

with  $A$  a linear form,  $B$  a quadratic form. Then  $S : (f = 0)$  is singular at a point where  $Z = T = B = 0$ ; this is a nonempty set, since it is the set of roots of  $B$  on the line  $\ell : (Z = T = 0)$ .

**Proposition 7.2** *There exists at least one line  $\ell$  on  $S$ .*

There are several approaches to proving this. A standard argument is by a dimension count: lines of  $\mathbb{P}^3$  are parametrised by a 4-dimensional variety, and for a line  $\ell$  to lie on  $S$  imposes 4 conditions on  $\ell$  (because the restriction of  $f$  to  $\ell$  is a cubic form, the 4 coefficients of which must vanish). A little work is needed to turn this into a rigorous proof, since a priori it shows only that the set of lines has dimension  $\geq 0$ , and not that it is nonempty (see the highbrow notes (8.15) for a discussion of the traditional proof and the difficulties involved in it).

It is also perfectly logical to assume the proposition (restrict attention only to cubic surfaces containing lines). I now explain how (7.2) can be proved by direct coordinate geometry and elimination. The proof occupies the next 3 pages, and divides up into 4 steps; you can skip it if you prefer (GOTO 7.3).

**Step 1 (Preliminary construction)** For any point  $P \in S$ , the intersection of  $S$  with the tangent plane  $T_P S$  is a plane cubic  $C = S \cap T_P S$ , which by Ex. 6.7 is singular at  $P$ . I assume that  $C$  is irreducible, since otherwise  $P$  is on a line of  $S$ , and I'm home; then  $C$  is a nodal or cuspidal cubic, and the coordinates  $(X, Y, Z, T)$  of  $\mathbb{P}^3$  can be chosen such that  $T_P S : (T = 0)$ ,  $P = (0, 0, 1, 0)$ , and

$$C : (XYZ = X^3 + Y^3) \text{ or } (X^2Z = Y^3).$$

Whether  $C$  is nodal or cuspidal for given  $P \in S$  depends on the matrix of second derivatives (or *Hessian* matrix) of  $f$  at  $P$ ; this is discussed in more detail in Ex. 7.3, which proves (in characteristic  $\neq 2$ ) that the cuspidal case must occur for some point  $P \in S$ . For simplicity, I prove (7.2) in the cuspidal case; in principle, the proof goes through in exactly the same way in the nodal case, but the elimination calculation gets much nastier (see Ex. 7.10). Thus assume that

$$f = X^2Z - Y^3 + gT,$$

where  $g = g_2(X, Y, Z, T)$  is a quadratic form;  $g(0, 0, 1, 0) \neq 0$  by nonsingularity of  $S$  at  $P$ , so I can assume that  $g(0, 0, 1, 0) = 1$ .

**Step 2 (Statement of main claim)** Consider the variable point  $P_\alpha = (1, \alpha, \alpha^3, 0)$  of  $C \subset S$ . Any line of  $\mathbb{P}^3$  through  $P_\alpha$  meets the complementary plane  $\Pi : (X = 0)$  in a point  $Q = (0, Y, Z, T)$ . I write out the equations for the line  $P_\alpha Q$  to be contained in  $S$  in terms of  $\alpha$  and  $Q$ ; expanding  $f(\lambda P_\alpha + \mu Q)$  in powers of  $\lambda$  and  $\mu$  gives

$$P_\alpha Q \subset S \iff A(Y, Z, T) = B(Y, Z, T) = C(Y, Z, T) = 0,$$

where  $A, B$  and  $C$  are forms of degree 1, 2 and 3 in  $(Y, Z, T)$ , whose coefficients involve  $\alpha$ .

**Main Claim** *There exists a ‘resultant’ polynomial  $R_{27}(\alpha)$ , which is monic of degree 27 in  $\alpha$ , such that*

$$R(\alpha) = 0 \iff A = B = C = 0 \text{ have a common zero } (\eta : \zeta : \tau) \text{ in } \mathbb{P}^2.$$

This statement proves (7.2), since it implies that for every root  $\alpha$  of  $R$ , there exists a point  $Q = (0 : \eta : \zeta : \tau)$  in  $\Pi$  for which the line  $P_\alpha Q$  is contained in  $S$ . The idea here is a standard elimination calculation based on Ex. 1.10; the rest of the proof is concerned with writing out  $A, B$  and  $C$  explicitly to prove the claim.

**Step 3 (Polar form)** Define the *polar* of  $f$  to be the form in two sets of variables  $(X, Y, Z, T)$  and  $(X', Y', Z', T')$  given by

$$f_1(X, Y, Z, T; X', Y', Z', T') = \frac{\partial f}{\partial X} \cdot X' + \frac{\partial f}{\partial Y} \cdot Y' + \frac{\partial f}{\partial Z} \cdot Z' + \frac{\partial f}{\partial T} \cdot T'.$$

It's clear from the definition of tangent space (see (6.4) and (6.10)) that for  $P = (X, Y, Z, T) \in S$  and  $P \neq Q = (X', Y', Z', T') \in \mathbb{P}^3$ ,

$$f_1(P; Q) = 0 \iff \text{the line } PQ \text{ is tangent to } S \text{ at } P.$$

Clearly

$$f(\lambda P + \mu Q) = \lambda^3 f(P) + \lambda^2 \mu f_1(P; Q) + \lambda \mu^2 f_1(Q; P) + \mu^3 f(Q),$$

so that for  $P \neq Q \in \mathbb{P}^3$ , the 4 conditions

$$f(P) = f_1(P; Q) = f_1(Q; P) = f(Q)$$

are the equations for the line  $\ell = PQ$  to be contained in  $S : (f = 0)$ . More geometrically, these say that  $\ell$  is tangent to  $S$  at both  $P$  and  $Q$ , so that  $f|\ell$  has double roots at both points, and then  $\ell \subset S$  follows from Proposition 1.8.

The polar of  $f = X^2Z - Y^3 + gT$  is

$$f_1 = 2XZ \cdot X' - 3Y^2 \cdot Y' + X^2 \cdot Z' + g(X, Y, Z, T) \cdot T' + Tg_1.$$

Here  $g_1 = g_1(X, Y, Z, T; X', Y', Z', T')$  is the polar form of  $g$  defined in the same way as above; since  $g$  is quadratic,  $g_1$  is a symmetric bilinear form such that  $g_1(P, P) = 2g(P)$ .

Substituting  $P_\alpha = (1, \alpha, \alpha^3, 0)$  and  $Q = (0, Y, Z, T)$  gives the equations for  $P_\alpha Q \subset S$  as  $A = B = C = 0$ , where

$$\begin{aligned} A &= Z - 3\alpha^2Y + g(1, \alpha, \alpha^3, 0)T, \\ B &= -3\alpha Y^2 + g_1(1, \alpha, \alpha^3, 0; 0, Y, Z, T)T, \\ C &= -Y^3 + g(0, Y, Z, T)T. \end{aligned}$$

**Step 4 (Elimination calculation)** I now eliminate  $Y, Z, T$  from the above 3 equations, paying attention to the highest powers of  $\alpha$  occurring. Note that since  $g(0, 0, 1, 0) = 1$ , it follows that

$$g(1, \alpha, \alpha^3, 0) = \alpha^6 + \dots = a^{(6)},$$

where  $\dots$  denotes terms of lower degree in  $\alpha$ ; thus  $a^{(6)}$  is monic of degree 6. Then  $A = 0$  gives  $Z$  as a linear form in  $Y$  and  $T$ ,

$$Z = 3\alpha^2Y - a^{(6)}T.$$

Substituting in  $B$ , and using the bilinearity of  $g_1$  gives

$$\begin{aligned} B &= -3\alpha Y^2 + g_1(1, \alpha, \alpha^3, 0; 0, Y, 3\alpha^2Y - a^{(6)}T, T)T \\ &= b_0 Y^2 + b_1 YT + b_2 T^2, \end{aligned}$$

where

$$\begin{aligned} b_0 &= -3\alpha, \quad b_1 = g_1(1, \alpha, \alpha^3, 0; 0, 1, 3\alpha^2, 0) = 6\alpha^5 + \cdots, \\ b_2 &= g_1(1, \alpha, \alpha^3, 0; 0, 0, -a(6), 1) = -2\alpha^9 + \cdots. \end{aligned}$$

Similarly, substituting for  $Z$  in  $C$ , and expanding the quadratic form  $g$  gives

$$C = -Y^3 + g(0, Y, 3\alpha^2 Y - a^{(6)}T, T)T = c_0 Y^3 + c_1 Y^2 T + c_2 Y T^2 + c_3 T^3,$$

where

$$\begin{aligned} c_0 &= -1, \quad c_1 = g(0, 1, 3\alpha^2, 0) = 9\alpha^4 + \cdots, \\ c_2 &= g_1(0, 1, 3\alpha^2, 0; 0, 0, -a(6), 1) = -6\alpha^8 + \cdots, \\ c_3 &= g(0, 0, -a(6), 1) = \alpha^{12} + \cdots. \end{aligned}$$

Now by the result of Ex. 1.10,  $B'$  and  $C'$  have a common zero  $(\eta : \tau)$  if and only if

$$\det \begin{vmatrix} -3\alpha & 6\alpha^5 & -2\alpha^9 & & \\ -3\alpha & 6\alpha^5 & -2\alpha^9 & & \\ -3\alpha & 6\alpha^5 & -2\alpha^9 & & \\ -1 & 9\alpha^4 & -6\alpha^8 & \alpha^{12} & -2\alpha^9 \\ -1 & 9\alpha^4 & -6\alpha^8 & \alpha^{12} & \end{vmatrix} = 0.$$

The determinant is a polynomial in  $\alpha$ , and it's not hard to see that its leading term comes from taking the leading term in each entry of the determinant:

$$\begin{aligned} \det \begin{vmatrix} -3\alpha & 6\alpha^5 & -2\alpha^9 & & \\ -3\alpha & 6\alpha^5 & -2\alpha^9 & & \\ -3\alpha & 6\alpha^5 & -2\alpha^9 & & \\ -1 & 9\alpha^4 & -6\alpha^8 & \alpha^{12} & -2\alpha^9 \\ -1 & 9\alpha^4 & -6\alpha^8 & \alpha^{12} & \end{vmatrix} &= \alpha^{27} \cdot \det \begin{vmatrix} -3 & 6 & 2 & & \\ -3 & 6 & 2 & & \\ -3 & 6 & 2 & & \\ -1 & 9 & -6 & 1 & \\ -1 & 9 & -6 & 1 & \end{vmatrix} \\ &= \alpha^{27}. \end{aligned}$$

This completes the proof of the main claim. Q.E.D.

**Proposition 7.3** *Given a line  $\ell \subset S$ , there exist exactly 5 pairs  $(\ell_i, \ell'_i)$  of lines of  $S$  meeting  $\ell$ , in such a way that*

- (i) for  $i = 1, \dots, 5$ ,  $\ell \cup \ell_i \cup \ell'_i$  is coplanar, and
- (ii) for  $i \neq j$ ,  $(\ell_i \cup \ell'_i) \cap (\ell_j \cup \ell'_j) = \emptyset$ .