# cat\_dog\_bike\_car\_zhou\_xi

#### using CNN to classify cat&dog&bike&car

\*If the computing power of the computer is not enough, running the plot will cause the server to crash and the drawn image will not be displayed. I tried to solve the drawing problem by using the computer in the lab instead of using my own laptop.

In order to prevent the same problem from occurring, I will paste the picture drawn in the answer for reference.

```
In [45]: import os
         base_dir = './cat_dog_car_bike'
         train_dir = './cat_dog_car_bike/train'
         val dir = './cat dog car bike/val'
         test dir = './cat dog car bike/test'
         train cats dir=os.path.join(train dir, 'c0')
         train_dogs_dir=os.path.join(train_dir,'c1')
         train cars dir=os.path.join(train dir, 'c2')
         train_motorbikes_dir=os.path.join(train_dir,'c3')
         test cats dir=os.path.join(test dir, 'c0')
         test dogs dir=os.path.join(test dir, 'c1')
         test cars dir=os.path.join(test dir, 'c2')
         test_motorbikes_dir=os.path.join(test_dir,'c3')
         val cats dir=os.path.join(val dir,'c0')
         val dogs dir=os.path.join(val dir,'c1')
         val_cars_dir=os.path.join(val_dir,'c2')
         val motorbikes dir=os.path.join(val dir, 'c3')
```

#### Question1: CNN architecture

```
In [46]: #Use the sigmoid activation function for all layers but the last one which uses
         the softmax activation function. Use default values for the parameters which ar
         e not specified above.
         import tensorflow
         from tensorflow import keras
         from keras import layers
         from keras import models
         model = models.Sequential()
         model.add(layers.Conv2D(32, (3, 3),padding='same',activation='sigmoid',#add the
         padding-for question1(b)
                                 input shape=(32, 32, 3))) #since we rescale the input p
         ictures to 32*32, the input shape shoude be (32,32,3)
         model.add(layers.MaxPooling2D((2, 2)))
         model.add(layers.Conv2D(64, (3, 3),padding='same', activation='sigmoid')) #add
          the padding-for question1(b)
         model.add(layers.MaxPooling2D((2, 2)))
         model.add(layers.Conv2D(128, (3, 3), padding='same',activation='sigmoid')) #add
         the padding-for question1(b)
         model.add(layers.MaxPooling2D((2, 2)))
         model.add(layers.Conv2D(128, (3, 3),padding='same',activation='sigmoid')) #add
          the padding-for question1(b)
         model.add(layers.MaxPooling2D((2, 2)))
         model.add(layers.Flatten())
         model.add(layers.Dense(512,activation='sigmoid'))
         model.add(layers.Dense(4, activation='softmax')) # k=4
```

Layer (type)	Output Shape	Param #
conv2d_133 (Conv2D)	(None, 32, 32, 32)	896
max_pooling2d_132 (MaxPoolin	(None, 16, 16, 32)	0
conv2d_134 (Conv2D)	(None, 16, 16, 64)	18496
max_pooling2d_133 (MaxPoolin	(None, 8, 8, 64)	0
conv2d_135 (Conv2D)	(None, 8, 8, 128)	73856
max_pooling2d_134 (MaxPoolin	(None, 4, 4, 128)	0
conv2d_136 (Conv2D)	(None, 4, 4, 128)	147584
max_pooling2d_135 (MaxPoolin	(None, 2, 2, 128)	0
flatten_33 (Flatten)	(None, 512)	0
dense_65 (Dense)	(None, 512)	262656
dense_66 (Dense)	(None, 4)	2052
		=======

Total params: 505,540 Trainable params: 505,540 Non-trainable params: 0

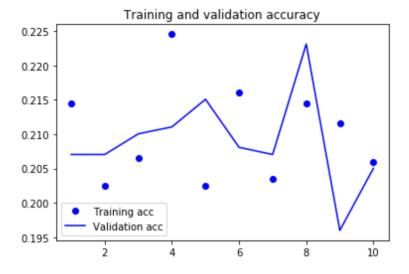
### Quesion1\_answers

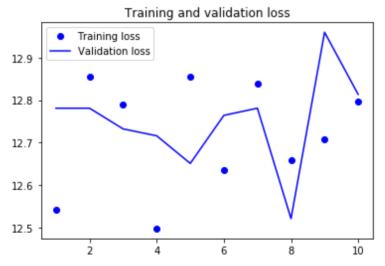
- (a) the right value for k should be 4,since we have 4 classes:cats,dogs,cars,motorbikes,so the size of output should be 4.
- (6) The issue in the architecurte of previous CNN is 'Negative dimension appears'. The reason for the problem is that the feature map will shrink through each conv2D layer when there is no padding. We should add padding to the conv2D layers, using the code 'padding='same'. the padding parameter has two values: 'valid' and 'same', 'valid' means no padding, 'same' means that the output size is the same as the input after using padding, the default value of the parameter is 'valid', we should change it to 'same'.

# Question2: Training a small CNN from scratch

```
In [48]: import PIL
       from keras import optimizers
      model.compile(loss='categorical crossentropy', #We should change the binary cro
       ssentropy to categorical crossentropy, since now we have 4 classes
               optimizer=optimizers.RMSprop(lr=0.1),
               metrics=['acc'])
       from keras.preprocessing.image import ImageDataGenerator
       train datagen = ImageDataGenerator(rescale=1./255) #rescale the tensor values t
       0 [0,1]
       test datagen = ImageDataGenerator(rescale=1./255)
       train_generator = train_datagen.flow_from_directory(
             train dir,
             target size=(32, 32),
             batch size=20,class mode='categorical') #we have four classes
       validation generator = test datagen.flow from directory(
            val dir,
            target size=(32, 32),
            batch_size=20,
             class mode='categorical')
       print ("start..")
      history = model.fit_generator(
           train generator,
           steps per epoch=100,
           epochs=10,
           validation data=validation generator,
           validation steps=50)
      Found 1675 images belonging to 4 classes.
      Found 835 images belonging to 4 classes.
      start..
      Epoch 1/10
      c: 0.2313 - val loss: 12.2303 - val acc: 0.2412
      Epoch 2/10
      0.2348 - val loss: 12.4085 - val acc: 0.2302
      Epoch 3/10
      0.2383 - val loss: 12.4085 - val acc: 0.2302
      Epoch 4/10
      0.2365 - val_loss: 12.2627 - val_acc: 0.2392
      Epoch 5/10
      100/100 [=============] - 9s 88ms/step - loss: 12.3491 - acc:
      0.2338 - val loss: 12.2627 - val acc: 0.2392
      Epoch 6/10
      0.2293 - val_loss: 12.4060 - val_acc: 0.2303
      Epoch 7/10
      100/100 [=============] - 9s 89ms/step - loss: 12.1180 - acc:
      0.2482 - val loss: 12.2627 - val acc: 0.2392
      Epoch 8/10
      0.2315 - val_loss: 12.2465 - val_acc: 0.2402
      Epoch 9/10
      0.2383 - val loss: 12.2951 - val acc: 0.2372
      Epoch 10/10
      100/100 [============== ] - 9s 88ms/step - loss: 12.3599 - acc:
      0.2332 - val_loss: 12.3275 - val_acc: 0.2352
```

```
#plot for the question2 (a):
In [14]:
         import matplotlib.pyplot as plt
         acc = history.history['acc']
         val acc = history.history['val acc']
         loss = history.history['loss']
         val_loss = history.history['val_loss']
         epochs = range(1, len(acc) + 1)
         plt.plot(epochs, acc, 'bo', label='Training acc')
         plt.plot(epochs, val_acc, 'b', label='Validation acc')
         plt.title('Training and validation accuracy')
         plt.legend()
         plt.figure()
         plt.plot(epochs, loss, 'bo', label='Training loss')
         plt.plot(epochs, val_loss, 'b', label='Validation loss')
         plt.title('Training and validation loss')
         plt.legend()
         plt.show()
```





### **Question2 answers:**

• loss function:change the binary\_crossentropy to categorical\_crossentropy,since now we have 4 classes

## (a)

plots for question2(a) are showing below(with learning rate=0.1), the volatility of the data points is very large, and there is no convergence.

The main reasons are:

(1) the learning rate is too large, so the training process is overshooting;

(2) the activation functiong is sigmoid, since the output of sigmoid function is not zero-centered, we get zig-zag patterns for gradient descent.







#### 2 parameters:

according to our analysis above,we should change the learning rate as well as activation function. After lower the learning rate and change the activation function from 'sigmoid' to 'tanh' (I have also tried 'relu', but the 'tanh' performs better in terms of accuracy), the accuracy increased.

#### the imapact of learning rate:

if the rate is too small, then there has to be a lot of iterations until convergence, and the training process maybe trapped in local minimum; if the rate is too large, the overshooting problem may appears and there maybe no convergence.

#### the imapact of activation function:

sigmoid function may cause vanishing gradient as well as zig-zag problem.

I have also plot the training/validation accuracy and training/validation loss as a function of the epochs after tuning the 2 parameters (see below)

```
model = models.Sequential()
         model.add(layers.Conv2D(32, (3, 3),padding='same',activation='tanh',#add the pa
         dding
                                 input_shape=(32, 32, 3))) #since we rescale the input p
         ictures to 32*32, the input shape should be (32,32,3)
         model.add(layers.MaxPooling2D((2, 2)))
         model.add(layers.Conv2D(64, (3, 3),padding='same', activation='tanh')) #add the
         padding
         model.add(layers.MaxPooling2D((2, 2)))
         model.add(layers.Conv2D(128, (3, 3), padding='same',activation='tanh')) #add th
         e padding
         model.add(layers.MaxPooling2D((2, 2)))
         model.add(layers.Conv2D(128, (3, 3),padding='same',activation='tanh')) #add the
         padding
         model.add(layers.MaxPooling2D((2, 2)))
         model.add(layers.Flatten())
         model.add(layers.Dense(512,activation='tanh'))
         model.add(layers.Dense(4, activation='softmax')) # k=4
         model.compile(loss='categorical_crossentropy', #change the binary_crossentropy
          to categorical crossentropy, since now we have 4 classes
                     optimizer=optimizers.RMSprop(lr=0.9e-3),
                     metrics=['acc'])
In [50]: # train dir="../sample1000/train"
         # validation dir="../sample1000/val"
         from keras.preprocessing.image import ImageDataGenerator
         train datagen = ImageDataGenerator(rescale=1./255) #rescale the tensor values t
         0 [0,1]
         test datagen = ImageDataGenerator(rescale=1./255)
         train generator = train datagen.flow from directory(
                 train dir,
                 target size=(32, 32),
                 batch size=20,
                 class mode='categorical') #we only have two classes
         validation generator = test datagen.flow from directory(
                 val dir,
                 target size=(32, 32),
                 batch size=20,
                 class mode='categorical')
         Found 1675 images belonging to 4 classes.
         Found 835 images belonging to 4 classes.
```

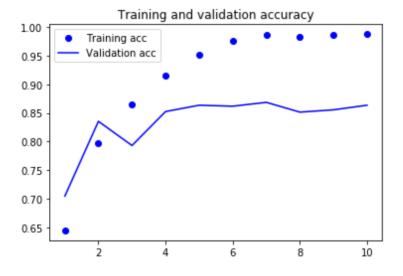
In [49]: #change the learning rate as well as the activation function for question2(b)

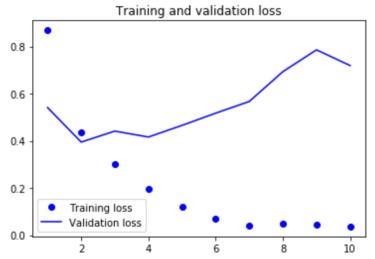
from tensorflow import keras
from keras import layers
from keras import models
from keras import optimizers

```
In [51]: | print ("start..")
     history = model.fit generator(
        train generator,
        steps per epoch=100,
        epochs=10,
        validation_data=validation_generator,
        validation steps=50)
     start..
     Epoch 1/10
     c: 0.6455 - val_loss: 0.5409 - val_acc: 0.7045
     Epoch 2/10
     100/100 [============= ] - 10s 99ms/step - loss: 0.4375 - acc:
     0.7963 - val_loss: 0.3945 - val_acc: 0.8352
     Epoch 3/10
     0.8643 - val loss: 0.4411 - val acc: 0.7930
     100/100 [============== ] - 9s 91ms/step - loss: 0.1948 - acc:
     0.9160 - val loss: 0.4161 - val acc: 0.8523
     Epoch 5/10
     0.9513 - val_loss: 0.4654 - val_acc: 0.8633
     Epoch 6/10
     0.9760 - val loss: 0.5172 - val acc: 0.8616
     Epoch 7/10
     0.9868 - val_loss: 0.5667 - val_acc: 0.8683
     Epoch 8/10
     0.9830 - val loss: 0.6931 - val acc: 0.8513
     Epoch 9/10
     0.9855 - val_loss: 0.7855 - val_acc: 0.8553
     Epoch 10/10
     0.9875 - val loss: 0.7190 - val acc: 0.8633
```

```
In [52]: model.save('cat_dog_car_bike.h5')
```

```
#plot for the question2 (b):
In [53]:
         import matplotlib.pyplot as plt
         acc = history.history['acc']
         val acc = history.history['val acc']
         loss = history.history['loss']
         val_loss = history.history['val_loss']
         epochs = range(1, len(acc) + 1)
         plt.plot(epochs, acc, 'bo', label='Training acc')
         plt.plot(epochs, val_acc, 'b', label='Validation acc')
         plt.title('Training and validation accuracy')
         plt.legend()
         plt.figure()
         plt.plot(epochs, loss, 'bo', label='Training loss')
         plt.plot(epochs, val_loss, 'b', label='Validation loss')
         plt.title('Training and validation loss')
         plt.legend()
         plt.show()
```





(I also plot both the training/validation accuracy and training/validation loss as a function of the epochs after changing these 2 parameters, and the pictures are shown below:)

**j**image



End of the question 2

## Question3(Optimize the learning rate)

a)

(a) try to find a wide enough learning rate range, recording the val acc after 10 epoches:

a wide enough range can be:(1e-7,1.5e-3)

- 1e-7:0.2613 #lower bound
- 1e-6:0.5729
- 1e-5:0.6925
- 1e-4:0.8312
- 1e-3:0.8543 #The promotion is not obvious, and the accuracy has fallen during some iterations (the increase of accuracy is not stable). Overshotting may have occurred.
- 1e-2:0.2302 #Apparent overshooting has appeared, an upper bound of learning rate can be exist between 1e-3 and 1e-2
- 5e-3:0.2141
- · 2.5e-3:0.2945
- 1.5e-3: 0.2824 #using 1.5e-3 as the upper bound of the learning rate

try to find the optimal learning rate: the optimal learning rate can be around 9.5e-4 (0.95e-3).

5e-4: 0.82917.5e-4: 0.84828.5e-4: 0.84129.5e-4:0.8523

9.75e-4: 0.84029.95e-4: 0.80201.05e-3: 0.8352

### b)

(b) Provide an example of a learning rate which is "bad", "good" and "very good". Motivate your answer.

- bad: When the learning rate is 1e-7, the accuracies on the training set and the validation set are less than 0.3, because the learning rate is too low at that time, and it is not enough to learn a useful pattern within 10 epochs;
- good: When the learning rate is 4.5e-5, the acc of the validation set rises from the initial 0.6+ to 0.7799. However the highest accuracy is not achieved within 10 epochs, and the acc of first epoch is quite low, so this learning rate maybe a bit low;
- very good: When the learning rate is 0.95e-3, the accuracy of the validation set rises from the initial 0.7497 to 0.8523, and the classification accuracy of the training set increases from 0.6+ to 0.9+.

## **Question4 Transfer Learning**

In [54]: from keras.applications import VGG16 conv\_base = VGG16(weights='imagenet',include\_top=False,input\_shape=(32, 32, 3)) #remove the top layer, VGG was trained for 1000 classes, here we only have four conv\_base.summary()

Layer (type)	Output Shape	Param #
input_1 (InputLayer)	(None, 32, 32, 3)	0
block1_conv1 (Conv2D)	(None, 32, 32, 64)	1792
block1_conv2 (Conv2D)	(None, 32, 32, 64)	36928
block1_pool (MaxPooling2D)	(None, 16, 16, 64)	0
block2_conv1 (Conv2D)	(None, 16, 16, 128)	73856
block2_conv2 (Conv2D)	(None, 16, 16, 128)	147584
block2_pool (MaxPooling2D)	(None, 8, 8, 128)	0
block3_conv1 (Conv2D)	(None, 8, 8, 256)	295168
block3_conv2 (Conv2D)	(None, 8, 8, 256)	590080
block3_conv3 (Conv2D)	(None, 8, 8, 256)	590080
block3_pool (MaxPooling2D)	(None, 4, 4, 256)	0
block4_conv1 (Conv2D)	(None, 4, 4, 512)	1180160
block4_conv2 (Conv2D)	(None, 4, 4, 512)	2359808
block4_conv3 (Conv2D)	(None, 4, 4, 512)	2359808
block4_pool (MaxPooling2D)	(None, 2, 2, 512)	0
block5_conv1 (Conv2D)	(None, 2, 2, 512)	2359808
block5_conv2 (Conv2D)	(None, 2, 2, 512)	2359808
block5_conv3 (Conv2D)	(None, 2, 2, 512)	2359808
block5_pool (MaxPooling2D)	(None, 1, 1, 512)	0
Total params: 14,714,688 Trainable params: 14,714,688 Non-trainable params: 0		

### **Feature Extraction (with Data Augmentation)**

We add other layers on top of conv\_base

```
In [55]: from keras import models
    from keras import layers
    model = models.Sequential()
    model.add(conv_base)
    model.add(layers.Flatten())
    model.add(layers.Dense(256, activation='relu'))
    model.add(layers.Dense(4, activation='sigmoid'))

model.summary()
```

Layer (type)	Output Shape	Param #
vgg16 (Model)	(None, 1, 1, 512)	14714688
flatten_35 (Flatten)	(None, 512)	0
dense_69 (Dense)	(None, 256)	131328
dense_70 (Dense)	(None, 4)	1028

Total params: 14,847,044
Trainable params: 14,847,044
Non-trainable params: 0

conv base.trainable = False

In [56]: #this "freezes" the VGGNet

model.summary()

Layer (type)	Output Shape	Param #
vgg16 (Model)	(None, 1, 1, 512)	14714688
flatten_35 (Flatten)	(None, 512)	0
dense_69 (Dense)	(None, 256)	131328
dense_70 (Dense)	(None, 4)	1028

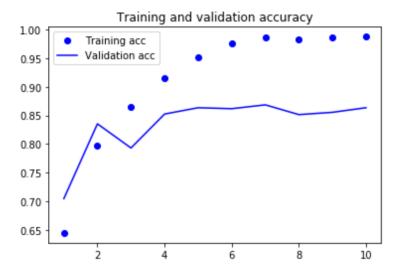
Total params: 14,847,044
Trainable params: 132,356

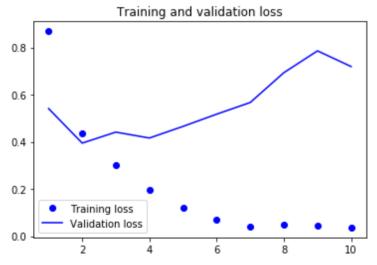
Non-trainable params: 14,714,688

```
In [44]: import os
         base dir = './cat dog car bike'
         train dir = './cat dog car bike/train'
         val dir = './cat dog car bike/val'
         test_dir = './cat_dog_car_bike/test'
         train cats dir=os.path.join(train dir,'c0')
         train dogs dir=os.path.join(train dir,'c1')
         train cars dir=os.path.join(train dir, 'c2')
         train motorbikes dir=os.path.join(train dir, 'c3')
         test cats dir=os.path.join(test dir, 'c0')
         test_dogs_dir=os.path.join(test_dir,'c1')
         test cars dir=os.path.join(test dir, 'c2')
         test motorbikes dir=os.path.join(test dir, 'c3')
         val cats dir=os.path.join(val dir,'c0')
         val dogs dir=os.path.join(val dir,'c1')
         val cars dir=os.path.join(val dir,'c2')
         val_motorbikes_dir=os.path.join(val_dir,'c3')
         from tensorflow import keras
         from keras import layers
         from keras import models
         from keras.preprocessing.image import ImageDataGenerator
         from keras import optimizers
         train datagen = ImageDataGenerator(
               rescale=1./255,
               rotation range=40,
               width shift range=0.2,
               height shift range=0.2,
               shear_range=0.2,
               zoom range=0.2,
               horizontal flip=True,
               fill mode='nearest')
         test datagen = ImageDataGenerator(rescale=1./255)
         train generator = train datagen.flow from directory(
             train_dir,
             target size=(32, 32),
             batch size=20,
             class mode='categorical')
         validation generator = test datagen.flow from directory(
                 val dir,
                 target_size=(32, 32),
                 batch size=20,
                 class mode='categorical')
         model.compile(loss='categorical crossentropy',
                       optimizer=optimizers.RMSprop(lr=1e-3),
                       metrics=['acc'])
         history = model.fit generator(
               train generator,
               steps_per_epoch=100,
               epochs=20,
               validation data=validation generator,
               validation steps=50)
```

```
Found 1675 images belonging to 4 classes.
Found 835 images belonging to 4 classes.
Epoch 1/20
c: 0.2728 - val loss: 1.2838 - val acc: 0.5960
Epoch 2/20
100/100 [============= ] - 9s 94ms/step - loss: 0.8405 - acc:
0.6735 - val_loss: 3.3989 - val_acc: 0.3015
Epoch 3/20
0.7110 - val loss: 0.7520 - val acc: 0.7296
Epoch 4/20
100/100 [============= ] - 9s 91ms/step - loss: 0.6315 - acc:
0.7440 - val loss: 1.1743 - val acc: 0.6442
Epoch 5/20
100/100 [============= ] - 9s 92ms/step - loss: 0.5723 - acc:
0.7788 - val loss: 0.9154 - val acc: 0.6985
Epoch 6/20
100/100 [============== ] - 9s 91ms/step - loss: 0.5721 - acc:
0.7627 - val_loss: 1.4446 - val_acc: 0.6020
Epoch 7/20
0.7970 - val loss: 1.0888 - val acc: 0.6472
Epoch 8/20
100/100 [=============] - 9s 91ms/step - loss: 0.5080 - acc:
0.7910 - val loss: 0.5246 - val acc: 0.8090
Epoch 9/20
0.7933 - val loss: 1.0001 - val acc: 0.7015
Epoch 10/20
0.7927 - val_loss: 0.5438 - val_acc: 0.8020
Epoch 11/20
0.7987 - val loss: 1.0419 - val acc: 0.6990
Epoch 12/20
100/100 [=============] - 9s 93ms/step - loss: 0.4615 - acc:
0.8120 - val_loss: 0.6963 - val_acc: 0.7698
Epoch 13/20
0.8118 - val loss: 0.4302 - val acc: 0.8271
Epoch 14/20
0.8233 - val_loss: 0.4198 - val_acc: 0.8372
Epoch 15/20
0.8235 - val loss: 0.5001 - val acc: 0.8281
Epoch 16/20
100/100 [============== ] - 9s 90ms/step - loss: 0.4644 - acc:
0.8185 - val_loss: 0.6735 - val_acc: 0.7606
Epoch 17/20
100/100 [=============] - 9s 89ms/step - loss: 0.4205 - acc:
0.8192 - val loss: 1.7540 - val acc: 0.6533
Epoch 18/20
0.8300 - val_loss: 0.9663 - val_acc: 0.7497
Epoch 19/20
0.8377 - val loss: 0.9512 - val acc: 0.7487
Epoch 20/20
0.8352 - val_loss: 1.0471 - val_acc: 0.7327
```

```
In [57]:
         import matplotlib.pyplot as plt
         acc = history.history['acc']
         val_acc = history.history['val_acc']
         loss = history.history['loss']
         val_loss = history.history['val_loss']
         epochs = range(1, len(acc) + 1)
         plt.plot(epochs, acc, 'bo', label='Training acc')
         plt.plot(epochs, val_acc, 'b', label='Validation acc')
         plt.title('Training and validation accuracy')
         plt.legend()
         plt.figure()
         plt.plot(epochs, loss, 'bo', label='Training loss')
         plt.plot(epochs, val_loss, 'b', label='Validation loss')
         plt.title('Training and validation loss')
         plt.legend()
         plt.show()
```





#### plots

accFeat.png

#### comments on the plot

Above we used the pretrained network VGG16, which is a network trained on ImageNet. For deep learning, if the training data set is large enough and versatile, the spatial hierarchy of the features learned by the pretrained network can be effectively used as a general model of the visual world.

The CNN model consists of two parts, one part is the convolutional base and the other is the dense layers. For CNN, feature extraction is to take out the convolutional base of the pretrained network, run new data on it, and then train a new classifier on the output.

Before using the VGG16 convolutional base, our model was trained on a very small training set, so there was a serious overfitting problem, that is, although the accuracy on the training set increased with the increase of number of the epochs, the accuracy on the evaluation set was decreasing.

This is because the model has begun to learn some of the properties specific to the training set image rather than the features common to all images.

The best way to solve overfitting is to increase the amount of data in the training set. The more training data, the better the generalization ability of the model. So we used data augment to create more images.

In addition, using the pretrained network which is trained on a large enought data set could also solve the problem of overfitting.

As can be seen from the above figure, although the accuracy of the training set is not improved, the accuracy of the validation is not high, but the gap between the two is very small, indicating that the overfitting problem is well solved. Next we try to improve the classification of accuracy by fine-tuning.

#### **Question 5**

I tried 2 methods to increase the accuracy.

The first method is fine-tuning, using VGG16 as feature extractor;

The second one is using drop out, regularization to solve the problem of overfitting, and then fine-tune the learning rate as well as change the optimizer; I have also considered that the input image maybe not centered on zero, we need to rescale the image to make it zero centered.

## method1:Fine Tuning(also using the VGG16 as feature extrator)

Fine tuning complements the above feature extraction (using VGG16).

Fine tuning is to thaw the top layers of the convolutional base of the VGG16 and combine the thawed layers with the newly added fully connected dense layers.

The thawed layers participate in random initialization with the dense layers and then participate in the training process, making the resulting model more relevant to the data set being trained.

Before we do fine-tuning, check the structure of the current convolutional base:

```
In [ ]: conv_base.summary()
```

The CNN structure is shown above.

We try to fine-tune the convolutional layer of block5, because the near-bottom layers encodes more general reusable features (such as arcs or lines) and the layers near the top encode features more specialized to the training dataset.

We should fine-tune these less general-purpose layers based on the specific training data set to make the model more suitable for the data set we want to classify.

I have tried to run 100 epochs to see the trend, and to save time, I also provided a 10-round version below, it seems that the 10 epochs version is as effective as 100 rounds.

```
In [28]: #I have tried to run 100 epochs to see the trend, and to save time, I also offer
         a 10 epochs version in below:
         #100 epochs version
         #we freeze all layers before block5 conv1
         conv_base.trainable = True
         set_trainable = False## Fine Tuning
         for layer in conv base.layers:
             if layer.name == 'block5 conv1':
                 set trainable = True
             if set trainable:
                 layer.trainable = True
             else:
                 layer.trainable = False
         model.compile(loss='binary crossentropy',
                               optimizer=optimizers.RMSprop(lr=1e-5),
                               metrics=['acc']) #using a low eta to avoid breaking the st
         ructure
         history = model.fit generator(train generator, steps per epoch=100, epochs=100, va
         lidation data=validation generator, validation steps=50)
```

```
Epoch 1/100
0.8664 - val loss: 0.3271 - val acc: 0.8651
Epoch 2/100
0.8880 - val loss: 0.3031 - val acc: 0.8746
Epoch 3/100
0.8872 - val_loss: 0.2717 - val_acc: 0.8852
Epoch 4/100
0.8953 - val loss: 0.3033 - val acc: 0.8746
Epoch 5/100
0.8969 - val loss: 0.2797 - val acc: 0.8894
Epoch 6/100
100/100 [============] - 108s 1s/step - loss: 0.2083 - acc:
0.9062 - val loss: 0.2690 - val acc: 0.8925
Epoch 7/100
0.9086 - val loss: 0.2706 - val acc: 0.8899
Epoch 8/100
100/100 [============] - 111s 1s/step - loss: 0.2122 - acc:
0.9101 - val_loss: 0.3266 - val_acc: 0.8668
Epoch 9/100
0.9191 - val_loss: 0.3261 - val_acc: 0.8769
Epoch 10/100
0.9165 - val_loss: 0.2797 - val_acc: 0.8925
Epoch 11/100
0.9185 - val loss: 0.2699 - val acc: 0.8942
Epoch 12/100
100/100 [============] - 111s 1s/step - loss: 0.1824 - acc:
0.9189 - val_loss: 0.2138 - val_acc: 0.9136
Epoch 13/100
0.9222 - val loss: 0.2174 - val acc: 0.9168
Epoch 14/100
0.9267 - val loss: 0.2904 - val acc: 0.8935
Epoch 15/100
100/100 [============= ] - 112s 1s/step - loss: 0.1792 - acc:
0.9224 - val_loss: 0.2158 - val_acc: 0.9103
Epoch 16/100
0.9255 - val_loss: 0.2479 - val_acc: 0.9008
Epoch 17/100
0.9303 - val loss: 0.2521 - val acc: 0.9035
Epoch 18/100
100/100 [============= ] - 110s 1s/step - loss: 0.1638 - acc:
0.9298 - val_loss: 0.2867 - val_acc: 0.8975
Epoch 19/100
100/100 [============= ] - 110s 1s/step - loss: 0.1624 - acc:
0.9300 - val_loss: 0.2787 - val_acc: 0.9010
Epoch 20/100
0.9324 - val_loss: 0.2278 - val_acc: 0.9053
Epoch 21/100
0.9312 - val_loss: 0.2550 - val_acc: 0.9025
Epoch 22/100
100/100 [============= ] - 110s 1s/step - loss: 0.1510 - acc:
```

0.9371 - val\_loss: 0.2482 - val\_acc: 0.9083

```
Epoch 23/100
0.9337 - val loss: 0.2820 - val acc: 0.9038
Epoch 24/100
100/100 [============== ] - 145s 1s/step - loss: 0.1592 - acc:
0.9324 - val loss: 0.3305 - val acc: 0.8877
Epoch 25/100
0.9387 - val loss: 0.2922 - val acc: 0.8942
Epoch 26/100
100/100 [============] - 107s 1s/step - loss: 0.1462 - acc:
0.9398 - val_loss: 0.2913 - val_acc: 0.8982
Epoch 27/100
100/100 [============= ] - 107s 1s/step - loss: 0.1478 - acc:
0.9370 - val loss: 0.2132 - val acc: 0.9201
Epoch 28/100
100/100 [=============] - 107s 1s/step - loss: 0.1513 - acc:
0.9372 - val_loss: 0.2791 - val_acc: 0.8995
Epoch 29/100
100/100 [============= ] - 107s 1s/step - loss: 0.1368 - acc:
0.9429 - val loss: 0.2720 - val acc: 0.8950
Epoch 30/100
0.9382 - val loss: 0.2828 - val acc: 0.9033
Epoch 31/100
0.9476 - val loss: 0.3042 - val acc: 0.8970
Epoch 32/100
0.9371 - val loss: 0.2522 - val acc: 0.9051
Epoch 33/100
0.9479 - val_loss: 0.2534 - val_acc: 0.9063
Epoch 34/100
0.9470 - val loss: 0.2282 - val acc: 0.9148
Epoch 35/100
0.9458 - val_loss: 0.2421 - val_acc: 0.9156
Epoch 36/100
0.9425 - val loss: 0.2931 - val acc: 0.9028
Epoch 37/100
0.9483 - val_loss: 0.2375 - val_acc: 0.9162
Epoch 38/100
100/100 [============= ] - 110s 1s/step - loss: 0.1316 - acc:
0.9434 - val loss: 0.2149 - val acc: 0.9206
Epoch 39/100
0.9463 - val_loss: 0.2748 - val_acc: 0.9038
Epoch 40/100
100/100 [============= ] - 112s 1s/step - loss: 0.1237 - acc:
0.9495 - val loss: 0.2908 - val acc: 0.9078
Epoch 41/100
0.9457 - val_loss: 0.2678 - val_acc: 0.9040
Epoch 42/100
0.9527 - val loss: 0.3264 - val acc: 0.8939
Epoch 43/100
0.9475 - val_loss: 0.3255 - val_acc: 0.8955
Epoch 44/100
100/100 [=============] - 111s 1s/step - loss: 0.1242 - acc:
```

0.9470 - val loss: 0.2535 - val acc: 0.9168

```
Epoch 45/100
0.9475 - val loss: 0.2544 - val acc: 0.9085
Epoch 46/100
100/100 [============= ] - 113s 1s/step - loss: 0.1202 - acc:
0.9508 - val loss: 0.2698 - val acc: 0.9118
Epoch 47/100
0.9532 - val loss: 0.2992 - val acc: 0.8980
Epoch 48/100
100/100 [============] - 109s 1s/step - loss: 0.1216 - acc:
0.9482 - val_loss: 0.3085 - val_acc: 0.8950
Epoch 49/100
100/100 [============= ] - 109s 1s/step - loss: 0.1146 - acc:
0.9520 - val loss: 0.3318 - val acc: 0.8980
Epoch 50/100
0.9526 - val loss: 0.3045 - val_acc: 0.9098
Epoch 51/100
100/100 [============= ] - 111s 1s/step - loss: 0.1150 - acc:
0.9542 - val loss: 0.3101 - val acc: 0.8967
Epoch 52/100
0.9561 - val loss: 0.3206 - val acc: 0.8975
Epoch 53/100
0.9590 - val loss: 0.3166 - val acc: 0.8949
Epoch 54/100
0.9565 - val loss: 0.2805 - val acc: 0.9055
Epoch 55/100
0.9538 - val_loss: 0.2703 - val_acc: 0.9093
Epoch 56/100
0.9548 - val loss: 0.2929 - val acc: 0.9033
Epoch 57/100
0.9567 - val_loss: 0.1980 - val_acc: 0.9269
Epoch 58/100
0.9574 - val loss: 0.2392 - val acc: 0.9177
Epoch 59/100
0.9604 - val_loss: 0.2508 - val_acc: 0.9156
Epoch 60/100
100/100 [============= ] - 110s 1s/step - loss: 0.1086 - acc:
0.9542 - val loss: 0.2698 - val acc: 0.9158
Epoch 61/100
0.9617 - val_loss: 0.2991 - val_acc: 0.9053
Epoch 62/100
100/100 [============= ] - 110s 1s/step - loss: 0.1041 - acc:
0.9572 - val loss: 0.2432 - val acc: 0.9138
Epoch 63/100
0.9619 - val_loss: 0.2317 - val_acc: 0.9167
Epoch 64/100
100/100 [============= ] - 112s 1s/step - loss: 0.1041 - acc:
0.9595 - val loss: 0.2800 - val acc: 0.9083
Epoch 65/100
0.9569 - val_loss: 0.2729 - val_acc: 0.9098
Epoch 66/100
100/100 [=============] - 112s 1s/step - loss: 0.0953 - acc:
```

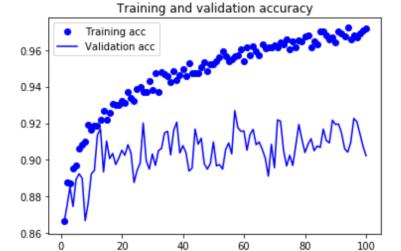
0.9632 - val loss: 0.2898 - val acc: 0.9058

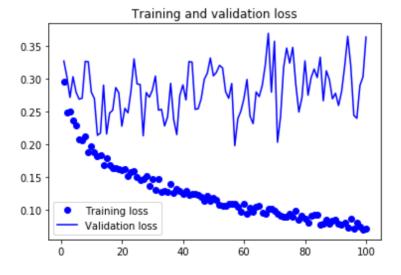
```
Epoch 67/100
0.9610 - val loss: 0.3210 - val acc: 0.9010
Epoch 68/100
100/100 [============= ] - 110s 1s/step - loss: 0.1014 - acc:
0.9614 - val loss: 0.3695 - val acc: 0.8912
Epoch 69/100
0.9616 - val loss: 0.2796 - val acc: 0.9085
Epoch 70/100
100/100 [============] - 110s 1s/step - loss: 0.0986 - acc:
0.9624 - val_loss: 0.3574 - val_acc: 0.8957
Epoch 71/100
100/100 [============= ] - 111s 1s/step - loss: 0.0948 - acc:
0.9615 - val loss: 0.2033 - val acc: 0.9219
Epoch 72/100
0.9642 - val loss: 0.2424 - val_acc: 0.9211
Epoch 73/100
100/100 [============= ] - 110s 1s/step - loss: 0.0897 - acc:
0.9631 - val loss: 0.3173 - val acc: 0.9050
Epoch 74/100
0.9660 - val loss: 0.3470 - val acc: 0.8967
Epoch 75/100
0.9602 - val loss: 0.3241 - val acc: 0.9025
Epoch 76/100
0.9648 - val loss: 0.3481 - val acc: 0.8970
Epoch 77/100
0.9615 - val_loss: 0.2914 - val_acc: 0.9080
Epoch 78/100
0.9654 - val loss: 0.2494 - val acc: 0.9193
Epoch 79/100
0.9648 - val_loss: 0.2712 - val_acc: 0.9111
Epoch 80/100
0.9672 - val loss: 0.3274 - val acc: 0.9040
Epoch 81/100
0.9680 - val_loss: 0.2751 - val_acc: 0.9085
Epoch 82/100
100/100 [============= ] - 110s 1s/step - loss: 0.0911 - acc:
0.9613 - val loss: 0.3017 - val acc: 0.9116
Epoch 83/100
0.9645 - val_loss: 0.3150 - val_acc: 0.9050
Epoch 84/100
100/100 [============= ] - 110s 1s/step - loss: 0.0922 - acc:
0.9631 - val loss: 0.3020 - val acc: 0.9076
Epoch 85/100
0.9700 - val_loss: 0.3331 - val_acc: 0.9068
Epoch 86/100
100/100 [============= ] - 110s 1s/step - loss: 0.0786 - acc:
0.9699 - val loss: 0.2665 - val acc: 0.9168
Epoch 87/100
0.9680 - val_loss: 0.3123 - val_acc: 0.9106
Epoch 88/100
100/100 [============= ] - 110s 1s/step - loss: 0.0785 - acc:
```

0.9661 - val loss: 0.2987 - val acc: 0.9093

```
Epoch 89/100
0.9670 - val loss: 0.2696 - val acc: 0.9217
Epoch 90/100
100/100 [============= ] - 110s 1s/step - loss: 0.0847 - acc:
0.9643 - val loss: 0.2780 - val acc: 0.9193
Epoch 91/100
0.9702 - val loss: 0.2593 - val acc: 0.9196
Epoch 92/100
0.9689 - val_loss: 0.2807 - val_acc: 0.9146
Epoch 93/100
0.9676 - val loss: 0.3191 - val acc: 0.9060
Epoch 94/100
0.9722 - val loss: 0.3649 - val_acc: 0.9043
Epoch 95/100
0.9661 - val loss: 0.3199 - val acc: 0.9096
Epoch 96/100
0.9681 - val loss: 0.2444 - val acc: 0.9226
Epoch 97/100
0.9667 - val loss: 0.2398 - val acc: 0.9209
Epoch 98/100
0.9692 - val loss: 0.2899 - val acc: 0.9143
Epoch 99/100
0.9705 - val loss: 0.3030 - val_acc: 0.9075
Epoch 100/100
0.9720 - val loss: 0.3638 - val acc: 0.9023
```

```
In [29]:
         #plot 100 epochs
         import matplotlib.pyplot as plt
         acc = history.history['acc']
         val acc = history.history['val acc']
         loss = history.history['loss']
         val_loss = history.history['val_loss']
         epochs = range(1, len(acc) + 1)
         plt.plot(epochs, acc, 'bo', label='Training acc')
         plt.plot(epochs, val_acc, 'b', label='Validation acc')
         plt.title('Training and validation accuracy')
         plt.legend()
         plt.figure()
         plt.plot(epochs, loss, 'bo', label='Training loss')
         plt.plot(epochs, val loss, 'b', label='Validation loss')
         plt.title('Training and validation loss')
         plt.legend()
         plt.show()
```





```
In [58]: #change number of epoch to 10 to save time
         conv base.trainable = True
         set trainable = False## Fine Tuning
         for layer in conv base.layers:
             if layer.name == 'block5_conv1':
                 set_trainable = True
             if set trainable:
                 layer.trainable = True
             else:
                  layer.trainable = False
         model.compile(loss='binary_crossentropy',
                                optimizer=optimizers.RMSprop(lr=1e-5),
                                metrics=['acc']) #using a low eta to avoid breaking the st
         ructure
         history = model.fit generator(train generator, steps per epoch=100, epochs=10, val
         idation data=validation generator, validation steps=50)
```

```
Epoch 1/10
0.7720 - val loss: 0.3240 - val acc: 0.8698
Epoch 2/10
0.8967 - val_loss: 0.2393 - val_acc: 0.9008
Epoch 3/10
0.9133 - val loss: 0.1968 - val acc: 0.9239
Epoch 4/10
0.9353 - val_loss: 0.1880 - val_acc: 0.9176
Epoch 5/10
0.9462 - val loss: 0.1676 - val acc: 0.9279
Epoch 6/10
0.9534 - val_loss: 0.1640 - val_acc: 0.9308
Epoch 7/10
100/100 [=============] - 109s 1s/step - loss: 0.1023 - acc:
0.9596 - val loss: 0.1594 - val acc: 0.9344
Epoch 8/10
100/100 [=============] - 109s 1s/step - loss: 0.0908 - acc:
0.9641 - val_loss: 0.1569 - val_acc: 0.9389
Epoch 9/10
0.9675 - val loss: 0.1419 - val acc: 0.9407
Epoch 10/10
100/100 [============= ] - 110s 1s/step - loss: 0.0695 - acc:
0.9751 - val_loss: 0.1581 - val_acc: 0.9324
```

We plot the results and we got question5first.png

question5second.png

#### Finally I got the accuracy of 0.9720 on training set and 0.9023 on validation set.

```
acc: 0.9720 - val loss: 0.3638 - val acc: 0.9023
```

- ## Method2
- rescale the image to make it zero centered to avoid zig-zag problems.(compute mean of the data points and subtract the mean to each data point so that the mean of the input data points is zero.)

using the code:

train\_datagen = ImageDataGenerator(samplewise\_center=True,rescale=1./255) test\_datagen = ImageDataGenerator(samplewise\_center=True,rescale=1./255)

- using drop out, using drop out, regularization to solve the problem of overfitting, and then fine-tune the learning rate as well as change the optimizer;
- However, after adding 0.5 drop out, acc did not increased, the code is:

model.add(layers.Dropout(0.5))

• Adding I2 regularizer:

```
model.add(layers.Dense(4,kernel_regularizer=regularizers.12(0.0001),activation='sof
tmax'))
```

- increase number of epochs from 20 to 40,val\_acc rised to 0.8482.
- · Adding image augment, although the overfitting situation has eased, the acc has not improved.
- change the 'tanh' to 'relu' in order to gain faster convergence.

```
In [59]: #using the CNN trained by myself, adding 0.5 dropout
         from tensorflow import keras
         from keras import regularizers #import regularizer, adding the regularizer to de
         nse layers
         from keras import layers
         from keras import models
         from keras import optimizers
         from keras.preprocessing.image import ImageDataGenerator
         import os
         base dir = './cat dog car bike'
         train dir = './cat dog car bike/train'
         val dir = './cat dog car bike/val'
         test dir = './cat dog car bike/test'
         train cats dir=os.path.join(train dir, 'c0')
         train dogs dir=os.path.join(train dir,'c1')
         train_cars_dir=os.path.join(train_dir,'c2')
         train motorbikes dir=os.path.join(train dir, 'c3')
         test cats dir=os.path.join(test dir, 'c0')
         test_dogs_dir=os.path.join(test_dir,'c1')
         test cars dir=os.path.join(test dir, 'c2')
         test motorbikes dir=os.path.join(test dir, 'c3')
         val_cats_dir=os.path.join(val_dir,'c0')
         val dogs dir=os.path.join(val dir,'c1')
         val cars dir=os.path.join(val dir,'c2')
         val motorbikes dir=os.path.join(val dir, 'c3')
         model = models.Sequential()
         model.add(layers.Conv2D(32, (3, 3),padding='same',activation='relu',#add the pa
                                  input shape=(32, 32, 3))) #since we rescale the input p
         ictures to 32*32, the input shape should be (32,32,3)
         model.add(layers.MaxPooling2D((2, 2)))
         model.add(layers.Conv2D(64, (3, 3),padding='same', activation='relu')) #add the
         padding
         model.add(layers.MaxPooling2D((2, 2)))
         model.add(layers.Conv2D(128, (3, 3), padding='same',activation='relu')) #add th
         e padding
         model.add(layers.MaxPooling2D((2, 2)))
         model.add(layers.Conv2D(128, (3, 3),padding='same',activation='relu')) #add the
         padding
         model.add(layers.MaxPooling2D((2, 2)))
         model.add(layers.Flatten())
         model.add(layers.Dense(512,activation='relu'))
         model.add(layers.Dense(4, kernel regularizer=regularizers.12(0.0001),activation
         ='softmax')) \# k=4
         model.compile(loss='categorical_crossentropy',
                       optimizer=optimizers.RMSprop(lr=1e-3),
                       metrics=['acc'])
         #train datagen = ImageDataGenerator(rescale=1./255,rotation range=40,width shif
         t range=0.2, height shift range=0.2, shear range=0.2, zoom range=0.2, horizontal fl
         ip=True,)
         train datagen = ImageDataGenerator(samplewise center=True, rescale=1./255)
         test datagen = ImageDataGenerator(samplewise center=True, rescale=1./255)
         train_generator = train_datagen.flow_from_directory(
             train dir,
             target size=(32, 32),
             batch_size=32,
```

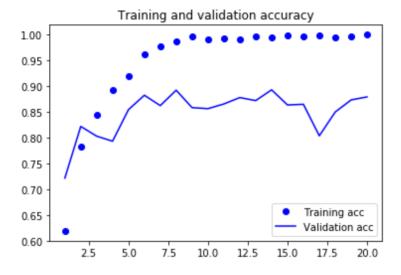
```
class_mode='categorical')

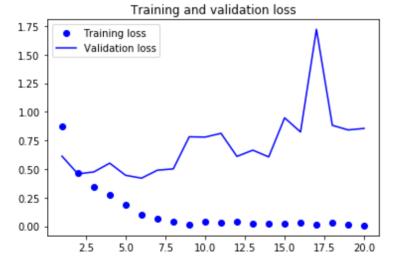
validation_generator = test_datagen.flow_from_directory(
    val_dir,
    target_size=(32, 32),
    batch_size=32,
    class_mode='categorical')

history = model.fit_generator(
    train_generator,
    steps_per_epoch=100,
    epochs=20,
    validation_data=validation_generator,
    validation_steps=50)
```

```
Found 1675 images belonging to 4 classes.
Found 835 images belonging to 4 classes.
Epoch 1/20
100/100 [============= ] - 16s 161ms/step - loss: 0.8790 - ac
c: 0.6180 - val loss: 0.6124 - val acc: 0.7218
Epoch 2/20
100/100 [============ ] - 13s 134ms/step - loss: 0.4631 - ac
c: 0.7838 - val_loss: 0.4573 - val_acc: 0.8217
Epoch 3/20
c: 0.8451 - val loss: 0.4742 - val acc: 0.8029
Epoch 4/20
100/100 [============== ] - 13s 135ms/step - loss: 0.2715 - ac
c: 0.8922 - val loss: 0.5509 - val acc: 0.7931
Epoch 5/20
c: 0.9161 - val loss: 0.4450 - val acc: 0.8541
Epoch 6/20
100/100 [============= ] - 13s 133ms/step - loss: 0.0986 - ac
c: 0.9625 - val_loss: 0.4197 - val_acc: 0.8820
Epoch 7/20
c: 0.9754 - val loss: 0.4895 - val acc: 0.8619
Epoch 8/20
100/100 [=============] - 13s 135ms/step - loss: 0.0384 - ac
c: 0.9869 - val loss: 0.5012 - val acc: 0.8917
Epoch 9/20
c: 0.9950 - val loss: 0.7821 - val acc: 0.8580
Epoch 10/20
100/100 [============= ] - 14s 136ms/step - loss: 0.0422 - ac
c: 0.9909 - val_loss: 0.7795 - val_acc: 0.8560
Epoch 11/20
c: 0.9928 - val loss: 0.8122 - val acc: 0.8651
Epoch 12/20
100/100 [=============] - 13s 133ms/step - loss: 0.0359 - ac
c: 0.9909 - val_loss: 0.6104 - val_acc: 0.8774
Epoch 13/20
c: 0.9956 - val loss: 0.6649 - val acc: 0.8716
Epoch 14/20
c: 0.9941 - val_loss: 0.6058 - val_acc: 0.8924
Epoch 15/20
c: 0.9975 - val loss: 0.9478 - val acc: 0.8632
Epoch 16/20
c: 0.9950 - val_loss: 0.8243 - val_acc: 0.8645
Epoch 17/20
c: 0.9981 - val loss: 1.7228 - val acc: 0.8035
Epoch 18/20
c: 0.9947 - val_loss: 0.8823 - val_acc: 0.8495
Epoch 19/20
c: 0.9944 - val loss: 0.8420 - val acc: 0.8729
Epoch 20/20
c: 0.9991 - val_loss: 0.8555 - val_acc: 0.8787
```

```
In [60]:
         #plot the result
         import matplotlib.pyplot as plt
         acc = history.history['acc']
         val acc = history.history['val acc']
         loss = history.history['loss']
         val_loss = history.history['val_loss']
         epochs = range(1, len(acc) + 1)
         plt.plot(epochs, acc, 'bo', label='Training acc')
         plt.plot(epochs, val_acc, 'b', label='Validation acc')
         plt.title('Training and validation accuracy')
         plt.legend()
         plt.figure()
         plt.plot(epochs, loss, 'bo', label='Training loss')
         plt.plot(epochs, val loss, 'b', label='Validation loss')
         plt.title('Training and validation loss')
         plt.legend()
         plt.show()
```





In [ ]: