

1. Carothers 2.21 (Solution by David Maxwell)

Consider a number a with base 3 expansion $0.a_1a_2\dots a_n11$. By problem 1.21, a has exactly one other base 3 expansion, namely $0.a_1a_2\dots a_n1022\dots$. Both of these expansions contain a 1. On the other hand, Theorem 2.25 implies every element of the Cantor set has a base 3 expansion where all the digits are 0 or 2. Hence $a \notin \Delta$.

2. Carothers 2.22 (Solution by former student Mason Brewer)

Show that Δ contains no (nonempty) open intervals. In particular, show that if $x, y \in \Delta$ with $x < y$, then there is some $z \in [0, 1] \setminus \Delta$ with $x < z < y$. (It follows from this that Δ is *nowhere dense*, which is another way of saying that Δ is “small.”)

Solution:

Let $x, y \in \Delta$ with $x < y$. Then let $x = 0.a_1a_2a_3\dots$ and $y = 0.b_1b_2b_3\dots$ be the base-3 expansions of x and y without any values of 1. Since they are not equal, there exists some i that is the first decimal place where x and y disagree. In other words, $a_n = b_n$ for $n < i$, and $a_i < b_i$, which must be the case because $x < y$. Thus it must be the case that $a_i = 0$ and $b_i = 2$ in order to satisfy $a_i < b_i$. Now define $z = 0.c_1c_2c_3\dots$ such that $c_n = a_n = b_n$ for $n < i$, and $c_n = 1$ for $n \geq i$. Note that since z has more than a single decimal equal to 1, it must be that $z \notin \Delta$, which implies that $z \neq x, y$. Since $a_i < c_i < b_i$, we know that $x < z < y$.

3. Carothers 2.25 (Solution by David Maxwell)

Define $g : \mathbb{R} \rightarrow \mathbb{R}$ by $g(x) = 1$ if $x \in \Delta$, and $g(x) = 0$ otherwise. At which points of \mathbb{R} is g continuous?

Solution:

Let $A = \mathbb{R} \setminus \Delta$. We claim that g is continuous exactly on A .

First, suppose $x \in A$, and let $\epsilon > 0$. Notice that Δ is a closed set, being an intersection of closed sets, and hence A , its complement, is open. Thus there is a $\delta > 0$ such that if $|x - y| < \delta$ then $y \in A$. But then $g(x) = g(y) = 0$ and $|g(x) - g(y)| = 0 < \epsilon$. Hence g is continuous at x .

On the other hand, suppose $x \notin A$, so $x \in \Delta$. By the previous problem, for each $n \in \mathbb{N}$, we can find $x_n \in (x - 1/n, x + 1/n)$ such that $x_n \notin \Delta$. Now $|x - x_n| < 1/n$, so $x_n \rightarrow x$. And $g(x_n) = 0$ for all n whereas $g(x) = 1$. Since $g(x_n) \not\rightarrow g(x)$, we conclude that g is not continuous at x .

4. Carothers 2.16 (Solution by former student Lander Ver Hoef)

The *algebraic numbers* are those real or complex numbers that are the roots of polynomials having *integer* coefficients. Prove that the set of algebraic numbers is countable. [Hint: First show that the set of polynomials having integer coefficients is countable.]

Solution:

First, observe that for a given n , there is a natural surjective mapping from the ordered

n -tuple of integers with a non-zero final element (that is, an element of $\mathbb{Z} \times \mathbb{Z} \times \cdots \times \mathbb{Z} \times (\mathbb{Z} \setminus \{0\})$) to the set of polynomials of degree $n - 1$ with integer coefficients, given by

$$f(a_1, a_2, \dots, a_n) = a_1 + a_2x + \cdots + a_nx^{n-1},$$

where $a_n \neq 0$. Thus, the set P_n of all polynomials of degree n with integer coefficients is countable.

The set P of all polynomials with integer coefficients is the union of the P_n across n , and the countable union of countable sets is, itself, countable, so P is countable.

Each polynomial in P has countably many roots, so there is a surjective mapping from $P \times \mathbb{N}$ to the set of algebraic numbers, defined by (p, n) being mapped to the n th root of the polynomial p . Thus, there are only countably many algebraic numbers.

5. Carothers 3.7 (Solution by former student Max Heldman)

Let $f : [0, \infty) \rightarrow [0, \infty)$ be increasing and satisfy $f(0) = 0$, and $f(x) > 0$ for all $x > 0$. If $f(x)$ also satisfies $f(x + y) \leq f(x) + f(y)$ for all $x, y \geq 0$, then $f \circ d$ is a metric whenever d is a metric. Each of the following conditions is sufficient to ensure that $f(x + y) \leq f(x) + f(y)$ for all $x, y \geq 0$:

- a) f has a second derivative satisfying $f'' \leq 0$;
- b) f has a decreasing first derivative;
- c) $f(x)/x$ is decreasing for $x > 0$;

Solution:

We first show that (a) \implies (b) \implies (c), and then prove that (c) is sufficient. To show (a) \implies (b), we prove the contrapositive. Suppose $f'(x + h) > f'(x)$ for some $h > 0$. Then by the Mean Value Theorem there exists $c \in (x, x + h)$ such that $f''(c) = \frac{f'(x+h) - f'(x)}{h} > 0$.

For (b) \implies (c), it is sufficient to show that $\frac{d}{dx} \left(\frac{f(x)}{x} \right) = \frac{f'(x)x - f(x)}{x^2} \leq 0$ for $x > 0$. Observe that by Taylor's Theorem we have $f(x) = f(0) + f'(tx)x$, where $t \in [0, 1]$. Since f' is decreasing and $f(0) = 0$,

$$f(x) = f(0) + f'(tx)x = f'(tx)x \geq f'(x)x.$$

To complete the proof, suppose $f(x)/x$ is decreasing for $x > 0$. Let $x \geq y > 0$. Then $\frac{f(x+y)}{x+y} \leq \frac{f(x)}{x}$, and $\frac{f(x)}{x} \leq \frac{f(y)}{y}$, that is, $f(x)y \leq f(y)x$. Thus

$$f(x + y) \leq \frac{(x + y)f(x)}{x} = \frac{f(x)x + f(x)y}{x} \leq \frac{f(x)x + f(y)x}{x} = f(x) + f(y).$$

6. Carothers 3.15 (Solution by David Maxwell)

Show that a set A is bounded if and only if the diameter of the set is finite.

Solution:

Suppose A is bounded. So we can pick $x \in A$ and $R > 0$ such that $A \subseteq B_R(x)$. But then if $a, b \in A$, $d(a, b) \leq d(a, x) + d(x, b) < 2R$. Hence

$$\text{diam}(A) = \sup\{d(a, b) : a, b \in A\} \leq 2R.$$

So A has finite diameter.

Conversely, suppose A has finite diameter D . By hypothesis, A is not empty; let $x \in A$. For any $y \in A$, $d(y, x) \leq \text{diam}(A) = D < 2D$. Thus $A \subseteq B_{2D}(x)$ and A is bounded.