Machine Theory of Mind
(Deep Mind)

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Waterloo Hydrogeologic
Instituto Nacional de Matemática Pura e Aplicada, Rio de Janeiro - Brazil

IMPA – Rio de Janeiro

-Research Dynamical Systems, Differential

Geometry, Applied Mathematics.

-2014 Fields Medal, Artur Avila, work in Dynamical Systems (Ten Martini Problem).

My work:

-Mathematical Physics - Fluid dynamics.

-Riemann problems - Numerical Shock Waves and Rarefactions waves in Gas Dynamics.

-Markov Chain Monte-Carlo methods (Seismic Tomography) – SLB- U. of Cambridge.

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Collaboration RJ-MCMC - University of Cambridge - UK (Schlumberger).

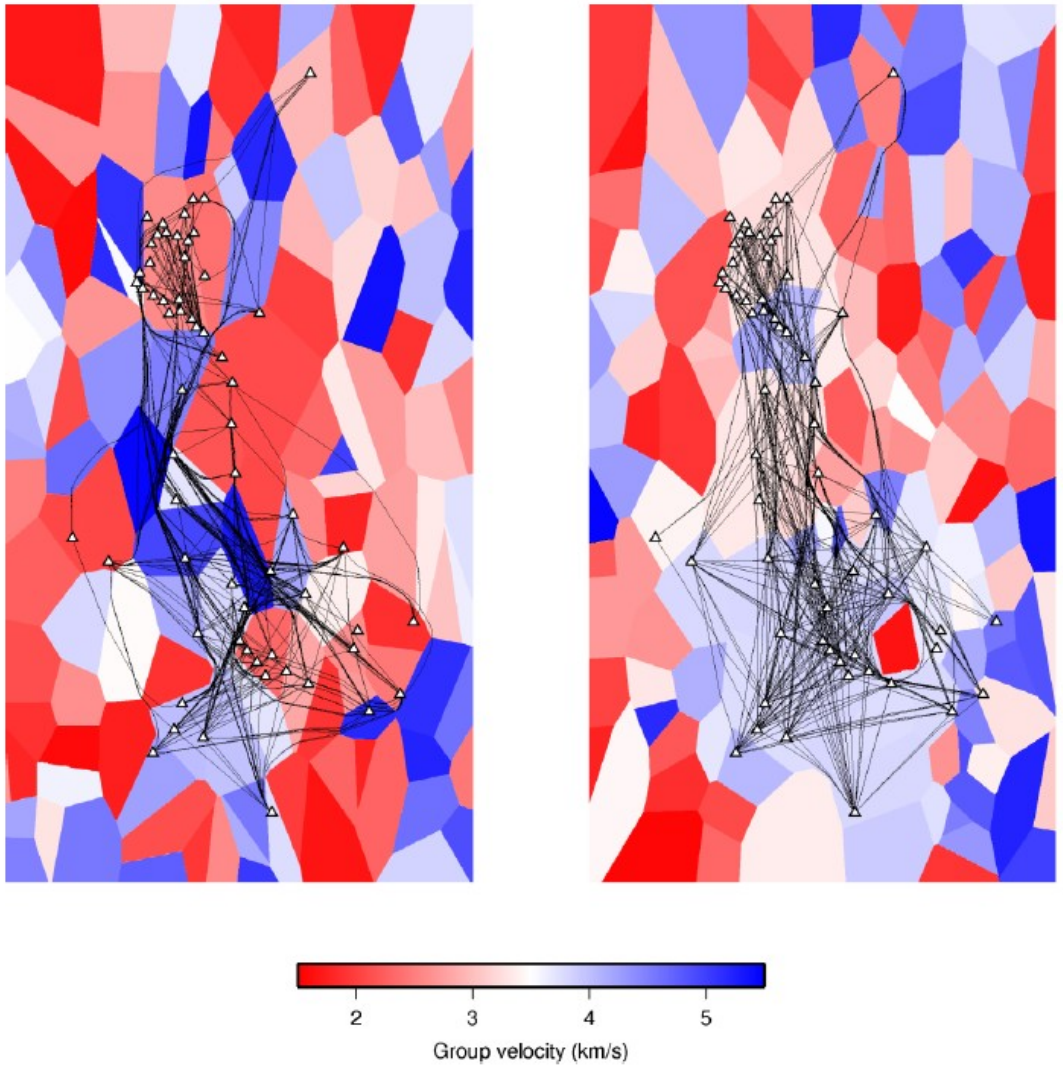
-Travel-times built through Greens function approach and Seismic Ambient Noise.

-Voronoi grids updated across the random walk.

-Minimize difference of theoretical and experimental travel-times.

-Dimension is also variable, and adjust to complexity of the data.

-Samples are accepted or rejected with a modified Metropolis-Hastings algorithm, guiding the samples towards regions of higher probability (e.g. Langevin MCMC MALA).



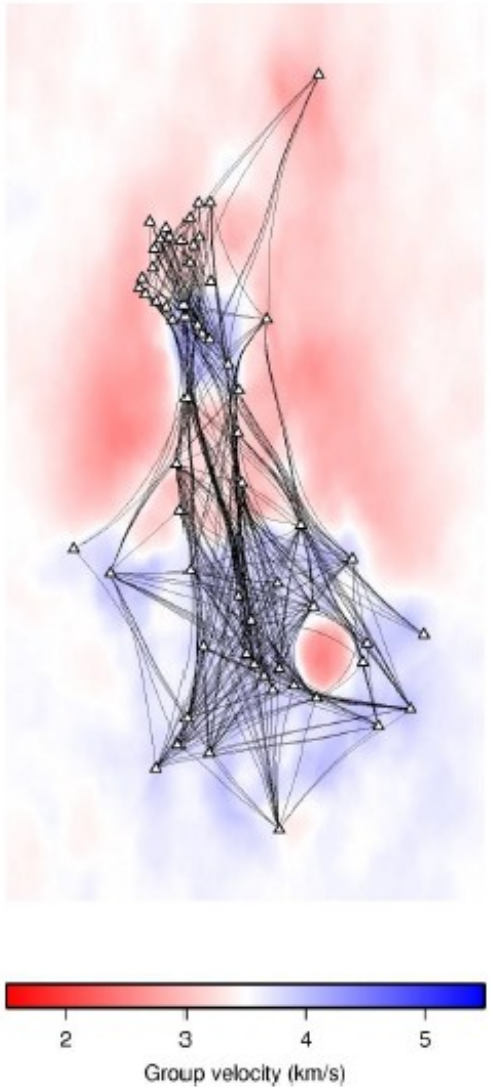
-The 3D point-wise probability distribution across all chains is the final posterior => solution to inverse problem.

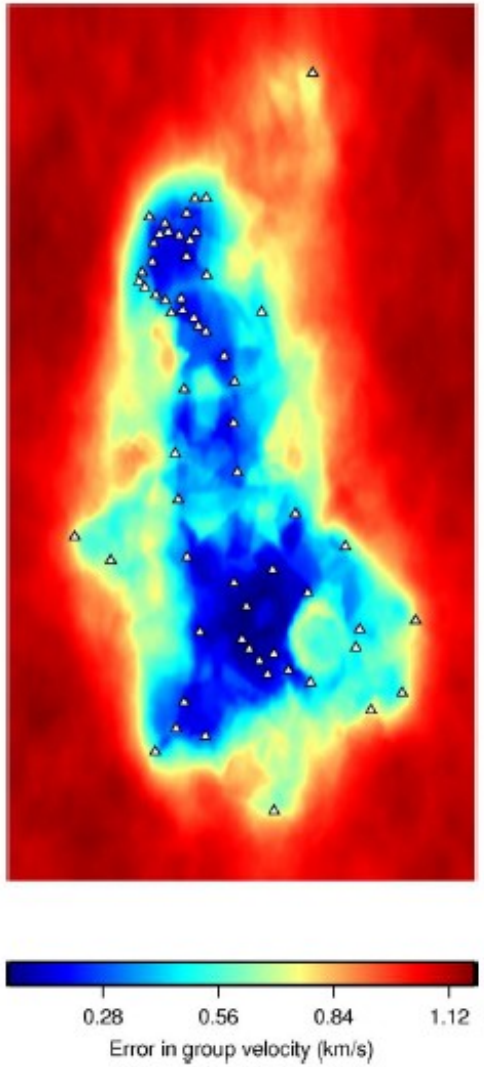
-The uncertainty of the solution can be measured by the spread of the samples.

-Fortran + OpenMPI + Qsub + SLB cluster.

-Parallelization on calculation of seismic travel-times => many seismometers.

-Mapping in GMT – Generic Mapping Tools.





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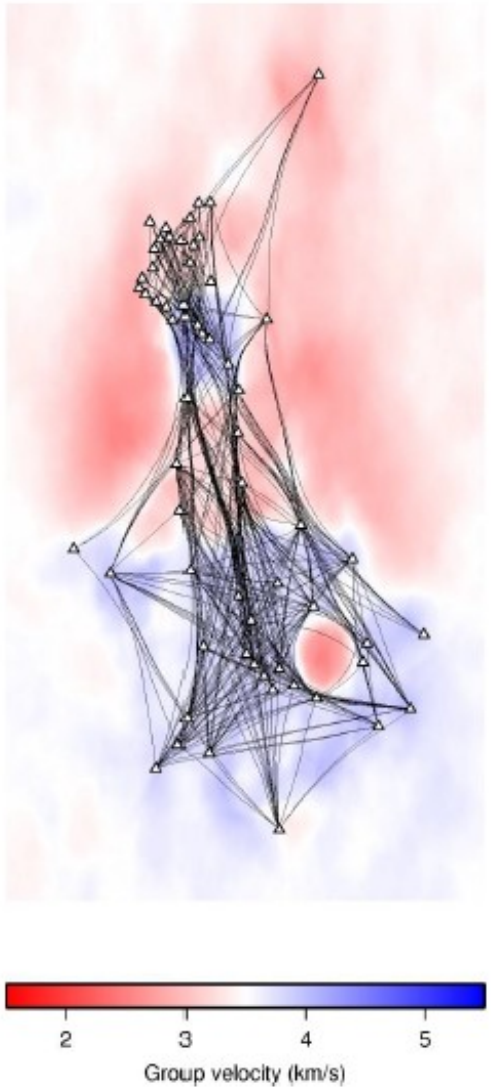
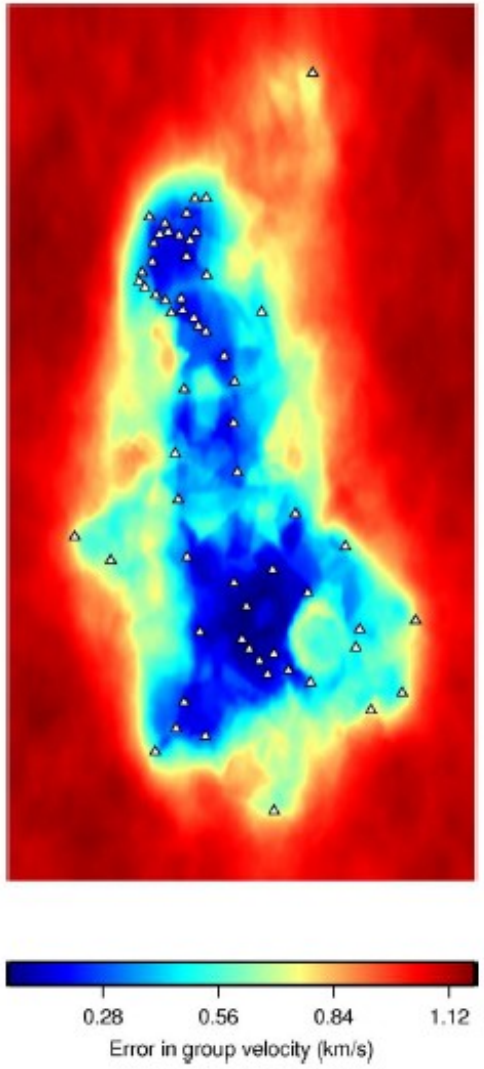
⇩

Could this be implemented in TensorFlow Probability?





ToM-Net – Theory of Mind Neural Network

Observer: Uses Meta-learning to predict behaviors of agents living in a Grid-World (models other agents).

Objective: To rapidly form predictions about new agents from limited data and behavioral traces.

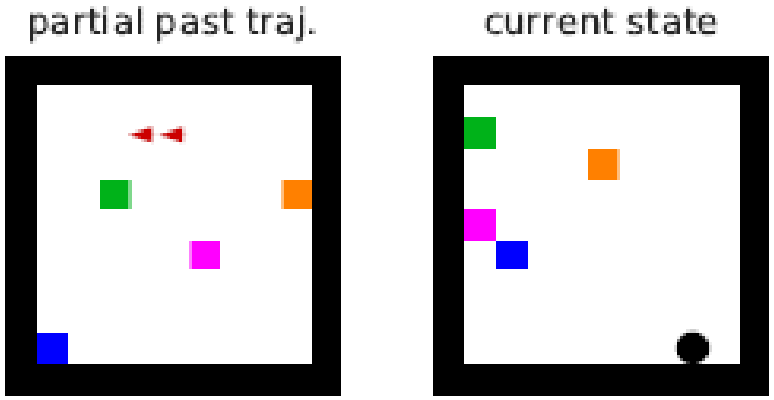
Players: Agents are themselves Deep Reinforcement Learning agents.

Important Feature:

To imitate cognitive predictive patterns of human mind.

-Passes “cognition” tests such as the Sally-Anne test.

Grid-world



Sally-Anne Test

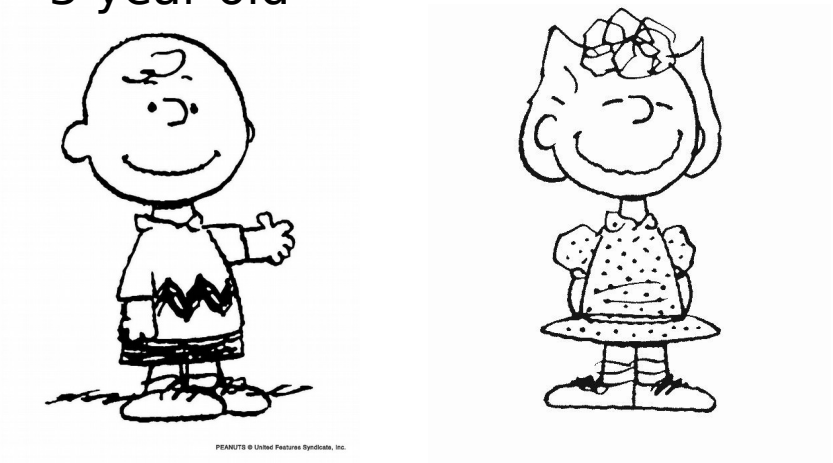
-Developmental psychology test, for measuring a person's social cognitive intelligence: ability to recognize that others have false beliefs about the world.

-Measure of higher intelligence in primates:

3 year old child fails it.

4 year old passes it.

3 year old



Sound-proof
light-proof
scent-proof
barrier

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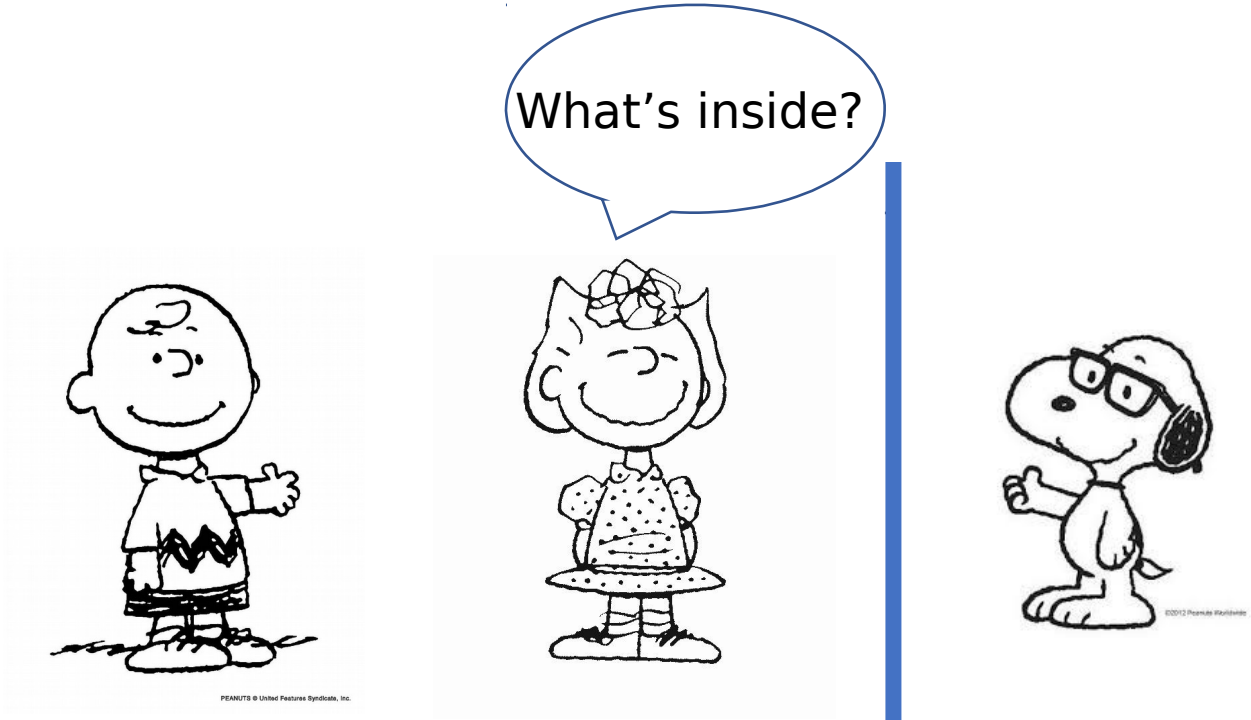

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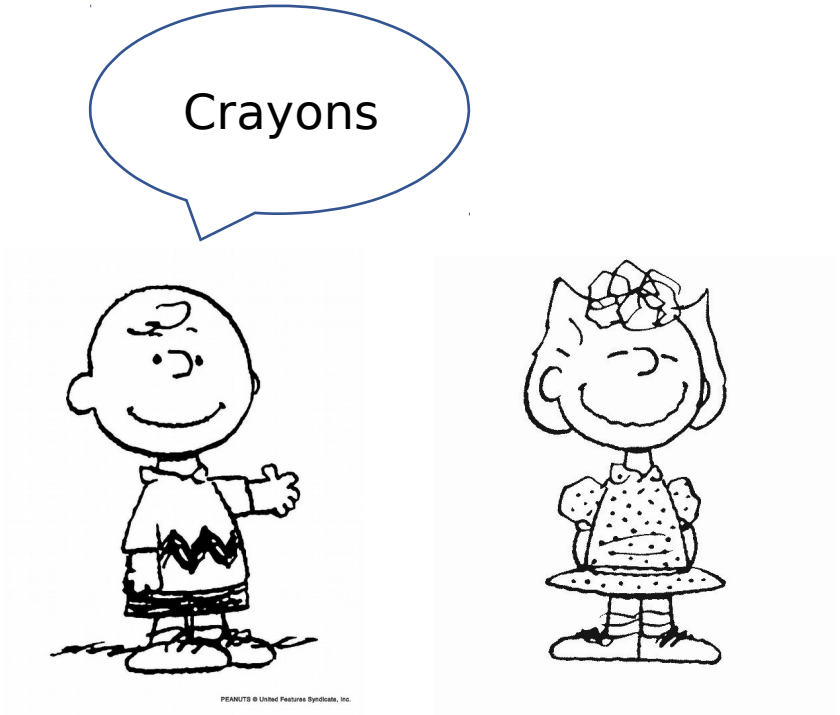

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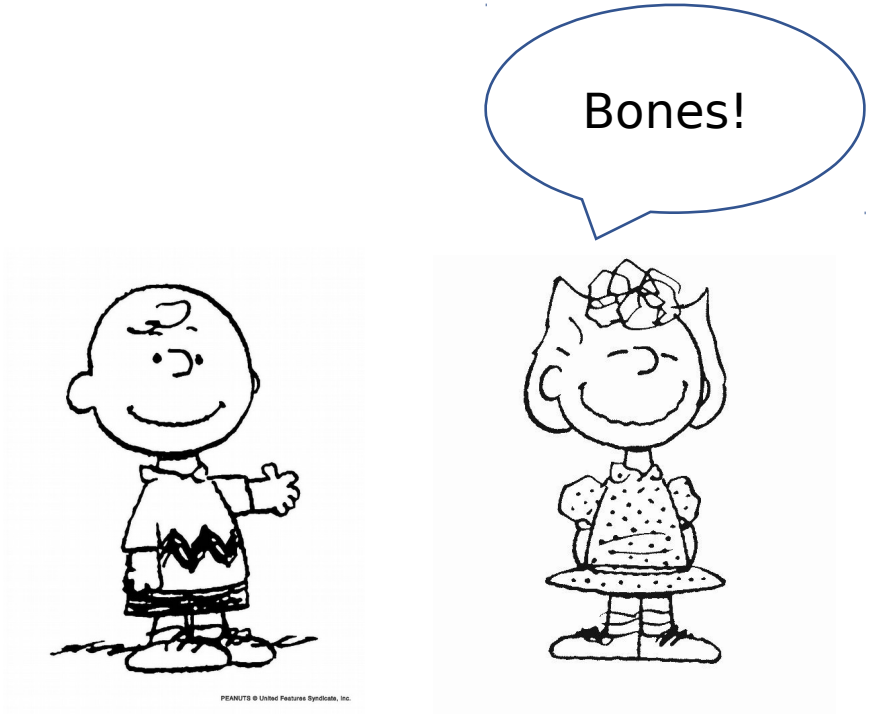

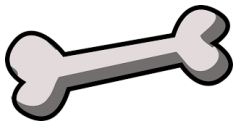

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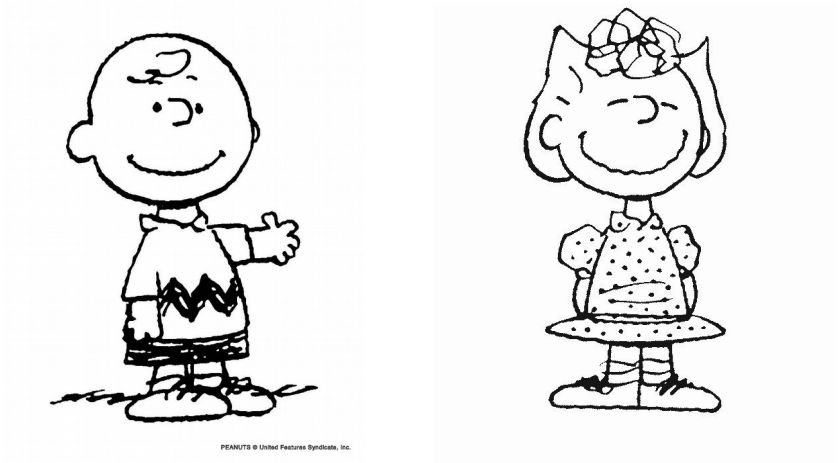

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Remove Snoopy-proof wall!

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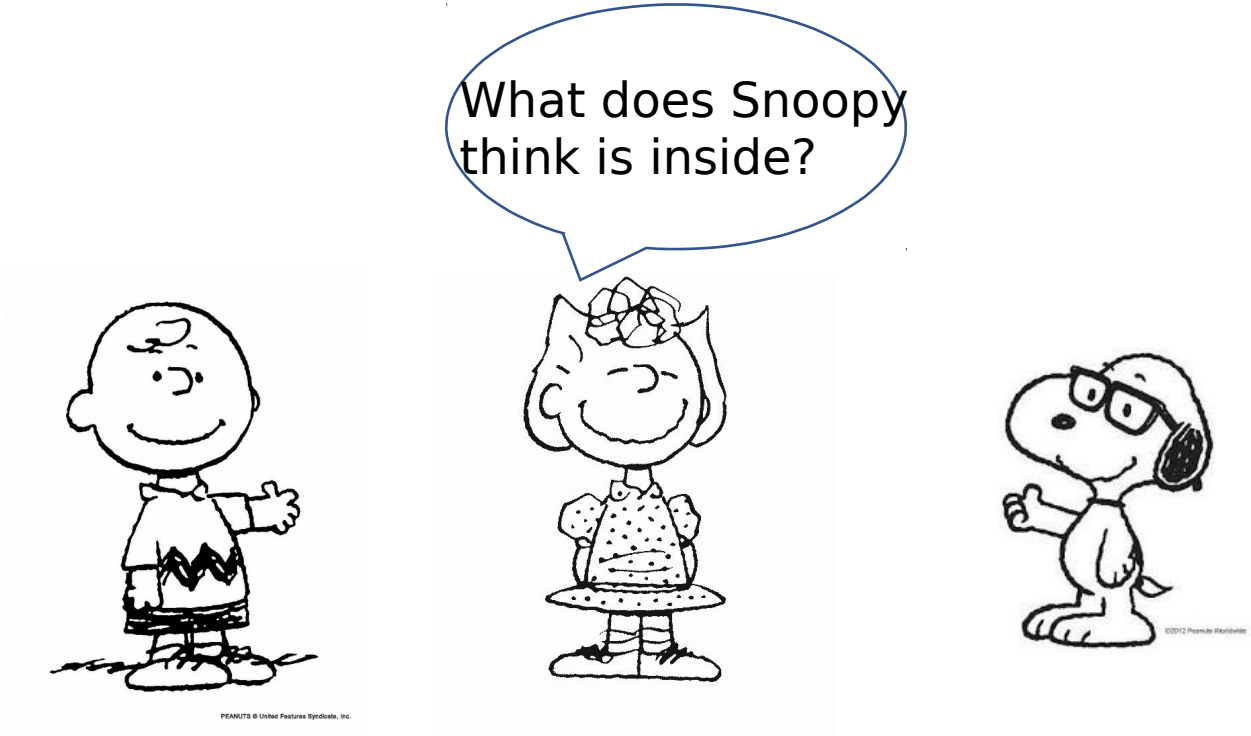

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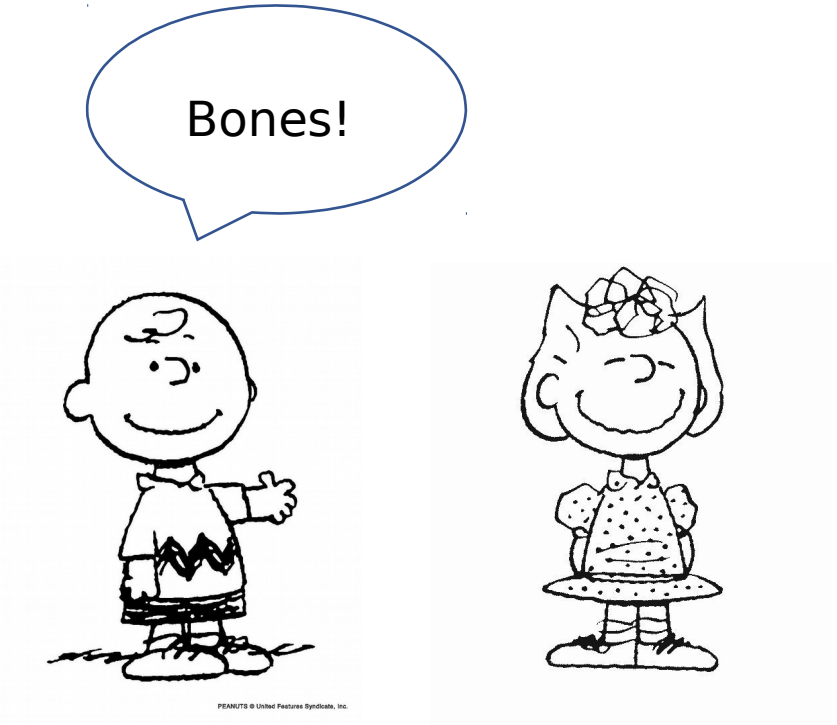

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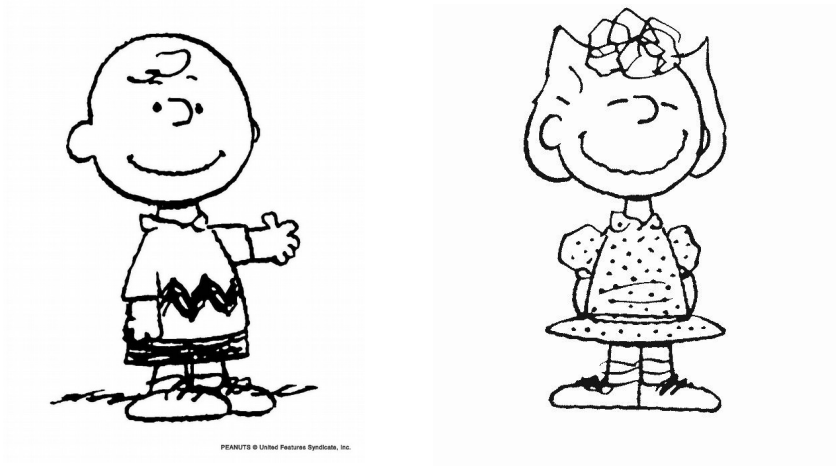

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Repeat first 3 steps with 4 year old

What does Snoopy think is inside?

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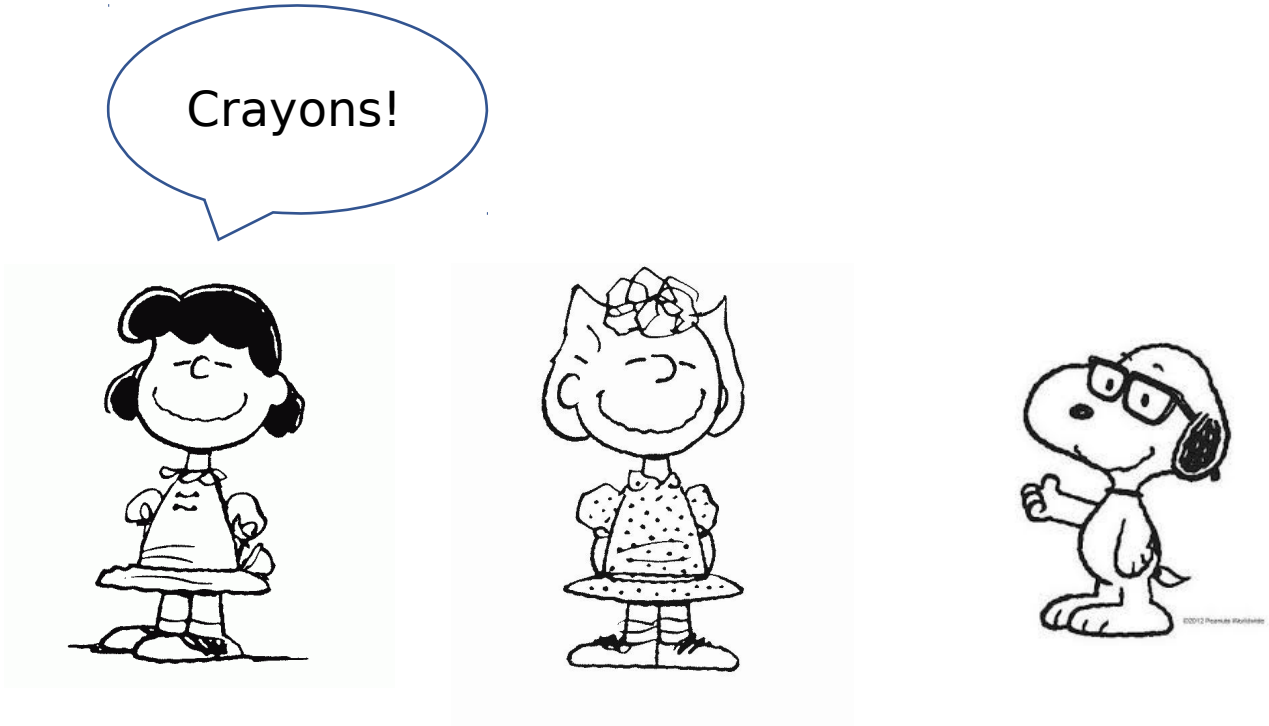

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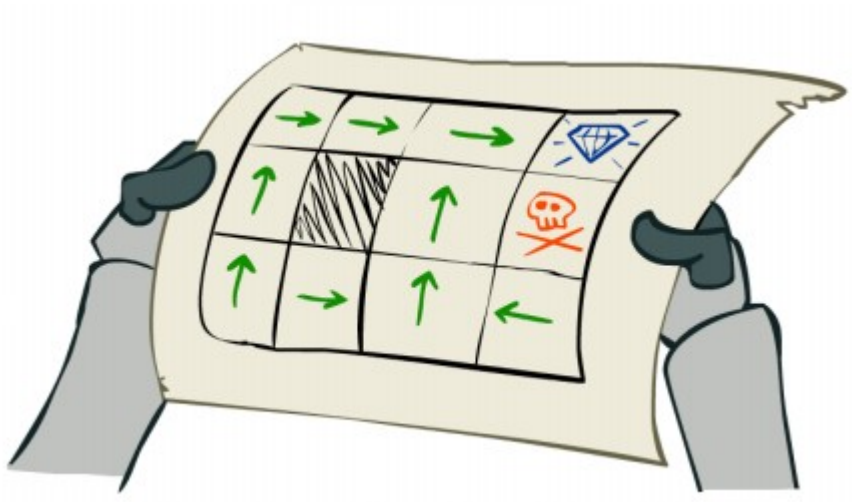
Preliminaries: Markov Decision Process

MDP: Augmented Markov Chain.

(S, A, T, R, γ) such that:

- S states
- $A := A(s)$ set of actions available at s.
- $T(s_{t+1} \mid s_t, a_t)$ prob transition if using action a_t at s_t
- $R_{a_t}(s_t, s_{t+1})$ reward given action a_t.
- $\gamma \in [0, 1]$ is a discount factor.



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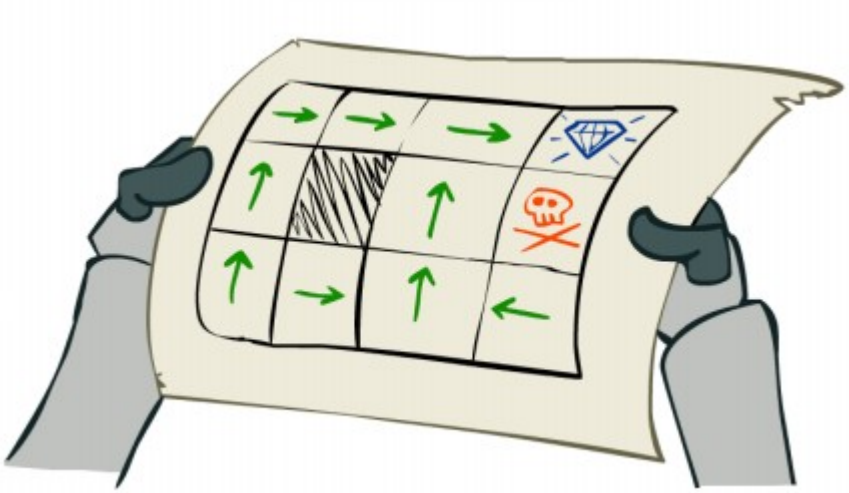

Objective: Find optimal choice (policy) π of actions at all states, maximizing the average discounted reward obtained when starting the chain at any state s.

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Objective: We look for a policy $\pi: S \rightarrow A$ maximizing the discounted average rewards earned starting at state S: $V(s)$.

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Under policy , the expected average reward is recursively defined through:

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$$V^{\pi}(s) := R\big(s, \pi(s)\big) + \gamma \sum_{s'} T_{\pi(s)}(s, s')\, V^{\pi}(s')$$

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The optimal policy π^* is derived from the Bellman Optimality Equation:

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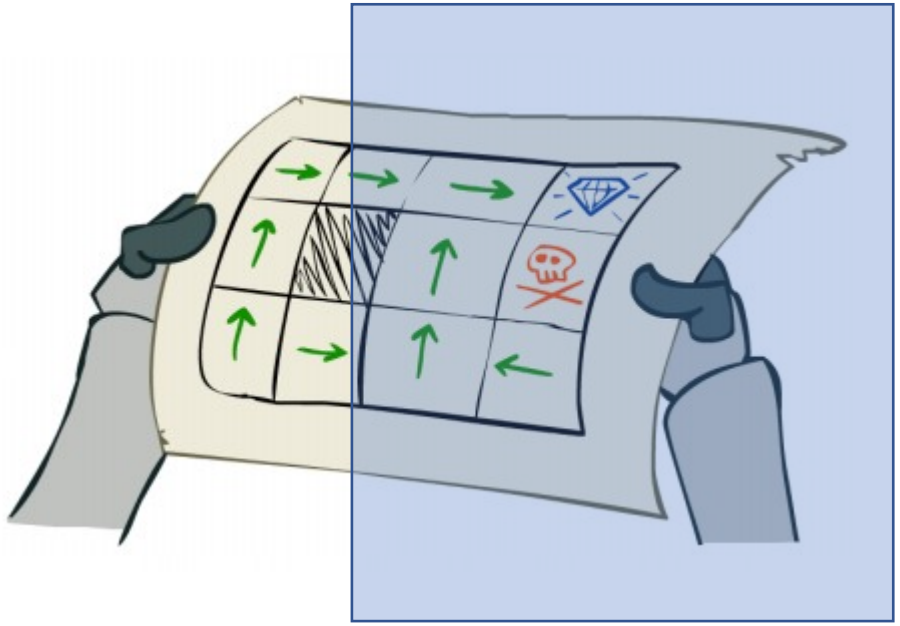
Argument contraction + fixed point theorem => there exists a unique solution to BOE.

Argument contraction + fixed point theorem => there exists a unique solution V^* to BOE.

Partially Observable Markov Decision Process

$(S, A, T, R, O, \omega, \gamma)$ such that:

- O observations,
- ω conditional probability of observations, w.



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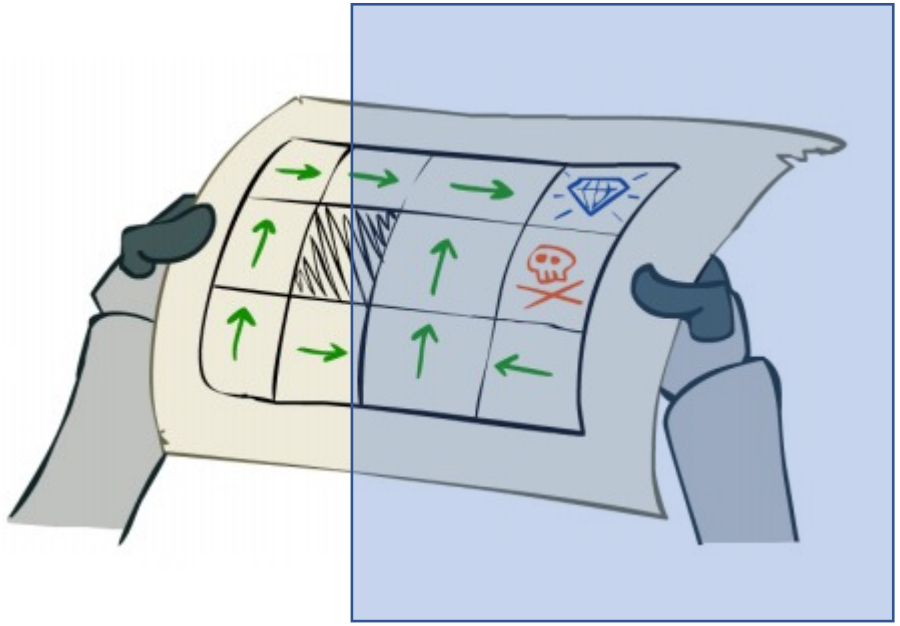
Time, $t+1$, if we are in s' after we take action a and receive observation o with probability $w(o \mid s', a)$. Agent updates it's beliefs b about current state.



Partially Observable Markov Decision Process

$(S, A, T, R, O, \omega, \gamma)$ such that:

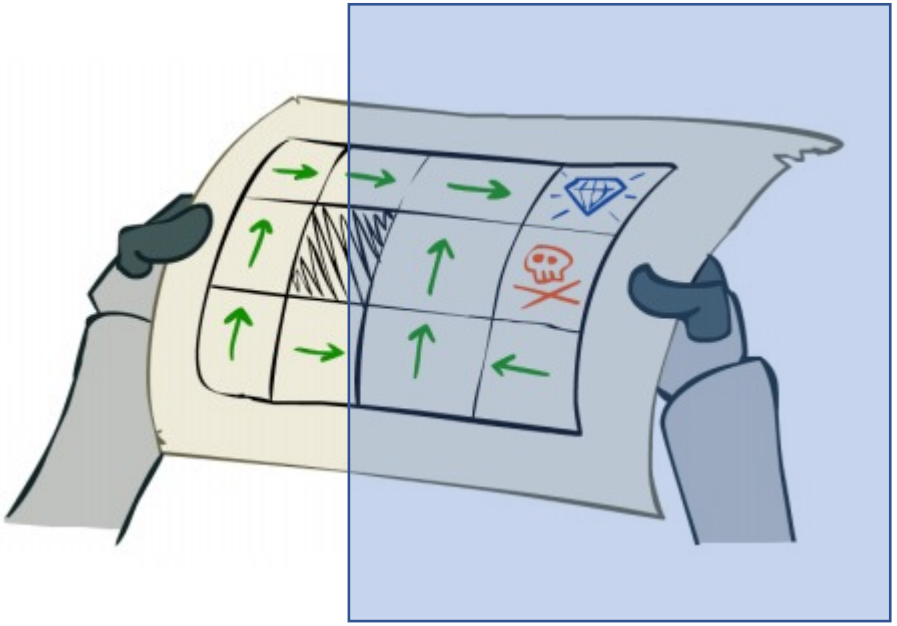
- observations, o
- conditional probability of observations, w.

Time $t+1$, if after we take action a, we receive observation o with probability $w(o \mid s', a)$. Agent updates it's beliefs b about current state.

-The agent tries to infer the new state from observations & beliefs.

-POMDPS $\Rightarrow$ MDPs observations equal true states, probability 1.

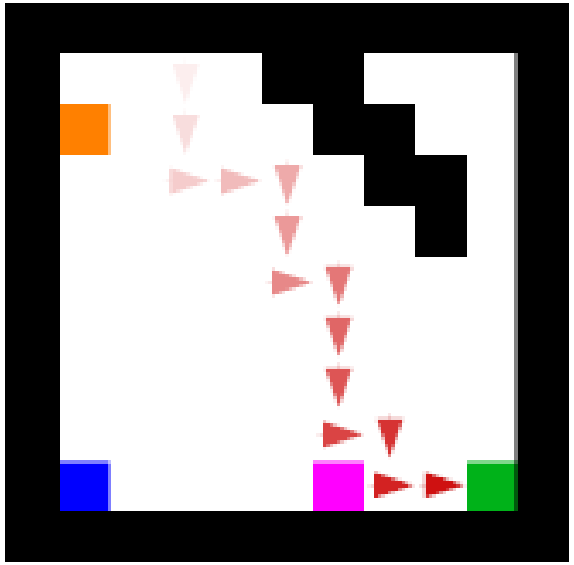
-For POMDPS the Meta-learning process is evident: agent must learn how to deal to the observations and how to update beliefs: parameters in the probability distributions.



The Machine Theory of Mind Architecture

Family of POMPDs $\boldsymbol{M} = \cup_k M_k$, Mazes (11x11), walls, 4 consumable objects.

- (S_k, A_k, T_k)



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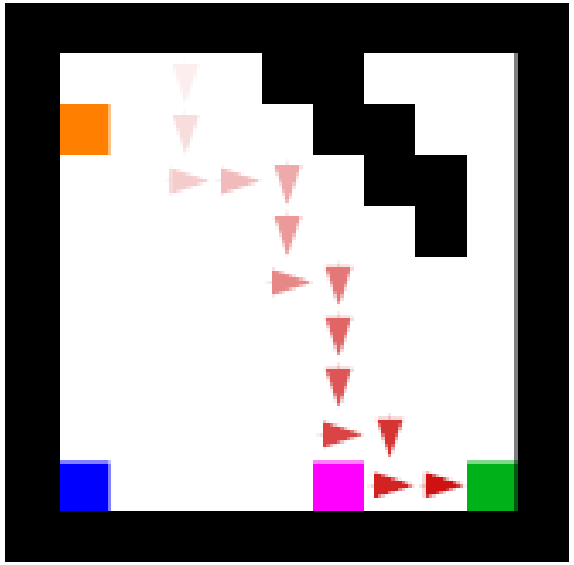
Agents:

Rewards, discount factors, conditional observation functions, and policies are associated with ***Agent i***

- $(O_i, w_i, R_i, \gamma_i, \pi_i)$
- Policies might be stochastic, and non-optimal.



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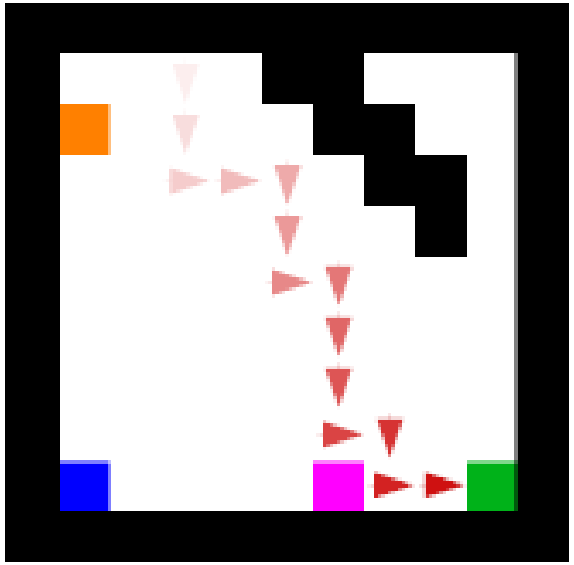

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Observer ToMNet:

- State observation function: $w^{(obs)}: S \rightarrow O^{obs}$
- Action observation function $\alpha^{(obs)}: A \rightarrow A^{obs}$
- $w^{(obs)}(s) = s^{obs}$
- $\alpha^{(obs)}(a) = a^{obs}$

Observer's Architecture

Training:

Observes $\boldsymbol{Agent_i}$, and a set of past trajectories:

$$\left\{\tau_{ij}\right\}_{j=1}^{N_{past}} \rightarrow \left\{\tau_{ij}{}^{(obs)}\right\}_{j=1}^{N_{past}}, \quad \text{where} \quad \tau_{ij}{}^{(obs)} = \left\{\left(s_t^{(obs)}, a_t^{(obs)}\right)\right\}_{t=0}^{T}$$

Observer's Architecture

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Observes $Agent_t$, and a set of past trajectories:

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- Here $s_t^{(obs)}$ is a **tensor of size 11 x 11 x K.**
- K feature planes, such as walls, objects, agent.

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- Here $s_t^{(obs)}$ is a **tensor of size 11 x 11 x K.**
- K feature planes, such as walls, objects, agent.
- Also $a_t^{(obs)}$ is a dimension 5 logit, fully characterizing the action: **[· , ↓ , →, ↑, ←]**
- The trajectory $\tau_{ij}{}^{(obs)}$ is a tensor is of size 11x11x (K + 5).

Observer's Neural Net

Character Net: Characterizes the past $\{\tau_{ij}{}^{(obs)}\}_{j=1}^{N_{past}}$ (2D Tensor)

$$\tau_{ij} \xrightarrow{f_\theta} e_{char,ij} \quad \text{(2D Tensor)}$$

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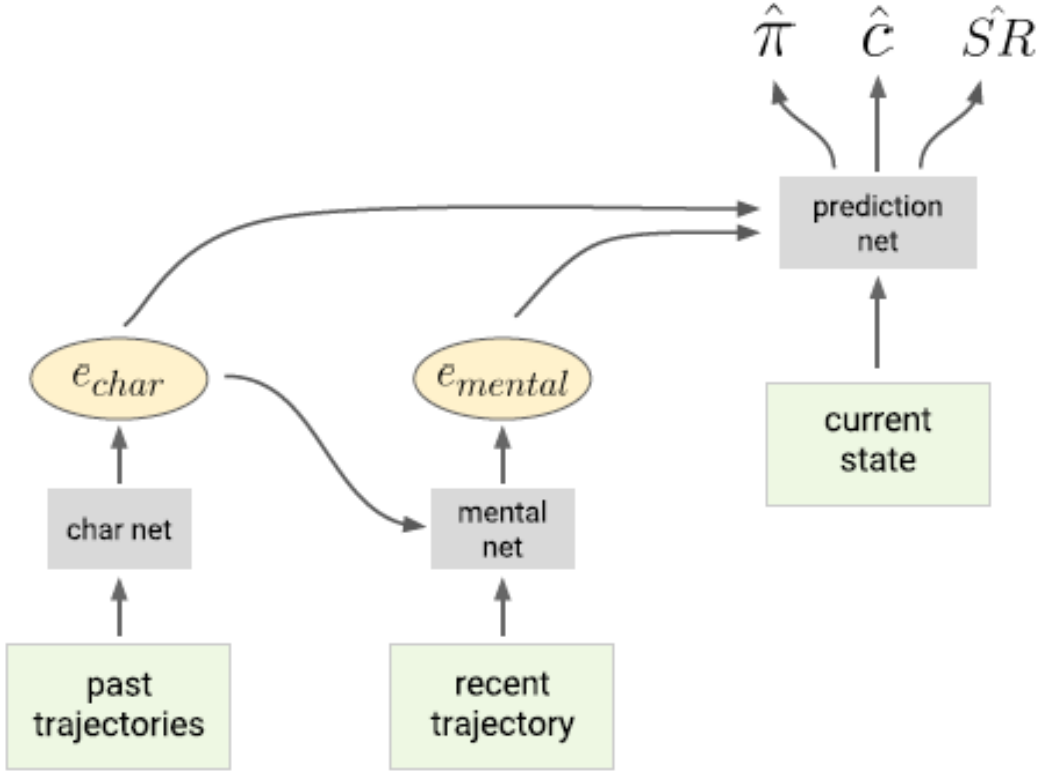

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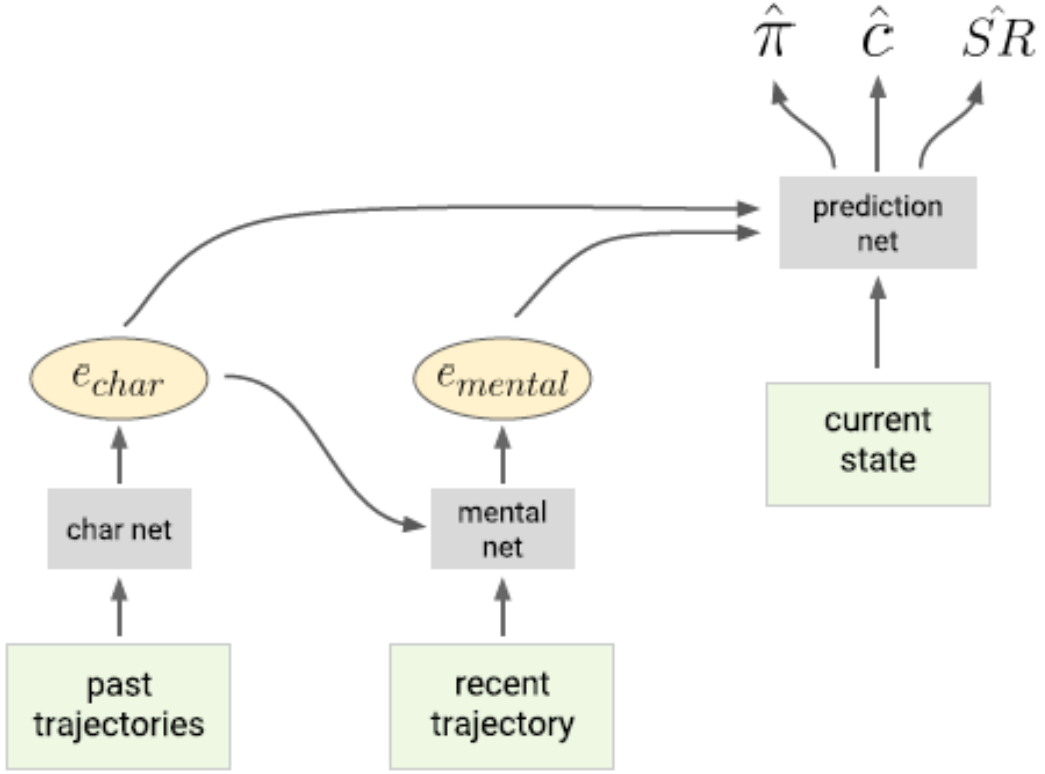
Mental Net: Mentalizes about the CURRENT EPISODE

$$[\tau_{ij}]_{0:t-1}, e_{char,i} \xrightarrow{g_\theta} e_{mental,i}$$



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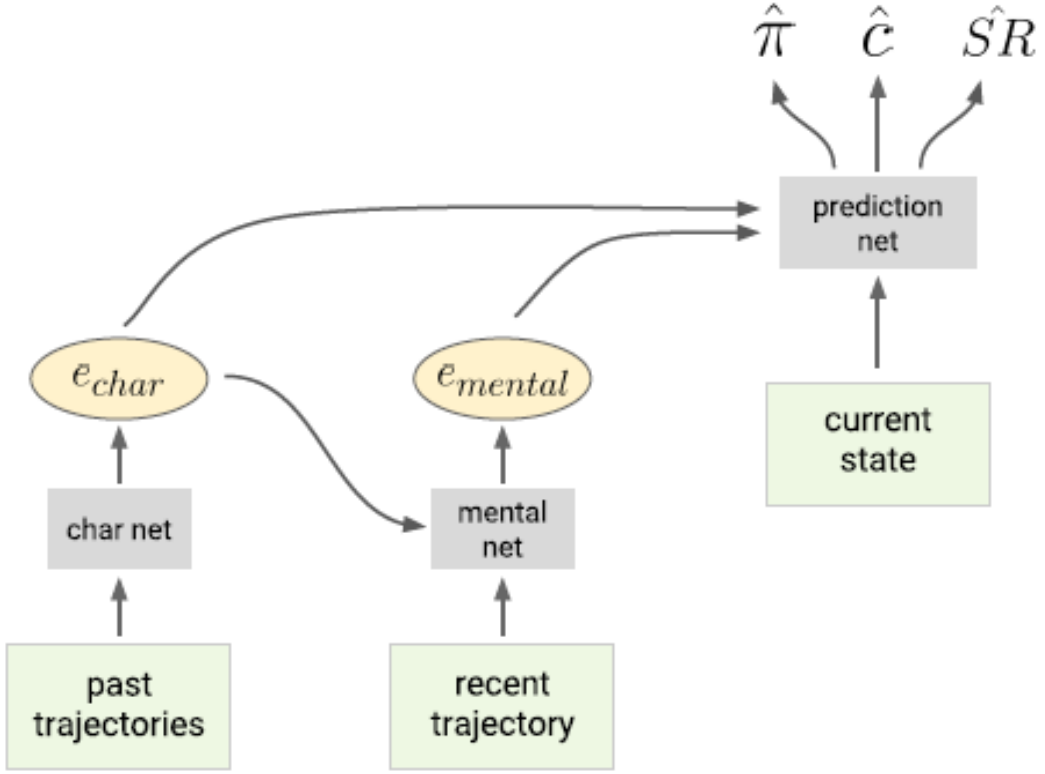
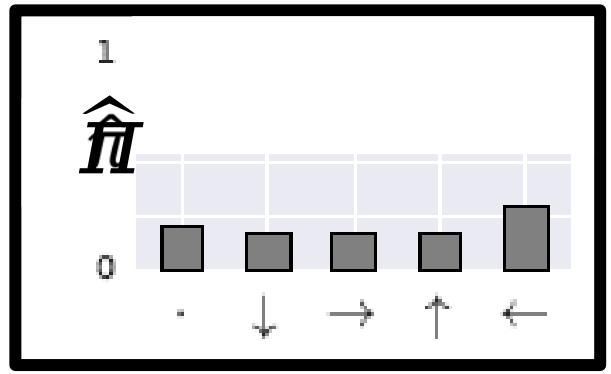
Mental Net: Mentalizes about the CURRENT EPISODE

$[\tau_{ij}]_{0:t-1}, e_{char,i} \xrightarrow{g_\theta} e_{mental,i}$

Prediction Net: Current state + Character + Mental to estimate:

- Predicted policy: $\hat{\pi}(\cdot \mid s_t^{(obs)}, e_{char}, e_{mental})$
- Probability of consuming an object $\hat{c}$





Experiments

Fully Random agents

- Species of agents.
- 5D stochastic policy vector $\pi_i(\cdot) := \boldsymbol{\pi_i}$
- $\boldsymbol{\pi_i} \sim Dir(\alpha)$, Dirichlet distribution. Species can be written as $S(\alpha)$.
- For $\alpha \ll 1$, one-sided deterministic policies. $\alpha \sim 3 \Rightarrow$ uniform distribution.

Training

- Observe sample from species $S(\alpha)$, running on Grid-Worlds.
- A set of recent trajectories, with $N_{past} \sim U\{0, 10\}$.
- Length of trajectory = 1.
- Adam optimizer, $\delta = 10^{-4}$, 40K Minibatches of size 16.

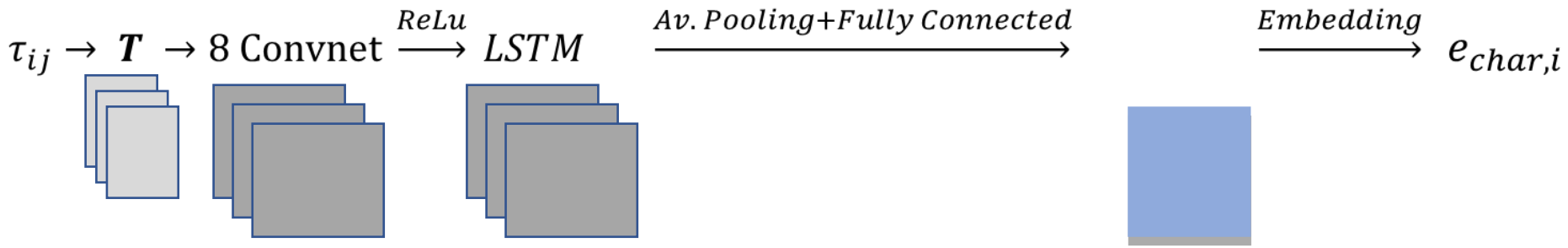
Architecture

Fully Random agents:

Fully Random agents:

- Character Net: tensor for the trajectory, dim (11x11)x(K + 5)

Character Net: T tensor for the trajectory, dim (11x11)x(K + 5)



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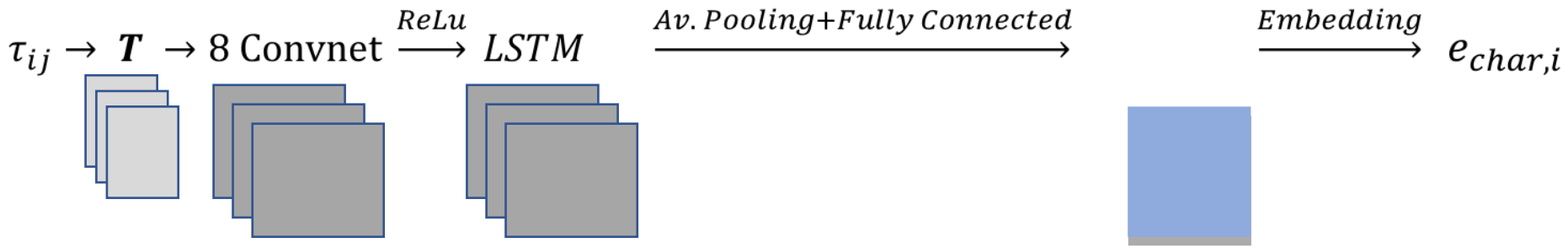
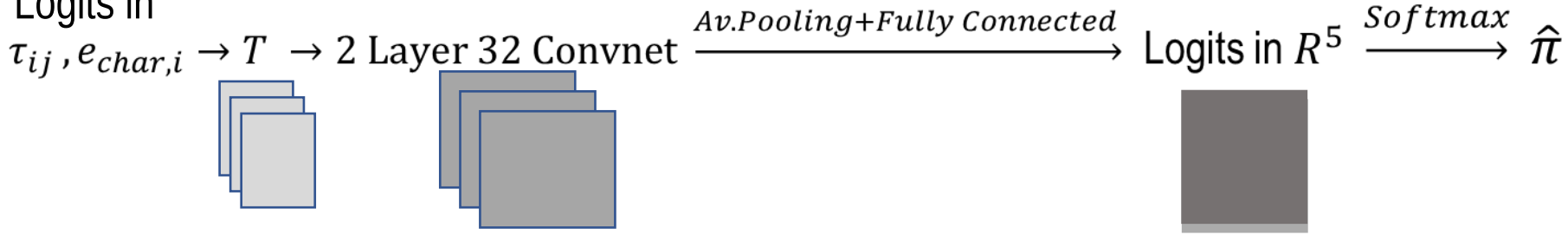


- Mental State: None.

Mental State: None.

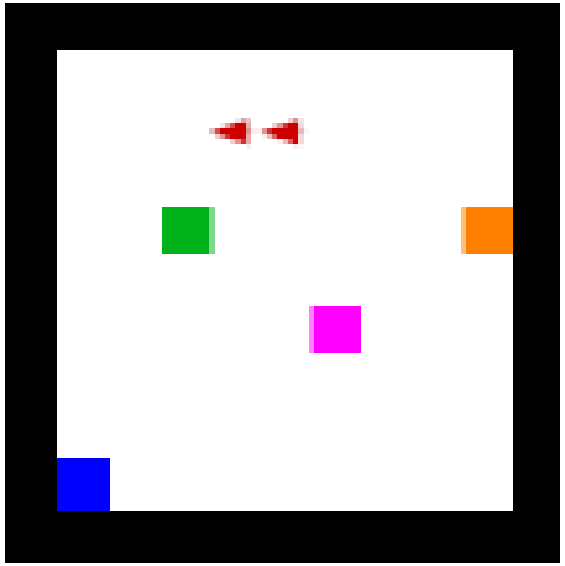
- Prediction Net:

Prediction Net:

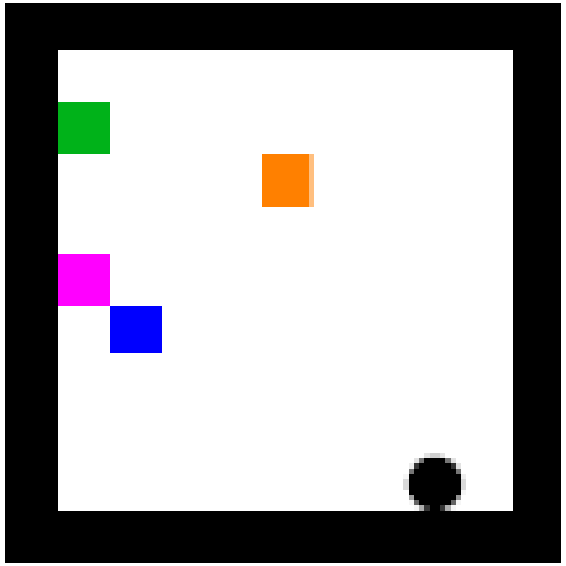


Random agent Training

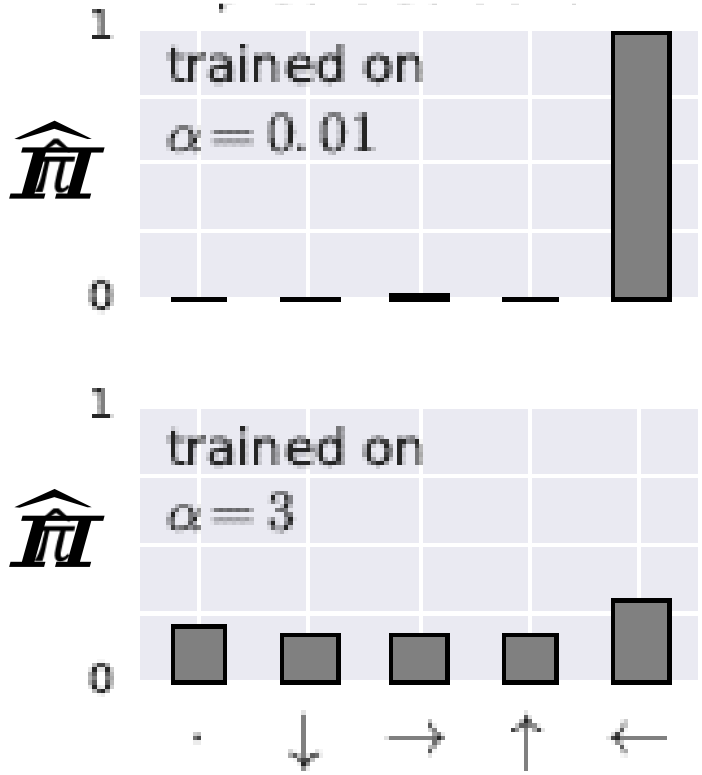
Partial past trajectory



Current state

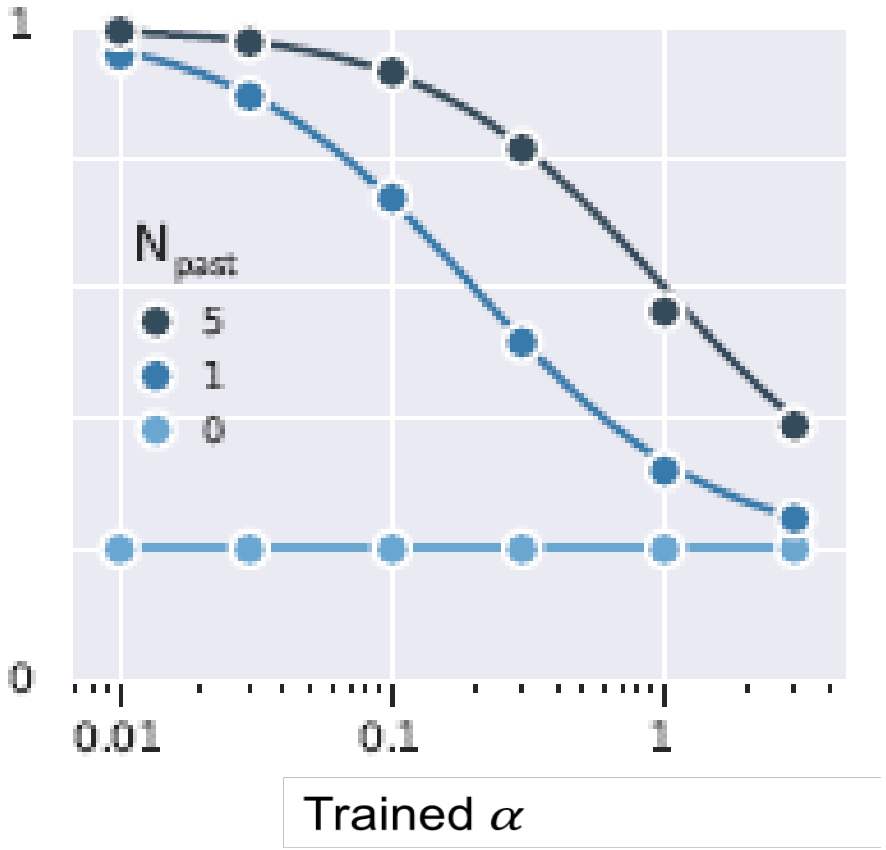


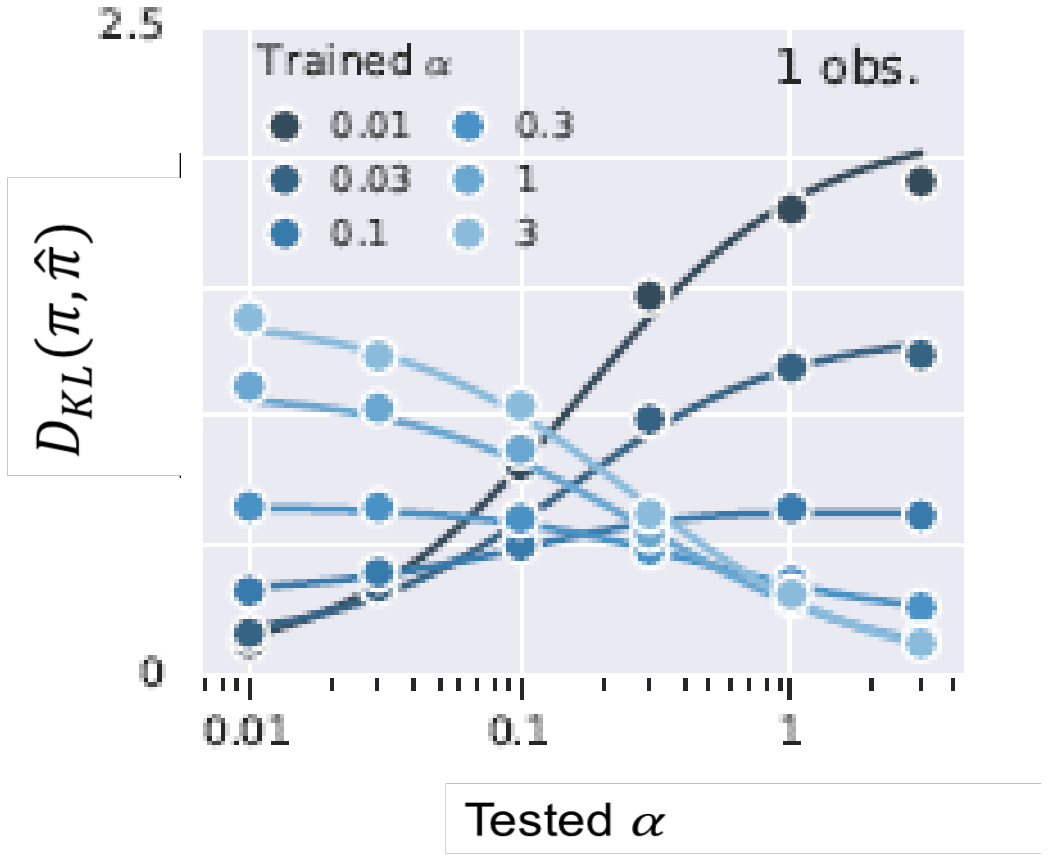
Predicted action



Random agent Training

Estimated prob. of performing an action





- TD-MC estimates increase with the number of past observations of that action!
- $D_{KL}(\pi, \hat{\pi})$ is the divergence between the true and estimated stochastic policies.

Inferring goal-directed behaviour

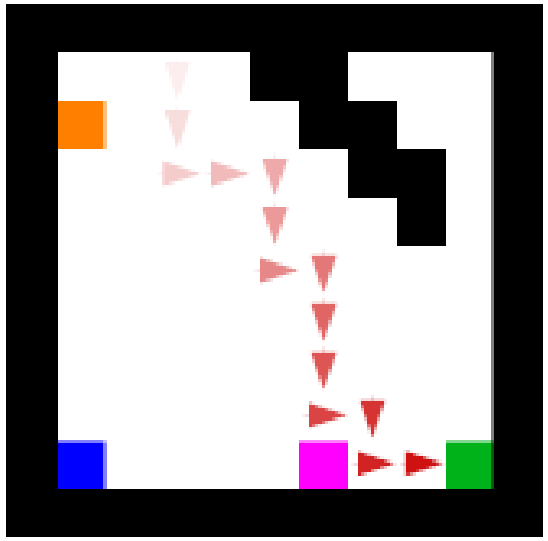
- ToMNet learns to infer goals of reward seeking agents.
  - 4 consumable objects.
  - Agent has a reward function: when consuming an object.
  - -0.01 for every move.
  - Penalty of -0.05 for walking into walls.
  - Agent finds optimal policy π^* through Bellman equation.

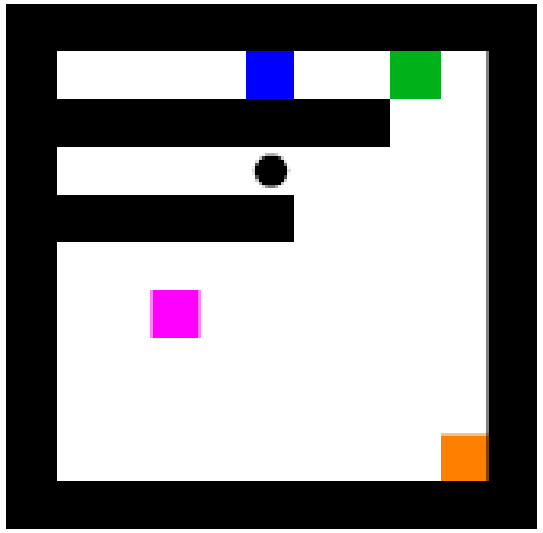
Training: ToMNet observes a single full trajectory of an agent acting on the Grid-World.

Training: ToMNet observes a single full trajectory of an agent acting on the Grid-World.

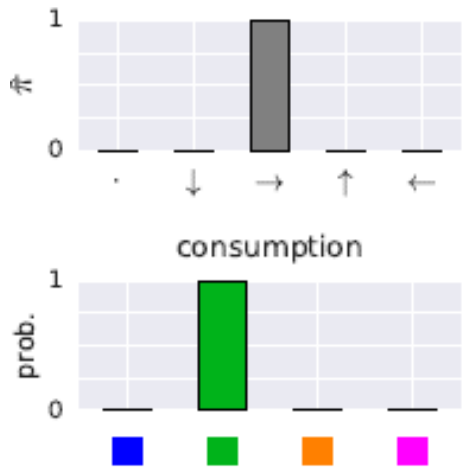
Inferring goal-directed behaviour

Observe single past MDP



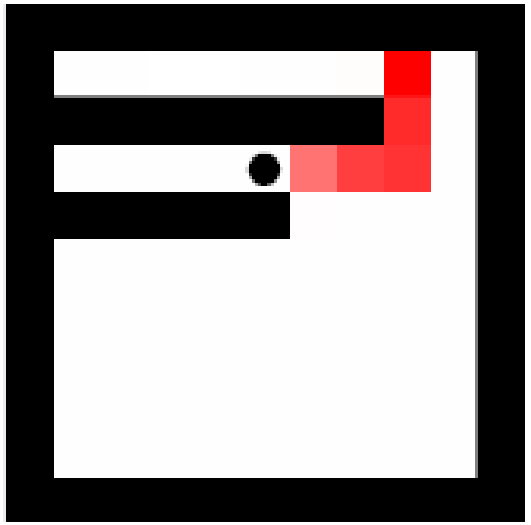


Current state



ToMNet prediction of next action

Prediction of successive states



ToMNet vs Sally-Anne Test

ToMNet must pass the Sally-Anne test!

- Create POMDPs, agents 5 x 5 visibility window, where agents have false beliefs.
- We run random changes in the environment that are invisible to the agent.
  - Agent has a goal and a sub-goal.

- When obtaining the sub-goal => *swap* the remaining objects, with low probability.

Acting on false beliefs: Preliminaries

- Sub-goal: star. Goal: blue object.
- Dark grey => not observed.
- Light grey => observed before but NOT during goal consumption.
- Consumption => p=0.01 of *swap* event
- Observe Effect of swap in agent's policies and expected future moves.

Acting on false beliefs: Preliminaries

- Sub-goal: star. Goal: blue object.
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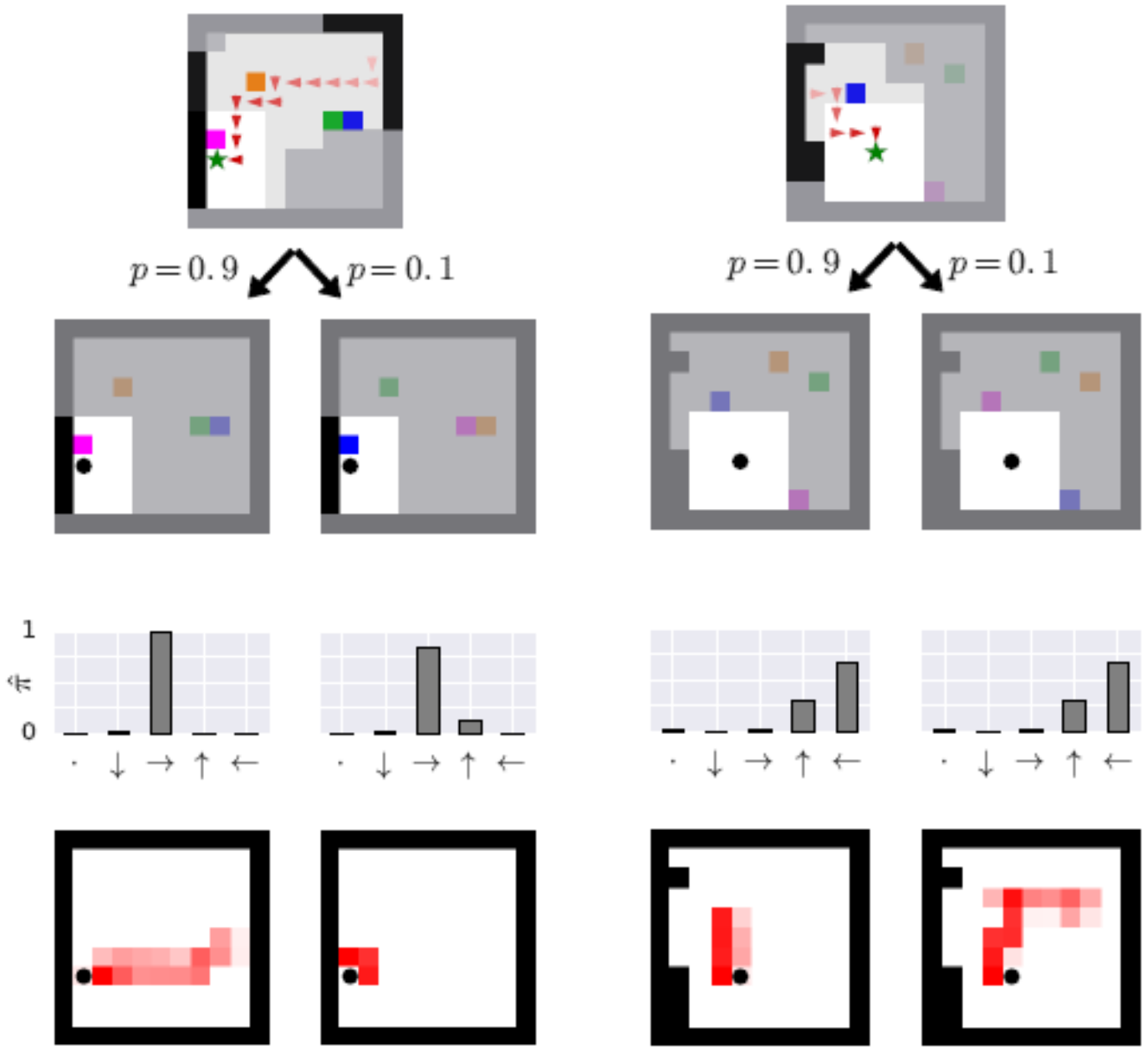
- **Left: Swap event within field of view.**
- **Right: Swap event outside of field of view.**



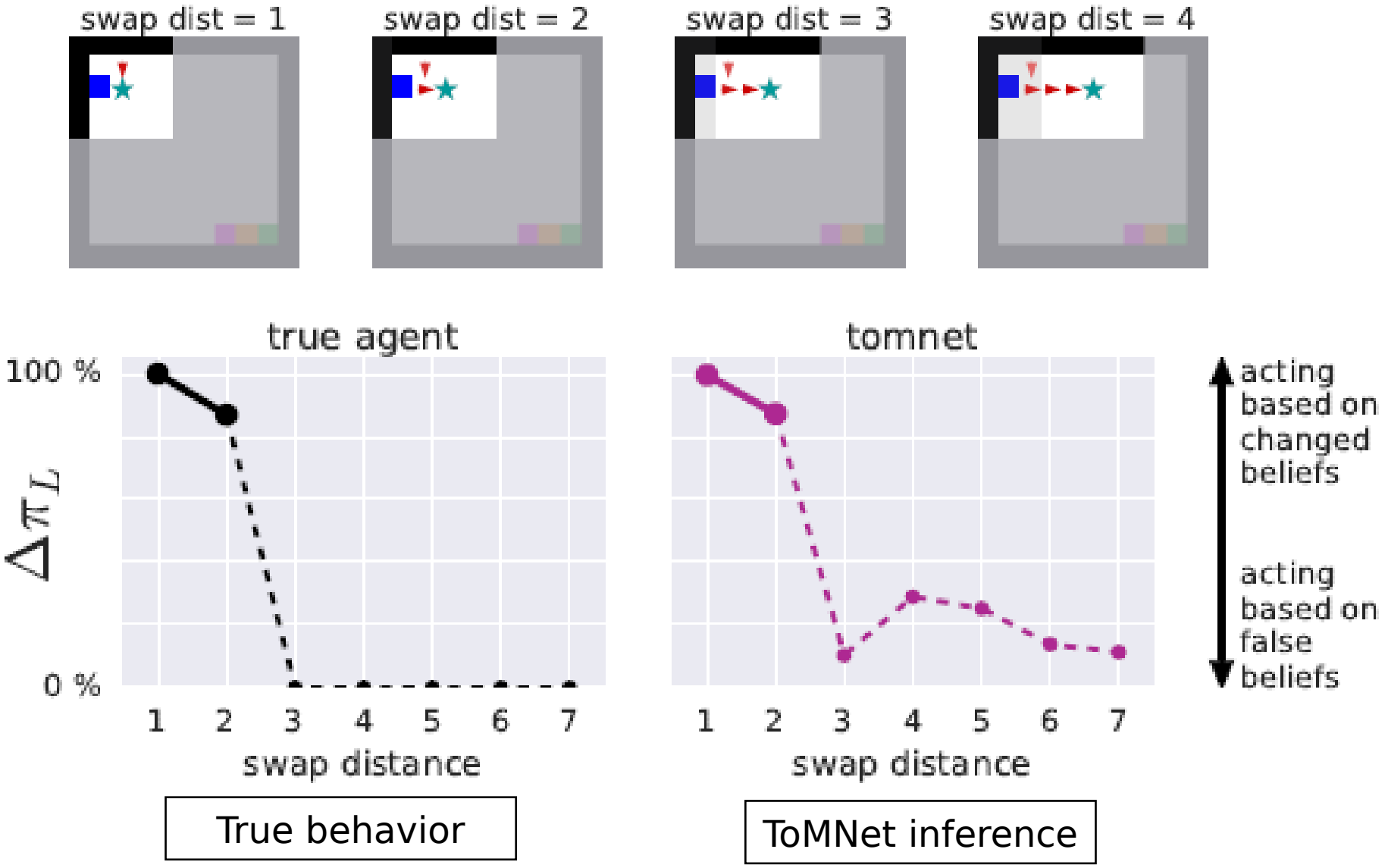
Running the Sally-Anne Test

- Agent has 5 x 5 window, consume star (sub-goal), prefers blue object.
- If we increase distance to swap, it may be invisible.

- Agent's policy unchanged for invisible swap.

$$\Delta\pi_L = \frac{\pi(a_L \,|no\ swap) \ - \ \pi(a_l \,|swap)}{\pi(a_L \,|no\ swap)} * 100\%$$

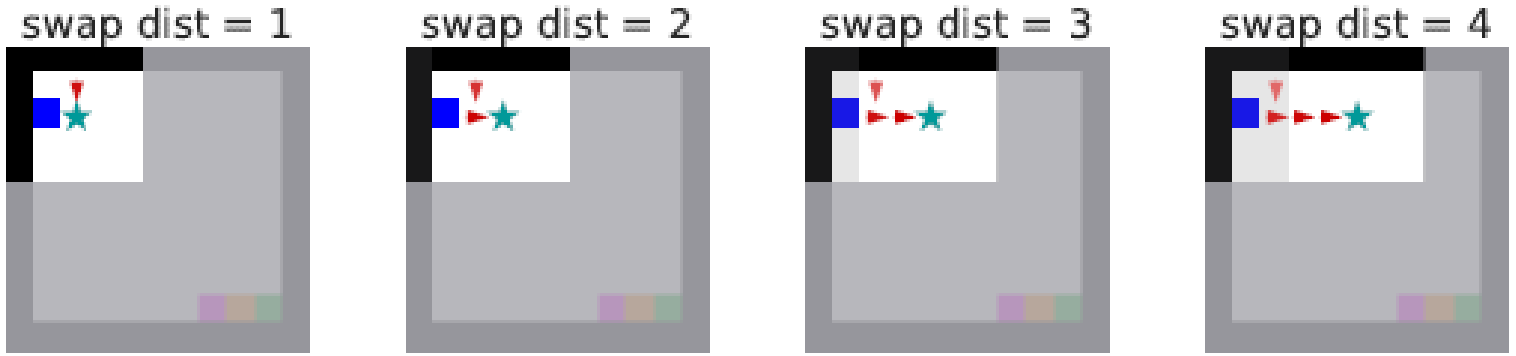
Running the Sally-Anne Test

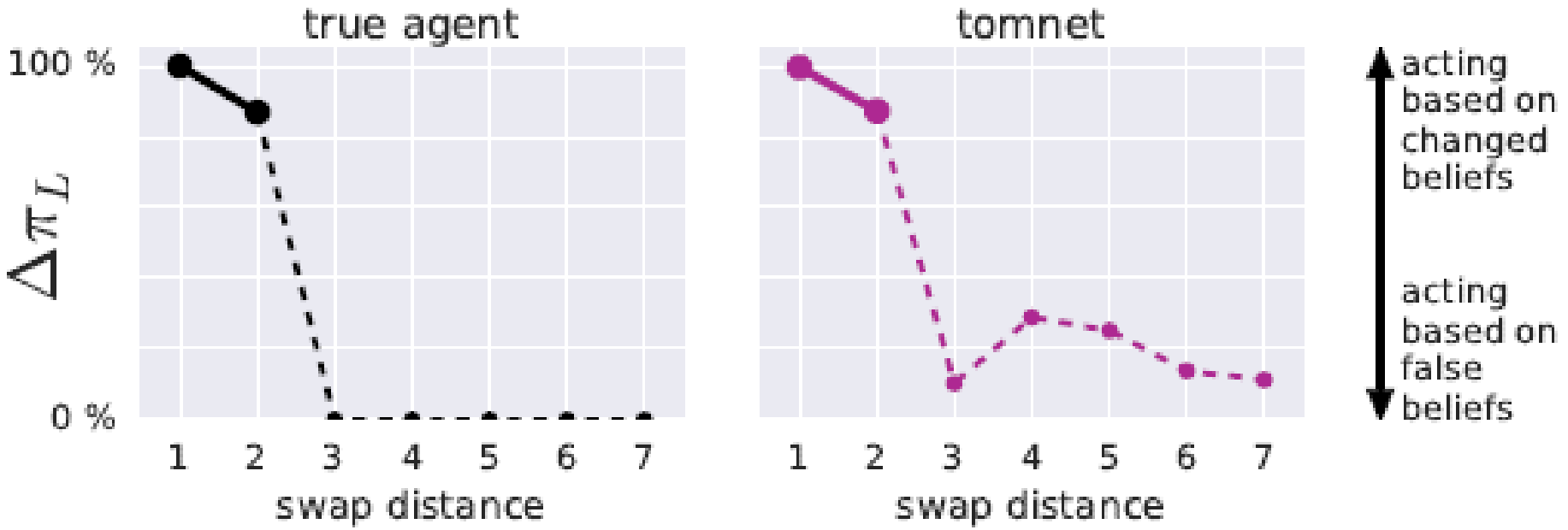


Running the Sally-Anne Test

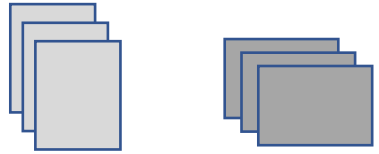
It passes!

ToMNet-4 has IQ





Architecture



- Character Net: *ConvNet + LSTM* f_θ
- Mental State: None.
- Prediction Net:
  - Three predictions, with shared Torso: *ConvNet*
    - Policy Prediction: *ConvNet* $a_\theta \Rightarrow \hat{\pi}$
    - Probability Consumption Prediction: *ConvNet* $c_\theta \Rightarrow \hat{c}$
    - Sucessor Representation: *ConvNet* $SR_\theta \Rightarrow \widehat{SR}$

- Deep RL Agents: UNREAL architecture, 100M episodes, trained on CPU

- Belief Prediction Head:
  - ConvNet ⇒ 11x11x5 Dim Logit predicted belief objects present on map.
  - ConvNet ⇒ 11x11x5 Dim Logit predicted belief objects absent from map.

THANK YOU