bmatrix} = \begin{bmatrix} B & x \\ 0 & \lambda^{1/2} \end{bmatrix}^2$$

where B is a  $(n-1) \times (n-1)$  matrix and  $x = (x_1, \dots, x_{n-1}), 0 = (0, \dots, 0)$ . It suffices to find such an x exists. Let  $b_1, \dots, b_{n-1}$  denote the row vectors of B, we must satisfy

$$\begin{cases} b_1 \cdot x + x_1 \lambda^{\frac{1}{2}} = 0 \\ \dots \\ b_{n-2} \cdot x + x_{n-2} \lambda^{\frac{1}{2}} = 0 \\ b_{n-1} \cdot x + x_{n-1} \lambda^{\frac{1}{2}} = 1 \end{cases}$$

Namely, we need to find x that satisfies

$$(B+\lambda^{\frac{1}{2}}I)x = \begin{bmatrix} 0\\ \dots\\ 0\\ 1 \end{bmatrix}$$

Since  $(B + \lambda^{1/2}I)$  is invertible, there exists a unique solution, hence such x exsits,  $J_n$  has a square root!  $\Box$ 

**Problem 4.9** (S2008-Q1). Let k be a field. Consider the subgroup  $B \subset GL_2(k)$  where

$$B = \left\{ \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \mid a, b, d \in k, ad \neq 0 \right\}.$$

(a) Let Z be the center of  $GL_2(k)$ . Show that

$$\bigcap_{x \in GL_2(k)} x^{-1}Bx = Z.$$

(b) Assume *k* is algebraically closed. Show that

$$\bigcup_{x \in GL_2(k)} x^{-1}Bx = GL_2(k).$$

(c) Assume k is a finite field. Is the equation in (b) still true?

*Proof.* (a) Let  $y \in \bigcap_{x \in GL_2(k)}$ , then for all  $x \in GL_2(k)$ , we have  $xyx^{-1} \in B$ . This shows that

$$xyx^{-1} \in B \text{ for all } x \iff xyx^{-1} \begin{bmatrix} 0 \\ 1 \end{bmatrix} \in \left\langle \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right\rangle$$
 $\iff x^{-1} \begin{bmatrix} 0 \\ 1 \end{bmatrix} \text{ is a subspace for } y \text{ for all } x$ 
 $\iff \text{ the whole vector space is the eigenspace of } y$ 
 $\iff y \text{ is a scalar}$ 
 $\iff y \in Z$ 

- (b) If k is algebraically closed, then any matrix can be written as a triangular matrix up to some basis change.
- (c) It's false for finite fields. (b) is true when only when the characteristic polynomial can be factored completely over k. Take  $k = \mathbb{F}_2$ , then we know  $x^2 + x + 1$  is irreducible over  $\mathbb{F}_2$ , then we notice the matrix

$$\begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}$$

which has characteristic polynomial exactly this. This is a counterexample.

(One can take  $g \in \overline{\mathbb{F}_p} \setminus \mathbb{F}_p$ , then the characteristic polynomial for the map of multiplication by  $g : \overline{\mathbb{F}_p} \to \overline{\mathbb{F}_p}$  where  $\overline{\mathbb{F}_p} = \mathbb{F}_{p^2}$  is a vector space over  $\mathbb{F}$  the minimial polynomial is  $(t-g)^2$  which is irreducible over  $\mathbb{F}_p$ .)

**Problem 4.10** (S2009-Q4). Let E be a finite-dimensional vector space over an algebraically closed field k. Let A, B be k-endomorphisms of E. Assume AB = BA. Show that A and B have a common eigenvector.

*Proof.* Since k is algebraically closed, we know there exists at least one eigenvector of A, i.e., there exists  $\lambda$  such that  $Av = \lambda v$  for some  $v \neq 0$ . We denote this eigenspace by  $E_{\lambda}$ , and we note that  $E_{\lambda}$  is invariant under B: let  $v \in E_{\lambda}$ 

$$A(Bv) = \lambda(Bv)$$

thus  $Bv \in E_{\lambda}$  as well. Then it suffices to find an eigenvector of B living inside  $E_{\lambda}$ , this is done by noting  $B|_{E_{\lambda}}$  has an eigenvector in  $E_{\lambda}$ , as desired.

**Problem 4.11** (F2005-Q6). Let E be a finite-dimensional vector space over a field k. Assume  $S,T \in \operatorname{End}_k(E)$ . Assume ST = TS and both of them are diagonalizable. Show that there exists a basis of E consisting of eigenvectors for both S and T.

*Proof.* It is the same proof as above except now we do this for all  $E_{\lambda_1}, \ldots, E_{\lambda_k}$ .

**Problem 4.12** (S2015-Q2). Let A, B be two commuting operators on a finite dimensional space V over  $\mathbb{C}$  such that  $A^n = B^m$  is the identity operator on V for some positive integers n, m. Prove that V is a direct sum of 1-dimensional invariant subspaces with respect to A and B simultaneously.

Proof. Because

$$A^n = B^m = I$$

We know that the minimal polynomial of A,B both have no repeated roots, because  $(t^n-1),(t^m-1)$  factor completely over  $\mathbb C$ . This shows that A,B are commuting diagonalizable matrices, thus they can be simultaneously diagonalized.

# Linear Algebra II

Topics: exterior power, tensor algebras, traces, determinants

**Problem 5.1** (F2016-Q5). Let A be a linear transformation of a finite dimensional vector space over a field of characteristic  $\neq 2$ .

- (1) Define the wedge product linear transformation  $\wedge^2 A = A \wedge A$ .
- (2) Prove that

$$tr(\wedge^2 A) = \frac{1}{2}(tr(A)^2 - tr(A^2)).$$

*Proof.* (a) We recall the wedge product of vector space  $V \wedge V$  is given by the basis

$$\{v_i \wedge v_j : i < j\}$$

satisfying

$$v_i \wedge v_j = -v_j \wedge v_i$$

where  $\{v_1, \ldots, v_n\}$  is a basis for V. And we define

$$A \wedge A(v_i \wedge v_i) = A(v_i) \wedge A(v_i)$$

(b) Consider the matrix representation of  $A = (A_{ij})$ , on the basis  $\{v_i \land v_j : i < j\}$ ,

$$A \wedge A(v_i \wedge v_j) = \sum_{k,l=1}^n A_{ki} A_{lj}(v_k \wedge v_l)$$

$$= \sum_{k < l} A_{ki} A_{lj}(v_k \wedge v_l) + \sum_{l < k} A_{ki} A_{lj}(v_k \wedge v_l)$$

$$= \sum_{k < l} A_{ki} A_{lj}(v_k \wedge v_l) - \sum_{l < k} A_{ki} A_{lj}(v_l \wedge v_k)$$

Thus the diagonal term with respect to  $v_i \wedge v_j$  is

$$A_{ii}A_{jj} - A_{ji}A_{ij}$$

Thus

$$Tr(A \wedge A) = \sum_{i < j} A_{ii} A_{jj} - A_{ji} A_{ij}$$

Now

$$Tr(A)^{2} = \sum_{i=1}^{n} A_{ii}^{2} + 2 \sum_{i < j} A_{ii} A_{jj}$$

and

$$\operatorname{Tr}(A^{2}) = \sum_{k,l=1}^{n} A_{lk} A_{kl}$$
$$= \sum_{i=1}^{n} A_{ii}^{2} + 2 \sum_{k < l} A_{lk} A_{kl}$$

Thus we see that

$$tr(\wedge^2 A) = \frac{1}{2}(tr(A)^2 - tr(A^2))$$

**Problem 5.2** (S2006-Q5). Let V be a finite-dimensional vector space over a field k. Let  $T \in \operatorname{End}_k(V)$ . Show that  $\operatorname{tr}(T \otimes T) = (\operatorname{tr}(T))^2$ . Here  $\operatorname{tr}(T)$  is the trace of T.

*Proof.* We will show that  $tr(T \otimes T) = (trT)^2$ , and the  $T \otimes T \otimes$  is done similarly. We will use matrix representation to do an explicit computation. Let  $\{v_1, \ldots, v_n\}$  be a basis of V, then  $V \otimes V$  has basis

$$\{v_i \otimes v_j : 1 \leq i, j \leq n\}$$

and

$$T \otimes T(v_i \otimes v_j) = Tv_i \otimes Tv_j$$

Let  $T = (a_{ij})$ , then we know

$$(\operatorname{tr}(T))^2 = \left(\sum_{i=1}^n a_{ii}\right)^2$$

And we have

$$T \otimes T(v_i \otimes v_j) = \sum_{k=1}^n \sum_{l=1}^n a_{ki} a_{lj} v_k \otimes v_l$$

Therefore computing the trace we see

$$\operatorname{tr}(T \otimes T) = \sum_{i=1}^{n} \sum_{j=1}^{n} a_{ii} a_{jj} = \operatorname{tr}(T)^{2}$$

as desired!

**Problem 5.3** (S2016-Q4). Let V and W be two finite dimensional vector spaces over a field K. Show that for any q > 0,

$$\bigwedge^{q}(V \oplus W) \cong \sum_{i=0}^{q} (\bigwedge^{i}(V) \otimes_{K} \bigwedge^{q-i}(W)).$$

*Proof.* Any two finite dimensional vector spaces of the same dimension are isomorphic. Hence, it suffices to show that the dimensions are equal. We will convince ourselves it holds for q=2. Let  $\{v_1,\ldots,v_n\}$  be the basis of V, and  $\{w_1,\ldots,w_k\}$  be the basis of W, then we begin with the LHS:

$$\bigwedge^2(V\oplus W)$$

We note that  $V \oplus W$  has basis

$$\{(v_i, w_j) : 1 \le i \le n, 1 \le j \le k\}$$

So we reenumerate the n + k basis as

$$\{e_1,\ldots,e_{n+k}\}$$

Then  $\bigwedge^q (V \oplus W)$  has basis

$$\{e_i \wedge e_j : i < j\}$$

There are exactly  $1 + \cdots + (n + k - 1)$  basis vectors i.e.,

$$\dim\left(\bigwedge^{2}(V\oplus W)\right) = \frac{(n+k-1)(n+k)}{2}$$

As for the RHS:

$$\dim \left(\sum_{i=0}^{2} \left(\bigwedge^{i} (V) \otimes_{K} \bigwedge^{2-i} (W)\right)\right) \frac{(k-1)k}{2} + nk + \frac{(n-1)n}{2}$$

And we observe that two two quantities are equal. Now we do the general case, just like above,

$$\dim\left(\bigwedge^{q}(V\oplus W)\right) = \binom{n+k}{q}$$

And the RHS:

$$\dim \left( \bigwedge^{q-1} (V \oplus W) \wedge (V \oplus W) \right) = \sum_{i=0}^{q} \binom{n}{i} \binom{k}{q-i}$$

and it is clear that these two quantities are equal.

**Problem 5.4** (S2011-Q4). Let F be a field, and V a finite-dimensional vector space over F, with  $\dim_F V = n$ .

- (a) Prove that if n > 2, the spaces  $\bigwedge^2(\bigwedge^2(V))$  and  $\bigwedge^4(V)$  are not isomorphic.
- (b) Let k be a positive integer. Prove that when  $v \in \bigwedge^k(V)$  and  $0 \neq x \in V$ ,  $v \wedge x = 0$  holds if and only if  $v = x \wedge y$  for some  $y \in \bigwedge^{k-1}(V)$ .

*Proof.* (a) This is by a dimension argument:

$$\dim\left(\bigwedge^2(\bigwedge^2(V))\right) = \binom{\binom{n}{2}}{2} = \frac{n(n-1)(n-2)(n+1)}{2}$$

whereas

$$\dim\left(\bigwedge^{4}(V)\right) = \binom{n}{4} = \frac{n(n-1)(n-2)(n-3)}{4}$$

Thus not equal if n > 2.

(b) If there exists such y where  $v = x \wedge y$ , then

$$v \wedge x = (x \wedge y) \wedge x = (-y \wedge x) \wedge x = 0$$

Conversely, if v=0, then it is immediate that  $v=x\wedge x$ . It suffices to assume that  $v\neq 0$ , thus if we write

$$v = v_1 \wedge \cdots \wedge v_k$$

where  $v_i$ 's are distinct. Then

$$v \wedge x = 0$$

If  $v_i = \pm x$  for any i, we are done. If not, then we derive a contradiction:  $v_1 \neq x$ , thus

$$v_1 \wedge (v_2 \wedge \cdots \wedge v_k \wedge x) = 0$$

i.e.,  $v_2 \wedge \cdots \wedge v_k \wedge x = 0$ , now  $v_2 \neq x$ , and we keep going, eventually  $v_k \wedge x = 0$  which implies  $x = \pm v_k$ .

**Problem 5.5** (S2010-Q4). Let V be a n-dimensional vector space over a field k. Let  $T \in \operatorname{End}_k(V)$ .

- (a) Show that  $tr(T \otimes T \otimes T) = (tr(T))^3$ . Here tr(T) is the trace of T.
- (b) Find a similar formula for the determinant  $\det(T \otimes T \otimes T)$ .

*Proof.* (a) The trace computation is exactly the same as the one above.

(b) We can compute via some combinatorics:

$$\det(T \otimes T) = (\det T)^{2n}, \det(T \otimes T \otimes T) = (\det T)^{3n^2}$$

# Linear Algebra III

Topics: random linear algebra problems

**Proposition 6.1.** Let V be a m dimensional vector space, and W be n dimensional. Show that  $A:V\to V$  and  $B:W\to W$  has

$$\operatorname{Tr}(A \otimes B) = \operatorname{Tr}(A)\operatorname{Tr}(B)$$

*Proof.* Use matrix representations.

**Problem 6.1** (S2013-Q5). Let A and B be  $n \times n$  matrices with complex coefficients. Assume that  $(A - I)^n = 0$  and  $A^k B = BA^k$  for some natural number k. Prove that AB = BA (Hint: Prove that A can be expressed as a function of  $A^k$ ).

Proof.  $\Box$ 

**Problem 6.2** (F2011-Q2). Consider the special orthogonal group  $G = SO(3, \mathbb{R})$ , namely,

$$G = \{ A \in GL(3, \mathbb{R}) : A^T A = I_3, \det(A) = 1 \}$$

(a) Show that for any element A in G, there exists a real number  $\alpha$  with  $-1 \le \alpha \le 3$  such that

$$A^3 - \alpha A^2 + \alpha A - I_3 = 0.$$

- (b) For which real numbers  $\alpha$  with  $-1 \le \alpha \le 3$  does there exist an element A in G whose minimal polynomial is  $x^3 \alpha x^2 + \alpha x 1$ ? Explain your answer.
- *Proof.* (a) The determinant forces the eigenvalues (over  $\mathbb{C}$ ) to have norm 1. The form is done by explicit computations.
  - (b) It has the minimal polynomial equal to the characteristic polynomial if the polynomial splits into three distinct roots, we know x = 1 has a root,

$$(x-1)(x^2+(1-\alpha)x+1)$$

Hence as long as  $\alpha \neq -1,3$ , the minimal polynomial and the characteristic polynomial coincide.

**Problem 6.3** (F2007-Q3). Let  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  be a real matrix such that a, b, c, d > 0.

- (1) Prove that *A* has two distinct real eigenvalues,  $\lambda > \mu$ .
- (2) Prove that  $\lambda$  has an eigenvector in the first quadrant and  $\mu$  has an eigenvector in the second quadrant.

**Problem 6.4** (S2007-Q1). Prove that the integer orthogonal group  $O_n(\mathbb{Z})$  is a finite group. (By definition, an  $n \times n$  square matrix X over  $\mathbb{Z}$  is orthogonal if  $XX^t = I_n$ .)

**Problem 6.5** (F2008-Q4). A differentiation of a ring R is a mapping  $D: R \to R$  such that, for all  $x, y \in R$ ,

- (1) D(x + y) = D(x) + D(y); and
- (2) D(xy) = D(x)y + xD(y).

If *K* is a field and *R* is a *K*-algebra, then its differentiation are supposed to be over K, that is,

(3) D(x) = 0 for any  $x \in K$ .

Let D be a differentiation of the K-algebra  $M_n(K)$  of  $n \times n$ -matrices. Prove that there exists a matrix  $A \in M_n(K)$  such that D(X) = AX - XA for all  $X \in M_n(K)$ .

**Problem 6.6** (F2006-Q1). Let  $SL_n(k)$  be the special linear group over a field k, i.e,  $n \times n$  matrices with determinant 1. Let I be the identity matrix, and  $E_{ij}$  be the elementary matrix that has 1 at (i,j)-entry and 0 elsewhere. Here  $1 \le i \ne j \le n$ .

- (1) Let  $C_{ij}$  be the centralizer of the matrix  $I + E_{ij}$ . Find explicit generators of  $C_{ij}$ .
- (2) Find the intersection

$$\bigcap_{1 \le i \ne j \le n} C_{ij}.$$

(3) Determine all the elements in the conjugacy class of  $I + E_{ij}$ .

**Problem 6.7** (S2018-Q1). Let F be a field of characteristic not equal to 2. Let D be the non-commutative algebra over F generated by elements i, j that satisfy the relations

$$i^2 = j^2 = 1, \quad ij = -ji.$$

Define k = ij.

(a) Verify that D is isomorphic to the algebra  $M_2(F)$  of  $2 \times 2$  matrices in such a way that

$$1 \leftrightarrow \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, i \leftrightarrow \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, j \leftrightarrow \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, k \leftrightarrow \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}.$$

(b) Write q = x + yi + zj + uk for  $x, y, z, u \in F$ . Verify that the norm

$$N(q) = x^2 - y^2 - z^2 + u^2$$

corresponds to the determinant under the isomorphism of part (a).

(c) What does the involution  $q \mapsto \bar{q} = x - yi - zj - uk$  on D correspond to on the matrix side?

**Problem 6.8** (S2006-Q3). Let V be a n-dimensional vector space over a field k, with a basis  $\{e_1, \ldots, e_n\}$ . Let A be the ring of all  $n \times n$  diagonal matrices over k. V is a A-module under the action:

$$\operatorname{diag}(\lambda_1,\ldots,\lambda_n)\cdot(a_1e_1+\cdots+a_ne_n)=(\lambda_1a_1e_1+\cdots+\lambda_na_ne_n).$$

Find all A-submodules of V.

**Problem 6.9** (S2006-Q1). Let  $\mathbb{F}_p$  be the field with p elements, here p is prime. Let  $SL_2(\mathbb{F}_p)$  be the group of  $2 \times 2$  matrices over  $\mathbb{F}_p$  with determinant 1.

(1) Find the order of  $SL_2(\mathbb{F}_p)$ . Deduce that

$$H = \left\{ \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \mid a \in \mathbb{F}_p \right\}$$

is a Sylow-subgroup of  $SL_2(\mathbb{F}_p)$ .

(2) Determine the normalizer of H in  $SL_2(\mathbb{F}_p)$  and find its order.

**Problem 6.10** (S2004-Q1). Let  $\mathbb{F}_2$  be the finite field with 2 elements.

- (a) What is the order of  $GL_3(\mathbb{F}_2)$ , the group of  $3 \times 3$  invertible matrices over  $\mathbb{F}_2$ ?
- (b) Assuming the fact that  $GL_3(\mathbb{F}_2)$  is a simple group, find the number of elements of order 7 in  $GL_3(\mathbb{F}_2)$ .

**Problem 6.11** (S2002-Q4). For a field K, let  $SL_2(K)$  be the special linear group over K, i.e. the group of  $2 \times 2$ -matrices over K with determinant 1, and let  $PSL_2(K)$  be the quotient of  $SL_2(K)$  by its center, i.e. the projective special linear group. Find the order of  $PSL_2(F_7)$  where  $F_7$  denotes the finite field of 7 elements.

**Problem 6.12** (S2007-Q4). Find the invertible elements, the zero divisors and the nilpotent elements in the following rings:

- (a)  $\mathbb{Z}/p^n\mathbb{Z}$ , where n is a natural number, p is a prime one.
- (b) the upper triangular matrices over a field.

# Homological Algebra

#### Problem 7.1 (S2012-Q2).

- (a) Prove that if M is an abelian group and n is a positive integer, the tensor product  $M \otimes_{\mathbb{Z}} \mathbb{Z}/n\mathbb{Z}$  can be naturally identified with M/nM.
- (b) Compute the tensor product over  $\mathbb{Z}$  of  $\mathbb{Z}/n\mathbb{Z}$  with each of  $\mathbb{Z}/m\mathbb{Z}$ ,  $\mathbb{Q}$  and  $\mathbb{Q}/\mathbb{Z}$ . Also compute the tensor products  $\mathbb{Q} \otimes_{\mathbb{Z}} \mathbb{Q}$ ,  $\mathbb{Q} \otimes_{\mathbb{Z}} (\mathbb{Q}/\mathbb{Z})$ , and  $(\mathbb{Q}/\mathbb{Z}) \otimes_{\mathbb{Z}} (\mathbb{Q}/\mathbb{Z})$ .
- (c) Let  $\mathbb{Z}^{\mathbb{N}}$  denote the (abelian) group of sequences  $(a_i)_{i\in\mathbb{N}}$  in  $\mathbb{Z}$  under termwise addition, and  $\mathbb{Z}^{(\mathbb{N})}$  the subgroup of sequences for which  $a_i=0$  for all but finitely many i. Define  $\mathbb{Q}^{\mathbb{N}}$  and  $\mathbb{Q}^{(\mathbb{N})}$  analogously. Compare  $\mathbb{Z}^{(\mathbb{N})}\otimes_{\mathbb{Z}}\mathbb{Q}$  to  $\mathbb{Q}^{(\mathbb{N})}$ , and  $\mathbb{Z}^{\mathbb{N}}\otimes_{\mathbb{Z}}\mathbb{Q}$  to  $\mathbb{Q}^{\mathbb{N}}$ .

**Problem 7.2** (F2006-Q4). Let R be a commutative ring. Let M be an R-module.

- (1) Write down the definition of  $\mathcal{T}(M)$ , the tensor algebra of M.
- (2) Assume  $R = \mathbb{Z}$  and  $M = \mathbb{Q}/\mathbb{Z}$ . Compute  $\mathcal{T}(M)$ .
- (3) If M is a vector space over a field R, show that  $\mathcal{T}(M)$  contains no zero divisors.

**Problem 7.3** (S2009-Q5). Consider the  $\mathbb{Z}$ -modules  $M_i = \mathbb{Z}/2^i\mathbb{Z}$  for all positive integers i. Let  $M = \prod_{i=1}^{\infty} M_i$ . Let  $S = \mathbb{Z} - \{0\}$ .

(a) Show that

$$\mathbb{Q} \otimes_{\mathbb{Z}} M \cong S^{-1}M.$$

Here  $S^{-1}M$  is the localization of M.

(b) Show that

$$\mathbb{Q} \otimes_{\mathbb{Z}} \prod_{i=1}^{\infty} M_i \neq \prod_{i=1}^{\infty} (\mathbb{Q} \otimes_{\mathbb{Z}} M_i).$$

**Problem 7.4** (F2008-Q5). For each  $n \in \mathbb{Z}$ , define the ring homomorphism

$$\phi_n : \mathbb{Z}[x] \to \mathbb{Z}$$
 by  $\phi_n(f) = f(n)$ .

This gives a  $\mathbb{Z}[x]$ -module structure on  $\mathbb{Z}$ , i.e,

$$f \circ a = f(n) \cdot a$$
 for all  $f \in \mathbb{Z}[x]$  and  $a \in \mathbb{Z}$ .

Now given two integers  $m, n \in \mathbb{Z}$ , compute the tensor product  $\mathbb{Z} \otimes_{\mathbb{Z}[x]} \mathbb{Z}$  where the left-hand copy of  $\mathbb{Z}$  uses the module structure from  $\phi_n$  and the right-hand copy of  $\mathbb{Z}$  uses the module structure from  $\phi_m$ . (Note: The answer depends on the numbers n and m.)

**Problem 7.5** (F2014-Q2). Let  $R = \mathbb{Q}[X]$ , I and J the principal ideals generated by  $X^2 - 1$  and  $X^3 - 1$  respectively. Let M = R/I and N = R/J. Express in simplest terms [the isomorphism type of] the R-modules  $M \otimes_R N$  and  $\operatorname{Hom}_R(M,N)$ . **Explain.** 

**Problem 7.6** (F2004-Q5). Consider the ideal I = (2, x) in  $R = \mathbb{Z}[x]$ .

- (a) Construct a non-trivial R-module homomorphism  $I \otimes_R I \to R/I$ , and use that to show that  $2 \otimes x x \otimes 2$  is a non-zero element in  $I \otimes_R I$ .
- (b) Determine the annihilator of  $2 \otimes x x \otimes 2$ .

**Problem 7.7** (S2018-Q2). Let R be a commutative ring. An R-module M is said to be finitely presented if there exists a right-exact sequence

$$R^m \longrightarrow R^n \longrightarrow M \longrightarrow 0$$

for some non-negative integers m, n. Prove that any finitely generated projective R-module P is finitely presented.

**Problem 7.8** (F2013-Q3). Let R be a commutative ring with unity. Given an R-module A and an ideal  $I \subset R$ , there is a natural R-module homomorphism  $A \otimes_R I \to A \otimes_R R \cong A$  induced by the inclusion  $I \subset R$ . In the following three steps you shall prove the flatness criterion: A is flat if and only if for every finitely generated ideal  $I \subset R$  the natural map  $A \otimes_R I \to A \otimes_R R$  is injective.

- (a) Prove that if *A* is flat and  $I \subset R$  is a finitely generated ideal then  $A \otimes_R I \to A \otimes_R R$  is injective.
- (b) If  $A \otimes_R I \to A \otimes_R R$  is injective for every finitely generated ideal I, prove that  $A \otimes_R I \to A \otimes_R R$  is injective for every ideal I. Show that if K is any submodule of a free module F then the natural map  $A \otimes_R K \to A \otimes_R F \cong A$  induced by the inclusion  $K \subset F$  is injective (*Hint*: the general case reduces to the case when F has finite rank).
- (c) Let  $\psi: L \to M$  be an injective homomorphism of R-modules. Prove that the induced map  $1 \otimes \psi: A \otimes_R L \to A \otimes_R M$  is injective (*Hint*: Write M as a quotient  $f: F \to M$  of a free module F, giving a short exact sequence  $0 \to K \to F \to M \to 0$  and consider the commutative diagram

where  $J = f^{-1}(\psi(L))$ .

# **Ring Theory Random**

**Proposition 8.1.** Let  $I \subset R$  be an ideal, then the following are equivalent:

- 1. *I* is a prime ideal.
- 2. There exists a field K and  $\varphi: R \to K$  such that  $I = \ker(\varphi)$ .

*Proof.* (1) $\Rightarrow$ (2). Let K be the field of fractions of R/I, which is an integral domain. (2) $\Rightarrow$  (1) is obvious given K is a field.

**Problem 8.1** (S2010-Q2). Let R be a ring such that  $r^3 = r$  for all  $r \in R$ . Show that R is commutative. (Hint: First show that  $r^2$  is central for all  $r \in R$ .)

*Proof.* This question is not so constructive and is purely computational (as far as I am aware) so I will skip it here.  $\Box$ 

**Problem 8.2** (S2006-Q2). Let R be a ring with identity 1. Let  $x, y \in R$  such that xy = 1.

- (1) Assume R has no zero-divisor. Show that yx = 1.
- (2) Assume R is finite. Show that yx = 1.

*Proof.* (1) We know  $x, y \neq 0$ , therefore consider

$$x(yx-1) = 0$$

Since R has no zero-divisor, we must have yx - 1 = 0, as desired.

(2) We note the right multiplication map  $m_x: R \to R$  by x is injective: suppose  $r_1, r_2 \in R$  and

$$r_1x = xr_2x$$

multiplying both sides by y we see  $r_1 = r_2$ . Since R is finite, this map is also surjective, i.e., there exists  $s \in R$  such that

$$sx = 1$$

Now we see

$$yx - 1 = sx(yx - 1) = sx - sx = 0$$

as desired.

## **Tensor Products over Fields**

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**Proposition 9.1.** If L/k is finite separate extension, then there exists  $\alpha \in L$  such that

$$L = k(\alpha)$$

**Example 9.1.** Write  $\mathbb{Q}(\sqrt{2}) \otimes_{\mathbb{Q}} \mathbb{Q}(\sqrt{3})$  as a product of fields:

$$\mathbb{Q}(\sqrt{2}) \otimes_{\mathbb{Q}} \frac{\mathbb{Q}[x]}{(x^2 - 3)} \cong \frac{\mathbb{Q}(\sqrt{2})[x]}{(x^3 - 2)}$$

and  $(x^3-2)$  does not have a root in  $\mathbb{Q}(\sqrt{2})$ , thus

$$\mathbb{Q}(\sqrt{2}) \otimes_{\mathbb{Q}} \mathbb{Q}(\sqrt{3}) \cong \mathbb{Q}(\sqrt{2})\sqrt{3}$$

Example 9.2. Similarly, write the following as a product of fields

$$\mathbb{Q}(\sqrt[4]{2}) \otimes_{\mathbb{Q}} \mathbb{Q}(\sqrt[4]{2}) = \mathbb{Q}(\sqrt[4]{2}) \otimes_{Q} \frac{\mathbb{Q}[x]}{(x^{4} - 2)} 
= \frac{\mathbb{Q}(\sqrt[4]{2})[x]}{(x^{4} - 2)} 
= \frac{\mathbb{Q}(\sqrt[4]{2})[x]}{(x - \sqrt[4]{2})(x + \sqrt[4]{2})(x^{2} + \sqrt{2})} 
= \frac{\mathbb{Q}(\sqrt[4]{2})[x]}{(x - \sqrt[4]{2})} \times \frac{\mathbb{Q}(\sqrt[4]{2})[x]}{(x + \sqrt[4]{2})} \times \frac{\mathbb{Q}(\sqrt[4]{2})[x]}{(x^{2} + \sqrt{2})}$$

By the Chinese Remainder theorem

**Lemma 9.1** (CRT). Let R be a PID, and I + J = (1), then

$$\frac{R}{IJ} = \frac{R}{I} \times \frac{R}{J}$$

We have

$$\mathbb{Q}(\sqrt[4]{2}) \otimes_{\mathbb{Q}} \mathbb{Q}(\sqrt[4]{2}) \cong \mathbb{Q}(\sqrt[4]{2}) \times \mathbb{Q}(\sqrt[4]{2}) \times \mathbb{Q}(\sqrt[4]{2})(i)$$

**Example 9.3.** The field extension generated  $(x^p - t)$  of field  $\mathbb{F}_p(t)$  is not separable, i.e.,

$$\frac{\mathbb{F}_p(t)[x]}{(x^p - t)}$$

is not separable. Consider the element x, then the minimal polynomial  $m(s) = s^p - t$  can be written as

$$s^p - t = s^p - x^p = (s - x)^p$$

Proposition 9.2. Recall that a finite separable extension implies algebraic.

**Problem 9.1** (S2017-Q3). Let K/k be a finite separable field extension, and let L/k be any field extension. Show that  $K \otimes_k L$  is a product of fields.

*Proof.* Finite separable implies simple. There exists  $\alpha \in K$  such that

$$K = k(\alpha)$$

Let  $p_{\alpha}$  be the minimal polynomial of  $\alpha$ , then

$$K \otimes_k L = \frac{k[x]}{(p_{\alpha}(x))} \otimes_k L$$
$$= \frac{L[x]}{(p_{\alpha}(x))}$$

We note  $p_{\alpha}(x)$  factors into irreducible linear factors over K. Hence

$$K \otimes_k L = \frac{L[x]}{(p_{\alpha}^1(x)) \dots (p_{\alpha}^k(x))}$$
$$= \frac{L[x]}{(p_{\alpha}^1(x))} \times \dots \times \frac{L[x]}{(p_{\alpha}^k(x))}$$

**Problem 9.2** (F2019-Q3). Let F, L be extensions of a field K. Suppose that F/K is finite. Show that there exists an extension E/K such that there are monomorphisms of F into E and of E into E which are identical on E.

*Proof.* Consider the ring  $F \otimes_k L$ , and taking a maximal ideal

$$E = \frac{F \otimes_K L}{(m)}$$

Then one can show that the morphisms of F, L into E are injective.

**Problem 9.3** (F2009-Q4). Let E and F be finite field extensions of a field k such that  $E \cap F = k$ , and that E and F are both contained in a larger field E. Assume that E is Galois over E. Show that  $E \otimes_k F \cong EF$ .

Proof.  $\Box$ 

**Problem 9.4** (S2008-Q5). Let k be a field of characteristic zero. Assume that E and F are algebraic extensions of k and both contained in a larger field L. Show that the k-algebra  $E \otimes_k F$  has no nonzero nilpotent elements.

**Problem 9.5** (S2004-Q5). Show that there is a  $\mathbb{C}$ -algebra isomorphism between  $\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C}$  and  $\mathbb{C} \times \mathbb{C}$ .

**Problem 9.6** (F2005-Q5). Let  $\mathbb{C}$  and  $\mathbb{R}$  be complex and real number fields. Let  $\mathbb{C}(x)$  and  $\mathbb{C}(y)$  be function fields of one variable. Consider  $\mathbb{C}(x) \otimes_{\mathbb{R}} \mathbb{C}(y)$  and  $\mathbb{C}(x) \otimes_{\mathbb{C}} \mathbb{C}(y)$ .

- (1) Determine if they are integral domains.
- (2) Determine if they are fields.

**Problem 9.7** (F2003-Q4). Verify the isomorphism of algebras over a field *K*:

$$\mathbb{M}_n(K) \otimes_K \mathbb{M}_m(K) \simeq \mathbb{M}_{mn}(K).$$

[Note:  $\mathbb{M}_n(K)$  denotes the algebra of  $n \times n$  matrices over K.]

# **Irreducibility of Polynomials**

#### Reminder:

**Proposition 10.1.** Let K be a finite field, then  $K^{\times}$  is cyclic.

**Proposition 10.2** (Artin-Schreier).  $x^p - x - 1 \in \mathbb{Q}[x]$  is irreducible.

*Proof.* It suffices to check irreducibility mod p.

 $x^p - x - a$  is either irreducible or factors completely into linear factors.

**Proposition 10.3.** For  $x \in \mathbb{F}_p$ ,  $x^p = x$ .

A fact that I keep forgetting.

**Proposition 10.4.** Fix any prime p, the polynomial

$$f(x) = x^{p-1} + \dots + x + 1$$

is irreducible over  $\mathbb{Q}$ . Similarly

$$g(x) = x^{p-1} - x^{p-2} + \dots - x + 1$$

is irreducible over  $\mathbb{Q}$ .

Proof. This is an application of Eisenstein. Write

$$f(x) = \frac{x^p - 1}{x - 1}$$

and replace x with x + 1 we get

$$f(x) = \frac{(x+1)^p - 1}{x}$$
$$= \frac{\sum_{k=1}^n \binom{p}{k} x^k}{x}$$
$$= \sum_{k=1}^n \binom{p}{k} x^{k-1}$$

We apply Eisenstein with prime p to see f is irreducible.

**Proposition 10.5.** For any prime p, either  $\sqrt{2} \in \mathbb{F}_p$  or  $\sqrt{3} \in \mathbb{F}_p$  or  $\sqrt{6} \in \mathbb{F}_p$ .

*Proof.* We know there exists a legendre symbol (a character)  $\chi: \mathbb{F}_p^{\times} \to \{\pm 1\}$  such that for  $g \in \mathbb{F}_p$ ,

$$\chi(g) = \begin{cases} 1, & \text{if } g \text{ is a square} \\ -1, & \text{if } g \text{ is not a square} \end{cases}$$

Suppose that  $\sqrt{2}$  and  $\sqrt{3}$  are not in  $\mathbb{F}_p$ , then

$$\chi(2) = \chi(3) = -1$$

i.e., 2, 3 are not squares. However,

$$\chi(2\cdot 3) = \chi(6) = 1$$

This implies that 6 is a square and  $\sqrt{6} \in \mathbb{F}_p$ , as desired.

Corollary 10.1. The following polynomial

$$f(x) = (x^2 - 1)(x^3 - 1)(x^6 - 1)$$

has a linear factor.

**Proposition 10.6.** The polynomial

$$f(x) = (x-1)(x-2)(x-3)(x-4) + 1$$

is irreducible.

**Problem 10.1** (S2018-Q3). Let R be the ring  $\mathbb{Z}[\zeta_p]$ , where p is a prime number and  $\zeta_p$  denotes a primitive pth root of unity in  $\mathbb{C}$ . Prove that if an integer  $n \in \mathbb{Z}$  is divisible by  $1 - \zeta_p$  in R, then p divides n.

*Proof.* We know the polynomial

$$x^{p} - 1 = (x - 1)(x^{p-1} - \dots - x + 1)$$

And  $\zeta_p$  is a roots of  $(x^{p-1}-\cdots-x+1)$ , hence we are write  $\zeta_p^{p-1}$  as

$$\zeta_p^{p-1} = -\zeta_p^{p-2} - \dots - 1$$

Hence

$$n = (1 - \zeta_p)(a_0 + \dots + a_{p-2}\zeta_p^{p-2})$$

We see that p divides the constant term, hence  $p \mid n$ .

**Problem 10.2** (F2008-Q2). Show that the polynomial  $x^5 - 5x^4 - 6x - 2$  is irreducible in  $\mathbb{Q}[x]$ .

*Proof.* It suffices to see that it is irreducible mod 5.

**Problem 10.3** (F2003-Q3). Obtain a factorization into irreducible factors in  $\mathbb{Z}[x]$  of the polynomial  $x^{10} - 1$ .

*Proof.* There are four irreducible factors, one linear, two cyclotomic.

**Problem 10.4** (S2004-Q3). Let k be a field with characteristic 0. Let  $m \ge 2$  be an integer. Show that  $f(x,y) = x^m + y^m + 1$  is irreducible in k[x,y].

*Proof.* Take an irreducible factor of  $y^m + 1$ , and  $y^m + 1$  is separable, hence there exists one irreducible factor whose square doesn't divide  $y^m + 1$ . By generalized Eisenstein, we know

$$f(x,y) \in k[y][x]$$

is irreducible, and done by k[y][x] = k[x, y].

**Problem 10.5** (S2017-Q2, S2007-Q3). Write down the minimal polynomial for  $\sqrt{2} + \sqrt{3}$  over  $\mathbb{Q}$  and prove that it is reducible over  $\mathbb{F}_p$  for every prime number p.

*Proof.* The minimal polynomial of  $\sqrt{2} + \sqrt{3}$  is

$$f(x) = x^4 - 10x^2 + 1 = 0$$

By the corollary, we know in any  $\mathbb{F}_p$  for any prime p, either  $\sqrt{2}$ ,  $\sqrt{3}$ ,  $\sqrt{6}$  is in  $\mathbb{F}_p$ . We claim that if  $\sqrt{2} \in \mathbb{F}_p$ , then f is factors over  $\mathbb{Q}(\sqrt{2})$ . Suppose that f does not factor over  $\mathbb{Q}(\sqrt{2})$ , i.e., f is irreducible over  $\mathbb{Q}(\sqrt{2})$ , then the degree of extension

$$[\mathbb{Q}(\sqrt{2}+\sqrt{3}):\mathbb{Q}] = [\mathbb{Q}(\sqrt{2}+\sqrt{3}):\mathbb{Q}(\sqrt{2})][\mathbb{Q}(\sqrt{2}):\mathbb{Q}] = 8$$

which is a contradiction. Hence f factors over  $\mathbb{Q}(\sqrt{2})$ . Similar arguments work if  $\sqrt{3}$  or  $\sqrt{6}$  are in  $\mathbb{F}_p$ .

**Problem 10.6** (S2015-Q4). Prove that the polynomial  $x^4 + 1$  is not irreducible over any field of positive characteristic.

*Proof.* The idea is the same as above, and it suffices to note that the field extension generated by  $x^4 + 1$  is  $\mathbb{Q}(\sqrt{2}, i)$ . Using the Legendre symbol, the proof is similar to the above.

#### Problem 10.7 (F2010-Q2).

- (a) Find the complete factorization of the polynomial  $f(x) = x^6 17x^4 + 80x^2 100$  in  $\mathbb{Z}[x]$ .
- (b) For which prime numbers p does f(x) have a root in  $\mathbb{Z}/p\mathbb{Z}$  (i.e, f(x) has a root modulo p)? Explain your answer.

*Proof.* (a) Letting  $y = x^2$ , we need to factorize

$$f(y) = y^3 - 17y + 80y - 100$$

Now f is cubic, we need to find the roots of f: 5 is a root,

$$f(y) = (y-5)(y-2)(y-10)$$

i.e.,

$$f(x) = (x^2 - 2)(x^2 - 5)(x^2 - 10)$$

which consists of only irreducible factors over  $\mathbb{Z}$ .

(b) f has a root in  $\mathbb{F}_p$  for all prime p, by the above corollary.

#### 10.1 Quick finite field review

If p is prime, then  $\mathbb{F}_p$  is a field of p elements, isomorphic to  $\mathbb{Z}/p\mathbb{Z}$ .

**Proposition 10.7** (Fact). For every prime power  $p^n$ , there is exactly one finite field of  $p^n$  elements, namely  $\mathbb{F}_{p^n}$ , up to isomorphisms.

#### Theorem 10.1 (Galois theory of finite fields). We have

(1)  $\mathbb{F}_{p^n}/\mathbb{F}$  is a Galois extension, and

$$\operatorname{Gal}(\mathbb{F}_{p^n}/\mathbb{F})$$
 is cyclic

where the generator is the Forbenius automorphism  $\sigma: \mathbb{F}_{p^n} \to \mathbb{F}_{p^n}$  where

$$\sigma: x \mapsto x^p$$

(2) We also have

$$\mathbb{F}_{p^n} = \left\{ \alpha \in \overline{\mathbb{F}}_p : \alpha^{p^n} - \alpha = 0 \right\}$$

This statement implies that  $\mathbb{F}_{p^n}$  is the splitting field of  $x^{p^n} - x$ .

*Proof.* We note that  $\mathbb{F}_{p^n}$  is the splitting field of  $x^{p^n} - x$  over  $\mathbb{F}_p$ .

$$\mathbb{F}_{p^n} = \left\{ \alpha \in \overline{\mathbb{F}}_p : \alpha^{p^n} - \alpha = 0 \right\}$$

If  $\alpha \in \mathbb{F}_{p^n}$ , then we want to show that  $\alpha^{p^n} = \alpha$ : if  $\alpha = 0$ , then done; if  $\alpha \in \mathbb{F}_p^{\times}$ , then using the fact that any finite field is cyclic, we know

$$\mathbb{F}_{p^n} \cong \mathbb{Z}/(p^n - 1)\mathbb{Z} \Rightarrow \alpha^{p^n - 1} = 1$$

and we are done. Now we observe that  $\{\alpha \in \overline{\mathbb{F}}_p : \alpha^{p^n} - \alpha = 0\}$  has  $p^n$  elements, and is also a field, thus we are done.

This fact can be used to show (1) and the above proposition.

**Proposition 10.8.**  $\mathbb{F}_{p^n}$  embeds into  $\mathbb{F}_{p^m}$  iff  $n \mid m$ .

*Proof.* If  $n \mid m$ , then m = nk for some integer k. We then notice that

$$\alpha^{p^n} = \alpha \Rightarrow \alpha^{p^{kn}} = \alpha^{p^m} = \alpha$$

Thus  $\mathbb{F}_{p^n}$  embeds into  $\mathbb{F}_{p^m}$ . Conversely, consider the Galois field extensions

$$\mathbb{F}_p \subset \mathbb{F}_{p^n} \subset \mathbb{F}_{p^m}$$

Then by degree of field extensions, we know  $n \mid m$ .

**Problem 10.8** (F2016-Q3). If field  $|F| = 2^n$ , find all n such that  $x^2 - x + 1$  is irreducible over F.

*Proof.* We know that  $x^2 - x + 1$  is irreducible over  $\mathbb{F}_2$ , namely, it has no roots in  $\mathbb{F}_2$ . Since there is only one field of order 4, we must have

$$\mathbb{F}_4 \cong \frac{\mathbb{F}_2}{(x^2 - x + 1)}$$

Clearly  $x^2 - x + 1$  is not irreducible over  $\mathbb{F}_4$ . For any  $\mathbb{F}_{2^n}$ , we know  $(x^2 - x + 1)$  is irreducible if and only if  $\mathbb{F}_4$  does not embed into  $\mathbb{F}_2^n$ , i.e.,  $2 \nmid n$ . This shows that when n is odd, the polynomial  $x^2 - x + 1$  is irreducible over  $\mathbb{F}_{2^n}$ .

**Problem 10.9** (F2015-Q5). Let L be a finite field. Let a and b be elements of  $L^{\times}$  (the multiplicative group of L) and  $c \in L$ . Show that there exist x and y in L such that  $ax^2 + by^2 = c$ .

**Problem 10.10** (F2013-Q6). Let p be a prime and let F be a field of characteristic p.

- (a) Prove that the map  $\varphi: F \to F, \varphi(a) = a^p$  is a field homomorphism.
- (b) F is said to be *perfect* if the above homomorphism  $\varphi$  is an automorphism. Prove that every finite field is perfect.
- (c) If x is an indeterminate and F is any field of characteristic p, prove that the field F(x) is not perfect.

*Proof.* (a) You just do it, the field has character *p*.

- (b) Observe that it is surjective.
- (c) x is not in the image of  $\varphi$ .

**Problem 10.11** (F2017-Q5). Let K/k be an extension of finite fields with #k = q, let  $\Phi \colon x \mapsto x^q$  denote the qth power Frobenius map on K, and let  $G := \operatorname{Gal}(K/k)$ .

- (a) Compute the minimal polynomial of  $\Phi$  as a k-linear endomorphism of K.
- (b) Use (a) to prove the *normal basis theorem* in the case of the extension K/k: there exists  $x \in K$  such that the set  $\{\sigma x \mid \sigma \in G\}$  is a k-basis for K.

*Proof.* (a) Same as above.

(b)

**Problem 10.12** (F2010-Q5). Let  $\mathbb{F}_q$  be a finite field with  $q = p^n$  elements. Here p is a prime number. Let  $\varphi : \mathbb{F}_q \to \mathbb{F}_q$  be given by  $\varphi(x) = x^p$ .

- (a) Show that  $\varphi$  is a linear transformation on  $\mathbb{F}_q$  (as vector space over  $\mathbb{F}_p$ ), then determine its minimal polynomial.
- (b) Supposed that  $\varphi$  is diagonalizable over  $\mathbb{F}_p$ . Show that n divides p-1.

**Problem 10.13** (S2011-Q2). Let p be a prime, F a finite field with p elements and K a finite extension of F. Denote by  $F^{\times}$  and  $K^{\times}$  the multiplicative groups of nonzero elements of fields F and K, respectively. Prove that the norm homomorphism  $N: K^{\times} \to F^{\times}$  is surjective.

Proof. do it

**Problem 10.14** (F2008-Q3). Let k be a finite field and K be a finite extension of k. Let  $\mathfrak{Tr} = \operatorname{Tr}_k^K$  be the trace function from K to k. Determine the image of  $\mathfrak{Tr}$  and prove your answer.

**Problem 10.15** (S2014-Q3). Let L/K be a Galois extension of degree p with charK=p. Show that  $L=K(\theta)$ , where  $\theta$  is a root of  $x^p-x-a, a\in K$ , and, conversely, any such extension is Galois of degree 1 or p.

Proof. Artin-Schreier.

**Problem 10.16** (S2015-Q1). Let K be a field of characteristic p > 0. Prove that a polynomial  $f(x) = x^p - x - a \in K[x]$  either irreducible, or is a product of linear factors. Find this factorization if f has a root  $x_0 \in K$ .

*Proof.* Artin-Schreier! If it has a root  $x_0$ , then all the roots  $x_0 + k$  for any  $k \in \mathbb{F}_p$  is a root.

**Problem 10.17** (S2002-Q5). Let  $\zeta = e^{\frac{2\pi i}{5}}$  and  $K = \mathbb{Q}(\zeta)$  the field generated by  $\zeta$  over the field of rational numbers. Prove that K contains  $\sqrt{5}$ .

**Proposition 10.9.** Let  $\zeta_n$  be the *n*th root of unity, then the minimal polynomial has degree  $|(\mathbb{Z}/n\mathbb{Z})^{\times}|$ .

**Problem 10.18** (S2008-Q2). Let  $\xi$  be a primitive 9-th root of unity. Find the minimal polynomial of  $\xi + \xi^{-1}$  over  $\mathbb{Q}$ .

Proof. do it □

**Problem 10.19** (F2007-Q1). Let G be a cyclic group of order 12. Construct a Galois extension K over  $\mathbb{Q}$  so that the Galois group is isomorphic to G.

*Proof.* The Galois extension  $\mathbb{Q}(\zeta_{13})$ .

**Problem 10.20** (F2011-Q3). Let G be a cyclic group of order 100. Let  $K=\mathbb{Q}$ , the field of rational numbers, or  $K=F_p$ , the finite field with p elements, p being a prime number. For each such K, construct a Galois extension L/K whose Galois group  $\operatorname{Gal}(L/K)$  is isomorphic to G. Explain your construction in detail.

*Proof.* If  $K = \mathbb{Q}$ , then take  $\mathbb{Q}(\zeta_{101})$ . If  $K = \mathbb{F}_p$ , then take  $\mathbb{F}_{p^{100}}$ , we know it is the splitting field of

$$x^{p^{100}} - x$$

(not irreducible), but the Galois group has the generator  $x \mapsto x^p$ .

**Proposition 10.10.** The polynomial  $x^p - px - 1$  is irreducible over  $\mathbb{Q}$ .

**Problem 10.21** (S2006-Q4). Let k be a field, and p be a prime, let  $a \in k$ , show that  $x^p - a$  either has a root in k or is irreducible over k.

*Proof.* We will show that if f does not have a root, then it is irreducible. Suppose that it is not irreducible, then

$$f(x) = q(x)h(x)$$

where deg(g) < p, and we know

$$g(x) = \prod_{i \in S} (x - \alpha_i)$$

in the algebraic closure of k, and

$$\sum_{i \in S} \alpha_i \in k, \prod_{i \in S} \alpha_i \in k$$

We will now show that  $a^{\frac{1}{p}} \in k$ . We note that

$$c_0^p = \prod_{i \in S} \alpha_i^p = a^{|S|} \in k$$

We know that

$$c_0 = a^{\frac{|S|}{p}} \in k$$

Since  $a \in k$ , we can know find k, m such that k|S| - pm = 1, and

$$a^{\frac{k|S|}{p}} \cdot a^{-m} = a^{\frac{k|S|-pm}{p}} \in k$$

i.e.,  $a^{\frac{1}{p}} \in k$ . Thus a contradiction.

**Problem 10.22** (S2005-Q2). Let  $\mathbb{F}_p$  be the field with p elements, where p is a prime number. Let  $f_{n,p}(x) = x^{p^n} - x + 1$ , and suppose that  $f_{n,p}(x)$  is irreducible in  $\mathbb{F}_p[x]$ . Let  $\alpha$  be a root of  $f_{n,p}(x)$ .

- (a) Show that  $\mathbb{F}_{p^n} \subset \mathbb{F}_p(\alpha)$  and  $[\mathbb{F}_p(\alpha) : \mathbb{F}_{p^n}] = p$ .
- (b) Determine all pairs (n, p) for which  $f_{n,p}(x)$  is irreducible.

*Proof.* 1. Let  $x \in \mathbb{F}_{p^n}$ , one can show that  $(x + \alpha)$  is also a root of f, i.e.,  $x + \alpha \in \mathbb{F}_p(\alpha)$ , because  $\mathbb{F}_p(\alpha)$  is Galois over  $\mathbb{F}_{p^n}$ , thus containing all the roots.

For  $[\mathbb{F}_p(\alpha):\mathbb{F}_{p^n}]$ , we want to show that Galois group has order p, i.e., the Frobenius

$$x \mapsto x^{p^n}$$

has order p. This is true because

$$x \mapsto x^{p^n} = x - 1$$

Hence it clearly has order p.

(b) Uses part (a), not irreducible unless n = 1.

**Proposition 10.11.** Any finite subgroup of the multiplicative group of a field is cyclic. For example, any finite field  $\mathbb{F}_{p^n}^{\times}$  is generated by some g, such that for all  $x \in \mathbb{F}_{p^n}^{\times}$ ,

$$x = g^k$$

for some k.

**Problem 10.23** (F2005-Q1). Let k be a finite field, with  $p^n$  elements, let d be a positive integer, compute

$$\sum_{x \in k} x^d$$

*Proof.* We know  $\mathbb{F}_{p^n}^{\times}$  is generated by some g, then

$$\sum_{x \in k} x^d = \sum_{i=0}^{p^n - 2} g^{id} = \frac{g^{d(p^n - 1)} - 1}{g^d - 1}$$

## **Galois Theory**

Quick reminder whether a polynomial has a rational root:

**Proposition 11.1.** Let  $f(t) = a_n t^n + \cdots + a_1 t + a_0$ , and if a rational (expressed in lowest terms)  $\frac{p}{q}$  is a root of f, then  $p \mid a_0, q \mid a_0$ .

**Definition 11.1** (Galois extension). A field extension  $k \subset L$  is Galois if for all  $x \in L$ , the minimal polynomial  $f(x) \in k[x]$  splits into a linear factor without repeated roots.

**Definition 11.2** (normal extension). An extension  $k \subset K$  is normal if f has a root in K if and only if f splits completely into linear factors over K. An extension that is normal and separable is Galois.

**Theorem 11.1.** Suppose  $k \subset L$  is Galois,

$$\{k \subset M \subset L\} \stackrel{\text{one-to-one}}{\Longleftrightarrow} \{\text{Subgroups of } \operatorname{Gal}(L/k)\}$$

Moreover, the order of the Galois group is the degree of the field extension.

$$|Gal(L/k)| = [L:k]$$

**Proposition 11.2.** Let G be a Galois group of a polynomial f of degree 4, and |G| = 8, then

$$G \cong D_8$$

*Proof.* We know that G permutes the four roots of f, i.e., G embeds into  $S_4$ . Since |G| = 8, we know G is a Sylow-2 subgroup of  $S_4$ , and all Sylow-2 subgroups are conjugates (isomorphic to one another), i.e.,

$$G \cong D_8$$

as desired.  $\Box$ 

**Proposition 11.3.** Let  $k \subset K$  be a Galois extension, then the intermediate field extensions  $k \subset E \subset K$  is determined by the subgroups of  $\operatorname{Gal}(K/k)$ . Namely, let E be an intermediate extension, there exists a subgroup H of  $\operatorname{Gal}(K/k)$  that fixes E. This extension is normal if and only if H is normal. And E/k is Galois if and only if H is normal.

**Problem 11.1** (S2009-Q3). Consider the field  $K = \mathbb{Q}(\sqrt{a})$  where  $a \in \mathbb{Z}, a < 0$ . Show that K cannot be embedded in a cyclic extension whose degree over  $\mathbb{Q}$  is divisible by 4.

*Proof.* Suppose K embedes into a degree 4n extension L, and

$$\operatorname{Gal}(L/\mathbb{Q}) = \frac{\mathbb{Z}}{4n\mathbb{Z}}$$

Since K is a degree 2 extension of  $\mathbb{Q}$ , thus L/K is a degree 4n/2 Galois extension, with Galois group

$$\operatorname{Gal}(L/K) = \frac{2\mathbb{Z}}{4n\mathbb{Z}}$$

We notice that  $\sqrt{a}$  is complex, hence the complex conjugation  $\tau$  is in  $\mathrm{Gal}(L/\mathbb{Q})$ , i.e., it is an order 2 element in  $\frac{\mathbb{Z}}{4n\mathbb{Z}}$ , it is therefore [2n] i.e.,

$$\tau \in \frac{2\mathbb{Z}}{4n\mathbb{Z}} = \operatorname{Gal}(L/\mathbb{Q})$$

This implies  $\tau$  fixed K, however  $\tau(\sqrt{a}) \neq \sqrt{a}$ , hence a contradiction.

**Problem 11.2** (F2000-Q4). Let G be a finite group. Show that there exists a Galois field extension K/k whose Galois group is isomorphic to G.

*Proof.* Embed any group into  $S_n$ , and  $S_n$  embeds into  $S_p$  for p large enough.

#### 11.1 Problems

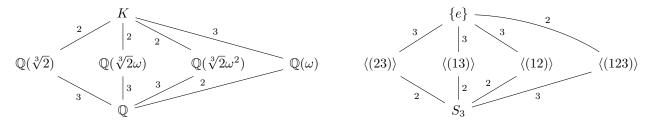
**Problem 11.3** (S2001-Q2). Let *K* be the splitting field of  $f(X) = X^3 - 2$  over  $\mathbb{Q}$ .

- (a) Determine an explicit set of generators for K over  $\mathbb{Q}$ .
- (b) Show that the Galois group  $G(K/\mathbb{Q})$  of K over  $\mathbb{Q}$  is isomorphic to the symmetric group  $S_3$ .
- (c) Provide the complete list of intermediate fields k,  $\mathbb{Q} \subseteq k \subseteq K$ , satisfying  $[k : \mathbb{Q}] = 3$ .
- (d) Which of the fields determined in (c) are normal extensions of  $\mathbb{Q}$ ?

*Proof.* (a) The set of generators is

$$\left\{\sqrt[3]{2}, e^{\frac{2\pi i}{3}}\right\}$$

- (b) The Galois group is a subgroup of  $S_3$ , hence it suffices to show G has order 6, i.e., the extension is of degree 6.
- (c) The following is the **complete** subgroup lattice of  $S_3$  and subfield lattice:



Thus all the  $\mathbb{Q} \subset k$  such that  $[k : \mathbb{Q}] = 3$  are

$$\{\mathbb{Q}(\sqrt[3]{2}), \mathbb{Q}(\sqrt[3]{2}\omega_3), \mathbb{Q}(\sqrt[3]{2}\omega_3^2)\}$$

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(d) None of the above are normal because the subgroups

$$\{\langle (12)\rangle, \langle (13)\rangle, \langle (23)\rangle\}$$

are all Sylow 2-subgroups of  $S_3$ , hence all conjugates to one another, i.e., not normal.

**Problem 11.4** (F2001-Q4). Let  $K := \mathbb{Q}(\sqrt{3} + \sqrt{5})$ .

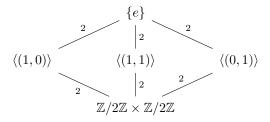
- (a) Show that K is the splitting field of  $X^4 6X^2 + 4$ .
- (b) Find the structure of the Galois group of  $K/\mathbb{Q}$ .
- (c) List all the fields k, satisfying  $\mathbb{Q} \subseteq k \subseteq K$ .
- *Proof.* (a) I believ there is typo in (a) where the polynomial should be  $f(X) = X^4 16X^2 + 4$ . This is the minimal polynomial of  $\sqrt{3} + \sqrt{5}$ . We see that  $\mathbb{Q}(\sqrt{3} + \sqrt{5}) = \mathbb{Q}(\sqrt{3}, \sqrt{5})$ , hence it contains all the roots of f.
  - (b) We let  $\alpha = \sqrt{3} + \sqrt{5}$ , and  $\beta = \sqrt{3} \sqrt{5}$ , then we see Galois group permutes

$$\{\alpha, -\alpha, \beta, -\beta\}$$

and we have  $\alpha\beta \in \mathbb{Q}$ . Thus just like the above, we have

$$\operatorname{Gal}(K/\mathbb{Q}) = \frac{\mathbb{Z}}{2\mathbb{Z}} \times \frac{\mathbb{Z}}{2\mathbb{Z}}$$

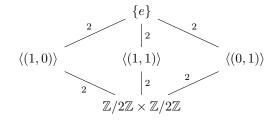
(c) We know the intermediate fields are determined by the subgroup of  $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ .

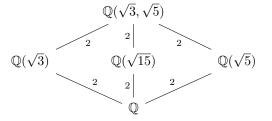


and let (1,0) be the element such that

$$(1,0)\cdot(\sqrt{3}+\sqrt{5})=\sqrt{3}-\sqrt{5}$$

then we have the corresponding lattice of subfields





So all intermediate fields are

$$\left\{ \mathbb{Q}(\sqrt{3}), \mathbb{Q}(\sqrt{15}), \mathbb{Q}(\sqrt{5}) \right\}$$

**Problem 11.5** (F2013-Q5). Compute the Galois group of  $f(x) = x^4 + 1$  over  $\mathbb{Q}$ .

*Proof.* The splitting field for f is  $\mathbb{Q}(\xi_8)$  where  $\xi_8 = e^{\frac{2\pi i}{8}}$ , and the Galois group

$$Gal(\mathbb{Q}(\xi_8)/\mathbb{Q}) \cong (\mathbb{Z}/8\mathbb{Z})^{\times}$$

thus

$$(\mathbb{Z}/8\mathbb{Z})^{\times} \cong \frac{\mathbb{Z}}{2\mathbb{Z}} \times \frac{\mathbb{Z}}{2\mathbb{Z}}$$

Alternatively, we can find  $K = \mathbb{Q}(i, \sqrt{2})$ , then  $\operatorname{Gal}(F/\mathbb{Q}) \cong \frac{\mathbb{Z}}{2\mathbb{Z}} \times \frac{\mathbb{Z}}{2\mathbb{Z}}$ .

Problem 11.6 (F2016-Q4).

- (1) Determine the Galois group of  $x^4 4x^2 2$  over  $\mathbb{Q}$ .
- (2) Let G be a group of order 8 such that G is the Galois group of a polynomial of degree 4 over  $\mathbb{Q}$ . Show that G is isomorphic to the Galois group in part (1).

*Proof.* (a) There are four roots of this polynomial

$$\{\alpha, -\alpha, \beta, -\beta\}$$

where

$$\alpha = \sqrt{2 + \sqrt{6}}, \beta = \sqrt{2 - \sqrt{6}}$$

Thus the Galois group embeds into  $S_4$ . Notice that

$$\alpha\beta = \sqrt{2}i$$

Thus we see the Galois extension has degree 8:

$$\mathbb{Q}(\sqrt{2+\sqrt{6}},\sqrt{2}i)$$

$$\begin{vmatrix} 2 \\ \mathbb{Q}(\sqrt{2+\sqrt{6}}) \\ 4 \\ \mathbb{O} \end{vmatrix}$$

Notice that the Galois grop G is an order 8 subgruop of  $S_4$ , which implies that G is a Sylow 2 subgroup, and all Sylow 2 subgruops are isomorphic:

$$G \cong D_8$$

(b) Notice that we need to check that f is irreducible, then we can embed Gal into  $S_4$ . Suppose that it is not irreducible, then either  $f = g_1g_2, g_i$  is quadratic, or f = g(x)(x-a), for some  $a \in \mathbb{Q}$ . In former case, we see Gal embeds in  $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ , so cannot be of order 8; similarly for cubic+linear,  $S_3$  does not have subgroup of order 8. Hence a degree 4 polynomial with Galois group of order 8 must be irreducible.

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**Problem 11.7** (S2008-Q3). Let K be the splitting field of the polynomial  $X^4 - 6X^2 - 1$  over  $\mathbb{Q}$ .

- (a) Compute  $Gal(K/\mathbb{Q})$ .
- (b) Determine all intermediate fields that are Galois over Q.

Proof. (a) This computation is exactly same as above, as we have the four roots

$$\left\{\pm\sqrt{3+\sqrt{10}},\pm\sqrt{3-\sqrt{10}}\right\}$$

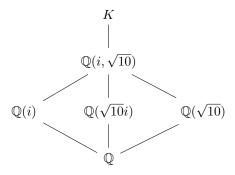
and we see that  $\alpha\beta = i$ , thus the Galois group  $Gal(K/\mathbb{Q})$  has order 8, and embeds into  $S_4$ , thus

$$Gal(K/\mathbb{Q}) \cong D_8$$

(b) There are 10 subgroups of  $D_8$ , and 6 of them are normal. Let

$$r: \alpha \mapsto \beta, s: i \mapsto i$$

Then we see, for example,  $r^2$  fixes i and  $\sqrt{10}$ , thus we must have the lattice



Problem 11.8 (S2010-Q3). Compute Galois groups of the following polynomials.

- (a)  $x^3+t^2x-t^3$  over k, where  $k=\mathbb{C}(t)$  is the field of rational functions in one variable over complex numbers  $\mathbb{C}$ .
- (b)  $x^4 14x^2 + 9$  over  $\mathbb{Q}$ .
- (a) The polynomial completely factors over  $\mathbb{C}(t)$ , so the Galois group is  $\{e\}$ . Try taking  $x=\lambda t$ , then solving for  $\lambda$ , which splits into linear factors because  $\mathbb{C}$  is algebraically closed.
  - (b) The roots are

$$\left\{\pm\sqrt{7\pm2\sqrt{10}}\right\}$$

and  $\alpha\beta \in \mathbb{Q}$  again, hence the Galois group is  $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ .

**Problem 11.9** (S2013-Q6). Let *K* be the splitting field of  $x^6 - 5$  over  $\mathbb{Q}$ .

- (a) Prove that  $x^6 5$  is irreducible over  $\mathbb{Q}$ .
- (b) Compute the Galois group of K over  $\mathbb{Q}$ .
- (c) Describe an intermediate field F such that F is not  $\mathbb{Q}$  or K and  $F/\mathbb{Q}$  is Galois.

*Proof.* (a) By Eisenstein.

(b) We know  $K = \mathbb{Q}(\sqrt[6]{5}, \zeta_6)$ , where  $\zeta_6$  is the 6th root of unity. The roots are

$$\left\{\sqrt[6]{5}, \sqrt[6]{5}\zeta_6, \dots, \sqrt[6]{5}\zeta_6^5\right\}$$

Note that the minimal polynomial for  $\zeta_6$  is  $x^2-x+1$ , so the size of  $\mathrm{Gal}(K/\mathbb{Q})$  is 12. We see that any  $\sigma \in \mathrm{Gal}(K/\mathbb{Q})$  is determined by where it sends  $\sqrt[6]{5}$  and  $\zeta_6$ , so we only need to compute the possibilities of them. The Galois action is transitive implies that there  $\sqrt[6]{5}$  can be sent to any  $\sqrt[6]{5}\zeta_6^k$ , where k=0,1,2,3,4,5, and since  $\zeta_6$  has minimal polynomial

$$x^2 - x + 1$$

Then there are two possibilities for  $\zeta_6 \mapsto \zeta_6, \bar{\zeta}_6$ , where  $\bar{\zeta}_6 = \zeta_6^5$ . Now we see that

$$Gal(K/Q) = D_{12}$$

as it is generated by

$$\sigma: \sqrt[6]{5} \mapsto \zeta_6 \sqrt[6]{5}, \zeta_6 \mapsto \zeta_6, \quad \tau: \sqrt[6]{5} \mapsto \sqrt[6]{5}, \zeta_6 \mapsto \zeta_6^5$$

satisfying  $\tau \sigma = \tau \sigma^{-1}$ . (One can draw a hexagon)

(c)  $F/\mathbb{Q}$  corresponds to a normal subgroup of  $D_{12}$ . Any subgroup of 6 is normal, i.e., the subgroup

$$\{e, \sigma, \dots, \sigma^5\}$$

This subgroup fixes the field  $\mathbb{Q}(\zeta_6)$ . Hence it corresponds to

$$F = \mathbb{Q}(\zeta_6)$$

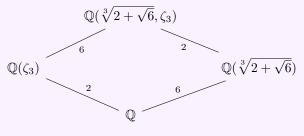
**Problem 11.10** (S2016-Q3). Determine the Galois group of  $x^6 - 10x^3 + 1$  over  $\mathbb{Q}$ .

*Proof.* This is the same process as above, the roots are

$$\left\{ \zeta_3^i \sqrt[3]{5 \pm 2\sqrt{6}} : i = 0, 1, 2 \right\}$$

The order of the Galois group G is 12, but now we need another trick.

**Lemma 11.1.** Transitive subgroup of  $S_6$  of order 12 can only be  $D_{12}$  or  $A_4$ . However,  $A_4$  has no index 2 subgroups, i.e., this Galois extension cannot have a subfield extension of degree 2 over  $\mathbb{Q}$ , this gives that G must be  $D_{12}$ :



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**Problem 11.11** (F2010-Q3). Let  $K = \mathbb{Q}(\sqrt[8]{2}, \sqrt{-1})$  and  $F = \mathbb{Q}(\sqrt{-2})$ . Show that K is Galois over F and determine the Galois group Gal(K/F).

*Proof.* Since  $\sqrt{2} = \zeta_8^4$ , we see F is a subfield such that

$$\mathbb{Q}\subset F\subset K$$

The Galois group can be computed to be  $Q_8$ .

**Problem 11.12** (F2015-Q2). The dihedral group  $D_{2n}$  is the group on two generators r and s, with respective orders o(r) = n and o(s) = 2, subject to the relation rsr = s.

- (a) Calculate the order of  $D_{2n}$ .
- (b) Let K be the splitting field of the polynomial  $x^8 2$ . Determine whether the Galois group  $Gal(K/\mathbb{Q})$  is dihedral (i.e., isomorphic to  $D_{2n}$  for some n).

*Proof.* (a) Because of the relation  $srs = r^{-1}$ , we can express all the terms in  $D_{2n}$  as

$$r^k s^m$$

where  $0 \le k \le n-1, m=0,1$ . Hence there are 2n elements.

(b) It is not  $D_{16}$ , you can compute the number of elements of each order.

**Proposition 11.4** (S2019-Q1). Any transitive subgroup of  $A_5$  is isomorphic to one of the following groups:

- (a) the cyclic group  $\mathbb{Z}/5\mathbb{Z}$ ,
- (b) the dihedral group  $D_5$ ,
- (c)  $A_5$ .

**Problem 11.13** (F2017-Q4). Compute the Galois group of  $x^5 - 10x + 5$  over  $\mathbb{Q}$ .

Proof. 
$$S_5$$
.

**Problem 11.14** (F2004-Q3). Let  $f(x) = x^5 - 9x + 3$ . Determine the Galois group of f over  $\mathbb{Q}$ .

Proof.  $S_5$ .

**Problem 11.15** (F2006-Q2). Let f be a polynomial in  $\mathbb{Q}[x]$ . Let E be a splitting field of f over  $\mathbb{Q}$ . For the following cases, determine whether E is solvable by radicals. (i.e., whether the Galois group is solvable or not).

- (1)  $f(x) = x^4 4x + 2$ .
- (2)  $f(x) = x^5 4x + 2$ .

*Proof.* (1) It is a subgroup of  $S_4$ , so solvable.

(2) The Galois group is  $S_5$ , so not solvable.

**Proposition 11.5.** Any group of order < 60 is solvable.

**Problem 11.16** (S2011-Q3). Determine the Galois group of the splitting field of each of the following polynomials over  $\mathbb{Q}$ :

- (a)  $f(x) = x^4 9x^3 + 9x + 4$ ,
- (b)  $g(x) = x^5 6x^2 + 2$ .

*Proof.* For (a): do the modulo thing to find different cycle types. (b) is  $S_5$  as usual.

#### Problem 11.17 (F2014-Q1).

- (a) Let  $S_n$  be the symmetric group (permutation group) on n objects. Prove that if  $\sigma \in S_n$  is an n-cycle and  $\tau \in S_n$  is a transposition (i.e., a 2-cycle), then  $\sigma$  and  $\tau$  generate  $S_n$ .
- (b) Let  $f_a(x)$  be the polynomial  $x^5 5x^3 + a$ . Determine an integer a with  $-4 \le a \le 4$  for which  $f_a$  is irreducible over  $\mathbb Q$ , and the Galois group of [the splitting field of]  $f_a$  over  $\mathbb Q$  is  $S_5$ . Then explain why the equation  $f_a(x) = 0$  is not solvable in radicals.
- (a) It suffices to assume that the n cycle is (1 ... n) (up to rearranging the terms), and the transposition is (12). One can show that conjugation gives all the transpositions, hence generate  $S_n$ .
- (b) Take a=1, then  $f_a(x)$  is irreducible: it doesn't have a root by the Rational Root Theorem and cannot be factored into lower degree polynomial by term matching. Moreover, we see that  $f_a'(x)$  has 3 roots, by Rolle's theorem, there are at most 4 real roots, this implies that there exists a complex root  $r_1$ , and since this has odd degree, it must also exist a real root  $r_2$ . This shows that there exists an element in the Galois group that has order 5 and a transposition (sending conjugate complex roots to each other). Thus by (a), since the Galois group is a subgroup of  $S_5$ , we must have it equal to  $S_5$ .

**Problem 11.18** (F2009-Q3). Determine the Galois group of  $x^4 - 4x^2 + 7x - 3$  over  $\mathbb{Q}$ .

*Proof.*  $f \mod 2$  is irreducible of degree 4, hence there is a 4-cycle. And  $f \mod 3$  gives a 3-cycle. This implies the galois group has order at least 12, inside of  $S_4$ , this means  $A_4$  or  $S_4$ , but it cannot be  $A_4$  because it contains no 4-cycle.

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**Problem 11.19** (S2012-Q3). In this problem, G denotes the group  $S_5 \times C_2$ , where  $S_5$  is the symmetric group on five letters and  $C_2$  is the cyclic group of order 2.

- (a) Determine all normal subgroups of G.
- (b) Give an example of a polynomial with rational coefficients whose Galois group is G, deducing that from basic principles.

*Proof.* Consider 
$$(x^5 - 4x - 2)(x^2 - 3)$$
.

**Problem 11.20** (F2015-Q4). Let  $H = S_3 \times S_5$ .

- (a) Determine all normal subgroups of H. Make sure you have them all! What would be different if H were replaced by  $S_2 \times S_5$ ?
- (b) Describe, in full detail, the construction of a polynomial with rational coefficients, whose Galois group is isomorphic to H.

*Proof.* Consider 
$$(x^5 - 4x - 2)(x^3 - 2)$$
.