## Aluffi Problems

Hui Sun

August 16, 2025

## **Contents**

1	Category Theory	3
2	Groups I	4
3	Rings and Modules	8
4		14 17 20 21 23 25
5	Ring Theory II, Irreducibility of Polynomials 5.1 factorizations 5.2 UFD, PID, ED 5.3 5.4 5.5 Irreducibility 5.6 CRT	27 28 29 30 30 32
6	Linear Algebra I6.1 Basis6.2 Nakayama's Lemma6.3 Invariants6.4 Classification of Finitely Generated Modules over PID	33 34 35 38
7	Fields         7.1          7.2	39 39 44
8	Linear Algebra II  8.1 Tensor and Hom	46 46 47

# Chapter 1

# **Category Theory**

## Chapter 2

## **Groups I**

**Problem 2.1** (1.8). Let G be a finite abelian group with exactly one element f of order 2. Prove that  $\prod_{g \in G} g = f$ .

*Proof.* It suffices to see that  $\prod_g g^2 = e$ , which is true by every element has an inverse.

**Problem 2.2** (1.13). Give an example showing that |gh| is not necessarily equal to lcm(|g|, |h|), even if g and h commute.

*Proof.* Let  $g = h = 1 \in \mathbb{Z}/2\mathbb{Z}$ .

**Problem 2.3** (1.14). If g and h commute and gcd(|g|,|h|)=1, then |gh|=|g||h|. (Hint: Let N=|gh|; then  $g^N=(h^{-1})^N$ . What can you say about this element?)

*Proof.* We know that  $g^N = (h^{-1})^N = e$ .

**Problem 2.4** (6.7). If Aut(G) is cyclic, then G is abelian.

*Proof.* This implies Inn(G) is cyclic, which is iff Inn(G) is trivial, iff G is abelian.

**Problem 2.5** (6.9). Prove that every finitely generated subgroup of  $\mathbb{Q}$  is cyclic. Prove that  $\mathbb{Q}$  is not finitely generated.

*Proof.* Suppose we just have  $H = \left\langle \frac{p_1}{q_1}, \frac{p_2}{q_2} \right\rangle$ , find  $lcm(q_1, q_2) = q$ , then

$$H = \left\langle \frac{a_1}{q}, \frac{a_2}{q} \right\rangle$$

find  $gcd(a_1, a_2) = p$ , we claim that

$$H = \left\langle \frac{p}{q} \right\rangle$$

If  $\mathbb Q$  were to be finitely generated, then it is cyclic,  $\mathbb Q=\langle \frac{p}{q}\rangle$ , then try (p+1)/q.

Problem 2.6 (8.1). If a group H may be realized as a subgroup of two groups  $G_1$  and  $G_2$  and if

$$\frac{G_1}{H} \cong \frac{G_2}{H},$$

does it follow that  $G_1 \cong G_2$ ? Give a counterexample.

*Proof.* Let  $G_1 = S_3, G_2 = \mathbb{Z}/6\mathbb{Z}$ , and  $H = \mathbb{Z}/3\mathbb{Z}$ .

**Problem 2.7** (8.2). Suppose G is a group and  $H \subseteq G$  is a subgroup of index 2, that is, such that there are precisely two cosets of H in G. Prove that H is normal in G.

*Proof.* For any  $g \notin H$ , we have

$$G = H \sqcup qH = H \sqcup Hq$$

Thus gH = Hg.

**Problem 2.8** (8.13). Let G be a finite group, and assume |G| is odd. Prove that every element of G is a square.

*Proof.* Consider the set function  $\varphi: g \mapsto g^2$ , this function is injective hence surjective.

**Problem 2.9** (8.18). Let G be an abelian group of order 2n, where n is odd. Prove that G has exactly one element of order 2. (It has at least one, for example by Exercise [8.17]. Use Lagrange's theorem to establish that it cannot have more than one.) Does the same conclusion hold if G is not necessarily commutative?

*Proof.* There exists one element g of order 2, then take its quotient  $G/\langle g \rangle$ .

**Problem 2.10** (9.11). Let G be a finite group, and H be subgroup of index p, where p is the smallest prime dividing |G|, then H is normal in G.

*Proof.* (I will abuse the notatoin  $\left|\frac{G}{H}\right|=[G:H]$ ). Let G act on the cosets G/H by left multiplication, this action  $\sigma:G\to \operatorname{Aut}(G/H)$  is not trivial, hence

$$\left| \frac{G}{\ker(\sigma)} \right|$$
 divides  $p!$ 

Moreover, we notice that  $\ker(\sigma) \subset H$ , hence p divides  $\left|\frac{G}{\ker(\sigma)}\right|$ . Now we recall that p is the smallest prime dividing |G|, we must have  $\left|\frac{G}{\ker(\sigma)}\right| = p$ , hence  $H = \ker(\sigma)$ .

**Proposition 2.1** (1.12). There exists elements  $g, h \in G$ , such that  $|g|, |h| < \infty$ , but  $|gh| = \infty$ .

$$g = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad h = \begin{pmatrix} 0 & 1 \\ -1 & -1 \end{pmatrix}.$$

6 CHAPTER 2. GROUPS I

**Proposition 2.2** (1.15). Let G be a commutative group, and let  $g \in G$  be an element of maximal finite order, that is, such that if  $h \in G$  has finite order, then  $|h| \le |g|$ . Then, if h has finite order in G, then |h| divides |g|.

**Proposition 2.3.** When n is odd, the center of  $D_{2n}$  is trivial, when n is even, the center consists of  $\{e, r^{\frac{n}{2}}\}$ .

$$r^{\frac{n}{2}}s = sr^{-\frac{n}{2}} = sr^{\frac{n}{2}}$$

**Proposition 2.4** (4.8). The map  $g \mapsto (r_g : a \mapsto gag^{-1})$  defines a homomorphism from  $G \to \operatorname{Aut}(G)$ .

**Proposition 2.5** (4.9). Let m, n be positive integers such that gcd(m, n) = 1, then

$$\frac{\mathbb{Z}}{mn\mathbb{Z}} \cong \frac{\mathbb{Z}}{m\mathbb{Z}} \times \frac{\mathbb{Z}}{n\mathbb{Z}}$$

**Proposition 2.6** (4.14). The order of the group of automorphisms of  $\mathbb{Z}/n\mathbb{Z}$  is the the number of generators of  $\mathbb{Z}/\mathbb{Z}$ , i.e.,

$$|\operatorname{Aut}(\mathbb{Z}/n\mathbb{Z})| = |(\mathbb{Z}/n\mathbb{Z})^{\times}|$$

Proposition 2.7 (4.15). Let p be a prime, then

$$\operatorname{Aut}(\mathbb{Z}/p\mathbb{Z}) \cong \frac{\mathbb{Z}}{(p-1)\mathbb{Z}}$$

**Proposition 2.8** (6.3). Every matrix in SU(2) may be written in the form

$$\begin{pmatrix} a+bi & c+di \\ -c+di & a-bi \end{pmatrix} = \begin{pmatrix} \gamma & \omega \\ -\bar{\omega} & \bar{\gamma} \end{pmatrix},$$

where  $a, b, c, d \in \mathbb{R}$  and  $a^2 + b^2 + c^2 + d^2 = 1$ .

**Proposition 2.9** (6.10). The set of  $2 \times 2$  matrices with integer entries and determinant 1 is denoted  $SL_2(\mathbb{Z})$ :

$$\mathrm{SL}_2(\mathbb{Z}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \quad \text{such that } a,b,c,d \in \mathbb{Z}, \ ad-bc = 1 \right\}.$$

Note that  $SL_2(\mathbb{Z})$  is generated by the matrices

$$s = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$
 and  $t = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ .

**Proposition 2.10** (7.7). Let G be a group and n a positive integer, let  $H \subset G$  be the subgroup generated by all elements of order n in G, then H is normal.

**Proposition 2.11** (7.14). Inn(G) is a normal subgroup of Aut(G).

**Proposition 2.12** (8.4). The dihedral group  $D_{2n}$  can also be represented as

$$\langle a, b : a^2 = b^2 = (ab)^n = e \rangle$$

(a,b are two reflections, take a=s,b=rs).

**Proposition 2.13** (8.8).  $SL_n(\mathbb{R})$  is a normal subgroup of  $GL_n(\mathbb{R})$ , and

$$\frac{\mathrm{GL}_n(\mathbb{R})}{\mathrm{SL}_n(\mathbb{R})} = (\mathbb{R}^{\times}, \cdot)$$

as groups.

## Chapter 3

## **Rings and Modules**

**Problem 3.1** (1.12). Just as complex numbers may be viewed as combinations a+bi, where  $a,b \in \mathbb{R}$  and i satisfies the relation  $i^2=-1$  (and commutes with  $\mathbb{R}$ ), we may construct a ring  $\mathbb{H}$  by considering linear combinations a+bi+cj+dk where  $a,b,c,d \in \mathbb{R}$  and i,j,k commute with  $\mathbb{R}$  and satisfy the following relations:

$$i^2 = j^2 = k^2 = -1, \quad ij = -ji = k, \quad jk = -kj = i, \quad ki = -ik = j.$$

Addition in  $\mathbb{H}$  is defined componentwise, while multiplication is defined by imposing distributivity and applying the relations. For example,

$$(1+i+j)\cdot(2+k) = 1\cdot 2+i\cdot 2+j\cdot 2+1\cdot k+i\cdot k+j\cdot k = 2+2i+2j+k-j+i = 2+3i+j+k.$$

- 1. Verify that this prescription does indeed define a ring.
- 2. Compute (a + bi + cj + dk)(a bi cj dk), where  $a, b, c, d \in \mathbb{R}$ .
- 3. Prove that  $\mathbb{H}$  is a division ring.
- 4. List all subgroups of  $Q_8 := \{\pm 1, \pm i, \pm j, \pm k\}$ , and prove that they are all normal.
- 5. Prove that  $Q_8$  and  $D_8$  are not isomorphic.
- 6. Prove that  $Q_8$  admits the presentation  $\langle x, y \mid x^2y^{-2}, y^4, xyx^{-1}y \rangle$ .

Elements of  $\mathbb{H}$  are called *quaternions*. Note that  $Q_8$  forms a subgroup of the group of units of  $\mathbb{H}$ ; it is a noncommutative group of order 8, called the *quaternionic group*.

*Proof.* 1. :)

- 2.  $a^2 + b^2 + c^2 + d^2$ .
- 3. follows from 2.
- 4.  $\{\pm 1\}, \{\pm 1, \pm i\}, \{\pm 1, \pm j\}, \{\pm 1, \pm k\}$
- 5. Number of order 4 elements: 2 in  $D_8$  and 6 in  $Q_8$ .
- 6. Take x = i, y = j, then

$$Q_8 = \{1, i, i^2, i^3, i, ij, i^2j, i^3j\}$$

**Problem 3.2** (1.15). Prove that R[x] is an integral domain if and only if R is an integral domain.

*Proof.* For sufficiency: observe that if  $f, g \neq 0 \in R[x]$ , then  $fg \neq 0$ .

**Problem 3.3** (1.16). Let R be a ring, and consider the ring of power series R[[x]] (cf. {1.3}).

- 1. Prove that a power series  $a_0 + a_1x + a_2x^2 + \cdots$  is a unit in R[[x]] if and only if  $a_0$  is a unit in R. What is the inverse of 1 x in R[[x]]?
- 2. Prove that R[[x]] is an integral domain if and only if R is.

*Proof.* 1. For sufficiency: you do it term by term; the inverse of (1-x) is  $1+x+x^2+\cdots=\sum_{i=0}^{\infty}x^i$ .

**Problem 3.4** (2.11). Prove (by hand) that division ring R of  $p^2$  elements where p is prime, is commutative.

*Proof.* Assume not commutative, then the center of R must contain p elements. Let  $r \in R$  such that r is not in the center, then the centralizer of r must be the entire ring R, and this holds for all such r.

**Problem 3.5** (2.16). Prove that there is (up to isomorphism) only one structure of ring with identity on the abelian group ( $\mathbb{Z}$ , +). (Hint: Let R be a ring whose underlying group is  $\mathbb{Z}$ . By Proposition [2.7] there is an injective ring homomorphism  $\lambda: R \to \operatorname{End}_{Ab}(R)$ , and the latter is isomorphic to  $\mathbb{Z}$ . Prove that  $\lambda$  is surjective.)

*Proof.* There exists an injective map

$$\lambda: R \to \mathbb{Z}$$

note that this map is also surjective.

Problem 3.6 (2.17). Let R be a ring, and let  $E = \operatorname{End}_{Ab}(R)$  be the ring of endomorphisms of the underlying abelian group (R, +). Prove that the center of E is isomorphic to a subring of the center of E. (Prove that if E commutes with all right-multiplications by elements of E, then E is left-multiplication by an element of E; then use Proposition [2.7])

*Proof.* If  $\alpha$  commutes with all the right multiplications  $r_x$ , then

$$\alpha r_x(s) = \alpha(sx) = \alpha(s)x$$

letting s = 1, we see

$$\alpha(x) = \alpha(1)x$$

Thus  $\alpha$  is a left multiplication. Let  $\varphi: \alpha \mapsto \alpha(1)$ , this is injective, surjective onto its image.

**Problem 3.7** (3.4). Let R be a ring such that every subgroup of (R, +) is in fact an ideal of R. Prove that  $R \cong \mathbb{Z}/n\mathbb{Z}$ , where n is the characteristic of R.

*Proof.* It suffices to exhibit a surjective map from  $\mathbb{Z}$  to R, consider the subgroup  $\varphi(\mathbb{Z})$ , where  $\varphi: 1 \mapsto 1$ . We know that  $\varphi(\mathbb{Z})$  is an ideal, i.e., for every  $r \in R$ ,

$$r \cdot 1 \in \varphi(\mathbb{Z})$$

since  $1 \in \varphi(\mathbb{Z})$ , thus this map is surjective.

**Problem 3.8** (4.5). Let I, J be ideals in a commutative ring R, such that I+J=(1). Prove that  $IJ=I\cap J$ .

*Proof.* We know  $IJ \subset I \cap J$ , now let  $r \in I \cap J$ , then

$$r \cdot 1 = r(i+j) = ri + rj \in IJ$$

**Problem 3.9** (4.6). Let I, J be ideals in a commutative ring R. Assume that R/(IJ) is reduced (that is, it has no nonzero nilpotent elements). Prove that  $IJ = I \cap J$ .

*Proof.* Consider nonzero  $r \in I \cap J$ , then  $r^2 \in IJ$ , hence in R/IJ, r = 0 + IJ, i.e.,  $r \in IJ$ .

**Problem 3.10** (4.11). Let R be a commutative ring,  $a \in R$ , and  $f_1(x), \ldots, f_r(x) \in R[x]$ .

• Prove the equality of ideals

$$(f_1(x),\ldots,f_r(x),x-a)=(f_1(a),\ldots,f_r(a),x-a).$$

• Note the useful substitution trick

$$\frac{R[x]}{(f_1(x),\ldots,f_r(x),x-a)} \cong \frac{R}{(f_1(a),\ldots,f_r(a))}.$$

*Proof.* Use long division:  $f_1(x) = q(x)(x-a) + f_1(a)$ .

**Problem 3.11** (4.17). Let K be a compact topological space, and let R be the ring of continuous real-valued functions on K, with addition and multiplication defined pointwise.

- (i) For  $p \in K$ , let  $M_p = \{ f \in R \mid f(p) = 0 \}$ . Prove that  $M_p$  is a maximal ideal in R.
- (ii) Prove that if  $f_1, \ldots, f_r \in R$  have no common zeros, then  $(f_1, \ldots, f_r) = (1)$ . (Hint: Consider  $f_1^2 + \cdots + f_r^2$ .)
- (iii) Prove that every maximal ideal M in R is of the form  $M_p$  for some  $p \in K$ . (Hint: You will use the compactness of K and (ii).)

*Proof.* (i) Note that  $\frac{R}{M_p} \cong \mathbb{R}$ , given by evaluation at p.

(ii) Note that  $g(p) = f_1^2 + \cdots + f_r^2(p) > 0$  for all  $p \in K$ , thus one can construct an inverse. Namely,

$$1 = h(f_1^2 + \dots + f_r^2)$$

where  $h = \frac{1}{q}$ .

(iii) Let M be a maximal ideal, suppose M is not contained in  $M_p$  for any p. This implies that there exists  $f \in M$  such that  $f(p) \neq 0$  for every  $p \in K$ . Then we consider the set

$$\left\{ f^{-1}(\mathbb{R} \setminus \{0\}) : f \in M \right\}$$

This is an open cover of K, hence there exists  $f_1, \ldots, f_r$  such that

$$\{f_i(\mathbb{R}\setminus\{0\}): 1 \le i \le r\}$$

is also a cover of K. We know that  $f_1, \ldots, f_r$  have no common roots, thus

$$(f_1,\ldots,f_r)=R$$

which is a contradiction.

**Problem 3.12** (4.23). A ring R has Krull dimension 0 if every prime ideal in R is maximal. Prove that fields and Boolean rings have Krull dimension 0.

*Proof.* Let p be a prime ideal of a Boolean ring, then  $R/p \cong \mathbb{Z}/2\mathbb{Z}$ , which is a field, hence p is also a maximal ideal.

**Problem 3.13** (6.3). Let R be a ring, M an R-module, and  $p: M \to M$  an R-module homomorphism such that  $p^2 = p$ . (Such a map is called a projection.) Prove that  $M \cong \ker p \oplus \operatorname{im} p$ .

*Proof.* Let  $m \in M$ , then m = (m - p(m)) + p(m).

**Problem 3.14** (6.6). Let R be a ring, and let  $F = R^{\oplus n}$  be a finitely generated free R-module. Prove that  $\operatorname{Hom}_{R\operatorname{-Mod}}(F,R) \cong F$ . On the other hand, find an example of a ring R and a nonzero R-module M such that  $\operatorname{Hom}_{R\operatorname{-Mod}}(M,R) = 0$ .

*Proof.* Define the map  $F \to \text{Hom}(F, R)$  as

$$(r_1,\ldots,r_n)\mapsto \left(\varphi:(a_1,\ldots,a_n)\mapsto \sum_{i=1}^n a_ir_i\right)$$

Take  $M=\mathbb{Z}/2\mathbb{Z}, R=\mathbb{Z}$  in the second question.

**Problem 3.15** (6.16). Let R be a ring. A (left-)R-module M is cyclic if  $M = \langle m \rangle$  for some  $m \in M$ .

- (i) Prove that simple modules are cyclic.
- (ii) Prove that an R-module M is cyclic if and only if  $M \cong R/I$  for some (left-)ideal I.
- (iii) Prove that every quotient of a cyclic module is cyclic.

*Proof.* (i) Take any nonzero  $r \in R$ , then  $M = \langle r \rangle$ .

- (ii) For the forward directin,  $M=\langle m \rangle$ , consider the map  $\varphi: m \mapsto 1$ ; for the backwards, 1+I is a generator of R/I, where R/I viewed as a R-module.
- (iii) Follows from (ii) and the second isomorphism theorem.

**Problem 3.16** (6.18). Let M be an R-module, and let N be a submodule of M. Prove that if N and M/N are both finitely generated, then M is finitely generated.

*Proof.* Suppose  $N = \langle r_1, \dots, r_k \rangle$ ,  $M/N = \langle r_{k+1} + N, \dots, r_{k+m} + N \rangle$ , then we claim  $M = \langle r_1, \dots, r_{k+m} \rangle$ . If  $m \in M$  is such that  $m \in N$ , then done; if  $m \notin N$ , then  $m \in r_i + N$  for some i, then

$$m = \sum a_i r_i \Rightarrow m - \sum a_i r_i \in N$$

thus again writting it as a finite sum, we are done.

Proposition 3.1 (2.8). Every subring of a field is an integral domain.

**Proposition 3.2** (2.9). The center of a division ring is a field.

**Proposition 3.3** (3.9). A nonzero ring with ideals being only  $\{0\}$  and R are called simple rings. The only simple commutative rings are fields. Moreover,  $M_n(\mathbb{R})$  is also simple.

**Proposition 3.4** (3.14). The characteristic of an integral domain is either 0 or a prime ideal p.

**Proposition 3.5** (4.4). If k is a field, then k[x] is a PID.

**Proposition 3.6** (4.9). Let R be a commutative ring, and let f(x) be a zero-divisor in R[x]. There exists  $\exists b \in R, b \neq 0$ , such that f(x)b = 0. (Let fg = 0, where  $g = b_e x^e + \cdots + b_0$ , set  $b = b_e$ .)

**Proposition 3.7** (4.10). Let d be an integer that is not the square of an integer, and consider the subset of  $\mathbb{C}$  defined by

$$\mathbb{Q}(\sqrt{d}) := \{ a + b\sqrt{d} \mid a, b \in \mathbb{Q} \}.$$

Then  $\mathbb{Q}(\sqrt{d})$  is a field, and

$$\mathbb{Q}(\sqrt{d}) \cong \mathbb{Q}[t]/(t^2 - d)$$

**Proposition 3.8** (4.19). Let R be a commutative ring, let P be a prime ideal in R, and let  $I_j$  be ideals of R.

- (i) Assume that  $I_1 \cdots I_r \subseteq P$ , then that  $I_i \subseteq P$  for some j.
- (ii) By (i), if  $P \supseteq \bigcap_{j=1}^r I_j$ , then P contains one of the ideals  $I_j$ . The following is not true:  $P \supseteq \bigcap_{j=1}^{\infty} I_j$ , then P contains one of the ideals  $I_j$ . Consider  $I_j = (p_j)$  then  $\cap I_j = 0$ .

**Proposition 3.9** (4.20). Let M be a two-sided ideal in a (not necessarily commutative) ring R. Then M is maximal if and only if R/M is a simple ring.

**Proposition 3.10** (4.21). Let k be an algebraically closed field, and let  $I \subseteq k[x]$  be an ideal. Then I is maximal if and only if I = (x - c) for some  $c \in k$ .

**Proposition 3.11** (4.22).  $(x^2 + 1)$  is maximal in  $\mathbb{R}[x]$ .

**Proposition 3.12** (5.4). Let R be a ring. A nonzero R-module M is simple (or irreducible) if its only submodules are  $\{0\}$  and M. Let M,N be simple modules, and let  $\varphi:M\to N$  be a homomorphism of R-modules. Prove that either  $\varphi=0$  or  $\varphi$  is an isomorphism. (This rather innocent statement is known as Schur's lemma.)

**Proposition 3.13** (5.5). Let R be commutative, viewed as R-module over itself, let M be an R-module, then

$$\operatorname{Hom}(R,M) \cong M$$

as R-modules.

**Proposition 3.14** (5.13). Let R be an integral domain, let I be a nonzero principal ideal, then I is isomorphic to R as an R-module.

**Proposition 3.15** (5.16). Let R be commutative,  $a \in R$  be nilpotent, consider the submodule aM of M. Then

$$M = 0 \iff aM = M$$

*Proof.* Multiplication by a is a surjective map, composition of surjective maps is still surjective.

**Proposition 3.16** (6.16). Let M be an R-module, it is cyclic if  $M = \langle m \rangle$ , then M is cyclic if and only if  $M \cong R/I$  for some ideal I.

**Proposition 3.17** (6.18). Let M be an R-module, and let N be a submodule of M. Prove that if N and M/N are both finitely generated, then M is finitely generated.

## **Chapter 4**

## **Groups II**

#### 4.1 Class Formula

**Problem 4.1.** Let p be a prime integer, let G be a p-group, and let S be a set such that  $|S| \neq 0 \mod p$ . If G acts on S, prove that the action must have fixed points.

*Proof.* The class formula  $|S| = |Z| + \sum_{a} [G : Stab(a)].$ 

**Problem 4.2.** Find the center of  $D_{2n}$  using the size of conjugacy class.

*Proof.* For n odd, it suffices to show that there is only the identity that is its own conjugacy class. In other words, for any r, s, show that there are more things in their conjugacy class:

$$rsr^{-1} = sr^{-2} = s \iff r^{-2} = e$$

and there is no such r.

$$srs^{-1} = r^{-1}$$

again there is no element such that  $r=r^{-1}$ , hence the conjugacy class of r contains at least one other element  $r^{-1}$ .

**Problem 4.3.** Prove that the center of  $S_n$  is trivial for  $n \ge 3$ . (Suppose that  $\sigma \in S_n$  sends a to  $b \ne a$ , and let  $c \ne a, b$ . Let  $\tau$  be the permutation that acts solely by swapping b and c. Then compare the action of  $\sigma \tau$  and  $\tau \sigma$  on a.)

*Proof.* You just do it and see  $\sigma \tau \neq \tau \sigma$ .

**Proposition 4.1.** The center of  $S_n$  is trivial for all  $n \geq 3$ .

**Proposition 4.2.** Let G be a group, and let N be a subgroup of Z(G). Prove that N is normal in G, note Z(G) is normal in G.

4.1. CLASS FORMULA 15

**Proposition 4.3.** Let G be a group, then

$$\frac{G}{Z(G)}\cong \operatorname{Inn}(G)$$

Recall Inn(G) is cyclic iff G is commutative, this shows if G/Z(G) is cyclic, then G is commutative.

**Proposition 4.4.** Let p, q be prime integers, and let G be a group of order pq. Prove that either G is commutative or the center of G is trivial.

**Problem 4.4.** Prove or disprove that if p is prime, then every group of order  $p^3$  is commutative.

*Proof.* Consider the Heisenburg group over  $\mathbb{F}_p$ :

$$G = \left\{ \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} \mid a, b, c \in \mathbb{F}_p \right\},\,$$

which has order  $p^3$  and noncommutative.

**Proposition 4.5.** Let G be a p-group,  $|G| = p^r$ , then there exists a normal subgroup of size  $p^k$  for every  $k \le r$ .

**Problem 4.5.** Let p be a prime number, and let G be a p-group:  $|G| = p^r$ . Prove that G contains a normal subgroup of order  $p^k$  for every nonnegative  $k \le r$ .

*Proof.* First the center is nontrivial and is normal, then we take the quotient  $G/\langle z \rangle$ , where z is an order p element in the center. Do the same and lift it to a normal subgroup of G.

**Problem 4.6.** Let p be a prime number, G a p-group, and H a nontrivial normal subgroup of G. Prove that  $H \cap Z(G) \neq \{e\}$ .

*Proof.* Consider the action of *G* on *H* by conjugation:

$$|H| = |Z(G) \cap H| + \sum_h |[h]|$$

Hence

$$|Z(G) \cap H| \equiv 0 \mod p$$

thus is nontrivial.

**Proposition 4.6.** Let G be a p-group, and H be a nontrivial normal subgroup, then

$$H \cap Z(G) \neq \{e\}$$

In other words, there are nontrivial elements in H that commutes with every  $g \in G$ .

CHAPTER 4. GROUPS II

**Proposition 4.7.** The class formula for both  $D_8$  and  $Q_8$  is 8 = 2 + 2 + 2 + 2 + 2. (Also note that  $D_8 \not\cong Q_8$ .)

**Problem 4.7** (1.13). Let G be a noncommutative group of order 6. Then, G must have trivial center and exactly two conjugacy classes, of order 2 and 3.

- Prove that if every element of a group has order  $\leq 2$ , then the group is commutative. Conclude that G has an element y of order 3.
- Prove that  $\langle y \rangle$  is normal in G.
- Prove that [y] is the conjugacy class of order 2 and  $[y] = \{y, y^2\}$ .
- Prove that there is an  $x \in G$  such that  $yx = xy^2$ .

*Proof.* • Compute  $(ab)^2$ .

- It has index 2.
- Note that the centralizer  $C_G(y)$  has order dividing G, not all G (G is nonabelian), and contains  $\langle y \rangle$ , thus must be 3, hence [y] has order 2.

**Problem 4.8** (1.14). Let G be a group, and assume [G:Z(G)]=n is finite. Let  $A\subseteq G$  be any subset. Prove that the number of conjugates of A is at most n.

*Proof.* The number of conjugates of A is  $[G:N_G(A)]$ , and  $Z(G)\subset N_G(A)$ .

**Problem 4.9.** Suppose that the class formula for a group G is 60 = 1 + 15 + 20 + 12 + 12. Prove that the only normal subgroups of G are  $\{e\}$  and G.

*Proof.* Use the fact that normal subgroups divide |G| and are unions of conjugacy classes.

**Proposition 4.8.** Let G be a finite group, and let  $H \subseteq G$  be a subgroup of index 2. For  $a \in H$ , denote by  $[a]_H$ , resp.,  $[a]_G$ , the conjugacy class of a in H, resp., G. Then, either  $[a]_H = [a]_G$  or  $[a]_H$  is half the size of  $[a]_G$ , according to whether the centralizer  $Z_G(a)$  is not or is contained in H.

**Problem 4.10** (1.17). Let H be a proper subgroup of a finite group G. Prove that G is not the union of the conjugates of H.

*Proof.* Suppose that G is a union of conjugates of H, then

$$|G| = [G : H] \cdot H$$
  
=  $[G : N_G(H)] \cdot [N_G(H) : H] \cdot |H|$   
\le  $[G : N_G(H)] \cdot |H| - 1$ 

which is a contradiction.

4.2. SYLOW 17

**Problem 4.11** (1.18). Let S be a set endowed with a transitive action of a finite group G, and assume  $|S| \ge 2$ . Prove that there exists a  $g \in G$  without fixed points in S, that is, such that  $gs \ne s$  for all  $s \in S$ .

*Proof.* Follows from 1.17.

**Problem 4.12** (1.19). Let H be a proper subgroup of a finite group G. Prove that there exists a  $g \in G$  whose conjugacy class is disjoint from H.

*Proof.* Follows immediately from 1.17.

**Proposition 4.9.** Let  $G = GL_2(\mathbb{C})$ , every  $2 \times 2$  matrix is conjugate to an upper triangular matrix. Warning: You need the fact that  $\mathbb{C}$  is algebraically closed. (Use Jordan canonical form).

**Problem 4.13** (1.21). Let H, K be subgroups of a group G, with  $H \subseteq N_G(K)$ . Verify that the function  $\gamma: H \to \operatorname{Aut}_{Grp}(K)$  defined by conjugation is a homomorphism of groups and that  $\ker \gamma = H \cap Z_G(K)$ , where  $Z_G(K)$  is the centralizer of K.

*Proof.*  $r_h(g) = hgh^{-1} = g$  for all  $g \in K$  implies that  $h \in Z_G(K)$ .

**Problem 4.14** (1.22). Let G be a finite group, and let H be a cyclic subgroup of G of order p. Assume that p is the smallest prime dividing the order of G and that H is normal in G. Prove that H is contained in the center of G. (Hint: By Exercise [1.21], there is a homomorphism  $\gamma: G \to \operatorname{Aut}_{Grp}(H)$ ; by Exercise [II.4.14],  $\operatorname{Aut}(H)$  has order p-1. What can you say about  $\gamma$ ?)

*Proof.* To show H is contained in the center, it suffices to show that the centralizer  $Z_G(H) = G$ , by the previous exercise

$$\ker \gamma = G \cap Z_G(H)$$

It suffices to show that  $\ker \gamma = G$ . Suppose it is not the trivial map, then  $[G : \ker \gamma]$  divides both |G|, and (p-1) because

$$\frac{G}{\ker \gamma} \cong \operatorname{im}(\gamma) \subset \operatorname{Aut}(H)$$

This contradicts with the fact that p is the smallest prime dividing |G|.

#### 4.2 Sylow

**Problem 4.15** (2.2). Let G be a group. A subgroup H of G is characteristic if  $\varphi(H) \subseteq H$  for every automorphism  $\varphi$  of G.

- Prove that characteristic subgroups are normal.
- Let  $H \subseteq K \subseteq G$ , with H characteristic in K and K normal in G. Prove that H is normal in G.
- Let G, K be groups, and assume G contains a single subgroup H isomorphic to K. Prove that H
  is normal in G.

*Proof.* • conjugation is an automorphism.

- conjugation by  $g \in G$  on K is an automorphism, thus H is also preserved under conjugation by g.
- Let  $\varphi$  be any automorphism  $G \to G$ ,

$$\varphi(H) \cong H$$

since  $\varphi$  has trivial kernel, thus  $\varphi(H)=H$  by assumtpion, i.e. H is normal by taking  $\varphi$  as the conjugation action.

**Proposition 4.10.** Let G be a nontrivial p-group, then G is not simple.

*Proof.* It has nontrivial center, and the center is normal.

**Problem 4.16** (2.8). Let G be a finite group, p a prime, and N the intersection of all p-Sylow subgroups of G. Prove:

- (1) N is a normal p-subgroup of G.
- (2) Every normal p-subgroup of G is contained in N.

*Proof.* (1) Let  $g \in G$ , then

$$gNg^{-1} = \bigcap_{P} gPg^{-1} = \bigcap_{P'} P' = N$$

where P, P' are p-sylow subgroups.

(2) Let N' be a normal p-subgroup, then  $N' \subset P$  for some p-Sylow subgroup of G, since N' is normal, we know

$$N' \subset \bigcap_{P'} P' = N$$

**Proposition 4.11.** Let *P* be a *p*-Sylow subgroup of *G*, and let *P* act by conjugation on the set of *p*-Sylow subgroups. Then *P* is the unique fixed point.

**Problem 4.17** (2.12). Let P be a p-Sylow subgroup of G, and  $H \subseteq G$  a subgroup containing  $N_G(P)$ . Prove  $[G:H] \equiv 1 \mod p$ .

Proof. We know

$$n_p = [G: N_G(P)] \equiv 1 \mod p$$

Hence by

$$[G:N_G(P)] = [G:H] \cdot [H:N_G(P)]$$

it suffices to show that

$$[H:N_G(P)] \equiv 1 \mod p$$

It suffices to see that

$$N_G(P) = \{g \in G : gPg^{-1} = P\} = N_H(P)$$

since H contains  $N_G(P)$ .

4.2. SYLOW 19

**Problem 4.18** (2.15). Classify all groups of order  $n \le 15$  (except n = 8, 12) up to isomorphism.

*Proof.* 1. n = 6:  $\mathbb{Z}/6\mathbb{Z}$  and  $S_3$ .

- 2. n = 8: abelian or  $D_8$  or  $Q_8$ .
- 3. n=9: abelian.
- 4. n = 10: abelian or  $P_5 \rtimes P_2$ . The nontrivial action  $\mathbb{Z}/2\mathbb{Z} \to \operatorname{Aut}(\mathbb{Z}/5\mathbb{Z}) \cong (\mathbb{Z}/5\mathbb{Z})^{\times}$  gives

$$G \cong \langle q, h : q^5 = h^2 = e, hqh^{-1} = q^4 \rangle$$



**Warning 4.1.** You know how to do this! The nontrivial action sends 1 to another order 2 element, which is 4, thus the automorphism is multiplication by 4, using the multiplicative notation, we have  $hgh^{-1} = g^4$ . (additive notation would have been h + g - h = 4g).

5. n = 14.  $\mathbb{Z}/14\mathbb{Z}$  or  $D_{14}$ . (The nontrivial action inverts the elements of  $\mathbb{Z}/7\mathbb{Z}$ ).

**Problem 4.19** (2.19). Let G be noncommutative of order pq (p < q primes).

- Show  $q \equiv 1 \mod p$ .
- Prove Z(G) is trivial.
- Draw the subgroup lattice of *G*.
- Find the number of elements of each possible order.
- Find the number and size of the conjugacy classes in *G*.

*Proof.* • Consider  $n_q = 1$  or p, and  $n_q \equiv 1 \mod q$ . This implies that  $n_q = 1$ . Let Q be the normal q-subgroup, and P be a p-Sylow subgroup, then consider the semidirect product

$$Q \rtimes P$$

For G to be noncommutative, this requires the map  $\theta: P \to \operatorname{Aut}(Q)$  to be nontrivial, i.e., p divides q-1, i.e.

$$q\equiv 1\mod p$$

- If not trivial, then commutative.
- There are q subgroups of order p, and 1 subgroup of order q.
- Compute the size of the centralizer for an element *g* of order *p*: it is *p*, thus the conjugacy has order *q*.

**Problem 4.20** (2.21). Let p < q < r be primes. Prove no group of order pqr is simple.

*Proof.* Suppose  $n_q, n_p, n_r \neq 1$ , then compute the smallest size allowed by Sylow theorems, this will exceed par.

20 CHAPTER 4. GROUPS II

**Problem 4.21** (2.23). For *G* simple,

(1) Prove |G| divides  $N_p!$  for all primes p dividing |G|, where  $N_p$  is the number of p-Sylow subgroups.

(2) If  $H \leq G$  has index N > 1, then |G| divides N!.

*Proof.* (1) The kernel  $\gamma: G \to \{P_1, \dots, P_{n_p}\}$  is trivial, hence |G| divides  $N_p!$ .

(2) G acts the cosets G/H transitively, thus same trivial kernel argument shows |G| divides N!.

**Problem 4.22** (2.25). Assume G is simple of order 60.

- Prove *G* has 5 or 15 Sylow 2-subgroups (15 elements of order 2 or 4).
- If 15 Sylow 2-subgroups, find  $g \in G$  of order 2 in two of them, and show  $C_G(g)$  has index 5.

*Proof.* •  $n_2 = 1, 3, 5, 15$ , G simple and trivial kernel argument shows  $n_2 = 5, 15$ .

• The 2-Sylow subgroups must have overlap by a size argument; consider  $C_G(g)$ : we know that  $P_1, P_2 \subset C_G(g)$ , hence  $|C_G(g)| \geq 4$ , and  $|C_G(g)| \neq 60$  because that'd be nontrivial center, hence  $|C_G(g)| = 12$ , i.e., index 5.

#### 4.3 Commutator subgroup and Solvability

**Problem 4.23.** *G* is solvable iff N, G/N are solvable, where N is a normal subgroup of G.

**Problem 4.24** (3.10). Let G be a group. Define inductively an increasing sequence  $Z_0 = \{e\} \subseteq Z_1 \subseteq Z_2 \subseteq \cdots$  of subgroups of G as follows: for  $i \geq 1$ ,  $Z_i$  is the subgroup of G corresponding (as in Proposition II.8.9) to the center of  $G/Z_{i-1}$ .

• Prove that each  $Z_i$  is normal in  $G_i$ , so that this definition makes sense.

A group is *nilpotent* if  $Z_m = G$  for some m.

- Prove that G is nilpotent if and only if G/Z(G) is nilpotent.
- Prove that *p*-groups are nilpotent.
- Prove that nilpotent groups are solvable.
- Find a solvable group that is not nilpotent.

**Problem 4.25** (3.11). Let H be a nontrivial normal subgroup of a nilpotent group G (cf. Exercise 3.10). Prove that H intersects Z(G) nontrivially. (Hint: Let  $r \ge 1$  be the smallest index such that  $\exists h \ne e, h \in H \cap Z_r$ . Contemplate a well-chosen commutator [g,h].) Since p-groups are nilpotent, this strengthens the result of Exercise 1.9.

 $4.4. S_n AND A_n$  21

**Problem 4.26** (3.12). Let H be a proper subgroup of a finite nilpotent group G (cf. Exercise 3.10). Prove that  $H \subset N_G(H)$ . (Hint: Z(G) is nontrivial. First dispose of the case in which H does not contain Z(G), and then use induction to deal with the case in which H does contain Z(G).) Deduce that every Sylow subgroup of a finite nilpotent group is normal.

**Problem 4.27** (3.15). Let p, q be prime integers, and let G be a group of order  $p^2q$ . Prove that G is solvable. (This is a particular case of Burnside's theorem: for p, q primes, every group of order  $p^aq^b$  is solvable.)

Proof. Consider

$$\{e\} = G_0 \subset Q \subset G$$

where Q is the normal subgroup of order q, using Sylow theorems, one can show that  $n_q=1$ . G/Q is abelian, so it Q.

**Problem 4.28** (3.16). Prove that every group of order < 60 and  $\neq 60$  is solvable.

*Proof.* All *p*-groups,  $p^2q$  are solvable; moreover, G is solvable iff G/N, N are solvable, where N is a normal subgroup.

#### 4.4 $S_n$ and $A_n$

**Problem 4.29** (4.5). Find the class formula for  $S_n$ , where  $n \leq 5$ .

Proof.

$$\begin{cases} S_3 = 1 + 2 + 3 \\ S_4 = 1 + 6 + 8 + 6 + 3 \\ S_5 = 1 + 24 + 30 + 20 + 15 + 10 + 20 \end{cases}$$

**Problem 4.30** (4.7).  $\triangleright$  Prove that  $S_n$  is generated by (12) and (12...n).

*Proof.* It suffices to generate all the transpositions: let  $\sigma = (12 \dots n)$ ,

$$\sigma(12)\sigma^{-1} = (\sigma(1)\sigma(2)) = (23)$$

thus this process allows us to get all the (n, n + 1) adjacent swaps. Then we see that

$$(23)(12)(23)^{-1} = (13)$$

and we can generate all the transpositions like this.

**Problem 4.31** (4.8). For n > 1, prove that the subgroup H of  $S_n$  consisting of permutations fixing 1 is isomorphic to  $S_{n-1}$ . Prove that there are no proper subgroups of  $S_n$  properly containing H.

*Proof.* By a rearranging of indices, the first statement is true. Any subgroup properly containing H must contain  $\sigma$  such that  $\sigma(1) = i$ , and with transpositions in H, this generates  $S_n$ .

22 CHAPTER 4. GROUPS II

**Proposition 4.12.** The subgroup H of  $S_n$ :

$$H = \{ \sigma \in S_n : \sigma(1) = 1 \}$$

is isomorphic to  $S_{n-1}$ .

**Proposition 4.13** (4.9). (13) and (1234) generate a copy of  $D_8$  in  $S_4$ . Every subgroup of  $S_4$  of order 8 is conjugate to  $\langle (13), (1234) \rangle$ , and there are exactly 3 such subgroups. For all  $n \geq 3$ ,  $S_n$  contains a copy of the dihedral group  $D_{2n}$ .

**Proposition 4.14** (4.10). 1. There are exactly (n-1)! n-cycles in  $S_n$ .

2. More generally, the size of the conjugacy class of a permutation of given type in  $S_n$ :  $\sigma \in S_n$  with cycle type  $(1^{a_1}, 2^{a_2}, \dots, n^{a_n})$  (where  $a_k$  is the number of k-cycles), the size of its conjugacy class is:

$$\frac{n!}{\prod_{k=1}^{n} (k^{a_k} \cdot a_k!)}$$

**Problem 4.32** (4.11). Let p be a prime integer. Compute the number of p-Sylow subgroups of  $S_p$ .

*Proof.* There are (p-1)! p-cycles, and each p-Sylow subgroup contains (p-1) of these cycles, i.e., there are (p-2)! p-Sylow subgroups. (This uses the fact that if N, H are subgroups of prime order p, then they either intersect trivally or are equal).

**Problem 4.33** (4.12). A subgroup G of  $S_n$  is *transitive* if the induced action of G on  $\{1, \ldots, n\}$  is transitive.

- 1. Prove that if  $G \subseteq S_n$  is transitive, then |G| is a multiple of n.
- 2. Prove that the following subgroups of  $S_4$  are all transitive:
  - $\langle (1234) \rangle \cong C_4$  and its conjugates,
  - $\langle (12)(34), (13)(24) \rangle \cong C_2 \times C_2$ ,
  - $\langle (12)(34), (1234) \rangle \cong D_8$  and its conjugates,
  - $A_4$ , and  $S_4$ .

(These are the *only* transitive subgroups of  $S_4$ .)

*Proof.* 1. *G* acts on  $\{1, \ldots, n\}$  transitively, thus the orbit of any i,  $O(i) = \{1, \ldots, i\}$ , thus n divides |G|.

2. really?

**Proposition 4.15** (4.14). The center of  $A_n$  is trivial for all  $n \ge 4$ . (This can be shown using the class formula of  $S_n$  and how conjugacy class splits to  $A_n$ ).

**Problem 4.34** (4.18). For  $n \ge 5$ , let H be a proper subgroup of  $A_n$ . Prove that  $[A_n : H] \ge n$  and  $A_n$  has a subgroup of index n for all  $n \ge 3$ .

*Proof.* Consider the transitive action of  $A_n$  on the cosets  $A_n/H$ , this action is nontrivial, hence must be injective since  $A_n$  is simple for  $n \ge 5$ , this shows that

$$|A_n| \leq [A_n : H]!$$

which implies  $[A_n:H] \geq n$ .

The index n subgroup of  $A_n$  can be chosen as the subgroup H that fixes 1, then  $H \cong A_{n-1}$ .

**Problem 4.35** (4.19). 1. Prove that for  $n \ge 5$  there are no nontrivial actions of  $A_n$  on any set S with |S| < n.

- 2. Construct a nontrivial action of  $A_4$  on a set S, |S| = 3.
- 3. Is there a nontrivial action of  $A_4$  on a set S with |S| = 2?

*Proof.* 1. Same as above, using the simplicity of  $A_n$  for  $n \geq 5$ .

- 2.  $A_4$  has a normal subgroup  $N = \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ , thus is nontrivial transitive action on G/N.
- 3. The kernel  $\ker(\psi)$  must be nontrivial (size), and is normal with index 2, which  $A_4$  does not have.

#### 4.5 Semidirect Products

**Proposition 4.16** (5.1). Let G be a finite group, and let  $P_1, \ldots, P_r$  be its nontrivial Sylow subgroups. Assume all  $P_i$  are normal in G.

- Prove that  $G \cong P_1 \times \cdots \times P_r$ .
- Prove that G is nilpotent. (Hint: Mod out by the center, and work by induction on |G|. What is the center of a direct product of groups?)

*Proof.* Think about their intersection, and what does the center look like.

**Problem 4.36** (5.4). Give an example of a SES that doesn't split.

Proof.

$$0 \longrightarrow \mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z} \longrightarrow \mathbb{Z}/2\mathbb{Z} \longrightarrow 0$$

**Problem 4.37** (5.7). Let N be a group, and let  $\alpha: N \to N$  be an automorphism of N. Prove that  $\alpha$  may be realized as conjugation, in the sense that there exists a group G containing N as a normal subgroup and such that  $\alpha(n) = gng^{-1}$  for some  $g \in G$ .

*Proof.* Construct the semidirect product by taking  $H = \mathbb{Z}$  and  $\theta : \mathbb{Z} \to \operatorname{Aut}(N)$  as

$$\theta_k(n) = \alpha^k(n)$$

**Problem 4.38** (5.8). Prove that any semidirect product of two solvable groups is solvable. Show that semidirect products of nilpotent groups need not be nilpotent.

*Proof.* Construct sequence such that quotients are quotients from N, H;  $S_3$  is a semidirect product of  $\mathbb{Z}/2\mathbb{Z}$  and  $\mathbb{Z}/3\mathbb{Z}$ .

**Problem 4.39** (5.10). Let N be a normal subgroup of a finite group G, and assume that |N| and |G/N| are relatively prime. Assume there is a subgroup H in G such that |H| = |G/N|. Prove that G is a semidirect product of N and H.

*Proof.* To prove  $G = N \rtimes H$ , you need

- 1. G = NH.
- 2.  $N \cap H = \{e\}$ .

The second is obvious: the first is done by showing |G| = |N||H|, recall

$$|NH| = \frac{|N| \cdot |H|}{|N \cap H|}$$

**Problem 4.40** (5.11). For all n > 0 express  $D_{2n}$  as a semidirect product  $C_n \rtimes_{\theta} C_2$ , finding  $\theta$  explicitly.

*Proof.*  $\mathbb{Z}/n\mathbb{Z} = \{1, r, \dots, r^{n-1}\}$  is an index 2 subgroup, hence normal, thus

$$D_{2n} = \langle r, s : r^n = s^2 = e, srs^{-1} = r^{-1} \rangle$$

**Problem 4.41** (5.12). Classify groups G of order pq, with p < q prime: show that if |G| = pq, then either G is cyclic or  $q \equiv 1 \mod p$  and there is exactly one isomorphism class of noncommutative groups of order pq in this case.

*Proof.* There is a normal subgroup of order *q*: then

$$\theta: \frac{\mathbb{Z}}{p\mathbb{Z}} \to \operatorname{Aut}\left(\mathbb{Z}/q\mathbb{Z}\right) \cong (\mathbb{Z}/q\mathbb{Z})^{\times}$$

If the action is trivial, then

$$G \cong \frac{\mathbb{Z}}{p\mathbb{Z}} \times \frac{\mathbb{Z}}{q\mathbb{Z}} \cong \frac{\mathbb{Z}}{pq\mathbb{Z}}$$

i.e., G is cyclic.

If  $q-1\equiv 0 \mod p$ , then there exists  $r\in (\mathbb{Z}/q\mathbb{Z})^{\times}$  such that  $r^p=1$ , thus we have

$$G = \langle q, h : q^q = h^p = e, hqh^{-1} = q^r \rangle$$

This is the noncommutative group.

**Problem 4.42** (5.13). Let  $G = N \rtimes_{\theta} H$  be a semidirect product, and let K be the subgroup of G corresponding to  $\ker \theta \subseteq H$ . Prove that K is the kernel of the action of G on the set G/H of left-cosets of H.

*Proof.* K is the largest normal subgroup of G contained in H.

**Problem 4.43** (5.15). Let *G* be a group of order 28.

- 1. Prove that G contains a normal subgroup N of order 7.
- 2. Recall that, up to isomorphism, the only groups of order 4 are  $C_4$  and  $C_2 \times C_2$ . Prove that there are two homomorphisms  $C_4 \to \operatorname{Aut}_{Grp}(N)$  and two homomorphisms  $C_2 \times C_2 \to \operatorname{Aut}_{Grp}(N)$  up to the choice of generators for the sources.
- 3. Conclude that there are four groups of order 28 up to isomorphism: the two direct products  $C_4 \times C_7$ ,  $C_2 \times C_2 \times C_7$ , and two noncommutative groups.
- 4. Prove that  $D_{28} \cong C_2 \times D_{14}$ . The other noncommutative group of order 28 is a generalized quaternionic group.

*Proof.* 1.  $n_7 = 1$ .

- 2. There is a trivial isomorphism for both;  $1 \mapsto r$ , where  $r^2 = 1$  for  $C_4$ ;  $(1,0) \mapsto r$ ,  $(0,1) \mapsto 0$  or the other way around which is the same.
- 3. By 2.
- 4. There is no element of order 4 in  $D_{28}$ . (There is an element of order 4 iff d divides n in  $D_{2n}$ ).

**Proposition 4.17** (5.16). The quaternionic group  $Q_8$  cannot be written as a semidirect product of two nontrivial subgroups.

#### 4.6 Classification of Finite Abelian Group

**Problem 4.44.** Complete the classification of groups of order 8.

*Proof.* There are 5:  $\mathbb{Z}/8\mathbb{Z}$ ,  $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/4\mathbb{Z}$ ,  $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ ,  $D_8$ ,  $Q_8$ .

**Proposition 4.18.** Let G be a noncommutative group of order  $p^3$ , where p is a prime integer. Prove that  $Z(G) \cong \mathbb{Z}/p\mathbb{Z}$  and  $G/Z(G) \cong \mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z}$ .

**Proposition 4.19.** Let p be a prime integer. Prove that the number of distinct isomorphism classes of abelian groups of order  $p^r$  equals the number of partitions of the integer r.

26 CHAPTER 4. GROUPS II

**Problem 4.45.** Classify abelian groups of order 400.

*Proof.* By the above, there are 10 isomorphism classes.

**Proposition 4.20.** The dual of a finite group G is the abelian group  $G^{\vee} := \operatorname{Hom}_{\operatorname{Grp}}(G, \mathbb{C}^*)$ , where  $\mathbb{C}^*$  is the multiplicative group of  $\mathbb{C}$ .

• The image of every  $\sigma \in G^{\vee}$  consists of roots of 1 in  $\mathbb{C}$ , that is, roots of polynomials  $x^n - 1$  for some n.

• If G is a finite abelian group, then  $G \cong G^{\vee}$ . (Hint: First prove this for cyclic groups; then use the classification theorem to generalize to the arbitrary case.)

**Problem 4.46.** Finite abelian group classifications for modules:

- 1. Use the classification theorem for finite abelian groups to classify all finite modules over the ring  $\mathbb{Z}/n\mathbb{Z}$ .
- 2. Prove that if p is prime, all finite modules over  $\mathbb{Z}/p\mathbb{Z}$  are free.

*Proof.* 1. Any finite *G* is written as

$$G \cong \frac{\mathbb{Z}}{p_1^{a_1}} \bigoplus \cdots \bigoplus \frac{\mathbb{Z}}{p_k^{a_k}}$$

where the p's are not necessarily distinct. The  $\mathbb{Z}/n\mathbb{Z}$ -module condition requires that for  $n\cdot m=0$  for all  $m\in M$ , i.e.,  $p_i^{a_i}$  must divide n for all i. This shows that any finite abelian group over  $\mathbb{Z}/n\mathbb{Z}$  is of the form

$$G \cong \bigoplus_{p|n} \bigoplus_{i=1}^k \frac{\mathbb{Z}}{p^i \mathbb{Z}}$$

2. It shows that only  $\mathbb{Z}/p\mathbb{Z}$  terms are allowed in the above expression.

**Proposition 4.21.** Let G, H be finite abelian groups such that, for all positive integers n, G and H have the same number of elements of order n. Then  $G \cong H$ .

**Problem 4.47.** Let G be a finite abelian p-group, and assume G has only one subgroup of order p. Prove that G is cyclic.

*Proof. G* must take the form

$$G \cong \frac{\mathbb{Z}}{p^{a_1}\mathbb{Z}}$$

with no other factors.

**Problem 4.48.** Let G be a finite abelian group, and let  $a \in G$  be an element of maximal order in G. Prove that the order of every  $b \in G$  divides |a|.

*Proof.* For different primes, the orders multiply.

### **Chapter 5**

# Ring Theory II, Irreducibility of Polynomials

#### 5.1 factorizations

**Problem 5.1** (1.4). Show that the ring of real-valued continuous functions on [0, 1] is not Noetherian.

*Proof.* Let  $I_n$  be the functions f such that f(x) = 0 on  $[\frac{1}{n+1}, 1]$ , then it fails acc.

**Problem 5.2** (1.10). Recall a ring R is Noetherian if and only if it satisfies the ascending chain condition for ideals. A ring is Artinian if it satisfies the descending chain condition for ideals.

- 1. Prove that if R is Artinian and  $I \subset R$  is an ideal, then R/I is Artinian.
- 2. Prove that if R is an Artinian integral domain, then it is a field. (Hint: Consider the descending chain  $(a) \supseteq (a^2) \supseteq (a^3) \supseteq \dots$  for a nonzero element  $a \in R$ .)

*Proof.* 1. Ideals in R/I have a one-to-one correspondence to ideals  $J \subset R$  containing I.

2. Then  $(a^i) = (a^{i+1})$  for some i. This shows a is a unit.

**Proposition 5.1.** An Artinian ring R that is also an integral domain is a field!

**Problem 5.3** (1.15). Let  $S = \mathbb{Z}[x_1, \dots, x_n]$  be naturally identified with a subring of  $R = \mathbb{Z}[x_1, x_2, x_3, \dots]$ .

- 1. Prove that if  $f \in S$  and  $(f) \subseteq (g)$  in R, then  $g \in S$  as well.
- 2. Conclude that the ascending chain condition for principal ideals holds in *R*, and factorization exists.

Proof. is obvious.

Proposition 5.2. A non-Noetherian ring where factorizations exist:

$$\mathbb{Z}[x_1,x_2,x_3,\ldots]$$

**Problem 5.4** (1.17). Consider the subring of  $\mathbb{C}$ :

$$\mathbb{Z}[\sqrt{-5}] := \{a + bi\sqrt{5} \mid a, b \in \mathbb{Z}\}.$$

- 1. Prove that this ring is isomorphic to  $\mathbb{Z}[t]/(t^2+5)$ . Prove that it is a Noetherian integral domain.
- 2. Define a norm N on  $\mathbb{Z}[\sqrt{-5}]$  by setting  $N(a+bi\sqrt{5})=a^2+5b^2$ . Note that N(zw)=N(z)N(w).
- 3. Prove that  $2, 3, 1 + i\sqrt{5}, 1 i\sqrt{5}$  are all irreducible nonassociate elements of  $\mathbb{Z}[\sqrt{-5}]$ .
- 4. Prove that no element listed in the preceding point is prime. (Rings obtained by modding out the ideals generated by these elements are not integral domains.)
- 5. Prove that  $\mathbb{Z}[\sqrt{-5}]$  is not a UFD.

*Proof.* 1. Establish by evaluation map at  $\sqrt{5}$ , and  $\mathbb{Z}$  is Noetherian, which impies  $\mathbb{Z}[t]/I$  is Noetherian. 3. Use norm. 4. For example quotient out by (2), then  $(1+i\sqrt{5})(1+i\sqrt{5})=0$ . 5.  $6=2\cdot 3=(1+i\sqrt{5})(1+i\sqrt{5})$ .

#### 5.2 UFD, PID, ED

**Problem 5.5** (2.5). gcd exists in UFD's but they don't in general: Let R be the subring of  $\mathbb{Z}[t]$  consisting of polynomials with no term of degree 1:

$$R = \{a_0 + a_2 t^2 + \dots + a_d t^d \mid a_i \in \mathbb{Z}\}.$$

- 1. Prove that R is indeed a subring of  $\mathbb{Z}[t]$ , and conclude that R is an integral domain.
- 2. List all common divisors of  $t^5$  and  $t^6$  in R.
- 3. Prove that  $t^5$  and  $t^6$  have no gcd in R.

*Proof.* 2.  $t^2$ ,  $t^3$ ,  $t^4$ ,  $t^5$ . 3.  $t^5$  doesn't work because  $t \notin R$ .

**Problem 5.6** (2.8). Let R be a UFD, and let  $I \neq (0)$  be an ideal of R. Prove that every descending chain of principal ideals containing I must stabilize.

*Proof.* There exists  $a \neq 0 \in I$ , consider its finite multiset of irreducible factors, every descending

$$(a_1) \supset (a_2) \supset \dots$$

gives an ascending

$$m(a_1) \subset m(a_2) \subset \dots$$

**Problem 5.7** (2.11). Let R be a PID, and let I be a nonzero ideal of R. Show that R/I is an Artinian ring, by proving explicitly that the d.c.c. holds in R/I.

*Proof.* Ideals in R/I corresponds to ideals J in R containing J, then using the above.

5.3. 29

**Problem 5.8** (2.19). A **discrete valuation** on a field k is a surjective homomorphism of abelian groups  $v:(k^*,\cdot)\to(\mathbb{Z},+)$  such that  $v(a+b)\geq \min(v(a),v(b))$  for all  $a,b\in k^*$  with  $a+b\in k^*$ .

- 1. Prove that the set  $R := \{a \in k^* \mid v(a) \ge 0\} \cup \{0\}$  is a subring of k.
- 2. Prove that R is a Euclidean domain.
- 3. Prove that the ring of rational numbers  $\frac{a}{b}$  with b not divisible by a fixed prime integer p is a DVR. (Rings arising in this fashion are called **discrete valuation rings** (DVR). Note that the Krull dimension of a DVR is 1.)

*Proof.* 2. You show that v is a Euclidean valuation: let  $a \in R, b \neq 0$ , then

$$v(a/b) = v(a) - v(b)$$

if  $\geq 0$ , then  $a/b \in R$ , we set q = 1, r = 0; if < 0, then set q = 0, then r = a, we have v(r) < v(b). 3. Set v(p) = 1, v(m) = 0 for m not divisible by p.

**Problem 5.9** (2.20). DVRs are Euclidean domains. In particular, they must be PIDs. Check this directly, as follows. Let R be a DVR, and let  $t \in R$  be an element such that v(t) = 1. Prove that if  $I \subseteq R$  is any nonzero ideal, then  $I = (t^k)$  for some  $k \ge 1$ . (The element t is called a **local parameter** of R.)

*Proof.* Let  $b \in I$  be such that v(b) is minimal in I, let k = v(b), we claim  $I = (t^k)$ .

#### 5.3

**Problem 5.10** (3.13). Let R be a commutative ring, and let N be its nilradical. Let  $r \notin N$ .

- 1. Consider the family  $\mathcal{F}$  of ideals of R that do not contain any power  $r^k$  of r for k > 0. Prove that  $\mathcal{F}$  has maximal elements.
- 2. Let I be a maximal element of  $\mathcal{F}$ . Prove that I is prime.
- 3. Conclude that  $r \notin N$  implies r is not in the intersection of all prime ideals of R.

This shows the nilradical of a commutative ring R equals the intersection of all prime ideals of R.

*Proof.* 1. Zorn's lemma: suffices to show every chain  $\{I_{\alpha}\}$  has an upper bound, which is the union.

2. Consider  $ab \in I$ , suppose  $a, b \notin I$ , then I + (a), I + (b) are not in  $\mathcal{F}$ , then it shows I is not in  $\mathcal{F}$ , contradiction.

3.  $r \notin I$ , done.

**Problem 5.11** (3.14). The **Jacobson radical** of a commutative ring R is the intersection of the maximal ideals in R. (Thus, the Jacobson radical contains the nilradical.)

Prove that r is in the Jacobson radical if and only if 1 + rs is invertible for every  $s \in R$ .

*Proof.* If r is in every  $\mathfrak{m} \in M$ , then for every  $s \in R$ ,  $rs \in \mathfrak{m}$  for every  $\mathfrak{m}$ , this implies that  $1 + rs \notin \mathfrak{m}$  for any  $\mathfrak{m}$ , i.e., 1 + rs is a unit. This is because if it is not a unit, then we can consider (1 + rs), by Zorn's lemma, it is contained in some  $\mathfrak{m}$ , which is a contradiction.

For the reverse reflection, suppose  $r \notin \mathfrak{m}$  for some  $\mathfrak{m}$ , then

$$\mathfrak{m} \subset \mathfrak{m} + (r) \Rightarrow m + (r) = R$$

i.e., for 1 = rs + m for some  $m \in \mathfrak{m}$ , i.e., m is a unit, which is a contradiction.

#### 5.4

**Proposition 5.3** (4.18). Let R be an integral domain. Prove the invertible elements in R[x] are exactly the units of R (as constant polynomials).

**Problem 5.12** (4.19). An element  $a \in R$  is **nilpotent** if  $a^n = 0$  for some  $n \ge 0$ . Prove that if a is nilpotent, then 1 + a is a unit.

*Proof.* a is in the intersection of all prime ideals, hence all maximal ideals, this implies 1 + a cannot be in any maximal ideal, i.e., 1 + a is a unit. (If 1 + a is not a unit, then (a + 1) is contained in some m).

#### 5.5 Irreducibility

**Problem 5.13** (5.4). Prove that  $f(x) = x^4 + x^2 + 1$  is reducible over  $\mathbb{Z}$ , and that it has no rational roots.

*Proof.* It can be factored completely:

$$f(x) = \frac{x^6 - 1}{x^2 - 1} = \frac{(x^3 + 1)(x^3 - 1)}{(x + 1)(x - 1)} = (1 - x + x^2)(1 + x + x^2)$$

The rational root theorem states  $\alpha = \pm 1$ , and neither are roots.

Problem 5.14 (5.6). Construct fields of 27 and 121 elements.

*Proof.*  $f(x) = x^3 + 2x + 1$  has no roots in  $\mathbb{F}_3$  and  $g(x) = x^2 + x + 7$  has no roots in  $\mathbb{F}_{11}$ .

**Problem 5.15** (5.7). Let R be an integral domain, let  $f \in R[x]$  be of degree d, prove that f(x) is determined uniquely by d+1 points in R.

*Proof.* Suppose  $f(x_i) = g(x_i)$  for  $1 \le i \le d+1$ , then consider h = f - g, then  $h(x_i) = 0$  for all i, since nonzero polynomials of degree d can have at most d roots, this shows h = 0.

**Problem 5.16** (5.10). Prove that  $(x-1)(x-2)\dots(x-n)-1$  is irreducible over  $\mathbb Q$  for all  $n\geq 1$ .

5.5. IRREDUCIBILITY 31

*Proof.* When n is odd, suppose F(x) = f(x)g(x), then WLOG assume f has degree  $\leq \frac{n-1}{2}$ , and we know for  $x_i = i$ ,  $f(x_i)g(x_i) = \pm 1$ , i.e., consider either f(x) - 1 or f(x) + 1, we get a polynomial with more zeros than its degree, i.e.,  $f \equiv 0$ .

If n is even (and the only case remaining is when  $\deg(f) = \deg(g) = \frac{n}{2}$ ), consider  $f(x)^2 - 1$ , this polynomial also has degree n, and has roots at  $x = 1, \ldots, n$ , since f is monic, we know

$$f(x)^2 - 1 = (x - 1) \dots (x - n)$$

This implies that

$$f(x)g(x) = f(x)^2 - 2 \Rightarrow f(x)(g(x) - f(x)) = -1$$

which is impossible.

**Problem 5.17** (5.14). How many different embeddings of the field  $\mathbb{Q}[t]/(t^3-2)$  are there in  $\mathbb{R}$  and  $\mathbb{C}$ .

Proof. Embeddings refer to the homomorphisms

$$\varphi: \mathbb{Q}[t]/(f(t)) \to \mathbb{R}$$

where

$$\varphi: t \mapsto \alpha \text{ where } f(\alpha) = 0$$

Thus there is 1 embedding into  $\mathbb{R}$  and 3 into  $\mathbb{C}$ .

**Problem 5.18** (5.18). Let  $f \in \mathbb{Z}[x]$  be a cubic polynomial such that f(0), f(1) are odd and with odd leading coefficients. Prove that f is irreducible over  $\mathbb{Q}$ .

*Proof.* Suffices to prove f is irreducible over  $\mathbb{Z}/p\mathbb{Z}$  for some p. Consider  $\mathbb{Z}/2\mathbb{Z}$ , then let  $f(x) = a_3x^3 + a_2x^2 + a_1x + a_0$ , we see f(x) can be  $x^3 + x^2 + 1$  or  $x^3 + x + 1$ , and both are irreducible over  $\mathbb{Z}/2\mathbb{Z}$ .

**Problem 5.19** (5.20). Prove that  $x^6 + 4x^3 + 1$  is irreducible by Eisenstein.

*Proof.* Replace x with x + 1, then done.

**Problem 5.20** (5.21). Prove that  $1 + x + x^2 + \cdots + x^{n-1}$  is reducible over  $\mathbb{Z}$  if n is not prime.



Warning 5.1.  $1 + x + x^2 + \cdots + x^{p-1}$  is irreducible by Eisenstein.

*Proof.* Let n = ab,

$$f(x) = \frac{x^n - 1}{x - 1}$$

where

$$(x^a)^b - 1 = (x^a - 1)(1 + x^a + \dots + x^{a(b-1)})$$

Then we see f is reducible.

#### 5.6 CRT

**Proposition 5.4** (6.2). Recall idempotent:  $a \in R$  such that  $a^2 = a$ , if R contains an idempotent, then

$$R \cong \frac{R}{(a)} \times \frac{R}{(1-a)}$$

(Proof sketch: endow (a) with a ring structure with (a) as the identity, then  $(a) \cong R/(1-a)$ ).

**Proposition 5.5** (6.8). Let  $n \in \mathbb{Z}$ , and  $n = p_1^{n_1} \dots p_r^{n_r}$ , then

$$\frac{\mathbb{Z}}{(n)} \cong \frac{\mathbb{Z}}{(p_1^{n_r})} \times \dots \times \frac{\mathbb{Z}}{(p_r^{n_r})}$$

and

$$\left(\frac{\mathbb{Z}}{(n)}\right)^* \cong \left(\frac{\mathbb{Z}}{(p_1^{n_r})}\right)^* \times \cdots \times \left(\frac{\mathbb{Z}}{(p_r^{n_r})}\right)^*$$

where  $(\mathbb{Z}/n\mathbb{Z})^*$  is the group of units.

## Chapter 6

## Linear Algebra I

#### 6.1 Basis

**Problem 6.1** (1.5). Let R be an integral domain. Prove or disprove the following:

- Every linearly independent subset of a free *R*-module may be completed to a basis.
- Every generating subset of a free *R*-module contains a basis.

*Proof.* Both are not true.  $(2) \subset \mathbb{Z}, (2), (3) \subset \mathbb{Z}$ .

**Problem 6.2** (1.11). Let R be a commutative ring, and let  $F = R^{\oplus B}$  be a free module over R. Let  $\mathfrak{m}$  be a maximal ideal of R, and let  $k = R/\mathfrak{m}$  be the quotient field. Prove that

$$F/\mathfrak{m}F \cong k^{\oplus B}$$

as *k*-vector spaces. Prove that commutative rings satisfy the IBN (Invariant Basis Number) property.

*Proof.* We can reduce any commutative ring to the field case.

**Problem 6.3** (1.12). Let V be a vector space over a field k, and let  $R = \operatorname{End}_{k\text{-Vect}}(V)$  be its ring of endomorphisms (cf. Exercise [III]5.9). (Note that R is not commutative in general.)

- Prove that  $\operatorname{End}_{k\text{-Vect}}(V \oplus V) \cong \mathbb{R}^4$  as an R-module.
- Prove that R does not satisfy the IBN property if  $V = k^{\oplus \mathbb{N}}$ .

(Note that  $V \cong V \oplus V$  if  $V = k^{\oplus \mathbb{N}}$ .)

*Proof.* Let  $\varphi:V\oplus V\to V\oplus V$ , then  $\varphi$  can be viewed as a  $2\times 2$  matrix. For  $V=k^{\oplus \mathbb{N}}$ , we have

$$R = \operatorname{End}(V) = \operatorname{End}(V \oplus V) = R^4$$

**Problem 6.4** (1.19). Let k be a field, and let  $f(x) \in k[x]$  be any polynomial. Prove that there exists a multiple of f(x) in which all exponents of nonzero monomials are prime integers.

Example: For  $f(x) = 1 + x^5 + x^6$ ,

$$(1+x^5+x^6)(2x^2-x^3+x^5-x^8+x^9-x^{10}+x^{11}) = 2x^2-x^3+x^5+2x^7+2x^{11}-x^{13}+x^{17}.$$

*Proof.* Note that k[x]/(f(x)) is a finitely generated k-module, i.e., a vector space of finite dimensions, hence the polynomials of prime powers are linearly dependent and thus a nontrivial combination is in (f(x)).  $\square$ 

#### 6.2 Nakayama's Lemma

**Problem 6.5** (3.7). Let R be a commutative ring, M a finitely generated R-module, and let J be an ideal of R. Assume JM = M. Prove that there exists an element  $b \in J$  such that (1 + b)M = 0.

*Proof.* Let  $M = \langle m_1, \dots, m_r \rangle$ , since JM = M, we can write any  $m_i \in M$  as

$$m_i = \sum_{j=1}^r b_{ij} m_j$$

defining matrix  $B = (b_{ij})$ , we see that

$$B\begin{pmatrix} m_1 \\ \dots \\ m_r \end{pmatrix} = \begin{pmatrix} m_1 \\ \dots \\ m_r \end{pmatrix}$$

Thus (B-I)m=0 for all  $m\in M$ , by previous exercise, we know  $\det(B-1)=0$ , we see that there exists  $b\in J$  such that

$$(1+b)M = 0$$

**Problem 6.6** (3.8). Let R be a commutative ring, M be a finitely generated R-module, and let J be an ideal of R contained in the Jacobson radical of R. Prove that

$$M = 0 \iff JM = M.$$

*Proof.* It follows directly from the above exercise. If JM=M, then there exists  $b\in J$  such that (1+b)M=0, moreover, if  $b\in J$ , then 1+b is a unit, i.e., m=0 for all  $m\in M$ .

**Problem 6.7** (3.9). Let R be a commutative local ring, that is, a ring with a single maximal ideal  $\mathfrak{m}$ , and let M,N be finitely generated R-modules. Prove that if  $M=\mathfrak{m}M+N$ , then M=N. (Hint: apply Nakayama's to M/N and note that  $\mathfrak{m}$  is the Jacobson ideal),.

*Proof.* Directly follows from the hint.

**Problem 6.8** (3.10). Let R be a commutative local ring, and let M be a finitely generated R-module. Note that  $M/\mathfrak{m}M$  is a finite-dimensional vector space over the field  $R/\mathfrak{m}$ ; let  $m_1, \ldots, m_r \in M$  be elements whose cosets mod  $\mathfrak{m}M$  form a basis of  $M/\mathfrak{m}M$ . Prove that  $m_1, \ldots, m_r$  generate M. Hint: Show that  $\langle m_1, \ldots, m_r \rangle + \mathfrak{m}M = M$ ; then apply Nakayama's lemma in the form of Exercise 3.9.

6.3. INVARIANTS 35

*Proof.* We will write out this: for every  $m \in M$ , we know there exists  $a_i$  such that

$$m - \sum_{i=1}^{i} a_i m_i \in mM$$

hence

$$\langle m_1, \dots, m_r \rangle + \mathfrak{m}M = M$$

Then it follows from if  $M = \mathfrak{m}M + N$ , then M = N.

#### 6.3 Invariants

**Proposition 6.1.** Let R be a an integral domain,  $\alpha$  is injective iff  $\det(\alpha) \neq 0$ , and  $\alpha$  is surjective iff  $\det(\alpha)$  is a unit.

**Problem 6.9** (6.10). Let  $F_1, F_2$  be free R-modules of finite rank, and let  $\alpha_1$ , resp.,  $\alpha_2$ , be linear transformations of  $F_1$ , resp.,  $F_2$ . Let  $F = F_1 \oplus F_2$ , and let  $\alpha = \alpha_1 \oplus \alpha_2$  be the linear transformation of F restricting to  $\alpha_1$  on  $F_1$  and  $\alpha_2$  on  $F_2$ .

- Prove that  $P_{\alpha}(t) = P_{\alpha_1}(t)P_{\alpha_2}(t)$ . That is, the characteristic polynomial is multiplicative under direct sums.
- Find an example showing that the minimal polynomial is not multiplicative under direct sums.

*Proof.* The determinant of block diagonal matrices is the product of the determinant. Let both be the identity matrix.  $\Box$ 

**Problem 6.10** (6.13). Let *A* be a square matrix with integer entries. Prove that if  $\lambda$  is a rational eigenvalue, then  $\lambda \in \mathbb{Z}$ .

*Proof.* Let  $p(t) = a_0 + a_1 t + \dots + a_n t^n$  be the characteristic polynomial of A, then  $p(\lambda) = 0$ , letting  $\lambda = \frac{p}{q}$ , then

$$p \mid a_0, \quad q \mid a_n$$

we know that p is monic, thus  $a_n = 1$ , hence  $\lambda \in \mathbb{Z}$ .

**Problem 6.11** (7.3). Prove that two linear transformations of a vector space of dimension  $\leq 3$  are similar if and only if they have the same characteristic and minimal polynomials. Is this true in dimension 4?

*Proof.* Two matrices are similar iff they have the same Jordan form. For n=4: consider

$$T_1 = \begin{pmatrix} \lambda & 1 & 0 & 0 \\ 0 & \lambda & 0 & 0 \\ 0 & 0 & \lambda & 1 \\ 0 & 0 & 0 & \lambda \end{pmatrix} \quad T_2 = \begin{pmatrix} \lambda & 1 & 0 & 0 \\ 0 & \lambda & 0 & 0 \\ 0 & 0 & \lambda & 0 \\ 0 & 0 & 0 & \lambda \end{pmatrix}$$

Both  $T_1, T_2$  have  $p(t) = (t - \lambda)^4$  and  $m(t) = (t - \lambda)^2$ , but they are not similar ( $\lambda$  has 2 eigenvectors in  $T_1$  but 3 in  $T_2$ ).

**Proposition 6.2** (7.4). Let k be a field, and let K be a field containing k. Then A and B are similar over k if and only if they are similar over K.

**Problem 6.12** (7.7). Let V be a k-vector space of dimension n, and let  $\alpha \in \operatorname{End}_k(V)$ . Prove that the minimal and characteristic polynomials of  $\alpha$  coincide if and only if there is a vector  $v \in V$  such that

$$\{v, \alpha(v), \ldots, \alpha^{n-1}(v)\}$$

is a basis of V.

*Proof.* The minimal and characteristic of  $\alpha$  coincide iff

$$V \cong \frac{k[t]}{(f(t))}$$

as k[t] modules, where  $f(t) = b_n t^n + \cdots + b_0$  has an action on V as

$$f(t)(v) = b_n \alpha^n(v) + \dots + b_0 v$$

(And  $\alpha$  has an action on the k[t]-module by multiplication by t). The RHS has basis  $\{1,t,\ldots,t^{n-1}\}$ , and we are given a isomorphism  $\varphi:\frac{k[t]}{(f(t))}\to V$  as  $\varphi:t\mapsto\alpha(\varphi(1))$ , hence we see

$$\{\varphi(1), \alpha(\varphi(1)), \dots, \alpha^{n-1}(\varphi(1))\}\$$

is a basis of V. Note that for example, as k[t]-modules, we have

$$\varphi(t) = t\varphi(1) = \alpha(\varphi(1))$$

because  $\alpha$  acts by multiplication by t.

Conversely, suppose that  $\{v, \alpha(v), \alpha^{n-1}(v)\}$  is a basis for V, then there exists a surjective map  $\psi : k[t] \to V$  given by

$$\psi(1) = v$$

because

$$\psi(t^k)=t^k\varphi(1)=\alpha^k(v)$$

Hence

$$V \cong \frac{k[t]}{(f(t))}$$

for irreducible (f(t)) because k[t] is a PID. This shows that characteristic polynomial and minimal polynomial coincide.

**Problem 6.13** (7.8). Let V be a k-vector space of dimension n, and let  $\alpha \in \operatorname{End}_k(V)$ . Prove that the characteristic polynomial  $P_{\alpha}(t)$  divides a power of the minimal polynomial  $m_{\alpha}(t)$ .

*Proof.* Assume that k is algebraically closed, and polynomials factors, the minimal polynomial  $m_{\alpha}$  contains all the  $(t - \lambda_i)$  for distinct  $\lambda_i$ 's by Lemma 7.12. Thus  $P_{\alpha}$  divides  $(m_{\alpha})^n$ .

The more general case follows directly from decomposition theorem: there exists monic  $f_1, \dots, f_m$  such that

$$V \cong \frac{k[t]}{(f_1(t))} \oplus \cdots \oplus \frac{k[t]}{(f_m(t))}$$

as k[t]-modules, where  $f_1(t) \mid \cdots \mid f_m(t)$ . The characteristic and minimal polynomials are such that

$$P_{\alpha}(t) = f_1(t) \dots f_m(t)$$

6.3. INVARIANTS 37

and

$$m_{\alpha}(t) = f_m(t)$$

but the minimal and characteristic polynomials are the same over k and  $\bar{k}$ , so no need for the assumption that k is algebraically closed, so we are done.

**Problem 6.14** (7.12). Let V be a finite-dimensional k-vector space, and let  $\alpha \in \operatorname{End}_k(V)$  be a diagonalizable linear transformation. Assume that  $W \subseteq V$  is an invariant subspace, so that  $\alpha$  induces a linear transformation  $\alpha|_W \in \operatorname{End}_k(W)$ . Prove that  $\alpha|_W$  is also diagonalizable. (Use Proposition 7.18.)

*Proof.* Assume that characteristic polynomial factors completely over k, then  $\alpha$  is diagonalizable iff minimal polynomial  $m_{\alpha}$  has no repeated roots, thus  $\alpha|_{W}$  also has no repeated roots as it divides  $m_{\alpha}$ .

**Problem 6.15** (7.13). Let R be an integral domain. Assume that  $A \in \mathcal{M}_n(R)$  is diagonalizable, with distinct eigenvalues. Let  $B \in \mathcal{M}_n(R)$  be such that AB = BA. Prove that B is also diagonalizable, and in fact it is diagonal w.r.t. a basis of eigenvectors of A. (If P is such that  $PAP^{-1}$  is diagonal, note that  $PAP^{-1}$  and  $PBP^{-1}$  also commute.)

*Proof.* It suffices to see that if  $v_1 \neq 0$  is such that  $Av_1 = \lambda_1 v_1$ , then

$$A(Bv_1) = B(Av_1)$$

$$= B\lambda_1 v_1$$

$$= \lambda_1 (Bv_1)$$

Thus  $Bv_1$  is contained in the one-dimensional subspace generated by  $v_1$ .

**Problem 6.16** (7.14). Prove that "commuting transformations may be simultaneously diagonalized", in the following sense. Let V be a finite-dimensional vector space, and let  $\alpha, \beta \in \operatorname{End}_k(V)$  be diagonalizable transformations. Assume that  $\alpha\beta = \beta\alpha$ . Prove that V has a basis consisting of eigenvectors of both  $\alpha$  and  $\beta$ . (Argue as in Exercise 7.13 to reduce to the case in which V is an eigenspace for  $\alpha$ ; then use Exercise 7.12.)

*Proof.* Separate into eigenspaces: consider eigenspace  $E_1$  of  $\alpha$ , then diagonalize  $\beta$  in  $E_1$  (by 7.12), note that  $E_1$  is invariant under  $\beta$ .

**Problem 6.17** (7.15). A **complete flag** of subspaces of a vector space V of dimension n is a sequence of nested subspaces

$$0 = V_0 \subsetneq V_1 \subsetneq \cdots \subsetneq V_{n-1} \subsetneq V_n = V$$

with  $\dim V_i = i$ . In other words, a complete flag is a composition series in the sense of Exercise 1.16. Let V be a finite-dim vector space over algebraically closed k. Prove that every linear transformation  $\alpha$  of V preserves a complete flag: there is a complete flag as above and such that  $\alpha(V_i) \subset V_i$ .

Find a linear transformation of  $\mathbb{R}^2$  that does not preserve a complete flag.

*Proof.* It suffices take  $V_i$  as the subspaces generated by eigenvectors. An example in  $\mathbb{R}^2$ :

$$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

## 6.4 Classification of Finitely Generated Modules over PID

5.2, 5.13, 5.14

### Chapter 7

## **Fields**

#### 7.1

**Problem 7.1.** Fix an ideal *I*, show that

$$\mathrm{rad}(I)=\bigcap_{\mathfrak{p}\supset I}\mathfrak{p}$$

where the RHS ranges over all prime ideals  $\mathfrak{p}$  containing I. This shows that the nilradical is the intersection of all prime ideals.

#### here

**Proposition 7.1.** If  $k \subseteq E$  is a field extension, then char  $k = \operatorname{char} E$ .

**Proposition 7.2.** For simple extension  $k \subset k(\alpha)$ :

- 1. If  $\alpha$  is algebraic, then every element in  $k(\alpha)$  can be written as a polynomial in  $\alpha$ .
- 2. If  $\alpha$  is transcendental, then every element in  $k(\alpha)$  can be written as a rational function in  $\alpha$ .

**Problem 7.2.** Let  $\varphi: k(t) \to k(\alpha)$ , such that

$$\varphi:t\mapsto\alpha$$

Why is this map not in general surjective?

*Proof.* Suppose that  $\alpha$  is algebraic, then  $k(\alpha)$  is finite-dimensional, and  $\varphi$  is a field homomorphism, so if nontrivial then is injective. This is a contradiction.

**Problem 7.3.** Let  $k \subseteq k(\alpha)$  be a simple extension, with  $\alpha$  transcendental over k. Let E be a subfield of  $k(\alpha)$  properly containing k. Prove that  $k(\alpha)$  is a finite extension of E.

*Proof.* Since every element in  $k(\alpha)$  is a rational function in  $\alpha$ , then E contains at least some element

$$\frac{p(\alpha)}{q(\alpha)} \in E$$

40 CHAPTER 7. FIELDS

It suffices to show that  $\alpha$  is algebraic over E, indeed we can define

$$f(t) = \frac{p(\alpha)}{q(\alpha)}q(t) - p(t)$$

We see that  $f(\alpha) = 0$ . Hence  $[k(\alpha) : E] \leq \deg(f)$ , we are done.

**Problem 7.4.** Show the following:

- 1. Prove that there is exactly one subfield of  $\mathbb{R}$  isomorphic to  $\mathbb{Q}[t]/(t^2-2)$ .
- 2. Prove that there are exactly three subfields of  $\mathbb{C}$  isomorphic to  $\mathbb{Q}[t]/(t^3-2)$ .

*Proof.* 1. We have  $\mathbb{Q}(\sqrt{2}) = \mathbb{Q}(-\sqrt{2})$ .

2. Let  $\omega_3$  denote the 3rd root of unity, then the three subfields are

$$\mathbb{Q}(\sqrt[3]{2}), \mathbb{Q}(\omega_3\sqrt[3]{2}), \mathbb{Q}(\omega_3^2\sqrt[3]{2})$$

We show that they are not contained in one another: suppose

$$\omega_3 \sqrt[3]{2} \in \mathbb{Q}(\omega_3^2 \sqrt[3]{2})$$

Then

$$\frac{\omega_{3}\sqrt[3]{2}}{\omega_{3}^{2}\sqrt[3]{2}} = \omega_{3}^{-1} = \omega_{3}^{2} \in \mathbb{Q}(\omega_{3}^{2}\sqrt[3]{2})$$

but  $\omega_3^2$  has minimal polynomial

$$p(t) = t^2 + t + 1$$

over Q, which means that

$$\mathbb{Q} \subset \mathbb{Q}(\omega_3^2) \subset \mathbb{Q}(\omega_3^2\sqrt[3]{2})$$

a degree 3 extension contains a degree 2 extension, which is impossible.

**Problem 7.5.** Let  $k \subseteq F$  be a field extension, and let  $f(x) \in k[x]$  be a polynomial. Prove that  $\mathrm{Aut}_k(F)$  acts on the set of roots of f(x) contained in F. Provide examples showing that this action need not be transitive or faithful.

*Proof.* Not transitive:  $Q(\sqrt{2}, \sqrt{3})$ , there is  $\varphi$  that takes  $\sqrt{2}$  to  $\sqrt{3}$ . Not faithful: consider  $f(x) = x^2 - 2$  in this field extension, then  $\sigma : \sqrt{3} \mapsto -\sqrt{3}$  fixes all roots of f, but  $\sigma$  is not the identity.

**Proposition 7.3.** Let  $k \subseteq F$  be a field extension, and let  $\alpha \in F$  be algebraic over k. Let p(x) be the minimal polynomial of  $\alpha$ , then  $f(\alpha) = 0$  iff  $p(x) \mid f(x)$ .

**Problem 7.6.** Let  $f(x) \in k[x]$  be a polynomial over a field k of degree d, and let  $\alpha_1, \ldots, \alpha_d$  be the roots of f(x) in an extension of k where the polynomial factors completely. For a subset  $I \subseteq \{1, \ldots, d\}$ , denote by  $\alpha_I$  the sum  $\sum_{i \in I} \alpha_i$ . Assume that  $\alpha_I \in k$  only for  $I = \emptyset$  and  $I = \{1, \ldots, d\}$ . Prove that f(x) is irreducible over k.

*Proof.* Suppose that f(x) = g(x)h(x), then let  $\alpha_I = \sum_{i=1}^n a_i$ , where the sum is over the roots of g. We claim that  $\alpha_I \in k$ :

$$g(x) = (x - \alpha_1) \dots (x - \alpha_n)$$

then the coefficient of  $x^{n-1}$  is exactly  $(-1)^n \alpha_I$ , which is in k.

7.1. 41

**Proposition 7.4.** Let k be a finite field. Prove that the order |k| is a power of a prime integer. (Any finite field has characteristic of some prime p, then it is a vector space over  $\mathbb{F}_p$ ).

**Proposition 7.5.** Let k be a field. Then the ring of square  $n \times n$  matrices  $\mathcal{M}_n(k)$  contains an isomorphic copy of every extension of k of degree  $\leq n$ . Proof: if  $k \subseteq F$  is an extension of degree n and  $n \in F$ , then 'multiplication by n' is a k-linear transformation of n.

*Proof.* Note that this determines a injective ring map  $\varphi : F \to \operatorname{End}_k F$ ,

$$\varphi: \alpha \mapsto M_{\alpha}$$

**Problem 7.7.** Let  $k \subseteq F$  be a finite field extension, and let p(x) be the characteristic polynomial of the k-linear transformation of F given by multiplication by  $\alpha$ . Prove that  $p(\alpha) = 0$ .

*Proof.* We have  $p(M_{\alpha}) = 0$  by Cayley-Hamilton, because the above map is injective, we know

$$p(\alpha) = 0$$

**Problem 7.8.** Let  $k \subseteq F$  be a finite field extension, and let  $\alpha \in F$ . The norm of  $\alpha$ ,  $N_{k \subseteq F}(\alpha)$ , is the determinant of the linear transformation of F given by multiplication by  $\alpha$ . Prove that the norm is multiplicative: for  $\alpha, \beta \in F$ ,

$$N_{k \subset F}(\alpha \beta) = N_{k \subset F}(\alpha) N_{k \subset F}(\beta).$$

Compute the norm of a complex number viewed as an element of the extension  $\mathbb{R} \subseteq \mathbb{C}$ . Do the same for elements of an extension  $\mathbb{Q}(\sqrt{d})$  of  $\mathbb{Q}$ , where d is an integer that is not a square.

*Proof.* It's just saying that

$$\det(M_{\alpha\beta}) = \det(M_{\alpha}) \det(M_{\beta})$$

and you check this by hand.

**Problem 7.9.** Define the trace  $\operatorname{tr}_{k\subseteq F}(\alpha)$  of an element  $\alpha$  of a finite extension F of a field k analogously to the norm. Prove that the trace is additive:

$$\operatorname{tr}_{k \subset F}(\alpha + \beta) = \operatorname{tr}_{k \subset F}(\alpha) + \operatorname{tr}_{k \subset F}(\beta)$$

for  $\alpha, \beta \in F$ . Compute the trace of an element of an extension  $\mathbb{Q} \subseteq \mathbb{Q}(\sqrt{d})$ , for d an integer that is not a square.

*Proof.* This is just to say trace is additive as a ring map.

42 CHAPTER 7. FIELDS

**Problem 7.10.** Let  $k \subseteq k(\alpha)$  be a simple algebraic extension, and let  $x^d + a_{d-1}x^{d-1} + \cdots + a_0$  be the minimal polynomial of  $\alpha$  over k. Prove that

$$\operatorname{tr}_{k\subseteq k(\alpha)}(\alpha) = -a_{d-1}$$
 and  $N_{k\subseteq k(\alpha)}(\alpha) = (-1)^d a_0$ .

*Proof.* Write the basis as  $\{1, \alpha, \dots, \alpha^{n-1}\}$ .

**Problem 7.11.** Let  $k \subseteq F$  be a finite extension, and let  $\alpha \in F$ . Assume  $[F : k(\alpha)] = r$ . Prove that

$$\operatorname{tr}_{k \subset F}(\alpha) = r \operatorname{tr}_{k \subset k(\alpha)}(\alpha)$$
 and  $N_{k \subset F}(\alpha) = N_{k \subset k(\alpha)}(\alpha)^r$ .

(Hint: If  $f_1, \ldots, f_r$  is a basis of F over  $k(\alpha)$  and  $\alpha$  has degree d over k, then  $(f_i\alpha^j)_{i=1,\ldots,r}$  is a basis of F over k. The matrix corresponding to multiplication by  $\alpha$  with respect to this basis consists of r identical square blocks.)

**Problem 7.12.** Let  $k \subseteq L \subseteq F$  be fields, and let  $\alpha \in F$ . If  $k \subseteq k(\alpha)$  is a finite extension, then  $L \subseteq L(\alpha)$  is finite and  $[L(\alpha):L] \leq [k(\alpha):k]$ .

*Proof.* Let  $p_k$  be the minimal polynomial of  $\alpha$  over k, then the minimal polynomial  $p_L$  of  $\alpha$  over k is such that

$$p_L \mid p_k$$

because  $p_k(\alpha) = 0$ . Thus  $L(\alpha)/L$  has degree less than or equal to that of  $k(\alpha)/k$ .

**Problem 7.13.** Let R be a ring sandwiched between a field k and an algebraic extension F of k. Prove that R is a field. Is it necessary to assume that the extension is algebraic?



**Warning 7.1.** You should be comfortable with solving for  $\alpha^{-1}$ .

It suffices to show that R is closed under taking inverses. We have the minimal polynomial of  $\alpha \in R$  as

$$p(t) = t^n + \dots + a_1 t + a_0$$

Then solving for  $\alpha^{-1}$ :

$$\alpha(\alpha^{n-1} + \dots + a_1) = -a_0$$

Thus we see

$$\alpha^{-1} = -\frac{(\alpha^{n-1} + \dots + a_1)}{a_0}$$

Since *R* is a ring containing  $\alpha$ , then  $\alpha^{-1}$  is in *R*. The assumption is necessary:  $\mathbb{Q} \subset \mathbb{Q}[x] \subset \mathbb{Q}(x)$ .

**Proposition 7.6.** Let  $k \subseteq F$  be a field extension of degree p, a prime integer. Then there are no subrings of F properly containing k and properly contained in F.

Proof. Proof. By the previous problem.

7.1. 43

**Problem 7.14.** Let p be a prime integer, and let  $\alpha = \sqrt[p]{2} \in \mathbb{R}$ . Let  $g(x) \in \mathbb{Q}[x]$  be any non-constant polynomial of degree < p. Prove that  $\alpha$  may be expressed as a polynomial in  $g(\alpha)$  with rational coefficients. Note an analogous statement for  $\sqrt[4]{2}$  is false.

Proof. Consider

$$\mathbb{Q} \subset \mathbb{Q}(g(\alpha)) \subset Q(\alpha)$$

We must have  $\mathbb{Q}(g(\alpha)) = \mathbb{Q}(\alpha)$ .

**Problem 7.15.** Let  $k \subseteq F$  be a field extension, and let E be the intermediate field consisting of the elements of F which are algebraic over k. For  $\alpha \in F$ , prove that  $\alpha$  is algebraic over E if and only if  $\alpha \in E$ .



#### Warning 7.2. This uses finitely generated extensions are finite iff algebraic!

For forward direction, it suffices to show that  $\alpha$  is algebraic over k, consider the minimal polynomial of  $\alpha$  over E,

$$p(t) = t^n + \dots + a_1 t + a_0 \in E[t]$$

Then  $\alpha$  is algebraic over  $k(a_0, \dots, a_{n-1})$ , which is finite over k because each  $a_i$  is in E, hence algebraic over k. Now

$$k(a_0,\ldots,a_{n-1},\alpha)$$

is a finite extension, i.e.,  $\alpha$  is algebraic over k.

**Problem 7.16.** Let  $k \subseteq F$  be a field extension, and let  $\alpha \in F$ ,  $\beta \in F$  be algebraic, of degree d, e, resp. Assume d, e are relatively prime, and let p(x) be the minimal polynomial of  $\beta$  over k. Prove p(x) is irreducible over  $k(\alpha)$ .



#### Warning 7.3. This is a qual question.

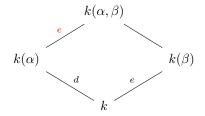
*Proof.* It suffices to show that  $k(\alpha, \beta)/k(\alpha)$  has degree e. We know that  $[k(\alpha, \beta) : k(\alpha)] \le e$ , moreover,

$$e \mid d \cdot [k(\alpha, \beta) : k(\alpha)]$$

which implies that

$$e \mid [k(\alpha, \beta) : k(\alpha)]$$

In other words,  $[k(\alpha, \beta) : k(\alpha)] = e$ , and we are done.



44 CHAPTER 7. FIELDS

**Problem 7.17.** Express  $\sqrt{2}$  explicitly as a polynomial function in  $\sqrt{2} + \sqrt{3}$  with rational coefficients.

*Proof.* Let  $a = \sqrt{2} + \sqrt{3}$ , find minimal polynomial, solve for  $a^{-1}$ : write  $a^{-1}$  as a polynomial in a, then we see  $2\sqrt{2} = a - a^{-1}$ .

**Proposition 7.7.** Let k be a field of characteristic  $\neq 2$ , and let  $a, b \in k$  be elements that are not squares in k; prove that  $k(\sqrt{a}, \sqrt{b}) = k(\sqrt{a} + \sqrt{b})$ .

**Problem 7.18.** Let  $\xi := \sqrt{2 + \sqrt{2}}$ .

- Find the minimal polynomial of  $\xi$  over  $\mathbb{Q}$ , and show that  $\mathbb{Q}(\xi)$  has degree 4 over  $\mathbb{Q}$ .
- Prove that  $\sqrt{2-\sqrt{2}}$  is another root of the minimal polynomial of  $\xi$ .
- Prove that  $\sqrt{2-\sqrt{2}} \in \mathbb{Q}(\xi)$ . (Hint:  $(a+b)(a-b) = a^2 b^2$ .)
- By Proposition I.5, sending  $\xi$  to  $\sqrt{2} \sqrt{2}$  defines an automorphism of  $\mathbb{Q}(\xi)$  over  $\mathbb{Q}$ . Find the matrix of this automorphism w.r.t. the basis  $1, \xi, \xi^2, \xi^3$ .
- Prove that  $\operatorname{Aut}_{\mathbb{Q}}(\mathbb{Q}(\xi))$  is cyclic of order 4.

*Proof.* This is a standard exercise, the matrix given in part 4 has order 4, hence showing the automorphism group is cyclic of order 4.

#### 7.2

**Problem 7.19.** Let  $k \subseteq \overline{k}$  be an algebraic closure, and let L be an intermediate field. Assume that every polynomial  $f(x) \in k[x] \subseteq L[x]$  factors as a product of linear terms in L[x]. Prove that  $L = \overline{k}$ .

*Proof.* Let  $\alpha \in \bar{k}$ , then  $\alpha$  is algebraic over k, i.e., there exists  $f \in k[x]$  such that  $f(\alpha) = 0$ , and by assumption

$$f(x) = (x - c_1) \dots (x - c_n)$$

where  $c_i \in L$ . This implies that  $\alpha = c_i$  for some i, i.e,  $\alpha \in L$ .

**Problem 7.20.** Let  $\sqrt{I}$  be the radical of an ideal of ring R.

$$rad(I) = \sqrt{I} = \{a \in R : a^n \in I \text{ for some } n\}$$

- Prove that the set  $\sqrt{I}$  is an ideal of R.
- Prove that  $\sqrt{I}$  corresponds to the nilradical of R/I via the correspondence between ideals of R/I and ideals of R containing I.
- Prove that  $\sqrt{I}$  is in fact the intersection of all prime ideals of R containing I.
- Prove that I is radical if and only if R/I is reduced.

*7.2.* 45

*Proof.* Definitions: radical of *I* is

$$\sqrt{I} = \{ a \in R : a^n \in I \text{ for some } n \}$$

and an ideal I is called radical if  $a^n \in I$  implies  $a \in I$ . One can note that "radical of an ideal is radical." (We will show

$$\sqrt{I} = \bigcap_{p \supset I} p$$

where p is the collection of prime ideals in R. Note that a prime ideal  $p \in R$  corresponds to a prime ideal in R/I. We will show 2,3 separately). Now for last bullet: if I is radical, then  $I = \sqrt{I}$  (we know a priori  $I \subset \sqrt{I}$ ), so R/I is reduced. Conversely assume that R/I is reduced, then  $\sqrt{I} = I$ , thus I is radical because  $\sqrt{I}$  is radical.

Problem 7.21. Prove that every ideal in a Noetherian ring contains a power of its radical.

*Proof.* Let  $\sqrt{I}=(a_1,\ldots,a_k)$ , then by definition,  $a_i^{n_i}\in I$  for each i. Let  $N=\sum_i n_i$ , we see that

$$(\sqrt{I})^N = \left\langle a_1^{m_i} \dots a_k^{m_k} : \sum_i m_i = N \right\rangle$$

Then by pigenholing, there exists  $n_i, m_i$  such that  $m_i \ge n_i$  for some i. In other words,  $a_i^{m_i} \dots a_k^{m_k} \in I$ , thus

$$(\sqrt{I})^N \subset I$$

### **Chapter 8**

# Linear Algebra II

### 8.1 Tensor and Hom

**Proposition 8.1.** Let S be a multiplicative set of R, and M is an R-module, then

$$S^{-1}M \cong M \otimes_R S^{-1}R$$

as R-modules.

**Problem 8.1** (2.7). Changing the base ring in a tensor may or may not make a difference:

- 1. Prove that  $\mathbb{Q} \otimes_{\mathbb{Z}} \mathbb{Q} \cong \mathbb{Q} \otimes_{\mathbb{Q}} \mathbb{Q}$ .
- 2. Prove that  $\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C} \cong \mathbb{C} \otimes_{\mathbb{C}} \mathbb{C}$ .

*Proof.* Viewing them as vector spaces, both are isomorphic to  $\mathbb{Q}$ ;  $\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C}$  has dimension 4, whereas  $\mathbb{C} \otimes_{\mathbb{C}} \mathbb{C} \cong \mathbb{C}$  has dimension 1.

**Proposition 8.2** (2.8). Let R be an integral domain, with field of fractions K, and let M be a finitely generated R-module. The tensor product  $V := M \otimes_R K$  is a K-vector space. Then  $\dim_K V$  equals the rank of M as an R-module (recall that rank refers to the max number of linearly independent elements for R integral domain).

**Problem 8.2** (2.9). Let G be a finitely generated abelian group of rank r.

- Prove that  $G \otimes_{\mathbb{Z}} \mathbb{Q} \cong \mathbb{Q}^r$ .
- Prove that for infinitely many primes  $p, G \otimes_{\mathbb{Z}} (\mathbb{Z}/p\mathbb{Z}) \cong (\mathbb{Z}/p\mathbb{Z})^r$ .

*Proof.* We know that

$$G \cong \mathbb{Z}^r \oplus \left( \bigoplus_{i,j} \frac{\mathbb{Z}}{p_i^{r_{ij}} \mathbb{Z}} \right)$$

We see that

$$\mathbb{Z}^r \otimes_{\mathbb{Z}} \mathbb{Q} \cong \mathbb{Q}^r$$

whereas

$$\mathbb{Q} \otimes_{\mathbb{Z}} \frac{\mathbb{Z}}{p\mathbb{Z}} = 0$$

for any p:

$$\frac{a}{b} \otimes 1 = \frac{a}{pb} \otimes p = 0$$

2. We know

$$\mathbb{Z}^r \otimes_{\mathbb{Z}} (\mathbb{Z}/p\mathbb{Z}) \cong (\mathbb{Z}/p\mathbb{Z})^r$$

And for primes that are not  $p_i$  in the decomposition, we have

$$\frac{\mathbb{Z}}{p\mathbb{Z}} \otimes_{\mathbb{Z}} \frac{\mathbb{Z}}{p_i \mathbb{Z}} = \frac{\mathbb{Z}}{\gcd(p, p_i)} = 0$$

**Problem 8.3** (2.10). Let  $k \subseteq k(\alpha) = F$  be a finite simple field extension. Note that  $F \otimes_k F$  has a natural ring structure; cf. Exercise 2.4.

- Prove that  $\alpha$  is separable over k if and only if  $F \otimes_k F$  is reduced as a ring.
- Prove that  $k \subseteq F$  is Galois if and only if  $F \otimes_k F$  is isomorphic to  $F^{[F:k]}$  as a ring.

*Proof.* If  $\alpha$  is separable, then

$$F \otimes_k F \cong \frac{F[x]}{(f(x))} \otimes_k F \cong F^{[k:n]}$$

thus reduced. Conversely, if  $\alpha$  is not separable, then it has a repeated root in its splitting field, suppose for example  $f(x) = (x - r)^2$ , then

$$F \otimes_k F \cong \frac{F[x]}{(f(x))} \otimes_k F$$

then (x - r) would be a nilpotent.

### 8.2 Symmetric and Wedge Products

**Problem 8.4** (4.4). Let  $F_1$  and  $F_2$  be free R-modules of finite rank.

- 1. Construct a meaningful isomorphism  $\det(F_1) \otimes \det(F_2) \cong \det(F_1 \oplus F_2)$ .
- 2. More generally, prove that

$$\wedge_R^r(F_1 \oplus F_2) \cong \bigoplus_{i+j=r} (\wedge_R^i F_1) \otimes_R (\wedge_R^j F_2).$$

*Proof.* The nonmeaning isomorphism is that both  $\det(F_1)$ ,  $\det(F_2)$ ,  $\det(F_1 \oplus F_2)$  are one-dimensional R-modules, i.e.,

$$\det(F_1) \otimes_R \det(F_2) \cong R \otimes_R \cong R \cong \det(F_1 \oplus F_2)$$

therefore they are isomorphic. Let  $F_1$ ,  $F_2$  have rank n, k.

$$\det(F_1) = \operatorname{Span}(v_1 \wedge \cdots \wedge v_n), \quad \det(F_2) = \operatorname{Span}(w_1 \wedge \cdots \wedge w_k)$$

whereas

$$\det(F_1 \oplus F_2) = \operatorname{Span}(e_1 \wedge \cdots \wedge e_{n+k})$$

after reindexing the basis. Thus the isomorphism is

$$\varphi: (v_1 \wedge \cdots \wedge v_n) \otimes w_1 \wedge \cdots \wedge w_k \mapsto e_1 \wedge \cdots \wedge e_{n+k}$$

2. By dimension argument, or equivalently construct an isomorphism:

$$\phi\left((x_1\wedge\cdots\wedge x_i)\otimes(y_1\wedge\cdots\wedge y_j)\right)=(x_1,0)\wedge\cdots\wedge(x_i,0)\wedge(0,y_1)\wedge\cdots\wedge(0,y_j).$$

**Problem 8.5** (4.6). Let V be a vector space, and let  $v_1, \ldots, v_k \in V$ . Prove that  $v_1, \ldots, v_k$  are linearly independent if and only if  $v_1 \wedge \cdots \wedge v_k \neq 0$ .

*Proof.* We want to show linear dependence iff  $v_1 \wedge \cdots \wedge v_k = 0$ . Suppose they are linear independent, then there exists  $a_i$  not all = 0 such that  $\sum_i a_i v_i = 0$ . Suppose WLOG  $a_1 \neq 0$ , then

$$0 = \left(\sum_{i} a_{i} v_{i}\right) \wedge v_{2} \wedge \dots \wedge v_{k} = a_{1}(v_{1} \wedge \dots \wedge v_{k})$$

which implies  $v_1 \wedge \cdots \wedge v_k = 0$ . Conversely, given  $v_1 \wedge \cdots \wedge v_k = 0$ , suppose instead that  $v_1, \dots, v_k$  are linearly independent, then they can be completed to a basis, which is a contradiction.

**Problem 8.6** (4.7). Let V be a k-vector space, and let  $\{v_1, \ldots, v_\ell\}$ ,  $\{w_1, \ldots, w_\ell\}$  be two sets of linearly independent vectors in V. Prove that they span the same subspace of V if and only if  $v_1 \wedge \cdots \wedge v_\ell$  and  $w_1 \wedge \cdots \wedge w_\ell$  are nonzero multiples of each other in  $\wedge_k^\ell(V)$ .

*Hint*: For the interesting direction, if  $\langle v_1, \dots, v_\ell \rangle \neq \langle w_1, \dots, w_\ell \rangle$ , there must be a vector u belonging to the first subspace but not to the second. Analyze  $(v_1 \wedge \dots \wedge v_\ell) \wedge u$  and  $(w_1 \wedge \dots \wedge w_\ell) \wedge u$  in  $\wedge_k^k(V)$ .

*Proof.* If they span the same subspace W, then  $\dim W = l$ , i.e.,  $\wedge^l(V) \cong k$  is one-dimensional, and by the previous problem,  $v_1 \wedge \dots \wedge v_l, w_1 \wedge \dots \wedge w_\ell \neq 0$ , thus they are nonzero multiples of each other. Conversely, suppose they don't span the same subspace, then there exists u in the first subspace but not the second: u is linearly dependent with  $v_1, \dots, v_l$  but linearly independent with  $w_1, \dots, w_l$ , then by the previous problem, wedging them gives 0 and nonzero.  $\square$ 

**Problem 8.7** (4.9). Assume 2 is a unit in R, and let F be a free R-module of finite rank.

- 1. Define a function  $\lambda: \wedge_R^2(F) \to \operatorname{T}^2_R(F)$  on a basis  $e_i \wedge e_j$ , i < j, by setting  $\lambda(e_i \wedge e_j) = \frac{1}{2}(e_i \otimes e_j e_j \otimes e_i)$  and extending by linearity. Prove that:
  - $\lambda$  is an injective homomorphism of *R*-modules,
  - $\lambda(f_1 \wedge f_2) = \frac{1}{2}(f_1 \otimes f_2 f_2 \otimes f_1)$  for all  $f_1, f_2 \in F$ .
- 2. Define a function  $\sigma: S_R^2(F) \to T_R^2(F)$  on a basis  $e_i \otimes e_j$ ,  $i \leq j$ , by setting  $\sigma(e_i \otimes e_j) = \frac{1}{2}(e_i \otimes e_j + e_j \otimes e_i)$  and extending by linearity. Prove that:
  - $\sigma$  is an injective homomorphism of R-modules,
  - $\sigma(f_1 \otimes f_2) = \frac{1}{2}(f_1 \otimes f_2 + f_2 \otimes f_1)$  for all  $f_1, f_2 \in F$ .
- 3. Prove that:
  - $\lambda$  identifies  $\wedge^2_R(F)$  with the kernel of the map  $\mathrm{T}^2_R(F) \to \mathrm{S}^2_R(F)$ ,
  - $\sigma$  identifies  $S_R^2(F)$  with the kernel of the map  $T_R^2(F) \to \wedge_R^2(F)$ .

*Conclusion*: There is a meaningful isomorphism  $F \otimes F \cong \wedge_R^2(F) \oplus S_R^2(F)$ .

*Proof.* 1. Suppose  $\lambda\left(\sum_{i,j} a_{ij} e_i \wedge e_j\right) = 0$ , then

$$\frac{1}{2}\sum_{i,j}a_{ij}\left(e_{i}\otimes e_{j}-e_{j}\otimes e_{i}\right)=0$$

but  $e_i \otimes e_j$  is linearly independent in  $T^2(F)$ , hence  $a_{ij} = 0$  for all i, j.

2. Same with  $\lambda$ .

**Problem 8.8** (4.14). Let F be a free R-module of rank r. Prove that  $\operatorname{Sym}^2(F)$  is free and compute its rank.

Proof. An R-module is free iff it admits a basis. The basis is given by

$$\{e_i e_j : 1 \le i \le j \le r\}$$

Hence its rank is  $\frac{n(n+1)}{2}$ .

**Problem 8.9** (4.15). Let  $F_1$ ,  $F_2$  be free R-modules of finite rank. Prove that  $S_R^*(F_1 \oplus F_2) \cong S_R^*(F_1) \otimes_R S_R^*(F_2)$ .

Proof. Recall that

$$S^*(F) = \sum_{k=0}^{\infty} S^k(F)$$

We will construct an isomorphism using basis for both sides. Let  $\{e_i\}_{i=1}^n, \{f_j\}_{j=1}^k$  be bases of  $F_1, F_2$ , then  $S^*(F_1) \otimes S_R^*(F_2)$  is spanned by the monomials:

$$e_1^{a_1} \dots e_n^{a_n} \otimes f_1^{b_1} \dots f_k^{b_k}$$

where  $a_i, b_j \ge 0$ . One can construct map:

$$\left(e_1^{a_1}\dots e_n^{a_n}\otimes f_1^{b_1}\dots f_k^{b_k}\right)\mapsto (e_1,0)^{a_1}\dots (e_n,0)^{a_n}(0,f_1)^{b_1}\dots (0,f_k)^{b_k}$$

since it is clear that

$$\{(e_i,0)^{a_i},(0,f_i)^{b_j}:1\leq i\leq n,1\leq j\leq k\}$$

forms a basis of  $S^*(F_1 \oplus F_2)$ .

**Problem 8.10** (4.17). Let V be a k-vector space of dimension r. Prove that, as a vector space, the exterior algebra  $\Lambda^*(V)$  has dimension  $2^r$ .

*Proof.* Note that  $\bigwedge^0 = k, \bigwedge^1 V = V$ , and

$$\Lambda^*(V) = \bigoplus_{k=0}^r \Lambda^k(V)$$

hence

$$\dim \Lambda^*(V) = \sum_{k=0}^r \binom{r}{k}$$
$$= (1+1)^r$$
$$= 2^r$$