

# ON THE ORDERS OF AUTOMORPHISMS OF A CLOSED RIEMANN SURFACE

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Let  $S$  be a closed Riemann surface of genus  $g$  ( $\geq 2$ ). It is known that the maximum value of the orders of automorphisms of  $S$  is  $4g + 2$ . In this paper we determine the orders of automorphisms of  $S$  which are greater than or equal to  $3g$ , and characterize those Riemann surfaces having the corresponding automorphisms. Except for several cases, such Riemann surfaces are determined uniquely up to conformal equivalence.

THEOREM 1. Let  $N(S, h)$  be the order of an automorphism  $h$  of  $S$ . Then,  $\max_{S, h} N(S, h) = 4g + 2$ . The Riemann surface having the automorphism of maximum order  $4g + 2$  is conformally equivalent to the Riemann surface defined by

$$y^2 = x(x^{2g+1} - 1).$$

The automorphism  $h$  of order  $4g + 2$  is given by

$$h(x, y) = (e^{2\pi i/(2g+1)}x, e^{2\pi i/(4g+2)}y).$$

Although the existence of the Riemann surface with the automorphism of order  $4g + 2$  is well known, in the above theorem the uniqueness (up to conformal equivalence) is shown.

To simplify, we write Theorem 1 in the following form:

$$\begin{aligned} \max N &= 4g + 2, & S: y^2 &= x(x^{2g+1} - 1), \\ h(x, y) &= (e^{2\pi i/(2g+1)}x, e^{2\pi i/(4g+2)}y). \end{aligned}$$

Under similar notation,

THEOREM 2.

$$\max_{N < 4g+2} N = 4g, \quad S: y^2 = x(x^{2g} - 1), \quad h(x, y) = (e^{2\pi i/2g}x, e^{2\pi i/4g}y).$$

THEOREM 3. If  $g \equiv 0 \pmod{3}$ , for  $g \neq 3$ ,

$$\begin{aligned} \max_{N < 4g} N &= 3g + 3, & S: y^3 &= x^2(x^{g+1} - 1), \\ h(x, y) &= (e^{2\pi i/(g+1)}x, e^{4\pi i/(3g+3)}y). \end{aligned}$$

For  $g = 3$ , we have  $4g = 3g + 3$ . Then there exist two Riemann surfaces defined by

$$y^2 = x(x^6 - 1) \quad \text{and} \quad y^3 = x^2(x^4 - 1)$$

which have an automorphism of order 12. Furthermore,

$$\max_{N < 3g+3} N = 3g, \quad S: y^3 = x(x^g - 1), \quad h(x, y) = (e^{2\pi i/g}x, e^{2\pi i/3g}y),$$

except for

$$\begin{aligned} S: y^{20} &= x^5(x-1)^4 & (g=6, N=20=3g+2), \\ : y^{28} &= x^7(x-1)^4 & (g=9, N=28=3g+1), \\ : y^{36} &= x^9(x-1)^4 & (g=12, N=36=3g). \end{aligned}$$

**THEOREM 4.** *If  $g \equiv 1 \pmod{3}$ ,*

$$\max_{N < 4g} N = 3g + 3, \quad S: y^3 = x(x^{g+1} - 1),$$

$$h(x, y) = (e^{2\pi i/(g+1)}x, e^{2\pi i/(3g+3)}y).$$

$$\max_{N < 3g+3} N = 3g, \quad S: y^3 = x(x^g - 1), \quad h(x, y) = (e^{2\pi i/g}x, e^{2\pi i/3g}y),$$

except for

$$\begin{aligned} S: y^{12} &= x^3(x-1)^2 & (g=4, N=12=3g), \\ : y^{30} &= x^5(x-1)^6 & (g=10, N=30=3g). \end{aligned}$$

**THEOREM 5.** *If  $g \equiv 2 \pmod{3}$ ,*

$$\max_{N < 4g} N = 3g, \quad S: y^3 = x^2(x^g - 1), \quad h(x, y) = (e^{2\pi i/g}x, e^{4\pi i/3g}y),$$

except for

$$S: y^6 = x^3(x-1)^3(x-\zeta)^2 \quad (g=2, N=6=3g, \zeta \in \mathbb{C}, \zeta \neq 0, 1).$$

We introduce the following notation;  $\langle h \rangle$  denotes the cyclic group generated by  $h$  of order  $N$ .  $\tilde{S} = S/\langle h \rangle$  denotes the closed Riemann surface of genus  $\tilde{g}$  obtained by identifying those points on  $S$  which are equivalent under the action of  $\langle h \rangle$  on  $S$ .  $\tilde{p}_1, \dots, \tilde{p}_t \in \tilde{S}$  denote the projections of branch points of the covering map  $\varphi: S \rightarrow \tilde{S}$ .  $\nu_1, \dots, \nu_t$  denote the multiplicities of  $\varphi$  at the branch points over  $\tilde{p}_1, \dots, \tilde{p}_t$ , respectively.

A Fuchsian group is said to be a  $(\gamma; m_1, \dots, m_n)$  group if its signature is  $(\gamma; m_1, \dots, m_n)$ . If  $n = 0$ , it is said to be a surface group. A homomorphism from a Fuchsian group onto a finite group is said to be a surface kernel homomorphism if its kernel is a surface group.

LEMMA 1. (Harvey [2].) Let  $\Gamma$  be a  $(\gamma; m_1, \dots, m_n)$  group,  $Z_N$  the cyclic group of order  $N$ , and  $M = \text{lcm}(m_1, \dots, m_n)$ . Then there exists a surface kernel homomorphism from  $\Gamma$  onto  $Z_N$  if and only if the signature  $(\gamma; m_1, \dots, m_n)$  satisfies the following l.c.m. condition;

(1)  $M = \text{lcm}(m_1, \dots, \check{m}_i, \dots, m_n)$  ( $i = 1, \dots, n$ ). Here,  $\check{m}_i$  denotes the omission of  $m_i$ .

(2)  $M|N$ , if  $\gamma = 0$  then  $M = N$ .

(3)  $n \neq 1$ , if  $\gamma = 0$  then  $n \geq 3$ .

(4) If  $2|M$ , the number of  $m_i$ 's which are divisible by the maximum power of 2 which divides  $M$  is even.

LEMMA 2. (Riemann-Hurwitz relation.)

$$2g - 2 = N(2\tilde{g} - 2) + N \sum_{i=1}^t \left(1 - \frac{1}{v_i}\right).$$

LEMMA 3. If  $\tilde{t} = 0$ , then  $S$  is conformally equivalent to the Riemann surface defined by

$$y^N = f(x) \quad (f(x) \text{ is a polynomial of } x).$$

LEMMA 4.  $(\tilde{g}; \nu_1, \dots, \nu_t)$  satisfies the l.c.m. condition.

*Proof.* Let  $D$  be the unit disk,  $K$  a Fuchsian surface group which uniformize  $S$ , and  $\psi$  the natural projection from  $D$  onto  $S = D/K$ . Let  $D^* = D - (\varphi \circ \psi)^{-1}\{\tilde{p}_1, \dots, \tilde{p}_t\}$ ,  $\tilde{S}^* = \tilde{S} - \{\tilde{p}_1, \dots, \tilde{p}_t\}$ , and let  $\Gamma$  be the covering transformation group of the covering  $\varphi \circ \psi: D^* \rightarrow \tilde{S}^*$ . Then  $\Gamma$  is a  $(\tilde{g}; \nu_1, \dots, \nu_t)$  group and  $\Gamma/K \cong Z_N$ . So from Lemma 1, we find that  $(\tilde{g}; \nu_1, \dots, \nu_t)$  satisfies the l.c.m. condition.

LEMMA 5. If  $N > 2g - 2$ , then  $\tilde{g} = 0$ ,  $t = 3, 4$ .

*Proof.* From the Riemann-Hurwitz relation, if  $\tilde{g} \geq 2$ ,

$$2g - 2 \geq N(2\tilde{g} - 2) \geq 2N.$$

This contradicts the hypothesis. If  $\tilde{g} = 1$ , from the l.c.m. condition,  $t \geq 2$ .

Then,

$$2g - 2 = N \sum_{i=1}^t \left(1 - \frac{1}{\nu_i}\right) \geq tN/2 \geq N.$$

This also contradicts the hypothesis. So  $\tilde{g} = 0$ , and

$$2g - 2 = -2N + N \sum_{i=1}^t \left(1 - \frac{1}{\nu_i}\right) \geq \frac{(t-4)N}{2}.$$

Thus  $t = 3, 4$  or  $5$ . But if  $t = 5$ ,

$$2g - 2 = N \left(3 - \sum_{i=1}^5 \frac{1}{\nu_i}\right),$$

and from  $N > 2g - 2$ , we find that

$$2 < \sum_{i=1}^5 \frac{1}{\nu_i} < 3.$$

The signatures which satisfy these inequalities are the following:

$$(0; 2, 2, 2, 2, *), \quad (0; 2, 2, 2, 3, 3), \quad (0; 2, 2, 2, 3, 4), \quad (0; 2, 2, 2, 3, 5).$$

None of these satisfies the l.c.m. condition.

LEMMA 6. *If  $N > 2g + 2$ , then  $t = 3$ .*

*Proof.* From Lemma 5,  $\tilde{g} = 0$ ,  $t = 3, 4$ . If  $t = 4$ , from the Riemann-Hurwitz relation, we find that

$$1 < \sum_{i=1}^4 \frac{1}{\nu_i} < 2.$$

The signatures which satisfy these inequalities and the l.c.m. condition are the following ( $N$  on the right side is given by  $N = M = \text{lcm}(\nu_1, \nu_2, \nu_3, \nu_4)$ ,  $g$  is calculated from  $\tilde{g}, \nu_1, \nu_2, \nu_3, \nu_4, N$  by the Riemann-Hurwitz relation):

$$\begin{array}{ll} (0; 2, 2, m, m) \ (m \neq 2) & \begin{array}{l} \text{if } 2|m, \quad g = m/2, N = m = 2g, \\ \text{if } 2 \nmid m, \quad g = m - 1, N = 2m = 2g + 2, \end{array} \\ (0; 2, 3, 3, 6) & g = 3, N = 6 = 2g, \\ (0; 2, 3, 4, 12) & g = 6, N = 12 = 2g, \\ (0; 2, 3, 5, 30) & g = 15, N = 30 = 2g, \\ (0; 3, 3, 3, 3) & g = 2, N = 3 = 2g - 1, \\ (0; 3, 3, 4, 4) & g = 6, N = 12 = 2g, \\ (0; 3, 3, 5, 5) & g = 8, N = 15 = 2g - 1. \end{array}$$

None of these satisfies  $N > 2g + 2$ .

*Proof of theorems.* If we assume  $N \geq 3g$  ( $\geq 2g + 2$ ), from Lemma 3,  $\tilde{g} = 0$ ,  $t = 3$  or exceptionally (I)  $\tilde{g} = 0$ ,  $t = 4$ ,  $(\tilde{g}; \nu_1, \nu_2, \nu_3, \nu_4) = (0; 2, 2, 3, 3)$ ,  $g = 2$ ,  $N = 6$ . When  $\tilde{g} = 0$ ,  $t = 3$ , from the Riemann-Hurwitz relation, we find that

$$\frac{1}{3} < \frac{1}{\nu_1} + \frac{1}{\nu_2} + \frac{1}{\nu_3} < 1.$$

The signatures which satisfy these inequalities and the l.c.m. condition are the following;

	$(0; 2, m, m)$	$(2 m \text{ then } 4 m \ (m \neq 4))$	$g = m/4,$	$N = m = 4g,$
	$(0; 2, m, 2m)$	$(2 \nmid m \ (m \neq 3))$	$g = (m-1)/2,$	$N = 2m = 4g + 2,$
	$(0; 3, m, m)$	$(3 m \ (m \neq 3))$	$g = m/3,$	$N = m = 3g,$
	$(0; 3, m, 3m)$	$(3 \nmid m)$	$g = m-1,$	$N = 3m = 3g + 3,$
(II)	$(0; 4, 5, 20)$		$g = 6,$	$N = 20 = 3g + 2,$
	$(0; 4, 6, 12)$		$g = 4,$	$N = 12 = 3g,$
	$(0; 4, 7, 28)$		$g = 9,$	$N = 28 = 3g + 1,$
	$(0; 4, 9, 36)$		$g = 12,$	$N = 36 = 3g,$
	$(0; 5, 6, 30)$		$g = 10,$	$N = 30 = 3g.$

So if we exclude the exceptional cases (I) and (II), the signatures  $(\tilde{g}; \nu_1, \nu_2, \nu_3)$  are listed as following;

$$\text{If } N = 4g + 2, \quad (0; 2, 2g + 1, 4g + 2).$$

$$\text{If } N = 4g, \quad (0; 2, 4g, 4g).$$

$$\text{If } N = 3g + 3, \quad (0; 3, g + 1, 3g + 3).$$

(In this case,  $3 \nmid m$  and  $g = m - 1$  imply  $g \equiv 0, 1 \pmod{3}$ .)

$$\text{If } N = 3g, \quad (0; 3, 3g, 3g).$$

Now  $S$  branches over three points of the Riemann sphere  $\bar{\mathbb{C}}$ , and the branching orders are given as above, so if we assume that the projections of branch points are 0, 1 and  $\infty$ , from Lemma 3,  $S$  is conformally equivalent to the Riemann surface defined by

$$y^N = x^a(x-1)^b,$$

where  $a, b$  are given by the following conditions;

$$1 \leq a, b < N, \quad N/(N, a) = \nu_1, \quad N/(N, b) = \nu_2, \quad N/(N, a+b) = \nu_3.$$

(( $N, a$ ) denotes the g.c.m. of  $N$  and  $a$ .)

Then if  $N = 4g + 2$ ,  $S$  is defined by

$$(1) \quad y^{4g+2} = x^{2g+1}(x-1)^{2k} \quad ((2g+1, k) = 1, 1 \leq k < 2g+1).$$

This surface is conformally equivalent to the Riemann surface defined by

$$Y^2 = X(X^{2g+1} - 1)$$

under the birational transformation

$$\begin{cases} y = \frac{Y}{X^{g+1+k}}, \\ x = -\frac{1}{X^{2g+1}} + 1, \end{cases} \quad \begin{cases} Y = e^{(g+1)\pi i/(2g+1)} \frac{x^a(x-1)^b y^{(2g+1)c}}{(x^p(x-1)^q y^{2r})^{g+1}}, \\ X = e^{\pi i/(2g+1)} \frac{1}{x^p(x-1)^q y^{2r}}, \end{cases}$$

where  $(a, b, c), (p, q, r)$  are the solutions of the indeterminate equations

$$\begin{cases} 2a + (2g+1)c = 1, \\ b + kc = 0, \end{cases} \quad \begin{cases} p + r = 0, \\ (2g+1)q + 2kr = 1. \end{cases}$$

If  $N = 4g$ ,  $S$  is defined by

$$(2) \quad y^{4g} = x^{2g}(x-1)^k \quad ((4g, k) = (4g, 2g-k) = 1, 1 \leq k < 4g).$$

This surface is conformally equivalent to the Riemann surface defined by

$$Y^2 = X(X^{2g} - 1),$$

under the birational transformation

$$\begin{cases} y = e^{\pi i/4g} X^{(k-1)/2} Y, \\ x = -X^{2g} + 1, \end{cases} \quad \begin{cases} Y = e^{\pi i/4g} x^a (x-1)^b y^c, \\ X = e^{\pi i/2g} x^p (x-1)^q y^r, \end{cases}$$

where  $(a, b, c), (p, q, r)$  are the solutions of the indeterminate equations

$$\begin{cases} 2a + c = 1, \\ 4gb + kc = 1, \end{cases} \quad \begin{cases} p + r = 0, \\ 2gq + kr = 1. \end{cases}$$

If  $N = 3g + 3$ ,  $S$  is defined by

$$(3) \quad y^{3g+3} = x^{j(g+1)}(x-1)^{3k}$$

$$((g+1, k) = (3g+3, (3-j)(g+1) - 3k) = 1,$$

$$j = 1, 2, 1 \leq k < g+1).$$

When  $g \equiv 0 \pmod{3}$ , (3) is conformally equivalent to the Riemann surface defined by

$$Y^3 = X^2(X^{g+1} - 1),$$

under the birational transformation

$$\begin{cases} y = e^{k\pi i/(g+1)} \frac{Y^j}{X^{k+j(g/3+1)}}, \\ x = -\frac{1}{X^{g+1}} + 1, \end{cases}$$

$$\begin{cases} Y = e^{(g+3)\pi i/(3g+3)} \frac{x^a(x-1)^b y^{(g+1)c}}{(x^p(x-1)^q y^{3r})^{g/3+1}}, \\ X = e^{\pi i/(g+1)} \frac{1}{x^p(x-1)^q y^{3r}}, \end{cases}$$

where  $(a, b, c), (p, q, r)$  are the solutions of the indeterminate equations

$$\begin{cases} 3a + j(g+1)c = 1, \\ b + kc = 0, \end{cases} \quad \begin{cases} p + jr = 0, \\ (g+1)q + 3kr = 1. \end{cases}$$

When  $g \equiv 1 \pmod{3}$ , (3) is conformally equivalent to the Riemann surface defined by

$$Y^3 = X(X^{g+1} - 1),$$

under the birational transformation

$$\begin{cases} y = e^{k\pi i/(g+1)} \frac{Y^j}{X^{k+j(g+2)/3}}, \\ x = -\frac{1}{X^{g+1}} + 1, \end{cases}$$

$$\begin{cases} Y = e^{(g+2)\pi i/(3g+3)} \frac{x^a(x-1)^b y^{(g+1)c}}{(x^p(x-1)^q y^{3r})^{(g+2)/3}}, \\ X = e^{\pi i/(g+1)} \frac{1}{x^p(x-1)^q y^{3r}}, \end{cases}$$

where  $(a, b, c), (p, q, r)$  are the solutions of the indeterminate equations

$$\begin{cases} 3a + j(g+1)c = 1, \\ b + kc = 0, \end{cases} \quad \begin{cases} p + jr = 0, \\ gp + kr = 1. \end{cases}$$

If  $N = 3g$ ,  $S$  is defined by

$$(4) \quad y^{3g} = x^{jg}(x-1)^k$$

$$((3g, k) = (3g, (3-j)g - k) = 1, j = 1, 2, 1 \leq k < g).$$

Then we notice that  $k \equiv j \pmod{3}$  or  $k \equiv 2j \pmod{3}$ . In the case  $k \equiv j \pmod{3}$ , (4) is conformally equivalent to the Riemann surface defined by

$$Y^3 = X(X^g - 1),$$

under the birational transformation

$$\begin{cases} y = e^{((k+jg)\pi i/3g)} X^{(k-j)/3} Y^j, \\ x = -X^g + 1, \end{cases} \quad \begin{cases} Y = e^{((g+1)\pi i/3g)} x^a (x-1)^b y^c, \\ X = e^{\pi i/g} x^p (x-1)^q y^{3r}, \end{cases}$$

where  $(a, b, c), (p, q, r)$  are the solutions of the indeterminate equations

$$\begin{cases} 3a + jc = 1, \\ 3gb + kc = 1, \end{cases} \quad \begin{cases} p + jr = 0, \\ gq + kr = 1. \end{cases}$$

In the case  $k \equiv 2j \pmod{3}$ , (4) is conformally equivalent to the Riemann surface defined by

$$Y^3 = X^2(X^g - 1),$$

under the birational transformation

$$\begin{cases} y = e^{((k+jg)\pi i/3g)} X^{(k-2j)/3} Y^j, \\ x = -X^g + 1, \end{cases} \quad \begin{cases} Y = e^{\pi i/3} x^a (x-1)^b y^c, \\ X = e^{\pi i/3} x^p (x-1)^q y^{3r}, \end{cases}$$

where  $(a, b, c), (p, q, r)$  are the solutions of the indeterminate equations

$$\begin{cases} 3a + jc = 1, \\ 3gb + kc = 2, \end{cases} \quad \begin{cases} p + jr = 0, \\ gq + kr = 1. \end{cases}$$

Finally, if  $g \equiv 0 \pmod{3}$ , two Riemann surfaces

$$y^3 = x(x^g - 1) \quad \text{and} \quad Y^3 = X^2(X^g - 1)$$

are conformally equivalent under the birational transformation

$$\begin{cases} y = -X^{g/3+1} Y, \\ x = X^{-1}, \end{cases} \quad \begin{cases} Y = -x^{g/3+1} y, \\ X = x^{-1}. \end{cases}$$

For a surface in (4), if  $g \equiv 1 \pmod{3}$ , we obtain  $k \equiv j \pmod{3}$ , while if  $g \equiv 2 \pmod{3}$ ,  $k \equiv 2j \pmod{3}$ .

In the exceptional case (I), the surfaces are conformally equivalent to the Riemann surface defined by

$$y^6 = x^3(x-1)^3(x-\xi)^2 \quad (\xi \in \mathbb{C}, \xi \neq 0, 1).$$

In the case (II), the surfaces which have the same signature are conformally equivalent to each other. Thus we have the following forms



of  $S$ :

$$y^{20} = x^5(x-1)^4, \quad (0; 4, 5, 20),$$

$$y^{28} = x^7(x-1)^4, \quad (0; 4, 7, 28),$$

$$y^{12} = x^3(x-1)^2, \quad (0; 4, 6, 12),$$

$$y^{36} = x^9(x-1)^4, \quad (0; 4, 9, 36),$$

$$y^{30} = x^6(x-1)^5, \quad (0; 5, 6, 30).$$

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Received April 28, 1983.

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