# Show, Attend and Tell: Neural Image Caption Generation with Visual Attention

Kelvin Xu, Jimmy Ba, Ryan Kiros, Kyunghyun Cho, Aaron Courville, Ruslan Salakhudinov, Rich Zemel, and Yoshua Bengio

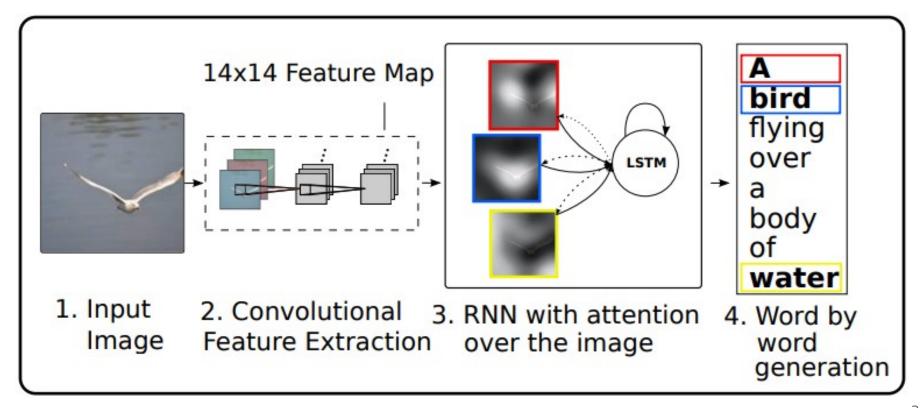
#### Overview

Image captioning using high level VGG19 features

Soft and Hard Attention

Focus will be on the decoder

#### Architecture

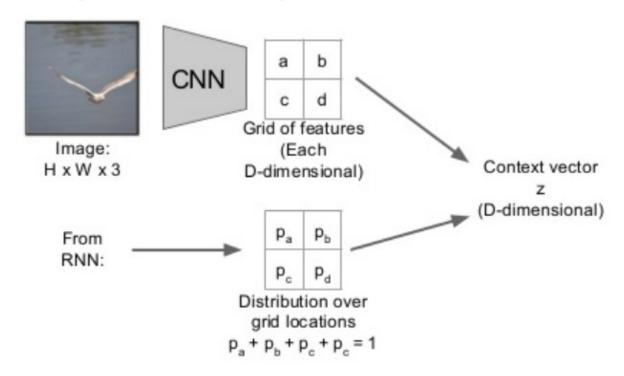


#### Soft Attention

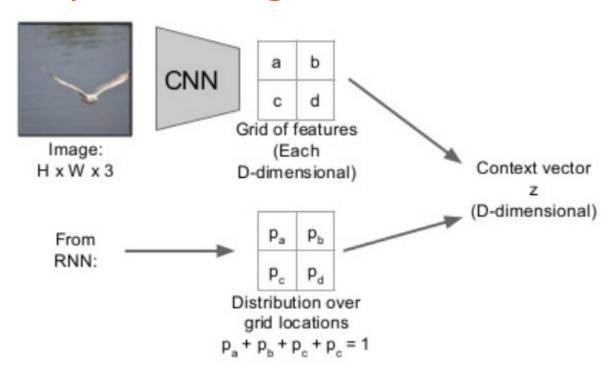
Deterministic

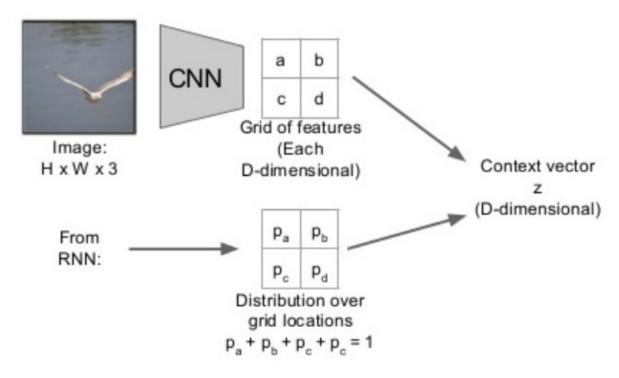
Based on Bahdanau's et al., 2014 attention mechanism

Trained using backpropagation



$$e_{ti} = f_{att}(G_i, h_{t-1})$$

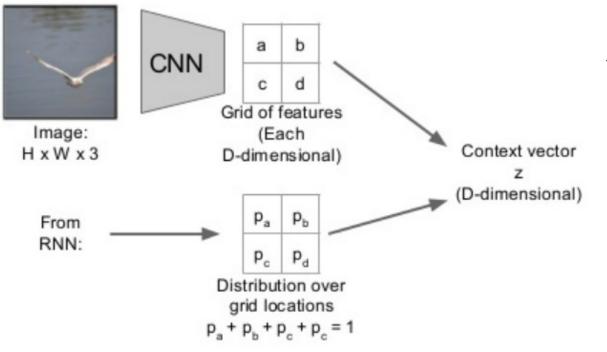




$$e_{ti} = f_{att}(G_i, h_{t-1})$$

$$exp(e_{ti})$$

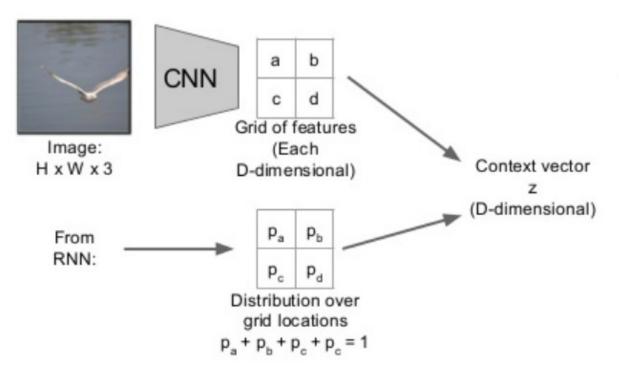
$$p_{ti} = \frac{exp(e_{ti})}{\sum\limits_{k=1}^{L} exp(e_{tk})}$$



$$e_{ti} = f_{att}(G_i, h_{t-1})$$

$$p_{ti} = \frac{exp(e_{ti})}{\sum\limits_{k=1}^{L} exp(e_{tk})}$$

$$z_t = \beta_t \sum_{j=1}^{L} p_{tj} G_j$$

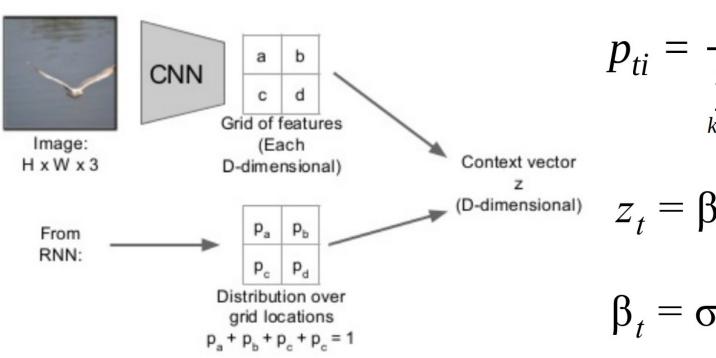


$$e_{ti} = f_{att}(G_i, h_{t-1})$$

$$p_{ti} = \frac{exp(e_{ti})}{\sum\limits_{k=1}^{L} exp(e_{tk})}$$

$$z_t = \beta_t \sum_{j=1}^{L} p_{tj} G_j$$

$$\beta_t = \sigma(f_{\beta}(h_{t-1}))$$



$$e_{ti} = f_{att}(G_i, h_{t-1})$$

$$p_{ti} = \frac{exp(e_{ti})}{\sum_{k=1}^{L} exp(e_{tk})}$$

$$z_t = \beta_t \sum_{j=1}^{L} p_{tj} G_j$$

$$\beta_t = \sigma(f_{\beta}(h_{t-1}))$$

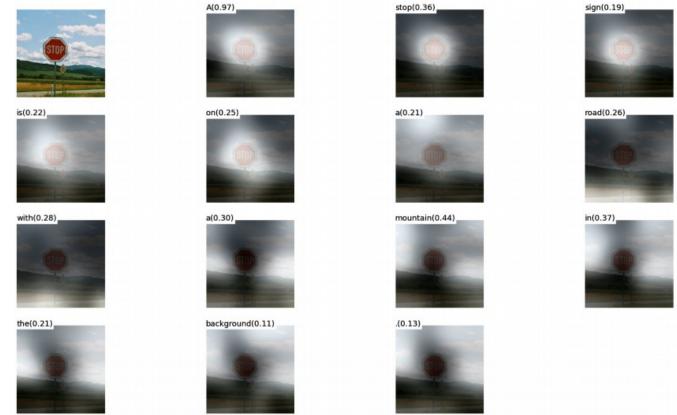
 $(z_t, E_{y_{t-1}}, h_{t-1})$  used as input

#### **Doubly Stochastic Attention**

To encourage the model to look at various parts of the image

$$L_d = -log(P(y|x)) + \lambda \sum_{i=1}^{L} (1 - \sum_{t=1}^{C} p_{ti})^2$$

# Positive Example



Negative Example



#### Hard Attention

Stochastic

 Assign a multinoulli distribution to the attention weights **p** and view the weighted input **z** as a random variable

- Gradient estimated using Monte Carlo
- Trained using the REINFORCE learning rule

We would like to maximize

We would like to maximize

= 
$$log \sum_{s} p(s|G)p(y|s,G)$$
 where  $p(s_{t,i} = 1|s_{j < t},G) = p_{ti}$ 

We would like to maximize

= 
$$log \sum_{s} p(s|G)p(y|s,G)$$
 where  $p(s_{t,i} = 1|s_{j< t},G) = p_{ti}$   
 $\geq \sum_{s} p(s|G) \log p(y|s,G) = L_{s}$ 

log p(y|G)

We would like to maximize

$$= log \sum_{s} p(s|G)p(y|s,G) \quad \text{where } p(s_{t,i} = 1|s_{j < t},G) = p_{ti}$$

$$\geq \sum_{s} p(s|G) \ log \ p(y|s,G) = L_{s}$$

$$\frac{\partial L_{s}}{\partial W} = \sum_{s} \frac{\partial p(s|G)}{\partial W} log \ p(y|s,G) + p(s|G) \ \frac{\partial log \ p(y|s,G)}{\partial W}$$

$$\frac{\partial L_S}{\partial W} = \sum_{s} \frac{\partial p(s|G)}{\partial W} \log p(y|s,G) + p(s|G) \frac{\partial \log p(y|s,G)}{\partial W}$$

$$\frac{\partial L_S}{\partial W} = \sum_{s} \frac{\partial p(s|G)}{\partial W} \log p(y|s,G) + p(s|G) \frac{\partial \log p(y|s,G)}{\partial W}$$
$$\frac{\partial \log p(s|G)}{\partial W} = \frac{1}{p(s|G)} \frac{\partial p(s|G)}{\partial W}$$
$$\frac{\partial p(s|G)}{\partial W} = p(s|G) \frac{\partial \log p(s|G)}{\partial W}$$

$$\frac{\partial L_{S}}{\partial W} = \sum_{s} \frac{\partial p(s|G)}{\partial W} \log p(y|s,G) + p(s|G) \frac{\partial \log p(y|s,G)}{\partial W}$$

$$\frac{\partial \log p(s|G)}{\partial W} = \frac{1}{p(s|G)} \frac{\partial p(s|G)}{\partial W}$$

$$\frac{\partial p(s|G)}{\partial W} = p(s|G) \frac{\partial \log p(s|G)}{\partial W}$$

$$\frac{\partial L_{S}}{\partial W} = \sum_{s} p(s|G) \frac{\partial \log p(s|G)}{\partial W} \log p(y|s,G) + p(s|G) \frac{\partial \log p(y|s,G)}{\partial W}$$

$$\frac{\partial L_{S}}{\partial W} = \sum_{s} \frac{\partial p(s|G)}{\partial W} \log p(y|s,G) + p(s|G) \frac{\partial \log p(y|s,G)}{\partial W}$$

$$\frac{\partial \log p(s|G)}{\partial W} = \frac{1}{p(s|G)} \frac{\partial p(s|G)}{\partial W}$$

$$\frac{\partial p(s|G)}{\partial W} = p(s|G) \frac{\partial \log p(s|G)}{\partial W}$$

$$\frac{\partial L_{S}}{\partial W} = \sum_{s} p(s|G) \frac{\partial \log p(s|G)}{\partial W} \log p(y|s,G) + p(s|G) \frac{\partial \log p(y|s,G)}{\partial W}$$

$$\frac{\partial L_{S}}{\partial W} = \sum_{s} p(s|G) \left[ \frac{\partial \log p(s|G)}{\partial W} \log p(y|s,G) + \frac{\partial \log p(y|s,G)}{\partial W} \right]$$

This means that Monte Carlo Sampling can be performed!

This means that Monte Carlo Sampling can be performed!

$$s_t' \sim Multinoulli(\{p_i\})$$

$$\frac{\partial L_{S}}{\partial W} \approx \frac{1}{N} \sum_{n=1}^{N} \left[ \frac{\partial \log p(s^{'n}|G)}{\partial W} \log p(y|s^{'n},G) + \frac{\partial \log p(y|s^{'n},G)}{\partial W} \right]$$

This means that Monte Carlo Sampling can be performed!

$$s_t' \sim Multinoulli(\{p_i\})$$

$$\frac{\partial L_S}{\partial W} \approx \frac{1}{N} \sum_{n=1}^{N} \left[ \frac{\partial \log p(s^n|G)}{\partial W} \log p(y|s^n, G) + \frac{\partial \log p(y|s^n, G)}{\partial W} \right]$$

The issue is that the variance in this estimate is too high.

This means that Monte Carlo Sampling can be performed!

$$s'_{t} \sim Multinoulli(\{p_{i}\})$$

$$\frac{\partial L_S}{\partial W} \approx \frac{1}{N} \sum_{n=1}^{N} \left[ \frac{\partial \log p(s^n|G)}{\partial W} \log p(y|s^n, G) + \frac{\partial \log p(y|s^n, G)}{\partial W} \right]$$

The issue is that the variance in this estimate is too high.

$$b_k = 0.9 \times b_{k-1} + 0.1 \times \log p(y|s_k', G)$$

Where **k** corresponds to the mini-batch number

This means that Monte Carlo Sampling can be performed!

$$s'_{t} \sim Multinoulli(\{p_{i}\})$$

$$\frac{\partial L_{S}}{\partial W} \approx \frac{1}{N} \sum_{n=1}^{N} \left[ \frac{\partial \log p(s^{'n}|G)}{\partial W} \log p(y|s^{'n},G) + \frac{\partial \log p(y|s^{'n},G)}{\partial W} \right]$$

The issue is that the variance in this estimate is too high

$$b_k = 0.9 x b_{k-1} + 0.1 x log p(y|s_k', G)$$

$$\frac{\partial L_S}{\partial W} \approx \frac{1}{N} \sum_{n=1}^{N} \left[ \lambda_r(\log p(y|s^n, G) - b) \frac{\partial \log p(s^n|G)}{\partial W} + \frac{\partial \log p(y|s^n, G)}{\partial W} \right]$$

 The authors further reduce the variance by adding an entropy term to the attention weights

 The authors further reduce the variance by adding an entropy term to the attention weights

$$\frac{\partial L_S}{\partial W} \approx \frac{1}{N} \sum_{n=1}^{N} \left[ \lambda_r(\log p(y|s^n, G) - b) \frac{\partial \log p(s^n|G)}{\partial W} + \frac{\partial \log p(y|s^n, G)}{\partial W} + \lambda_e \frac{\partial H[s^n]}{\partial W} \right]$$

 The authors further reduce the variance by adding an entropy term to the attention weights

$$\frac{\partial L_S}{\partial W} \approx \frac{1}{N} \sum_{n=1}^{N} \left[ \lambda_r (\log p(y|s^n, G) - b) \frac{\partial \log p(s^n|G)}{\partial W} + \frac{\partial \log p(y|s^n, G)}{\partial W} + \lambda_e \frac{\partial H[s^n]}{\partial W} \right]$$

Where 
$$H[s^n]$$
 is simply  $\sum_{j=1}^{L} p_j \log(p_j)$ 

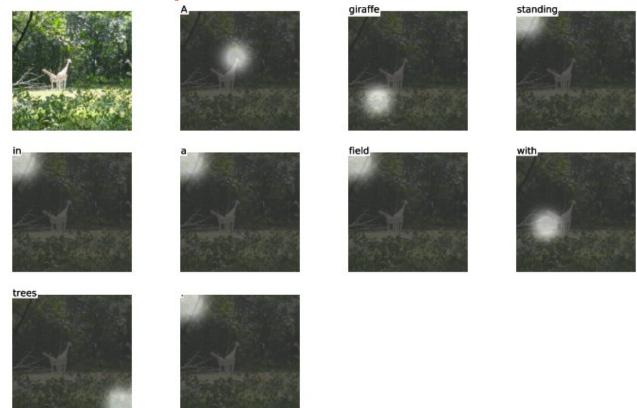
 The authors further reduce the variance by adding an entropy term to the attention weights

$$\frac{\partial L_S}{\partial W} \approx \frac{1}{N} \sum_{n=1}^{N} \left[ \lambda_r (\log p(y|s^n, G) - b) \frac{\partial \log p(s^n|G)}{\partial W} + \frac{\partial \log p(y|s^n, G)}{\partial W} + \lambda_e \frac{\partial H[s^n]}{\partial W} \right]$$

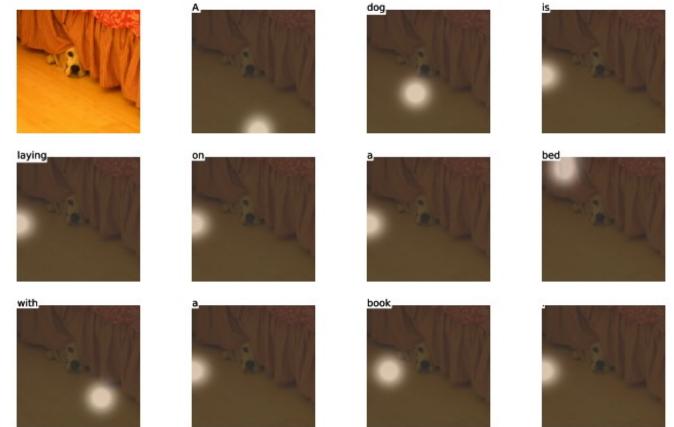
Where 
$$H[s^n]$$
 is simply  $\sum_{j=1}^{L} p_j \log(p_j)$ 

With 0.5 probability, s is set to its soft attention value

# Positive Example



# **Negative Example**



#### Training Procedure

VGG19 is used as the encoder

14x14x512 feature map from the 5th conv layer is used

Evaluated on Flickr8k, Flickr30k, and COCO

#### Results

Table 1. BLEU-1,2,3,4/METEOR metrics compared to other methods,  $\dagger$  indicates a different split, (—) indicates an unknown metric,  $\circ$  indicates the authors kindly provided missing metrics by personal communication,  $\Sigma$  indicates an ensemble, a indicates using AlexNet

		BLEU				
Dataset	Model	BLEU-1	BLEU-2	BLEU-3	BLEU-4	METEOR
Flickr8k	Google NIC(Vinyals et al., 2014) <sup>†</sup> Σ	63	41	27	_	_
	Log Bilinear (Kiros et al., 2014a)°	65.6	42.4	27.7	17.7	17.31
	Soft-Attention	67	44.8	29.9	19.5	18.93
	Hard-Attention	67	45.7	31.4	21.3	20.30
Flickr30k	Google NIC <sup>†οΣ</sup>	66.3	42.3	27.7	18.3	
	Log Bilinear	60.0	38	25.4	17.1	16.88
	Soft-Attention	66.7	43.4	28.8	19.1	18.49
	Hard-Attention	66.9	43.9	29.6	19.9	18.46
COCO	CMU/MS Research (Chen & Zitnick, 2014) <sup>a</sup>	_	_	_	_	20.41
	MS Research (Fang et al., 2014)†a	_	_	_	_	20.71
	BRNN (Karpathy & Li, 2014)°	64.2	45.1	30.4	20.3	_
	Google NIC <sup>†</sup> ◦∑	66.6	46.1	32.9	24.6	-
	Log Bilinear <sup>◦</sup>	70.8	48.9	34.4	24.3	20.03
	Soft-Attention	70.7	49.2	34.4	24.3	23.90
	Hard-Attention	71.8	50.4	35.7	25.0	23.04

#### Conclusions

Hard attention seems to outperform soft attention

 It is not clear whether the improvement was driven due to a better encoder

Lack of ablation studies

Interesting approach nonetheless

# Thank you!

#### References

- 1. Xu, Kelvin, et al. "Show, attend and tell: Neural image caption generation with visual attention." International Conference on Machine Learning. 2015.
- 2. Bahdanau, Dzmitry, Kyunghyun Cho, and Yoshua Bengio. "Neural machine translation by jointly learning to align and translate." arXiv preprint arXiv:1409.0473 (2014).
- 3. <a href="https://www.slideshare.net/xavigiro/deep-learning-for-computer-vision-attention-models-upc-2016">https://www.slideshare.net/xavigiro/deep-learning-for-computer-vision-attention-models-upc-2016</a>
- 4. <a href="http://cs231n.stanford.edu/">http://cs231n.stanford.edu/</a>
- 5. <a href="https://github.com/kelvinxu/arctic-captions/blob/master/capgen.py">https://github.com/kelvinxu/arctic-captions/blob/master/capgen.py</a>

#### Discussion Points (Kayvan Tirdad)

- Very strong work and journal paper, cited over 2300 times!
- First version appeared in ICML 2015 then the final version appeared in PMLR 2016
- Author's original code is in Theano but there are different implementations using tensorflow available on the web, check it out
- This work is mainly based on "Karpathy, Andrej and Li, Fei-Fei. Deep visual-semantic alignments for generating image descriptions. CVPR 2015.", but that work used an object detection approach instead of attention
- There is some criticism against BLEU so the authors used METEOR as another metric. Soft and hard attention outperform the other approaches. Interestingly, soft attention obtains a better result with METEOR. However, the difference in real-world application is negligible
- Authors didn't provide a clue which attention mechanism is superior, and why?
- Length of the caption is a tricky issue while training, due to number of times that the LSTM should be run. To remedy this, the authors used a dictionary for storing captions of equal length