# Algebraic Topology

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# Chapter 1

# **Category Theory**

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#### 1.1 Lecture 1 8/26

**Definition 1.1** (Category). A category C consists of the following data:

- 1. A collection of objects denoted as Ob(C)
- 2. Given two objects  $X, Y \in Ob(\mathcal{C})$ , a collection of morphisms between  $X, Y, f : X \to Y$ , denoted as  $mor_{\mathcal{C}}(X, Y)$ .
- 3. (Composition) We have  $mor_{\mathcal{C}}(X,Y) \times mor_{\mathcal{C}}(Y,Z) \to mor_{\mathcal{C}}(X,Z)$  that satisfies associativity

$$f \circ (g \circ h) = (f \circ g) \circ h$$

4. (Identity) There is a distinguished morphism for each X,  $Id_{\mathcal{C}}(X,X)$  such that given any  $f \in mor(X,Y)$ , we have  $f \circ id_X = id_Y \circ f = f$ .

In this course, we will make the assumption that in all the categories that we work with, Ob(C) need not be a set, but given any  $X, Y \in Ob(C)$ , mor(X, Y) will always be a set. Now we talk bout some examples of categories.

**Example 1.1** (Sets). Let Ob(Sets) be all the sets in the universe. Given X, Y sets, mor(X, Y) be all the set maps from X to Y, and  $id_X$  is the identity map.

**Example 1.2** (Top). Let Ob(Top) be all the topological spaces, and mor(X, Y) be all the continuous maps from X to Y.

**Example 1.3** (Vect<sub> $\mathbb{F}$ </sub>). Let  $\mathbb{F}$  be a field, and let Ob be all the  $\mathbb{F}$ -vector spaces. Then mor(V, W) is all the  $\mathbb{F}$ -linear homomorphisms from V to W, where  $id_V$  is the identity homomorphism.

**Example 1.4** (Posets). Fix a poset P, let Ob(P) be the collection of elements in P, and given p,q we define

$$mor(p,q) = \begin{cases} *, \text{ if } q \leq p \\ \varnothing, \text{ otherwise} \end{cases}$$

#### Problem 1.1. HW(Q1): check this is a category

**Example 1.5** (Opposite category). Given a category C, there is another category called the opposite category, denoted as  $C^{op}$ , where

- 1. The objects are the same as C
- 2. Given  $X, Y \in Ob(C^{op})$ , we have  $mor_{op}(X, Y) := mor_{\mathcal{C}}(Y, X)$ .
- 3. Moreover, given  $f \in mor_{op}(X,Y), g \in mor_{op}(Y,Z)$ , then  $g \circ f$  in  $C^{op}$  is  $f \circ g : Z \to X$ .

Naturally, we define isomorphisms now.

**Definition 1.2** (isomorphism). Given a category C, and a morphism  $f \in mor_C(X,Y)$ , we say f is an isomorphism if there exists  $g \in mor_C(Y,X)$  such that

$$f \circ g = Id_Y, g \circ f = Id_X$$

Now we introduce maps between categories.

**Definition 1.3** (functor). Given categories C, D, a functor  $F: C \to D$  is the following;

- 1. Given an object X in C, F(X) is an object in D.
- 2. Given a morphism  $f: X \to Y$ , F(f) is a functor  $F(f): F(X) \to F(Y)$ . Moreover, it satisfies the following:
  - (a)  $F(id_X) = id_{F(X)}$
  - (b)  $F(f \circ g) = F(f) \circ F(g)$ . Alternatively, we can rewrite this condition as the following:

$$\begin{array}{ccc} mor(X,Y) \times mor(Y,Z) & \longrightarrow & mor(X,Z) \\ & & \downarrow^{mor(F) \times mor(F)} & & \downarrow^{mor(F)} \\ mor(F(X),F(Y)) \times mor(F(Y),F(Z)) & \longrightarrow & mor(F(X),F(Z)) \end{array}$$

such that this diagram commutes.

#### Problem 1.2. HW(Q2): functors take isomorphisms to isomorphisms.

Now we talk about some examples of functors.

**Example 1.6.**  $F: Top \rightarrow Set$ , where  $X \mapsto X$ , where the latter is a set, and  $f \mapsto f$  as set maps.

**Example 1.7.** Let  $\mathbb{F}$  be a field, and  $F: Sets \to \text{Vect}_{\mathbb{F}}$ , where  $X \mapsto \mathbb{F}\langle X \rangle$ , where  $\mathbb{F}\langle X \rangle$  is the free vector space over  $\mathbb{F}$  on the set X.

Problem 1.3. HW(Q3): extend this to a functor by defining mor(f) and show this is a functor.

**Example 1.8.** Let  $\mathbb{F}$  be a field, then the following is a functor,  $F: Sets^{op} \to Vect_{\mathbb{F}}$ , where

$$hF: X \mapsto Maps(X, \mathbb{F})$$

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**Problem 1.4. HW(Q4)**: show this extends to a functor by defining F(f), and show it is a functor.

#### 1.2 Lecture 2 8/28

**Definition 1.4** (contravariant functor). Let  $F: \mathcal{C} \to \mathcal{D}$  is a contravariant functor from  $\mathcal{C}^{op} \to \mathcal{D}$ , (equivalently,  $\mathcal{C} \to \mathcal{D}^{op}$ ).

**Problem 1.5. HW(Q5):** Show that the following functor F from  $Vect_{\mathbb{F}}$  to  $Vect_{\mathbb{F}}$  extends to a contravariant functor, where

$$Ob_F: V \mapsto V^* = Hom(V, \mathbb{F})$$

i.e., define the morphism function and show it is a contravariant functor.

We remark that we can define a category of categories: let Cat be the category of categories, with morphisms as functors, and note that objects or morphisms in this case are both not sets!

**Definition 1.5** (natural transformation). Given functors  $F, G : \mathcal{C} \to \mathcal{D}$ , a natural transformation T from F to G is the following:  $T : F \Rightarrow G$ :

- 1. given object  $X \in Ob(\mathcal{C})$ ,  $T(X) \in mor(F(X), G(X))$
- 2. Given  $f \in mor(X, Y)$ , the following diagram commutes:

$$F(X) \xrightarrow{F(f)} F(Y)$$

$$T(X) \downarrow \qquad \qquad \downarrow T(Y)$$

$$G(X) \xrightarrow{G(f)} G(Y)$$

where  $mor_F$ ,  $mor_G$  is the identification function on morphisms by functors F, G

If for all X, T(X) is an isomorphism, then this natural transformation is called a natural isomorphism.

In other words, this natural transformation is how one takes a functor F and turn it to another functor G. We will (in a homework) show there exists natural transformation between the following two functors.

**Example 1.9.** Consider  $F, G : Vect_{\mathbb{F}} \to Vect_{\mathbb{F}}$ , define

$$F(V) = V \otimes_{\mathbb{F}} V/_{\langle a \otimes b - b \otimes a \rangle} = V \otimes_{\mathbb{F}} V/\Sigma_2, G(V) = (V \otimes_F V)^{\Sigma_2} = \{\alpha \in V \otimes_{\mathbb{F}} V : \sigma(\alpha) = \alpha\}$$

Both are vector spaces are fixed under "swaps." Then a natural transformation can be defined as follows T(V):

$$T(V): a \otimes b \mapsto a \otimes b + b \otimes a$$

**Problem 1.6. HW(Q6):** For the above F, G

- 1. Show that T defines a natural transformation from F to G.
- 2. Find conditions on  $\mathbb{F}$  for T being a natural isomorphism.

Next we define limits and colimits. Let C, D be categories, d be an object in D, then we can define a functor  $F_d : C \to D$  such that for any object c in C,

$$F_d(c) = d, F_d(f) = Id_d$$

In other words, this is the "constant functor" on  $\mathcal{D}$ , i.e., every object is sent to d, and every morphism is sent to  $id_d$ .

**Definition 1.6** (colimit). Given any functor  $F: \mathcal{C} \to \mathcal{D}$ , the colimit of F, denoted as  $\operatorname{colim}(F)$  is an object in  $\mathcal{D}$  endowed with a natural transformation:

$$\varphi_F: F \Rightarrow F_{\operatorname{colim}(F)}$$

such that given any other object d in D and a natural transformation

$$\varphi: F \Rightarrow F_d$$

there exists a unique morphism in  $\mathcal{D}$ ,  $f:\operatorname{colim}(F)\to d$  making the following diagram commute: for any X,Y,g:



Next we prove some facts about colimits and give an example, where colim(F) exists.

**Proposition 1.1.** If colim F exists, then colim F is unique up to isomorphisms.

*Proof.* Let  $\operatorname{colim}(F)$ ,  $\operatorname{colim}(F)'$  be two  $\operatorname{colimits}$  that satisfy the criteria. They are both objects in  $\mathcal{D}$ , then we get a morphism  $f:\operatorname{colim}(F)\to\operatorname{colim}(F)'$ , and likewise  $g:\operatorname{colim}(F)\to\operatorname{colim}(G)'$ , then

$$f \circ g : \operatorname{colim}(F)' \to \operatorname{colim}(F)'$$

is the only morphism, and is the identity morphism. Similarly for  $g \circ f$ .

Next we demonstrate a fact via an example.

**Theorem 1.1.** Let  $\mathcal{C}$  be a category where  $Ob(\mathcal{C}), mor(X,Y)$  are all sets. Let  $F: \mathcal{C} \to \mathsf{Top}$  be any functor, then  $\mathsf{colim}(F)$  exists.

*Proof.* Define  $\operatorname{colim}(F) := \bigsqcup_{c} F(c) / \sim$ , where  $\sim$  is induced by the equivalence relation given by

$$y \sim F(f)y$$

where  $y \in F(C_1)$ ,  $f: C_1 \to C_2$ ,  $F(f)x \in F(C_2)$ . The natural transformation we endow on F as  $\varphi_F: F \Rightarrow F_{\text{colim}(F)}$ :

$$\varphi_F: F(C) \mapsto \bigsqcup_{C \in Ob(C)} F(C) / \sim$$

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## **Problem 1.7. HW(Q7):** Show that colim(F), $\varphi_F$ is indeed a colimit.

We note that colimits also exist (the same argument goes through) if we replace Top with groups, sets, but with slightly different constructions, replacing disjoint unions with products, etc.

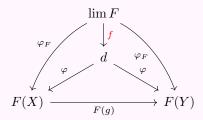
**Definition 1.7** (limit). Given a functor  $F: \mathcal{C} \to \mathcal{D}$ , the limit of F, denoted as  $\lim(F)$  is an object of  $\mathcal{D}$ , endowed with a natural transformation:

$$\varphi_F: F_{\lim(F)} \Rightarrow F$$

such that given any other object  $d \in Ob(\mathcal{D})$  and a natural transformation

$$\varphi: F_d \to F$$

there exists a unique  $f: \lim F \to d$  such that the following diagram commutes:



Just like colimits, limits are unique up to isomorphisms.

**Problem 1.8. HW(Q8):** Given  $F: \mathcal{C} \to \mathcal{D}$ , consider  $F^{op}: \mathcal{C}^{op} \to \mathcal{D}^{op}$ , then

$$\lim F = \operatorname{colim} F^{op}$$

The above problem is interpretation of diagrams and essentially we just reverse all the maps.

# **Chapter 2**

# Homologies, Cohomologies

#### 2.1 Lecture 3 9/4

Today we define (co)chain complexes: let R be a commutative ring, let  $Mod_R$  denote the category of R-modules and R-module maps.

**Definition 2.1** (chain complex). A chain complex of *R*-modules is a collection of *R*-modules and *R*-modules maps

$$\cdots \to M_{i+1} \xrightarrow{\partial_{i+1}} M_i \xrightarrow{\partial_i} M_{i-1} \xrightarrow{\partial_{i-1}} \cdots$$

such that  $\partial_i \circ \partial_{i+1} = 0$  for all i. In other words, the image of previous map is contained in the kernal of the subsequent map. In short, we have

$$\partial^2 = 0$$

We will denote a chain complex by  $\{M.; \partial.^M\}$ .

Next we introduce morphisms between chain complexes.

**Definition 2.2** (morphism between complexes). Let  $\{M.; \partial.^M\}, \{N.; \partial.^N\}$ , a morphism  $\{f.\}$  between chain complexes is a "ladder" such that the following commutes:

$$\dots \longrightarrow M_{i+1} \xrightarrow{\partial_{i+1}^{M}} M_{i} \xrightarrow{\partial_{i}^{M}} M_{i-1} \xrightarrow{\partial_{i-1}^{M}} \dots$$

$$f_{i+1} \downarrow \qquad f_{i} \downarrow \qquad f_{i-1} \downarrow \qquad \vdots$$

$$\dots \longrightarrow N_{i+1} \xrightarrow{\partial_{i+1}^{N}} N_{i} \xrightarrow{\partial_{i}^{N}} N_{i-1} \xrightarrow{\partial_{i-1}^{N}} \dots$$

Moreover, we define composition of morphisms:

$$\{f.\} \circ \{g.\} := \{(f \circ g).\}$$

where  $\{g.\}:\{M.;\partial.^M\}\to\{N.;\partial.^N\}$ , and  $\{f.\}:\{N.;\partial.^N\}\to\{L.;\partial.^L\}$ , which is simply vertical stacking.

**Problem 2.1. HW(Q9):** Prove that chain complexes of R-modules form a category  $ch_R$ .

There are interesting functors  $F: \operatorname{ch}_R \to Mod_R$ , and we begin with the following one:

**Definition 2.3** ( $H_n$ , nth-homology). Given  $n \in \mathbb{Z}$ , there is a functor

$$H_n: \operatorname{ch}_R \to Mod_R$$

defined as follows:

$$H_n(\lbrace M.; \partial.^M \rbrace) := \ker \partial_n^M / Im \partial_{n+1}^M$$

and for  $f: \{M.; \partial.^M\} \to \{N.; \partial.^N\}$ , we define:  $H_n(f): H_n(\{M.; \partial.^M\}) \to H_n(\{N.; \partial.^N\})$ ,

$$H_n(f)[x] := [f_n(x)]$$

where  $[x] \in H_n(\{M.; \partial.^M\})$ .

*Proof.* We need to show  $H_n$  is well-defined on objects and morphisms. We need to check that  $Im\partial_{n+1} \subset \ker \partial_n$ . This is a consequence of  $\partial^2 = 0$ .

On morphisms: for  $x \in \ker \partial_n^M$ , we have  $f_n(x) \in \ker \partial_n^N$ . This is we have

$$\partial_n^N (f_n(x) = f_{n+1})(\partial_n^M(x)) = 0$$

Moreover, we need to check that this desn't depend on the choice of representatives, i.e., we can check that

$$Im\partial_{n+1}^M \mapsto 0$$

Let  $x = \partial_{n+1}^M(y)$ , we have

$$f_n(x) = f_n(\partial_{n+1}^M(y)) = \partial_{n+1}^N(f_{n+1}(y)) = 0$$

$$M_{n+1} \xrightarrow{\partial_{n+1}^M} M_n$$

$$f_{n+1} \downarrow \qquad \qquad \downarrow f_n$$

$$N_{n+1} \xrightarrow{\partial_{n+1}^N} N_n$$

Next we talk about homotopy between morphisms between chain complexes.

**Definition 2.4** (homotopy). Given two morphisms,  $f.,g.:M.\to N.$ , a chain homotopy h. between them is a collection of R-modules maps, for all  $n\in\mathbb{Z}$ ,

$$h_n:M_n\to N_{n+1}$$

such that

$$\partial_{n+1} \circ h_n + h_{n-1} \circ \partial_n = f_n - g_n$$

denoted as  $\partial h + h\partial = f - g$ .

$$M_{n+1} \longrightarrow M_n \xrightarrow{\partial_n^M} M_{n-1}$$

$$f_{n+1} \downarrow \qquad \qquad \downarrow f_n/g_n \downarrow \qquad \downarrow f_{n-1}$$

$$N_{n+1} \xrightarrow{\partial_{n+1}^N} N_n \longrightarrow N_{n-1}$$

**Problem 2.2. HW(Q10):** Show that homotopy is an equivalence relation between morphisms. Hint: replace  $h_n$  with  $-h_n: M_n \to N_{n+1}$ .

*Proof.* Reflexive is shown by defining  $h_n$  to be the zero map. For symmetry, we choose  $-h_n$ . Transitive is a ladder.

**Proposition 2.1.** Let h. be a chain homotopy between f. and g., then we have an equality

$$H_n(f.) = H_n(g.)$$

where  $H_n(f.), H_n(g.): H_n(M.) \to H_n(N.)$ .

*Proof.* Given  $[x] \in H_n(M.)$ , we have

$$H_n(f)[x] = [f_n(x)]$$

$$= [g_n(x) + \partial h.(x) + h.\partial(x)]$$

$$= [g_n(x) + \partial h.(x)]$$

$$= [g_n(x)]$$

$$= H_n(g)[x]$$

Next we define a new category.

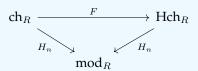
**Definition 2.5** ( $Hch_R$ ). Define the category  $Hch_R$  as follows:

- 1.  $Ob(Hch_R) = Ob(ch_R)$
- 2.  $mor_{\mathsf{Hch}_R}(M., N.) = mor_{\mathsf{ch}_R}(M., N.) / \sim$ , where  $\sim$  is the homotopy equivalence.

**Problem 2.3. HW(Q11):** Show that  $Hch_R$  is a category, admitting a functor

$$F: ch_R \to Hch_R$$

such that the following diagram commutes:



Next we introduce long and short exact sequences.

Definition 2.6 (exactness). Firstly, given a pair of *R*-module maps,

$$X_1 \xrightarrow{f} X_2 \xrightarrow{g} X_3$$

we say that the above is exact at  $X_2$  if  $\ker(g) = \operatorname{im}(f)$ . Hence given a sequence of R-module maps,

$$\cdots \to X_{i+1} \to X_i \to X_{i-1} \to \ldots$$

this is called a long exact sequence if it is exact at all  $X_i$ . Finally, given a pair of R-module maps,

$$0 \to X_i \xrightarrow{f} X_2 \xrightarrow{g} X_3 \to 0$$

This is a short exact sequence, and f is injective, g is surjective.

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#### Problem 2.4. HW(Q12): Prove the following:

1. Given LES,

$$\cdots \to X_{i+1} \xrightarrow{f_{i+1}} X_i \xrightarrow{f_i} X_{i-1}$$

show the following is a short exact sequence:

$$0 \to \ker(f_i) \xrightarrow{i} X_i \xrightarrow{f_i} \ker(f_{i-1}) \to 0$$

2. Prove the 5-lemma. Given the below sequence, exact at positions  $X_i, Y_i$ , where i = 2, 3, 4, and assume the diagram commutes and if  $t_1, t_2, t_4, t_5$  are isomorphisms, show that  $t_3$  is also an isomorphism.

Next we state the most important theorem in chain complexes:

**Theorem 2.1** (The snake lemma). Let  $A : \xrightarrow{f} B : \xrightarrow{g} C$  be a SES of chain complexes, i.e.,

$$A_n \xrightarrow{f_n} B_n \xrightarrow{g_n} C_n$$

is a short exact sequence of all n. Then there exists a LES of homology groups.

$$H_{n}(A) \xrightarrow{\delta_{n-1}} H_{n}(C)$$

$$H_{n}(A) \xrightarrow{\delta_{n}} H_{n}(B) \xrightarrow{\delta_{n}} H_{n}(C)$$

$$H_{n-1}(A) \xrightarrow{\delta_{n-1}} H_{n-1}(B) \xrightarrow{\delta_{n-1}} H_{n-1}(C)$$

$$H_{n-2}(A)$$

## 2.2 Lecture 4 9/9

Today we prove the snake lemma. We will refer to this following diagram throughout the proof.

$$\begin{array}{c|cccc} A_{n+1} & \xrightarrow{f_{n+1}} & B_{n+1} & \xrightarrow{g_{n+1}} & C_{n+1} \\ \delta^A \Big\downarrow & \delta^B \Big\downarrow & \delta^C \Big\downarrow \\ A_n & \xrightarrow{f_n} & B_n & \xrightarrow{g_n} & C_n \\ \delta^A \Big\downarrow & \delta^B \Big\downarrow & \delta^C \Big\downarrow \\ A_{n-1} & \xrightarrow{f_{n-1}} & B_{n-1} & \xrightarrow{g_{n-1}} & C_{n-1} \\ \delta^A \Big\downarrow & \delta^B \Big\downarrow & \delta^C \Big\downarrow \\ A_{n-2} & \xrightarrow{f_{n-2}} & B_{n-2} & \xrightarrow{g_{n-2}} & C_{n-2} \end{array}$$

*Proof.* First we define the map  $\delta_n: H_n(C) \to H_{n-1}(A)$ . Let  $[x] \in H_n(C)$ , then  $x \in \delta^C$ , where  $\delta^C: C_n \to C_{n-1}$ . We define

$$\delta[x] = [y], y \in A_{n-1}$$

as follows: for  $x \in C_n$ ,  $g_n : B_n \to C_n$  is surjective, hence there exists  $b \in B_n$  such that  $g_n(b) = x$ . Then consider  $d = \delta^B(b)$ , since the diagram commutes, we have

$$d \in \ker g_{n-1} \Rightarrow d \in \operatorname{im} f_{n-1}$$

Let  $y \in A_{n-1}$  be this unique y such that  $f_{n-1}(y) = d$ , where uniqueness is by  $f_{n-1}$  is injective. This is indicated in the below diagram:

We first need to check that [y] does not depend on the choice of b. Let  $g_n(b_1) = g_n(b_2) = x$ , then

$$g(b_1 - b_2) = 0 \Rightarrow b_1 - b_2 = f_n(a), a \in A_n$$

let  $y_1, y_2$  be those determined by  $b_1, b_2$ , then

$$f_{n-1}(y_1 - y_2) = \delta^B(b_1 - b_2) = \delta^B(f_n(a)), a \in A_n$$

Because the following diagram commutes,

$$\begin{array}{ccc}
\mathbf{a} \in A_n & \xrightarrow{f_n} B_n \\
\delta^A \downarrow & & \downarrow \delta^B \\
A_{n-1} & \xrightarrow{f_{n-1}} B_{n-1}
\end{array}$$

we then have

$$y_1 - y_2 = \delta^A(a)$$

i.e.,  $[y_1] = [y_2]$ , as they only differ by im  $\delta$ .

**Problem 2.5. HW(Q13):** Check that if  $x \in \text{im } \delta^C$ , then  $\delta_n[x] = 0$ .

the proof is not finished, too lazy to tex it up

Next we review the tensor products of *R*-modules. We first review *R*-bilinear maps

**Definition 2.7** (bilinear maps). Let M, N, P be R-modules, an R-bilinear map  $f: M \times N \to P$  is a map such that

- 1. f is linear in both coordinates, we have  $f(m_1 + m_2, n) = f(m_1, n) + f(m_2, n)$ , and similarly,  $f(m, n_1 + n_2) = f(m, n_1) + f(m, n_2)$ .
- 2. For all  $r \in R$ , we have f(rm, n) = f(m, rn) = rf(m, n).

Next we define tensor products.

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**Definition 2.8** (tensor product). A tensor product of  $M \times N$  is an R-module denoted by  $M \otimes_R N$  such that

1.  $M \otimes_R N$  comes endowed with an R-bilinear map

$$M \times N \xrightarrow{\varphi} M \otimes_R N$$

2. given any other R-bilinear map  $f: M \times N \to P$ , there exists a unique R-module map  $\psi$  such that the following diagram commutes:

It is not clear that  $M \otimes_R N$  exists or not. In fact, they exist!

**Theorem 2.2** ( $M \otimes_R N$  exists). Define  $M \otimes_R N = R\langle M \times N \rangle / K$ , where  $R\langle M \times N \rangle$  is the free R-module on the set  $M \times N$ . We define K as the submodule generated by the following four relations:

- 1.  $\langle (m_1+m_2,n)\rangle \langle (m_1,n)\rangle \langle (m_2,n)\rangle$
- 2.  $\langle (m, n_1 + n_2) \rangle \langle (m, n_1) \rangle \langle (m, n_2) \rangle$
- 3.  $r\langle (m,n)\rangle \langle (rm,n)\rangle$
- 4.  $r\langle (m,n)\rangle \langle (m,rn)\rangle$

Moreover, the map  $\varphi: M \times N \to M \otimes_R N$  given by

$$(m,n) \mapsto \langle (m,n) \rangle := m \otimes_R n$$

**Problem 2.6. HW(Q14):** show that  $M \otimes_R N$  is a tensor product.

#### 2.3 Lecture 5 9/11

We continue with the tensors of R-modules. Let  $f:A\to B$  an an R-module map, let N be some fixed R-module, then f induces maps:  $f\otimes id:A\otimes_R N\to B\otimes_R N$ ,

$$f \otimes id : a \otimes n \mapsto f(a) \otimes n$$

and  $id \otimes f : N \otimes f : N \otimes_R A \to N \otimes_R B$ :

$$id \otimes f : n \otimes a \mapsto n \otimes f(a)$$

Problem 2.7. HW(Q15(a)): Show that the following maps induce functors:

1.  $-\otimes_R N: Mod_R \to Mod_R$ , where

$$A \mapsto A \otimes_R N, f \mapsto f \otimes id$$

2.  $N \otimes_R -: Mod_R \to Mod_R$ , where

$$A \mapsto N \otimes_R A, f \mapsto id \otimes f$$

Problem 2.8. HW(Q15(b)): Show that one has the following natural isomorphisms:

- 1.  $0 \otimes_R M \cong 0$ , and  $0 \otimes_R \cong F_0$  (recall the definition of  $F_0$  as a functor).
- 2.  $R \otimes_R M \cong M$ , and  $R \otimes_R \cong id$ .
- 3.  $M \otimes_R N \cong N \otimes_R M$ , and  $M \otimes_R \cong \otimes_R M$ .
- 4.  $M \otimes_R (N \otimes_R K) \cong (M \otimes_R N) \otimes_R K$ .
- 5.  $(M \oplus N) \otimes_R K \cong (M \otimes_R K) \oplus (N \otimes_R K)$ .

For convenience, we introduce the following definition:

**Definition 2.9** (positively graded chain complex). A positively graded chain complex  $\{M.; \partial.^M\}$  is a chain complex so that  $M_i = 0$  for all i < 0. The category of positively graded chain complexed is denoted as  $ch_R^+$ .

We have our first important theorem for  $ch_R^+$ .

**Theorem 2.3.** There exists a functor  $\otimes_R$  and a natural transformation X such that the following diagram of functors commutes up to some X:

$$ch_{R}^{+} \times ch + R^{+} \xrightarrow{\otimes_{R}} ch_{R}^{+}$$

$$H_{i} \times H_{j} \downarrow \qquad \downarrow H_{i+j}$$

$$Mod_{R} \times Mod_{R} \xrightarrow{\otimes_{R}} Mod_{R}$$

where  $X: \bigotimes_R \circ (H_i \times H_j) \Rightarrow H_{i+j} \circ \bigotimes_R$  is a natural transformation.

We note that the existence of X means this diagram doesn't commute "on the nose," but these two composition functors are the same up to some natural transformation. Before we given the proof, we recall that  $Ob(C \times D) = Ob(C) \times Ob(D), mor((X,Y),(X',Y')) = mor(X,Y) \times mor(X',Y').$ 

*Proof.* We define  $\otimes_R$  of positively graded chain complexes as follows: let  $\{M.; \partial.^M\}, \{N.; \partial.^N\}$  be two PGCC. Define  $\{M \otimes_R N.; \partial.^M\}$ :

$$(M \otimes_R N) = \bigoplus_{i+j=n} (M_i \otimes_R N_j)$$

note that the RHS is always a finite sum. Moreover,  $\partial^{\otimes}$  is defined as follows:

 $\partial^{\otimes}: (M \otimes_R N)_n \to (M \otimes_R N)_{n-1}$  is defined on the component  $M_i \otimes_R N_j$  (from the RHS)

and

$$\partial^{\otimes}(m_i \otimes n_j) := \partial^M(m_i) \otimes n_j + (-1)^i m_i \otimes \partial^N(n_j)$$

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It is easy to check that  $\partial^{\otimes} \circ \partial^{\otimes} = 0$ .

Now we've show  $ch_R^+ \otimes_R ch_R^+$  is well-defined, it remains to define X, the natural transformation. We define

$$X: H_i(M.) \otimes_R H_j(N.) \to H_{i+j}(M. \otimes_R N.)$$

again, it suffices to define X on elementary tensors.

$$X : [\alpha] \otimes [\beta] \mapsto [\alpha \otimes \beta]$$

we need to check that

- 1.  $\partial^{\otimes}(\alpha \otimes \beta) = 0$  if  $\partial^{M}(\alpha) = 0$  and  $\partial^{N}(\beta) = 0$ .
- 2. If  $\alpha = \partial(r)i$ , then notice that  $\partial^{\otimes}(r \otimes \beta) = \alpha \otimes \beta$ , similarly for  $\beta$ . This would show that X is well-defined.

It is straightforward to check that X commutes with morphisms in  $ch_R^+ \times ch_R^+$ .

Next we define cochain complexes and cohomologies.

**Definition 2.10** (cochain). A cochain of *R*-modules  $(M^{\bullet}, \partial_{M}^{\bullet})$  is a sequence of *R*-module maps:

$$\ldots \longrightarrow M^i \xrightarrow{\partial^i} M^{i+1} \xrightarrow{\partial^{i+1}} M^{i+2} \longrightarrow \ldots$$

such that  $\partial \circ \partial = 0$ .

Cochain complexes form a category, with morphisms  $\{f^{\bullet}\}\$  form a ladder:

The *n*-th cohomology of a cochain complex  $\{M^{\bullet}; \partial_{M}^{\bullet}\}$  is defined as:

$$H^n(M^{\bullet};\partial_M^{\bullet}) := \frac{\ker \partial^i : M^i \to M^{i+1}}{\operatorname{im} \partial^{i-1} : M^{i-1} \to M^i}$$

We remark that there is nothing unexpected here from what we learned about chain complexes. Namely, if we reindex  $\{M^{\bullet}; \partial_{M}^{\bullet}\}$ , this defines a chain complex with  $M'_{i} = M^{-i}$ . This implies that the snake lemme holds! (with  $\partial^{i}: H^{i}(C) \to H^{i+1}(A)$ ).

**Theorem 2.4.** There is a functor D and a natural transformation  $\beta$  such that the following diagram of functors commute up to the natural transformation  $\beta$ :

$$\begin{array}{ccc} ch_R^{op} & \xrightarrow{D} coch_R \\ H_n^{op} & \xrightarrow{\beta} & \downarrow H^n \\ Mod_R^{op} & \xrightarrow{\overline{D}} Mod_R \end{array}$$

where  $\overline{D}(M) = Hom_R(M, R)$ , and

$$D(\{M_{\bullet}; \partial_{\bullet}^{M}\})$$
 is defined as  $\{DM^{\bullet}; \partial^{\bullet}\}$ 

where

$$DM^n:=Hom_R(M_n,R), \partial^n:DM^n\to DM^{n+1}$$
 is the map induced by  $\partial_{n+1}:M_{n+1}\to M_n$ 

We observe that  $\partial^{n+1}\partial^n = 0$  since  $\partial_{n+2}\partial_{n+1} = 0$ .

# **Problem 2.9. HW(Q16):** Show that D is a functor.

Next we define the natural transformation  $\beta$ . We have  $\beta: H^n(DM) \to Hom_R(H_n(M_{\bullet}), R)$ , such that

$$\beta: [\varphi] \mapsto \beta[\varphi]$$

let  $[x] \in H_n(M_{\bullet})$ , where  $\beta[\varphi]([x]) = \varphi(x)$  (where  $\varphi \in Hom_R(M_n, \mathbb{R}), x \in M_n$ ).

*Proof.* We first need to show that  $\beta$  is well-defined. If  $x = \partial_{n+1}(y)$ , then consider

$$\beta[\varphi][x] = \varphi(x) = \varphi(\partial_{n+1}(y)) = \partial^n(\varphi)(y) = 0, x \in \ker \varphi$$

Conversely, if  $\varphi = \delta^{n-1}(\psi)$ , we have

$$\beta[\varphi][x] = \varphi(x) = \delta^{n-1}\psi(x) = \psi(\partial_n(x)) = 0$$

It remains to check that  $\beta$  commutes with morphisms in  $ch_R^{op}$  (which we will do next time).

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Today we continue our discussion of homological algebra. Let M be an R-module.

**Definition 2.11** (resolution). A resolution of M is a positively graded chain complex  $\{P_{\bullet}, \partial_{\bullet}\}$  such that

- 1.  $H_n(P_{\bullet}) = 0$  for all n > 0
- 2.  $H_0(P_{\bullet}) = \frac{P_0}{\operatorname{im} \partial_1} \cong M$ , where  $\partial_1 : P_1 \to P_0$ .

We say  $\{P_{\bullet}, \partial_{\bullet}\}$  is a free resolution if  $P_i$  is a free R-module for each i.

For resolutions, we prove the following two things: first, free resolutions always exist; second, every *R*-module map can be extended to a map between their resolutions (with extra assumptions) and these extensions are unique up to homotopies.

**Proposition 2.2.** For any M, a free resolution for M exists.

*Proof.* We proceed this inductively. Defien  $P_0$  to be  $R\langle M \rangle$ , where it is the free R-module defined on the set M. Note that

$$R\langle M\rangle \to M$$
 is surjective :  $\langle m\rangle \mapsto m$ 

Let *K* be the kernel of this map, we have an isomorphism:

$$\epsilon: P_0/K \cong M$$

Define  $P_1$  as  $R\langle K \rangle$ , note that  $P_1 \rightarrow K$ , then we define

$$\partial_1: P_1 \to P_0$$

to be the composite

$$P_1 \twoheadrightarrow K \subset P_0$$

Now we consider  $P_2$ : let  $K_1 \subset P_1$  be the kernel of  $\partial_1$ , define  $P_2 = R\langle K_1 \rangle$ , then define  $\partial_2; P_2 \to P_1$  to be the composite"

$$P_2 \twoheadrightarrow K_1 \subset P_1$$

note that  $\ker \partial_1 / \operatorname{im} \partial_2 = K_1 / K_1 = 0$ . Then we define  $K_2 = \ker \partial_2$ , define  $P_3 = R \langle K_2 \rangle, \dots$ 

Just like the above proposition, the next theorem uses induction.

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**Theorem 2.5** (extension theorem). Let  $\{P_{\bullet}^{M}, \partial_{\bullet}^{M}, \epsilon_{M}\}$  be a free resolution on M, and let  $\{P_{\bullet}^{N}, \partial_{\bullet}^{N}, \epsilon^{N}\}$  be an arbitrary resolution of N. Then given a map of R-modules  $f: M \to N$ , we may extend it to a map of chain complexes:

$$f.: \{P_{\bullet}^{M}, \partial_{\bullet}^{M}\} \to \{P_{\bullet}^{N}, \partial_{\bullet}^{N}\}$$

such that the following diagram commutes:

$$\begin{array}{ccc} H_0(P_{\bullet}^M) & \xrightarrow{H_0(f_{\bullet})} & H_0(P_{\bullet}^N) \\ \downarrow^{\epsilon_M} & & \downarrow^{\epsilon_N} \\ M & \xrightarrow{f} & N \end{array}$$

Moreover, given any two extension  $f^1_{ullet}, f^2_{ullet}$  of f, we have a chain homotopy  $h_{ullet}$  between  $f^1_{ullet}, f^2_{ullet}$ .

Remark: if  $f_{\bullet}$  makes the diagram commute, and  $g_{\bullet}$  is homotopic to  $f_{\bullet}$ , then  $g_{\bullet}$  also makes the diagram commutes: homotopy classes work the same on homologies (they are the same on the nose).

*Proof.* We will construct  $f_{\bullet}$  as follows. We construct  $f_i$  inductively on i. Consider the diagram:

$$\begin{array}{ccc} \ddots & & \ddots & \\ \downarrow & & \downarrow & \\ P_1^M & P_1^N & \\ \downarrow & & \downarrow & \\ P_0^M & \xrightarrow{-f_0} & P_0^N & \\ \downarrow & & \downarrow & \\ M & \xrightarrow{f} & N & \end{array}$$

Since  $P_0^M$  is free, and  $\epsilon_N$  is surjective, we may lift f on generators of  $P_0^M$  by lifting the geneartors of  $P_0^M$  to elements in  $P_0^N$ . (Note: this lift may not be unique), but this lift extends uniquely to define  $f_0$ . We notice that the bottom square

$$P_0^M \xrightarrow{-f_0} P_0^N$$

$$\downarrow \qquad \qquad \downarrow$$

$$M \xrightarrow{f} N$$

commutes on homologies  $(H_0(P_0^M), H_0(P_0^N))$ . Now we construct  $f_1$ :

$$\begin{array}{cccc} & & & & & & \\ \downarrow & & & \downarrow & \\ P_1^M & \xrightarrow{-f_1} & P_1^N & \\ \downarrow & & & \downarrow & \\ P_0^M & \xrightarrow{-f_0} & P_0^N & \\ \downarrow & & & \downarrow & \\ M & \xrightarrow{f} & N & \end{array}$$

We will follow the purple path above. Recall that  $\epsilon_M: H_0(P_0)=P_0/\operatorname{im}(\partial_1^M) \to M$  is an isomorphism. We

consider the composite:  $f_0 \circ \partial_1^M = g$ , we have

$$\epsilon_N \circ g = \epsilon_N \circ f \circ \partial_1^M$$
$$= f \circ \epsilon_M \circ \partial_1^M$$
$$= 0$$

This implies that  $\operatorname{im}(g) \subset \ker(\partial_N) = \operatorname{im}(\partial_1^N)$ . We can lift the generators of  $P_1^M$  to elements of  $P_1^N$ . (Once chosen a lift, one can extend this uniquely to define  $f_1$ ). Then we construct  $f_2, f_3, \ldots$  the same way by considering  $f_n \circ \partial_{n+1}$  and show that it is in the kernel of  $\partial_n^N$  and lift it to define  $\partial_{n+1}$ . Now we homotopy time. Assume  $f_{\bullet}^1, f_{\bullet}^2$  are two lifts of f, we construct  $h: P_{\bullet}^M \to P_{\bullet+1}^N$ . We define  $h_{\bullet}$ 

inductively, starting with  $h_0$  below:

$$P_{1}^{M} \xrightarrow{-f_{1}} P_{1}^{N}$$

$$\partial_{1}^{M} \downarrow \qquad \qquad h_{0} \qquad \uparrow \qquad \downarrow \partial_{1}^{N}$$

$$P_{0}^{M} \xrightarrow{-f_{0}, f_{0}^{2}} P_{0}^{N} \qquad \downarrow \varepsilon_{N}$$

$$\varepsilon_{M} \downarrow \qquad \qquad f \qquad N$$

We have  $\epsilon_N(f_0^1 - f_0^2) = 0$ , then

$$f_0^1 - f_0^2 \in \ker \epsilon_N = \operatorname{im} \delta_1^N$$

we may lift  $f_0^1-f_0^2$  on generators of  $P_0^M$ , where  $h_0:P_0^M\to P_1^N$ . Hence

$$(h_{-1} \circ \delta_{-1}^N) + \delta_1^N \circ h_0 = f_0^1 - f_0^2$$

Inductively, we assume  $h_m$  exists for  $m \leq n$ , then

$$\begin{array}{c} P_{n+2}^{M} \xrightarrow{-f_{1}} P_{n+2}^{N} \\ \partial_{n+2}^{M} \downarrow \stackrel{h_{n-1}}{\longrightarrow} \uparrow \downarrow \partial_{n+2}^{N} \\ P_{n+1}^{M} \xrightarrow{f_{n+1}^{r}, f_{n+1}^{2}} P_{n+1}^{N} \\ \partial_{n+1}^{M} \downarrow \stackrel{h_{n}}{\longrightarrow} \uparrow \partial_{n+1}^{N} \\ P_{n}^{M} \xrightarrow{f} P_{n}^{N} \end{array}$$

consier the expressions

$$g_{n+1} := f_{n+1}^1 - f_{n+1}^2 - h_n \circ \partial_{n+1}^M$$

we can check (by diagram chasing),  $\partial_{n+1}^N \circ g = 0$ . This implies that

$$\operatorname{im}(g_{n+1})\subset\operatorname{im}(\partial_{n+2}^N)$$

we can construct  $h_{n+1}$  to get the map

$$\delta_{n+2}^N \circ h_{n+1} = g_{n+1} = f_{n+1}^1 - f_{n+1}^2 - h_n \circ \partial_{n+1}^M$$

i.e.

$$\partial_{n+2}^N \circ h_{n+1} + h_n \circ \partial_{n+1}^M = f_{n+1}^1 - f_{n+1}^2$$

hence we are done!

Corollary 2.1. Any two free resolutions of an R-module M are homotopy equivalent: given two free resolutions  $P^M_{ullet}, Q^M_{ullet}$  , there exists extension of  $\mathrm{id}: M \to M$  and such that

$$f_{\bullet}: P^{M}_{\bullet} \to Q^{M}_{\bullet}, g_{\bullet}: Q^{M}_{\bullet} \to P^{M}_{\bullet}$$

such that

$$g_{\bullet} \circ f_{\bullet} = \mathrm{id}, f_{\bullet} \circ g_{\bullet} = \mathrm{id}$$

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# **Problem 2.10** (HW(2.1)). Prove this corollary.

Next we deinfe Tor functors (pretty hard things).

**Definition 2.12** (tor functors). Let *N* be an *R*-module, recall the functor

$$-\otimes_R N: Mod_R \to Mod_R$$

we define a collection of functors

$$\operatorname{Tor}_{R}^{i}(-,N):Mod_{R}\to Mod_{R}, i\in\mathbb{N}$$

given an object M in  $Mod_R$ , let  $\{P_{\bullet}^M, \partial_{\bullet}^M, \epsilon_M\}$  be a free resolution of M, define  $\operatorname{Tor}^i(M, N)$  to be

$$\operatorname{Tor}^{i}(M,N) = H_{i}(P_{\bullet}^{M} \otimes_{R} N, \partial_{\bullet}^{M} \otimes \operatorname{id}_{N})$$

where

$$\cdots \to P_i^M \otimes N \xrightarrow{\partial_i \otimes \mathrm{id}} P_{i-1}^M \otimes_R N \to \ldots$$

We make the remark that there is a choice involved in picking the free resolution, but this is unique since homotopies are the same on homologies.

**Problem 2.11** (HW(2.2)). For all i, show that  $\operatorname{Tor}_R^i(M,N)$  is a well-defined functor, and any other choice of free resolution of all objects yields an isomorphic functor. Hint: use the above corollary.

Problem 2.12 (HW(2.3)). Show that

- 1.  $Tor_R^i(R, N) = 0$  for all i > 0
- 2.  $\operatorname{Tor}_R^i(M \oplus M', N) \cong \operatorname{Tor}_R^i(M) \oplus \operatorname{Tor}_R^i(N)$ , given by the natural isomorphism.

We claim that  $\epsilon_M: P_0^M \to M$  induces the following isomorphism

$$\operatorname{Tor}_R^0(M,N) \cong M \otimes_R N$$

and  $\operatorname{Tor}_R^i(M,N)$ 's are called the highest derived functors of  $-\otimes_R N$ .

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We continue with our discussion of tor functors. We claim that

Proposition 2.3. The natural isomorphism gives the following

$$\operatorname{Tor}_R^0(-,N) \cong - \otimes_R N$$

i.e., for any M,

$$\operatorname{Tor}_R^0(M,N) \cong M \otimes_R N$$

*Proof.* By definition,  $\operatorname{Tor}_R^0(M,N)$  is the 0-th hoology of

$$\cdots \to P_1^M \otimes_R N \xrightarrow{\partial_1 \otimes \mathrm{id}_N} P_0^M \otimes_R N \to 0 \to 0 \to \cdots$$

this implies that

$$\operatorname{Tor}_{R}^{0}(M,N) = \frac{P_{0}^{M} \otimes_{R} N}{\operatorname{im}(\partial_{1} \otimes \operatorname{id}_{R})}$$

We complete the proof using the following lemma.

**Lemma 2.1.** We claim that the functor  $-\otimes_R N$  is right exact, meaning that give a sequence of R-modules,

$$A_1 \xrightarrow{f} A_0 \xrightarrow{g} M \to 0$$

that is exact at  $A_0$  and M, the following sequence:

$$A_1 \otimes_R N \xrightarrow{f \otimes \mathrm{id}} A_0 \otimes_R N \xrightarrow{g \otimes id} M \otimes_R N \to 0$$

is also exact at  $A_0 \otimes_R N$  and  $M \otimes_R N$ .

If we assume the lemma for now, then applying it to

$$P_1 \xrightarrow{\partial_1^M} P_0 \xrightarrow{\epsilon} M \to 0$$

then we are done!

We prove the lemma now: exactness of  $M \otimes_R N$  implies that  $g \otimes \operatorname{id}$  is sujective. givn that  $g; A_0 \to M$  is sujective, every generator of  $M \otimes n$  in  $M \otimes_R N$  s of the form  $g \otimes \operatorname{id}(a \otimes n)$  for some  $a \in A_0$ . This implies that  $g \otimes \operatorname{id}$  is surjective.

Next, we need to show that  $\ker(g \otimes \mathrm{id}) = \mathrm{im}(f \otimes \mathrm{id})$ . It is clear that  $\supset$  holds, hence it suffices to show  $\subset$ . Let  $K = \ker g \otimes \mathrm{id}$ , we need to show that

$$\frac{A_0 \otimes_R N}{K} \to \frac{A_0 \otimes_R N}{\operatorname{im}(f \circ \operatorname{id})}$$

is surjective. It is enough to construct a map:

$$M \otimes_R N \to \frac{A_0 \otimes_R N}{\operatorname{im}(f \circ \operatorname{id})}$$

by the first isomorphism theorem in algebra and the fact that  $g \otimes id$  is surjective. To get such a map, we need to construct a bilinear map

$$M \times N \to \frac{A_0 \otimes_R N}{\operatorname{im}(f \circ \operatorname{id})}$$

defined as

$$(m,n)\mapsto (a,n)$$

and let  $a=g^{-1}(m)$  be a choice of the preimage. We remark that there could be many choices of a, but the difference  $a_1-a_2$  comes from f, since  $A_0$  is exact. This implies that this map is well-defined. This implies that the above map is surjective. There for

$$M \times N \to \frac{A_0 \otimes_R N}{\operatorname{im}(f \circ \operatorname{id})} \xrightarrow{g \otimes \operatorname{id}} M \otimes_R N$$

this composition is surjective. (Two surjective maps and maps to identity=isomorphism).



Warning 2.6. We saw that tensor product preserves surjectivity, but it does not necessarily preserve injectivity. Namely, if we replace the statement of the claim with SES

$$0 \to A_1 \xrightarrow{f} A_0 \xrightarrow{g} M \to 0$$

and consider

$$0 \to A_1 \otimes N \to \dots$$

*f* need not to be injective.

Next we see the sufficient condition for  $\operatorname{Tor}_R^i$  to vanish for all  $i \geq 2$ .

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Corollary 2.2. If R is a PID, then  $\operatorname{Tor}_R^i(M,N)=0$  for all  $i\geq 2$ .

*Proof.* Consider the free resolution of *M*:

$$0 \to K \to R\langle M \rangle \to 0 \to \dots$$

such that  $R\langle M \rangle/K \cong M$ . Recall that all submodules of a free module are free, so we can just take  $K = P_1$ , then we have

$$0 \to K \otimes_R N \to R\langle M \rangle \otimes_R N \to 0 \to 0 \to \dots$$

so the only homologies are  $\operatorname{Tor}^0_R,\operatorname{Tor}^1_R.$ 

**Problem 2.13** (HW(2.4)). Calculate  $\operatorname{Tor}_{\mathbb{Z}}^1$  and  $\operatorname{Tor}_{\mathbb{Z}}^1(\mathbb{Z}/n\mathbb{Z},\mathbb{Z}/m\mathbb{Z})$  for m,n>0. (Note: m,n could be equal or not).

**Definition 2.13** (ext functor). Fix an *R*-module *N*, consider the functor

$$\operatorname{Hom}_R(-;N):Mod_R^{op}\to Mod_R$$

Define the functors  $\operatorname{Ext}^i_R(-,N):Mod_R^{op}\to Mod_R$  as follows:

$$\operatorname{Ext}^i_R(M,N) = H^i(\operatorname{Hom}_R(P^M_{ullet},N))$$

where  $P_{\bullet}^{M}$  is a free resoltuion of M.

We note that if R is a PID, then  $\operatorname{Ext}^i_R(M,N)=0$  for all  $i\geq 2$ .

**Proposition 2.4.** We have

$$\operatorname{Ext}_R^0(M,N) \cong \operatorname{Hom}(M,N)$$

*Proof.* This requires the following lemma:

#### Lemma 2.2. If

$$A_1 \xrightarrow{f} A_0 \xrightarrow{g} M \to 0$$

is right exact, then

$$0 \to \operatorname{Hom}_R(M,N) \to \operatorname{Hom}_R(A_0,N) \to 0$$

is exact at  $\operatorname{Hom}_R(M,N)$  and  $\operatorname{Hom}_R(A_0,N)$ .

**Problem 2.14** (HW(2.5)). Prove the above lemma.

**Problem 2.15** (HW(2.6)). Prove the following statements about the Ext functor.

1.

$$\operatorname{Ext}_R^i\left(\bigoplus_{lpha} M_lpha, N\right) \cong \prod_lpha \operatorname{Ext}_R^i(M_lpha, N)$$

2.

$$\operatorname{Ext}^i_R(M,\prod_{lpha}N_lpha)\cong\prod_lpha\operatorname{Ext}^i_R(M,N_lpha)$$

3. Calculate

$$\operatorname{Ext}^1_{\mathbb{Z}}(\mathbb{Z}/n\mathbb{Z},\mathbb{Z}/m\mathbb{Z})$$

Next we state and prove Algebraic Kunneth theorem.

**Theorem 2.7** (AKT). Let R be a PID, and let  $\{M_{\bullet}, \partial_{\bullet}^{M}\}, \{N_{\bullet}, \partial_{\bullet}^{N}\}$  be PGCC of R-modules such that  $M_{i}$  is free for all i. Then there exists a SES:

$$0 \to \bigoplus_{i+j=n} H_i(M) \otimes_R H_j(N) \xrightarrow{X} H_n((M \otimes_R N)_{\bullet}) \to \bigoplus_{i+j=n-1} \operatorname{Tor}^1_R(H_i(M_{\bullet}), H_j(N_{\bullet})) \to 0$$

where X denotes the algebraic crossproduct.

Proof. too long, will type up later

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Corollary 2.3. Let R be a field, then the algebraic crossproduct induces an isomorphism:

$$0 \to \bigoplus_{i+j=n} H_i(M) \otimes_{\mathbb{F}} H_j(N) \cong H_n(M \otimes_{\mathbb{F}} N)$$

where the isomorphism is given by the algebraic crossproduct X.

Corollary 2.4 (Universal Coefficient Theorem). Let  $\{M_{\bullet}, \partial_{\bullet}^{M}\}$  be a chain complex of free  $\mathbb{Z}$ -modules, and let R be any commutative ring, then there is a SES:

$$0 \to H_n(M_{\bullet}) \otimes_{\mathbb{Z}} R \xrightarrow{f} H_n(M \otimes_{\mathbb{Z}} R) \to \operatorname{Tor}_{\mathbb{Z}}^1(H_{n-1}(M), R) \to 0$$

where f is injective but not necessarily surjective (the failure to be surjective is measured by  $Tor_R^1$ ).

Proof. Use AKT with

$$N_i = \begin{cases} R, i = 0 \\ 0, i > 0 \end{cases}$$
,  $H_i(N) = \begin{cases} R, i = 0 \\ 0, i \neq 0 \end{cases}$ 

Hence  $(M \otimes_{\mathbb{Z}} N)_{\bullet} = M_{\bullet} \otimes_{\mathbb{Z}} R$ .

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**Problem 2.16** (HW(2.7)). Prove the UCT in cohomology: let  $\{M_{\bullet}, \partial_{\bullet}^{M}\}$  be a chain complex of free  $\mathbb{Z}$ -modules, let R be any commutative ring, then there exists SES

$$0 \to \operatorname{Ext}^1_{\mathbb{Z}}(H_{n-1}(M),R) \to H^n(\operatorname{Hom}_{\mathbb{Z}}(M_\bullet,R)) \xrightarrow{\beta} \operatorname{Hom}_{\mathbb{Z}}(H_n(M),R) \to 0$$

Hint: use the same proof for AKT, instead of  $\otimes$  with N, you take the Hom into R.

# Chapter 3

# Singular Cohomology

We begin with some basic definitions.

**Definition 3.1** (*n*-simplex). The standard *n*-simplex  $\Delta_n \subset \mathbb{R}^{n+1}$  is defined as

$$\Delta_n = \left\{ x \in \mathbb{R}^{n+1} : x = \sum_{i=0}^n t_i e_i, t_i \ge 0, \sum_i t_i = 1 \right\}$$

where  $e_i$ ,  $0 \le i \le n$  are the standard basis vectors of  $\mathbb{R}^{n+1}$ .

**Definition 3.2** (face). Let  $0 \le i \le n$ , then the *i*th face  $F_i$  of  $\Delta_n$  is the (n-1)-simplex

$$F_i = \{x \in \Delta_n : t_i = 0\}$$

**Definition 3.3** (singular chain complex). Given a topological space X, the singular chain complex of X, with  $\mathbb{Z}$  coefficients, denoted as  $S_{\bullet}(X, Z)$  is defined as

$$S_i(X, Z) = \begin{cases} 0, i < 0 \\ \mathbb{Z}\langle \Delta_i(X) \rangle, i \ge 0 \end{cases}$$

where  $\Delta_i(X)$  is the set of continuous maps from  $\Delta_i \to X$ . We define  $\partial_n : S_n(X,\mathbb{Z}) \to S_{n-1}(X,\mathbb{Z})$  as follows:

$$\partial_n \langle f \rangle = \sum_{i=0}^n (-1)^i \langle f \circ F_i \rangle$$

where  $\langle f \rangle$  is a generator of  $\Delta_i(X)$ , and  $f: \Delta_X \to X$ , where

$$f \circ F_i = \Delta_{n-1} \to \Delta_n \xrightarrow{f} X$$

Note to complete this definition, one needs to check that  $\partial^2 = 0$ , which we did in class. might include this later

## 3.1 Lecture 9 9/25

Recall that last time, we defined the singular chain complexes  $S_{\bullet}(X,\mathbb{Z})$  with  $\mathbb{Z}$ -coefficients:

$$S_i(X, \mathbb{Z}) = \begin{cases} 0, i < 0 \\ \mathbb{Z}\langle \Delta_i(X) \rangle, i \ge 0 \end{cases}$$

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where  $\Delta_i(X)$  is the set of continuous maps from  $\Delta_i$  to X. Now we discuss some variations of this concept.

**Definition 3.4** (relative singular chain complex with  $\mathbb{Z}$ -coefficients). Let  $A \subset X$  be a subspace, define  $S_{\bullet}(X, A, \mathbb{Z})$  by

$$S_i(X, A, \mathbb{Z}) = \begin{cases} 0, i < 0 \\ \frac{\mathbb{Z}\langle \Delta_i(X) \rangle}{\mathbb{Z}\langle \Delta_i(A) \rangle}, i \ge 0 \end{cases}$$

note that the quotient is still free.

We note that  $S_{\bullet}(X, A, \mathbb{Z})$  is a chain complex with the following  $\partial$  maps such that the following diagram commutes:

$$S_{i}(A, \mathbb{Z}) \xrightarrow{\partial_{i}} S_{i-1}(A, \mathbb{Z})$$

$$\downarrow \qquad \qquad \downarrow$$

$$S_{i}(X, \mathbb{Z}) \xrightarrow{\partial_{i}} S_{i-1}(X, \mathbb{Z})$$

$$\downarrow \qquad \qquad \downarrow$$

$$S_{i}(X, A, \mathbb{Z}) \xrightarrow{\partial_{i}} S_{i-1}(X, A, \mathbb{Z})$$

**Definition 3.5**  $(S_{\bullet}(X, A, R))$ . We define  $S_{\bullet}(X, A, R)$ , where R is any commutative ring, and

$$S_{\bullet}(X, A, R) = S_{\bullet}(X, A, \mathbb{Z}) \otimes_{\mathbb{Z}} R$$

it is a chain complex of *R*-modules with  $\partial_i$  induced from  $S_{\bullet}(X, A, \mathbb{Z})$ .

The last variation is as follows:

**Definition 3.6** (singular cochain complex). Define the singular cochain complex of R-modules  $S^{\bullet}(X, A, R)$  as follows:

$$S^{i}(X, A, R) := \operatorname{Hom}_{\mathbb{Z}}(S_{i}(X, A, \mathbb{Z}), R) = \operatorname{Hom}_{R}(S_{i}(X, A, R), R)$$

where  $\partial^i$  is induced from  $\partial_i$  in  $S_{\bullet}(X, A, R)$ .

We make the following remark: if  $A=\varnothing$ , then  $S_{\bullet}(X,A,\mathbb{Z})=S_{\bullet}(X,\mathbb{Z})$ . Previously, we did UCT for chain complexes of free  $\mathbb{Z}$ -modules, here we state the universal coefficient theorem for singular chain complexes:

#### Theorem 3.1 (Universal Coefficient Theorems). We have some SES's:

1. There exists a short exact sequence

$$0 \to \bigoplus_{i+j=n} H_i(X, A, \mathbb{Z}) \otimes H_j(Y, B, \mathbb{Z}) \to H_n(S_{\bullet}(X, A, \mathbb{Z}) \otimes S_{\bullet}(Y, B, \mathbb{Z})) \to$$

$$\bigoplus_{i+j=n-1} \operatorname{Tor}_{\mathbb{Z}}^{1}(H_{i}(X,A,\mathbb{Z}),H_{j}(Y,B,\mathbb{Z})) \to 0$$

where  $H_i(X, A, Z) = H_i(S_{\bullet}(X, A, \mathbb{Z}))$ 

2. There exists a SES:

$$0 \to H_i(X, A, \mathbb{Z}) \otimes_{\mathbb{Z}} R \xrightarrow{f} H_i(X, A, R) \to \operatorname{Tor}_{\mathbb{Z}}^1(H_{i-1}(X, A, \mathbb{Z}), R) \to 0$$

again f is injective, and the failure to be surjective is measured by  $Tor_{\mathbb{Z}}^{1}$ .

3. There exists a SES:

$$0 \to \operatorname{Ext}^1_{\mathbb{Z}}(H_{n-1}(X,A,\mathbb{Z}),R) \to H^n(X,A,R) \xrightarrow{\beta} \operatorname{Hom}_{\mathbb{Z}}(H_n(X,A,\mathbb{Z}),R) \to 0$$

note all the above assumes R is a PID.

We next introduce the category PTop.

**Definition 3.7** (PTop). The category PTop has objects pairs (X, A) where  $A \subset X$  is a subspace of a toplogical space X. where

$$mor_{\mathsf{PTop}}((X,A),(Y,B)) = \text{ set of continuous maps from } X \to Y \text{ that sends } A \text{ to } B$$

i.e., the image of f in A is contained in B.

**Theorem 3.2.**  $S_{\bullet}(X, A, R)$  is a functor from PTop  $\to ch_{R'}^+$ , and  $S^{\bullet}(X, A, R)$  is the contravariant functor from PTop to  $coch_{R'}^+$ .

*Proof.* To show that it is a functor, we know it's defined on objects, we now define it on morphisms. Given  $f:(X,A) \to (Y,B)$ , we define

$$f_*: S_i(X, A, R) \to S_i(Y, B, R)$$

as follows:

$$(f_*)_i \left[ \langle q : \Delta_i \to X \rangle \right] := \left[ \langle f \circ q : \Delta_i \to Y \rangle \right]$$

We need to check that it commutes in a ladder as follows:

$$\begin{array}{c}
F_j \\
\downarrow \\
\Delta_i \xrightarrow{g} X \xrightarrow{f} Y
\end{array}$$

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we have

$$\begin{split} \partial_i \circ (f_*)_i [\langle g : \Delta_i \to X \rangle] &= \partial_i [\langle f \circ g : \Delta_i \to Y] \\ &= \sum_{j=0}^i (-1)^j (f_*)_{i-1} [\langle g : F_j \to X \rangle] \\ &= (f_*)_{i-1} \sum_{j=0}^i (-1)^j [\langle g : F_i \to X \rangle] \\ &= (f_*)_{i-1} \circ \partial_i \end{split}$$

Moreover, it is easy to see that

$$(f \circ g)_* = f_* \circ g_*$$

**Definition 3.8** (singular homology). The nth singular homology with coefficients in R is the composite functor:

PTop 
$$\xrightarrow{S_{\bullet}(X,A,R)} ch_R^+ \xrightarrow{H_n} Mod_R$$

and similarly for cohomologies.

**Example 3.1.** We consider the following simple example  $X = \mathsf{pt}$ , and  $A = \varnothing$ ,  $S_{\bullet}(\mathsf{pt}, R)$  since the set  $\Delta_i(\mathsf{pt})$  is a singleton  $i \geq 0$ . So  $S_{\bullet}(\mathsf{pt}, R)$  looks like

$$\cdots \to R \to R \xrightarrow{\partial_2} R \xrightarrow{\partial_1} R \to 0 \to \cdots$$

where

$$H_i(\mathsf{pt},R) = \begin{cases} 0, i \neq 0 \\ R, i = 0 \end{cases}$$

**Definition 3.9** (path-connected). A space X is path-connected if given any  $a,b \in X$ , there exists a continuous path  $\gamma:[0,1] \to X$  such that

$$\gamma(0) = \alpha, \quad \gamma(1) = b$$

**Proposition 3.1.** If *X* is path-connected, then

$$H_0(X,R) \cong R$$

(implying that  $H_0$  a homology group, could be tiny!)

*Proof.* Recall that by definition, we have

$$H_0(X,R) = \frac{R\langle \Delta_0(X)\rangle}{\mathrm{im}(\partial_1)}$$

where  $\partial_1 : R\langle \Delta_1(X) \rangle \to R\langle \Delta_0(X) \rangle$ . We consider the homomorphism:

$$\varepsilon: R\langle \Delta_0(X)\rangle \to R$$

such that  $\varepsilon \langle x \rangle = 1$ , for generator  $x \in X = \Delta_0(X)$ . Notice that

$$\partial_1 \langle \gamma \rangle = \langle \gamma(1) \rangle - \langle \gamma(0) \rangle$$

Hence

$$\varepsilon \partial_1 \langle \gamma \rangle = \varepsilon \langle \gamma(1) \rangle - \varepsilon \langle \gamma(0) \rangle = 1 - 1 = 0$$

Hence  $\varepsilon$  extends to a surjective map.

**Problem 3.1** (HW(2.8)). Show that  $\varepsilon$  is also injective.

Next we stated Eilenberg-Steenrod Axioms. will fill in later

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we restated ES axioms at the beginning of class.

**Definition 3.10** (contractible). A space X is said to be contractible if the identity map  $i: X \to X$  is homotopic to the map that sends all X to some  $x_0 \in X$ , where  $x_0$  is any point. This means, there exists  $h: X \times [0,1] \to X$  such that

$$h(x,0) = x, h(x,1) = x_0$$

**Problem 3.2.** Use ES axioms to show that if *X* is contractible, then

$$H_n(X) = \begin{cases} 0, n \neq 0 \\ R, n = 0 \end{cases}$$

then we proved this fact without using ES axiom, will fill in later

Corollary 3.1. Let B be an open ball in  $\mathbb{R}^n$ , then

$$H_n(B) = \begin{cases} R, n = 0\\ 0, n > 0 \end{cases}$$

sinc the ball is contractible.

Then we consider some spheres and hemispheres.

**Definition 3.11** (*n*-sphere, hemisphere). Let  $S^n \subset \mathbb{R}^{n+1}$  be the *n*-sphere

$$S^n = \{(x_0, \dots, x_n) \in \mathbb{R}^{n+1} : \sum_{i=0}^n x_i^2 = 1\}$$

define the hemispheres:

$$D_{+}^{n} = \{(x_0, \dots, x_n) \in S^n : x_0 \ge 0\}, \quad D_{-}^{n} = \{(x_0, \dots, x_n) \in S^n : x_0 \le 0\}$$

notice that

$$D^n_+\cap D^n_-=S^{n-1}$$

We make the following observations.

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**Proposition 3.2.** We have the following isomorphism.

1.

$$H_i(S^n, D_-^n) \cong H_i(S^n, \{s\}) := \tilde{H}_i(S^n)$$

where we can choose  $\{s\} \in D^n_-$  to be the south pole.

2.

$$H_i(S^n, D_-^n) \cong H_i(D_+^n, S^{n-1})$$

**Problem 3.3** (HW(2.9)). Give a proof of 1 in the above proposition using the 5-lemma.

we proved these using ES axioms A2A3, insert later

**Theorem 3.3.** There are the following isomorphisms:

1.

$$H_i(D^{n+1}_+, S^n) = \begin{cases} R, i = n+1 \\ 0, \text{else} \end{cases}$$

for  $n \geq 0$ .

2.

$$H_i(S^n) = \begin{cases} R, i = 0, n \\ 0, \text{else} \end{cases}$$

where n > 0.

*Proof.* We will conduct a simultaneous induction. We will do the base case now: for n=0, we prove 1. Consider the LES:

$$\cdots \to H_{i+1}(D^1, S^0) \to H_i(S^0) \to H_i(D^1) \to H_i(D^1, S^0) \to \cdots$$

For i > 0, we have

$$H_i(S^0) = H_i(D^1) = 0$$

this implies that for all i > 1,

$$H_i(D^1, S^0) = 0$$

For i = 0, 1, consider

$$0 \to H_1(D^1, S^0) \to H_0(S^0) \to H_0(S^1) \to H_0(D^1, S^0) \to 0$$

this implies that

$$H_0(D^1, S^0) = 0, \quad H_1(D^1, S^0) = R$$

Now we begin the induction step, assume 1,2 holds until n, we consider n + 1. Consider the LES:

$$\cdots \to H_i(D^{n+1}_-) \to H_i(S^{n+1}) \to H_i(S^{n+1}, D^{n+1}_-) \to H_{i-1}(D^{n+1}_-) \to \cdots$$

where

$$H_i(S^{n+1}, D_-^{n+1}) \cong H_i(D_+^{n+1}, S^n)$$

since  $H_i(D^{n+1}_-) = 0$  for all i > 0, we have

$$H_i(S^{n+1}) \cong H_i(D_+^{n+1}, S^n) \cong \begin{cases} R, i = n+1\\ 0, 1 \le i < n+1 \end{cases}$$

We only need to understand  $H_1(S^{n+1})$  to fully prove (b). Notice that

$$H_1(D^{n+1}) \to H_1(S^{n+1}) \to H_1(S^{n+1}, D^{n+1}) \to H_0(D^{n+1}) \cong H_0(S^{n+1})$$

and it is cclear that  $H_1(S^{n+1}) \cong H_1(D^{n+1}, S^n) = 0$ .

**Problem 3.4** (HW(2.10)). Prove (a) for n + 1 using (b) and the following LES:

$$\cdots \to H_{i+1}(D_+^{n+2}, S^{n+1}) \to H_i(S^{n+1}) \to H_i(D_+^{n+2}) \to \cdots$$

## 3.3 Lecture 11 10/02

We begin by showing  $S^n$  is not a retraction of  $D^{n+1}$  for n > 0.

**Corollary 3.2.** There is no map  $\gamma: D^{n+1} \to S^n$  such that

$$\gamma \circ i = \mathrm{id}$$

where  $i: S^n \to D_{n+1}$  is the inclusion map.

fill in theorem later

**Theorem 3.4** (Brouwer Fixed point theorem). Let f be any continuous map  $f:D^{n+1}\to D^{n+1}$ , for n>0. Then there exists  $x\in D^{n+1}$  such that

$$f(x) = x$$

*Proof.* Assume f existed without a fixed point, then we use this f to construct a retraction  $g:D^{n+1}\to S^n$ . We define g to be the point on  $S^n$  the line segment (x,f(x)) intersects it at. incomplete

**Problem 3.5.** Show the following:

- 1. Show that  $\mathbb{R}^n$  and  $\mathbb{R}^m$  are not homeomorphic, if  $m \neq n$ .
- 2. Show that  $\mathbb{S}^n$  is not a retraction of  $S^m$  if n < m.

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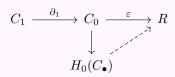
**Definition 3.12** (augmented CC). A augmented chain complex of *R*-modules is a postively graded chain complex of *R*-modules endowed with an *R*-module map:

$$\varepsilon: C_0 \to R$$

such that

$$\varepsilon \circ \partial_1 = 0$$

i.e.,  $\varepsilon$  factors through  $H_0(C_{\bullet})$ .



An augmented chain complex is called

1. acyclic. If  $H_i(C_{\bullet}) = 0$  for all i > 0, and

$$H_0(C_{\bullet}) \cong R$$

via the map  $\varepsilon$ .

2. free. If  $C_i$  is a free-module for all i.

A morphism of two augmented chain complex  $\{C_{\bullet}, \partial^{C}_{\bullet}, \varepsilon^{C}\}, \{D_{\bullet}, \partial^{D}_{\bullet}, \varepsilon^{D}\}$  is a morphism  $\{f_{\bullet}\}$  of chain complexes between  $\{C_{\bullet}, \partial^{C}_{\bullet}\}$  and  $\{D_{\bullet}, \partial^{D}_{\bullet}\}$  such that the following diagram commutes:



**Definition 3.13** (Ach<sub>R</sub>). Let Ach<sub>R</sub> be the category of augmented chain complexes of R-modules.

**Problem 3.6** (HW(2.12)). Show that if  $\{C_{\bullet}, \partial_{\bullet}^{C}, \varepsilon^{C}\}$  and  $\{D_{\bullet}, \partial_{\bullet}^{D}, \varepsilon^{D}\}$  are objects in Ach<sub>R</sub>, then

$$\{(C \otimes D)_{\bullet}, \partial_{\bullet}^{C \otimes D}, \varepsilon^C \otimes \varepsilon^C\}$$

is also an object in  $Ach_R$ .

Proof. It suffices to check that

$$\varepsilon^C \otimes \varepsilon^D : C_0 \otimes D_0 \to R$$

such that

$$\varepsilon^C \otimes \varepsilon^D \circ \partial_1^{C \otimes D} = 0$$

**Example 3.2.** Given a topological space X,  $S_{\bullet}(X, R)$  is an object in Ach<sub>R</sub>, with

$$\varepsilon: S_0(X,R) \to R$$

where  $\langle x \rangle \mapsto 1, x \in X$ .

**Definition 3.14** (acyclic functor). Let  $g: C \to \operatorname{Ach}_R$  be a functor. Let M be a collection of objects in C. We say that g is acycli with respect to M if  $g(\alpha)$  is a cyclic for all  $\alpha \in M$ .

We note that  $S_{\bullet}(-,R): Top \to Ach_R$  is acyclic with respect to all contractible spaces. Next we introduce free functors.

**Definition 3.15.** Let  $g: C \to \operatorname{Ach}_R$  be a functor, and let  $M = \bigsqcup_{k \in \mathbb{N}} M_k$  with

$$M_k = \{(\alpha, k), i_{\alpha, k}\}$$

where  $M_k$  is a collection of objects  $(\alpha, k)$  in C endowed with a collection of elements

$$\{i_{(\alpha,k)}\}\in g((\alpha,k))_k$$

where  $g((\alpha, k))_k$  means the degree k of a chain complex, which is an R-module. We say that g is free with respect to M if given any object  $\beta$  in C, we have

$$g(\beta)_k = \bigoplus_{f \in mor((\alpha,k),\beta)} R\langle f_*(i_{\alpha,k})\rangle$$

where  $f_* = g(f)_k$ .

Next we did an example. fill in later

Next we prove the Acyclic Model Theorem.

**Theorem 3.5** (AMT). Let g, g' be functors from C to  $Ach_R$ , let M be a collection of module in C such that g is free and g' is acyclic. Then the following statements are true:

- 1. There exists a natural transformation  $T: g \Rightarrow g'$ .
- 2. If T, T' are two such transformations, then there exists a natural homotopy D between them, i.e., given any object X of C, there exists a chain homotopy  $D_X : g(X)_k \to g'(X)_k$  such that

$$\partial D_X + D_X \partial = TX - T'X$$

and the following diagram commutes: for all  $f: X \to Y$ :

$$g(X)_k \xrightarrow{D_X} g'(X)_{k+1}$$

$$g(f) \downarrow \qquad \qquad \downarrow g'(f)$$

$$g(Y)_k \xrightarrow{D_Y} g'(Y)_{k+1}$$

#### 3.4 Lecture 10/07

We first prove the AMT stated last lecture. insert the proof here. Next we talk about some applications of AMT.

**Theorem 3.6.** Given topological spaces X, Y, the chain complexes

$$S_{\bullet}(X,R) \otimes_R S_{\bullet}(Y,R)$$
 and  $S_{\bullet}(X \times Y,R)$ 

are naturally chain homotopic, i.e., the functors from  $Top \times Top$  to  $Ach_R$  are naturally chain homotopically equivalent.

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*Proof.* This states that there exists a natural transformation

$$EZ: S_{\bullet}(X,R) \otimes_R S_{\bullet}(Y,R) \to S_{\bullet}(X \times Y,R)$$

and

$$EZ^{-1}: S_{\bullet}(X \times Y, R) \to S_{\bullet}(X, R) \otimes_R S_{\bullet}(Y, R)$$

such that

$$EZ^{-1} \circ EZ \cong id_{S_{\bullet}(X,Y)}$$

via a natural homotopy D, and similarly for the reverse.

Consider the functors

$$g, g': Top \times Top \rightarrow Ach_R$$

where

$$g = S_{\bullet}(X) \otimes S_{\bullet}(Y), g' = S_{\bullet}(X \times Y)$$

Consider the models,

$$M = \bigsqcup_{k \in \mathbb{N}} M_k$$

such that

$$M_k = \{(\Delta_p, \Delta_q) : p + q = k, i_{(p,q)} \in g(\Delta_p, \Delta_q) = \langle \mathrm{id}_{\Delta_p} \rangle \otimes \langle \mathrm{id}_{\Delta_q} \rangle \}$$

**Problem 3.7** (HW(3.1)). Show that g is free with respect to M.

We note that  $\Delta_p \times \Delta_q$  is contractible, hence  $S_{\bullet}(\Delta_p \times \Delta_q)$  is acyclic. So g' is acyclic with respect to M. This implies that there exists a natural transformation  $EZ: g \Rightarrow g'$  by AMT.

Now we consider  $g = S_{\bullet}(X \times Y), g' = S_{\bullet}(X) \otimes S_{\bullet}(Y)$ , and  $M = \bigsqcup_k M_k$ , where

$$M_k = \{(\Delta_k, \delta_k) : i_{(k,k)} \in S_k(\Delta_k \times \Delta_k) = \langle \Delta \rangle : \Delta_k \to \Delta_k \times \Delta_k\}$$

i.e.,  $\langle \Delta \rangle$  is the diagonal map. Now we show that g is free wrt M.

$$\begin{split} g(X,Y)_k &= S_k(X \times Y) \\ &= \bigoplus_{f \in maps(\Delta_k, X \times Y)} R\langle f \rangle \\ &= \tilde{f} \in maps(\Delta_k, X) \times maps(\Delta_k, Y) \end{split}$$

with f given by the composite

$$\Delta_k \xrightarrow{\Delta} \Delta_k \times \Delta_k \xrightarrow{(f_1, f_2) = \tilde{f}} X \times Y$$

By Algebraic Kunneth Theorem and UCT

$$S_{\bullet}(\Delta_k) \otimes S_{\bullet}(\Delta_k)$$
 is acyclic

we get  $EZ^{-1}: g' \Rightarrow g$  by AMT.

**Problem 3.8** (HW(3.2)). Finish the proof by showing  $S_{\bullet}(X) \otimes S_{\bullet}(Y)$  is free and acyclic with respect to M, and similarly  $S_{\bullet}(X \times Y)$  is also free and acyclic. In other words, check free is also acyclic and acyclic is also free. Then conclude by AMT that

$$S_{\bullet}(X,R) \otimes_R S_{\bullet}(Y,R)$$
 and  $S_{\bullet}(X \times Y,R)$ 

are naturally chain homotopic

# 3.5 Lecture 10/09

Last time we showed that

$$S_{\bullet}(X,R) \otimes_R S_{\bullet}(Y,R)$$
 and  $S_{\bullet}(X \times Y,R)$ 

are chain homotopic. We now make a few remarks:

- 1. The above theorem extends to a relative natural equivalence: using naturality of the non-relative theorem:  $EZ: S_{\bullet}(X,A,R) \otimes S_{\bullet}(Y,B,R) \to S_{\bullet}(X\times Y,X\times B\cup A\times Y,R)$
- 2. On the level of cochain cmplexes, we have corresponding cochain homotpy equivalences:

$$EZ^*: S^{\bullet}(X \times Y, R) to S^{\bullet}(X, R) \otimes S^{\bullet}(Y, R)$$

and more generally for relative equivalences.

3. If X = Y, then there is a nice classification of the following composite:

$$S_{\bullet}(X,R) \xrightarrow{\Delta_*} S_{\bullet}(X \times X,R) \xrightarrow{EZ^{-1}} S_{\bullet}(X,R) \otimes S_{\bullet}(X,R)$$

given by the Alexander-Whitney diagonal formula, where  $\Delta_*$  is the map induced by the diagonal map:

$$\Delta: X \to X \times X$$

where  $x \mapsto (x, x)$ .

Next we talk about the Alexander-Whiteney construction.

Definition 3.16. The Alexander-Whiteney diagonal is a natural map:

$$AW: S_{\bullet}(X,R) \to S_{\bullet}(X,R) \otimes_R S_{\bullet}(X,R)$$

such that

$$AW\langle f \rangle = \bigoplus_{p+q=n} \langle f \circ Fr^p \rangle \otimes \langle f \circ Bk^q \rangle$$

where Fr, Bk means front and back, and  $f: \Delta_n \to X$  is a continuous map and

$$f \circ Fr^p$$

denotes the restriction of f to the front p-face given by

$$Fr^p = \{x = (t_0, \dots, t_n) : t_i = 0, \forall i > p\}$$

and the back q-face is

$$Bk^q = \{x = (t_0, \dots, t_n) : t_i = 0, \forall i < n - q\}$$

Note that

$$\langle f \circ Fr^p \rangle \in S_p(X,R)$$

and

$$\langle f \circ Bk^q \rangle \in S_q(X,R)$$

**Problem 3.9** (HW(3.3)). Show that  $AW\langle f \rangle$  is a chain map, i.e., it commutes with the differential on both sides.

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Theorem 3.7. The Alexander-Whitney diagonal is naturally homotopic to the following composite:

$$S_{\bullet}(X,R) \xrightarrow{\Delta_*} S_{\bullet}(X \times X,R) \xrightarrow{EZ^{-1}} S_{\bullet}(X,R) \otimes S_{\bullet}(X,R)$$

Proof. Consider the functors:

$$q, q': Top \to Ach_R$$

given by

$$g(X) = S_{\bullet}(X, R), g'(X) = S_{\bullet}(X, R) \otimes S_{\bullet}(X, R)$$

consider the collection

$$M = \bigsqcup_{k} M_k, M_k = \{\Delta_k\}$$

and let  $i_k \in S_k(\Delta_k, R)$  be  $\langle id_{\Delta_k} \rangle$ . Then notice that g is free with respect to M and g' is acyclic with respect to M by the algebraic Kunneth theorem. Hence the second part of the AMT syas that the two natrual transformations AW and  $EZ^{-1} \circ \Delta_*$  are naturally chain homotopic.

Next we prove the ES axiom 2.

Proposition 3.3. Singular homology satisfies the homotopy axiom.

*Proof.* fill in later

Next we will prove the ES A3, the Excision axiom. We introduce a few definitions before doing so. We will talk about barycentric subdivision.

**Definition 3.17** (barycenter). Let  $\Delta_n$  denote the *n*-simplex, let

$$\{e_{i_0},\ldots,e_{i_k}\}$$

be some collection of vertices of  $\Delta_n$ . where

$$I = \{i_0, \dots, i_k\} \subset \{0, \dots, n\}$$

The barycenter of *I* is

$$b(I) = \frac{1}{k+1} \sum_{i \in I} e_i \in \Delta_n$$

Namely, b(I) is the center of the face spanned by vertices  $e_i$  where  $i \in I$ .

Let  $\sigma \in S_{n+1}$  be a permutation of  $\{0,\ldots,n\}$ . Define an n-simplex  $B(\sigma)$  to be the subspace of  $\Delta_n$  with vertices:

$$\{b(\sigma\{0,\ldots,n\}),b(\sigma\{1,\ldots,n\}),\ldots,b(\sigma\{n\})\}$$

note that b does not change under permuattions, i.e.,

$$B(\sigma) = \left\{ \sum_{j=0}^{n} t_j b(\sigma\{j,\dots,n\}) : t_j \ge 0, \sum_j t_j = 1 \right\}$$

Definition 3.18. The barycentric subdivision is a natural transformation

$$L: S_{\bullet}(X) \to S_{\bullet}(X)$$

such that given  $\langle f \rangle \in S_n(X)$ , we define

$$L(\langle f \rangle) = \sum_{\sigma \in S_{n+1}} (-1)^{sgn(\sigma)} \langle f \circ B(\sigma) \rangle$$

**Problem 3.10** (HW(3.4)). Show that L is a chain map, i.e.,

$$\partial L = L \partial$$

Hint: in  $\partial L$  the internal faces cancel off.

Corollary 3.3. By AMT, we have  $L^1, L^2, \dots, L^k$  are all chain homotopic to the identity transformation.

We make the remark that by the naturality of L, we see that L extends to a natural transformation

$$L: S_{\bullet}(X, A, R) \to S_{\bullet}(X, A, R)$$

such that  $L^k$  is chain homotopic to the identity for all  $k \in \mathbb{N}$ .

Next time, we will talk about the Excision Axiom A3.

## 3.6 Lecture 10/14

We start the proof of Excision Axiom.

**Definition 3.19** (good cover). A good cover  $\{U_i\}$  of a topological space X is a cover such that  $\{int(U_i)\}$  is an open cover of X.

We define chain complex

$$\{S^U_{\bullet}(X,R),\partial_{\bullet}\}$$

by

$$S_n^U(X,R) = \bigoplus_{f \in \Delta_n^U(X)} R\langle f \rangle$$

where  $\Delta_n^U(X)$  is the set of all continuous maps from  $\Delta_n$  to X that land in some  $U_i$ , and the differential is given by the usual formula.

The relative version is as follows: if  $A \subset X$  then  $\{A \cap U_i\}$  is a good cover of A, which we also denote by U. Define

$$S^U_{\bullet}(X,A,R) = \left\{ S^U_{\bullet}(X,R) / S^U_{\bullet}(A,R); \partial_{\bullet} \right\}$$

is the relative version of the defintion.

**Proposition 3.4.** The canonical inclusion

$$i: S^U_{\bullet}(X, A, R) \to S_{\bullet}(X, A, R)$$

induces an isomorphism in homology:

$$i_*: H_*(S^U_{\bullet}(X, A, R)) \cong H_*(X, A, R)$$

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*Proof.* First we reduce to the case where  $A = \emptyset$  using the 5-lemma. Consider the laddder of LES:

$$\dots \longrightarrow H_n(S^U_{\bullet}(A,R)) \longrightarrow H_n(S^U_{\bullet}(X,R)) \longrightarrow H_n(S^U(X,A,R)) \longrightarrow \dots$$

$$\downarrow^{i_A} \qquad \qquad \downarrow^{i_X} \qquad \qquad \downarrow^{i_{(X,A)}}$$

$$\dots \longrightarrow H_n(A,R) \longrightarrow H_n(X,R) \longrightarrow H_n(X,A,R) \longrightarrow \dots$$

So if we show that  $i_A, i_X$  are isomorphisms, then  $i_{(X,A)}$  is also an isomorphism by the 5-lemma. So we consider

$$i_*: H_*(S^U_{\bullet}(X,R)) \to H_*(X,R)$$

First we show that  $i_*$  is injective, consider

$$S_{n+1}^{U}(X) \xrightarrow{i} \beta \in S_{n+1}(X)$$

$$\downarrow \partial \qquad \qquad \downarrow \partial$$

$$S_{n}^{U}(X) \xrightarrow{i} \partial \beta \in S_{n}(X)$$

Let  $[\alpha] \in H_n(S^U_{\bullet}(X))$  such that  $i_*[\alpha] = 0$ . Then

$$\alpha = \partial \beta$$

for some  $\beta \in S_{n+1}(X)$ . Now apply  $L^k$  for some k >> 0. Then

$$L^k(\beta) \in S_{n+1}^U(X)$$

We know that

$$L^{k}(\beta) - \beta = (D\partial + \partial D)(\beta)$$

for some natural homotopy D, i.e.,

$$L^{k}(\beta) - \beta = D\partial(\beta) + \partial D(\beta)$$
$$= D(\alpha) + \partial D(\beta)$$

Hence  $\beta = L^k(\beta) - \partial D(\beta) - D(\alpha)$ , hence

$$\partial \beta = \partial (L^k(\beta) - D(\alpha)) = \alpha$$

but  $L^k(\beta)-D(\alpha)\in S^U_{n+1}(X,R)$ , by subdivision and naturality, we see that

$$[\alpha] = 0$$

in  $H_n(S^U_{\bullet}(X,R))$ .

Next we show that  $i_*$  is surjective. Given  $[\alpha] \in H_n(X,R)$ , replace  $[\alpha]$  with  $[L^k(\alpha)]$  for k >> 0 using the fact that  $L^k$  is chain homotopic to the identity, but

$$L^k(\alpha) \in S_n^U(X,R)$$

so  $i_*$  is surjective.

Corollary 3.4. Singular homology satisfies Excision Axiom A3.

Proof. fill in later □

**Theorem 3.8** (Mayer-Vietoris sequence). Let  $V_1, V_2$  be such that  $int(V_1) \cup int(V_2) = X$ , then there is a LES:

$$\dots \longrightarrow H_i(V_1 \cap V_2) \xrightarrow{s} H_i(V_1) \oplus H_i(V_2) \xrightarrow{t} H_i(X)$$

$$H_{i-1}(V_1 \cap V_2) \stackrel{\longleftarrow}{\longleftarrow} H_{i-1}(V_1) \oplus H_{i-1}(V_2) \qquad H_{i-1}(X)$$

.. ←

where s is induced by the map  $(i_1)_* \oplus -(i_2)_*$ , where

$$i_1: V_1 \cap V_2 \to V_1$$

and

$$i_2:V_1\cap V_2\to V_2$$

and t is induced by the map

$$(j_1)_* + (j_2)_*$$

where

$$j_1: V_1 \to X, j_2: V_2 \to X$$

**Problem 3.11** (HW(3.5)). Calculate the homology of the Riemannian surface  $\Sigma_g$ , g stands for g-holes. For example,  $\Sigma_0 = \mathbb{S}^2$ ,  $\Sigma_1 = S^1 \times S^1$ .

Next we talk about products in cohomology. We now introduce and study 4 types of natural pairings.

1. Topological crossproduct in homology:

$$\bigoplus_{i+j=n} H_i(X,A) \otimes_R H_j(Y,B) \xrightarrow{X_{\bullet}} H_n(X \times Y, A \times U \cup X \times B)$$

2. Topological cross product in cohomology:

$$\bigoplus_{i+j=n} H^i(X,A) \otimes_R H^j(Y,B) \xrightarrow{X_{\bullet}} H^n(X \times Y, A \times U \cup X \times B)$$

3. Cup product in cohomology

$$\bigoplus_{i+j=n} H^i(X,A) \otimes_R H^j(X,B) \xrightarrow{\cup} H^n(X,A \cup B)$$

4. Cap product between (co)homologies:

$$\bigoplus_{i+j=n} H^i(X,A) \otimes_R H_n(X,A \cup B) \xrightarrow{\cap} H_{n-i}(X,B)$$

We start with the first type: topological product in homology. We define the natural pairing

$$\bigoplus_{i+j=n} H_i(X,A) \otimes_R H_j(Y,B) \xrightarrow{X_{\bullet}} H_n(X \times Y, A \times U \cup X \times B)$$

as the composite:

$$H_{i}(S_{\bullet}(X,A)) \otimes H_{j}(S_{\bullet}(Y,B)) \xrightarrow{X_{\bullet}} H_{n}(S_{\bullet}(X \times Y, X \times B \cup A \times Y))$$

$$\uparrow^{EZ(\cong)}$$

$$H_{n}(S_{\bullet}(X,A) \otimes_{R} S_{\bullet}(Y,B))$$

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where  $X_{alg}$  denotes the algebraic cross product:

$$X_{alg}([\alpha] \otimes [\beta]) := [\alpha \otimes \beta]$$

We note that X can be seen as a natural transforamtion between the functors  $H_i \otimes H_j$  and  $H_{i+j}$  from

$$PTop \times PTop \rightarrow R - Mod$$

(II) Next we talk about topological crossproduct in cohomology. To define topological cross product, we first observe that for any R modules V, W, there is a natural map

$$Hom(V,R) \otimes_R Hom(W,R) \xrightarrow{\lambda} Hom(V \otimes W,R)$$

and

$$\lambda(\varphi \otimes \psi)(a \times b) = \varphi(a)\psi(b)$$

We then define

$$\bigoplus_{i+j=n} H^i(X,A) \otimes_R H^j(Y,B) \xrightarrow{X_{\bullet}} H^n(X \times Y, A \times U \cup X \times B)$$

as the composite

$$H^{i}(S^{\bullet}(X,A)) \otimes H^{j}(S^{\bullet}(Y,B)) \xrightarrow{X^{\bullet}} H^{n}(S^{\bullet}(X \times Y, X \times B \cup A \times Y))$$

$$\downarrow^{X_{alg}} \qquad \uparrow^{EZ^{-1}(\cong)}$$

$$H^{n}(S^{\bullet}(X,A) \otimes S^{\bullet}(Y,B)) \xrightarrow{\lambda} H^{n}(Hom(S_{\bullet}(X,A) \otimes_{R} S_{\bullet}(Y,B),R))$$

Note: as before, *X* can be seen as a natural transformation between the two obvious functors. Recall the map

$$\beta: H^i(X,A) \to Hom(H_i(X,A),R)$$

**Problem 3.12** (HW(3.6)). Show the following diagram commutes:

$$H^{i}(X,A) \otimes H^{j}(Y,B) \xrightarrow{X^{\bullet}} H^{i+j}(X \times Y, X \times B \cup A \times Y)$$

$$\downarrow^{\beta}$$

$$Hom(H_{i}(X,A),R) \otimes Hom(H_{j}(Y,B),R) \qquad Hom(H_{i+j}(X \times Y, X \times B \cup A \times Y),R)$$

$$\downarrow^{X^{\bullet}}$$

$$Hom(H_{i}(X,A) \otimes_{R} H_{j}(Y,B),R)$$

where  $X_{\bullet}^*$  denotes the dual map induced by the cro sproduct in the homology.

- 3.7 Lecture 10/16
- 3.8 Lecture 10/21
- 3.9 Lecture 10/23