# Human-level control through deep reinforcement learning

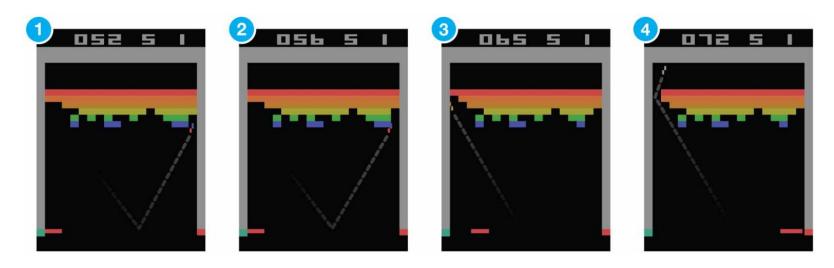
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## **Agenda**

- Problem
- Action-value function Q
- Paper's additions to Q learning
- Training details
- Algorithm
- Results
- Summary and discussion

#### **Problem**

- Create an agent that would perform different, varied and challenging tasks
- Tasks: 49 games in the Atari 2600 platform



## **Agent and emulator**

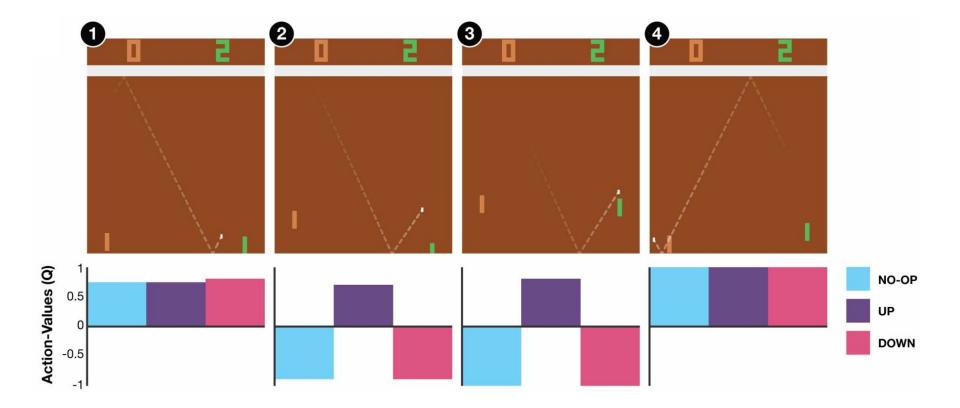
- Agent selects action  $a_t$  from  $\{a_1, ..., a_K\}$  possible game actions
- Emulator executes action and returns:
  - Image for the current screen  $x_t$
  - Game score  $\rightarrow \Delta$  game score for reward  $f_t$
- Agent's goal: maximize future required by v = 0.00
  - Discounted by  $\gamma = 0.99$

## Action-value function Q

Optimal action-value function

$$Q^*(s, a) = \max_{\pi} \mathbb{E} \left[ R_t \mid s_t = s, a_t = a, \pi \right]$$
$$= \mathbb{E}_{s'} \left[ r + \gamma \max_{a'} Q^*(s', a') \mid s, a \right]$$

- Observed states: s this step, s' next step
- Action taken: a this step, a' next step
- $\pi$ : policy mapping states to actions



## Q-network: approximator for Q\*

Instead of optimal target values

$$r + \gamma \max_{a'} Q^* (s', a')$$

use approximate target values

$$r + \gamma \max_{a'} Q\left(s', a'; \theta_i^-\right)$$

- $\theta_i$ : parameters from some previous iteration
- Q-network: neural network function approximator with weights  $\theta$

## **Loss function**

For iteration i

$$L_i(\theta_i) = \mathbb{E}_{s,a,r,s'} \left| \left( r + \gamma \max_{a'} Q(s',a';\theta_i^-) - Q(s,a;\theta_i) \right)^2 \right|$$

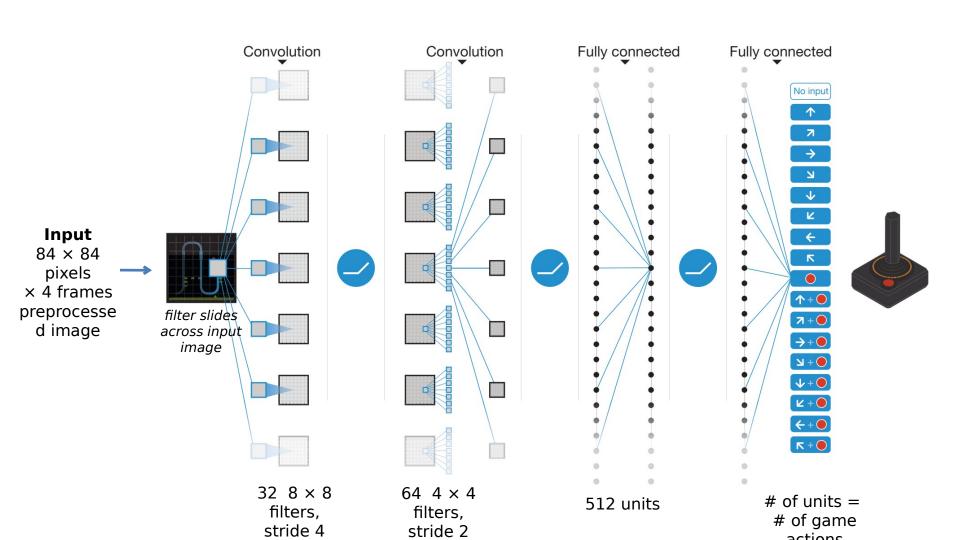
$$\nabla_{\theta_i} L(\theta_i) = \mathbb{E}_{s,a,r,s'} \left[ \left( r + \gamma \max_{a'} Q(s',a';\theta_i^-) - Q(s,a;\theta_i) \right) \nabla_{\theta_i} Q(s,a;\theta_i) \right]$$

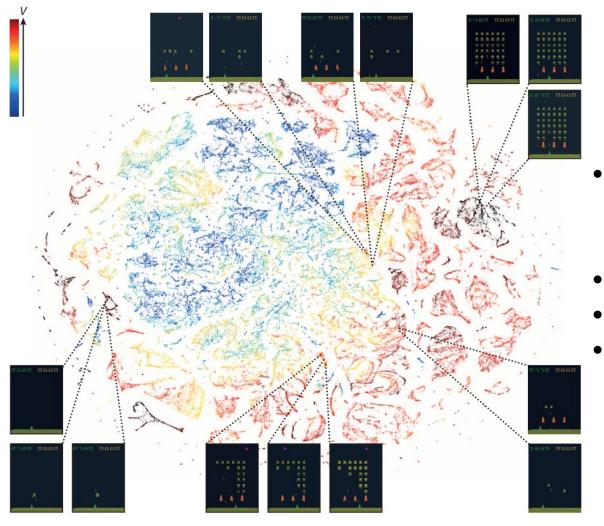
# Paper's additions to Q-learning

1. Convolutional network

2. Experience replay

3. Second target network





- Values of game states in the last hidden layer
- t-SNE
- After 2 hours of play
- Nearby points = perceptively similar states

## 2. Experience replay

- Store a history of 1 mln most recent (s, a, r, s') in replay memory
- Draw random minibatch of samples during training
- Stabilizes learning: prevents the agent from learning from only recent experiences
  - Which are highly correlated with current state
- Start populating after 50,000 initial random moves

## 3. Second target network Q

- Use  $\hat{Q}$  to generate targets  $y_j$  on each update
  - Instead of the main network Q
- Periodically set  $\hat{Q} = Q$
- Stabilizes learning: makes divergence less likely
  - An update that increases  $Q(s_t, a_t)$  often also increases  $Q(s_{t+1}, a) \forall a$
  - So increases  $y_i \rightarrow$  possible divergence

#### **Effect of experience replay and target network**

Game	With replay, with target Q	With replay, without target Q	Without replay, with target Q	Without replay, without target Q
Breakout	316.8	240.7	10.2	3.2
Enduro	1006.3	831.4	141.9	29.1
River Raid	7446.6	4102.8	2867.7	1453.0
Seaquest	2894.4	822.6	1003.0	275.8
Space Invaders	1088.9	826.3	373.2	302.0

## **Training**

- RMSProp, minibatch size 32
- $\varepsilon$ -greedy policy
  - $\varepsilon$  annealed from 1 to 0.1 in the first 1 mln frames
  - $-\varepsilon = 0.1$  afterwards
- Trained for 50 million frames (≈38 days of playing)

## **Preprocess input**

- Atari 2600 frames are 210 × 160 color images
- Preprocess:
  - 1. Max of each pixel color value over frame at t and t-1 to remove flickering
  - 2. Rescale to  $84 \times 84$  and convert to grayscale
  - 3. Stack 4 most recent frames

## Other training elements

- Both rewards and errors clipped at [-1; 1]
- Select action on every 4<sup>th</sup> frame, instead of every frame
  - Selected action repeated on skipped frames
  - Less expensive to run the emulator for one step than to have the agent select an action
  - The fastest a human can press the "fire" button is every 6<sup>th</sup> frame
- Hyperparameters selected in "informal search" (not e.g. grid search)

### Algorithm 1: deep Q-learning with experience replay.

Initialize target action-value function Q with weights  $\theta^- = \theta$ 

For episode 
$$= 1$$
,

Initialize sequence  $s_1 = \{x_1\}$  and preprocessed sequence  $\phi_1 = \phi(s_1)$ 

For t = 1,T do

**End For** 

**End For** 

For 
$$t = 1$$
,T do

otherwise select  $a_t = \operatorname{argmax}_a Q(\phi(s_t), a; \theta)$ 

Set  $y_j = \begin{cases} r_j & \text{if episode terminates at step } j+1 \\ r_j + \gamma \max_{a'} \hat{Q}(\phi_{j+1}, a'; \theta^-) & \text{otherwise} \end{cases}$ Perform a gradient descent step on  $(y_j - Q(\phi_j, a_j; \theta))^2$  with respect to the

Store transition  $(\phi_t, a_t, r_t, \phi_{t+1})$  in DSample random minibatch of transitions  $(\phi_j, a_j, r_j, \phi_{j+1})$  from D

Execute action  $a_t$  in emulator and observe reward  $r_t$  and image  $x_{t+1}$ Set  $s_{t+1} = s_t, a_t, x_{t+1}$  and preprocess  $\phi_{t+1} = \phi(s_{t+1})$ 

network parameters  $\theta$ 

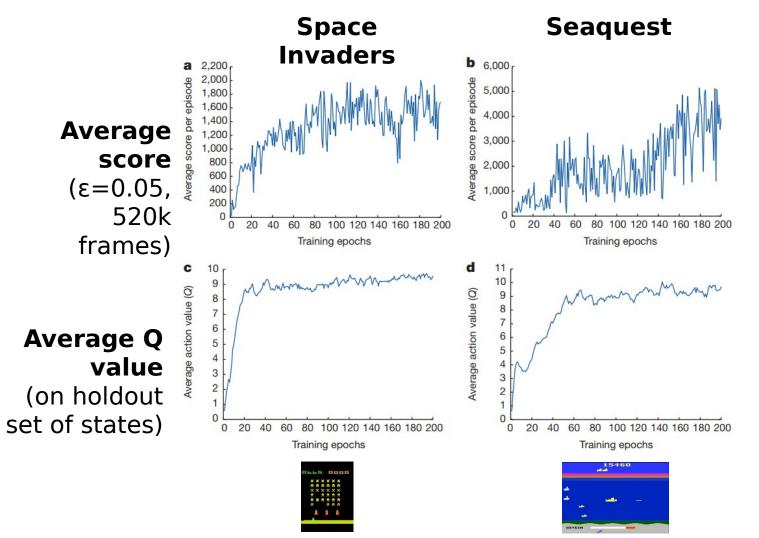
Every C steps reset  $\hat{O} = O$ 

With probability  $\varepsilon$  select a random action  $a_t$ 

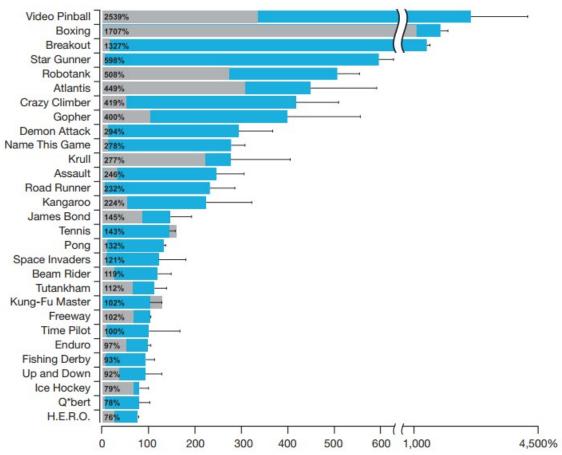
For episode = 1, M do

Initialize action-value function Q with random weights  $\theta$ 

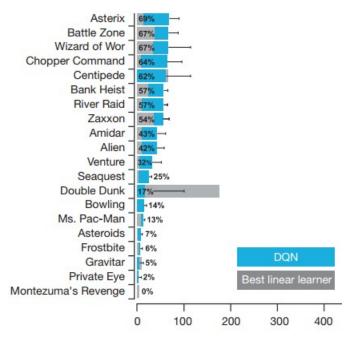
Initialize replay memory *D* to capacity *N* 



#### At human level or above



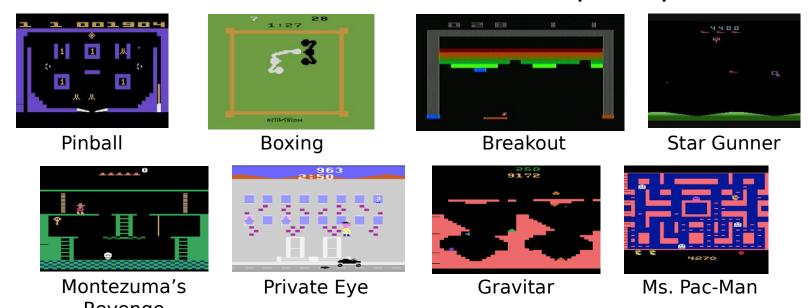
#### **Below human level**



- 0%: random play
- 100%: professional human games tester
  - Error bars: s.d. on 30 evaluation episodes
- Linear learner: single linear layer

### **Evaluation**

- Trained agents played each game 30 times for up to 5 mins each
  - Random number of initial "no-op"s up to 30



## Paper summary

- Combined Q-learning with a conv net
  - Able to use high-dimensional input
- Added techniques to stabilize learning:
  - Experience replay
  - Second network
- Result: generalizable agent
  - Learns in various different tasks (=Atari games)
  - Beats human performance in 29 of the 49 games

## **Discussion points**

- Some games are more manageable for the agent than others. Why?
- What other tasks can this agent be applied to?
  - Anything in your line of work?
  - Would the architecture need to be tweaked?
- The agent starts with no clue about the environment it works in. How can this knowledge be used?
- Reward is highest game score. What other reward functions can be used here?
- 49 games = 49 trained agents. What can be done to generalize these agents?