# Dalei Yang

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## Overview of capabilities

- · Worked in NLP, backend, data engineer, DBA and software testing. Have a certain understanding of recommender systems and LLM.
- Worked as a Research & Development Engineer at Trio.ai, a startup focusing on NLP, for 3 years.(<u>Employment Certificate</u>) (<u>English Translation</u>).
   Worked at Microsoft for 5.6 years, last position was SDE 2, focused on C# backend, data engineer.(<u>Employment Certificate</u>)(<u>English Translation</u>)
   Worked at adSage as a DBA and data engineer for 5.5 years and as a software testing manager for 1 year.
- Worked in Seattle and Los Angeles for adSage for 21 months.
- In 2016, spent 8 months of spare time studying "ACM/ICPC" algorithmic contest topics. Solved 229 ICPC regional and world finals problems.

  See "ACM/ICPC Algorithm Contests Training" in "Appendix" for details.

# **Technical capabilities**

- C, C++, python, Elasticsearch, C#, Azure.
- NLP (Segmentation, Pos Tagging, NER, etc.), NumPy, Pandas, TensorFlow, PyTorch, faiss.
- SQL, ETL(Informatica, SSIS, etc.), Data Warehouse, DBA (query/index/stored procedures tuning).
- Demonstration of the basics knowledge of machine learning:
  - 1. LR mathematical principles derived by hand, numpy implementation. source code.
  - 2. XGBoost, understanding the mathematical principles, tutorial. Financial risk control project practice. source code.
  - 3. Transformer, follow the tutorial to understand "The Annotated Transformer" source code.
- Learn best practices for "recommender system" in industry from 2024.03. See "Recommender Systems" in "Appendix" for details.
- Learn Large Language Model from 2024.10 See "Large Language Model" in "Appendix" for details.

User Intent Recognition - Latest News Recommendation

## **Project experience**

i i i o.ui	Coor intone recognition	Latout Howo Roominionaation	20.0	2020.00
Background	Analyze the user's query and	push relevant latest news when the query is f	ound to have	e "news intent".

My outputs 1. Regulary crawl latest news from third parties and use word frequency, tfidf and text rank to extract keywords. crawler.py, line 359~395

2. Use search engine search results to determine whether these keywords or their combinations have "news intent". crawler.py,line 408~460

2019 11 - 2020 06

3. Write a customized **Trie** (prefix tree) to match keywords and obtain relevant news. Refer to lines 62~210 of <a href="Storage.h">Storage.h</a>.

### Trio.ai Text Classification - Product Recommendation 2019.07 – 2019.10

Background Classify queries into four categories: "mobile phones, accessories, operators, and others" and recommend related products.

My outputs 1. Crawl product information from <u>JD.com</u>. Train a <u>Character-level CNN</u> model to infer product category. <u>model script</u>, <u>training script</u>.

- 2. Create a c++ wrapper for the tensorflow c api that provides interfaces for loading models (::InitWithCKPT) and inference (::Run).
- 3. Online service load tf model, line 50~67 in Storage.cc; Online inference, line 299~316 and line 513~579 in Storage.cc.

### Trio.ai Chinese Spelling Correction - team research project 2019.02 – 2019.03

Background Try different structures of Transformers to correct errors in queries caused by characters with the same pronunciation but different shapes.

Team outputs 1. Crawl news from reliable sources to generate positive sample. Refer to raw data.

- 2. According to the statistical results, generate negative sample from positive sample. Refer to negative generate script, train data.
- 3. V1. Baseline model: write a HMM model in Python, calculate parameters offline, and use the Viterbi for predictions.
- 4. V2. Bi-directional transformer, Refer to Im net.py line 67~97, modules.py line 192~280.
- 5. V3. Negtive as Q, positive as K and V, apple corresponding mask. Refer to network.py line 89~105, modules.py line 282~370.
- 6. V4. Implement a Cloze-driven bi-directional transformer, Refer to network.py line 65~117, modules.py line 254, line 160.
- 7. Single layer V3 model with 240,000 steps trainning perform best,accuray 0.74, recall 0.83. VS Baidu API, accuray 0.80, recall 0.37.

My outputs Provide the V1 baseline model within one day and participate in the discussion and implementation of the V2, V3, and V4 models.

### Trio.ai Sentence Similarity Calculation Engine

2017.09 - 2019.06

Background Calculate the similarity between the query and all entries in the knowledge base.

e.g. Query: "What is the interest rate on a one-year deposit?". Best Match in knowledge base: " The interest rate on a one-year deposit is 3.5%."

My outputs

1. Read through google w2v source code. Found suitable training parameters to train word embeddings on a 40G generalized corpus.

See "w2v Principle and Source Code Analysis" in the Appendix for details.

2. The similarity of the two sets of word embeddings was scored using a greedy algorithm.

See "Short Sentence Similarity Calculation Engine" in the Appendix for details.

3. Implement a memory pool to stabilize the memory usage of the Calculation engine.

See "Implement a Memory Pool in C++" in the Appendix for details.

End-user

- 1. Become the engine of the QA module of Trio.ai chatbot. Customers include: China Mobile, etc.
- 2. Other project teams at Trio.ai, such as the "Chat Module" and the "Security Module", also use it to solve their problems.

### Trio.ai In-memory data update mechanism for online services 2018.06

Background It is unacceptable to update the data in memory of an online service by restarting the service.

My outputs

- 1. Use two mutex locks and two read/write locks to control the switching between the old and new memory.
- 2. The service can still access the data in memory when switching between the old and new memory.
- 3. Packaged into a Apache thrift service for easy reuse and was widely adopted by other online services project team in Trio.ai.

Highlights See "In-memory data update mechanism for online services" in the Appendix for details.

### Microsoft OS and Devices Division - Refactoring MS short link services 2015.05 - 2016.01

Background Refactoring the Microsoft Short Links service (https://aka.ms, https://go.microsoft.com/fwlink) and migrate it from on-premises to Azure.

My outputs 1. Design and implement of high throughput lock-free hash tables as in-memory database.

See "Lock-free Hash Tables" in the Appendix for details.

- 2. Locate performance bottlenecks in IIS, recommend Nginx + lua instead. Reduce the number of servers and save operating costs.
- 3. Attach "Architecture Design Demo" for reference.

### Microsoft OS and Devices Division - Ddistributed data synchronization 2015.11 - 2016.02

Background 1. Designing a cross-data center data synchronization mechanism for the content management platform of www.msn.com

2. The existing Azure service has high latency and cannot meet the requirement.

My outputs

- 1. Read the Paxos papers systematically, understand the ideas.
- 2. Referring to Liskov's paper and implementation demo, I propose a method to synchronize data across data centers.

See "Paxos Algorithm Study" in the "Appendix" for details.

## Working experience

Learning best practices for recommender system from 2024.03 and LLM from 2024.10.

 Trio.ai
 NLP engineer
 2017.04 - 2020.06

 Microsoft
 SDE 2
 2011.09 - 2017.04

 adSage
 DBA
 2006.02 - 2011.08

Duties 1. Design and implement data projects architecture. 2. Design and implement efficient ETL processes. 3. Stored Procedures / Query / Index tuning.

adSage Software testing manager 2006.02 – 2007.02

Duties 1. Built and managed test teams of up to 24 people for Microsoft outsourcing projects.

adSage Microsoft Ad Lab data program 2006.02 – 2011.08

Duties 1. Design, implement and maintain different data, ETL projects.

2. Worked in Seattle and LA for 21 months, coordinated the work of the technical teams in Beijing and the United States.

Personal business ERP system for Liu Ning Piano School 2005.05 – 2006.01

Beijing Taihao Qiren Software Technology

Technical support

2003.04 – 2005.04

Beijing Shenzhou Long'an Technology

Website maintenance, development

2001.11 – 2003.03

### **Education**

Xi'an University of Posts & Telecommunications (In China)

Computer Science Department bachelor degree 1997.09 – 2001.06

## **Appendix**

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# ACM/ICPC algorithm contests training

2016.05 - 2017.01

Background Looking to change career paths to algorithms.

My outputs

- 1. The training process took eight months of spare time.
- 2. AC (Accepted) 229 ICPC regional and world finals problems.
- 3. Most of the AC code is based on my own thinking and having experienced many failed submissions.
- 4. For some of the topics that were too difficult, I referred to the code of the masters, understood it, and then submitted it.

Resource

- 1. Follow this book to train: "AOAPC II: Beginning Algorithm Contests (Second Edition) (Rujia Liu)"
- 2. The code was submitted at Virtual Judge https://vjudge.net/user/daleiyang#



You can see the submission process and source code for each problem.

dale	<b>Username</b> iyang	UVA	<b>Prob</b> 225	<b>Result</b>	Time (ms)	Mem (MB)	Length	<b>Lang</b>	Submit Time
	daleiyang	UVA	225	Accepted	170		1859	C++	8 years ago
	daleiyang	UVA	225	Accepted	180		1860	C++	8 years ago
	daleiyang	UVA	225	Accepted	170		1854	C++	8 years ago
	daleiyang	UVA	225	Accepted	170		1735	C++	8 years ago
	daleiyang	UVA	225	Wrong answer			1612	C++	8 years ago
	daleiyang	UVA	225	Wrong answer			1561	C++	8 years ago
	daleiyang	UVA	225	Accepted	870		1493	C++	8 years ago
	daleiyang	UVA	225	Wrong answer			1615	C++	8 years ago
	daleiyang	UVA	225	Wrong answer			1611	C++	8 years ago

## Implement a Memory Pool in C++

#### 2018.05

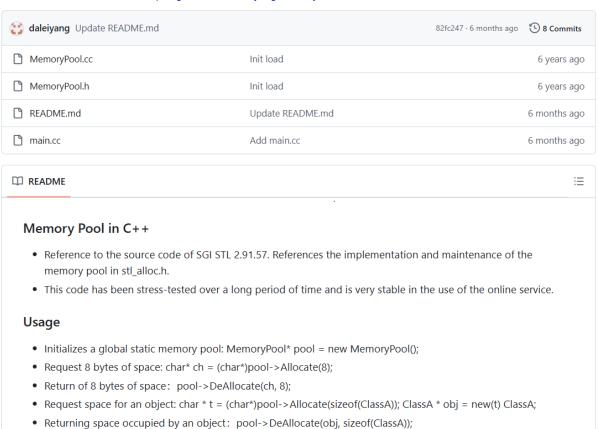
Background In "China Mobile" production environment, the "Similarity Engine" needs to satisfy a high RPS. Sometimes memory usage is unstable and debugging is difficult. So, I decided to implement my own memory pool.

My outputs

- 1. Simplified and rewritten the memory pool implementation method of "stl alloc.h" in SGI STL v 2.91.57.
- 2. The "Similarity Engine" using this memory pool became very stable in "China Mobile" production environment.

Resource

Source code and instructions <a href="https://github.com/daleiyang/MemoryPool">https://github.com/daleiyang/MemoryPool</a>



# w2v Principle and Source Code Analysis

2017.09

Background Resource

Analyze the principle and source code of google's w2v to design and implement the "Sentence Similarity Computation Engine". Follow the tutorial "Mathematical priciples in word2vec" and study the source code "word2vec.c".

What I learned from the "word2vec.c" and the tutorial:

- 1. word2vec.c is a short 700 lines of code, but the depth of the programing skill is breathtaking. The author, Tomas Mikolov, is supposed to be an algorithm contest player, judging by his code style. Programming skills that can be learned from this code are: Handling of input parameters, Accelerate sigmoid function calculations with a segmented lookup table, Weighted sampling, Tips for reading files, Dynamically control the size of the hash table, Building Huffman trees, Splitting files by thread, Random number generation methods, Randomizing window size, Adaptive learning rate.
- 2. This tutorial explains in detail the machine learning principles used in this code: Logistic regression, language models, optimization goals, formula derivation, gradient update, a variety of detailed techniques are available, formula derivation and coding consistency is very high. Understand w2v, read this turorial is enough.

# **Short Sentence Similarity Calculation Engine**

2017.09 - 2019.06

Resource

For implementation details, see the source code on GitHub. https://github.com/daleiyang/Similarity

## In-memory data update mechanism for online services 2018.06

Background

Online services need to be able to reload data in memory while still being able to access it.

My outputs

- 1. Accurately control the use of all types of "locks" to ensure correct coding.
- 2. Minimize the impact on user queries during memory swapping.
- 3. Memory updates can be triggered by timing or manually.
- 4. Packaged into a generic Apache thrift service template and widely adopted by other online services project team in Trio.ai.
- 5. At an internal sharing meeting, a former Baidu.com engineer found that my implementation was almost the same as the principle of Baidu.com (The No. 1 search engine in China) online service memory swap. It's really "heroes see eye to eye":)

Resource

- 1. See the source code and comments for more information on the memory swapping process and minimizing the impact on user queries. GitHub: https://github.com/daleiyang/MemorySwap
- 2. Code example: The Swap structure controls the memory switching process and contains two mutex locks and two read/write locks.

### **Lock-Free Hash Table**

2015.08

**Background** 

Saw an <u>article</u> outlining the core data structures and algorithms used in the Shanghai Stock Exchange's securities trading system.

During the bull market in 2015, this solution smoothly supported **daily** trading volumes of over **one trillion** chinese Yuan.

My outputs

- 1. Implemented it in C# and applied it to a pre-production environment for the Microsoft short link service.
- 2. Ensure that the logic of the code based on the Compare-And-Swap API (CAS primitive) is correct.

Resource

Design details, source code, and test methods can be found on my GitHub: https://github.com/daleiyang/LockFreeHashTable

Performance

1. With 3 million key-value pairs, 30 processes in parallel to read, update and delete operations, 17-minute stress test.

	Lock-Free Hash Table	.Net Concurrent Dictionary	Comparison	
Operation	operations per second	operations per second		
Get	7,113,400	1,681,929	4.2X	
Add/Update	8,927,004	240,321	37.1X	
Delete	13,566,043	245,884	55.2X	

2. See "Perf testing report" for performance testing report.

## **Paxos Algorithm Study**

#### 2015.11 - 2016.02

**Background** 

Designing a cross-data center data synchronization mechanism for the content management platform of www.msn.com, the existing Microsoft cloud service has high latency and cannot meet the requirement.

My outputs

- 1. Reading papers on related topics eventually revealed that adopting the approach described below was a viable path:
  - 2.1 Miguel Castro from MSR Practical Byzantine Fault Tolerance
  - 2.2 Barbara Liskov from MIT BFT Practical Byzantine Fault Tolerance
  - 2.3 Google engineering team Paxos Made Live An Engineering Perspective
- 2. Drafting system design documentation. Highly-Available Distributed In-Memory Cache with Byzantine Paxos
- 3. Drafting resource estimation report. Replication with Byzantine Paxos Current Status and Estimation
- 4. The project eventually failed to get off the ground due to the lack of a skilled engineering team and inadequate budget:)

## **Recommender System**

2024.03 -

My outputs

- 1. Characteristics of recommendation systems (<u>tutorial</u>). Common issues and methods of **feature engineering** (tutorial)
- 2. Embedding and Parameter Server. ps-lite source code analysis. Distributed LR With ps-lite (xflow).
- 3. Fine-grained Ranking (tutorial)
  - 6.1 **FM** formula derivation (turotial). alphaFM, multi-thread implementation of FM with FTRL (source code).
  - 6.2 Handcrafting Wide & Deep with NumPy and FTRL online learning optimizer. tutorial. source code.
  - 6.3 DeepFM with multi-valued, sparse, shared weight support using TensorFlow. tutorial. source code.
  - 6.4 Long time and short User Interest Embedding with Deep Interest Network and Search-based Interest Model.
- 4. Recall (tutorial)
  - 7.1 Inverted index. Item CF. MF. Merge results from different recall methods.
  - 7.2 Define positive sample in I2I, U2I, U2U2I scenarios, Key is random negative sampling.
  - 7.3 Understand NEC loss, NEG loss, Sampled softmax loss, Pairwise loss.
  - .....(Summary not completed)
- 5. Pre-ranking and Re-ranking (Not started yet)
- 6. Multi-Task and Multi-scenario (Not started yet)
- 7. Cold start (Not started yet)
- 8. Evaluation and Debug (Not started yet)
- 9. Interview FAQ

## **Large Language Model**

2024.10 -

A summary of the information to get an overall picture of LLM. Highlight the article I have already read.

#### Overview

### State of GPT

Current Best Practices for Training LLMs from Scratch

An Overview on Language Models: Recent Developments and Outlook

Harnessing the Power of LLMs in Practice: A Survey on ChatGPT...

### **Cutting Edge Model**

GPT-4 Technical Report
Spark of AGI
PALM2 Technical Report

### Pretraining - Overall

Language Models are Few-Shot Learners

LLaMA: Open and Efficient Foundation Language Models

BloombergGPT: A Large Language Model for Finance

Transformer Math 101

### Pretraining - Data

Processing Data for Large Language Models
The BigScience ROOTS Corpus: A 1.6TB Composite...
The RefinedWeb Dataset for Falcon LLM:...
Scaling Data-Constrained Language Models
A Pretrainer's Guide to Training Data: Measuring the...

### Pretraining - Training

Using DeepSpeed and Megatron to Train Megatron-Turing...

ZeRO Memory Optimizations Toward Training Trillion...

Performance and Scalability: How To Fit a Bigger Model and ...

How to Train Really Large Models on Many GPUs?

### Tokenization

Normalization and pre-tokenization

Byte-Pair Encoding tokenization

Building a tokenizer, block by block

### Supervised Fine Tuning

Finetuned Language Models Are Zero-Shot Learners

Exploring the Impact of Instruction Data Scaling on LLM

Towards Better Instruction Following Language Models for Chinese
Instruction Tuning with GPT-4

LIMA: Less Is More for Alignment

### RLHF

Fine-Tuning Language Models from Human Preferences

Learning to summarize from human feedback

Recursively Summarizing Books with Human Feedback

WebGPT: Browser-assisted question-answering with human feedback

Training language models to follow instructions with human feedback

#### **Prompt Engineering**

Self-Consistency Improves Chain of Thought Reasoning in LM
Tree of Thoughts: Deliberate Problem Solving with LLM
Reflexion: Language Agents with Verbal Reinforcement Learning
AutoGPT
Generative Agents: Interactive Simulacra of Human Behavior
Large Language Models as Tool Makers

Chain-of-Thought Prompting Elicits Reasoning in LLM

#### Tools

Toolformer: Language Models Can Teach Themselves to Use Tools

TaskMatrix.Al: Completing Tasks by Connecting Foundation Mod...

REPLUG: Retrieval-Augmented Black-Box Language Models

### **Code Related**

Evaluating Large Language Models Trained on Code

### Math Related

Training Verifiers to Solve Math Word Problems

### **Loss Function**

Efficient Training of Language Models to Fill in the Middle
UL2: Unifying Language Learning Paradigms

### **Optional**

Building Systems with the ChatGPT API
OpenAl CookBook

## 离职证明

兹证明微软(中国)有限公司于<u>2017</u>年<u>4</u>月<u>17</u>日与<u>杨大磊</u>(身份证号: 11010819780908491X)终止了劳动关系。

其于<u>2011</u>年<u>9</u>月<u>1</u>日正式入职微软(中国)有限公司,签署<u>无固定</u> 期劳动合同。其在我公司的最后工作日为<u>2017</u>年<u>4</u>月<u>17</u>日,离职前担任 <u>SOFTWARE ENGINEER 2</u> 职位,在我公司的工作年限为 <u>5.6</u>年。

微软(中国)有限公司 人力资源部 2017年4月17日



### **Employment Separation Certificate**

This is to certify that Microsoft (China) Co., Ltd. terminated its labor relations with Yang Dalei (ID card number: 11010819780908491X) in April 17, 2017.

He formally joined Microsoft (China) Co., Ltd. on September 1, 2011, and signed a non-fixed-term labor contract. His last working day in our company is April 17, 2017. He held the position of SOFTWARE ENGINEER 2 before leaving his job and has worked in our company for



Microsoft (China) Co., Ltd.

April 17, 2017

Seal: Special Seal for Human Resources Department of Microsoft (China) Co., Ltd. (sealed)

I confirm it is an accurate translation of the original document. Signature: Zhu Xuman Translator: Zhu Xiunan Qualification: TEM 8 (TEST for English Major-Band 8) Certificate No.: EVIII 1710021188 Company: Languages Hub Translation Service (Nantong) Co., Ltd. Add: No 83, Chongchuan Road, Nantong City, Jiangsu, China Private Number: +8615862748936 Dated on: 302 8-



### 离职证明

兹证明<u>杨大磊</u>先生(身份证号: <u>11010819780908491X</u>)自 <u>2017</u>年 <u>04</u>月 <u>18</u>起加入三角兽(北京)科技有限公司 技术中心 部门 研发工程师 职务,

该人员在离职后,无需履行竞业限制业务;未经我司书面许可,不得向任何单位和个人透露

我司商业秘密和其他经营秘密。





### **Employment Separation Certificate**

This is to certify that Mr. Yang Dalei (ID No.: 11010819780908491X) has joined the Technology Center of Tendrillion (Beijing) Science and Technology Co., Ltd. since April 18, 2017 as a Research and Development Engineer, and discharged the employment relationship with the Company on June 30, 2020. Both parties have completed all resignation procedures.

After leaving office, the person is not required to perform non-competition business; Without the written permission of our Company, the person shall not disclose our business secrets and other business information to any organization or individual.

Tendrillion (Beijing) Science and Technology Co., Ltd.

Signature of separating employee: Yang Dalei (signature)

June 30, 2020

Tendrillion (Beijing) Science and Techn

Tendrillion (Beijing) Science and Technology Co., Ltq

I confirm it is an accurate translation of the original document.

Translator: Zhu Xiunan

Signature: The Kuran

Qualification: TEM 8 (TEST for English Major-Band 8)

Certificate No.: EVIII 1710021188

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Dated on: