

# Logic - Reviewed Notes

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## NOTES

- Not included any proofs.
- Not included any examples.

# 1 Syntax

## 1.1 General

### Definition 1.1 - Alphabet, $\mathcal{A}$

An *Alphabet* is a set of characters,  $\mathcal{A}$ . These characters do not have any assigned values (yet).

### Definition 1.2 - String

A *String*,  $a := \langle a_1, \dots, a_n \rangle$ , over an alphabet  $\mathcal{A}$  is an element of  $\mathcal{A}^n$  for  $n \in \mathbb{N}$ .

Here  $a$  is said to have *length*  $n$ .

**Remark 1.1** -  $\langle a, b \rangle = \langle \langle a_1, \dots, a_n \rangle, \langle b_1, \dots, b_m \rangle \rangle \neq \langle a_1, \dots, a_n, b_1, \dots, b_m \rangle$

### Definition 1.3 - Set of all Strings, $\mathcal{A}^*$

Let  $\mathcal{A}$  be an *Alphabet*.

We define the set of all strings,  $\mathcal{A}^*$ , over the alphabet as

$$\mathcal{A}^* := \{ \langle a_1, \dots, a_n \rangle : n \in \mathbb{N} \text{ and } a_1, \dots, a_n \in \mathcal{A} \} \equiv \bigcup_{n \in \mathbb{N}} \mathcal{A}^n$$

**Remark 1.2** - If an alphabet,  $\mathcal{A}$ , is countable then  $\mathcal{A}^*$  is countable

Further  $\mathcal{A}^*$  is countably infinite if  $\mathcal{A} \neq \emptyset$ .

### Definition 1.4 - Declarative Sentence

A *Declarative Sentence* is a sentence which is either true or false.

*N.B.* These are the focus of mathematical logic.

## 1.2 First Order Languages

### Definition 1.1 - Common Components of Alphabets

Below are some common classes of characters used in mathematical alphabets

- i) Propositional Connectives (Describe logical relations between predicates).  
'not', 'and', 'or', 'if... then...'
- ii) Quantifiers  
'for all', 'there is'.
- iii) Variables  
'x', 'y', 'z', ...
- iv) Punctuation  
'(', ')', ',', ...
- v) Equality  
'='.
- vi) Constants  
'1', '2', '3', 'e', ...
- vii) Predicates  
' $\prec$ '.
- viii) Functions  
'o'.

**Definition 1.2 - Alphabet of First-Order Language**

The *Alphabet* of a *First-Order Language* comprises the following elements

- i) Propositional Connectives  
 $\neg, \rightarrow$
  - ii) Quantifiers  
 $\forall$
  - iii) Variables  
 $v_1, v_2, \dots$  (Infinitely many).
  - iv) Punctuation  
( ) and ,
  - v) Equality  
 $\equiv$  (This is a 2-arity logical predicate)
  - vi) Constants  
 $c_1, c_2, \dots$  (Countable many since we use countable alphabets).
  - vii) Predicates  
 $P_i^n$  is an  $n$ -arity predicate for  $n \in \mathbb{N}$ .
  - viii) Functions  
 $f_i^n$  is an  $n$ -arity function for  $n \in \mathbb{N}$ .
- i) - v) are *Logical Symbols* & vi) - viii) are *Non-Logical Symbols* of *First-Order Languages*.  
The *Non-Logical Symbols* will vary depending on the subject matter of the language.

**Remark 1.3** -  $\equiv$  is the only logical predicate symbol in FOLs

**Definition 1.3 - Negation,  $\neg$ , and Implication,  $\rightarrow$** 

Let  $P, Q$  be *Predicates*.

$P$	$\neg P$	$P$	$Q$	$P \rightarrow Q$
T	F	T	T	T
T	F	T	F	F
T	T	F	T	T
		F	F	T

**Proposition 1.1 - Extension to Alphabet of First-Order Language**

For conciseness of notation we usually allow the following extra propositional connectives & quantifiers to be used.

- Propositional Connectives  
 $\wedge, \vee$
- Quantifiers  
 $\exists$

**Definition 1.4 - And,  $\wedge$ , and Or,  $\vee$** 

Let  $P, Q$  be *Predicates*.

$P$	$Q$	$P \wedge Q$	$P$	$Q$	$P \vee Q$
T	T	T	T	T	T
T	F	F	T	F	T
F	T	F	F	T	T
F	F	F	F	F	F

**Remark 1.4** -  $P \wedge Q \Leftrightarrow \neg(P \rightarrow \neg Q)$  and  $P \vee Q \Leftrightarrow (\neg P) \rightarrow Q$

**Definition 1.5** -  $\mathcal{L}$ -Term (and  $\mathcal{L}$ -Term Complexity)

Let  $\mathcal{L}$  be a FOL.

We define  $\mathcal{L}$ -Terms &  $\mathcal{L}$ -Term Complexity recursively.

T1 Let  $s$  be a variable or constant symbol.

$s$  is an  $\mathcal{L}$ -Term with  $cp(s) = 0$ .

T2 Let  $f$  be a  $k$ -arity function symbol and  $t_1, \dots, t_k$  be  $\mathcal{L}$ -Terms.

$f(t_1, \dots, t_k)$  is an  $\mathcal{L}$ -Term with  $cp(f) = \max\{cp(t_1), \dots, cp(t_k)\} + 1 \geq 1$ .

*N.B.* By this definition we cannot have infinitely long  $\mathcal{L}$ -Terms.

**Definition 1.6** - Atomic  $\mathcal{L}$ -Term

Let  $\mathcal{L}$  be a FOL and  $t \in Tm_{\mathcal{L}}$ .

$t$  is an Atomic  $\mathcal{L}$ -Term iff  $cp(t) = 0$ .

*i.e.* An Atomic  $\mathcal{L}$ -Term is either a constant or variable symbol.

**Definition 1.7** - Compound  $\mathcal{L}$ -Term

Let  $t \in Tm_{\mathcal{L}}$ .

$t$  is a Compound  $\mathcal{L}$ -Term iff  $cp(t) \geq 1$ .

*i.e.* An Atomic  $\mathcal{L}$ -Term is function symbol.

**Definition 1.8** - Atomic Formulae

Let  $\mathcal{L}$  be a FOL,  $P$  be a  $k$ -arity predicate symbol of  $\mathcal{L}$  and  $t_1, \dots, t_k \in Tm_{\mathcal{L}}$ .

An Atomic Formulae has the form

$$P(t_1, \dots, t_k)$$

*i.e.* Atomic Formulae are predicates on  $\mathcal{L}$ -Terms.

**Definition 1.9** -  $\mathcal{L}$ -Formula (and  $\mathcal{L}$ -Formulae Complexity)

Let  $\mathcal{L}$  be a FOL.

We define  $\mathcal{L}$ -Formulae &  $\mathcal{L}$ -Formulae Complexity recursively

F1 Let  $\phi$  be an Atomic  $\mathcal{L}$ -Formula.

$\phi$  is an  $\mathcal{L}$ -Formula with  $cp(\phi) = 0$ .

F2 Let  $\phi$  be an  $\mathcal{L}$ -Formula.

$\neg\phi$  is an  $\mathcal{L}$ -Formula with  $cp(\neg\phi) = cp(\phi) + 1$ .

F3 Let  $\phi, \psi$  be a  $\mathcal{L}$ -Formulae.

$\phi \rightarrow \psi$  is an  $\mathcal{L}$ -Formula with  $cp(\phi \rightarrow \psi) = \max\{cp(\phi), cp(\psi)\} + 1$ .

F4 Let  $\phi$  be an  $\mathcal{L}$ -Formula &  $x$  be any variable.

$\forall x\phi$  is an  $\mathcal{L}$ -Formula with  $cp(\forall x\phi) = cp(\phi) + 1$ .

*N.B.* By this definition we cannot have infinitely long  $\mathcal{L}$ -Formulae.

**Remark 1.5** -  $\mathcal{L}$ -Term &  $\mathcal{L}$ -Formulae complexity is a measure of syntactic complexity and is unrelated to any semantic meaning.

$\mathcal{L}$ -Formulae complexity is unrelated from the complexity of any terms in it.

**Remark 1.6** -  $F4$  necessitates the use of parentheses

Otherwise  $\phi \rightarrow \psi \rightarrow \theta$  is ambiguous as it could be read as either  $(\phi \rightarrow \psi) \rightarrow \theta$  or  $\phi \rightarrow (\psi \rightarrow \theta)$

which don't necessarily have the same semantic meaning.

**Definition 1.10** - *Compound  $\mathcal{L}$ -Formula*

Let  $\phi \in Fml_{\mathcal{L}}$ .

$\phi$  is a *Compound  $\mathcal{L}$ -Formula* iff  $cp(\phi) \geq 1$ .

### 1.3 Induction

**Theorem 1.1** - *Induction on Terms*

Let  $\mathcal{L}$  be a FOL and  $P$  be a property that  $\mathcal{L}$ -Terms may have.

If

- i) All *Atomic  $\mathcal{L}$ -terms* have  $P$ ; And,
- ii) For all  $k$ -arity function symbols  $f$  of  $\mathcal{L}$  and  $t_1, \dots, t_k \in Tm_{\mathcal{L}}$  which have property  $P$ ,  $f(t_1, \dots, t_k)$  has  $P$ .

Then all  $t \in Tm_{\mathcal{L}}$  have property  $P$ .

**Theorem 1.2** - *Induction on Formulae*

Let  $\mathcal{L}$  be a FOL and  $P$  be a property that  $\mathcal{L}$ -Formulae may have.

If

- i) All *Atomic  $\mathcal{L}$ -Formulae* have  $P$ ; And,
- ii)  $\phi, \psi$  have  $P$  then  $\neg\phi$ ,  $\phi \rightarrow \psi$  and  $\forall x\phi$  (for all variables  $x$ ) have property  $P$ .

Then all  $\phi \in Fml_{\mathcal{L}}$  have property  $P$ .

### 1.4 Free Variables

**Definition 1.1** - *Set of Variables,  $\text{Var}(\cdot)$*

$\text{Var} : \mathcal{A}_{\mathcal{L}}^* \rightarrow 2^{\text{Var}}$  is a function which maps from a string to the set of variables in it.

Variables are defined by the *Alphabet* of the language being used.

**Definition 1.2** - *Closed  $\mathcal{L}$ -Term*

Let  $t \in Tm_{\mathcal{L}}$  for some FOL,  $\mathcal{L}$ .

If  $\text{Var}(t) = \emptyset$  then  $t$  is said to be a *Closed  $\mathcal{L}$ -Term*.

**Definition 1.3** - *Free Variables,  $FV(\cdot)$*

Let  $\mathcal{L}$  be a FOL.

*Free Variables* are unbounded variables in an  $\mathcal{L}$ -Formula.

We define the *Set of Free Variables* of an  $\mathcal{L}$ -Formula inductively

FV1 Let  $P$  be a  $k$ -arity *Predicate* &  $t_1, \dots, t_k \in Tm_{\mathcal{L}}$ .

$$FV(P(t_1, \dots, t_k)) := \text{Var}(P(t_1, \dots, t_k)).$$

FV2 Let  $\phi \in Fml_{\mathcal{L}}$ .

$$FV(\neg\phi) := FV(\phi).$$

FV3 Let  $\phi, \psi \in Fml_{\mathcal{L}}$ .

$$FV(\phi \rightarrow \psi) := FV(\phi) \cup FV(\psi).$$

FV4 Let  $\phi \in Fml_{\mathcal{L}}$  and  $x$  be any variable.

$$FV(\forall x\phi) := FV(\phi) \setminus \{x\}.$$

FV-EXT1 Let  $\phi, \psi \in Fml_{\mathcal{L}}$ .  
 $FV(\phi \wedge \psi) := FV(\phi) \cup FV(\psi)$ .

FV-EXT2 Let  $\phi, \psi \in Fml_{\mathcal{L}}$ .  
 $FV(\phi \vee \psi) := FV(\phi) \cup FV(\psi)$ .

FV-EXT3 Let  $\phi \in Fml_{\mathcal{L}}$  and  $x$  be any variable.  
 $FV(\exists x \phi) := FV(\phi) \setminus \{x\}$ .

N.B.  $FV(\cdot) : \mathcal{A}_{\mathcal{L}}^* \rightarrow 2^{\text{Var}}$ .

**Definition 1.4 -  $\mathcal{L}$ -Sentence**

Let  $\phi \in Fml_{\mathcal{L}}$  for some FOL,  $\mathcal{L}$ .

If  $FV(\phi) = \emptyset$  then  $\phi$  is said to be a  $\mathcal{L}$ -Sentence.

**Remark 1.7 -** The meaning of formulae depends on how we interpret their free variables

## 2 Semantics

**Definition 2.1 -  $\mathcal{L}$ -Structure**

Let  $\mathcal{L}$  be a FOL.

An  $\mathcal{L}$ -Structure assigns meaning to the *Non-Logical* symbols of  $\mathcal{L}$ .

An  $\mathcal{L}$ -Structure is an ordered pair  $\mathfrak{M} := (D, \mathfrak{I})$  where

*Domain*  $D$  is a non-empty set.

Often  $\mathbb{R}$  or similar.

*Interpretation*  $\mathfrak{I}$  is a function over the non-logical symbols of  $\mathcal{L}$ .

$$\begin{aligned} \mathfrak{I}(c) &\in D \text{ where } c \text{ is a constant symbol of } \mathcal{L} \\ \mathfrak{I}(P) &\subset D^n \text{ where } P \text{ is a } k\text{-arity predicate symbol of } \mathcal{L} \\ \mathfrak{I}(f) &: D^n \rightarrow D \text{ where } f \text{ is a } k\text{-arity function symbol of } \mathcal{L} \end{aligned}$$

**Remark 2.1 - Interpretation,  $\mathfrak{I}$**

The *Interpretation* is a function which assigns meaning to non-logical symbols.

$\mathfrak{I}(P)$  gives the property or relation on  $D$  by which  $P$  is interpreted.

$\mathfrak{I}(f)$  gives the function on  $D^n$  by which  $f$  is interpreted.

$\mathfrak{I}(P)$  gives the object in  $D$  which  $c$  denotes.

**Definition 2.2 - Variable Assignment,  $s$**

Let  $\mathcal{L}$  be a FOL and  $\mathfrak{M} := (|\mathfrak{M}|, \mathfrak{I})$  be an  $\mathcal{L}$ -Structure.

A *Variable Assignment* maps variables to a value in the domain of  $\mathfrak{M}$ .

$$s : \text{Var} \rightarrow |\mathfrak{M}|$$

**Definition 2.3 - Variable Assignment for  $\mathcal{L}$ -Terms,  $\bar{s}$**

Let  $\mathcal{L}$  be a FOL and  $\mathfrak{M} := (|\mathfrak{M}|, \mathfrak{I})$  be an  $\mathcal{L}$ -Structure.

We define *Variable Assignment* over  $\mathcal{L}$ -Terms recursively

V1 Let  $x$  be a variable symbol of  $\mathcal{L}$ .

$$\bar{s}(x) := s(x)$$

V2 Let  $c$  be a constant symbol of  $\mathcal{L}$ .

$$\bar{s}(c) := c^{\mathfrak{M}}$$

V3 Let  $f$  be a  $k$ -arity function symbol of  $\mathcal{L}$  and  $t_1, \dots, t_k$  be  $\mathcal{L}$ -Terms.  
 $\bar{s}(f(t_1, \dots, t_k)) := f^M(\bar{s}(t_1), \dots, \bar{s}(t_k))$

N.B.  $\bar{s} : Tm_{\mathcal{L}} \rightarrow |\mathfrak{M}|$ .

**Remark 2.2** -  $\bar{s}(t)$  is the Semantic Value of term  $t$  in struture  $\mathfrak{M}$  under assignement  $s$ .  
 $\bar{s}(t)$  gives a description of what  $t$  designates in  $\mathfrak{M}$  under the assignment  $s$ .

## 2.1 Satisfaction Relation

**Definition 2.1** - *Satisfaction Relation*,  $\models$

Let  $\mathcal{L}$  be a FOL,  $\mathfrak{M}$  be an  $\mathcal{L}$ -Structure and  $s$  a Variable Assignment over  $\mathfrak{M}$ .

The *Satisfaction Relation* (states whether a given formula is true under a given model)??

We define the *Satisfaction Relation*,  $\models$ , recursively

S1 Let  $t_1, t_2 \in Tm_{\mathcal{L}}$ .

$\mathfrak{M}, s \models (t_1 \equiv t_2) :\Leftrightarrow \bar{s}(t_1) = \bar{s}(t_2)$ .

S2 Let  $P$  be a  $k$ -arity predicate symbol of  $\mathcal{L}$  and  $t_1, \dots, t_k \in Tm_{\mathcal{L}}$ .

$\mathfrak{M}, s \models P(t_1, \dots, t_k) :\Leftrightarrow \langle \bar{s}(t_1), \dots, \bar{s}(t_k) \rangle \in P^{\mathfrak{M}}$ .

S3 Let  $\phi \in Fml_{\mathcal{L}}$ .

$\mathfrak{M}, s \models \neg\phi :\Leftrightarrow \mathfrak{M}, s \not\models \phi$ .

S4 Let  $\phi, \psi \in Fml_{\mathcal{L}}$ .

$\mathfrak{M}, s \models (\phi \rightarrow \psi) :\Leftrightarrow$  if  $\mathfrak{M}, s \models \phi$  then  $\mathfrak{M}, s \models \psi$ .

S5 Let  $\phi \in Fml_{\mathcal{L}}$  and  $x$  be any variable.

$\mathfrak{M}, s \models \forall x\phi :\Leftrightarrow \mathfrak{M}, s_x^d \models \phi$  for all  $d \in |\mathfrak{M}|$ .

S-EXT1 Let  $\phi, \psi \in Fml_{\mathcal{L}}$ .

$\mathfrak{M}, s \models (\phi \wedge \psi) :\Leftrightarrow \mathfrak{M}, s \models \phi$  and  $\mathfrak{M}, s \models \psi$ .

S-EXT2 Let  $\phi, \psi \in Fml_{\mathcal{L}}$ .

$\mathfrak{M}, s \models (\phi \vee \psi) :\Leftrightarrow \mathfrak{M}, s \models \phi$  or  $\mathfrak{M}, s \models \psi$ .

S-EXT3 Let  $\phi \in Fml_{\mathcal{L}}$  and  $x$  be any variable.

$\mathfrak{M}, s \models \exists x\phi :\Leftrightarrow \mathfrak{M}, s_x^d \models \phi$  for at least one  $d \in |\mathfrak{M}|$ .

S-EXT2 Let  $\phi, \psi \in Fml_{\mathcal{L}}$ .

$\mathfrak{M}, s \models (\phi \leftrightarrow \psi) :\Leftrightarrow \mathfrak{M}, s \models \phi$  iff  $\mathfrak{M}, s \models \psi$ .

**Remark 2.3** - When  $\mathfrak{M}, s \models \phi$  holds we say “ $\phi$  is true in  $\mathfrak{M}$  under  $s$ ”

Or, “ $\phi$  is satisfied by  $\mathfrak{M}$  under  $s$ ”.

Or, “ $\mathfrak{M}, s$  models  $\phi$ ”.

**Definition 2.2** - *Model*

Let  $\mathcal{L}$  be a FOL,  $\Phi \subseteq Fml_{\mathcal{L}}$ ,  $\mathfrak{M}$  be an  $\mathcal{L}$ -Structure and  $s$  a Variable Assignment.

$\mathfrak{M}, s$  is a *Model* of  $\Phi$  if  $\mathfrak{M}, s \models \Phi$ .

**Remark 2.4** - *Semantic Value of a Term*

Let  $t \in Tm_{\mathcal{L}}$  for some FOL,  $\mathcal{L}$ , and  $s$  be a Variable Assignment.

The semantic value of  $t$ ,  $\bar{s}(t)$ , only depends on

- i) The *Interpretation* of the constant & function symbols that occur in  $t$ . And,
- ii) The *Assignment* of values to variables in  $t$ , given by  $s$ .

**Remark 2.5 - Truth of a Formula**

Let  $\phi \in Fml_{\mathcal{L}}$  for some FOL,  $\mathcal{L}$ .

The truth of  $\phi$  only depends on

- i) The domain of discourse,  $|\mathfrak{M}|$ , over which the quantifiers range
- ii) The *Interpretation* of the constants, functions & predicate symbols in  $\phi$ .
- iii) The *Assignment* of values to *Free Variables* in  $\phi$ , given by  $s$ .

**Theorem 2.1 - Coincidence Lemma**

Let  $\mathcal{L}_1, \mathcal{L}_2$  be unique FOLs,  $\mathfrak{M}_1 := (D, \mathfrak{I}_1)$  be an  $\mathcal{L}_1$ -Structure and  $\mathfrak{M}_2 := (D, \mathfrak{I}_2)$  be an  $\mathcal{L}_2$ -Structure.

Note that both structures have the same domain.

Let  $\mathcal{L} := \mathcal{L}_1 \cap \mathcal{L}_2$ . Then the following are true

- i)  $\forall t \in Tm_{\mathcal{L}}, \forall$  variable assignments  $s_1$  over  $\mathfrak{M}_1$  and  $s_2$  over  $\mathfrak{M}_2$

$$\text{If } \left\{ \begin{array}{l} c^{\mathfrak{M}_1} = c^{\mathfrak{M}_2} \ \forall c \text{ that occur in } t \\ f^{\mathfrak{M}_1} = f^{\mathfrak{M}_2} \ \forall f \text{ that occur in } t \\ s_1(x) = s_2(x) \ \forall x \text{ that occur in } t \end{array} \right\} \text{ then } \overline{s_1}(t) = \overline{s_2}(t).$$

*i.e.* If these conditions hold then  $t$  has the same semantic value under both variable assignments.

- ii)  $\forall \phi \in Fml_{\mathcal{L}}, \forall$  variable assignments  $s_1$  over  $\mathfrak{M}_1$  and  $s_2$  over  $\mathfrak{M}_2$

$$\text{If } \left\{ \begin{array}{l} c^{\mathfrak{M}_1} = c^{\mathfrak{M}_2} \ \forall c \text{ that occur in } \phi \\ f^{\mathfrak{M}_1} = f^{\mathfrak{M}_2} \ \forall f \text{ that occur in } \phi \\ P^{\mathfrak{M}_1} = P^{\mathfrak{M}_2} \ \forall P \text{ that occur in } \phi \\ s_1(x) = s_2(x) \ \forall x \text{ that occur in } \phi \end{array} \right\} \text{ then } \mathfrak{M}_1, s_1 \models \phi \text{ iff } \mathfrak{M}_2, s_2 \models \phi.$$

*i.e.* If these conditions hold  $\phi$  is equivalent truth values under both  $\mathcal{L}$ -structures & variable assignments.

*N.B.* AKA *Reduct Property* of First-Order Logic.

**Remark 2.6 - Semantic Interpretations Closed  $\mathcal{L}$ -Terms &  $\mathcal{L}$ -Sentences**

Let  $\mathcal{L}$  be a FOL,  $t$  be a *Closed  $\mathcal{L}$ -Term*,  $\phi$  be an  $\mathcal{L}$ -Sentence,  $\mathfrak{M}$  be an  $\mathcal{L}$ -Structure.

Let  $s_1, s_2$  be arbitrary *Variable Assignments* over  $\mathfrak{M}$ . Then

$$\overline{s_1}(t) = \overline{s_2}(t) \text{ and } \mathfrak{M}, s_1 \models \phi \text{ iff } \mathfrak{M}, s_2 \models \phi$$

*i.e.* Choice of variable assignment does not affect semantic value of closed  $\mathcal{L}$ -Terms &  $\mathcal{L}$ -Sentences.

**Definition 2.3 - Logical Consequence,  $\Phi \models \phi$** 

Let  $\mathcal{L}$  be a FOL,  $\phi \in Fml_{\mathcal{L}}$  and  $\Phi \subseteq Fml_{\mathcal{L}}$ .

$\phi$  is a *Logical Consequence* of  $\Phi$  iff

$$\forall \mathcal{L}\text{-Structures } \mathfrak{M}, \forall \text{ variable assignments } s \text{ over } \mathfrak{M} \text{ it holds that } (\mathfrak{M}, s \models \Phi) \rightarrow (\mathfrak{M}, s \models \phi).$$

*N.B.* When this is the case, it is denoted  $\Phi \models \phi$ .

*N.B.* AKA “ $\phi$  logically follows from  $\Phi$ ” or “ $\Phi$  logically implies  $\phi$ ”.



**Proposition 2.1** - For unary predicates  $P$ ,  $P(x) \models P(x) \vee P(y)$

**Proposition 2.2** -  $\forall \phi, \psi \in Fml_{\mathcal{L}} \ \& \ \Phi \subseteq Fml_{\mathcal{L}}, \ \Phi, \phi \models \psi$  iff  $\Phi \models \phi \rightarrow \psi$

**Definition 2.4** - *Logically Valid*,  $\models \phi$

Let  $\mathcal{L}$  be a FOL,  $\phi \in Fml_{\mathcal{L}}$ .

$\phi$  is *Logically Valid* iff  $\mathfrak{M}, s \models \phi$  for all  $\mathcal{L}$ -Structures  $\mathfrak{M}$  and variable assignments  $s$  over  $\mathfrak{M}$ .

N.B. This is denoted  $\models \phi$ .

**Definition 2.5** - *Satisfiable*

Let  $\mathcal{L}$  be a FOL,  $\phi \in Fml_{\mathcal{L}}$  and  $\Phi \subseteq Fml_{\mathcal{L}}$ .

$\phi$  is *Satisfiable* iff  $\exists$  an  $\mathcal{L}$ -Structure  $\mathfrak{M}$  and variable assignment  $s$  over  $\mathfrak{M}$ , st  $\mathfrak{M}, s \models \phi$ .

$\Phi$  is *Satisfiable* iff  $\exists$  an  $\mathcal{L}$ -Structure  $\mathfrak{M}$  and variable assignment  $s$  over  $\mathfrak{M}$ , st  $\mathfrak{M}, s \models \Phi$ .

**Theorem 2.2** -

Let  $\mathcal{L}$  be a FOL,  $\phi \in Fml_{\mathcal{L}}$  and  $\Phi \subseteq Fml_{\mathcal{L}}$ .

Then

- i)  $\phi$  is *Logically Valid* iff  $\emptyset \models \phi$ .
- ii)  $\phi$  is *Logically Valid* iff  $\neg\phi$  is not *Satisfiable*.
- iii)  $\Phi \models \phi$  iff  $\Phi \cup \{\neg\phi\}$  is not *Satisfiable*.

**Definition 2.6** - *Logical Equivalence*

Let  $\mathcal{L}$  be a FOL and  $\phi, \psi \in Fml_{\mathcal{L}}$ .

$\phi$  is *Logically Equivalent* to  $\psi$  iff  $\phi \models \psi$  and  $\psi \models \phi$ .

i.e.  $\phi$  is *Logically Equivalent* to  $\psi$  iff  $\models \phi \leftrightarrow \psi$ .

**Proposition 2.3** - *Logical Equivalences*

The following are *Logically Equivalent*

- i)  $((\phi \wedge \psi) \wedge \theta)$  is logically equivalent to  $(\phi \wedge (\psi \wedge \theta))$ .
- ii)  $((\phi \vee \psi) \vee \theta)$  is logically equivalent to  $(\phi \vee (\psi \vee \theta))$ .
- iii)  $\neg\neg\phi$  is logically equivalent to  $\phi$ .
- iv)  $\phi \wedge \psi$  is logically equivalent to  $\neg((\neg\phi) \vee (\neg\psi))$ .

**Definition 2.7** - *True of*,  $\mathfrak{M} \models \phi[a_1, \dots, a_n]$

Let  $\mathcal{L}$  be a FOL,  $\phi \in Fml_{\mathcal{L}}$  with  $FV(\phi) \subset \{x_1, \dots, x_n\}$ .

Let  $\mathfrak{M}$  be an  $\mathcal{L}$ -Structure,  $s_1, s_2$  be variable assignments over  $\mathfrak{M}$  and  $a_1, \dots, a_n \in |\mathfrak{M}|$ .

By the *Concidence Lemma*, **Theorem 2.1**

$$\text{if } s_1(x_i) = s_2(x_i) \ \forall i \in [1, n] \text{ then } \mathfrak{M}, s_1 \models \phi \Leftrightarrow \mathfrak{M}, s_2 \models \phi$$

Equivalently

$$\begin{aligned} & \mathfrak{M}, s \models \phi \text{ for all variable assignments } s \text{ over } \mathfrak{M} \text{ st } s(x_1) = a_1, \dots, s(x_n) = a_n \\ \Leftrightarrow & \mathfrak{M}, s \models \phi \text{ for some variable assignments } s \text{ over } \mathfrak{M} \text{ st } s(x_1) = a_1, \dots, s(x_n) = a_n \end{aligned}$$

We denote these holding by  $\mathfrak{M} \models \phi[a_1, \dots, a_n]$ .

N.B.  $\mathfrak{M} \models \phi[a_1, \dots, a_n]$  means “ $\phi$  is true of the objects  $a_1, \dots, a_n \in \mathfrak{M}$ ”.

## 2.2 Substitution

### Definition 2.1 - Substitution

*Substitution* is the process of replacing one expression with another.

Substituting  $t$  for  $x$  in  $a$  is denoted by  $[a]_x^t$ .

*N.B.* Usually  $t$  is an  $\mathcal{L}$ -term,  $x$  is a variable &  $a$  is an  $\mathcal{L}$ -term or  $\mathcal{L}$ -Formula.

### Definition 2.2 - Substitution of a Term for a Variable in a Term

Let  $\mathcal{L}$  be a FOL,  $a, t \in Tm_{\mathcal{L}}$  and  $x$  be a variable.

We define the *Substitution*  $[a]_x^t$  recursively

Sub-T1 If  $a$  is an *Atomic  $\mathcal{L}$ -Term* then

$$[a]_x^t := \begin{cases} t & \text{if } a = x \\ a & \text{if } a \neq x \end{cases}$$

Sub-T2 If  $a$  is a *Compound  $\mathcal{L}$ -Term* of the form  $a := f(a_1, \dots, a_k)$  where  $a_1, \dots, a_k \in Tm_{\mathcal{L}}$

$$[a]_x^t := f([a_1]_x^t, \dots, [a_k]_x^t)$$

**Remark 2.7** -  $[a]_x^t = a$  for all constant symbols in  $a$

### Definition 2.3 - Substitution of a Term for a Variable in a Formula

Let  $\mathcal{L}$  be a FOL,  $\phi, \psi \in Fml_{\mathcal{L}}$ ,  $t \in Tm_{\mathcal{L}}$  and  $x, z$  be variables.

We define the *Substitution*  $[\phi]_x^t$  recursively

SUB1 If  $\phi$  is an *Atomic  $\mathcal{L}$ -Formula* of the form  $P(a_1, \dots, a_k)$  where  $a_1, \dots, a_k \in Tm_{\mathcal{L}}$ .

$$[\phi]_x^t := P([a_1]_x^t, \dots, [a_k]_x^t)$$

SUB-F2  $[\neg\phi]_x^t := \neg[\phi]_x^t$ .

SUB-F3  $[(\phi \rightarrow \psi)]_x^t := [\phi]_x^t \rightarrow [\psi]_x^t$ .

SUB-F4  $[\forall z\phi]_x^t := \begin{cases} \forall z[\phi]_x^t & \text{if } x \neq z \\ \forall z\phi & \text{if } x = z \end{cases}$ .

SUB-F-EXT1  $[\phi \wedge \psi]_x^t := [\phi]_x^t \wedge [\psi]_x^t$ .

SUB-F-EXT2  $[\phi \vee \psi]_x^t := [\phi]_x^t \vee [\psi]_x^t$ .

SUB-F-EXT3  $[\exists x\phi]_x^t := \begin{cases} \exists x[\phi]_x^t & \text{if } x \neq z \\ \exists x\phi & \text{otherwise} \end{cases}$ .

*N.B.* We never substitute bound variables (only *Free Variables*).

**Proposition 2.4** -  $\forall t \in Tm_{\mathcal{L}}, [t]_x^x = t$

**Proposition 2.5** -  $\forall \phi \in Fml_{\mathcal{L}}, [\phi]_x^x = \phi$

**Proposition 2.6** - If  $x \notin \text{var}(t)$  then  $[a]_x^a = t$

**Proposition 2.7** - If  $x \notin FV(\phi)$  then  $[\phi]_x^a = \phi$

**Proposition 2.8** - Let  $x \notin \text{var}(a)$  then  $x \notin \text{var}([t]_x^a)$  and  $x \notin FV([\phi]_x^a)$

**Definition 2.4 - Substitutable**

Let  $\mathcal{L}$  be a FOL,  $t \in Tm_{\mathcal{L}}$  and  $x$  be a variable.

Let  $\phi, \psi \in Fml_{\mathcal{L}}$ .

We define whether  $t$  is *Substitutable* for a variable  $x$  in a formula  $\phi$  recursively

SU1 If  $\phi$  is an *Atomic  $\mathcal{L}$ -Formula*. Then  $\text{SubSt}(t, x, \phi)$  always.

SU2  $\text{SubSt}(t, x, \neg\phi)$  iff  $\text{SubSt}(t, x, \phi)$ .

SU3  $\text{SubSt}(t, x, \phi \rightarrow \psi)$  iff  $\text{SubSt}(t, x, \phi)$  and  $\text{SubSt}(t, x, \psi)$ .

SU4  $\text{SubSt}(t, x, \forall z\phi)$  iff  $\begin{cases} z \notin \text{var}(t) \text{ and } \text{SubSt}(t, x, \phi) \\ \text{or } x \notin FV(\phi) \end{cases}$

SU-EXT1  $\text{SubSt}(t, x, \phi \wedge \psi)$  iff  $\text{SubSt}(t, x, \phi)$  and  $\text{SubSt}(t, x, \psi)$ .

SU-EXT2  $\text{SubSt}(t, x, \phi \vee \psi)$  iff  $\text{SubSt}(t, x, \phi)$  and  $\text{SubSt}(t, x, \psi)$ .

SU-EXT3  $\text{SubSt}(t, x, \exists z\phi)$  iff  $\begin{cases} z \in \text{var}(t) \text{ and } \text{SubSt}(\phi) \\ \text{or } x \notin FV(\exists z\phi) \end{cases}$

*N.B.* If  $\text{SubSt}(t, x, \phi)$ ,  $t$  is said to be *Free* for  $x$  in  $\phi$ .

**Proposition 2.9** - *Every variable is Substitutable for itself, in all formulae*

**Proposition 2.10** - *If  $x \notin FV(\phi)$  all  $t \in Tm_{\mathcal{L}}$  are Substitutable for  $x$  in  $\Phi$*

**Proposition 2.11** - *If  $\text{var}(t) \cap \text{var}(\phi) = \emptyset$  then  $t$  is substitutable for any variable in  $\phi$ .*  
Notably, every closed  $\mathcal{L}$ -term is substitutable for any variable in any formula.

**Proposition 2.12** - *Substitution order doesn't matter*

Let  $\mathcal{L}$  be a FOL,  $\mathfrak{M}$  be an  $\mathcal{L}$ -structure,  $s$  be a variable assignment and  $d_1, \dots, d_k \in |\mathfrak{M}|$ .

Let  $x_1, \dots, x_k$  be distinct variables and  $\pi$  be a permutation over  $k$ . Then

$$\left( \left( \dots \left( s \frac{d_1}{x_1} \right) \dots \right) \frac{d_{k-1}}{x_{k-1}} \right) \frac{d_k}{x_k} = \left( \left( \dots \left( s \frac{d_{\pi(1)}}{x_{\pi(1)}} \right) \dots \right) \frac{d_{\pi(k-1)}}{x_{\pi(k-1)}} \right) \frac{d_{\pi(k)}}{x_{\pi(k)}}$$

**Theorem 2.3** - *Substitution Lemma*

Let  $\mathcal{L}$  be a FOL,  $\mathfrak{M}$  be an  $\mathcal{L}$ -Structure,  $t \in Tm_{\mathcal{L}}$ ,  $\phi \in Fml_{\mathcal{L}}$  and  $x$  be a variable.

i) For every variable assignment  $s$  over  $\mathfrak{M}$ ,  $\forall a \in Tm_{\mathcal{L}}$

$$\bar{s}([a] \frac{t}{x}) = \overline{s \frac{\bar{s}(t)}{x}}(a)$$

ii) For every variable assignment  $s$  over  $\mathfrak{M}$ ,  $\forall a \in Tm_{\mathcal{L}}$  where  $a$  is *Substitutable* for  $x$  in  $\phi$

$$\mathfrak{M}, s \models \phi \frac{t}{x} \quad \text{iff} \quad \mathfrak{M}, s \frac{\bar{s}(t)}{x} \models \phi$$

**Proposition 2.13** -  $\forall t \in Tm_{\mathcal{L}}$  if  $t$  is substitutable for  $x$  then  $\models (\forall x\phi \rightarrow [\phi] \frac{t}{x})$  for all  $t \in Tm_{\mathcal{L}}$

**Proposition 2.14** -  $\forall \phi \in Fml_{\mathcal{L}}$  if  $t$  is substitutable for  $x$  then  $\models ([\phi] \frac{t}{x} \rightarrow \exists x\phi)$  for all  $t \in Tm_{\mathcal{L}}$

## 2.3 Homomorphism

### Definition 2.1 - Homomorphism

Let  $\mathcal{L}$  be a FOL and  $\mathfrak{M}_1, \mathfrak{M}_2$  be  $\mathcal{L}$ -Structures.

A function  $H : \mathfrak{M}_1 \rightarrow \mathfrak{M}_2$  is a *Homomorphism* if it fulfils the following

- $H(c^{\mathfrak{M}_1}) = c^{\mathfrak{M}_2}$  for all constant symbols,  $c$ , of  $\mathcal{L}$ .
- $H(f^{\mathfrak{M}_1}(t_1, \dots, t_k)) = f^{\mathfrak{M}_2}(H(t_1), \dots, H(t_k))$  for all  $k$ -arity function symbols  $f$  of  $\mathcal{L}$  and  $t_1, \dots, t_k \in |\mathfrak{M}_1|$ .
- $\langle t_1, \dots, t_k \rangle \in P^{\mathfrak{M}_1} \Leftrightarrow \langle H(t_1), \dots, H(t_k) \rangle \in P^{\mathfrak{M}_2}$  for all  $k$ -arity predicates symbols  $P$  of  $\mathcal{L}$  and  $t_1, \dots, t_k \in |\mathfrak{M}_1|$ .  
i.e.  $\langle t_1, \dots, t_k \rangle$  has property  $P^{\mathfrak{M}_1}$  iff  $\langle H(t_1), \dots, H(t_k) \rangle$  has property  $P^{\mathfrak{M}_2}$ .

### Theorem 2.4 - Semantic Value of a Homomorphism

Let  $\mathcal{L}$  be a FOL,  $\mathfrak{M}_1, \mathfrak{M}_2$  be  $\mathcal{L}$ -Structures and  $s$  be a variable assignment over  $\mathfrak{M}_1$ .

Let  $H$  be a *Homomorphism* from  $\mathfrak{M}_1$  to  $\mathfrak{M}_2$ .

Then,  $\forall t \in Tm_{\mathcal{L}}$

$$H \circ \bar{s}(t) = \overline{H \circ s}(t)$$

### Definition 2.2 - Isomorphism

Let  $H$  be a *Homomorphism*.

$H$  is an *Isomorphism* if it is *Bijective*.

*N.B.* If there exists an *Isomorphism* between  $\mathfrak{M}_1$  and  $\mathfrak{M}_2$  they are said to be *Isomorphic*.

### Definition 2.3 - Substructure

Let  $\mathcal{L}$  be a FOL and  $\mathfrak{M}_1, \mathfrak{M}_2$  be  $\mathcal{L}$ -Structures.

$\mathfrak{M}_1$  is a *Substructure* of  $\mathfrak{M}_2$  if

- $|\mathfrak{M}_1| \subset |\mathfrak{M}_2|$ . And,
- The function  $i(d) = d \ \forall d \in |\mathfrak{M}_1|$  is a *Homomorphism*

*N.B.*  $\mathfrak{M}_2$  is called an *Extension* of  $\mathfrak{M}_1$ .

### Definition 2.4 - Elementary Equivalence

Let  $\mathcal{L}$  be a FOL and  $\mathfrak{M}_1, \mathfrak{M}_2$  be  $\mathcal{L}$ -Structures.

$\mathfrak{M}_1$  and  $\mathfrak{M}_2$  are *Elementary Equivalent* if

$$\mathfrak{M}_1 \models \sigma \Leftrightarrow \mathfrak{M}_2 \models \sigma \quad \forall \sigma \in Sent_{\mathcal{L}}$$

### Proposition 2.15 - Isomorphic $\mathcal{L}$ -Structures are Elementary Equivalence

### Definition 2.5 - Elementary Embedding

Let  $\mathcal{L}$  be a FOL and  $\mathfrak{M}_1, \mathfrak{M}_2$  be  $\mathcal{L}$ -Structures.

An *Elementary Embedding* of  $\mathfrak{M}_1$  in  $\mathfrak{M}_2$  is a function  $H : |\mathfrak{M}_1| \rightarrow |\mathfrak{M}_2|$  st

$$\forall \phi \in Fml_{\mathcal{L}}, \forall \text{ variable assignments } s \text{ over } \mathfrak{M}_1 \quad \mathfrak{M}_1, s \models \phi \Leftrightarrow \mathfrak{M}_2, H \circ s \models \phi$$

*N.B.*  $H \circ s : \text{Var} \rightarrow |\mathfrak{M}_2|$ .

*N.B.* If there exists an *Elementary Embedding* of  $\mathfrak{M}_1$  in  $\mathfrak{M}_2$ , then  $\mathfrak{M}_1$  and  $\mathfrak{M}_2$  are *Elementary Equivalent*.

### Proposition 2.16 - An Isomorphism is an Elementary Embedding

### Proposition 2.17 - An Elementary Embedding of $\mathfrak{M}_1$ in $\mathfrak{M}_2$ is an Injective Homomorphism from $\mathfrak{M}_1$ to $\mathfrak{M}_2$

*N.B.* The converse may not be true.

## 2.4 Definable

### Definition 2.1 - Definable

Let  $\mathcal{L}$  be a FOL,  $\mathfrak{M}$  be an  $\mathcal{L}$ -Structure and  $\mathcal{R}$  be a  $k$ -arity relation on  $|\mathfrak{M}|$ .

$\mathcal{R}$  is *Definable* in  $\mathfrak{M}$  if  $\exists \phi \in Fml_{\mathcal{L}}$  where  $FV(\phi) \subset \{x_1, \dots, x_k\}$  and  $\forall a_1, \dots, a_k \in |\mathfrak{M}|$  it holds that

$$\langle a_1, \dots, a_k \rangle \in \mathcal{R} \quad \text{iff} \quad \mathfrak{M} \models \phi[a_1, \dots, a_k]$$

*N.B.*  $\mathcal{R}$  is **not** a predicate and is **not** related to any symbols in  $\mathfrak{M}$ .

*N.B.* We say  $\mathcal{R}$  is *defined by*  $\phi$  in  $\mathfrak{M}$ .

**Proposition 2.18** -  $\mathcal{R}$  is defined by  $\phi$  in  $\mathfrak{M}$  iff  $\mathfrak{M}, s \models \phi \Leftrightarrow \langle s(x_1), \dots, s(x_k) \rangle \in \mathcal{R}$  for all variable assignments  $s$  over  $\mathfrak{M}$ .

## 0 Appendix

### 0.1 Standard Models

**Definition 0.1** - *Standard Model of Arithmetic*

Let language of arithmetic is  $\mathcal{L}_{\mathbb{N}} := \{<, S, +, \cdot, E, \bar{0}\}$  where

- $<$  is a binary relation symbol.
- $S$  is a unary function symbol.
- $+, \cdot, E$  are binary function symbols.
- $\bar{0}$  is the constant symbol for  $0 \in \mathbb{N}$ .

Let  $\mathfrak{M}$  be a  $\mathcal{L}_{\mathbb{N}}$ -Structure with the domain  $|\mathfrak{M}| = \mathbb{N}$  defined as

- $<$  is interpreted as the usual ‘less-than’ relation on  $\mathbb{N}$ .

$$i.e. \langle x, y \rangle \in <^{\mathfrak{M}} \Leftrightarrow x < y$$

- $S$  is interpreted as the *successor function* ‘+1’ on  $\mathbb{N}$ .

$$i.e. S^{\mathfrak{M}}(n) = n + 1$$

- $+, \cdot, E$  are interpreted as the usual ‘addition’, ‘multiplication’ and ‘exponentiation’ on  $\mathbb{N}$  respectively.

$$i.e. E^{\mathfrak{M}}(n, m) = n^m$$

- $\bar{0}$  is interpreted as the natural numbers 0.

### 0.2 Notation

**Proposition 0.1** - *Formal Notation*

Notation	Use
$\langle a_1, \dots, a_n \rangle$	A string of length $n$
$\langle a, b \rangle$	Two consecutive strings
$a * b$	Concatenation of two strings
$\mathcal{A}^*$	Set of all strings over alphabet $\mathcal{A}$
$\mathcal{A}_{\mathcal{L}}$	Alphabet of language $\mathcal{L}$
$Tm_{\mathcal{L}}$	Set of $\mathcal{L}$ -Terms of language $\mathcal{L}$
$Fml_{\mathcal{L}}$	Set of $\mathcal{L}$ -Formulae of language $\mathcal{L}$
$Var$	Set of variables in the alphabet??
$Sent_{\mathcal{L}}$	Set of $\mathcal{L}$ -Sentences of language $\mathcal{L}$
$\rightarrow$	Implication
$\leftrightarrow$	Equivalence
$\vee$	Or
$\wedge$	And
$\forall$	For all
$\exists$	There exists
$\exists!$	There exists a unique
$\bar{+}$	Syntactic $+$ , has no semantic value. -signals this for all symbols
$:\Leftrightarrow$	Defined to have same logical value (true or false)
$\Phi \models \phi$	$\phi \in Fml_{\mathcal{L}}$ is a logical consequence of $\Phi \subseteq Fml_{\mathcal{L}}$ .
$\models \phi$	$\phi \in Fml_{\mathcal{L}}$ is logically valid.
$\mathfrak{M}_1 \cong \mathfrak{M}_2$	Structures $\mathfrak{M}_1$ and $\mathfrak{M}_2$ are isomorphic.
$\mathfrak{M} \models \phi[a_1, \dots, a_n]$	$\phi$ is true for the objects $a_1, \dots, a_n \in  \mathfrak{M} $ .

**Proposition 0.2 - Conventional Notation**

Notation	Use
$\mathcal{A}$	Alphabet
$\mathcal{L}$	Language (First-Order)
$t$	Term
$\phi, \psi, \theta, \chi$	Formulae
$x \circ y$	$\circ(x, y)$ where $\circ$ is a function or predicate
$c \not\prec d$	$\neg \prec(c, d)$
$\mathfrak{M}$	$\mathcal{L}$ -Structure
$\mathfrak{I}$	Interpretation from an $\mathcal{L}$ -Structure
$D$ or $ \mathfrak{M} $	Domain of an $\mathcal{L}$ -Structure
$P^{\mathfrak{M}}$	$\mathfrak{I}(P)$
$f^{\mathfrak{M}}$	$\mathfrak{I}(f)$
$c^{\mathfrak{M}}$	$\mathfrak{I}(c)$
$\mathfrak{M} \models \phi$	$\mathfrak{M}, s \models \phi \forall s$ over $\mathfrak{M}$ since $\phi$ is an $\mathcal{L}$ -sentence.
$t^{\mathfrak{M}}$	$d \in  \mathfrak{M} $ st $\bar{s}(t) = d \forall s$ over $\mathfrak{M}$ since $t$ is a Closed $\mathcal{L}$ -Term.
$\text{SubSt}(t, x, \phi)$	$t \in Tm_{\mathcal{L}}$ is substitutable for $x \in \text{Var}$ in $\phi \in Fml_{\mathcal{L}}$ .

**0.3 Definitions****Definition 0.1 - Arity**

The *Arity* of a function is the number of arguments it takes.

*N.B.* Unary, Binary, Ternary, Quaternary, ...

**Definition 0.2 - Countable Set**

Let  $X$  be a set.

$X$  is *Countable* if

$$\begin{aligned} & \exists f : \mathbb{N} \rightarrow X \text{ st } f \text{ is surjective.} \\ \text{Or } & \exists f : X \rightarrow \mathbb{N} \text{ st } f \text{ is injective.} \end{aligned}$$

**Definition 0.3 - Predicate**

A *Predicate* is an expression over a set of variables and returns a logical conclusion (*i.e.* True or False).

*N.B.* Practically a function from set of variables to true or false.

## 0.4 Identities

**Theorem 0.1 - Complex Connectives & Quantifiers in terms of FOL**

Term	In FOL
$\exists x, P(x)$	$\neg(\forall x, \neg P(x))$
$P \vee Q$	$(\neg P) \rightarrow Q$
$P \wedge Q$	$\neg(P \rightarrow \neg Q)$
$P \leftrightarrow Q$	$(P \rightarrow Q) \wedge (Q \rightarrow P)$ $\Leftrightarrow \neg((P \rightarrow Q) \rightarrow \neg(Q \rightarrow P))$

## 0.5 Techniques

**Proposition 0.3 - Induction on Terms**

**Proposition 0.4 - Induction on Formulae**