

**Proof** Let  $r = \min\{\dim T_P V\}$ , taken over all points  $P \in V$ . Then clearly

$$S(r-1) = \emptyset, \quad S(r) = V, \quad \text{and} \quad S(r+1) \subsetneq V;$$

therefore  $S(r) \setminus S(r+1) = \{P \in V \mid \dim T_P V = r\}$  is open and nonempty. Q.E.D.

## 6.7 $\dim V = \text{tr deg } k(V)$ – the hypersurface case

It follows from Proposition 6.3 that if  $V = V(f) \subset \mathbb{A}^n$  is a hypersurface defined by some nonconstant polynomial  $f$ , then  $\dim V = n-1$ . On the other hand, for a hypersurface,  $k[V] = k[X_1, \dots, X_n]/(f)$ , so that, assuming that  $f$  involves  $X_1$  in a nontrivial way, the function field of  $V$  is of the form

$$k(V) = k(X_2, \dots, X_n)[X_1]/(f),$$

that is, it is built up from  $k$  by adjoining  $n-1$  algebraically independent elements, then making a primitive algebraic extension.

**Definition** If  $k \subset K$  is a field extension, the *transcendence degree* of  $K$  over  $k$  is the maximum number of elements of  $K$  algebraically independent over  $k$ . It is denoted  $\text{tr deg}_k K$ .

The elementary theory of transcendence degree of a field extension  $K/k$  is formally quite similar to that of the dimension of a vector space: given  $\alpha_1, \dots, \alpha_m \in K$ , we know what it means for them to be *algebraically independent* over  $k$  (see (3.13)); they *span* the transcendental part of the extension if  $K/k(\alpha_1, \dots, \alpha_m)$  is algebraic; and they form a *transcendence basis* if they are algebraically independent and span. Then it is an easy theorem that a transcendence basis is a maximal algebraically independent set, and a minimal spanning set, and that any two transcendence bases of  $K/k$  have the same number of elements (see Ex. 6.1).

Thus for a hypersurface  $V \subset \mathbb{A}^n$ ,  $\dim V = n-1 = \text{tr deg}_k k(V)$ . The rest of this section is concerned with proving that the equality  $\dim V = \text{tr deg}_k k(V)$  holds for all varieties, by reducing to the case of a hypersurface. The first thing to show is that for a point  $P \in V$  of a variety, the tangent space  $T_P V$ , which so far has been discussed in terms of a particular coordinate system in the ambient space  $\mathbb{A}^n$ , is in fact an intrinsic property of a neighbourhood of  $P \in V$ .

## 6.8 Intrinsic nature of $T_P V$

From now on, given  $P = (a_1, \dots, a_n) \in V \subset \mathbb{A}^n$ , I take new coordinates  $X'_i = X_i - a_i$  to bring  $P$  to the origin, and thus assume that  $P = (0, \dots, 0)$ . Then  $T_P V \subset \mathbb{A}^n$  is a vector subspace of  $k^n$ .

**Notation** Write  $m_P = \text{ideal of } P \text{ in } k[V]$ , and

$$M_P = \text{the ideal } (X_1, \dots, X_n) \subset k[X_1, \dots, X_n].$$

Then of course  $m_P = M_P/I(V) \subset k[V]$ .

**Theorem** *In the above notation,*

(a) there is a natural isomorphism of vector spaces

$$(T_P V)^* = m_P / m_P^2,$$

where  $()^*$  denotes the dual of a vector space.

(b) If  $f \in k[V]$  is such that  $f(P) \neq 0$ , and  $V_f \subset V$  is the standard affine open as in (4.13), then the natural map

$$T_P(V_f) \rightarrow T_P V$$

is an isomorphism.

**Proof of (a)** Write  $(k^n)^*$  for the vector space of linear forms on  $k^n$ ; this is the vector space with basis  $X_1, \dots, X_n$ . Since  $P = (0, \dots, 0)$ , for any  $f \in k[X_1, \dots, X_n]$ , the linear part  $f_P^{(1)}$  is naturally an element of  $(k^n)^*$ ; define a map  $d: M_P \rightarrow (k^n)^*$  by taking  $f \in M_P$  into  $df = f_P^{(1)}$ .

Now  $d$  is surjective, since the  $X_i \in M_P$  go into the natural basis of  $(k^n)^*$ ; also  $\ker d = M_P^2$ , since

$$\begin{aligned} f_P^{(1)} = 0 &\iff f \text{ starts with quadratic terms in } X_1, \dots, X_n \\ &\iff f \in M_P^2. \end{aligned}$$

Hence  $M_P / M_P^2 \cong (k^n)^*$ . This is statement (a) for the special case  $V = \mathbb{A}^n$ . In the general case, dual to the inclusion  $T_P V \subset k^n$ , there is a restriction map  $(k^n)^* \rightarrow (T_P V)^*$ , taking a linear form  $\lambda$  on  $k^n$  into its restriction to  $T_P V$ ; composing then defines a map

$$D: M_P \rightarrow (k^n)^* \rightarrow (T_P V)^*.$$

The composite  $D$  is surjective since each factor is. I claim that the kernel of  $D$  is just  $M_P^2 + I(V)$ , so that

$$m_P / m_P^2 = M_P / (M_P^2 + I(V)) \cong (T_P V)^*,$$

as required. To prove the claim,

$$\begin{aligned} f \in \ker D &\iff f_P^{(1)}|_{T_P V} = 0 \\ &\iff f_P^{(1)} = \sum_i a_i g_{i,P}^{(1)} \text{ for some } g_i \in I(V) \end{aligned}$$

(since  $T_P V \subset k^n$  is the vector subspace defined by  $(g_P^{(1)} = 0)$  for  $g \in I(V)$ )

$$\iff f - \sum_i a_i g_i \in M_P^2 \text{ for some } g_i \in I(V) \iff f \in M_P^2 + I(V).$$

The proof of (b) of Theorem 6.8 is left to the reader (see Ex. 6.2). Q.E.D.

**Corollary 6.9**  $T_P V$  only depends on a neighbourhood of  $P \in V$  up to isomorphism. More precisely, if  $P \in V_0 \subset V$  and  $Q \in W_0 \subset W$  are open subsets of affine varieties, and  $\varphi: V_0 \rightarrow W_0$  an isomorphism taking  $P$  into  $Q$ , there is a natural isomorphism  $T_P V_0 \rightarrow T_Q W_0$ ; hence  $\dim T_P V_0 = \dim T_Q W_0$ .

In particular, if  $V$  and  $W$  are birationally equivalent varieties then  $\dim V = \dim W$ .