

# Rethinking Inheritance with Algebraic Ornaments

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- ▶ In OO, functions are bundled with data, so we also extend functions

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# Rethinking Inheritance

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- ▶ A subtype  $A$  of  $B$  allows the substitution of  $A$  whenever a  $B$  is needed.

Inheritance is a construction, subtyping is a property of the type system!

## Simple Data

```
data FooBar = Foo Int Double | Bar String
```

---

```
datatype foobar = | Foo of (int ,double)  
                  | Bar of string
```

---

```
sealed abstract class FooBar  
final case class Foo(foo1: Int , foo2: Double) extends FooBar  
final case class Bar(bar1: String) extends FooBar
```

## Simple Data Cont.

```
struct foo {int foo1; double foo2;}  
struct bar {char *bar1;}  
union foo_bar_untagged {foo f; bar b;}  
enum {FOO,BAR} foo_bar_tag;  
struct foo_bar {foo_bar_tag tag; foo_bar_untagged v
```

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- ▶  $\text{Void} \cong 0$
  - ▶  $() \cong 1$
  - ▶ Either  $ab \cong a + b$
  - ▶  $(a, b) \cong a * b$
  - ▶  $(a \rightarrow b) \cong b^a$
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---

In fact, calculus, generating functionology, and nearly anything that works with complex number expressions works here.

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► data Maybe  $a = \text{Nothing} \mid \text{Just } a$

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► `data Nat = Z | S Nat`

$$\cong \mu x. 1 + x$$

► `data Fix f = Fix (f (Fix f))`

$$\cong \mu x. fx$$

►  $\text{Nat} \cong \text{Fix Maybe}$

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- ▶ `data Maybe a = Nothing | Just a`  $\cong 1 + a$
- ▶ `data Nat = Z | S Nat`  $\cong \mu x. 1 + x$
- ▶ `data Fix f = Fix (f (Fix f))`  $\cong \mu x. fx$
- ▶ `Nat  $\cong$  Fix Maybe`
- ▶ `data List a = Nil | Cons a (List a)`  $\cong \mu x. 1 + a * x$
- ▶ `data Cell a x = CNil | Cell a x`  $\cong 1 + a * x$
- ▶ `List a  $\cong$  Fix (Cell a)`

# Algebraic Examples

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- ▶ `data Cell a x = CNil | Cell a x`  $\cong 1 + a * x$
- ▶ `List a  $\cong$  Fix (Cell a)`

## Definition (Description)

Every recursive type is the fixed point of some “base” polynomial.

# An Extended Type Theory

$\hat{I}$ , Types indexed by  $I$ :

$$(i : I) \vdash X_i \quad \text{or} \quad (i : I) \vdash f(i)$$

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## What's in a datatype?

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data ListA_ x = Nil | Cons A x
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- ▶ A function  $\int S \xrightarrow{P} \text{Set}$  giving **recursive positions** to shapes  
$$\begin{aligned} \text{Nil} &\xrightarrow{P} \emptyset \\ \text{Cons } a &\xrightarrow{P} \{\bullet\} \end{aligned}$$

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**Interpretations:**

- ▶ A map of dependent types  $X \mapsto (s : \int S, (p : P \ s) \rightarrow X)$
- ▶ A polynomial  $\sum_{(s : \int S)} \prod_{(p : P \ s)} X$
- ▶ A forest of forks

# Indexed Data

## Example (Packed Data)

```
data Packed a = Array (Array a) | Bytes ByteString
```

---

But  $a$  is free in Bytes  $:: \text{ByteString} \rightarrow \text{Packed } a$

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But  $a$  is free in `Bytes :: ByteString → Packed a`

We want to control the input and output index using *Generalized ADT* (GADTs):

```
data Packed a where
  Array  :: Array a → Packed a
  Bytes  :: ByteString → Packed Char
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data VecA :: Nat → * where
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## Example (Length-indexed vectors)

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data VecA :: Nat → * where
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```

Best understood as labeled forks.



# What's in a datatype? Redux

## Definition (Description)

A **Data Description**  $S \triangleleft^n P$  from from index set  $I$  to  $J$  is made of:

$S : J \rightarrow \text{Set}$ , A family of **shapes/constructors** indexed by  $J$

$P : \int S \rightarrow \text{Set}$ , A family of **positions** indexed by shapes

$n : \int P \rightarrow I$ , A **next/recursive** index for each position

Where  $\int S = (j : J, S j)$      $\int P = (s : \int S, P s)$

# What's in a datatype? Redux

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Where  $\int S = (j : J, S j)$      $\int P = (s : \int S, P s)$

$$I \xleftarrow{n} \int P \xrightarrow{P^{-1}} \int S \xrightarrow{S^{-1}} J$$

# Interpretations

$$\hat{I} \xrightarrow{\Delta_n} \hat{\int} P \xrightarrow{\Pi_P} \hat{\int} S \xrightarrow{\Sigma_S} \hat{J}$$

$$\frac{(i : I) \vdash X_i}{(j : J) \vdash (s : S \ j, (p : P \ s) \rightarrow X_{n(p)})} \Sigma_S \Pi_P \Delta_n$$

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$$\frac{(i : I) \vdash X_i}{(p : \int P) \vdash X_{n(p)}} \Delta_n$$

$$\frac{(s : \int S) \vdash X_s}{(j : J) \vdash (s : S \ j, X_s)} \Sigma_S$$

$$\frac{(p : \int P) \vdash X_p}{(s : \int S) \vdash (p : P \ s) \rightarrow X_p} \Pi_P$$

## Example: Constant Maybe

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data MaybeA = Nothing | Just A
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$$1 \xrightarrow{\text{Shape}} \text{Set}$$

$$\bullet \mapsto \{\text{Nothing}, \text{Just } a\}$$

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$$s \mapsto \emptyset$$

$$\int \text{Pos} \xrightarrow{\text{next}} 1$$

$$p \mapsto 1$$

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$\bullet : 1 \vdash X$

---

$\bullet : 1 \vdash (\text{Nothing}, \bullet) + (\text{Just } a_1, \bullet) + (\text{Just } a_2, \bullet) + \dots$



## Example: Maybe

```
data Maybe a = Nothing | Just a ???
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Type  $\xrightarrow{\text{Shape}}$  Set

$a \mapsto \{\text{Nothing}, \text{Just}\}$

$\int \text{Shape} \xrightarrow{\text{Pos}} \text{Set}$

$(a, \text{Nothing}) \mapsto \emptyset$

$(a, \text{Just}) \mapsto \{\bullet\}$

$\int \text{Pos} \xrightarrow{\text{next}} \text{Type}$

$(a, \text{Just}, \bullet) \mapsto a$

## Example: Maybe

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$$\begin{array}{lll} \text{Type} \xrightarrow{\text{Shape}} \text{Set} & \int \text{Shape} \xrightarrow{\text{Pos}} \text{Set} & \int \text{Pos} \xrightarrow{\text{next}} \text{Type} \\ a \mapsto \{\text{Nothing}, \text{Just}\} & \begin{array}{l} (a, \text{Nothing}) \mapsto \emptyset \\ (a, \text{Just}) \mapsto \{\bullet\} \end{array} & (a, \text{Just}, \bullet) \mapsto a \end{array}$$

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Not what we expect!

`data Maybe2 (f :: * -> *) a = Nothing | Just (f a)`

## Example: Polymorphic Lists

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data List_ a x where  
  Nil  :: List_ a x  
  Cons :: a → x a → List_ a x
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$\text{Type} \xrightarrow{\text{Shape}} \text{Set}$	$\int \text{Shape} \xrightarrow{\text{Pos}} \text{Set}$	$\int \text{Pos} \xrightarrow{\text{next}} \mathbb{N}$
$t \mapsto \{\text{Nil}, \text{Cons } (a :: t)\}$	$(t, \text{Nil}) \mapsto \emptyset$	$(t, \text{Cons } (a : t), \bullet) \mapsto$
	$(t, \text{Cons } (a :: t))$	$t$
	$\mapsto \{\bullet\}$	

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$$\text{Type} \xleftarrow{\pi_1} \text{Type} * a \xrightarrow{\quad} 1 + \text{Type} * (1 + a) \xrightarrow{\pi_1} \text{Type}$$



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## Example: Monomorphic Vectors

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data AVec_ n x where  
  VNilA  :: AVec_ 0 x  
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$$A \mapsto \{VNilA\}$$
$$S\ n \mapsto \text{Cons } a$$
$$\int \text{Shape} \xrightarrow{\text{Pos}} \text{Set}$$
$$(Z, VNilA) \mapsto \emptyset$$
$$(S\ n, VConsA\ a)$$
$$\mapsto \{\bullet\}$$
$$\int \text{Pos} \xrightarrow{\text{next}} \mathbb{N}$$
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$$\frac{n : \mathbb{N} \vdash X_n}{n : \mathbb{N} \vdash (c : \text{Shape}(n), p : \text{Pos}(c) \rightarrow X_{n-1})}$$

# Ornaments

An **Ornament** from  $(S \triangleleft^n P)$  to  $(S' \triangleleft^{n'} P')$  is a **Morphism of Containers**:

$$\alpha \begin{smallmatrix} \downarrow v \\ \blacktriangleleft \\ \uparrow u \end{smallmatrix} \omega : (S' \triangleleft^{n'} P') \xRightarrow[\omega]{v} (S \triangleleft^n P):$$

$$\begin{array}{ccccccc} K & \xleftarrow{n'} & \int P' & \xrightarrow{P'^{-1}} & \int S' & \xrightarrow{S'^{-1}} & L \\ & & \uparrow \omega & \nearrow \pi_2 & \downarrow \alpha & & \downarrow v \\ & & \int P \times \int S & & \int S' & & \\ & & \downarrow \pi_1 & & & & \\ I & \xleftarrow{n} & \int P & \xrightarrow{P^{-1}} & \int S & \xrightarrow{S^{-1}} & J \end{array}$$

Explicitly:

$$\alpha : (l : L, S' l) \rightarrow S (v l)$$

$$\omega : (\text{sh}' : \int S', P (A \text{ sh}')) \rightarrow P' \text{ sh}'$$

$$q : (l : L, \text{sh}' : S' l, \text{pos} : P (A \text{ sh}')) \rightarrow u (n'(\omega \text{ pos})) = n \text{ pos}$$

## Lists from Naturals

```
data Nat = Z | S Nat
```

↓

```
data ListA = Nil | Cons A
```

## Vectors from Lists

```
data ListA = Nil | Cons A
```

↓

```
data VecA :: Nat → * where
```

```
  VNil :: VecA Z
```

```
  VCons :: A → VecA n → VecA (S n)
```



# Red-Black Trees from Trees

```
data Tree = Leaf | Branch Tree Tree
```

↓

```
data RB = R | B
```

```
data RBTTreeA :: RB → * where
```

```
Leaf :: RBTTreeA rb
```

```
RBranch :: RBTTreeA B → A → RBTTreeA B → RBTTreeA R
```

```
BBranch :: RBTTreeA R → A → RBTTreeA R → RBTTreeA B
```

# Singleton Ornaments

```
data Nat = Z | S
```

↓

```
data SNat :: Nat → * where
```

```
  SZ :: SNat Z
```

```
  SS :: (n :: Nat) → SNat (S n)
```

# Combining Ornaments

## Definition

The **Parallel Composition** of ornaments  $A \xRightarrow{F} B$  and  $A \xrightarrow{C}$  is a new ornament  $A \xrightarrow{F \otimes G} B \times_A C$ : the most general unifier of both enhancements

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## Example (Vectors)

$$(\text{List} \Rightarrow \text{Vector}) \cong (\text{Singleton}_{\mathbb{N}}) \otimes (\mathbb{N} \Rightarrow \text{List})$$

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## Example (Vectors)

$$(\text{List} \Rightarrow \text{Vector}) \cong (\text{Singleton}_{\mathbb{N}}) \otimes (\mathbb{N} \Rightarrow \text{List})$$

## Definition

The **Optimized Predicate** of an ornament  $A \xRightarrow{F} B$  is the parallel composition  $F \otimes \text{Singleton}$

## Example (Optimized Maybe)

```
data IMaybeA :: Bool → * where
  INothing  :: IMaybeA False
  IJust     :: A → IMaybeA True
```

# Re-Rethinking Inheritance

- ▶ Inheritance allows code reuse by extending data and functions
- ▶ Subtyping  $A < B$  allows the complete substitution of  $A$  for whenever a  $B$  is needed. *All methods defined on  $B$  are defined on  $A$*

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How to generalize to a functional setting?

# Transporting Functions Across Ornaments

Notice the similarity:

$$\mathbb{N} + \mathbb{N} : \mathbb{N}$$

$$\{Z, \bullet\} + m \mapsto m$$

$$\{\text{Suc}, n\} + m \mapsto \{\text{Suc}, \lambda \bullet . m(\bullet) + n(\bullet)\}$$

$$\text{List } t \# \text{ List } t : \text{List } t$$

$$\{\text{Nil}_t, \bullet\} \# ys \mapsto ys$$

$$\{\text{Cons } (a :: t), xs\} \# ys \mapsto \{\text{Cons } (a :: t), \lambda \bullet . xs(\bullet) \# ys(\bullet)\}$$



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Look at what happens to the trees.

## Indexed Transport

```
data HList (ts :: [*]) where
  HNil :: HList []
  HCons :: t → HList ts → HList (t:ts)

reverse :: List a → List a
reverse Nil = Nil
reverse (Cons a as) = reverse as ++ (Cons a Nil)

hReverse :: HList xs → HList (reverse xs)
hReverse HNil = HNil
hReverse (HCons a as) = hReverse as ++ (HCons a HNil)
```

## Coherence Concerns

$(<) :: \mathbb{N} \rightarrow \mathbb{N} \rightarrow \text{Bool}$

$n < Z = \text{False}$

$Z < S\ m = \text{True}$

$S\ n < m = n < m$

$\text{lookup} :: \mathbb{N} \rightarrow \text{ListA} \rightarrow \text{MaybeA}$

$\text{lookup}\ n\ \text{Nil} = \text{Nothing}$

$\text{lookup}\ Z\ (\text{Cons}\ a\ xs) = \text{Just}\ a$

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— *The optimized predicate  $\text{MaybeA} \otimes \text{Singleton}_{\text{Bool}}$*

$\text{data}\ \text{IMaybeA} :: \text{Bool} \rightarrow *$  *where*

$\text{INothing} :: \text{IMaybeA}\ \text{False}$

$\text{IJust} :: A \rightarrow \text{IMaybeA}\ \text{True}$

Lift lookup to optimized predicates  $\text{VecA}$  and  $\text{IMaybeA}$ , with  $(i)$  on indicies. Coherence for free!

# Recap

- ▶ Polynomials allow first class data representation with nice algebraic properties
- ▶ Ornaments let us build more complex types from simpler ones, and allows *ad-hoc* extension
- ▶ Transport of functions across ornaments allow inheritance
- ▶ Coherent liftings allow subtyping

Questions?

# Categories

Categories capture the essence of composition and modularity.



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## Definition (Category)

A category  $C$  has:

- ▶ A collection of objects  $c : C$
- ▶ A collection of morphisms (arrows) between (indexed by) pairs of objects  $c \xrightarrow{f} c'$
- ▶ Arrows compose: For every pair of arrows  $a \xrightarrow{f} b \xrightarrow{g} c$ , their composition  $a \xrightarrow{g \circ f} c$
- ▶ Every object  $a$  has an identity arrow  $a \xrightarrow{1_a} a$

Why Categories?

# Universal Constructions

Universal objects are the "most general" of its kind, and are "Unique up to unique isomorphism" The action of any other object is determined by factoring through the universal one.

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- ▶ Products
- ▶ Coproducts (Sums)
- ▶ Pullbacks (Fiber Products)
- ▶ Initial/Terminal Objects

Universal properties allow **encapsulation**: Even if the object construction is messy (or unknown), its interaction is completely determined by the universal property. They are a **bridge** between abstract interfaces and concrete representations.



# Functors

- ▶ Functors are arrows between categories.
- ▶  $A \xrightarrow{F} B$  sends objects  $a : A$  to objects  $b : B$ , and arrows  $a \rightarrow a'$  to arrows  $F(a) \rightarrow F(a')$
- ▶ “Functors” in Haskell are actually functors **Hask**  $\rightarrow$  **Hask**

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- ▶ “Functors” in Haskell are actually functors **Hask**  $\rightarrow$  **Hask**

## Example

`data FunBox a = F a deriving (Functor)`

- ▶ The constructor ( $F :: a \rightarrow \text{Funbox } a$ ) is the object component of the functor
- ▶ `fmap :: (a  $\rightarrow$  b)  $\rightarrow$  (Funbox a  $\rightarrow$  Funbox b)` is the arrow component of the functor

# (Co)Limits

## Definition (Diagrams)

A *J-shaped diagram* in a category  $C$  is any functor  $J \xrightarrow{F} C$

We draw them as collection of objects and arrows in  $C$ , leaving  $J$  implicit, because only the shape matters.

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## Definition ((Co)Limit)

The Limit of a diagram (functor) in  $C$  is a universal object  $\text{Lim } F : C$  with a unique arrow to every object in the diagram.

The interaction of the composition law and universality forces path equivalence

# Encoding Polynomials Categorically

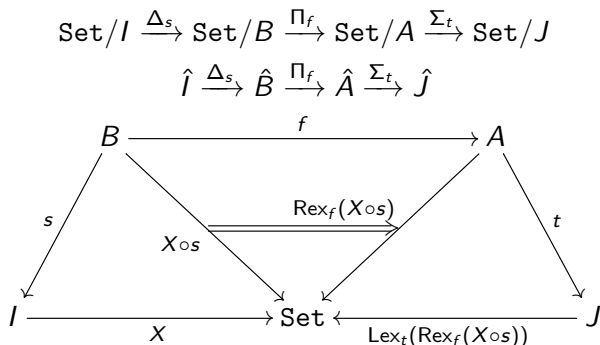
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$\text{Type} * \mathbb{N} \leftarrow B \rightarrow A \rightarrow \text{Type} * \mathbb{N}$

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Three interpretations:

- ▶  $I, J$  are the type indices, variable subscripts/letters, or incoming/outgoing branch labels
- ▶  $A$  are the constructor names, sum subscript, or outgoing edges.
- ▶  $B$  are the recursive positions, product subscript, or incoming

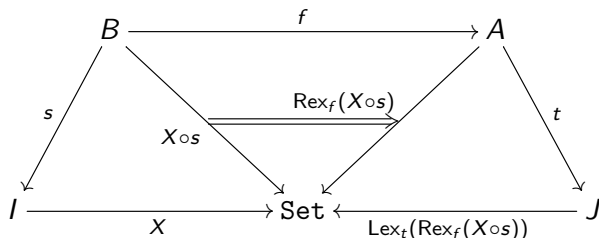
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$$\text{Set}/I \xrightarrow{\Delta_s} \text{Set}/B \xrightarrow{\Pi_f} \text{Set}/A \xrightarrow{\Sigma_t} \text{Set}/J$$

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## Example: Rank-2 Maybe

```
data Maybe2 a (f :: * → *) = Z | S (f a)
```



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**data** Maybe2 a (f :: \* → \*) = Z | S (f a)

Type  $\xrightarrow{Shape}$  Set

$a \mapsto \{Z, S\}$

$\int Shape \xrightarrow{Pos} Set$

$(a, Z) \mapsto \emptyset$

$(a, S) \mapsto \{\bullet\}$

$\int Pos \xrightarrow{next} Type$

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$$\begin{array}{ccccc}
 X & \xlongequal{\quad} & X & & \text{Type} + X \\
 \downarrow x & & \downarrow x & & \downarrow \text{Id} + x \\
 \text{Type} & \xlongequal{\quad} & \text{Type} & \xrightarrow{\text{inR}} & \text{Type} * 2 \\
 & & & & \searrow \text{Id} \nabla \text{Id} \\
 & & & & \text{Type}
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 \end{array}$$

$\prod_{\text{inR}} X \equiv$

$(a, b) : \text{Type} * \text{Bool} \vdash i : \text{inL}^{-1}(a, b) \rightarrow X(a)$

$\cong \text{Type} + X$

## Example: Monomorphic Lists

`data` AList\_  $x = Z \mid SA_1 x \mid SA_2 x \mid \dots \cong$

`data` AList\_  $x = Z \mid S A x$

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$$\begin{array}{ccccc}
 X & \equiv & X & & 1 + X \\
 \downarrow x & \lrcorner & \downarrow x & & \downarrow Id+x \\
 1 & \equiv & 1 & \xrightarrow{inR} & 2 \\
 & & & & \searrow ! \\
 & & & & 1
 \end{array}
 \quad
 \begin{array}{ccc}
 & & \swarrow Id \nabla x \\
 & & 1
 \end{array}$$

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 \end{array}$$

$\Pi_{inL} X \equiv$

$b : \text{Bool} \vdash (i : inL^{-1} b) \rightarrow X$

$\cong b : \text{Bool} \vdash i : (b == \text{true}) \rightarrow X$

$\cong 1 + X$