

# Algebraic Topology

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# Chapter 1

## Category Theory

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### 1.1 Lecture 1 8/26

**Definition 1.1 (Category).** A category  $\mathcal{C}$  consists of the following data:

1. A collection of objects denoted as  $\text{Ob}(\mathcal{C})$
2. Given two objects  $X, Y \in \text{Ob}(\mathcal{C})$ , a collection of morphisms between  $X, Y$ ,  $f : X \rightarrow Y$ , denoted as  $\text{mor}_{\mathcal{C}}(X, Y)$ .
3. (Composition) We have  $\text{mor}_{\mathcal{C}}(X, Y) \times \text{mor}_{\mathcal{C}}(Y, Z) \rightarrow \text{mor}_{\mathcal{C}}(X, Z)$  that satisfies associativity

$$f \circ (g \circ h) = (f \circ g) \circ h$$

4. (Identity) There is a distinguished morphism for each  $X$ ,  $\text{id}_{\mathcal{C}}(X, X)$  such that given any  $f \in \text{mor}_{\mathcal{C}}(X, Y)$ , we have  $f \circ \text{id}_X = \text{id}_Y \circ f = f$ .

In this course, we will make the assumption that in all the categories that we work with,  $\text{Ob}(\mathcal{C})$  need not be a set, but given any  $X, Y \in \text{Ob}(\mathcal{C})$ ,  $\text{mor}(X, Y)$  will always be a set. Now we talk about some examples of categories.

**Example 1.1 (Sets).** Let  $\text{Ob}(\text{Sets})$  be all the sets in the universe. Given  $X, Y$  sets,  $\text{mor}(X, Y)$  be all the set maps from  $X$  to  $Y$ , and  $\text{id}_X$  is the identity map.

**Example 1.2 (Top).** Let  $\text{Ob}(\text{Top})$  be all the topological spaces, and  $\text{mor}(X, Y)$  be all the continuous maps from  $X$  to  $Y$ .

**Example 1.3 ( $\text{Vect}_{\mathbb{F}}$ ).** Let  $\mathbb{F}$  be a field, and let  $\text{Ob}$  be all the  $\mathbb{F}$ -vector spaces. Then  $\text{mor}(V, W)$  is all the  $\mathbb{F}$ -linear homomorphisms from  $V$  to  $W$ , where  $\text{id}_V$  is the identity homomorphism.

**Example 1.4 (Posets).** Fix a poset  $P$ , let  $\text{Ob}(P)$  be the collection of elements in  $P$ , and given  $p, q$  we define

$$\text{mor}(p, q) = \begin{cases} *, & \text{if } q \leq p \\ \emptyset, & \text{otherwise} \end{cases}$$

**Problem 1.1. HW(Q1): check this is a category**

**Example 1.5 (Opposite category).** Given a category  $\mathcal{C}$ , there is another category called the opposite category, denoted as  $\mathcal{C}^{op}$ , where

1. The objects are the same as  $\mathcal{C}$
2. Given  $X, Y \in \text{Ob}(\mathcal{C}^{op})$ , we have  $\text{mor}_{op}(X, Y) := \text{mor}_{\mathcal{C}}(Y, X)$ .
3. Moreover, given  $f \in \text{mor}_{op}(X, Y), g \in \text{mor}_{op}(Y, Z)$ , then  $g \circ f$  in  $\mathcal{C}^{op}$  is  $f \circ g : Z \rightarrow X$ .

Naturally, we define isomorphisms now.

**Definition 1.2 (isomorphism).** Given a category  $\mathcal{C}$ , and a morphism  $f \in \text{mor}_{\mathcal{C}}(X, Y)$ , we say  $f$  is an isomorphism if there exists  $g \in \text{mor}_{\mathcal{C}}(Y, X)$  such that

$$f \circ g = Id_Y, g \circ f = Id_X$$

Now we introduce maps between categories.

**Definition 1.3 (functor).** Given categories  $\mathcal{C}, \mathcal{D}$ , a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is the following;

1. Given an object  $X$  in  $\mathcal{C}$ ,  $F(X)$  is an object in  $\mathcal{D}$ .
2. Given a morphism  $f : X \rightarrow Y$ ,  $F(f)$  is a functor  $F(f) : F(X) \rightarrow F(Y)$ . Moreover, it satisfies the following:
  - (a)  $F(id_X) = id_{F(X)}$
  - (b)  $F(f \circ g) = F(f) \circ F(g)$ . Alternatively, we can rewrite this condition as the following:

$$\begin{array}{ccc} \text{mor}(X, Y) \times \text{mor}(Y, Z) & \longrightarrow & \text{mor}(X, Z) \\ \downarrow \text{mor}(F) \times \text{mor}(F) & & \downarrow \text{mor}(F) \\ \text{mor}(F(X), F(Y)) \times \text{mor}(F(Y), F(Z)) & \longrightarrow & \text{mor}(F(X), F(Z)) \end{array}$$

such that this diagram commutes.

**Problem 1.2. HW(Q2): functors take isomorphisms to isomorphisms.**

Now we talk about some examples of functors.

**Example 1.6.**  $F : \text{Top} \rightarrow \text{Set}$ , where  $X \mapsto X$ , where the latter is a set, and  $f \mapsto f$  as set maps.

**Example 1.7.** Let  $\mathbb{F}$  be a field, and  $F : \text{Sets} \rightarrow \text{Vect}_{\mathbb{F}}$ , where  $X \mapsto \mathbb{F}\langle X \rangle$ , where  $\mathbb{F}\langle X \rangle$  is the free vector space over  $\mathbb{F}$  on the set  $X$ .

**Problem 1.3. HW(Q3): extend this to a functor by defining  $\text{mor}(f)$  and show this is a functor.**

**Example 1.8.** Let  $\mathbb{F}$  be a field, then the following is a functor,  $F : \text{Sets}^{op} \rightarrow \text{Vect}_{\mathbb{F}}$ , where

$$hF : X \mapsto \text{Maps}(X, \mathbb{F})$$

**Problem 1.4. HW(Q4):** show this extends to a functor by defining  $F(f)$ , and show it is a functor.

## 1.2 Lecture 2 8/28

**Definition 1.4 (contravariant functor).** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  is a contravariant functor from  $\mathcal{C}^{op} \rightarrow \mathcal{D}$ , (equivalently,  $\mathcal{C} \rightarrow \mathcal{D}^{op}$ ).

**Problem 1.5. HW(Q5):** Show that the following functor  $F$  from  $\text{Vect}_{\mathbb{F}}$  to  $\text{Vect}_{\mathbb{F}}$  extends to a contravariant functor, where

$$Ob_F : V \mapsto V^* = \text{Hom}(V, \mathbb{F})$$

i.e., define the morphism function and show it is a contravariant functor.

We remark that we can define a category of categories: let  $Cat$  be the category of categories, with morphisms as functors, and note that objects or morphisms in this case are both not sets!

**Definition 1.5 (natural transformation).** Given functors  $F, G : \mathcal{C} \rightarrow \mathcal{D}$ , a natural transformation  $T$  from  $F$  to  $G$  is the following:  $T : F \Rightarrow G$ :

1. given object  $X \in Ob(\mathcal{C})$ ,  $T(X) \in mor(F(X), G(X))$
2. Given  $f \in mor(X, Y)$ , the following diagram commutes:

$$\begin{array}{ccc} F(X) & \xrightarrow{F(f)} & F(Y) \\ T(X) \downarrow & & \downarrow T(Y) \\ G(X) & \xrightarrow{G(f)} & G(Y) \end{array}$$

where  $mor_F, mor_G$  is the identification function on morphisms by functors  $F, G$

If for all  $X$ ,  $T(X)$  is an isomorphism, then this natural transformation is called a natural isomorphism.

In other words, this natural transformation is how one takes a functor  $F$  and turn it to another functor  $G$ . We will (in a homework) show there exists natural transformation between the following two functors.

**Example 1.9.** Consider  $F, G : \text{Vect}_{\mathbb{F}} \rightarrow \text{Vect}_{\mathbb{F}}$ , define

$$F(V) = V \otimes_{\mathbb{F}} V / \langle a \otimes b - b \otimes a \rangle = V \otimes_{\mathbb{F}} V / \Sigma_2, G(V) = (V \otimes_{\mathbb{F}} V)^{\Sigma_2} = \{ \alpha \in V \otimes_{\mathbb{F}} V : \sigma(\alpha) = \alpha \}$$

Both are vector spaces are fixed under “swaps.” Then a natural transformation can be defined as follows  $T(V) :$

$$T(V) : a \otimes b \mapsto a \otimes b + b \otimes a$$

**Problem 1.6. HW(Q6):** For the above  $F, G$

1. Show that  $T$  defines a natural transformation from  $F$  to  $G$ .
2. Find conditions on  $\mathbb{F}$  for  $T$  being a natural isomorphism.

Next we define limits and colimits. Let  $\mathcal{C}, \mathcal{D}$  be categories,  $d$  be an object in  $\mathcal{D}$ , then we can define a functor  $F_d : \mathcal{C} \rightarrow \mathcal{D}$  such that for any object  $c$  in  $\mathcal{C}$ ,

$$F_d(c) = d, F_d(f) = Id_d$$

In other words, this is the “constant functor” on  $\mathcal{D}$ , i.e., every object is sent to  $d$ , and every morphism is sent to  $id_d$ .

**Definition 1.6 (colimit).** Given any functor  $F : \mathcal{C} \rightarrow \mathcal{D}$ , the colimit of  $F$ , denoted as  $\text{colim}(F)$  is an object in  $\mathcal{D}$  endowed with a natural transformation:

$$\varphi_F : F \Rightarrow F_{\text{colim}(F)}$$

such that given any other object  $d$  in  $\mathcal{D}$  and a natural transformation

$$\varphi : F \Rightarrow F_d$$

there exists a unique morphism in  $\mathcal{D}$ ,  $f : \text{colim}(F) \rightarrow d$  making the following diagram commute: for any  $X, Y, g$ :

$$\begin{array}{ccc} F(X) & \xrightarrow{F(g)} & F(Y) \\ & \searrow \varphi_F & \swarrow \varphi_F \\ & \text{colim}(F) & \\ & \downarrow f & \\ & d & \end{array}$$

(Note: In the original image, the arrows from  $F(X)$  and  $F(Y)$  to  $d$  are labeled  $\varphi$ , and the arrow from  $\text{colim}(F)$  to  $d$  is labeled  $f$  in red.)

Next we prove some facts about colimits and give an example, where  $\text{colim}(F)$  exists.

**Proposition 1.1.** If  $\text{colim} F$  exists, then  $\text{colim} F$  is unique up to isomorphisms.

*Proof.* Let  $\text{colim}(F), \text{colim}(F)'$  be two colimits that satisfy the criteria. They are both objects in  $\mathcal{D}$ , then we get a morphism  $f : \text{colim}(F) \rightarrow \text{colim}(F)'$ , and likewise  $g : \text{colim}(F)' \rightarrow \text{colim}(F)$ , then

$$f \circ g : \text{colim}(F)' \rightarrow \text{colim}(F)'$$

is the only morphism, and is the identity morphism. Similarly for  $g \circ f$ . □

Next we demonstrate a fact via an example.

**Theorem 1.1.** Let  $\mathcal{C}$  be a category where  $Ob(\mathcal{C}), mor(X, Y)$  are all sets. Let  $F : \mathcal{C} \rightarrow \text{Top}$  be any functor, then  $\text{colim}(F)$  exists.

*Proof.* Define  $\text{colim}(F) := \bigsqcup_c F(c) / \sim$ , where  $\sim$  is induced by the equivalence relation given by

$$y \sim F(f)y$$

where  $y \in F(C_1), f : C_1 \rightarrow C_2, F(f)x \in F(C_2)$ . The natural transformation we endow on  $F$  as  $\varphi_F : F \Rightarrow F_{\text{colim}(F)}$ :

$$\varphi_F : F(C) \mapsto \bigsqcup_{C \in Ob(\mathcal{C})} F(C) / \sim$$

□

**Problem 1.7. HW(Q7):** Show that  $\text{colim}(F), \varphi_F$  is indeed a colimit.

We note that colimits also exist (the same argument goes through) if we replace Top with groups, sets, but with slightly different constructions, replacing disjoint unions with products, etc.

**Definition 1.7 (limit).** Given a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$ , the limit of  $F$ , denoted as  $\lim(F)$  is an object of  $\mathcal{D}$ , endowed with a natural transformation:

$$\varphi_F : F_{\lim(F)} \Rightarrow F$$

such that given any other object  $d \in \text{Ob}(\mathcal{D})$  and a natural transformation

$$\varphi : F_d \rightarrow F$$

there exists a unique  $f : \lim F \rightarrow d$  such that the following diagram commutes:

$$\begin{array}{ccc}
 & \lim F & \\
 \varphi_F \swarrow & \downarrow f & \searrow \varphi_F \\
 & d & \\
 \varphi \swarrow & & \searrow \varphi \\
 F(X) & \xrightarrow{F(g)} & F(Y)
 \end{array}$$

Just like colimits, limits are unique up to isomorphisms.

**Problem 1.8. HW(Q8):** Given  $F : \mathcal{C} \rightarrow \mathcal{D}$ , consider  $F^{op} : \mathcal{C}^{op} \rightarrow \mathcal{D}^{op}$ , then

$$\lim F = \text{colim} F^{op}$$

The above problem is interpretation of diagrams and essentially we just reverse all the maps.

## 1.3 Lecture 3 9/4

Today we define (co)chain complexes: let  $R$  be a commutative ring, let  $\text{Mod}_R$  denote the category of  $R$ -modules and  $R$ -module maps.

**Definition 1.8 (chain complex).** A chain complex of  $R$ -modules is a collection of  $R$ -modules and  $R$ -modules maps

$$\cdots \rightarrow M_{i+1} \xrightarrow{\partial_{i+1}} M_i \xrightarrow{\partial_i} M_{i-1} \xrightarrow{\partial_{i-1}} \cdots$$

such that  $\partial_i \circ \partial_{i+1} = 0$  for all  $i$ . In other words, the image of previous map is contained in the kernel of the subsequent map. In short, we have

$$\partial^2 = 0$$

We will denote a chain complex by  $\{M.; \partial.^M\}$ .

Next we introduce morphisms between chain complexes.

**Definition 1.9 (morphism between complexes).** Let  $\{M.; \partial.^M\}, \{N.; \partial.^N\}$ , a morphism  $\{f.\}$  between chain complexes is a “ladder” such that the following commutes:

$$\begin{array}{ccccccc} \dots & \longrightarrow & M_{i+1} & \xrightarrow{\partial_{i+1}^M} & M_i & \xrightarrow{\partial_i^M} & M_{i-1} \longrightarrow \dots \\ & & & & & & \\ \dots & \longrightarrow & N_{i+1} & \xrightarrow{\partial_{i+1}^N} & N_i & \xrightarrow{\partial_i^N} & N_{i-1} \longrightarrow \dots \end{array}$$