上海交通大學
Shanghai Jiao Tong University

Computer Networks CS3611

Transport Layer-Part 2

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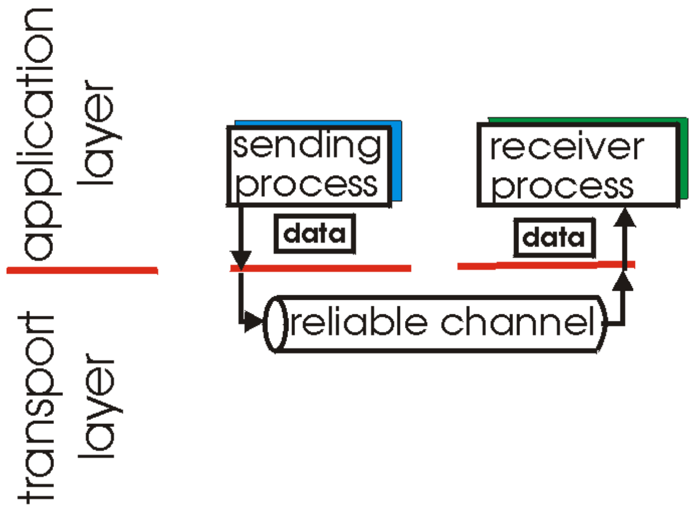
The slides are adapted from those provided by Prof. Romit Roy Choudhury.

Chapter 3 outline

Principles of Reliable data transfer

- top-10 list of important networking topics!

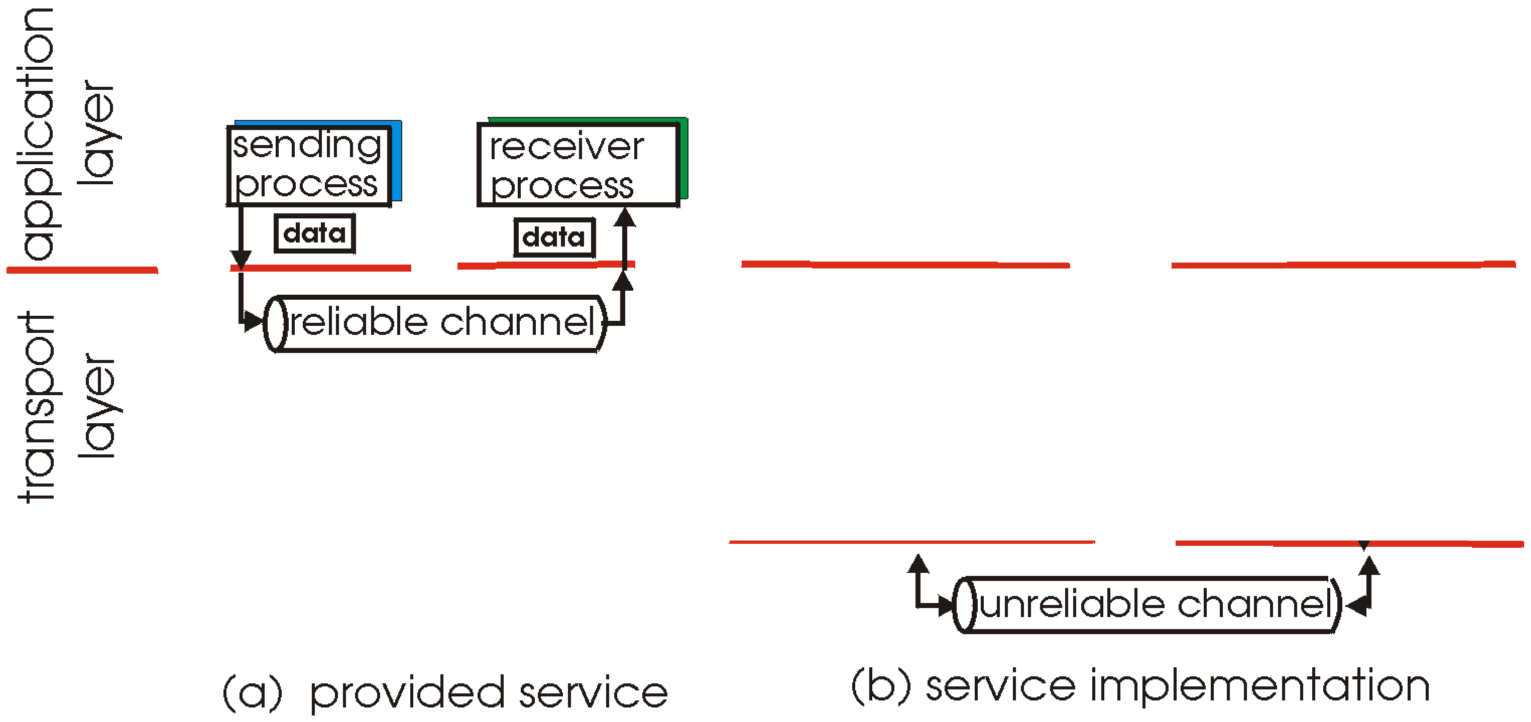


(a) provided service

- characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt)

Principles of Reliable data transfer

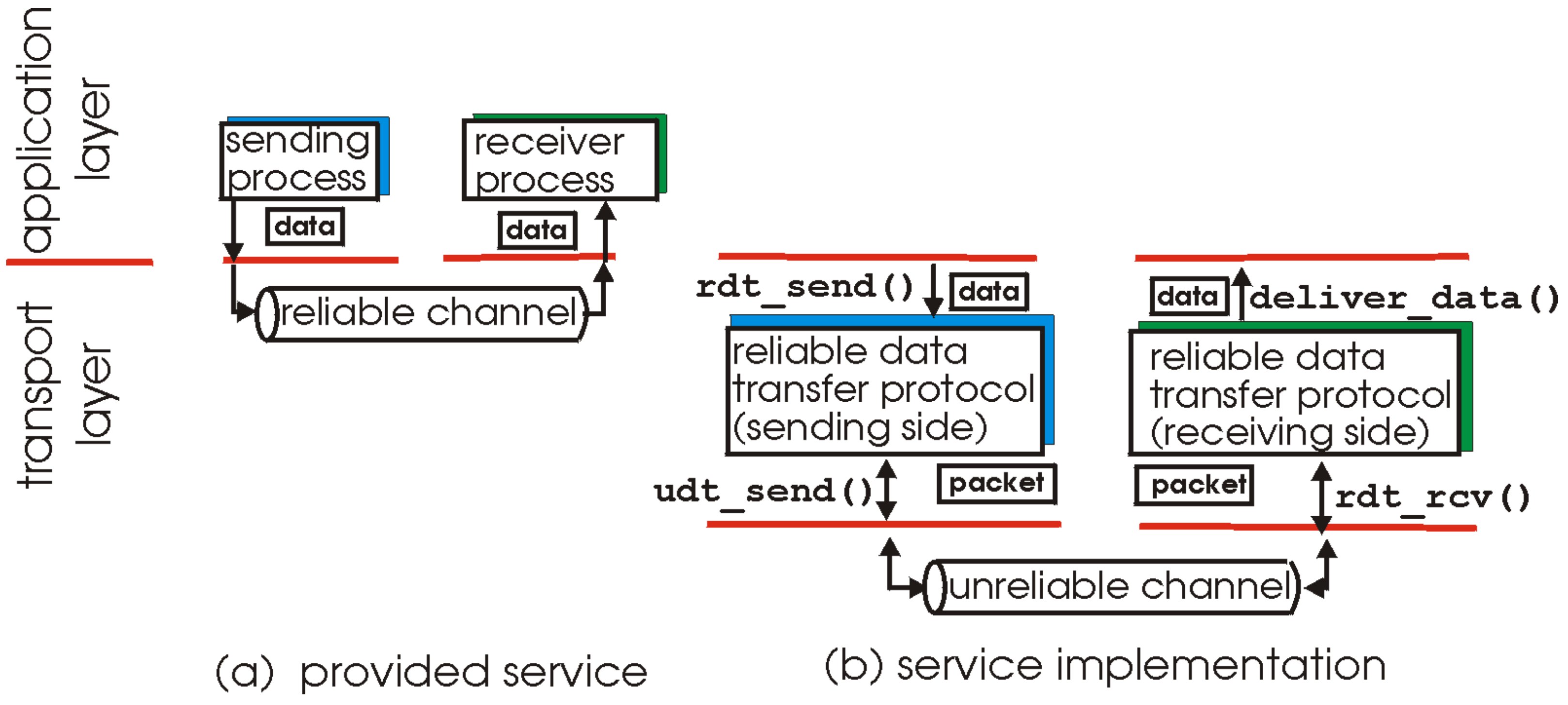
- top-10 list of important networking topics!



- characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt)

Principles of Reliable data transfer

- top-10 list of important networking topics!

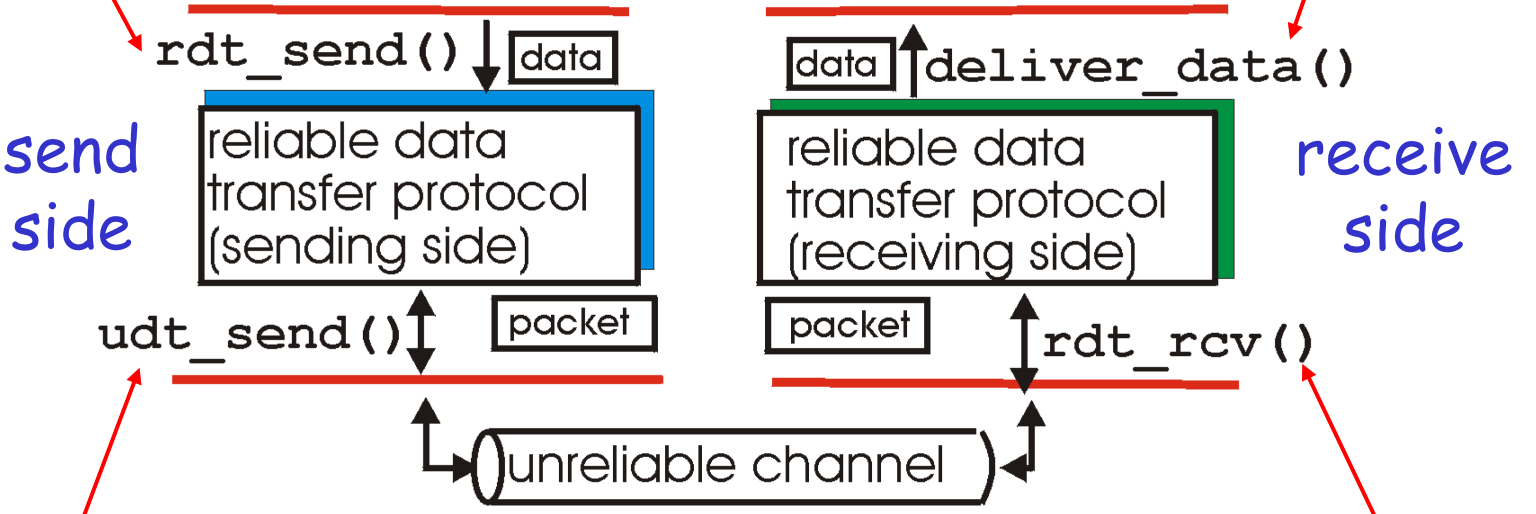


- characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt)

Reliable data transfer: getting started

rdt_send(): called from above, (e.g., by app.). Passed data to deliver to receiver upper layer

deliver_data(): called by **rdt** to deliver data to upper



udt_send(): called by rdt, to transfer packet over unreliable channel to receiver

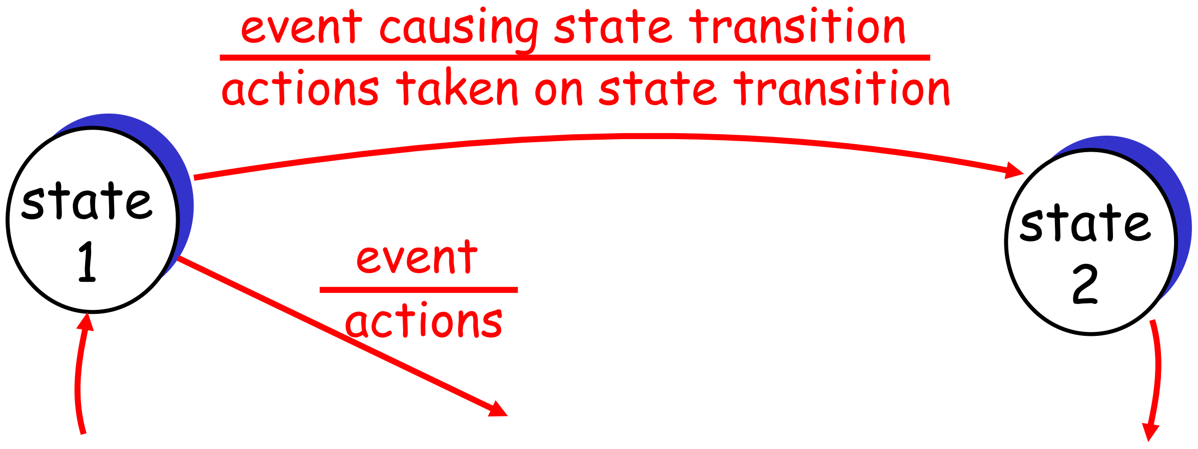
rdt_rcv(): called when packet arrives on rcv-side of channel

Reliable data transfer: getting started

We'll:

- incrementally develop sender, receiver sides of reliable data transfer protocol (rdt)
- consider only unidirectional data transfer
  - but control info will flow on both directions!
- use finite state machines (FSM) to specify sender, receiver

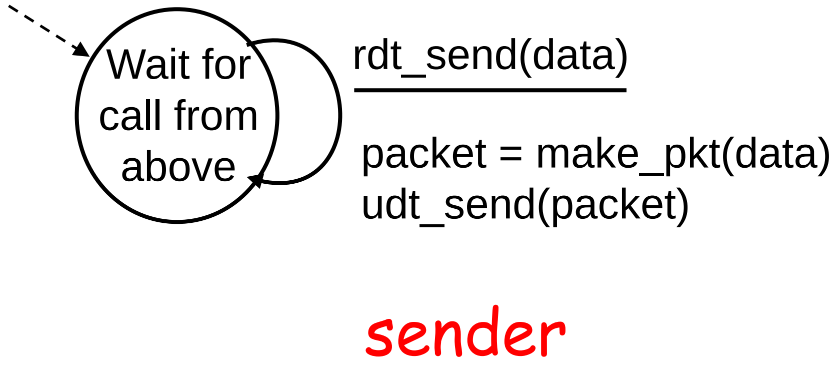
state: when in this "state" next state uniquely determined by next event

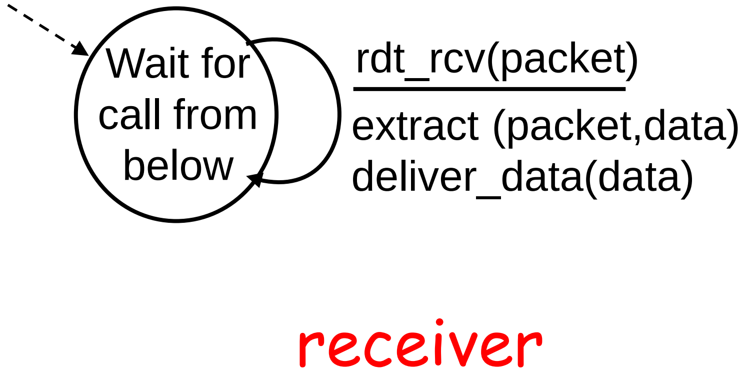


event causing state transition
actions taken on state transition
state 1
event
actions
state 2

Rdt1.0: reliable transfer over a reliable channel

- underlying channel perfectly reliable
  - no bit errors
  - no loss of packets
- separate FSMs for sender, receiver:
  - sender sends data into underlying channel
  - receiver read data from underlying channel

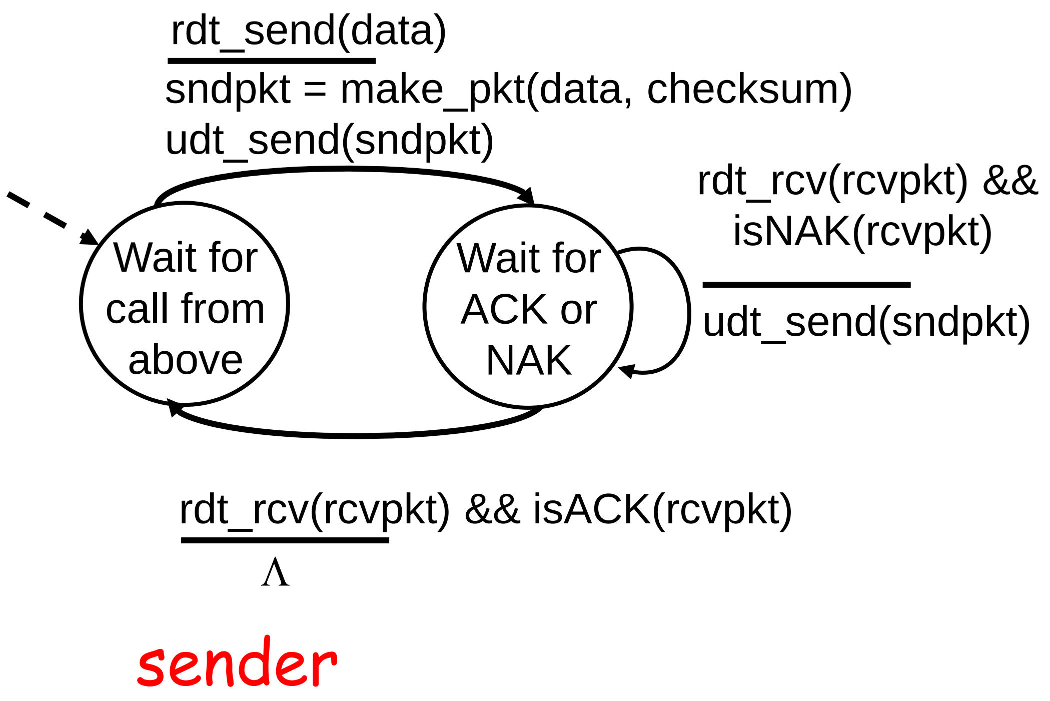


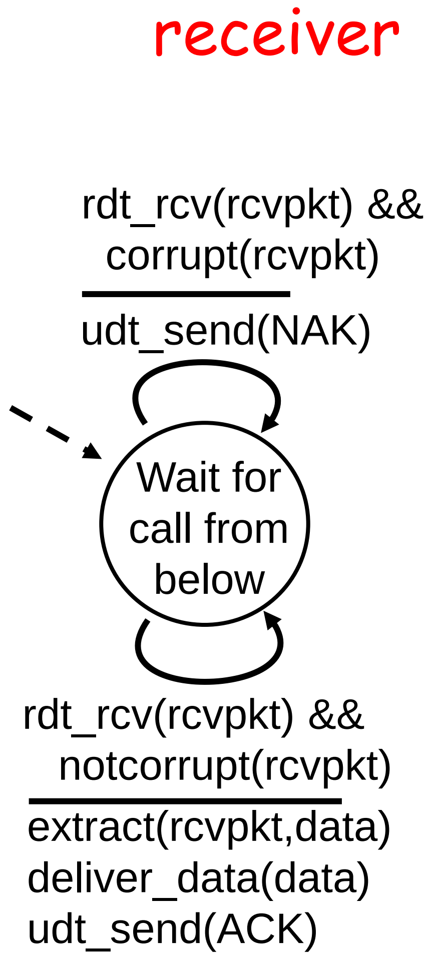


Rdt2.0: channel with bit errors

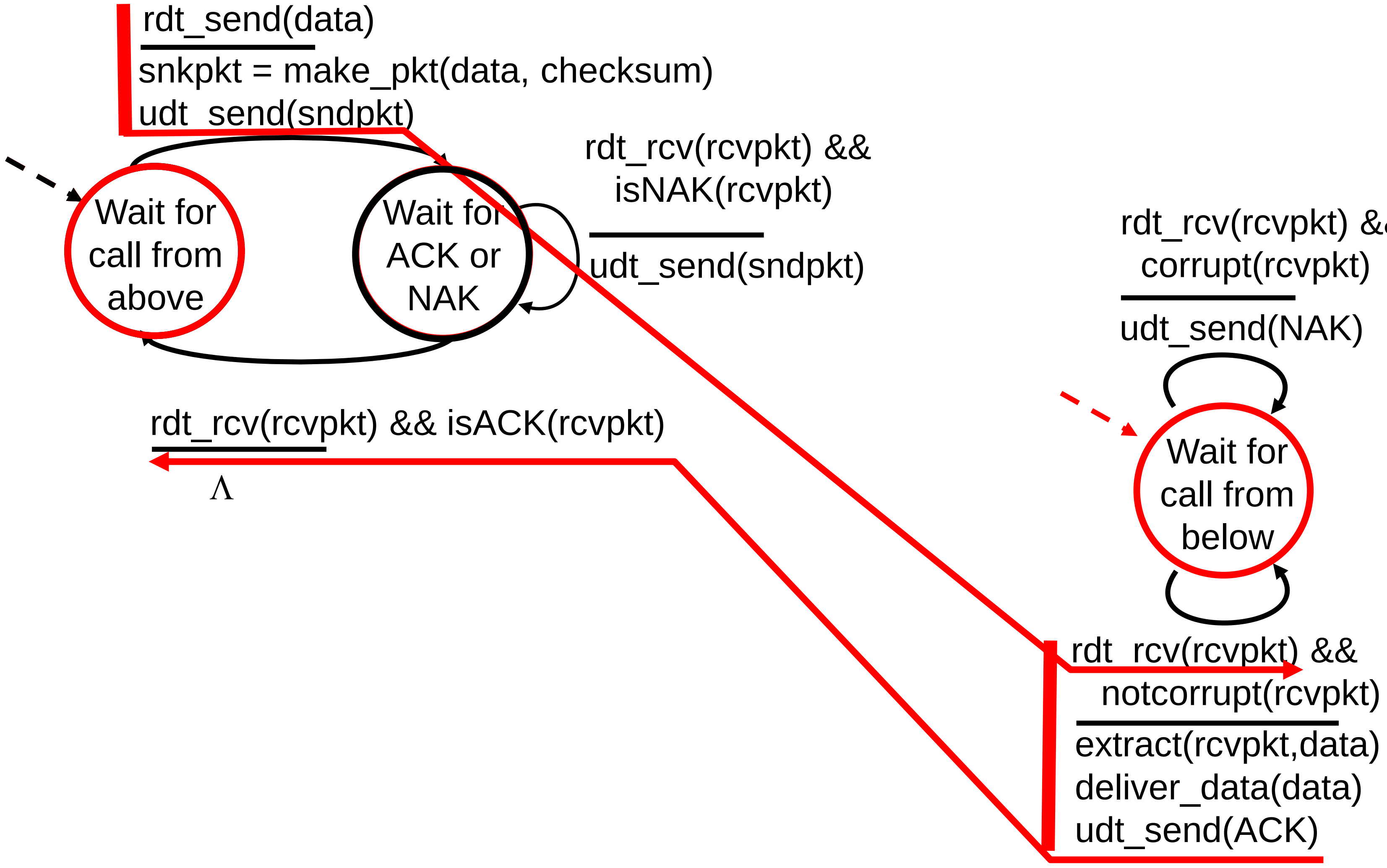
- underlying channel may flip bits in packet
  - checksum to detect bit errors
- *the* question: how to recover from errors:
  - *acknowledgements (ACKs):* receiver explicitly tells sender that pkt received OK
  - *negative acknowledgements (NAKs):* receiver explicitly tells sender that pkt had errors
  - sender retransmits pkt on receipt of NAK
- new mechanisms in **`rdt2.0`** (beyond **`rdt1.0`**):
  - error detection
  - receiver feedback: control msgs (ACK,NAK) rcvr->sender

rdt2.0: FSM specification

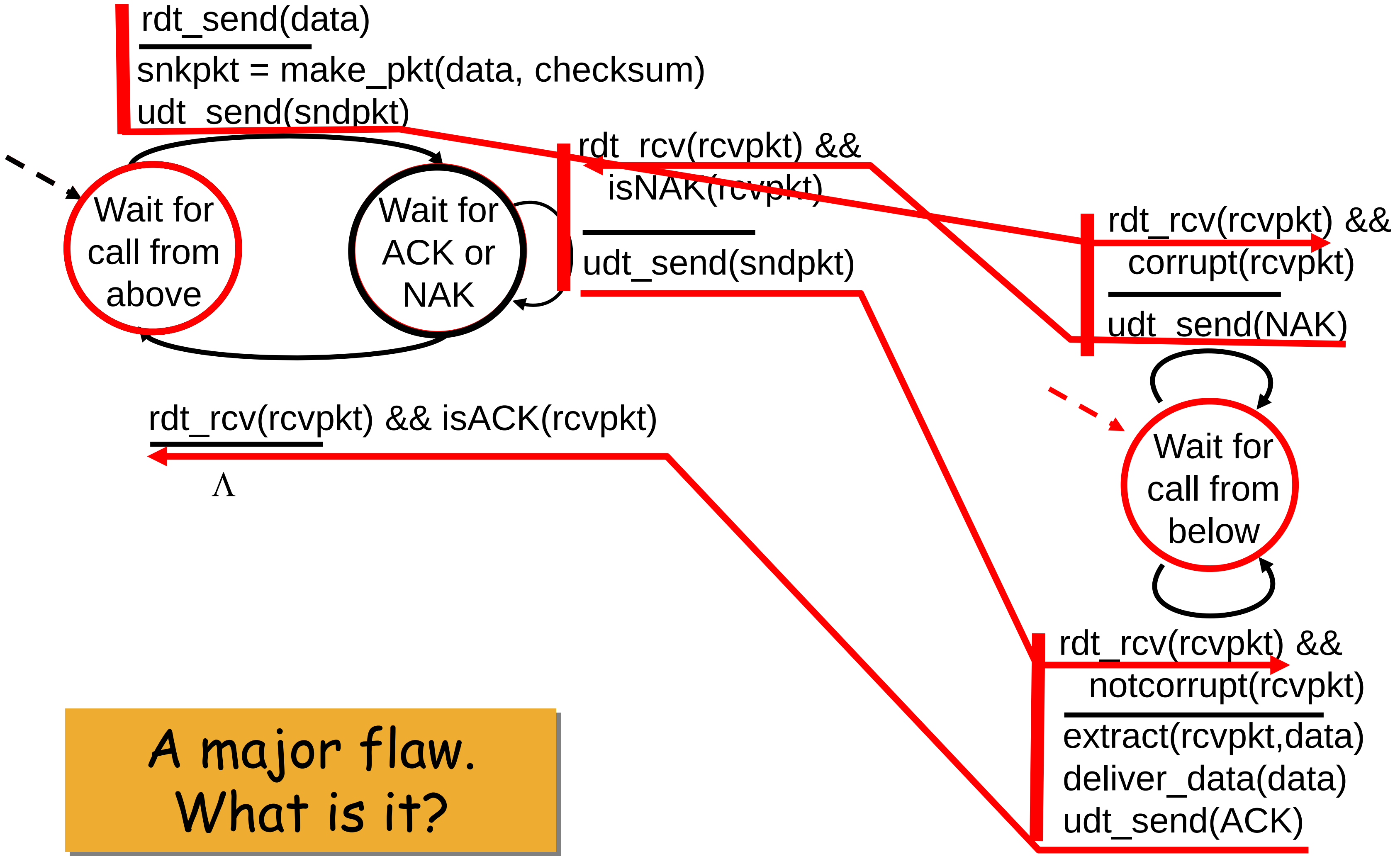

rdt_send(data)
sndpkt = make_pkt(data, checksum)
udt_send(sndpkt)
rdt_rcv(rcvpkt) && isNAK(rcvpkt)
udt_send(sndpkt)
Wait for call from above
Wait for ACK or NAK
rdt_rcv(rcvpkt) && isACK(rcvpkt)
Λ
sender



rdt2.0: operation with no errors



rdt2.0: error scenario



A major flaw.
What is it?

rdt2.0 has a fatal flaw!

What happens if ACK/NAK corrupted?

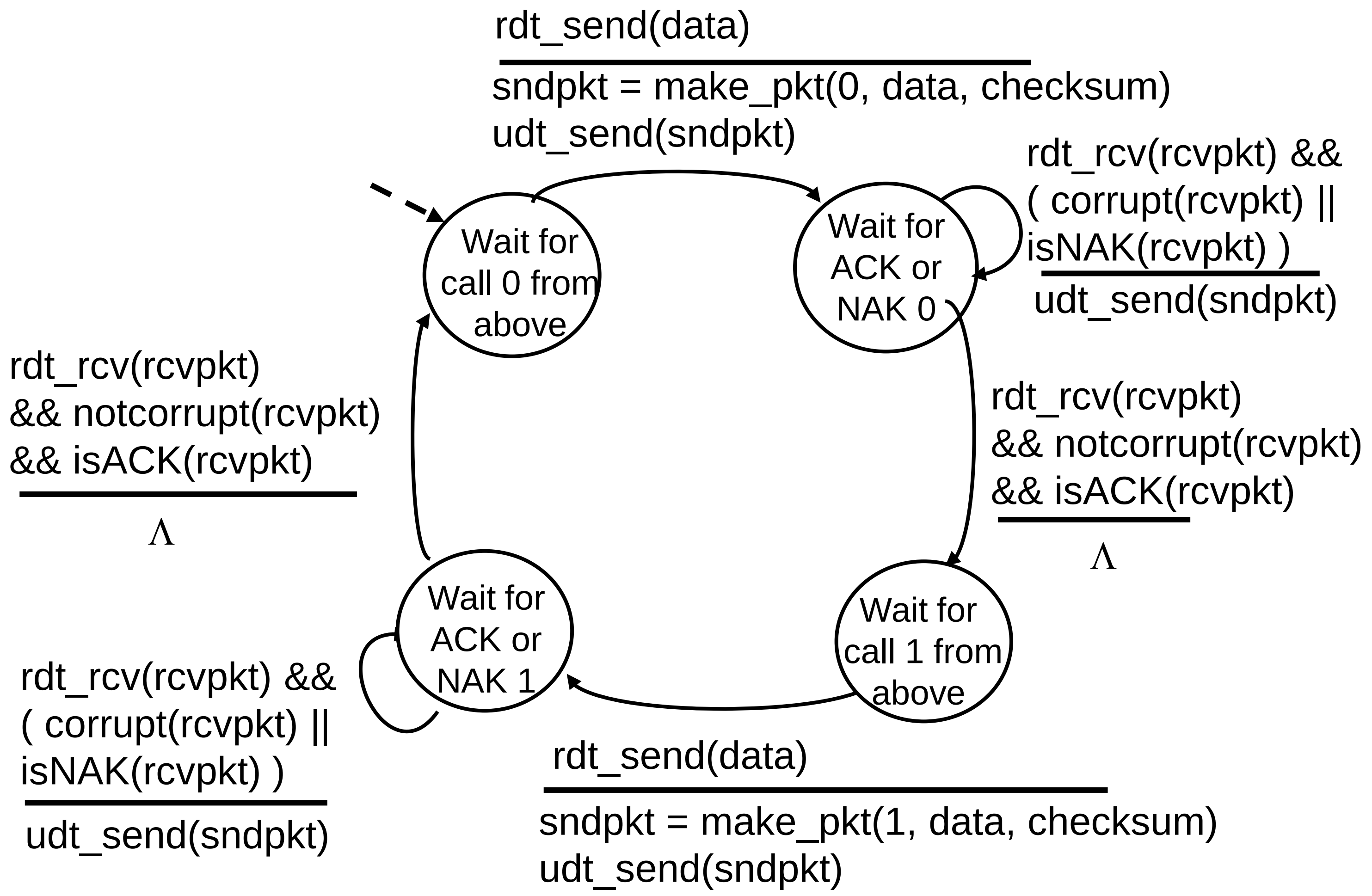
- sender doesn’t know what happened at receiver!
- can’t just retransmit: possible duplicate

Handling duplicates:

- sender retransmits current pkt if ACK/NAK garbled
- sender adds ***sequence number*** to each pkt
- receiver discards (doesn’t deliver up) duplicate pkt

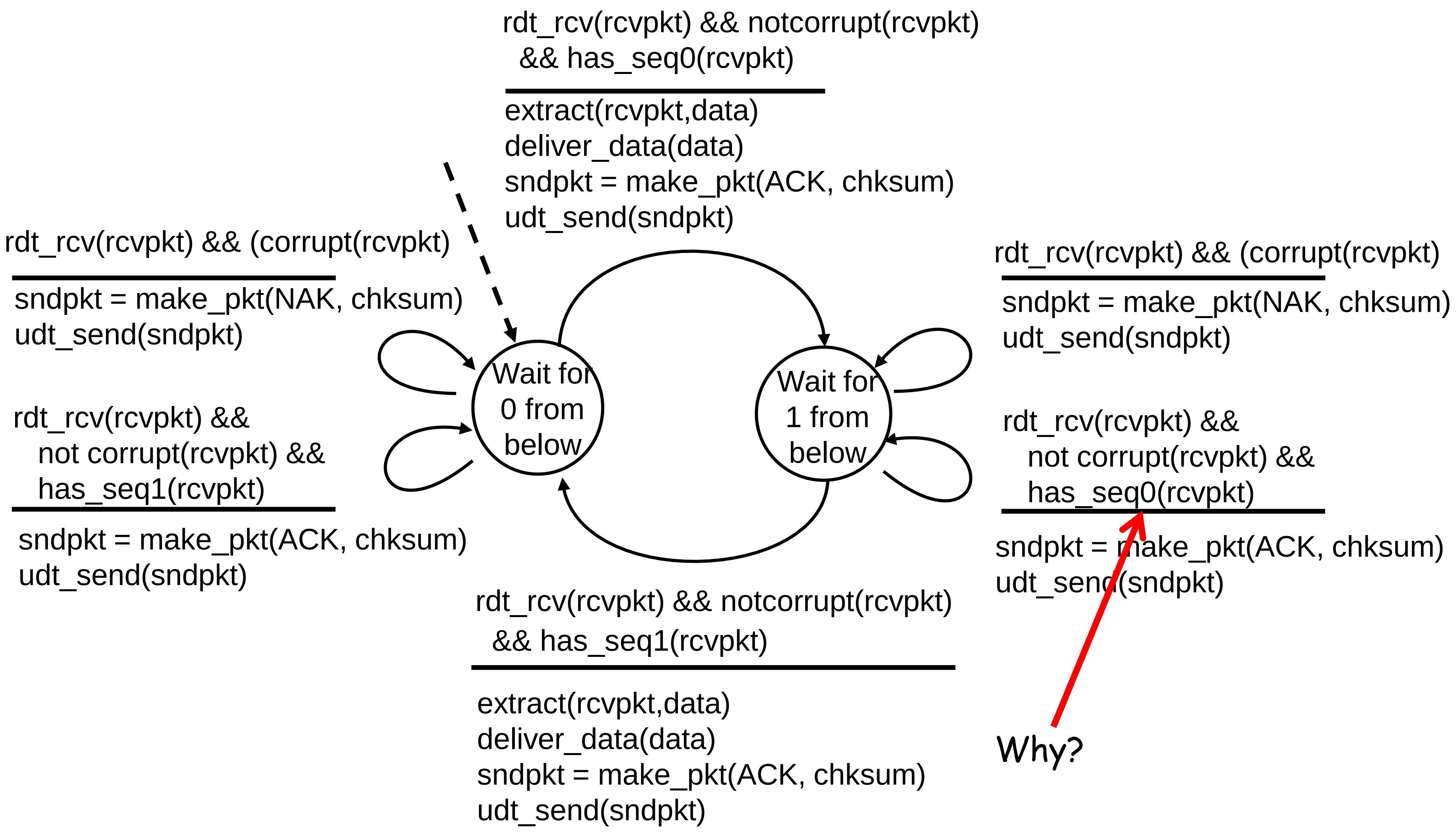
stop and wait
Sender sends one packet, then waits for receiver response

rdt2.1: sender, handles garbled ACK/NAKs



rdt_send(data)
sndpkt = make_pkt(0, data, checksum)
udt_send(sndpkt)
Wait for call 0 from above
Wait for ACK or NAK 0
rdt_rcv(rcvpkt) && (corrupt(rcvpkt) || isNAK(rcvpkt))
udt_send(sndpkt)
rdt_rcv(rcvpkt) && notcorrupt(rcvpkt) && isACK(rcvpkt)
Λ
Wait for call 1 from above
rdt_send(data)
sndpkt = make_pkt(1, data, checksum)
udt_send(sndpkt)
Wait for ACK or NAK 1
rdt_rcv(rcvpkt) && (corrupt(rcvpkt) || isNAK(rcvpkt))
udt_send(sndpkt)
rdt_rcv(rcvpkt) && notcorrupt(rcvpkt) && isACK(rcvpkt)
Λ

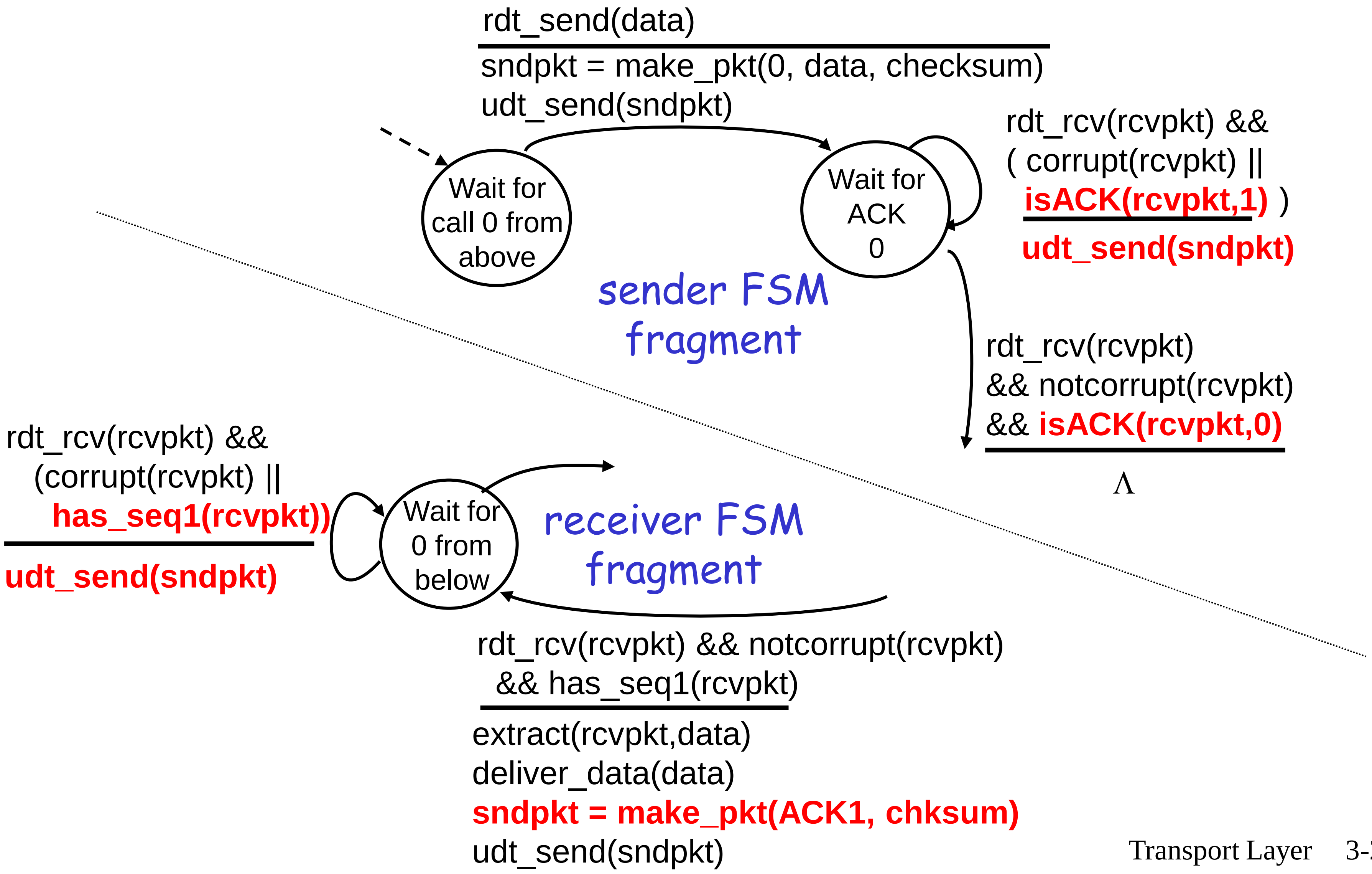
rdt2.1: receiver, handles garbled ACK/NAKs


rdt_rcv(rcvpkt) && notcorrupt(rcvpkt) && has_seq0(rcvpkt)
extract(rcvpkt,data)
deliver_data(data)
sndpkt = make_pkt(ACK, chksum)
udt_send(sndpkt)
rdt_rcv(rcvpkt) && (corrupt(rcvpkt)
sndpkt = make_pkt(NAK, chksum)
udt_send(sndpkt)
rdt_rcv(rcvpkt) && not corrupt(rcvpkt) && has_seq1(rcvpkt)
sndpkt = make_pkt(ACK, chksum)
udt_send(sndpkt)
Wait for 0 from below
Wait for 1 from below
rdt_rcv(rcvpkt) && (corrupt(rcvpkt)
sndpkt = make_pkt(NAK, chksum)
udt_send(sndpkt)
rdt_rcv(rcvpkt) && not corrupt(rcvpkt) && has_seq0(rcvpkt)
sndpkt = make_pkt(ACK, chksum)
udt_send(sndpkt)
rdt_rcv(rcvpkt) && notcorrupt(rcvpkt) && has_seq1(rcvpkt)
extract(rcvpkt,data)
deliver_data(data)
sndpkt = make_pkt(ACK, chksum)
udt_send(sndpkt)
Why?

rdt2.2: a NAK-free protocol

- same functionality as rdt2.1, using ACKs only
- instead of NAK, receiver sends ACK for last pkt received
  - receiver must *explicitly* include seq # of pkt being ACKed
- duplicate ACK at sender results in same action as NAK: *retransmit current pkt*

rdt2.2: sender, receiver fragments


rdt_send(data)
sndpkt = make_pkt(0, data, checksum)
udt_send(sndpkt)
Wait for call 0 from above
Wait for ACK 0
rdt_rcv(rcvpkt) && (corrupt(rcvpkt) || isACK(rcvpkt,1))
udt_send(sndpkt)
sender FSM fragment
rdt_rcv(rcvpkt) && notcorrupt(rcvpkt) && isACK(rcvpkt,0)
Λ
rdt_rcv(rcvpkt) && (corrupt(rcvpkt) || has_seq1(rcvpkt))
udt_send(sndpkt)
Wait for 0 from below
receiver FSM fragment
rdt_rcv(rcvpkt) && notcorrupt(rcvpkt) && has_seq1(rcvpkt)
extract(rcvpkt,data)
deliver_data(data)
sndpkt = make_pkt(ACK1, chksum)
udt_send(sndpkt)

rdt3.0: channels with errors *and* loss

New assumption: underlying channel can also lose packets (data or ACKs)

- checksum, seq. #, ACKs, retransmissions will be of help, but not enough

WHY?

rdt3.0: channels with errors *and* loss

New assumption: underlying channel can also lose packets (data or ACKs)

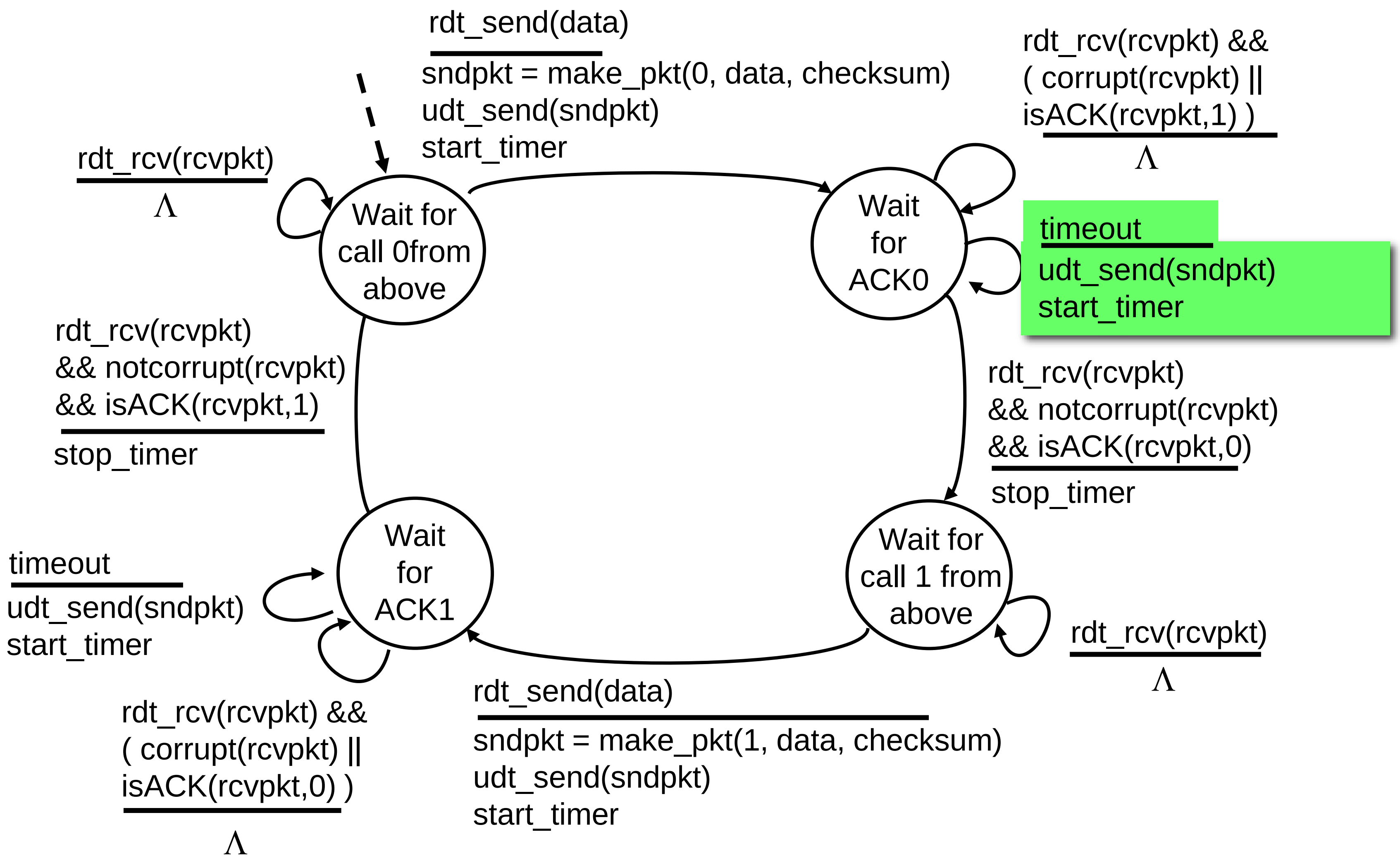
- checksum, seq. #, ACKs, retransmissions will be of help, but not enough

WHY?

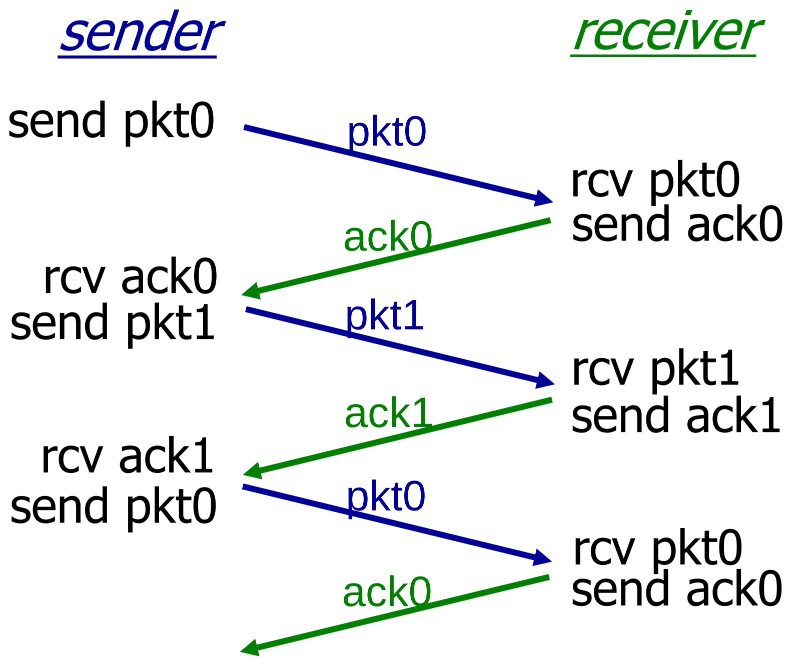
Approach: sender waits "reasonable" amount of time for ACK (timeout)

- retransmits if no ACK received in this time
- if pkt (or ACK) just delayed (not lost):
  - retransmission will be duplicate, but use of seq. #'s already handles this
  - receiver must specify seq # of pkt being ACKed
- requires countdown timer

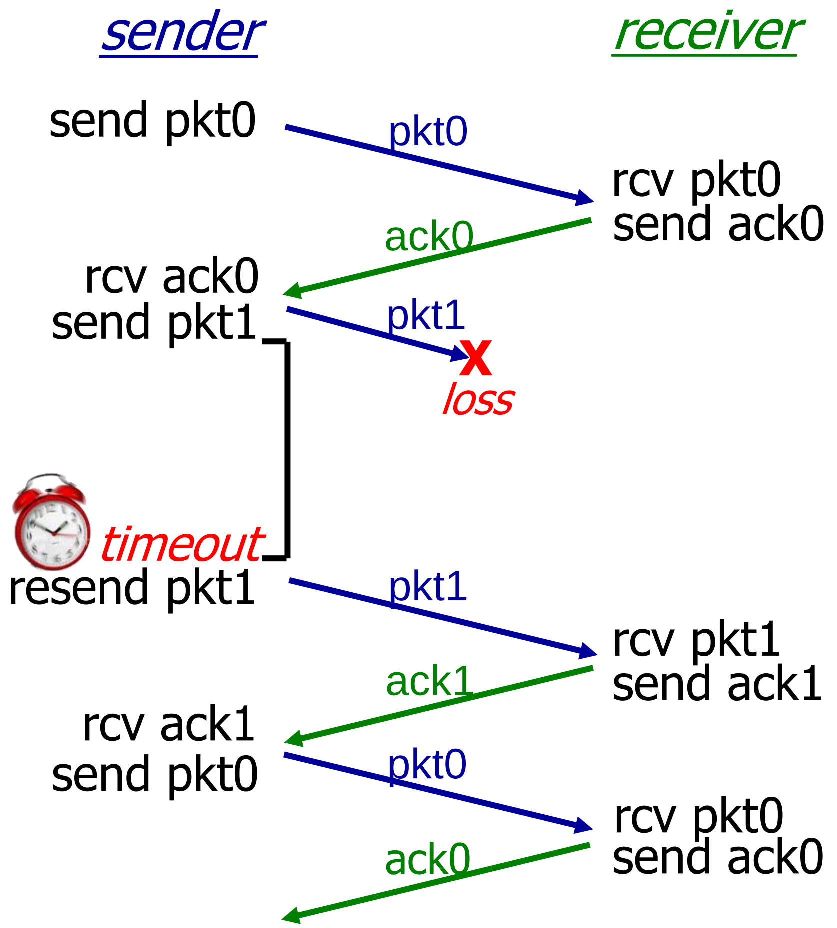
rdt3.0 sender


rdt_send(data)
sndpkt = make_pkt(0, data, checksum)
udt_send(sndpkt)
start_timer
rdt_rcv(rcvpkt) && (corrupt(rcvpkt) || isACK(rcvpkt,1))
Λ
rdt_rcv(rcvpkt)
Λ
Wait for call 0from above
Wait for ACK0
timeout
udt_send(sndpkt)
start_timer
rdt_rcv(rcvpkt) && notcorrupt(rcvpkt) && isACK(rcvpkt,1)
stop_timer
rdt_rcv(rcvpkt) && notcorrupt(rcvpkt) && isACK(rcvpkt,0)
stop_timer
timeout
udt_send(sndpkt)
start_timer
Wait for ACK1
Wait for call 1 from above
rdt_rcv(rcvpkt)
Λ
rdt_rcv(rcvpkt) && (corrupt(rcvpkt) || isACK(rcvpkt,0))
Λ
rdt_send(data)
sndpkt = make_pkt(1, data, checksum)
udt_send(sndpkt)
start_timer

rdt3.0 in action

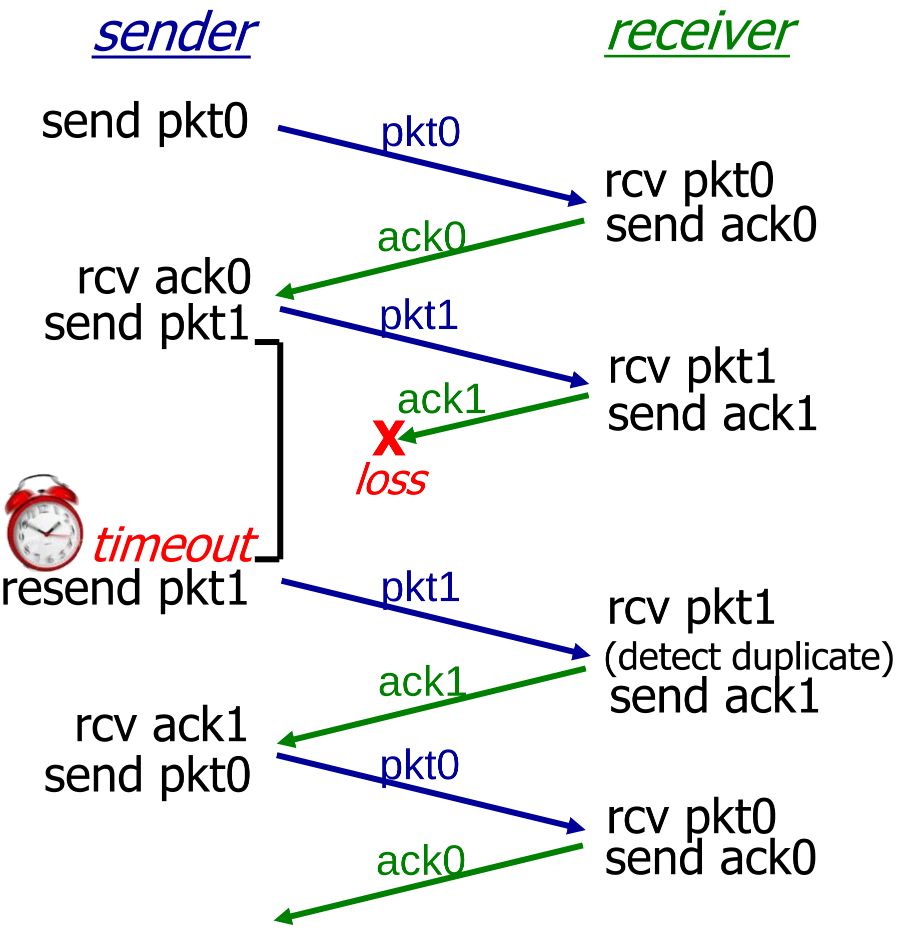


(a) no loss



(b) packet loss

rdt3.0 in action



(c) ACK loss

Performance of rdt3.0

- rdt3.0 works, but performance stinks
- example: 1 Gbps link, 15 ms e-e prop. delay, 1KB packet:

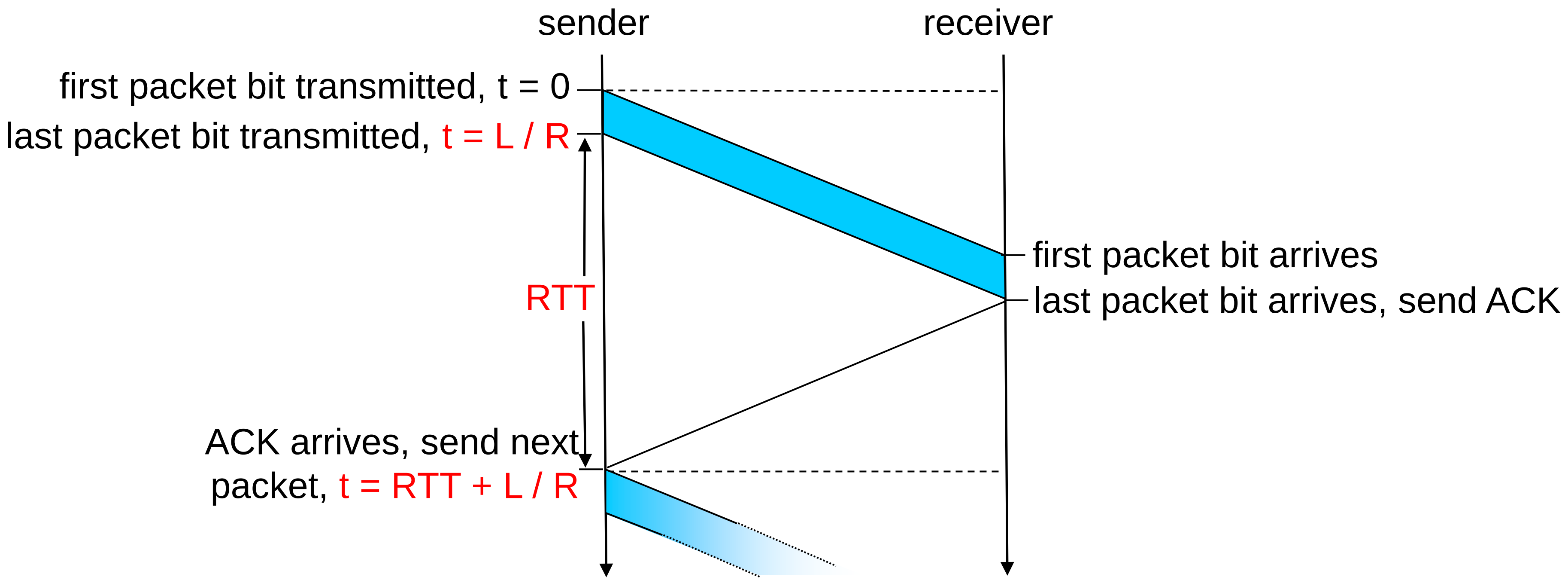
$$T_{\text{transmit}} = \frac{L \text{ (packet length in bits)}}{R \text{ (transmission rate, bps)}} = \frac{8\text{kb/pkt}}{10\text{\^{}}9 \text{ b/sec}} = 8 \text{ microsec}$$

  - U $_{\text{sender}}$: utilization – fraction of time sender busy sending

$$U_{\text{sender}} = \frac{L / R}{RTT + L / R} = \frac{.008}{30.008} = 0.00027$$

  - 1KB pkt every 30 msec -> 33kB/sec thruput over 1 Gbps link
  - network protocol limits use of physical resources!

rdt3.0: stop-and-wait operation

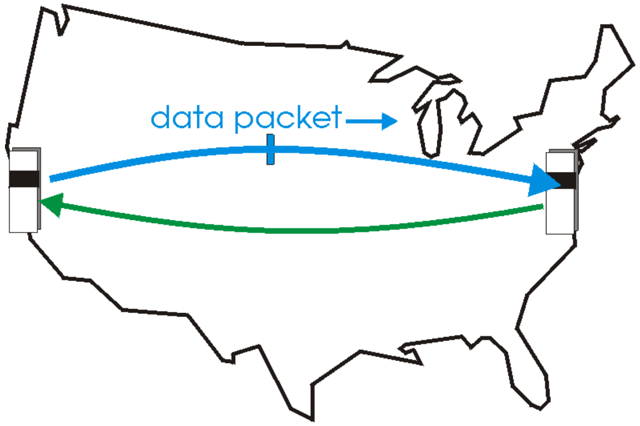

sender
receiver
first packet bit transmitted, t = 0
last packet bit transmitted, t = L / R
RTT
first packet bit arrives
last packet bit arrives, send ACK
ACK arrives, send next packet, t = RTT + L / R

$$U_{sender} = \frac{L / R}{RTT + L / R} = \frac{.008}{30.008} = 0.00027$$

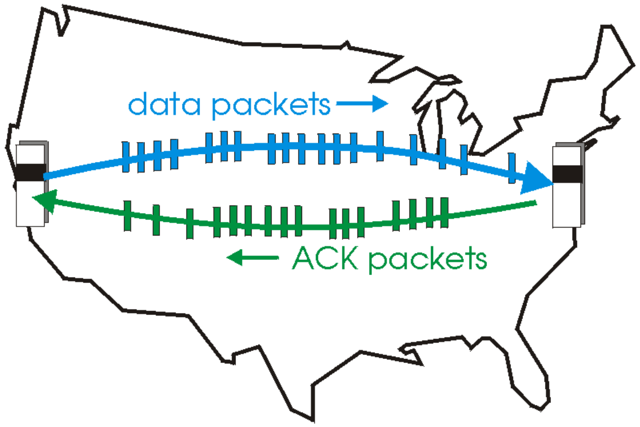
Pipelined protocols

Pipelining: sender allows multiple, "in-flight", yet-to-be-acknowledged pkts

- range of sequence numbers must be increased
- buffering at sender and/or receiver



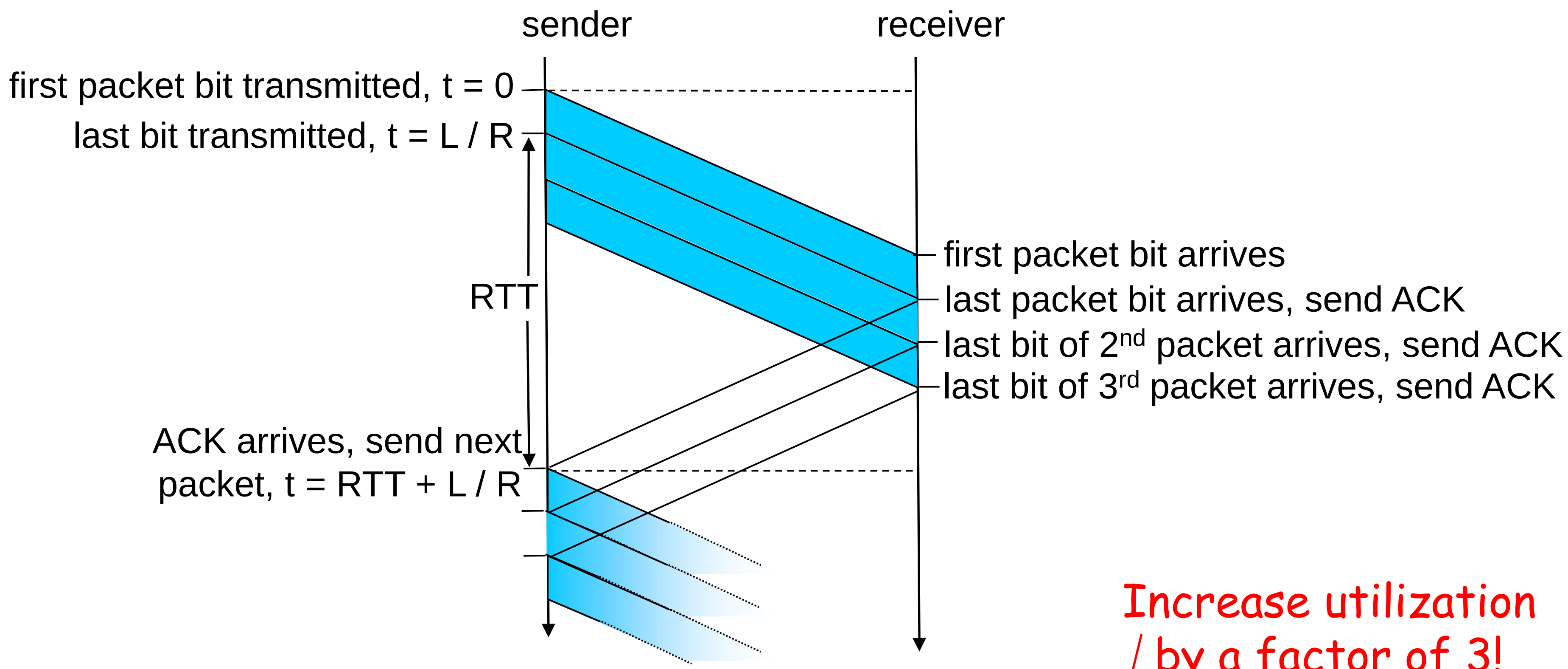
(a) a stop-and-wait protocol in operation



(b) a pipelined protocol in operation

- Two generic forms of pipelined protocols: *go-Back-N, selective repeat*

Pipelining: increased utilization


sender
receiver
first packet bit transmitted, t = 0
last bit transmitted, t = L / R
RTT
first packet bit arrives
last packet bit arrives, send ACK
last bit of 2nd packet arrives, send ACK
last bit of 3rd packet arrives, send ACK
ACK arrives, send next packet, t = RTT + L / R

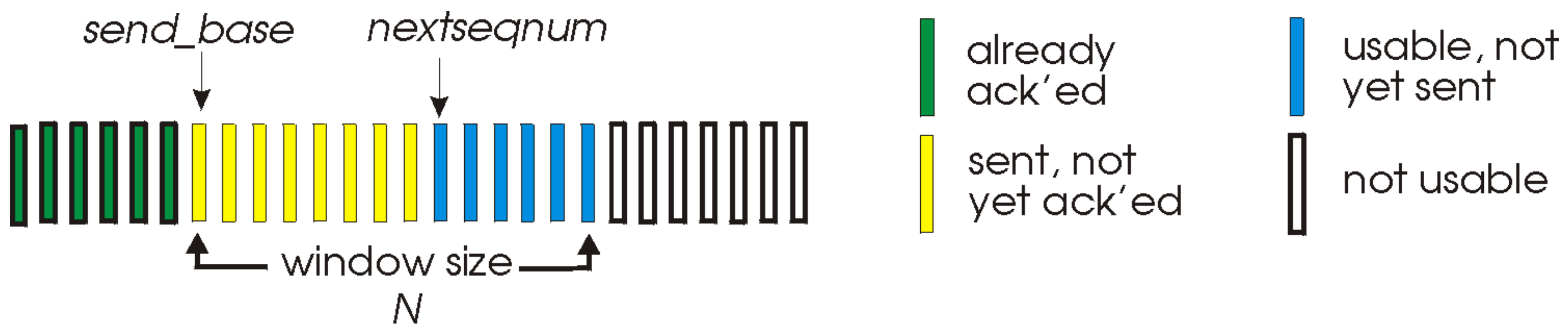
Increase utilization by a factor of 3!

$$U_{sender} = \frac{3L / R}{RTT + L / R} = \frac{.0024}{30.008} = 0.00081$$

Go-Back-N

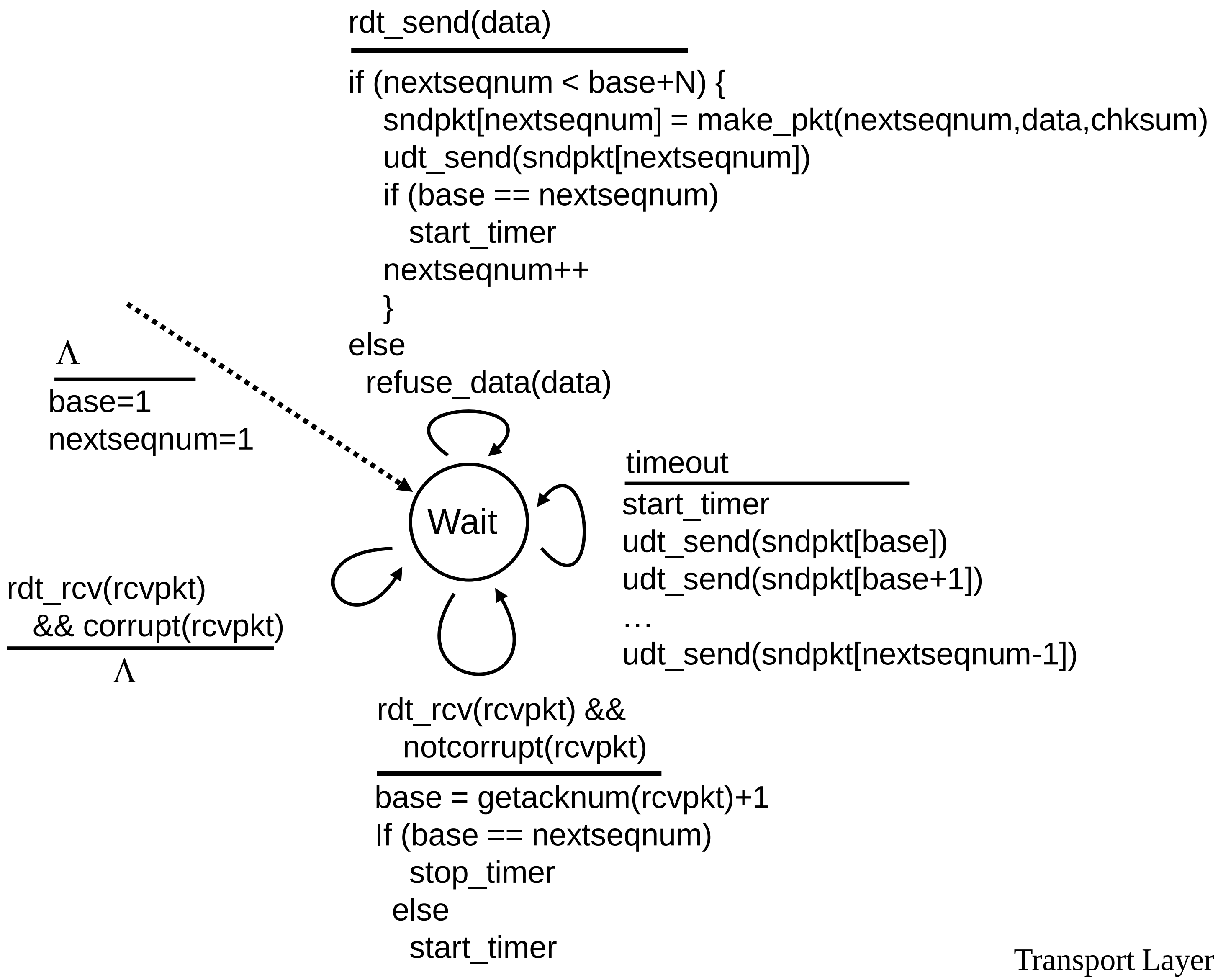
Sender:

- k-bit seq # in pkt header
- "window" of up to N, consecutive unack'ed pkts allowed



- ACK(n): ACKs all pkts up to, including seq # n - "cumulative ACK"
  - may receive duplicate ACKs (see receiver)
- Timer for oldest transmitted but not yet acknowledged packet
- *timeout(n):* retransmit pkt n **and all higher seq # pkts in window**

GBN: sender extended FSM



```
Λ
_______________
base=1
nextseqnum=1
```

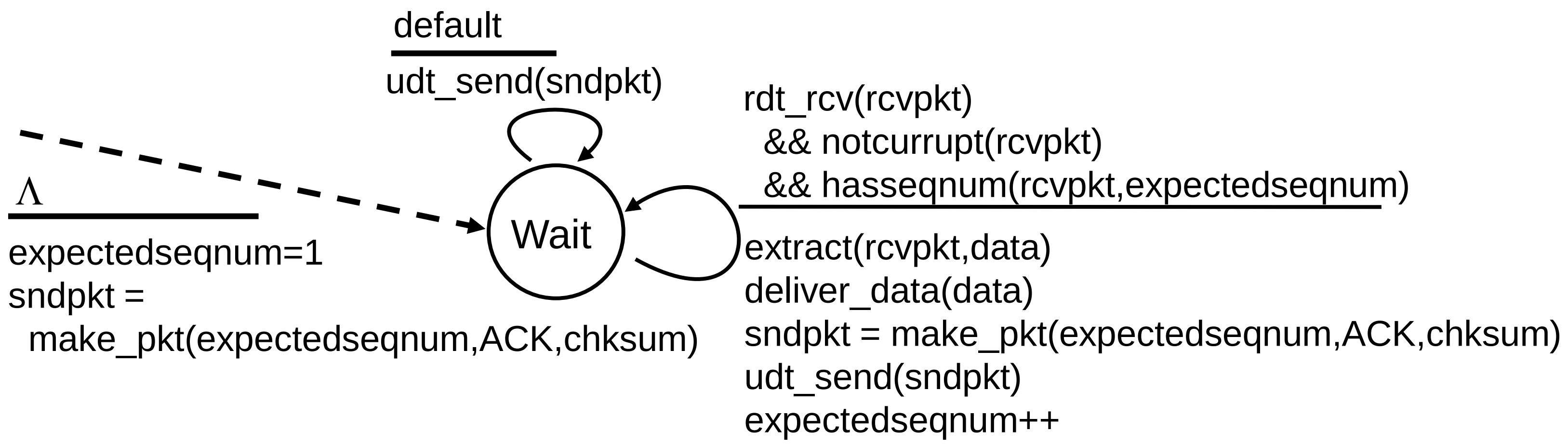
```
rdt_send(data)
_______________
if (nextseqnum < base+N) {
    sndpkt[nextseqnum] = make_pkt(nextseqnum,data,chksum)
    udt_send(sndpkt[nextseqnum])
    if (base == nextseqnum)
       start_timer
    nextseqnum++
    }
else
  refuse_data(data)
```

```
timeout
_______________
start_timer
udt_send(sndpkt[base])
udt_send(sndpkt[base+1])
…
udt_send(sndpkt[nextseqnum-1])
```

```
rdt_rcv(rcvpkt)
   && corrupt(rcvpkt)
_______________
      Λ
```

```
rdt_rcv(rcvpkt) &&
   notcorrupt(rcvpkt)
_______________
base = getacknum(rcvpkt)+1
If (base == nextseqnum)
    stop_timer
  else
    start_timer
```

GBN: receiver extended FSM



ACK-only: always send ACK for correctly-received pkt with highest *in-order* seq #

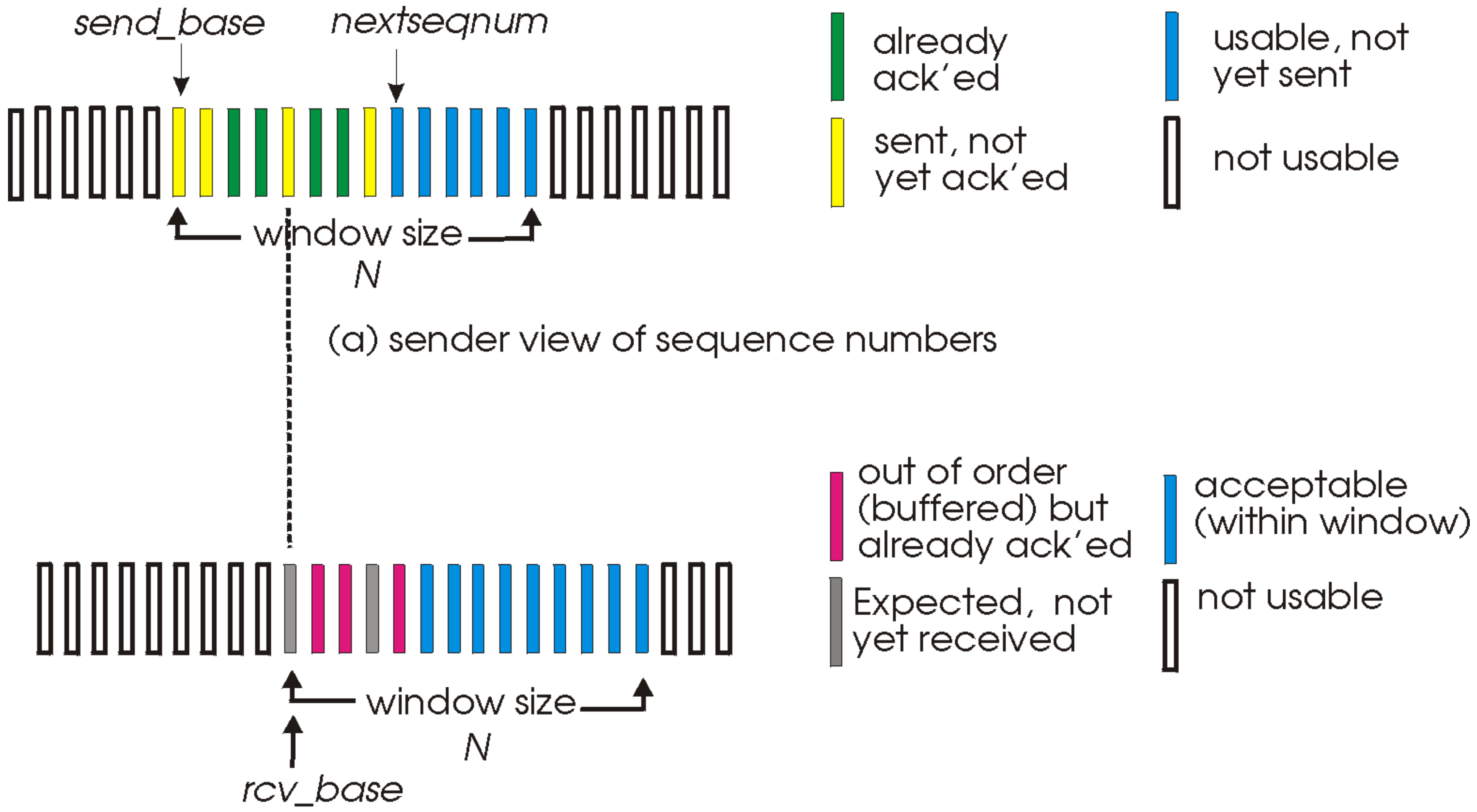
- may generate duplicate ACKs
- need only remember **`expectedseqnum`**

- out-of-order pkt:
  - discard (don't buffer) -> no receiver buffering!
  - Re-ACK pkt with highest in-order seq #

Selective Repeat

- receiver *individually* acknowledges all correctly received pkts
  - buffers pkts, as needed, for eventual in-order delivery to upper layer
- sender only resends pkts for which ACK not received
  - sender timer
- sender window
  - N consecutive seq #’s
  - again limits seq #s of sent, unACKed pkts

Selective repeat: sender, receiver windows



(a) sender view of sequence numbers

(b) receiver view of sequence numbers

Selective repeat

sender

data from above :

- if next available seq # in window, send pkt

timeout(n):

- resend pkt n, restart timer

ACK(n) in [sendbase,sendbase+N]:

- mark pkt n as received
- if n smallest unACKed pkt, advance window base to next unACKed seq #

receiver

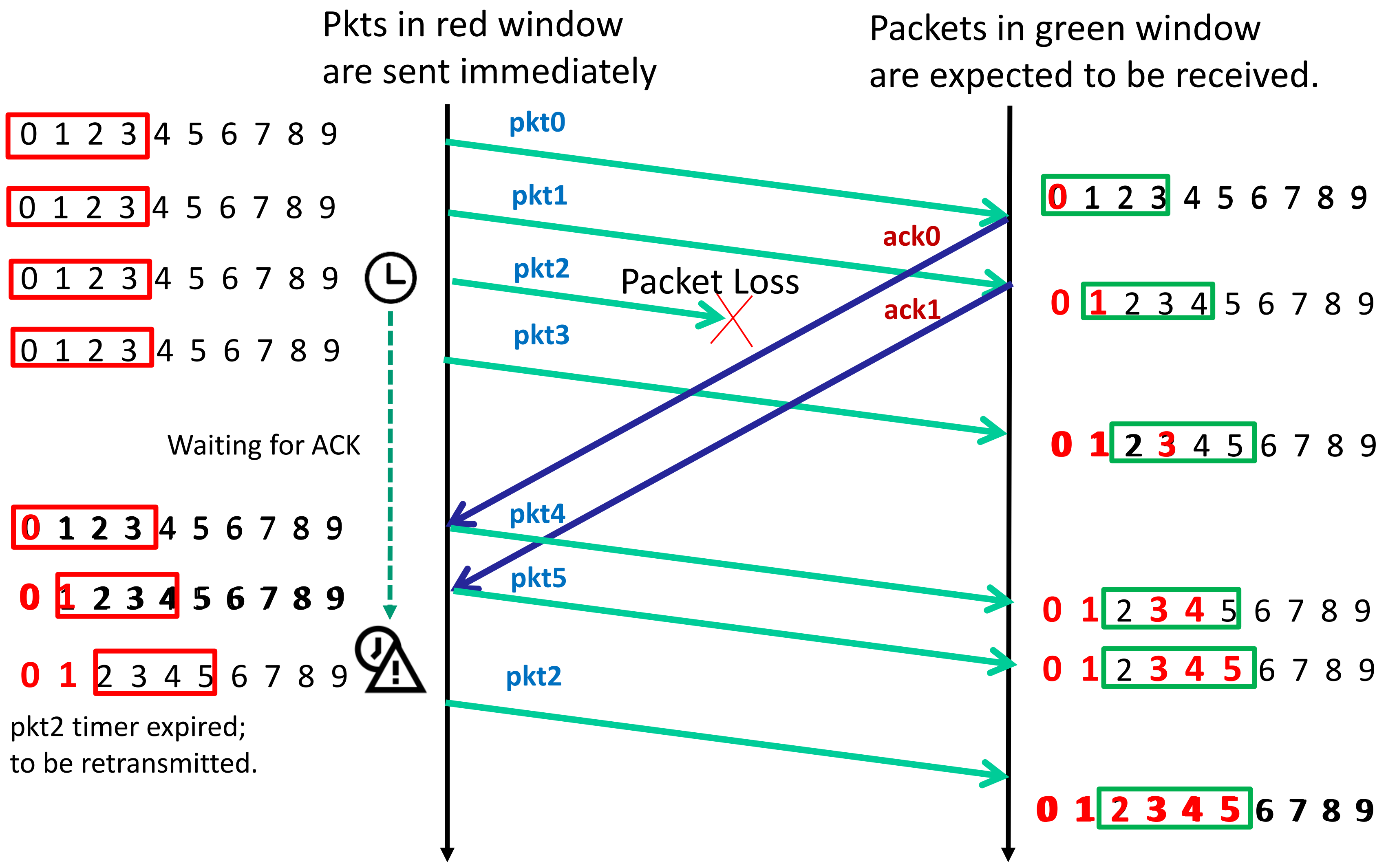
pkt n in [rcvbase, rcvbase+N-1]

- send ACK(n)
- out-of-order: buffer
- in-order: deliver (also deliver buffered, in-order pkts), advance window to next not-yet-received pkt

pkt n in [rcvbase-N,rcvbase-1]

- ACK(n)

Selective repeat in action

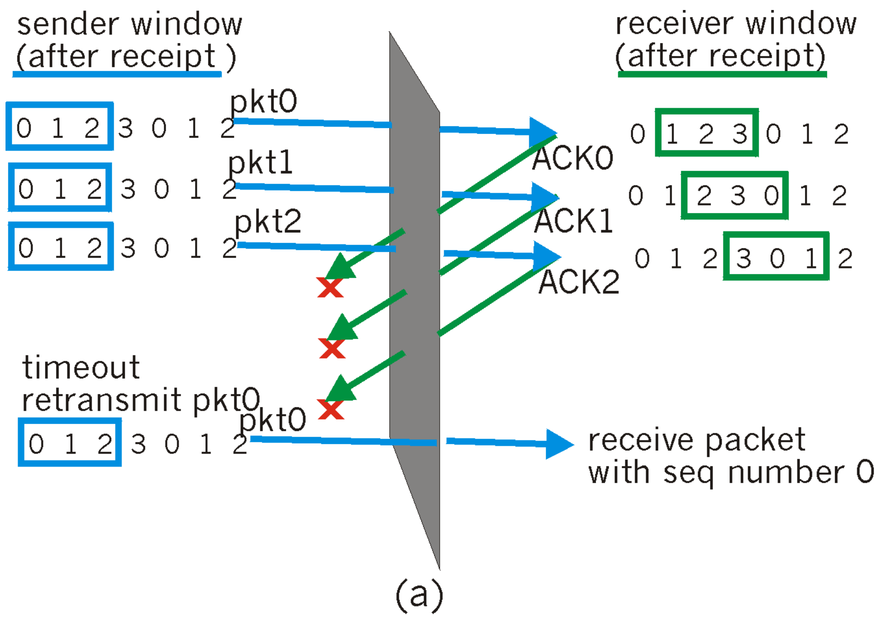


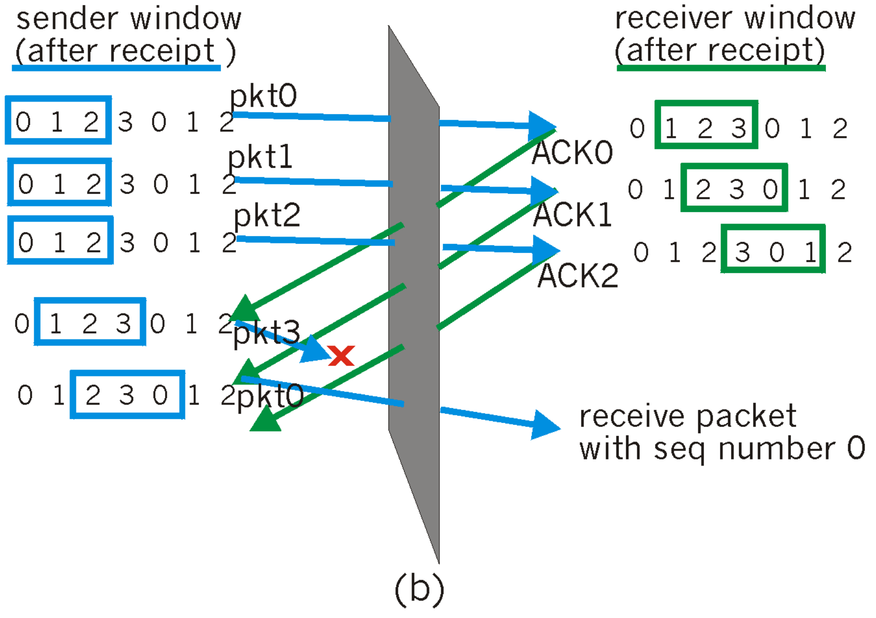
Pkts in red window are sent immediately
Packets in green window are expected to be received.
0 1 2 3 4 5 6 7 8 9
pkt0
pkt1
pkt2
pkt3
pkt4
pkt5
pkt2
ack0
ack1
Packet Loss
Waiting for ACK
pkt2 timer expired; to be retransmitted.

Selective repeat: dilemma

Example:

- seq #'s: 0, 1, 2, 3
- window size=3





- receiver sees no difference in two scenarios!
- incorrectly passes duplicate data as new in (a)

Q: what relationship between seq # size and window size is safe?