

# SRPeek: Super Resolution Enabled Screen Peeking via COTS Smartphone

**Abstract**— We use smartphones everywhere and privacy concerns have arisen for the “shoulder surfing” attack, where strangers peek at our phone in public areas. To mitigate this privacy threat, various countermeasures have been designed but mainly against attackers with the naked eye. With the development of smartphones and super resolution (SR) technique, a malicious attacker can peek from further away with the assist of his/her smartphone camera and SR algorithms, rendering the underestimated threat model. To underline this concern, we present **SRPeek**, an end-to-end system of shoulder surfing deployed on commercial smartphones, including a unique deep neural network (DNN) architecture for multi-image SR. We implement **SRPeek** in Android. The experimental results demonstrate its efficiency and robustness, allowing a human to read 90% of the characters at a distance of 1.8m with traditional lenses and 6m with optical zooming lenses. And it outperforms the state-of-the-arts and fills the blank space of multi-image SR for shoulder surfing attack.

## I. INTRODUCTION

Smartphones have become a necessity in our lives, and privacy concerns have consequently arisen. We often worry about nearby parties peeking at our screen, namely “shoulder surfing”. Massive efforts have been made to shield users against this threat [1]–[3], but this threat itself is somewhat understudied in comparison. In our opinion, the common threat model of an attacker with his/her naked eye is outdated, as with the groundbreaking developments in smartphones and neural networks, we should have considered the possibility that the shoulder surfing attacker is looking through his smartphone’s lenses and even equipped with super resolution (SR) algorithms, which is already in use in HUAWEI’s phones. In our work we’ll present an up-to-date threat model as a reference for future works of screen privacy protection.

**Motivation.** Although it’s pointed out by Eiband [4] that in most occasions the shoulder surfer means no harm and merely takes several peeks out of curiosity, we argue that a mere stranger can hardly do any harm, and it is the rare but malicious attacker, with certain familiarity and knowledge of the victim, that can really utilize the stolen information and should be the primary object of our defenses. The argument goes the same with smartphones—although uncommon, the utilization of this commonly available equipment can deal much greater harm with longer attacking range and ability of preserving evidence. For example, in a Senate hearing, the Justice Secretary of Philippines, Vitaliano Aguirre II, suffered a leakage of his text messages, as someone had taken a snapshot of his smartphone [5]. The privacy concerns have been exacer-

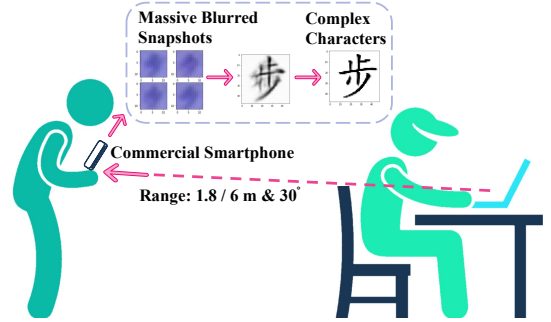


Fig. 1: Illustration of the long-range shoulder surfing threat model on smartphones, exacerbated by the SR algorithm.

bated with the rapidly developed mobile camera module these years. Equipped with multiple cameras, the newest generation of smartphones can perform  $100\times$  zooming compared to the standard  $5\times$  of single camera phones. Hardware improvements in memory also allows the burst mode at tremendous frame rates and even high-speed photography, delivering videos with thousands of frames per second, enabling the usage of multi-frame super resolution (SR) algorithms to further improve the shoulder surfing range.

Unfortunately, none of existing works on screen privacy protection have considered the presence of modern mobile phone cameras and SR algorithms. Numerous defense mechanisms have been proposed, from physical privacy films to alternative password entry interfaces [1], [2] and input methods [3], but the additional deployment cost and interaction complexity keeps them from being widely deployed [6]. Given the ubiquitous usages of smartphones and serious damages of the data disclosure for the victim, it is imminent to recall attention on this new threat model and propose corresponding countermeasure for modern circumstances.

**Threat Model.** We present **SRPeek**, an end-to-end system of shoulder surfing using the SR algorithm, illustrated in Figure 1. While the victim’s reading private information in public, the attacker uses his commercial smartphone to take 10 to 20 snapshots rapidly of his screen in burst mode (about 10 frames per second), and processes the images with multi-frame SR algorithms to generate a high-resolution result. The whole process only takes a few seconds and can even be repeated at a 2 second interval, enabling the attacker to monitor the victim’s screen continuously, not missing any detail. Meanwhile, few people would suspect a stranger holding his smartphone at

1.8 meters behind them. Given the newest generation of smartphones equipped with telephoto lenses and capable of optical zooming, this distance can reach a staggering 6 meters, posing a silent but deadly threat to screen privacy.

**Challenges.** Creating a powerful SR based shoulder surfing threat model, however, is not trivial, especially for commercial smartphones in real time. And we achieve SRPeek by overcoming four key challenges.

- **Blurriness of input images.** The most prominent one is the blurriness of captured snapshots, as they are taken secretly and hurriedly at extreme range, without specially focusing. It can be further exacerbated by straining the magnification of the smartphone lenses. Unlike most SR applications and datasets working with “normally” captured snapshots (e.g., scenery, scanned images) [7], [8], these snapshots exhibit lower concentrations of information and more artifacts as Figure 1 shows, requiring a reliable “reconstruction” than “interpolation”. Since blurrier input means less information, rendering a greater possibility of reconstructing the wrong character. On one hand, each snapshot is blurred with a randomly different PSF kernel, removing the consistency between neighboring frames. Thus, it cannot be approximated as video clips using a constant, isotropic gaussian kernel; on the other hand, each defocused snapshot only contains fractions of information, analogous to pieces of a jigsaw puzzle, posing a greater demand for integration abilities of the SR algorithms than most other SR applications.
- **Super resolution with multiple snapshots.** To resolve the blurriness of input snapshots, a SR algorithm on a smartphone is required to recover the blurred contents. However, none of existing SR algorithms can be adapted for this attack scenario, especially with massive extremely blurred snapshots as input. Since smartphones can capture 10 snapshots per second in burst mode. Specifically, the difference between videos and multiple snapshots rules out existing image/video super resolution algorithms [9], [10]. The reason has two folds. First, massive input leads to the increase in model complexity, making it unsuitable for mobile deployment. Second, the nature of our task requires to do pixel-wise correlation and comparison in the same coordinate with consecutive snapshots throughout our construction process. Otherwise we cannot tell if, for example, it is one stroke or two parallel strokes that is shown in these two darker pixels on this snapshot.
- **Model complexity and variable input.** We have to operate our threat model locally on commercial smartphones in a real-time manner rather than remotely in cloud server. Since 1) the latter requires a stable internet access, and 2) sending multiple snapshots across the Internet will consume time and bandwidth. Given the required 10 to 20 snapshots to be processed for the ideal content recovery, we have 1-2 seconds as the minimum interval between each output frame, rendering a limited period for calculation with the restricted power and RAM. In case where the display on the target

screen changes more frequently, fewer snapshots and less processing time are available for each scene. Consequently, it cannot only require a more precise network model, but also a model that can deal with dynamic inputs efficiently, either 3 or 20 snapshots.

- **Complexities of characters.** To deploy our threat model broadly for real-life scenarios (e.g., record passwords or e-mails), it is supposed to reconstruct complex characters with multiple strokes, such as Chinese characters shown in Figure 1. Composed of multiple strokes, characters are distributed discretely in the pixel-wise snapshot and different with other entities (e.g., faces and natural objects), rendering unique challenges. In some cases, messing up a stroke even slightly can shorten or lengthen a stroke, leading to a different character for misunderstandings. Unlike most SR applications working on similarity between their output and the ground truth, our network architecture and training process must be engineered to recover readable contents. Another challenge is the imbalanced element for words. Since we know certain stroke or alphabet of Chinese and English characters can occur more frequently, results in lower prediction accuracy over the less common, unconventionality shaped characters.

**Summary of Evaluation Results.** Equipped with our uniquely designed SR algorithm, our system can help the attacker read the characters shown on the victim’s screen with above 90% accuracy at 1.8m distance on a smartphone without optical zooming, or 6m on a phone with optical zooming in our experimental settings. SRPeek can capture snapshots and produce high-resolution images constantly with about 2 seconds interval. Our experiments in real life scenarios show that it can decipher texts or passwords of the victim at a safe distance, without alarming the victim, posing a serious threat to screen privacy.

**Contributions.** This paper makes the following contributions:

- We propose SRPeek, an end-to-end threat model of shoulder surfing from a broad range, which can be deployed on commercial smartphones. To the best of our knowledge, we are the first to consider the presence of smartphone cameras and SR algorithms in shoulder surfing scenarios.
- We design a multi-frame SR neural network architecture to reconstruct massive extremely blurred and defocused snapshots. It can be further extended to other text-recognition applications.
- We evaluate this new shoulder surfing threat model in multiple scenarios. And it outperforms the state-of-the-arts for content recognition, calling for the new privacy concern.

The rest of the paper is organized as follows. Section II describes the related work, especially the state-of-the-arts for the shoulder surfing and SR techniques. We present the system and network design in Section III and IV, followed by the implementation in Section V and evaluation in Section VI and VII. We further wrap up this paper by delivering the limitations

and countermeasures of our system in Section VIII. And the conclusion is shown in Section IX.

## II. RELATED WORK

### A. Shoulder Surfing

With the arrival of the information era, privacy issues are becoming increasingly prominent. Smartphone screen privacy, the concern of our smartphones being observed by strangers in public areas, or shoulder surfing, have been studied heavily recently [4], [11], [12]. To mitigate this threat, some systems hide the information [13] or warn the user [14] once sensing malicious passers-by; others modify the user interfaces, including creating honeypots (for passwords) [15], confusing unauthorized parties [1], and making the interactions invisible [3] or unreadable from a distance [6]. Most of the works assume that the attacker is a casual passer-by, taking occasional peeks with the naked eye, as is the case most of the time [4] [1]. Given the assisted equipment, the malicious attacker can however acquire the sensitive information (passwords, business correspondence, etc.) readily to do real harm.

However, compared to the various works focusing on defenses against shoulder surfing, the works studying and modelling this threat is sparse and outdated. Most of these works focus on scenarios where the attacker peeks at the phone with his/her naked eye, performing experiments or conducting surveys to research this threat. Eiband et al. [4] conducted a user survey to investigate stories of shoulder surfing; Kwon et al. [12] designed a shoulder surfing approach called covert attentional shoulder surfing, and evaluated its success rates against PIN entry scenarios, presenting a powerful shoulder surfing threat model with the naked eye; and Schaub et al. [16] evaluated shoulder surfing susceptibility, using Levenshtein distances and 7-point Likert scales to evaluate the accuracy and difficulties of shoulder surfing on different virtual keyboards. These works, however, lack quantitative modelling of this privacy threat, such as controlling the distances, illumination, angle, etc. to evaluate the vulnerability of screen privacy in different scenarios; and these works all focus on the unequipped attacker, so that their attack range is within 1 meter or even closer (where the attacker stands right behind or next to the victim), which is a barely practical scenario.

To deal with the threat model of tool-assisted shoulder surfing, Maggi et al. designed an automatic shoulder surfing threat model, observing the target smartphone with a camera [17]. However, the system contains only recognition algorithms, lacking SR processors to enhance the quality of the image, so that it can only function when the attacker is standing at close range. By tailoring and fusing the SR technology with smartphones with developed mobile camera module and processing ability, we propose a stronger shoulder surfing threat model in which attackers can deploy it on commercial smartphones while obtaining information for a longer range to reduce suspicion, say 1.8-6m away from the victim's screen with an observing view of 30° shown in Table I.

TABLE I: A comparison of the state-of-the-arts on shoulder surfing.

Reference	Scenarios	Metric	Quantitative Model	Distance <sup>a</sup>
Eiband [4]	Naked eye	×	×	-
Kwon [12]	Naked eye	✓	×	1m
Schaub [16]	Naked eye	✓	×	1 <sup>b</sup>
Maggi [17]	Camera	✓	×	1 <sup>b</sup>
<b>SRPeek</b>	COTS phones	✓	✓	1.8 / 6m

<sup>a</sup>The maximum distance to the victim's screen. <sup>b</sup>The attacker stands next to the victim without the maximum effective distance.

Evaluations demonstrate its feasibility and privacy concerns in our daily life. We also performed thorough evaluations of our threat model, measuring its abilities with various environment parameters. To the best of our knowledge, we are the first work to design and model the new form of shoulder surfing attack with the assistance of smartphones and multi-frame SR algorithms.

### B. Super Resolution

Image SR is the process of reconstructing an image with a higher spatial resolution. Based on the structural pattern, self-similarity [18], or previous knowledge of the image genre, the single-image SR techniques take as input a single low-resolution image, rendering a sharp, high-resolution one by deducing missing information and reconstructing the missing pixels. Further, multi-image SR techniques work on a set of pictures on the same scene, such as multiple snapshots captured by a smartphone, successive images from a satellite, or adjacent frames on a video clip. These algorithms collect extra data from slight differences of these redundant images, often exhibiting better performance than single-image SR algorithms. And a variety of techniques have been proposed for the multi-image SR problem. And early works focus on the analysis of images in spatial or frequency domains. For example, the Shift-Add algorithm [19] analyzes the spatial information by maximizing the pixel-wise possibility of high-resolution image from low-resolution images. Besides, approaches for the frequency domain rely on the Fourier transform of images. Assuming that the high-resolution scene is band-limited, these algorithms reconstruct high-frequency components from patterns of low-frequency components, removing bands of noise and blur effects simultaneously. Unfortunately, all SR techniques however, are subject-specific and achieve the best performance only for some contents, including natural photos, scanned text, satellite imaging, biometrics [20]. While SRPeek can recover multi-type characters with complex strokes, including Chinese, English and numbers.

Deep learning approaches can also be adopted for Super Resolution. For example, SRCNN [21] was designed using CNN by replacing pooling layers with upsampling layers, achieving notably better performance than previous approaches. It however only focuses on single image SR, as

the neural network accepts a single image as input. Besides, Generative Adversarial Networks (GAN) were also used to generate photo-realistic images [22], with less artifacts and more genuine-looking details perceptible to the human eye. To resolve multi-image SR tasks, say video SR, existing works [10], [23] design a structure similar to SRCNN, and accept complete video clips as input. Then the sequentiality and consistency between adjacent frames can help recover the missing pixels of clips using convolution networks. Specifically, we can modify the dataflow to merge neighboring frames among the network layers [24], or recurrently process the frames under the guidance of the output of the previous frame [25]. For images without consistency or sequential information, like satellite images, most works choose hybrid methods to solve the multi-image SR problem with multiple single-image SR procedures. They either merge the results of single-image SR algorithms for efficiency [26], or build a multi-image network to create a comprehensive view based on single image SR networks [27]. Due of the extreme blurriness of snapshots, these methods cannot deal with the new shoulder surfing threat model we proposed, which takes as input massive blurred snapshots without the consistency between frames. And they achieve limited performance, shown in Table I.

### III. SYSTEM OVERVIEW

We implemented a holistic system for shoulder surfing, with the neural network as core, on a smartphone to verify the efficiency of our model. It iterates through the following steps:

**Input:** Under guidance of the attacker, The smartphone will zoom in, take focus, and take 20 images with burst mode of the target screen. Note that zooming is only for easier interaction and focusing, and to utilize the telephoto lenses and optical zoom, if available, as digital zooming do not put in any extra data; On traditional phones with only digital zooming, the photo-taking will be with 1x zoom, and on phones with optical zooming, 5x to 10x zoom, depending on the optical zooming range. This way information on the images will be more compact and easier to comprehend by neural networks.

**Alignment:** The images are then aligned to mitigate the shifts between frames caused by hand tremor or movements from the lenses, as cameras with optical lenses will occasionally shift slightly across time due to the movements of its inner mechanism. Luckily, in our scenario the target is a glowing screen whose edges are easily distinguishable in most cases, and we use them as reference to align our images. The images are also spun to make the text horizontal in the process. The screen is cropped out and the rest of the image abandoned.

**Adjustment:** The lines of text(or stuff differing from the background color of the screen) will be carved out for processing, to reduce the workload of the network. The characters are normally around 10x10 pixels in the photos, and the carved segments will leave 2 pixels of padding on all sides to avoid mutilating the character; A certain amount of error is allowed,

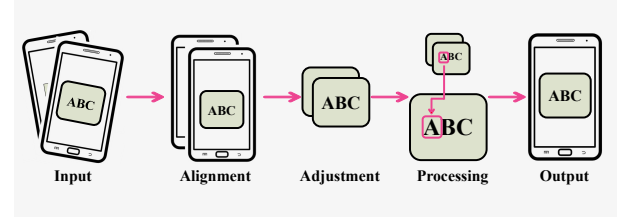


Fig. 2: Workflow of the SRPeek system.

but if the size of the text is too small or too large, the neural network will not be able to extract features normally, so the images will be zoomed to the right size (and the zooming of the camera lens in the Input phase will be adjusted accordingly).

**Processing:** As our network accepts only 9x9 patches, we will process all the 9x9 patches among the input photo with our multi-frame super resolution network to generate 36x36 images (4x upscaling). The input is RGB colored, while the output, as we are not interested in color, is black and white. In this way, we generate upscaled image segments of all the characters on the target screen. The three calculation processes (Alignment, Adjustment, Processing) run parallel to the input process, so that once a batch of input images is available, they can be calculated and displayed on screen with a slight lag while the attacker can start to collect the next batch at the same time.

**Output:** The outputs of our SR network, the 36x36 patches, are then merged into a whole. The overlapping pixels are thus processed: among all the outputs containing this pixel, we collect these values, remove outliers, and use their average as the result. As we only carve out the texts on the phone and process them, we will then insert these high-res texts back to their original locations on one of the input images, and display the patched image to the attacker (An illustration can be found in Fig. 4).

These steps are repeatedly executed to enable the attacker to monitor the victim at an interval of a few seconds. At critical times requiring continuous monitoring so as not to miss transient display, e.g. password entering, the system can simply lengthen the input phase across that period and process the data afterwards. The workflow of our system is shown in Figure 2.

### IV. SYSTEM DESIGN

#### A. Design Principle

To solve the challenges and outperform the state-of-the-arts for this new shoulder surfing attack, we propose a holistic system with the multi-frame SR neural network, illustrated in Figure 2.

- **Layered architecture and frequent introduction of input.** In our architecture all input images are processed simultaneously and separately, with the information from other images for reference, throughout the network. The initial

input images are introduced into the dataflow at each layer, from beginning to end, forming a model with uneven depth, acting as an anchor for the reconstruction process so that the output will be faithful to truth from beginning to end, while preserving the deep mainstream of the model to be able to process such blurry images.

- **Merging layers to adapt multiple frames.** A merging process inside each layer functions as the revenue of communication across images integrates information from all the images into one, the result of which is then stacked with each image for the next convolution. At each layer the image is exposed to the consensuses of features from other images at the same level of complexity, acting as a verification for the hypothetical features extracted from the current image, so that in the training process more audacious features can be learned and proposed without fear of punishment from the final loss, increasing the quality of featuremaps throughout the network.
- **Inconsistency problem, model Complexity and adaption for variable input.** To counter the lack of consistency between frames, all the convolutional layers throughout the network are performed individually for each image with the same set of parameters. This not only reduces parameter count and calculation power, but also avoids reliance on consistency between neighboring frames. Furthermore, this allows variations in the number of input images.
- **Compatibility with complex characters.** The discrete distribution of characters leads to the inclination of deviation and producing 'fake' results. The frequent introduction of input images is designed to mitigate this challenge. Also, instead of using Mean Square Error(MSE) as the loss function, we put a weight on each pixel before applying weighted MSE. Assuming the characters are black on white, this weight increases at darker pixels and propagates to neighboring dark pixels so that long strokes and intersections of strokes are given higher weights. This process serves as a supplement to MSE to focus more on readability and is beneficial for OCR and human reading tests.

### B. Network Design

The core of the SRPeek system is a specially designed multi-frame SR neural network, accepting a group of  $N$  images indexed  $x_1^{(0)}$  to  $x_N^{(0)}$  as input and generating an image with higher resolution  $y$  as output. The network comprises  $L$  layers, each of which implements a non-linear transformation  $H_l(\cdot)$ , where  $l$  indexes the layer. As mentioned before, in each layer images are processed separately, with the merging layers as a revenue for communication, so that the output of each layer is correspondent to the input. We denote the output of the  $l^{th}$  layer as  $x_1^{(l)}$  to  $x_N^{(l)}$ , which is also the input of the  $(l+1)^{th}$  layer. Till now the model is not different from traditional SR models:

$$x_i^{(l)} = H_l(x_i^{(l-1)}), i = 1, 2, \dots, N \quad (1)$$

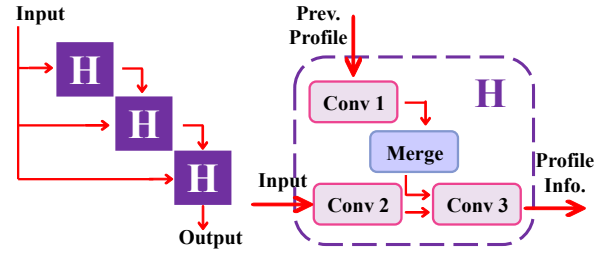


Fig. 3: Core network architecture of SRPeek.

Additionally, we introduce the initial images  $x_i^{(0)}$  as an input for all the layers:

$$x_i^{(l)} = H_l(x_i^{(l-1)}, x_i^{(0)}), i = 1, 2, \dots, N \quad (2)$$

The last layer is exceptional, it yields a single image  $y$  as output.

Presently, in these layers nothing is done to raise the resolution of the images, so that the resolution of  $x_i^{(0)}$  to  $x_i^{(l-1)}$  and  $y$  remains the same. To increase resolution, we insert several  $2\times$  nearest upsampling layers  $U$  evenly throughout the architecture between the layers:

$$x_i^{(l)} \leftarrow U(x_i^{(l-1)}), x_i^{(0)} \leftarrow U(x_i^{(0)}), i = 1, 2, \dots, N \quad (3)$$

we upsample the input images  $x_i^{(0)}$  simultaneously to keep the two inputs of the following layers  $H_l(x_i^{(l-1)}, x_i^{(0)})$  unanimous in resolution. For example, in a  $4\times$  SR network with five layers, we may insert 2  $2\times$  nearest upsampling layers behind the 2nd and 4th layer.

Illustrated in Figure 3, inside each layer  $H_l$  there are 3 convolution layers  $Conv_{1l}(\cdot)$ ,  $Conv_{2l}(\cdot)$ ,  $Conv_{3l}(\cdot)$  and 1 merging layer  $Merge_l(\cdot)$ .

**Conv1:** The first convolutional layer,  $Conv1$ , accepts the layer's first input parameter  $x_i^{(l-1)}$  as input. Note that all three convolutional layers accept a single image (or its featuremaps from the last convolutional layer) as input, the convolutional process is repeated for all the images, and calculations within the same convolutional layer share the same group of parameters all the time (parameters denoted as  $Param_{sl}$  for convolutional layer  $Conv_{sl}$ ,  $s = 1, 2, 3$ ):

$$a_i^{(l)} = Conv_{1l}(x_i^{(l-1)}, Param_{1l}), i = 1, 2, \dots, N \quad (4)$$

**Merge:** The results of the previous step of all the images  $\{a_1^{(l)}, a_2^{(l)}, \dots, a_n^{(l)}\}$  are then passed to the merging layer  $Merge_l$  to generate  $t$  groups of featuremaps. Suppose the results of  $Conv_{1l}$  consists of  $R$  channels:

$$a_i^{(l)} = \{a_{i1}^{(l)}, a_{i2}^{(l)}, \dots, a_{iR}^{(l)}\}, i = 1, 2, \dots, N \quad (5)$$

The data in each channel will be merged separately in the merging layer. The output is  $T \times R$  channels, denoted as  $b_{tr}^{(l)}$  ( $t = 1, 2, \dots, T, r = 1, 2, \dots, R$ ):



$$b_{tr(p,q)}^{(l)} = \sum_{i=1}^N a_{ir(p,q)}^{(l)} e^{k_t a_{ir(p,q)}^{(l)}} / \sum e^{k_t a_{ir(p,q)}^{(l)}} \quad (6)$$

where  $(p,q)$  represent the pixel at this coordinate, and  $k_t$  is a set of fixed parameters shared in all the merging layers throughout the model, controlling the behavior of the merging process. Apparently,  $k=0$  leads to averaging,  $k=+\infty$  leads to max operator and  $k=-\infty$  leads to min operator. We use  $T=5$  and  $k=-1, -0.5, 0, 0.5, 1$  in our model, giving consideration to both consensus (k=0, averaging) and prominent features (k=1, 'soft' max and k=-1, 'soft' min). these  $T \times R$  channels  $b_{tr}^{(l)}$  is the output of this merging layer  $Merge_l$ .

**Conv2:**  $Conv2$  is a replica of  $Conv1$ , processing the layer's second input parameter  $x_i^{(0)}$ , also generating  $N$  outputs with  $R$  channels per output, denoted as  $c_{ir}^{(l)}, i = 1, 2, \dots, N, r = 1, 2, \dots, R$ :

$$\begin{aligned} c_i^{(l)} &= Conv_{2l}(x_i^{(0)}, Param_{2l}), i = 1, 2, \dots, N \\ c_i^{(l)} &= \{c_{i1}^{(l)}, c_{i2}^{(l)}, \dots, c_{iR}^{(l)}\}, i = 1, 2, \dots, N \end{aligned} \quad (7)$$

**Conv3:** The data from  $Merge$  and  $Conv2$  are merged together, in that all the  $T \times R$  channels of  $b_{tr}^{(l)}$  are replicated  $N$  times and stacked with each one of the  $N$  outputs of  $Conv_{2l}$ , before these  $N$  outputs, each with  $(T+1) \times R$  channels, are passed through the third convolutional layer  $Conv_{3l}$ . There are also  $N$  output of this convolutional layer, denoted as  $d_i^{(l)}, i = 1, 2, \dots, N$ :

$$d_i^{(l)} = Conv_{3l}(Stack(c_i^{(l)}, b^{(l)}), Param_{3l}), i = 1, 2, \dots, N \quad (8)$$

**Output:** If  $l < L$ , this is not the last layer, the  $N$  outputs of step (4) will be the output of layer  $H_l$ . Otherwise, as we need to present a single image  $y$  as output, we add another merging and a common convolutional layer after  $Conv_{3l}$ . The merging layer is identical to the previous  $Merge_l$ , merging the  $N$  outputs  $d_i^{(l)}$  into  $T \times R$  channels  $e_{tr}^{(l)}$ , and a convolutional layer processes this  $e_{tr}^{(l)}$  to generate a single channel of output  $y$ .

$$\begin{aligned} \{e_{tr}^{(L)}, t \leq T, r \leq R\} &= Merge'_L(\{d_i^{(L)}, i \leq N\}) \\ y &= Conv'_L(\{e_{tr}^{(L)}, t \leq T, r \leq R\}) \end{aligned} \quad (9)$$

## V. IMPLEMENTATION & TRAINING

In our experiment, we are forced to collect the training dataset on our own instead of using public datasets, as there is no publicly available image dataset built for shoulder-surfing to the best of our knowledge, and because of the uniqueness of our application, i.e. blurriness, targeting characters, working with burst mode snapshots, etc., we cannot find any publicly available substitutes.

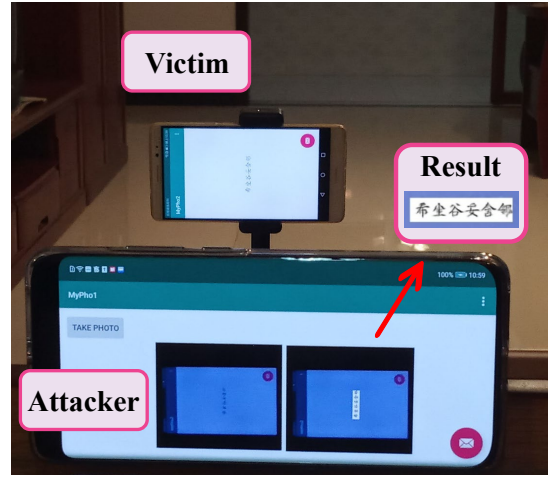


Fig. 4: Illustration of our experimental setting (distance between phones is shortened for demonstration).

### A. Experiment Setting

Our experiment consists of 2 smartphones, one for the attacker and one the victim. Their distance is between 1 and 2 meters for traditional lenses (for the camera of the attacker's phone) without optical zooming, and 5 to 7.5 meters for lenses with optical zooming. Both phones are fixed to stands to keep them completely still, but the lenses with optical zoom will shift slightly time to time, which can simulate a handheld situation. An app runs inside the attacker's phone, taking photos in burst mode repeatedly (20 photos per burst), and another app runs inside the victim's phone, displaying random characters with random fonts and colors. The characters are selected from commonly used Chinese characters with 5 to 10 strokes and the English alphabet, and we divide this character assemble into training and testing subsets. As the English characters are apparently easier to classify and reconstruct for the networks, the experiments testing the performance of the network will be performed only on Chinese characters, but it is our observation that our system works on English characters as well as Chinese characters. Screenshots were taken in the victim's phone as ground truth for training and evaluation. An illustration of our experimental setting is displayed in Fig. 4.

The data was collected at different times of the day and night, with different illumination and at different positions and angles (tilting no more than 30 degrees). We collected 800,000 images in this way with a Redmi6A phone (which possess a camera with 130 million pixels), modifying these environmental parameters every 2,000 images. This process is extremely time-consuming, but the data amount is crucial in our experiment, as slight variations of the environment will cause drastic changes in the features extracted from the characters, and only by covering the variations in each of the environmental parameters in the training data can the system successfully function in different scenarios.

### B. Model Specifications

It is our observation that cameras in different phones display different patterns of distortion when performing the shoulder-surfing experiment, and apparently, images captured from a phone with weaker abilities (less pixels, less range of focus, worn lenses, etc.) will need a stronger, more complex model to extract the features. Thus, the specifications of our model are only for reference and may not work when reproduced on another phone. Also, we may need to repeat the data collection and training phases when switching to another phone model.

Our model accepts 20 images at a time, with a size of 9x9 pixels. The model consists of 5 blocks described in the previous section. In each block, feature maps from the previous layer are passed through a single convolutional layer with 32 channels of feature maps as output. the 20x32 feature maps are then merged horizontally with the max-min-average process, and the output is 5x32 channels. Simultaneously, the original input images are processed again with a single convolutional layer with 32 channels output, and stacked with the former 5x32 channels to form a dataflow of 6x32 channels for each one of the 20 images. These channels are finally passed through a single convolutional layer, outputting 32 channels per image for the next block. The kernels in each convolutional layer is 3x3. We also insert LeakyReLU and Batch Normalization processes after each convolutional layer, and 2 upsampling layers between the 5 blocks. A single 1x1 convolutional layer is placed after the 5 blocks with a single channel as output to form the final output layer. This model consists of approximately 200,000 parameters and a complexity of about 400,000 FLOPs. As a light-weighted model, it makes a prediction within 0.1 seconds on a Tesla K80 GPU over a 9x9 patch, and when implemented on a smartphone, the human user can recognize a character within 2 seconds of processing time.

## VI. MODEL EVALUATION

We evaluate the performance of the network model in different training and testing conditions. We perform the following experiments with two Custom Off-The-Shelf(COTS) smartphones: a Redmi 6A smartphone, with a single rear camera with 13 million pixels and digital zoom only, and a HUAWEI P40 Pro, with multiple rear cameras. The telephoto camera possess up to 5x optical zooming ability which we will utilize fully in our experiments.

### A. Performance In Controlled Environments

In these experiments, we train and test the model with the images captured with exactly the same environment parameters, and used Peak Signal to Noise Ratio(PSNR) to evaluate the accuracy of the recovered images. The results are shown in Figure 5(a) and Figure 5(b). Optical Character Recognition (OCR) services are also utilized to evaluate the accuracy. The model with traditional lens without optical zoom (hereafter referred as traditional lens) is trained and tested at 1-2 meters

range, while the lens with optical zoom (hereafter referred as optical lens) at 5-7.5 meters, at which distance less than 5% of the characters (only the simplest ones) can be recognized by humans from the photos without the assistance of SR algorithms. The PSNR and OCR results are shown in Fig. 5(a).

This model can achieve an OCR accuracy above 90% at 1.8m with traditional lens and at 6m with optical lens. Performances are relatively consistent in both day and night time, while increased distances mean less data and less restrictions of the possible outputs, which leads to artifacts (missing or misplaced strokes, etc.). It is the nature of Chinese characters that one mistaken stroke will largely affect its readability, leading to the result that while the pixel-wise error rises steadily with the increased distance, the accuracy will experience a drastic drop.

### B. Performance In Random Environments

We train the model with data captured in different environments, as mentioned before, and test its ability in other environments. The results are shown in Figure 5(c) and Figure 5(d). We can observe that the model performs best in daytime and at closer distances. For Figure 5(c), the model can still achieve an OCR accuracy above 85% at 1.8m with traditional lens. From Figure 5(d), the OCR accuracy keeps higher than 90% at 6m with optical lens. This verifies the efficiency of our model for environment adaption.

### C. Performance with fewer available images

As mentioned in sec. IV, our model is designed to work on any number of input images, the only limitation being that these images are snapshots of the same scene. This design is requisite because in certain scenarios the data displayed on the victim's screen is transient and ever-changing, e.g. password entry, where only the last character of the password is visible. We evaluated the impact of fewer available images to the performance of the SR model. The results are shown in fig. 6. We tested at the 1.8m daytime scenario for traditional lens and 6m daytime scenario for optical lens.

In the tradition lens group the performance of the model drops dramatically with fewer than 10 images, indicating that not enough input information is available to rule out all possibilities of the displayed characters. The optical lens group displayed a steady decent of accuracy when fewer images are available, which is expected, as the blur patterns are more complex and the differences between frames more pronounced, so that the images are more precious to the model and contain less overlapping data, and removing any of them will cause losses in accuracy.

### D. Adapting Ability

We train the model with fewer groups of data, exposing it to fewer variations of environment parameters, and examine the model's performance in other environments. The results are shown in Figure 6.

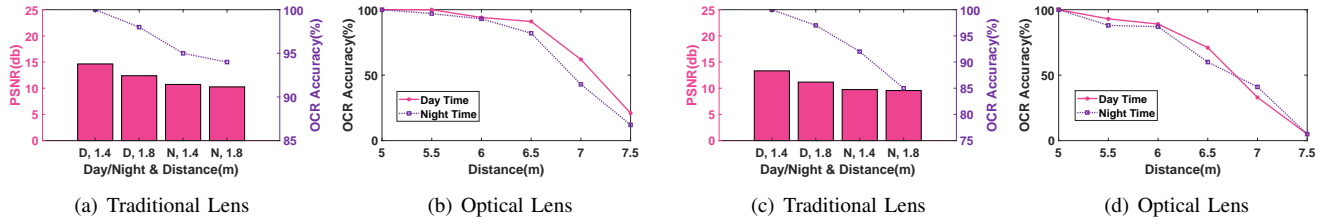


Fig. 5: Performance in (a)(b). the random as well as (c)(d). the controlled environment using traditional lens and optical lens.

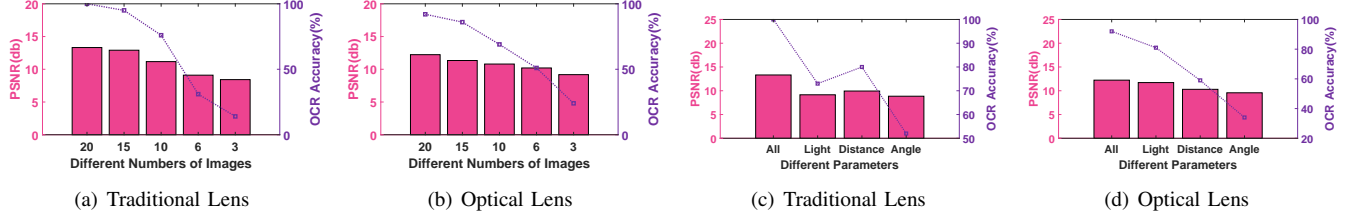


Fig. 6: Performance (a)(b). with fewer available images and (c)(d). for the adapting ability via traditional lens and optical lens.

We observed that variations in light and angle parameter in training data is crucial to a robust model. In the tradition lens group, variations in distance is not so influential to the results, given that the distances are between 1 and 2 meters. Distance changes do not have such a large impact on the size of the characters shown in the images, so that features extracted from a fixed distance might still exist when these characters vary slightly in size. However, if the network is not exposed to angled images during the training process, the rotations and deformations caused by these angles will easily disturb the feature extraction process. The optical lens group yields similar results except the distance group. As the distance between phones are increased to 6 meters, increases in distance leads to greater complexity of image blurriness and less information, so that the model becomes more sensitive to distance changes.

#### E. Comparison with other architectures

We train and test other commonly used architectures with the same sets of data and evaluate their results. We chose SRCNN, a commonly used single image SR network, and applied it to each single image before merging the results by pixel-level average. We also used a multi-frame version of CNN consisting of 3D convolutional layers, designed for video super resolution (VideoSR). However, as mentioned above, it is very difficult for the single image approaches to utilize information and distinguish the noisy and deformed patterns, while VideoSR approaches rely upon consistency between frames, so they fail to give satisfactory results. We used the relatively easy 'daytime 1.2m-distance direct with traditional lens' group of data for testing. The results are shown in Table II.

We can see that the PSNR of SRPeek is 13.32dB which 73.2% and 58.6% larger than that of SRCNN and VideoSR.

TABLE II: Comparison with existing systems.

System	SRPeek	SRCNN	VideoSR
PSNR	13.32db	7.69db	8.403db
OCR Accuracy	100%	10%	23%

For the OCR accuracy, SRPeek can recognize all characters, but SRCNN and VideoSR can only recognize 10% and 23% of them. The results show the efficiency of our SR model in comparison with existing models.

## VII. CASE STUDY

### A. Accuracy

We build the system on smartphone and evaluate its performance in real-life environments (shown in Fig. 7). We experiment with a Redmi 6A smartphone (with a camera of 13 million pixels) for the attacker and a HUAWEI Mate8 smartphone for the victim. As the telephoto cameras can assist the attacker to see clearly at approximately 3m distance without any aid from SR algorithms, we believe it is insignificant to further extend this distance to judge it as a threat to privacy, so that the following experiments are performed with traditional lens at 1-2m range. We ask 5 human participants to read the reconstructed characters to evaluate the usability of our model. No participants can read the unprocessed images, but all of them can decipher the information on the reconstructed image without much difficulty. The results are shown in Table III.

The results show that Human can read 95%, 85% and 70% contents in home, transport and theater while the OCR accuracy is 100%, 10% and 23%. That verifies human can obtain the most parts of information from the peeking in various environments. In transport, the vibration of smartphone and the darker environment can fool the OCR model for





Fig. 7: Illustration of our real-life scenario (Distance between attacker and victim is shortened for demonstration).

TABLE III: Recognition accuracy in various scenarios.

Scenarios	Home	Transport	Theater
Baseline	5%	0	0
OCR	100%	10%	23%
Human	95%	85%	70%

TABLE IV: Impact of hand tremors when the camera (attacker phone) and/or target (victim phone) is handheld.

Accuracy	None	Camera	Target	Both
Baseline	5%	0	5%	5%
OCR	95%	85%	80%	80%
Human	95%	85%	80%	85%

content recognition in comparison with human recognition so that leads lower accuracy in transport and theater.

### B. Influence of hand tremors

We ask 5 participants to capture images with handheld smartphones, keeping their hand still to their greatest effort (Handheld camera). We process these images and let them read the results. We compare this performance to the data collected on stationary phones to evaluate the influence of hand tremors. We also ask participants to hold a smartphone in their hands and read a piece of text, without other additional instructions (Handheld target). The user may freely interact with the phone when reading. We capture images of the phone at the same time to see how our system deal with a moving target screen. The results are shown in Table IV.

We can see that with the existence of tremors, the recognition accuracy drops from 95% to 85%/80% for both OCR tools and human. We conclude from the results that hand tremors can impact the performance of our system. Although the edges of the phone are notable marks for image alignment, small shifts in the sub-pixel level will cause blurriness in the results of the networks, lowering the readability of the outputs.

TABLE V: Success rate in different tasks.

Accuracy	Read text	Type text	Enter PIN	Enter password
Raw Image	5%	0	0	0
SRPeek	100%	100%	100%	80%

### C. Success rate in different tasks

We test the success rate of obtaining crucial information when the observed participant performs several tasks on a phone: reading text message, typing text message, entering PIN, and typing password with numbers, English and special characters. The observed participant will turn off the screen of his phone as soon as he/she finishes the task, while the observing participant will observe through our APP, constantly capturing images and processing them to display a real-time and magnified view of the observed phone. For the first two tasks, we ask the observing participant several questions to test if he/she have collected the vital information (e.g. the name or the location mentioned in the text). For the task of recognizing PIN and passwords, we use accuracy per character as a supplementary evaluation metric. In these two scenarios, we ignore the virtual keyboard and view only the textbox, assuming that the last character of the entered string is always visible. Fewer photos will be available for each character but deciphering English characters is also easier than Chinese characters, and we trained a model specifically for each task (with the same neural network architecture), the training images containing only English characters and numbers (or only numbers for the PIN entry scenario). The results are shown in Table V.

We prove from this experiment that our system functions normally in everyday scenarios and poses a threat to screen privacy.

### D. Perceived shoulder surfing susceptibility

We ask the observed participant to rate the perceived shoulder-surfing susceptibility in these scenarios. The attacker will be sitting or standing behind the participant at 1.8m range, pretending to be interacting with their own phone while continuously running the shoulder-surfing APP. None of the participants were alerted by the attacker's behavior and reported suspicion of shoulder-surfing. We believe that our system can enable a malicious attacker to gather large amounts of critical information from the victim while remaining unnoticed.

## VIII. LIMITATIONS & DISCUSSIONS

There are certain limitations of this work. We require a certain degree of image capturing and processing abilities of the attacker's phone, and we also expect the victim not to exert too much disturbance to the target phone.

**Image Capturing Ability** Latest models of smartphones can capture images at 10 frames per second in burst mode easily, however, this ability is not common in phones that are 3 years

old. Heavily used phones also perform less than ideal when capturing images in burst mode. Also, when capturing images, the user needs to hold their phone as still as possible to avoid motion blur and assist image alignment. The user also has to keep a sharp focus on the target phone.

**Processing Ability** To achieve best performance the user needs a phone with strong processing capabilities to run the neural network at real time. As neural networks have been common place in numerous modern APPs, most phones of the latest generation have upgraded their processing ability to run neural networks, but older versions might not possess such processing powers and cannot process images at real-time.

**Motion and Tremors** In our experiment we assume the observed user will hold still his/her phone, and not making interactions too often. However, some users may tilt their phones during interactions—especially when typing with one hand. Too much tremor will cause motion blur in captured images and misalignment between frames, thus degrading the result.

Although our shoulder surfing system proves to be highly efficient against unprotected screens, there are some simple methods to mitigate this unique threat while not cumbering the user.

**Dynamic background.** Most multi-frame SR algorithms are designed based on the assumption that all input images are reflections of the same scene, and ours is not an exception. By deploying a dynamic background behind the characters, such as tiny dots and lines travelling slowly around the screen, we can construct a constantly changing scene that will confuse the multi-frame SR algorithms, and due to the blurriness of the images, these influential elements cannot be easily removed. These dots do not need to be distinct or colored same as the texts, as multi-frame SR algorithms function with tiny, pixel level differences between frames, making them especially sensitive to microscopic changes.

**Active scanning.** There are several works providing an active countermeasure against shoulder surfing threats with the naked eye. With front-facing cameras and face detection algorithms, the smartphone can constantly scan the surrounding passers-by and detect their gaze direction, and give a warning to the user when that gaze points at the screen. However, to the extent of our knowledge none of these works have included cameras into their detection scope, but we believe it's practical to implement such features.

**Adversarial machine learning methods.** In recent years we have discovered the weaknesses of neural networks and that inserting certain microscopic changes, undetectable to the human eye, to the pixels of an image will make it look different to a neural network. These methods fool the feature extraction phases of neural networks, so that SRPeek is also vulnerable to this attack. Theoretically, by exerting a pattern to the victim's screen, it can be captured by the attacker's camera and confuse its SR algorithms, but these remains to be implemented in our

future work.

## IX. CONCLUSION

In this work we designed a holistic system, SRPeek, for shoulder surfing on smartphone, which serves as an up-to-date version of a threat model for shoulder surfing, and proved its efficiency. We proved that this threat towards screen privacy is imminent and can steal critical information, including personal texts or passwords, from long distances, thus escaping detection. It is our wish that this work can stir discussion in the field of screen privacy protection and propagate defense mechanisms across critical mobile apps.

The core of SRPeek is a specially designed multi-frame SR network. With its innovative architecture this network outperforms other algorithms of the same field in our application. The design ideology enables this network to process higher levels of data integration ability while keeping a low calculation profile, and we believe the elements of this design can be used in other applications with large amounts of data, such as natural language processing or anomaly detection. Our model can also be used in OCR tasks when multiple images are available, functioning as a preprocessor to improve the quality of the images and increase accuracy.

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