

**Lemma 2.5** Suppose that  $k$  is an infinite field, and let  $F \in S_d$ .

- (i) Let  $L \subset \mathbb{P}_k^2$  be a line; if  $F \equiv 0$  on  $L$ , then  $F$  is divisible in  $k[X, Y, Z]$  by the equation of  $L$ . That is,  $F = H \cdot F'$  where  $H$  is the equation of  $L$  and  $F' \in S_{d-1}$ .
- (ii) Let  $C \subset \mathbb{P}_k^2$  be a nonempty nondegenerate conic; if  $F \equiv 0$  on  $C$ , then  $F$  is divisible in  $k[X, Y, Z]$  by the equation of  $C$ . That is,  $F = Q \cdot F'$  where  $Q$  is the equation of  $C$  and  $F' \in S_{d-2}$ .

If you think this statement is obvious, congratulations on your intuition: you have just guessed a particular case of the Nullstellensatz. Now find your own proof (GOTO 2.6).

**Proof** (i) By a change of coordinates, I can assume  $H = X$ . Then for any  $F \in S_d$ , there exists a unique expression  $F = X \cdot F'_{d-1} + G(Y, Z)$ : just gather together all the monomials involving  $X$  into the first summand, and what's left must be a polynomial in  $Y, Z$  only. Now

$$F \equiv 0 \text{ on } L \iff G \equiv 0 \text{ on } L \iff G(Y, Z) = 0.$$

The last step holds because of (1.8): if  $G(Y, Z) \neq 0$  then it has at most  $d$  zeros on  $\mathbb{P}_k^1$ , whereas if  $k$  is infinite, then so is  $\mathbb{P}_k^1$ .

(ii) By a change of coordinates,  $Q = XZ - Y^2$ . Now let me prove that for any  $F \in S_d$ , there exists a unique expression

$$F = Q \cdot F'_{d-2} + A(X, Z) + YB(X, Z) :$$

if I just substitute  $XZ - Q$  for  $Y^2$  wherever it occurs in  $F$ , what's left has degree  $\leq 1$  in  $Y$ , and is therefore of the form  $A(X, Z) + YB(X, Z)$ . Now as in (1.7),  $C$  is the parametrised conic given by  $X = U^2, Y = UV, Z = V^2$ , so that

$$\begin{aligned} F \equiv 0 \text{ on } C &\iff A(U^2, V^2) + UVB(U^2, V^2) \equiv 0 \text{ on } C \\ &\iff A(U^2, V^2) + UVB(U^2, V^2) = 0 \in k[U, V] \\ &\iff A(X, Z) = B(X, Z) = 0. \end{aligned}$$

Here the last equality comes by considering separately the terms of even and odd degrees in the form  $A(U^2, V^2) + UVB(U^2, V^2)$ . Q.E.D.

Ex. 2.2 gives similar cases of 'explicit' Nullstellensatz.

**Corollary** Let  $L : (H = 0) \subset \mathbb{P}_k^2$  be a line (or  $C : (Q = 0) \subset \mathbb{P}_k^2$  a nondegenerate conic); suppose that points  $P_1, \dots, P_n \in \mathbb{P}_k^2$  are given, and consider  $S_d(P_1, \dots, P_n)$  for some fixed  $d$ . Then

- (i) If  $P_1, \dots, P_a \in L, P_{a+1}, \dots, P_n \notin L$  and  $a > d$ , then

$$S_d(P_1, \dots, P_n) = H \cdot S_{d-1}(P_{a+1}, \dots, P_n).$$

- (ii) If  $P_1, \dots, P_a \in C, P_{a+1}, \dots, P_n \notin C$  and  $a > 2d$ , then

$$S_d(P_1, \dots, P_n) = Q \cdot S_{d-2}(P_{a+1}, \dots, P_n).$$

**Proof** (i) If  $F$  is homogeneous of degree  $d$ , and the curve  $D : (F = 0)$  meets  $L$  in points  $P_1, \dots, P_a$  with  $a > d$ , then by (1.9), I must have  $L \subset D$ , so that by the lemma,  $F = H \cdot F'$ ; now since  $P_{a+1}, \dots, P_n \notin L$ , obviously  $F' \in S_{d-1}(P_{a+1}, \dots, P_n)$ . (ii) is exactly the same. Q.E.D.

**Proposition 2.6** *Let  $k$  be an infinite field, and  $P_1, \dots, P_8 \in \mathbb{P}_k^2$  distinct points; suppose that no 4 of  $P_1, \dots, P_8$  are collinear, and no 7 of them lie on a nondegenerate conic; then*

$$\dim S_3(P_1, \dots, P_8) = 2.$$

**Proof** For brevity, let me say that a set of points are *conconic* if they all lie on a nondegenerate conic. The proof of (2.6) breaks up into several cases.

**Main case** No 3 points are collinear, no 6 conconic. This is the ‘general position’ case.

Suppose for a contradiction that  $\dim S_3(P_1, \dots, P_8) \geq 3$ , and let  $P_9, P_{10}$  be distinct points on the line  $L = P_1P_2$ . Then

$$\dim S_3(P_1, \dots, P_{10}) \geq \dim S_3(P_1, \dots, P_8) - 2 \geq 1,$$

so that there exists  $0 \neq F \in S_3(P_1, \dots, P_{10})$ . By Corollary 2.5,  $F = H \cdot Q$ , with  $Q \in S_2(P_3, \dots, P_8)$ . Now I have a contradiction to the case assumption: if  $Q$  is nondegenerate then the 6 points  $P_3, \dots, P_8$  are conconic, whereas if  $Q$  is a line pair or a double line, then at least 3 of them are collinear.

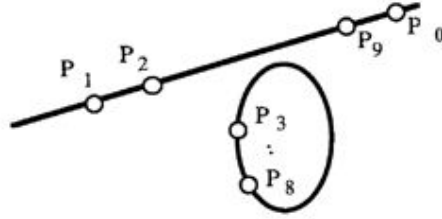


Figure 2.2: 10 points on a reducible cubic

**First degenerate case** Suppose  $P_1, P_2, P_3 \in L$  are collinear, and let  $L : (H = 0)$ . Let  $P_9$  be a 4th point on the line  $L$ . Then by Corollary 2.5,

$$S_3(P_1, \dots, P_9) = H \cdot S_2(P_4, \dots, P_8).$$

Also, since no 4 of  $P_4, \dots, P_8$  are collinear, by Corollary 1.11,

$$\dim S_2(P_4, \dots, P_8) = 1, \quad \text{and then} \quad \dim S_3(P_1, \dots, P_9) = 1,$$

which implies  $\dim S_3(P_1, \dots, P_8) \leq 2$ .